1	A new zooarchaeological application for geometric				
2	morphometric methods: Distinguishing Ovis aries				
3	morphotypes to address connectivity and mobility of				
4	prehistoric Central Asian pastoralists				
5	A.F. Haruda ^{a,b*} , V. Varfolomeev ^c , A. Goriachev ^d , A. Yermolayeva ^e , A. K. Outram ^f				
6					
7	^a Department of Archaeology, University of Exeter, North Park Road, Exeter, EX4 4QE, U.K.				
8	^b Central Natural Science Collections, Martin Luther University Halle-Wittenberg, Domplatz 4, Halle				
9	(Saale), 06108, Germany				
10	^c Sarya-Arka Institute of Archaeology, Bukhetov Karaganda State University, 28 University Street,				
11	Karaganda 100028, Kazakhstan				
12	^d Margulan Institute of Archaeology, 44 Dostyk Street, 050010 Almaty, Kazakhstan				
13	^e Margulan Institute of Archaeology, 44 Dostyk Street, 050010 Almaty, Kazakhstan				
14	^f Department of Archaeology, University of Exeter, North Park Road, Exeter, EX4 4QE, U.K.				
15					
16					
17	* Corresponding author				
18	Email: ashleigh.haruda@zns.uni-halle.de				

19 Abstract

20 Geometric morphometric methods (GMM), which were developed to characterize the shape and size of biological organisms, have been applied within zooarchaeology over the past decade to 21 22 address animal domestication processes and to refine morphological criteria to differentiate 23 between taxa. However, there has been limited utilization of these methods to discriminate 24 between populations of the same taxa to challenge and refine other key archaeological issues, such 25 as migration and connectivity in prehistory. Presented here is a novel application of a three-26 dimensional landmark based geometric morphometric approach to address the nature of long 27 distance trade and connectivity on the prehistoric Silk Road. The similarity of Bronze and Iron Age 28 archaeological cultures along this steppe route has encouraged characterisations of these people as 29 nomads, with highly mobile, integrated, and connected human and animal populations. However, 30 the interconnectedness of domestic animal populations, in particular sheep (Ovis aries), the 31 foundation of this prehistoric pastoral economy, has never been examined. This study utilized 32 geometric morphometric methods to quantify geometric morphometric variance of O. aries astragali 33 between three geographically disparate settlement sites within a single Final Bronze age cultural and 34 chronological context. Significant differences between morphotypes revealed that protracted 35 mobility patterns were unlikely and that while animal exchange may have occurred, it was not 36 pervasive enough to produce a uniform sheep morphotype across central and southeastern 37 Kazakhstan. The result of this new application of geometric morphometric methods challenges 38 models of uniform and undifferentiated long distance mobility and economic connectivity between 39 the peoples of the Silk Road.

40 Keywords: Geometric Morphometrics; Zooarchaeology; Pastoralism; Ovis aries; Bronze Age;

41 CENTRAL ASIA; THREE-DIMENSIONAL DIGITISATION

42

43 Introduction

44 Trade, connectivity, and mobility are key components in the study of early globalisation 45 processes. The Central Asian steppe was a key region for the transfer of agricultural goods between 46 Asia and Europe, and is well known as the Silk Road in the historical period, which was underpinned 47 by trade, widescale mobility and migration (Jones et al., 2011; Miller et al., 2016; Spengler et al., 48 2016). Yet the degree and role of mobility in the formation of social networks and cultural change is 49 still poorly described. Demic models of cultural change have recently found new support with the

