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1 **Atmospheric carbon dioxide, ice sheet and topographic constraints on palaeo moisture availability**
2 **in Asia**

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7 **Abstract**

8 Today, the hydrological regime in East and South Asia is dominated by the monsoons, whilst central
9 Asia is characterized as arid. Studies that have examined the onset of aridity and the intensification of
10 the monsoons in Asia have generated significant debate, especially in respect to the timing of
11 monsoon onset and how this relates to the potential causal mechanisms. The uplift of the Tibetan
12 Plateau, the retreat of the Paratethys Sea, and the global cooling after the Eocene/Oligocene
13 transition are all considered major drivers of Asian aridity and monsoonal intensification. However,
14 little is known about each of these factor's contribution to the development of modern monsoon
15 behaviour. Here, for the first time, we perform sensitivity simulations of a fully coupled ocean-
16 atmosphere climate model (HadCM3) to investigate the effect of the Greenland and Antarctic ice-
17 sheets formation, atmospheric carbon dioxide (CO₂) variability, and Tibetan Plateau uplift on East
18 Central Asian aridity and monsoon driven precipitation. We focus on three individual regions, the
19 South Asian Monsoon, the East Asian Monsoon and the Arid East Central Asia and we present the
20 annual precipitation cycle and the moisture availability over each region. Our results show that of the
21 parameters investigated the primary control on Asian hydroclimate is the topography of the Tibetan
22 Plateau. Furthermore, our results highlight that the significance of each forcing depends on the
23 component of the hydrological region and factors studied, a factor that proxy interpretation need to
24 take into consideration.

25 **Keywords:** monsoons, Eurasia, climate, aridity, GCM, proxies

26 **1. Introduction**

27 Climate change is implicated in the spread of arid areas in Central Asia over the last 50 million years
28 and is considered as potentially one of the major drivers for the progressive desertification of China
29 being experienced today (Wang and Chen, 2013). However, the exact timing and mechanisms
30 associated with the onset of Asian aridity and subsequent intensification is debated with some
31 research placing it prior to mid-Miocene (Zhuang et al., 2011), early Miocene (Guo et al., 2002) and
32 even the Eocene to Oligocene Transition (EOT) (e.g. Dupont-Nivet et al., 2007). Previous work on the
33 Cenozoic aridification of Central Asia has highlighted the importance of Himalayan and Tibetan Plateau
34 uplift, the Paratethys Sea retreat and the global cooling, potentially linked to atmospheric CO₂ decline,
35 after the EOT (Jian et al., 2014). However, the contribution of each of these factors to the
36 intensification of aridity remains unclear.

37 The Tibetan Plateau (TP) uplift was the most dramatic tectonic event in recent geological history, and
38 has been considered as an important driving force for the Earth's climate and environmental change.
39 The TP serves as a major topographic barrier to atmospheric flow (Liu and Dong, 2013). The TP uplift
40 is suspected as a trigger of the Indian monsoon by providing a topographical high that opposes the
41 classical Hadley circulation (Molnar et al., 1993), while geological evidence links it with the
42 development of the Asian monsoon and inland aridification (Liu and Dong, 2013). However, the
43 contribution made by the long-term uplift of the TP to inland aridification is only partially known.
44 Climate model simulations show that the TP was the major mechanism driving shifts in atmospheric
45 circulation, while at the same time forming a southern orographic barrier to inland Asia moisture
46 supply (DeCelles et al., 2007; Zhuang et al., 2011). Additionally, Qian et al. (2011) suggests that the TP
47 influences the South (SAM) and East (EAM) Asian monsoon through its dynamical and thermal forcing.
48 Antarctic ice sheet growth has been linked to EAM intensification through an increase of cross-
49 equatorial SST and pressure gradients (Ao et al., 2016), and climate model studies suggest that
50 associated global cooling is linked to a decrease in atmospheric CO₂ (e.g. Lefebvre et al., 2013).

51 Moreover, Hunter et al. (2013) noted changes in precipitation patterns under increasing levels of CO₂,
52 and in turn CO₂ combined with changes in precipitation, have been a primary driver leading to regional
53 changes in vegetation patterns (Khon et al., 2014).

54 Our understanding of the establishment, intensification and evolution of Asian aridity, and of the
55 monsoonal intensification, remains incomplete for a variety of reasons. Firstly, the tectonics, uplift
56 rates and palaeo-latitude estimates remain uncertain (i.e. during Oligocene, estimates on TP elevation
57 presents differences of up to 2 km (Dupont-Nivet et al., 2008; Molnar et al., 2010; Rowley and Currie,
58 2006). Secondly, the interpretation of proxy data for Central Asia can be challenging due to the
59 difference in scale of recorded variability for each proxy (i.e. lacustrine/marine sediments, terrestrial
60 sediments, pollen). The sensitivity of proxy records to local climate and environmental factors also has
61 to be taken into account in order to fully understand the contribution of each forcing. Additionally,
62 even though previous climate modelling has studied the impact of the TP uplift and CO₂ change (An et
63 al., 2001; Kutzbach and Liu, 1997), there has not been a systematic approach where the same model
64 is used to study the relative contributions of the TP uplift and CO₂ increase together with the formation
65 of the Antarctic and Greenland ice-sheets that was also formed after the EOT.

66 For the first time we perform a number of pre-industrial sensitivity experiments, with different CO₂,
67 TP elevation and ice-sheet coverage as model boundary conditions. Focusing on aridity and monsoonal
68 circulation we address the following questions: i) how is Asian aridity and the monsoons affected by
69 changes in ice-sheet coverage? ii) Is Asian aridification and monsoonal intensification controlled by
70 CO₂ decrease? iii) What is the impact of the Himalayan orogen and TP uplift on the established aridity
71 in Central Asia and monsoonal circulation of the continent? iv) What is the relative contribution of
72 each factor to climatic change in Asia?

73 **2. Methods**

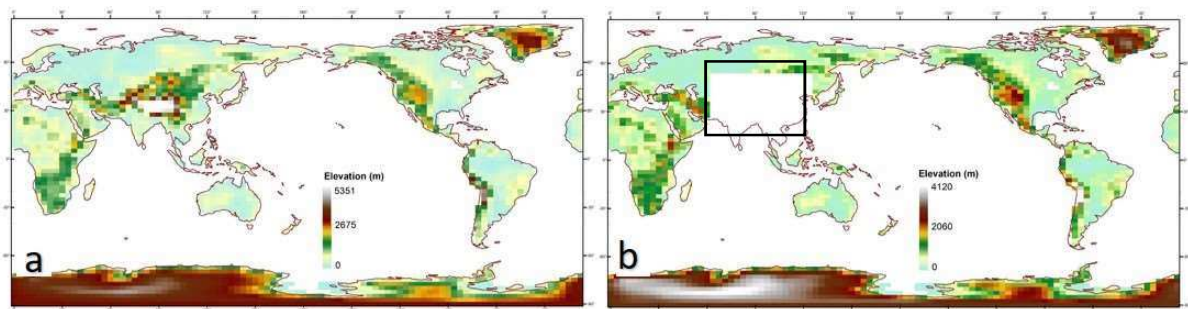
74 **2.1 Model description and evaluation**

75 The General Circulation Model (GCM) used for this study is the UK Met Office coupled atmosphere-
76 ocean HadCM3, using the MOSES 1 land-surface model (Cox et al., 1999). The HadCM3 model consists
77 of a dynamically coupled atmosphere, ocean and sea-ice model (see Gordon et al., 2000 for further
78 details). The horizontal resolution of the model is $3.75^\circ \times 2.5^\circ$ for the atmosphere which corresponds
79 to 278 km x 295 km at 45° latitude and 278 x 417 km at the equator and $1.25^\circ \times 1.25^\circ$ for the ocean.
80 The atmosphere and ocean are represented by 19 and 20 vertical levels respectively. The Unified
81 Model can produce precipitation from two schemes. Namely the convection scheme and the large
82 scale precipitation scheme. The large-scale precipitation and cloud scheme is formulated in terms of
83 an explicit cloud water variable following Smith (1990). HadCM3 has been used successfully in the
84 representation of modern Asian climate as shown by Dabang et al. (2005) where the comparison
85 between GCM outputs focused on the East Asian climate showed that HadCM3 can reproduce with
86 success both annual and seasonal precipitation and surface air temperature. Furthermore, studies of
87 precipitation and tropical Sea Surface Temperature (SST) for all four seasons yield a well-represented
88 monsoon (Inness and Slingo, 2003; Turner et al., 2005). In conclusion, the HadCM3 model is an
89 appropriate tool to study the Asian climate, especially in terms of precipitation (Lunt et al., 2010).