50 publication of human genetic data indicating mass migrations both from and within the Central 51 Asian steppe in the Early Bronze Age (Allentoft et al., 2015; Chernykh et al., 2008; Damgaard et al., 52 2018b, 2018a; Frachetti, 2011; Haak et al., 2015; Kuzmina, 2008; Narasimhan et al., 2018). However, 53 cultural diffusion models are still preferred to describe the gradual cultural changes of the Late and 54 Final Bronze Age in Central Asia prior to the increasing social inequality and technological advances 55 associated with the Early Iron Age. These pastoral economies, with little evidence of agricultural 56 production, are based upon animals, and as these animals are mobile assets, it has long been 57 assumed that mobility was a key feature of both human and animal populations. The scale of 58 interaction between human settlements through this period is disputed, with models of long 59 distance mobility over thousands of kilometres influenced by ethnographic examples and 60 widespread material cultures (Kuzmina, 2007). These compete with localised network models that 61 emphasize limited pastoral circuits, such as vertical transhumance and tethered mobility, that link 62 together to form broad networks of material culture exchange (Bendrey et al., 2010; Frachetti, 2009; 63 Rouse and Cerasetti, 2018). Recent archaeological research has supported the latter, with increasing 64 evidence for localised lifeways and economies that share an overarching material culture (Haruda, 65 2018; Motuzaite Matuzeviciute et al., 2015; Spengler et al., 2013; Ventresca Miller et al., 2017). 66 While traditional zooarchaeological analysis has contributed to this debate, morphological variability 67 of animal bones has not yet been explored. Osteological remains contain ecomorphological variation 68 that vary by population, and especially for postcranial elements can signal local ecological 69 adaptation. Geometric morphometrics capture and measure this variation, which makes this 70 method ideal for directly addressing archaeological questions of connectivity and exchange.

71 As a small, mobile herd animal, sheep are ubiquitous across prehistoric steppe archaeological 72 contexts dating from the Early Bronze Age as part of a pastoral package of horse, cattle, and goats, 73 (Bendrey, 2011; Haruda, 2018; Outram et al., 2012). Zooarchaeological assemblages are analysed as 74 palimpsests, with animal remains from the same chronological context within a site analysed as one 75 unit to assess economic patterns at a particular settlement for that period (Reitz and Wing, 1999). It 76 is unclear if Central Asian sheep flocks were part of a single highly intermixed flock, in the same 77 manner as predominant material culture groups. Ethnographically in the nineteenth and twentieth 78 centuries, livestock were exchanged between pastoral families and to secure social contracts 79 (Abramzon, 1971; Gardner and Shayakhmetov, 2006; Hudson, 1938; Krader, 1955; Olcott, 1987). 80 These types of exchanges led to the development of a Central Asian fat-tailed sheep landrace that 81 was found throughout the region during this historical period, but has since been lost due to 82 admixture with European breeds in the past century. (Mason and Porter, 2002; Olcott, 1987; 83 Robinson and Milner-Gulland, 2003; Youatt, 1837). Animal exchange in prehistory would have also

resulted in regionally similar flocks that were not isolated and continually subject to admixture.
Uniform long-distance mobility patterns practised by pastoralists across the region would have
encouraged similar osteological morphotypes adapted for extensive seasonal migrations that
overrode the influence of local ecology (Kuzmina, 2007). Both social contracts secured by animal
exchange and long distance mobility would lead to a homogeneous sheep flock across the Central
Asian steppe, which can be tested via geometric morphometric methods.

90 The power of geometric morphometric methods lies in the ability to detect small but significant 91 changes in shape between groups of specimens whilst retaining the element of shape information 92 related to size. These significant differences are often lost in traditional quantitative and qualitative 93 measurement methods (Zelditch et al., 2012). Zooarchaeologists have used size differences to 94 examine the effects of domestication and cultural change, but have only been able to roughly 95 describe shape quantitatively using ratios of linear measurements or logarithm methods (Albarella, 96 2002, 1997a; Sykes et al., 2013; Thomas, 2005; Zeder et al., 2006). While linear measurements of 97 appendicular skeletal elements are useful in zooarchaeology for species delineation and in certain 98 archaeological contexts, such as the size change of a species through time (Albarella, 1997b; Davis, 99 2000; Rowley-Conwy, 1998; Sykes et al., 2013), the confounding factor of size upon these elements 100 can cloud attempts to distinguish between populations and early emerging landraces. Guidelines 101 developed in the middle of the last century for identifying sheep breed types, such as the 'fat-tailed' 102 sheep typical of the steppe, utilize withers heights which are calculated using greatest lengths of 103 appendicular limb bones (Tsalkin, 1961). These measurements are affected by ontogenetic variables, 104 which are biological variables that impact bone morphology such as sex and nutritional level, and 105 have since been found to be unreliable for discriminating between breeds of sheep (Popkin et al., 106 2012).

107 Geometric morphometrics have been used to explore domestication and population variation, 108 with specific focus upon the morphology of skulls and teeth (Bopp-Ito et al., 2018; Drake et al., 2015; 109 Duval et al., 2018; Owen et al., 2014). Other skeletal elements which are more resistant to densitymediated attrition, such as calcanei, have been used successfully in ecomophological and 110 111 archaeological investigations of variability between populations (Barr, 2014; Bignon et al., 2005; 112 Curran, 2012; Plummer et al., 2015, 2008; Pöllath et al., 2019). Measurement of morphological variation of zooarchaeological remains requires careful selection of a skeletal element that is both 113 114 taphonomically robust as well as appropriate for measuring inherited traits that address the 115 archaeological research question.

116 The astragalus has the least amount of growth out of all appendicular elements in the O. aries 117 skeleton and only occurs via appositional growth across the medio-lateral axis (Popkin et al., 2012). 118 Nutritional level, sexual dimorphism, and remodelling affect the astragalus the least out of all postcranial elements as it is completely enclosed within the joint and does not have a secondary 119 120 ossification centre (Pöllath et al., 2019). This element is a key bone in the ankle joint of the animal, 121 and works as a pivot point to convert motion both distally and dorsally. Working in concert with the 122 calcaneus, the astragalus controls for the degree of flexibility and stability in this joint. The 123 morphology of the distal articulation and the shape of the plantar articular surface together form a 124 disc cam, improving efficiency of stride for cursors (Barr, 2014). The astragalus has been noted as 125 effective as an ecomorphological indicator in studies of bovid post-cranial elements to reveal the 126 ecological and topographical environment of ancient animals (Curran, 2015; DeGusta and Vrba, 127 2005; Plummer et al., 2008; Pöllath et al., 2019). The environment of a ruminant flock, even within the same species, drives selection towards a more efficient ankle joint for movement through the 128 129 closed or open environments, with populations living in closed environments with extensive 130 vegetative cover or mountainous gradients possessing ankle joints which are optimized for mobility 131 and powerful movement. Populations in open environments, such as the steppe or savanna, have 132 ankle joints optimized for speed and stability (Curran, 2012; Plummer et al., 2008). The astragalus is 133 a robust element that survives well taphonomically and is resistant to density-mediated attrition and 134 as this element the least affected by ontogenetic variables, the astragalus is an excellent specimen 135 for measuring inherited osteological morphology that reflects site palaeoecology (Lyman, 1994). 136 Shape variation between individuals is to be expected, however overall morphology of the 137 astragalus across a population of O. aries represents an ecomorphotype for that particular population. 138

139 As osteological remains contain ecomorphological variation that is influenced by human-140 mediated mobility patterns and dispersals across the ancient landscape, morphotypes particular to a 141 locality would indicate that inherited morphotypes are specific for that palaeoecology, while a 142 general morphotype that is uniform across sites and regions would indicate interbreeding between 143 regional flocks or a high degree of long-distance mobility to negate the effect of a specific 144 environmental influence around site environs. As zooarchaeological collections are palimpsests that 145 represent the animals which were deposited during the period of occupation of the site, these 146 remains represent a sample of animals during the occupation periods. Here, specimens from each 147 site are referred to as a population, which refers to a geographically distinguished group of animals 148 that occurred as a zooarchaeological palimpsest during a period of interest (Pöllath et al., 2019). 149 Thus, this novel study evaluates osteological morphology between Final Bronze Age Ovis aries

populations to test whether flock homogeneity aligns with material cultural homogeneity as a proxyfor describing the degree of connection between human settlements.