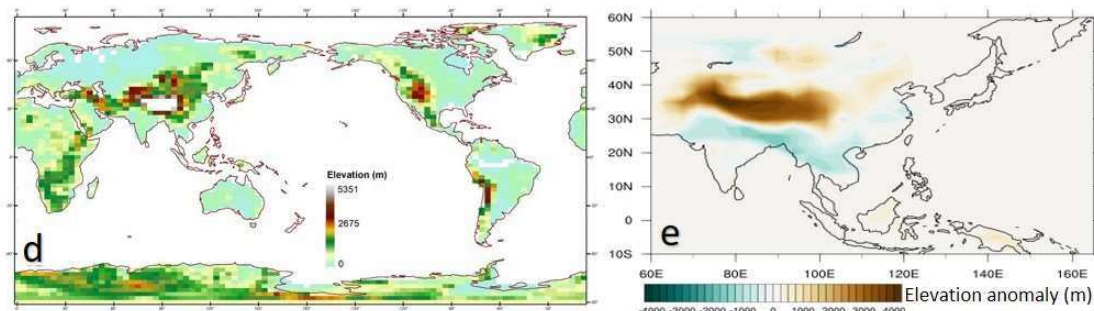
90 **2.2 Boundary conditions and experimental design**

91 Eight simulations have been performed in this study including a pre-industrial control experiment (Fig.
92 1c). Pre-industrial atmospheric CO₂ concentration levels for the control experiment (PreInd) were set
93 to 280 ppmv. To assess Asia's hydrological sensitivity to rising CO₂ values two more simulations are
94 performed with 2 x PreInd CO₂ and 4 x PreInd CO₂ values (2piCO₂, 4piCO₂). The selected atmospheric
95 CO₂ values are within the suggested range during the EOT (450 – 1500 ppmv) (Pearson et al., 2009).
96 2piCO₂ values are below the threshold for Antarctic glaciation (700 – 840 ppmv) (DeConto et al., 2008)
97 and within the range of suggested values for Mid to Late Oligocene (Pagani et al., 2005), while 4piCO₂
98 values are consistent with Early Oligocene values (Pearson et al., 2009). Asian climate response to ice-
99 sheet coverage is explored through the NoGrIS and NoIce simulations (Fig. 1d), where the Greenland
100 ice sheet and both the whole Antarctic and Greenland ice-sheets are removed respectively.

101 Furthermore, to investigate the effects of the TP uplift on the Asian climate alone, we changed the
 102 regional topography of the TP to flat (FlatTP) (Fig. 1b) and to an Oligocene-like TP topography (Fig.1e).
 103 The Oligocene-like topography used in this set of experiments, uses Oligocene palaeoelevation
 104 estimates derived from Markwick (2007) implemented over the area 62.5°E and 125°E and 20°N –
 105 52.5°N. The Oligocene-like topography places the high-elevation TP at lower latitudes than present
 106 day, hence providing us with the opportunity to explore not only the response to elevation changes
 107 but also to the latitudinal distribution of high elevation topography. Finally, in the simulation called
 108 Combo we simulate a combination of the abovementioned changes in boundary conditions.
 109 Specifically, the TP topography is set to match the one of the Oligocene, CO₂ values are set to 2 x the
 110 PreInd level and there is no ice sheet coverage (Fig. 1c). All other boundary conditions, apart from
 111 those explicitly mentioned, are kept at pre-industrial values.



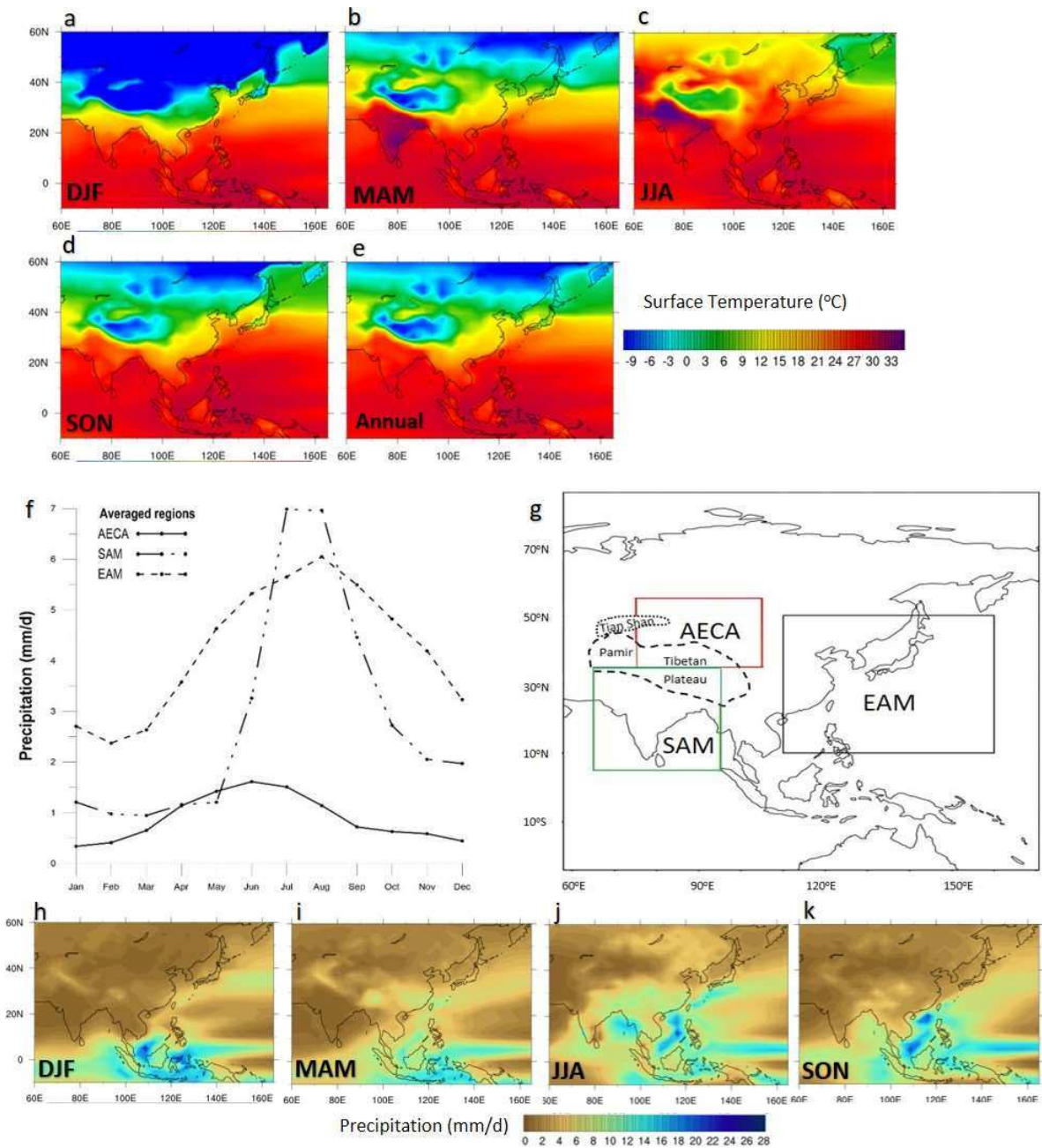
Experiment name	atm. CO ₂	Topography	Greenland ice sheet	ice	Antarctica ice sheet
PreInd	Pi (280 ppmv)	pi	modern extent		modern extent
2piCO2	2 x pi	pi	modern extent		modern extent
4piCO2	4 x pi	pi	modern extent		modern extent
NoGrIS	pi	pi	ice-free		modern extent
NoIce	pi	pi	ice-free	ice-free	
FlatTP	pi	flat TP	modern extent		modern extent
OligTP	pi	Oligocene TP	modern extent		modern extent
C Combo	2 x pi	Oligocene TP	ice-free	ice-free	ice-free