152 Materials and Methods

Ovis aries astragali were selected from zooarchaeological assemblages from three Final Bronze Age 153 154 settlement sites in central and southeastern Kazakhstan, a region encompassing roughly 450,000 155 km². These specimens are from the publicly held archaeological collections of E.A. Bukhetov 156 Karaganda State University, Karaganda and the Margulan Institute for Archaeology, Almaty. No 157 permits were required for the described study. Neonatal specimens and those with surface damage 158 that would affect landmark placement were excluded from the study. Both left and right specimens 159 were selected except in cases in which entire articulated skeletons were excavated within a single 160 context, in which case the left astragalus was selected. Astragali were identified to species according to qualitative characteristics (Boessneck, 1969; Fernandez, 2001; Prummel and Frisch, 161 162 1986; Zeder and Lapham, 2010) and this was tested using geometric morphometric methods 163 (Haruda, 2017).

164 The Final Bronze Age sites of Kent, Serektas, and Turgen, are located in different agro-climatic 165 zones with varying degrees of topographic variation (Haruda, 2018) (Fig 1). Kent is a large 166 archaeological settlement with one hundred and thirty pit houses and located at 925 m a.s.l. in the 167 central Kazakh highlands within Karkaralinsk National Park. This area is characterized by granitic 168 outcrops which rise to 1350 m a.s.l. within the park boundary and have a higher biodiversity than 169 the surrounding steppe. It is forested with pine and birch while the surrounding semi-arid steppe is 170 largely comprised of dry fescue type grasses and Artemisia spp. Kent is dated by ceramic typology to 171 the Final Bronze Age (1300-900 BCE) and belongs to the Begazy-Dandybaevsky material culture group (Epimakov et al., 2005; Evdokimov and Varfolomeev, 2002). Previous zooarchaeological 172 173 analysis has shown that this settlement was heavily reliant upon sheep and goat which formed 63% 174 of recovered identifiable remains (NISP) and were likely raised for meat with a majority of the 175 population slaughtered before reaching four years of age (Haruda, 2018; Outram et al., 2012). Eight 176 astragali from Ovis aries originate from this site and are housed at the Bukhetov Karaganda State 177 University in Karaganda, Kazakhstan (SI Table 1).





Fig 1. Location of archaeological sites

180 Serektas is located at 776 m a.s.l. in the semi-arid steppe located midway between the Tien Shan 181 mountains 100 km to the south and the shores of Lake Balkhash 150 km to the north. The site is a 182 small settlement comprised of a pit house with multiple phases of renovation and occupation. 183 Serektas is also dated to the Late and Final Bronze Age by the presence of Begazy-Dandybaevsky 184 ceramics (Yermolaeva, 2001, 2000). The zooarchaeological profile of this site is similar to Kent, with 185 ovicaprids comprising 48% of NISP, although over half of the population survived past four years of 186 age (Haruda, 2018). Ten Ovis aries astragali originate from this site and are housed at the Margulan 187 Institute of Archaeology in Almaty, Kazakhstan.

188 Turgen is located at 1900 m a.s.l in a lightly wooded conifer valley within the foothills of the Tien 189 Shan mountain range. The Turgen valley originates at the foot of a glacier at approximately 3500 m 190 a.s.l and emerges onto the Semirech'ye alluvial plain near the modern city of Talgar at 1000 m a.s.l. 191 The site, located midway up the ravine, is comprised of two pit houses and two nearby cemeteries. 192 and also is dated by the presence of Begazy-Dandybaevsky ceramics from Late and Final Bronze Age 193 (Goriachev, 2011a, 2011b; Roberts et al., 2019). Despite the difference in microclimate and rainfall, 194 the zooarchaeological signature at Turgen is very similar to the other two site, as 53% of NISP are 195 ovicaprid, with a strong indication for meat consumption, with less than 10% of the population 196 surviving past four years (Haruda, 2018). Twenty-one Ovis aries astragali from this site contributed 197 to this study and are also housed at the Margulan Institute of Archaeology in Almaty, Kazakhstan.

198 Digitising and Landmarking

A suite of fifteen landmarks selected for this analysis follow a previously published set of landmarks (Haruda, 2017) and describe the shape of the *O. aries* astragalus following quantitative and qualitative zooarchaeological literature (Boessneck, 1969; Fernandez, 2001; Prummel and 202 Frisch, 1986; von den Driesch, 1976; Zeder and Lapham, 2010) as well as literature which describes 203 landmark methods for the appendicular morphology of Cervidae (Curran, 2015, 2012; Sykes et al., 204 2013) (Fig 2). Specimens were scanned using a Next Engine 3D Laser Scanner with a dimensional 205 accuracy of ± .381 mm at a resolution of 2500dpi with Scan Studio HD software. These scans were aligned to at least an accuracy of ±0.02mm and fused together into a single three-dimensional mesh. 206 207 Once scans were trimmed, aligned and fused, they were imported as cloud data (.ply) into Landmark 208 Editor (Wiley et al., n.d.). Landmarks were located on each specimen visually and with the assistance 209 of plantar-dorsal and proximal-distal axes according to landmark criteria and these files are 210 deposited in an open-access data repository (Haruda, 2017)(10.5281/zenodo.1188830).

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Fig 2: Landmark locations

214 Statistical Testing

The data were superimposed using a Generalized Procrustes Analysis (GPA) in MorphoJ to scale all configurations to centroid size, translate them to the same location, and rotate them to the same orientation and reflect them so both left and right specimens were comparable (Dryden and Marida,

218 1998; Klingenberg, 2011; Rohlf and Slice, 1990).

Measurement and replication error was quantified with a Procrustes ANOVA in MorphoJ on a larger set of data from Serektas that incorporated two additional *Capra hircus* models which was previously published (n = 12) (Haruda, 2017; Klingenberg, 2011; Klingenberg and McIntyre, 1998). Specimens were scanned twice to create three-dimensional models, and each model was landmarked twice, resulting in four sets of landmarks for each specimen. It was found that variation between specimens was greater than between replicates, indicating that measurement error was negligible (SI Table 2).

226 Statistical testing to investigate the influence of size and allometry as well as to explore variation 227 within the data was conducted using the packages Morpho and Geomorph in R (Adams et al., 2017; 228 Adams and Otárola-Castillo, 2013; R Core Team, 2017; Schlager, 2017). Significance of size variation 229 was tested with a Kruskal-Wallis test using log centroid size by site, and found a p value of 0.11 230 which was not significant for variation between sites. The presence of allometry was tested using a 231 multivariate regression of shape (Procrustes coordinates) upon size (log centroid size) with 1000 232 permutations and a randomised residual permutation procedure (RRPP) and allometry was not 233 found to be significant and size did not affect shape. To test for the effect of allometry across sites, a 234 single factor MANCOVA, with Procrustes coordinates as dependent, log centroid size as 235 independent, and sites as a factor with 1000 permutations and a RRPP also found that allometry was 236 not significant. Furthermore, a homogeneity of slopes test found that slopes of sites were parallel 237 and that allometry was not a significant effect between sites.