113 **Fig. 1:** Boundary conditions for the simulations in this study: a) Pre-industrial topography, b) Flat Tibetan Plateau (TP), d) No
114 ice Greenland and Antarctic, e) Elevation anomaly between OligTP and PreInd experiments (PreInd – OligTP) (Elevation scales
115 are common only for a and d), c) boundary conditions used for all the experiments.

116 **2.3 Climatological variables and analysis techniques**

117 When considering the sensitivity of the Asian climate to different forcings, it is important to be aware
118 of the diversity of climates present in Asia. For example, the environmental conditions over South and
119 East Asia are dominated by the monsoons, while Central Asia is characterized by arid conditions.
120 Analysing variables over the whole of Asia can only provide a general view of the pattern and
121 magnitude of change, but the underlying causalities and mechanisms cannot be easily understood
122 without studying the three main monsoonal systems of Asia individually (Fig. 2j). The first step is to
123 spatially define the monsoonal domain. In general, different studies have used different extents for
124 the monsoon domain according to the variable they focus on. For example, Parthasarathy et al. (1994)
125 used the seasonally averaged precipitation over only the Indian subcontinent to develop the All-Indian
126 Summer Rainfall index. Webster and Yang (1992) studying the wind shear and the Outgoing Longwave
127 Radiation (OLR) selected the domain from 0°-20° N, 40°-110° E. Wang et al. (2008) defined an East
128 Asian – Western North Pacific index extending from 5° – 15° N, 90° – 130° E and from 20° – 30° N to
129 110° – 140° E. For the purposes of this study we focus on the monsoons over Asia during boreal
130 summer. Namely we study the South Asian Monsoon (SAM) and the East Asian Monsoon (EAM)
131 separately, as they differ in both climatology and variability (Cherchi et al., 2011). Using the domains
132 as defined by Webster et al. (1998) and Wang (2005) for the SAM and EAM respectively, we averaged
133 the monthly precipitation over these regions in an effort to not only assess the monsoonal change,
134 but also the climate response over Asia under non-monsoonal circulation. Finally, in order to study
135 the forcings that drive aridity over Central Asia we also analyse the same variables for the Arid East
136 Central Asia (AECA) region located at 75° – 105° E, 35° – 55° N (Hong et al., 2014) (Fig. 2j).



137

138 **Fig. 2:** a–e: Seasonal and annual surface temperature over the Asian continent and surrounding oceans. a) December –
 139 February (DJF), b) March – May (MAM), c) June – August (JJA) and d) September – November (SON), e) Annual mean

140 surface temperature f) simulated PreInd annual precipitation cycle for the Arid East Central Asia (AECA, solid line), the

141 South Asian Monsoon region (SAM, dash/dot line) and the East Asian Monsoon (EAM, dashed line), h-k: Seasonal simulated

142 PreInd precipitation over the Asian continent and surrounding oceans, g) Regions selected to study each system's response

143 to boundary condition changes. Green box: SAM, red box: AECA and black box: EAM regions. The map also shows the

144 spatial extent of the TP, Tian Shan and Pamir orogens.

145

146 **3. Results**

147 **3.1 Control simulation (annual precipitation and seasonal surface temperature)**

148 The East Indian Monsoon region receives most of its rainfall from June to September (Parthasarathy
149 et al., 1994). The annual precipitation (Fig. 2f-i) and temperature cycle (Fig. 2a-d) over the SAM region
150 is successfully simulated in the preindustrial control experiment. Surface temperature and
151 precipitation maximum values are reached during boreal summer (JJA) (Fig. 2h). During boreal winter
152 (Fig. 2f), precipitation is focused around the equator and to the South. During spring (Fig. 2g),
153 maximum precipitation migrates to the northeast towards the eastern part of China. In JJA
154 precipitation is located in the tropical zone, around 20°N and as the summer gives way to autumn,
155 precipitation is retreating away from the continental Asia and towards the Indian and Pacific Ocean
156 (Fig.2i).

157 The EAM region is wetter throughout the year in comparison to the AECA and SAM regions (Fig. 2e).
158 For all three regions the driest months are during boreal winter after which we observe an abrupt
159 monsoon onset and a subsequent increase until peak rainfall is reached in late spring/early summer
160 (for the AECA), and summer for SAM and EAM regions. (Fig.2e). The coldest period over Asia is during
161 boreal winter with negative values reached in the temperate zone. However, the tropics and
162 subtropics are constantly warm with temperatures higher than 25°C (Fig. 2a-d). The only season with
163 surface temperatures over Asia always above 0°C is the boreal summer (Fig. 2c).

164 **3.2 Impact of changing boundary conditions on the South Asian Monsoon**

165 Analysis of the annual precipitation cycle over the SAM region for each of the experiments reveals a
166 variety of responses to the different boundary condition changes (Fig. 3a-b) applied within the climate
167 model. All simulations, except for the FlatTP, yield maximum precipitation values during July and
168 August (Fig. 3a). After a doubling of atmospheric CO₂ July is no longer the month with the highest
169 rainfall as values for August increase by 20% making August the month with the highest contribution
170 to annual precipitation (Fig. 3b). However, this is not the highest increase observed in the 2piCO₂

171 simulation as May precipitation over the SAM is 23% higher than in the PreInd. Quadrupling of CO₂
172 results in an increase from May until November with the highest increase simulated reaching 88% in
173 May (Fig. 3b). Similarly to the 2piCO₂ simulation, from January to April the model simulates a decrease
174 of up to 37% (Fig. 3b). The only distinction between the double and quadruple CO₂ annual cycle
175 patterns occurs in the monsoonal months. Specifically, during June and July the 2piCO₂ precipitation
176 is lower than the PreInd, whilst in 4piCO₂ the same months are wetter by up to 35% (Fig. 3b). The
177 removal of ice sheets plays a more important role over the SAM region under non-monsoonal
178 circulation. Specifically, the months of Oct-Feb are wetter and during May (the month marking the
179 monsoonal onset), the model simulates the largest change in precipitation indicating a more abrupt
180 transition from non-monsoonal to monsoonal conditions (Fig. 3a-b).

181 Flattening of the TP changes the month with the highest precipitation values from July-August to May,
182 but this is not the only notable change. Precipitation during January to April is minimal amongst all
183 simulations (-70% the PreInd), accounting for the driest non-monsoonal months simulated (Fig. 3b).
184 May and June receive more precipitation in the FlatTP simulation with higher values than the PreInd.
185 However, the monsoonal months in the FlatTP simulation are generally drier with precipitation from
186 July-September being the lower in comparison to all the other simulations (Fig. 3a). With the TP set to
187 an Oligocene-like elevation, the most substantial change is predicted for May and June with
188 precipitation increasing by more than 200% and 70% respectively (Fig.3b). With that increase in
189 magnitude May is now contributing more than 10% in the annual rainfall indicating a steeper
190 transition from non-monsoonal to monsoonal circulation (Fig.3a).