238 Due to the small sample size, large number of variables in comparison to specimens, and the 239 known problems with over fitting of classification rates with this type of data, the dimensionality of 240 the data was reduced using a Principal Components Analysis (PCA) in which components that 241 accounted for over 95% of variation were subsampled for a canonical variate analysis (CVA). The 242 CVA, paired with a leave-one-out cross validation, was used to test for differences in shape between 243 groups (Klingenberg and Montero, 2005; Viscosi and Cardini, 2011; Zelditch et al., 2012). To visualize 244 the variations between groups, a between-group PCA was utilized as this method does not overestimate the extent of separation between groups. The mean shapes of groups and 245 246 visualizations of morphological variation were conducted by morphing the variation associated with 247 each principal component at a magnification of three standard deviations onto a three dimensional 248 model of the grand mean shape of all the specimens using the morphing functions of Geomorph 249 (Adams et al., 2017; Adams and Otárola-Castillo, 2013) (Fig 3). This method was also used to test 250 group membership using a permutation test of difference of average shape for pairwise 251 comparisons (Table 2).

252 Results and Discussion

Permutation tests of the difference of average shape were significant (Table 1) across all pairwise comparisons. The smallest Procrustes distance between groups was between Serektas and Turgen. This is reflected in the cross-validated classification rates for the between-group PCA in which Serektas and Turgen have a lower correct classification rate than Kent (Table 2). Crossvalidated classification rates for a canonical variate analysis with a reduced dimensionality return a 100% correct classification rate, indicating that there is slight overfitting using this type of analysis, even with a reduction in dimensionality.

Table 1. Pairwise Procrustes distances among site (above the diagonal) and p-values for the null hypothesis of equal
 means (below the diagonal).

	Kent	Serektas	Turgen
Kent	-	0.08800339	0.06858336
Serektas	0.0001	-	0.05934617
Turgen	0.0001	0.0001	-

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263 The first between-group principal component accounted for more than half of the shape 264 variation in the dataset [62.6%] while the second principal component accounted for a third of the shape variation [37.3%]. Specimens from each of the three sites clearly clustered apart along both 265 266 the first and second principal components (Fig 3). Predicted shape variation along the first principal 267 component revealed variation in the orientation of the tuberculum tali, which lies medially to the plantar articular surface that meets the calcaneus (Fig 2). The tuberculum tali was oriented laterally 268 269 towards the plantar articular surface for specimens with a positive value on the first principal 270 component, while it was oriented medially for those with negative values. Additionally, astragali 271 with positive values of the first principal component were elongated. Morphological variation along 272 the second principal component revealed a greater difference between the maxima of the proximal and distal trochlea. 273

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Table 2. Cross-validated classification results in percentages, with sample size in brackets

bgPCA				CVA				
	Kent	Serektas	Turgen	Kent	Serektas	Turgen	None	
Kent	100% (8)	0 %(0)	0% (0)	100% (8)	0% (0)	0% (0)	0% (0)	
Serektas	0% (0)	90% (9)	10% (1)	0% (0)	80% (8)	0% (0)	20% (2)	
Turgen	0% (0)	14.28% (3)	85.71% (18)	0% (0)	0% (0)	100% (21)	0% (0)	
Ove	Overall classification accuracy: 89.7%				Overall classification accuracy: 94.9%			

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279 This landmark-based geometric morphometric method was able to reveal statistically significant 280 differences in morphology between astragali from three different archaeological sites. The 281 visualisation of shape variation along the first and second between groups principal components 282 indicated differences in the functionality of the ankle joint. The tuberculum tali, located on the proximal medial corner of the plantar articular surface marks the full extent and angle of articulation 283 284 with the distal tibia. As the sustentaculum tali of the calcaneus slides along the plantar surface of the astragalus, it follows the prominence of the plantar ridge. Any reduction in the prominence of 285 286 features such as this plantar ridge would result in a less stable and more mobile joint (Barr, 2014; 287 Plummer et al., 2015, 2008). The degree of mobility is marked by the relative size of the trochlea, 288 while stride length is marked by the location of a divot on the distal half of the plantar surface. The 289 relative increase in articular surface area on the plantar aspect increased stride length and efficiency 290 of movement but decreased power for intense bursts of motion. Conversely, squatter astragali with 291 robust trochlea with shorter plantar articular surfaces increased stride power and mobility with 292 fewer prominent features were characteristic of closed environments.





Fig 3. Between-group principal component analysis and average site shapes. Scatterplot of the scores along the first
 two between-group principal components. Overlaid are predicted shape changes along the first between-group principal
 component with a magnification factor of three and the average shape of astragali from each site.

298 Astragali from Serektas are relatively gracile and have morphological features including a less 299 prominent tuberculum tali and proximal tali with a shallow groove. These astragali also have a 300 shorter plantar articular surface and a prominent divot on the distal end of this surface, indicating a 301 wider range of flexion on the distal end of the joint. The specimens from Turgen have a similar 302 morphology to those from Serektas, with a less prominent tuberculum tali and a shorter plantar 303 articular surface, however with even less prominent trochlea which would encourage even more 304 joint mobility. In contrast, specimens from Kent are squat and robust and have a longer plantar 305 articular surface, a more prominent tuberculum tali and plantar articular ridge as well as a more 306 compact distal end, better for more efficient movement through open environments.

Specimens from Kent clearly possess morphological traits associated with open environments. In contrast, specimens from Turgen and Serektas have a more closed morphology, although these are not consistent for these two sites. The forested and vertiginous environment of Turgen is very different from the flatter topography surrounding Kent and Serektas. It is likely that specimens at Serektas had access to the foothills of the Tien Shan, as this site located only eighty kilometres from these mountains and thus individuals may have been grazed in both open and closed environments. 314 connection between populations or exploitation of similar types of topography for some individuals315 at Serektas and Turgen.

As established above, astragalus morphology is not affected by ontogenetic variables and is the 316 closest to a phenotypic expression of inherited traits, rather than reflecting plasticity driven by 317 318 variation in pasturage, proportions of sex hormones, or changes to morphology due to remodelling. 319 Recent GMM research on astragalar morphology has shown that variation in the width of the distal 320 end (the Bd measure in traditional von den Driesch 1976 linear measures) does vary by age, but not 321 by sex or environment (Pöllath et al., 2019). The morphotypes here show a range of variation across 322 the entire specimen, but variation at the distal end is not the most prominent area of variation. 323 Furthermore, previous herd profiles of sheep at these three sites show similar slaughter patterns, 324 with few animals surviving past three years of age. As astragali were selected once fully ossified, 325 which occurs at eighteen months of age, we can assume that this age range for this sample is 326 relatively narrow and that the variation between site morphotypes is not due to age related 327 slaughtering patterns.

328 The ankle joint is key to movement efficiency and thus survival. Animals which were unable to 329 efficiently move long distances to access distant pastures or move through closed environments and 330 evade predators were more likely not to pass on their genes to the next generation. Therefore, the 331 morphological signature of the astragalus is associated with the amount of cover and topography of 332 each site, which confirms the specificity of each population to a respective microenvironment and 333 can be called an ecomorphotype. This ecomorphological specificity indicates that mobility of these 334 sheep populations was limited to the local environment of the human settlement, and for example, 335 sheep at Turgen were not participating in long distance migrations across the relatively flat steppe, 336 nor were sheep at Kent utilizing vertiginous areas for pasture.

The clear separation of ecomorphotypes of sheep astragali in the Final Bronze Age can be the 337 338 result of two possibilities- the development of rapid ecomorphological adaptation or genetically 339 distinct sheep populations. These interpretations are not necessarily mutually exclusive. The genetic 340 structure of this ancient sheep population is not yet clear and it still remains to be seen whether 341 ancient sheep were introduced from the same founder population, or were the result of separate introductions in the Early Bronze Age (Anthony, 2007). While the phenotypic link between some 342 343 elements in some species has been tested, such as for pigs, for this element in sheep, the direct correlation between genetic variation and morphotype is not yet established. Furthermore, the 344

speed at which this ecomorphological adaptation occurred within populations is still not yet wellunderstood and necessitates future research.