191 Simulated seasonal and annual temperature changes in response to altered boundary conditions do
192 not show the same variations as precipitation (Fig. 4, S1, S2). The 2piCO₂ and 4piCO₂ simulations
193 result in a higher annual surface temperature by up to 6° and 12°C respectively over the whole Asian
194 continent and surrounding oceans (Fig. 4 a,b;). The SAM region becomes cooler by up to 1.5°C in the
195 NoGrIS simulation with the surface temperature over the Bay of Bengal and the Northern part of the
196 Indian Ocean showing almost no change compared to the PreInd (change less than 0.5°C) (Fig. 4c).

197 Removal of both the Greenland and Antarctic ice sheets leads to a cooling over the SAM region (Fig.
198 4d). The Indian landmass cools by up to 3°C. However, the southern flanks of the Himalayas experience
199 higher temperatures of the same magnitude as the decrease compared to the PreInd (Fig. 4d). FlatTP
200 simulated surface temperature is higher than the PreInd by 4°C over India, whilst the southern flanks
201 of the Himalayas reach the highest simulated temperature with +13°C compared to the PreInd (Fig.
202 4e). For the SAM region the TP removal raises the surface temperature. The Bay of Bengal and the
203 northernmost part of the Indian Ocean are simulated to have lower temperatures (up to -2°C
204 compared to the PreInd) leading to a higher land – sea thermal contrast (Fig.4e). The Bay of Bengal
205 and Indian Ocean in an Oligocene-like elevation setting show almost no change compared to the
206 PreInd, while the Northern and Southern part of the Indian subcontinent cools by up to 9°C (Fig. 4f).
207 Finally, in the Combo simulation the SAM region yields higher temperatures in both the Indian
208 continent and the surrounding seas with a narrow strip of lower temperatures of about 5°C, parallel
209 to the TP (Fig. 4g).

210 **3.3 Impact of changing boundary conditions on the East Asian Monsoon**

211 Doubling of atmospheric CO₂ produces wetter conditions from May to September by up to 18%, while
212 non-monsoonal months experience small fluctuations that do not exceed 8% of the PreInd (Fig. 3c-d).
213 Quadrupling of the CO₂ leads to higher monsoon related precipitation (May - September) with a
214 notably high percentage increase (30%), while the non-monsoonal months (October to April) show
215 changes that are less than 10% of the PreInd values (Fig. 3d). Under ice free conditions, the EAM region
216 receives more precipitation during non-monsoonal months by up to 17% for February, while the
217 monsoon related precipitation shows a slight decrease throughout the monsoonal period by up to
218 6.5% of the PreInd value (Fig. 3d). In the FlatTP simulation, precipitation is constantly lower than the
219 PreInd with the exception of July and August where the model predicts a slight increase that does not
220 exceed 2.5%, and thus can be considered negligible (Fig. 3d). Oligocene-like elevation for the TP results
221 in the same pattern as in the FlatTP but with precipitation changes (relative to the PreInd) being less
222 than in the FlatTP simulation. Specifically, non-monsoonal months show the highest decrease, by up

223 to 27%, whereas as in the FlatTP simulation the change during the monsoonal months can also be
224 considered negligible with values of less than +3% (Fig. 3d). Where all changes have been made
225 simultaneously (Combo) the resulting change in precipitation is more complex. Specifically, boreal
226 winter and spring (December – May) experiences significantly lower precipitation (by up to -52%),
227 even though precipitation for the monsoonal months (from July to September) shows higher values
228 (the increase does not exceed 10% of the PreInd value) (Fig.3d).

229 For the EAM region, surface temperature under higher CO₂ values is almost 6°C greater over the
230 Eastern Asian landmass, while the increase in the north-eastern Pacific is higher than PreInd by 2-3°C
231 (Fig. 4a). In 4piCO₂, the model simulates a constant increase in temperature with values reaching
232 double the 2piCO₂ values, and the Pacific Ocean cooler than the Eastern Asia landmass (Fig. 4b).
233 Removing the Greenland ice sheet leads to lower temperatures for the whole EAM region with a
234 temperature decrease of up to -3°C compared to the PreInd (Fig. 4c). Nolge conditions seem to mostly
235 affect the northern and western part of the EAM region, while the Pacific Ocean experiences almost
236 no change except for some small areas in proximity to the Eastern Asian landmass (Fig. 4d). The
237 flattening of the TP generates elevated surface temperatures by up to 7°C in the mid and high latitudes
238 of the EAM region, but the Pacific Ocean at 20°N shows with a cooling of up to 2.5°C (Fig. 4e). The
239 OligTP simulation produces lower temperatures over the majority of the EAM region with values up
240 to 2°C. However, the northern part of the EAM and parts of the Asian landmass are warmer by up to
241 2°C compared to the PreInd experiment (Fig. 4f). The EAM region for the Combo simulation yields
242 higher temperatures by up to 6°C. The highest temperature changes are located in eastern China and
243 around Japan while the surface temperature increases over the Pacific Ocean do not surpass 3°C
244 (Fig.4g).

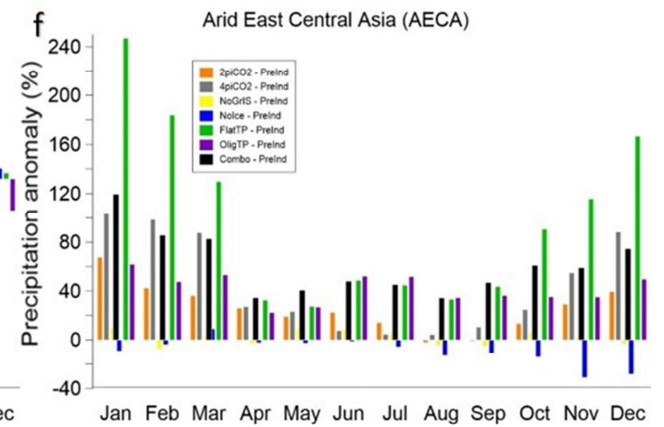
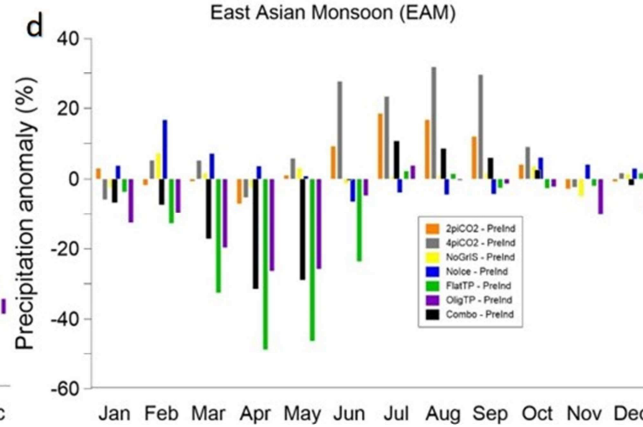
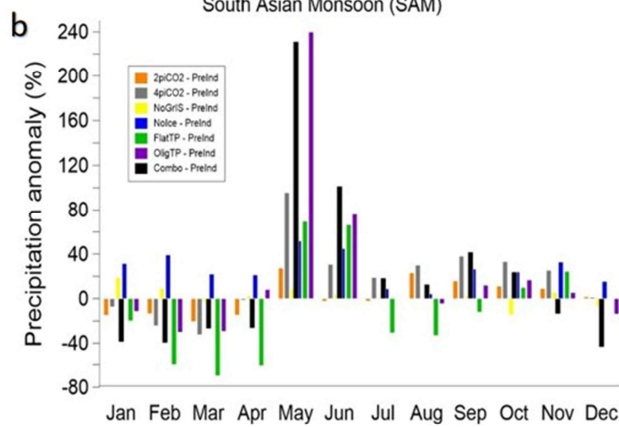
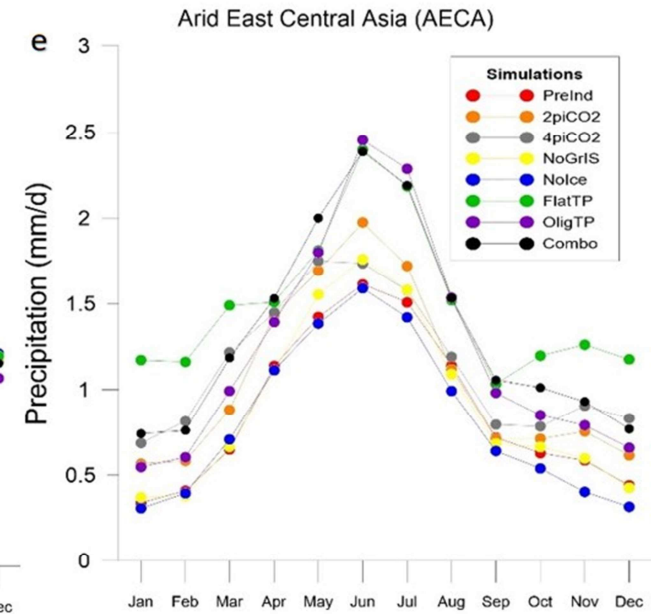
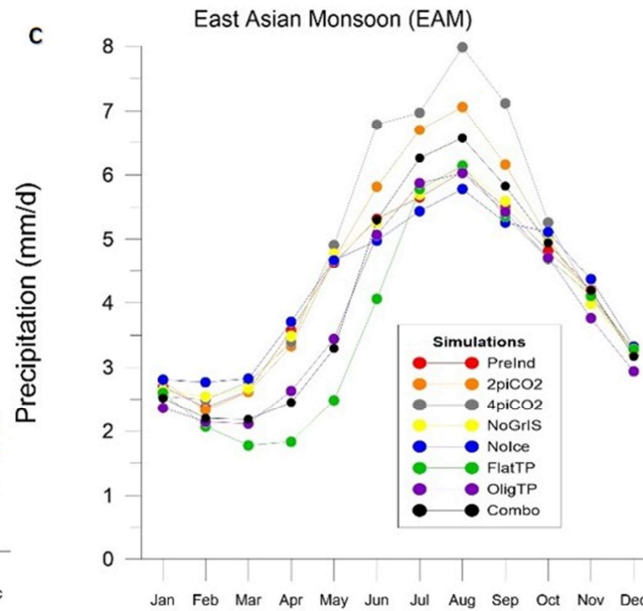
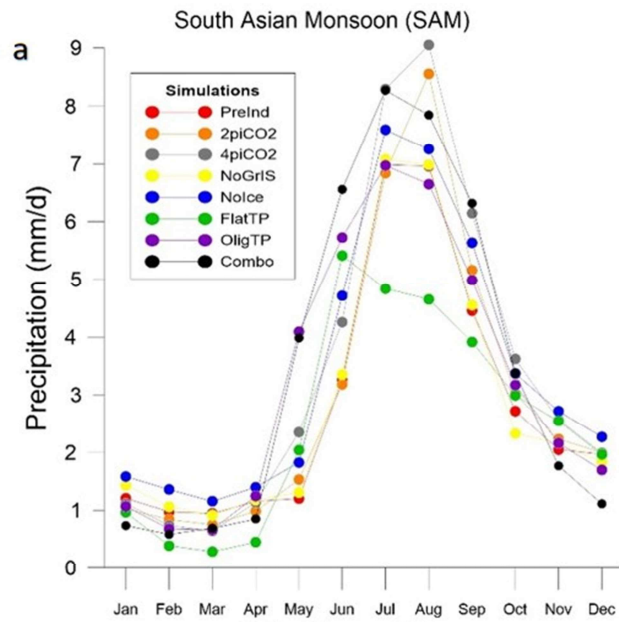
245 **3.4 Impact of changing boundary conditions on the Arid East Central Asia**

246 The response of the AECA to boundary condition changes is distinctly different to the regions analysed
247 previously. In general, all of the simulations, except for the Nolge, produce wetter conditions over the

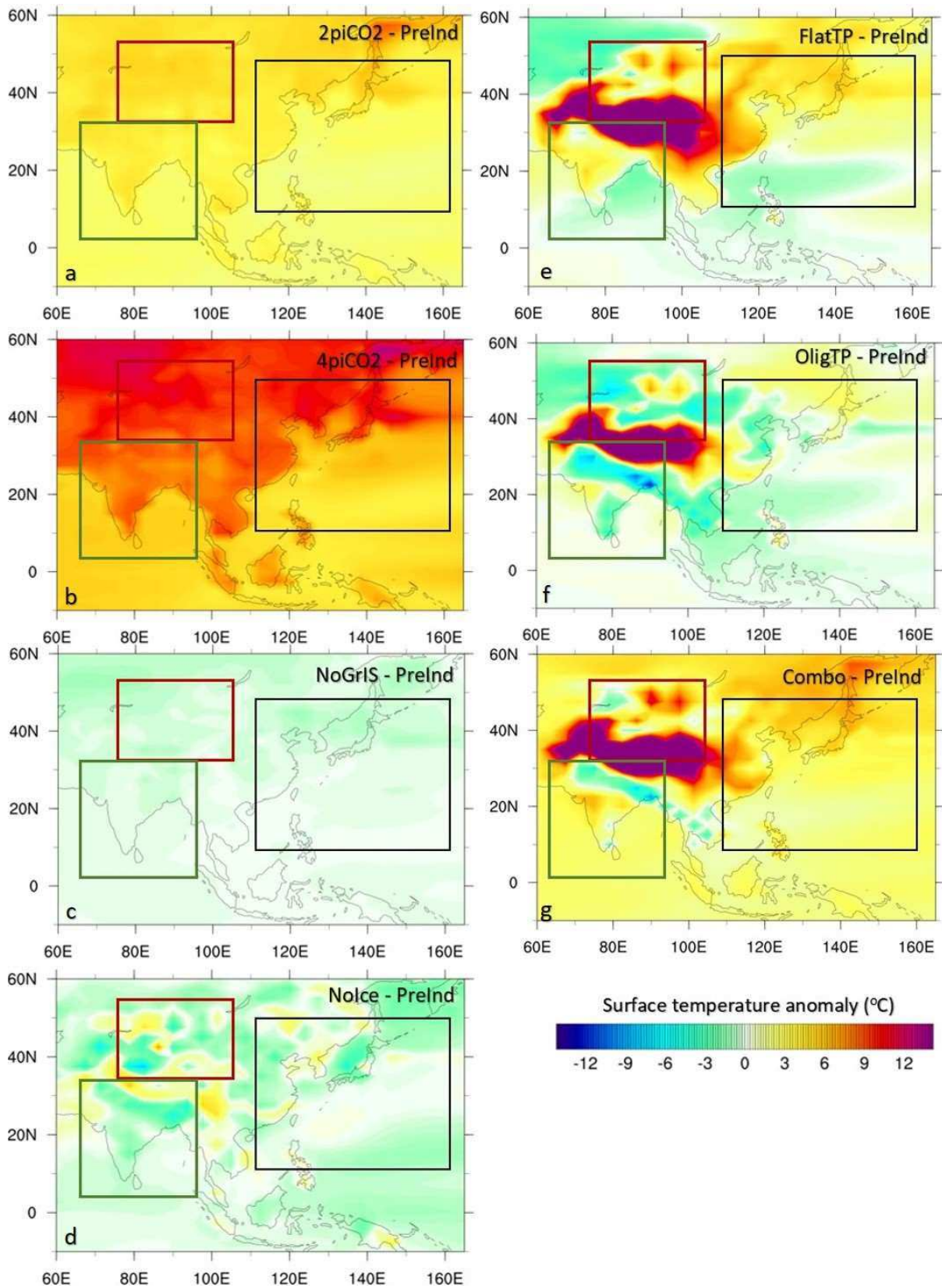
248 AECA with the largest change seen in the experiment FlatTP (Fig. 3e-f). Doubling atmospheric CO₂
249 increases the precipitation throughout the year, but the largest increase is simulated during non-
250 monsoonal months (i.e. Jan, Feb) (Fig. 3e). Precipitation is also constantly higher in the 4piCO₂ during
251 all simulated months. From October to April Central Asia receives more precipitation (up to 100%
252 more than the PreInd) (Fig. 3f). Removal of the Greenland ice-sheet leaves the AECA almost unaffected
253 with precipitation difference from the PreInd never exceeding 10% (Fig. 3f). Completely removing the
254 ice-sheets from both Greenland and Antarctica (NoIce) produces a decrease in precipitation
255 throughout the year (Fig. 3e). Specifically, even though the months marking the monsoonal onset do
256 not show large differences from the PreInd, from July to August the model simulates a constant
257 decrease in precipitation that reaches its largest value during November (-31%) (Fig. 3f). The FlatTP
258 simulation produces the largest increase in precipitation for the AECA region, with non-monsoonal
259 months experiencing a large increase in rainfall with values more than two times the PreInd (Fig. 3f).
260 Lower elevation of the TP (OligTP) also leads to increased precipitation throughout the year, but in
261 contrast to the FlatTP simulation the increase is almost uniform throughout the whole year with an
262 average of 42% (Fig. 3f). This uniform increase is not seen in the Combo simulation. Non monsoonal
263 months receive more rainfall than the PreInd, which in some cases is greater than 100% the PreInd
264 (Fig. 3f).