Additionally, the quantification of the morphological variation between modern sheep breeds 347 and these ecomorphotypes would further serve to illustrate the relationship between ancient and 348 349 modern animal populations, particularly to explore whether these prehistoric sheep had similar 350 morphologies to modern sheep breeds, such as the historic fat-tailed Central Asian breed. However, 351 this is secondary to exploring the nature of the sheep flock homogeneity in the Final Bronze Age and 352 would not address questions of connectivity and exchange. Despite the origin of this particular 353 morphological plasticity, the geometric morphometric results point to isolated populations with little 354 animal exchange and separate, local pastoral mobility patterns in the Final Bronze Age within a 355 single shared cultural community that refutes hypotheses of a uniform and interconnected steppe-356 wide sheep flock as well as long-distance mobility patterns.

357 These non-homogenous O. aries morphotypes support recent models of multi-scalar pastoral exchange and interaction. First proposed as non-uniform interactions across the steppe (Frachetti 358 359 2009), the multi-scalar model was developed to describe the nature of sedentary/mobile interaction 360 (Rouse and Cerasetti, 2018) and applies well in this context to describe the nature of intra-cultural 361 exchange and connectivity between the central steppe and the southeastern region of Kazakhstan. 362 While material culture, burial customs, and architectural forms across this large region of central and 363 southeastern Kazakhstan were similar in the Final Bronze Age, variation between sheep 364 morphotypes indicates that local economies and scales of mobility were circumscribed in 365 comparison to the sphere of exchange of ideas, material culture, and modes of life. 366 Zooarchaeological analysis of herd structures of cattle, horses, and ovicaprids from these settlement 367 sites support this, as proportions of cattle in the Semirech'ye during the Late and Final Bronze Ages 368 are more similar to settlements of the same material culture located in more arid regions of the 369 central steppe (Haruda 2018). This is in contrast to broader patterns of domestic animal exploitation 370 across Eurasia during the Bronze Age, in which the proportions of cattle present at settlement sites 371 correlates strongly with annual precipitation and ecoregion (Bendrey, 2011; Outram et al., 2012). 372 Thus pastoralism as an economic mode is shared across this region, while the local practice, at least 373 for the management of sheep flocks, is locally circumscribed with little connectivity and exchange of 374 animals. However, mobility of other domesticated species, particularly those which would facilitate 375 human connectivity, such as horses and camels, is not yet know, and if studied, would further clarify 376 the degree of human interconnectivity during this period. It is clear that there is good evidence for 377 long distance exchange at this period and in the following Iron Age as millet and other crops moved

378 along the prehistoric Silk Road, yet the nature of this dispersal, whether diffusing slowly through

- neighbouring communities, or via individuals moving long distances on horses is still unclear.
- 380 However, during this particular chronological context, long distance mobility and connectivity of
- 381 entire communities and their flocks of sheep can be excluded as a model for trade and connection
- along the Silk Road.

383 Conclusion

384 Landmark based three-dimensional geometric morphometric methods using a post-cranial 385 element, the astragalus, on zooarchaeological remains successfully revealed significant 386 morphological variation between Final Bronze Age sheep located at different settlement sites. The 387 presence of these three distinct morphotypes challenges assumptions of Ovis aries flock 388 homogeneity and archaeological models which emphasize long distance mobility as an essential 389 component of pastoralism. This is some of the earliest direct evidence which indicates that 390 connectivity and exchange between settlements within a cultural community was complex and 391 multi-scalar. Comprehensive movements of people with their flocks across immense distances on 392 annual migrations no longer appears to be a likely model for prehistoric pastoral patterns and 393 human networks. Instead, communication and exchange of material culture and ideas occurred at 394 diffuse and varying scales which linked together human settlements across the prehistoric Silk Road.

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