265 Surface temperature changes over the AECA region show the highest increase and decrease for any
266 of the regions considered (Fig. 4). In 2piCO₂, the AECA region becomes warmer by 6°C on average,
267 and this increases to 12°C when CO₂ is quadrupled (Fig. 4a-b). Even though removing the Greenland
268 ice-sheet generates a generally warmer climate, the AECA region shows only small fluctuations in
269 temperature (Fig. 4c). The removal of both ice-sheets creates a complex pattern of surface
270 temperature response with some areas experiencing higher than the PreInd temperatures and others
271 lower (Fig. 4d). The magnitude of this change is ±5°C. Flattening of the TP generates the highest change
272 in the AECA region (Fig. 4e). Notably the region over the TP is warmer by up to 13°C, while the rest of
273 the AECA region shows an increase of up to 8°C. The NW part of the AECA (North of the Tian Shan

274 orogen) is cooler than the PreInd by at least 3°C. Oligocene–like topography raises the surface
275 temperature over the TP just as in the FlatTP, with the main difference being the spatial extent of this
276 warming (Fig. 4f). In this case the region north of the TP is cooler by up to 5°C, but in the north-eastern
277 part of the AECA there is significant region with higher temperatures (Fig. 4f). Finally, the combination
278 of the boundary condition changes (Combo) generates much warmer conditions in the AECA
279 (compared to the PreInd) (Fig. 4g). Even though the TP is set to Oligocene elevation the spatial extent
280 of the high surface temperatures over the TP is similar to the FlatTP simulation, while to the north of
281 the AECA temperatures are also significantly higher (more than 8°C) (Fig. 4g).



283 **Fig. 3:** Averaged simulated monthly precipitation (mm/d) over: a) the SAM c) the EAM and e) the AECA regions and simulated percentage increase (positive values) and decrease (negative
284 values) in precipitation for each experiment compared to the pre-industrial control experiment for b) the SAM d) the EAM and f) the AECA regions.



285

286

Fig. 4: Simulated annual surface temperature anomaly (°C) compared to the PreInd for: a) 2piCO2, b) 4piCO2, c) NoGrIS, d)

287

Nolce, e) FlatTP, f) OligTP and g) Combo simulation. The SAM, EAM and AECA regions are denoted by the green, black and

288

red boxes respectively.

289 **4. Discussion**

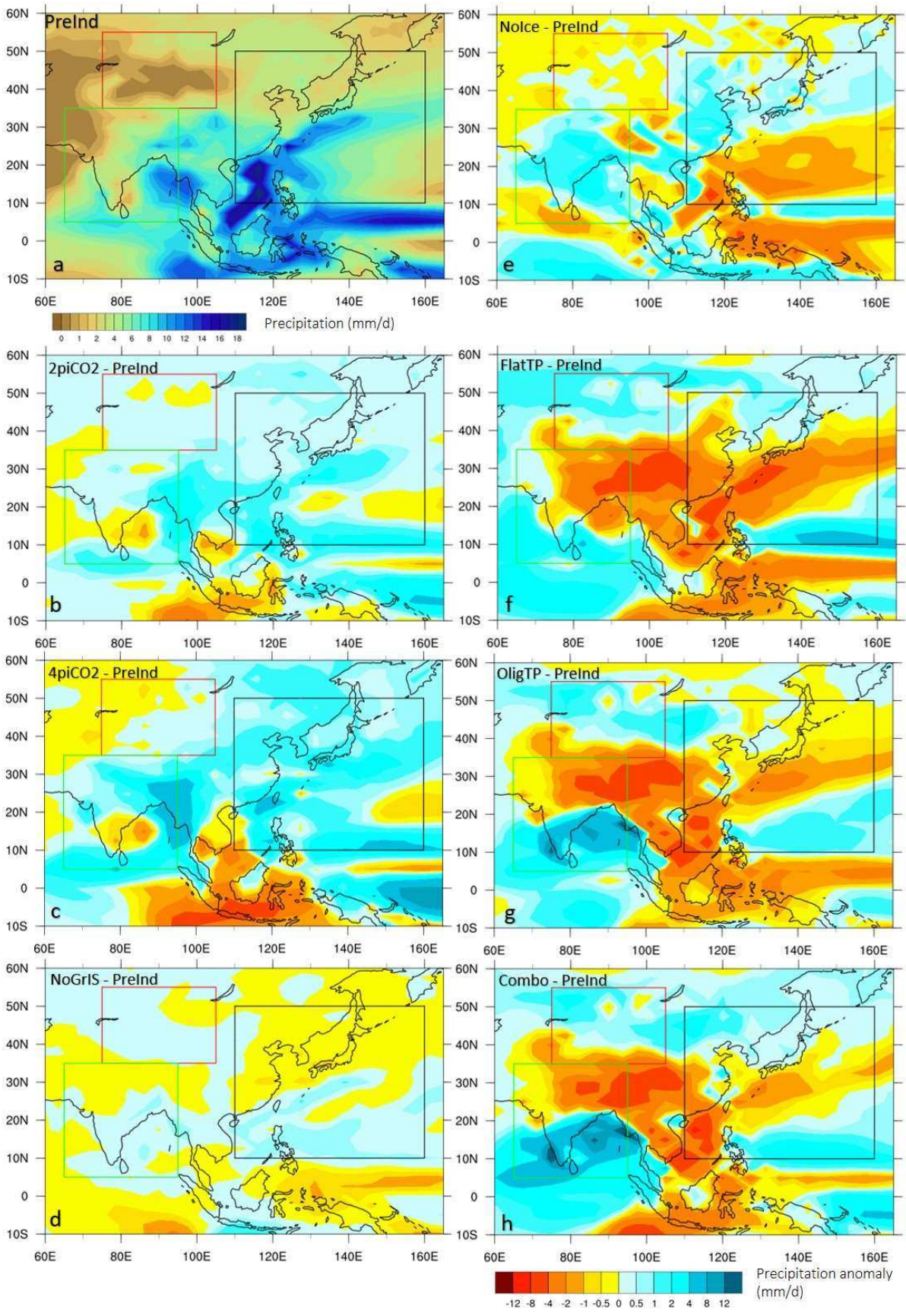
290 **4.1 Boundary condition effects on climate and the monsoons**

291 Increased atmospheric CO₂ leads to enhanced heating of the Earth (Fig. 4a-b) (IPCC, 2001) and its
292 radiative forcing alters the atmospheric heat distribution and hydrological cycle (Cherchi et al., 2011).
293 The land-sea thermal contrast is the basic forcing mechanism for the SAM, and an increased contrast
294 can be expected to strengthen monsoon circulation (Turner et al., 2011).

295 The modelled monsoonal precipitation under elevated CO₂ concentrations increases over the SAM
296 and EAM regions, consistent with previous modelling studies (i.e. Annamalai et al., 2007), and is a
297 product of enhanced moisture transport from a warmer Indian Ocean towards the Asian continent
298 (Ueda et al., 2006). Our results indicate increased monsoonal precipitation for both the 2piCO₂ and
299 4piCO₂ simulations for the SAM and EAM regions following the “wet-get-wetter response” (Held and
300 Soden, 2006; Fig. 5b-c). This suggests that precipitation in regions that already have strong moisture
301 convergence and precipitation will be enhanced (Chou et al., 2009). During the monsoonal months,
302 the moisture availability over the SAM and EAM shows an increase consistent with higher precipitation
303 (Fig. 7b-c), while the high pressure systems over the AECA and East EAM regions retreat to the West
304 and East respectively (Fig. 9b-c). In the PreInd simulation the low pressure system associated with
305 precipitation is located above the TP, while, in 2piCO₂, the low pressure system expands to the North
306 and East of the TP (Fig. 9b). Additionally, the precipitation simulated over the SAM region in 4piCO₂,
307 where surface temperature reaches higher values throughout the year (Fig. 4b), shows a precipitation
308 enhancement following the traditional monsoon period suggesting a possible extension in the
309 duration of the monsoon (Fig. 3a). At the same time the beginning of the monsoonal period (May)
310 receives more precipitation leading to a steeper transition from non-monsoonal to monsoonal
311 conditions (Fig. 3a, 6). The AECA in both the 2piCO₂ and 4piCO₂ simulations does not receive more
312 precipitation under monsoonal circulation, while Precipitation – Evaporation (P – E) shows a localized
313 decrease without significant change in the pattern from the PreInd (Fig. 7b-c). Under non-monsoonal

314 circulation, moisture availability over the SAM and EAM regions shifts from positive (during the
315 monsoons) to negative, even over the landmass of the EAM region where precipitation shows an
316 increase (Fig. 8b-c). However, the adjacent Indian Ocean now shows increased moisture availability.
317 Interestingly, during non-monsoonal months the AECA receives more precipitation by at least 0.5
318 mm/d (for 2piCO₂) up to 1 mm/d (for 4piCO₂), which in an arid area corresponds to an increase of
319 34% and 71% respectively (Fig. 6b-c). Correspondingly, from Oct – Apr, P – E over the AECA indicates
320 enhanced moisture availability and therefore less arid conditions with increasing CO₂ values (Fig. 8b-
321 c). During the non-monsoon months the high pressure system over Central Asia shrinks and migrates
322 northwards producing lower pressure over the AECA region compared to the PreInd (Fig. 10b-c).

323 The removal of the Greenland ice-sheet creates only localised changes and does not seem to affect
324 Asian climate in a significant way (Fig. 5d). In Nolce the simulated surface temperature is lower than
325 in the PreInd for most of the SAM, EAM and AECA regions (Fig. 4d). However, monsoonal precipitation
326 exceeds the PreInd demonstrating that the land-sea thermal contrast is not the only driver of monsoon
327 intensification. This can be explained if we see the monsoon as a manifestation of the ITCZ seasonal
328 migration in response to the positioning of the maximum insolation (Gadgil, 2003). Our simulation
329 reveals that the removal of the Antarctic ice-sheet yields a warmer equatorial Indian Ocean during
330 boreal summer, leading to a migration of the ITCZ to the North towards inland India. Furthermore,
331 reinforced southerlies and westerlies carry moisture from the North part of the Indian Ocean and Bay
332 of Bengal towards inland south Asia increasing the moisture availability, not only over the SAM region
333 but also over the landmass of the EAM and the area located in between those systems (Fig. 7e, 9e).



334

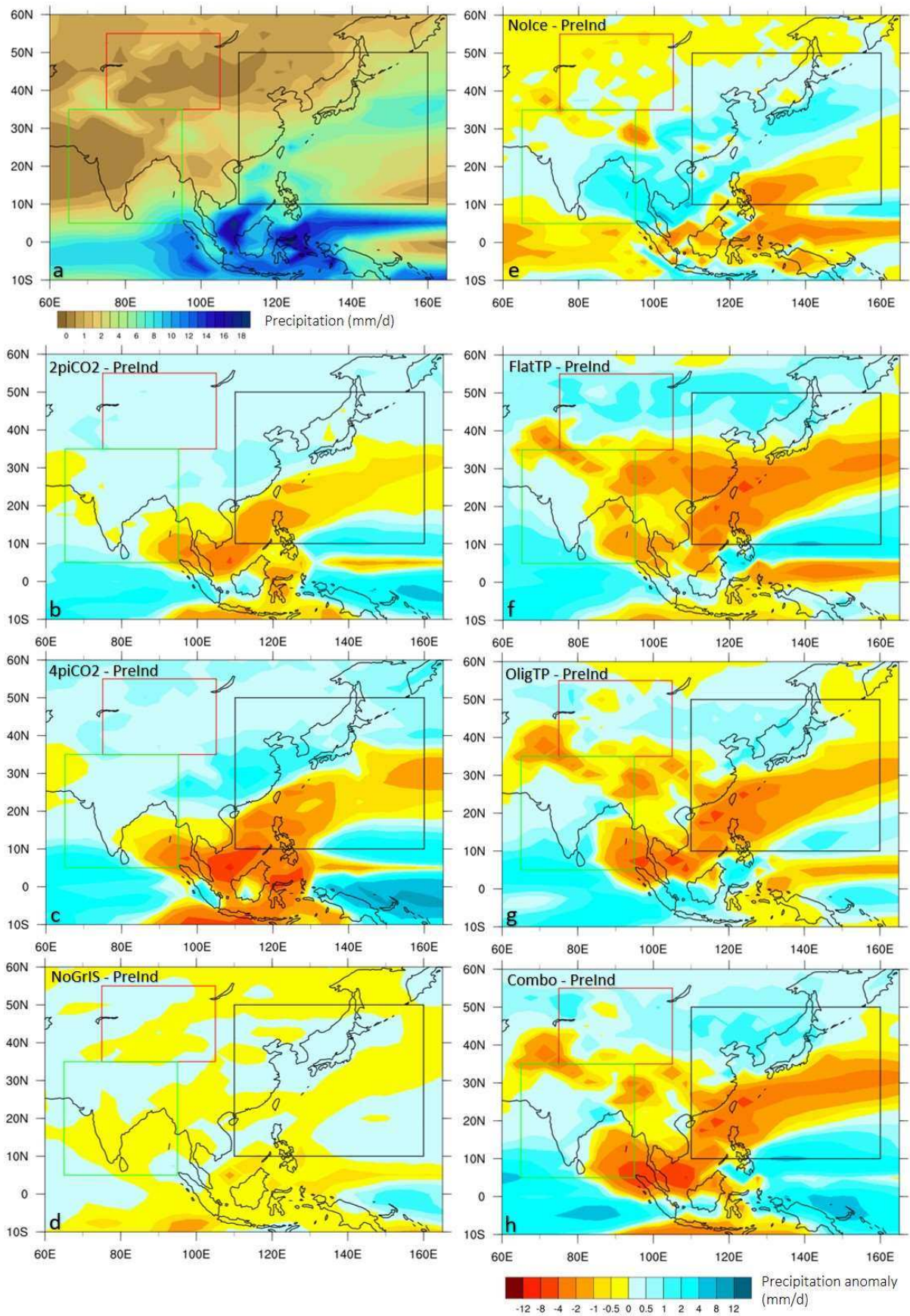
335

Fig. 5: a) Simulated monsoonal (May – September) precipitation (mm/d) for the PreInd. Simulated monsoonal precipitation

336

anomaly (mm/d) compared to the PreInd for: b) 2piCO₂, c) 4piCO₂, d) NoGrIS, e) Nolce, f) FlatTP, g) OligTP and h) Combo

337 simulations. The SAM, EAM and AECA regions are denoted by the green, black and red boxes respectively.



338

339 **Fig. 6:** a) Simulated non-monsoonal (October – April) precipitation (mm/d) for the PreInd. Simulated non-monsoonal
340 precipitation anomaly (mm/d) compared to the PreInd for: b) 2piCO₂, c) 4piCO₂, d) NoGrIS, e) Nolce, f) FlatTP, g) OligTP and
341 h) Combo simulations. The SAM, EAM and AECA regions are denoted by the green, black and red boxes respectively.

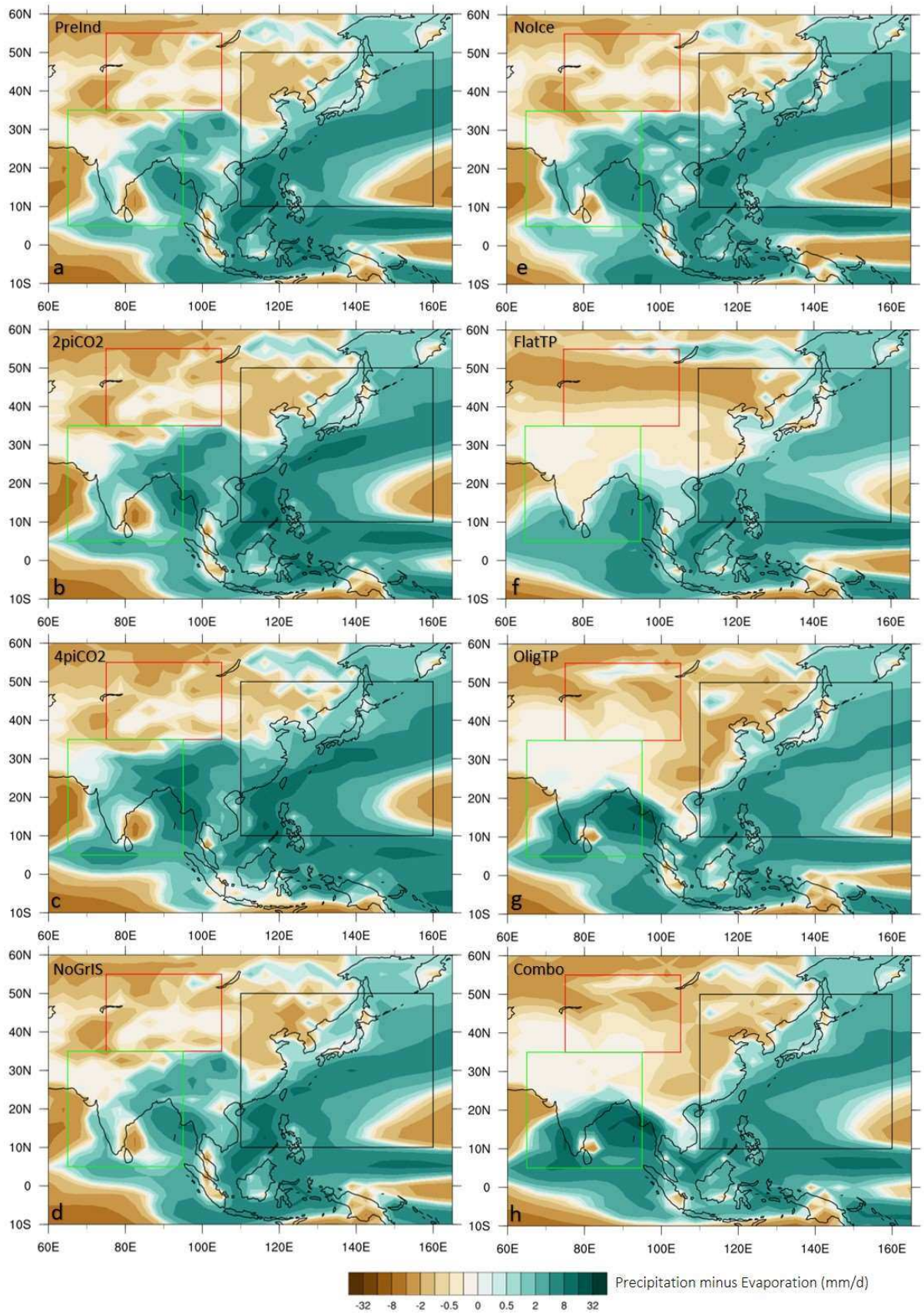
342 During the monsoonal months, moisture availability and mean sea level pressure does not show a
343 large difference from the PreInd (Fig. 7e, 9e) for all the three regions.

344 With the TP flattened temperatures over the land are constantly higher throughout the year (Fig. 4e),
345 however, in opposition to the 2piCO₂ and 4piCO₂, where the temperature change is almost uniform
346 above the Asian landmass and precipitation was intensified, in FlatTP the Asian monsoon related
347 precipitation becomes weaker (Fig. 3a-d). Precipitation rates are the lowest amongst all simulations
348 performed, and for the first time we observe a shift of this magnitude in the moisture availability over
349 Asia (Fig. 7,8 f). This can be attributed to the up to 13°C higher than PreInd temperatures over the
350 whole Asian landmass (Fig. 4e). Notably, during monsoonal months, the AECA reaches the lowest
351 values of P – E with a change not only in the magnitude but more importantly in its spatial distribution
352 (Fig. 7f). The position of the negative moisture availability zone falls between the two low pressure
353 systems that are created over Asia when the TP is removed (Fig. 9f). With no orographic barrier in
354 place, atmospheric pressure systems over Asia from Oct – Apr is centred at 50°N while in the FlatTP
355 simulation, is centred at 35°N, with less spatial extent and lower values (Fig. 10f). Nevertheless, during
356 non-monsoonal months the AECA shows positive moisture availability and as a result precipitation
357 reaches the highest percentage of increase compared to the PreInd (on average 144% increase from
358 Oct-Apr) (Fig.8f). This increase can be attributed to the change in moisture availability as westerlies
359 are enhanced over the AECA since the high pressure system is displaced to the South and the area of
360 the pressure change is within the AECA region (Fig. 10f).

361 In the OligTP simulation, the EAM region receives less precipitation than in PreInd indicating a weaker
362 monsoon where moisture availability from the adjacent water bodies is decreasing (Fig. 7g, 3c-d). With
363 the TP set to Oligocene elevation the SAM precipitation intensifies especially in the beginning of the
364 monsoon (May-June) (Fig. 3a). Wetter conditions are also simulated for the AECA that combined with

365 the different moisture availability and low pressure patterns (compared to the FlatTP), indicates that
366 there is a threshold to the TP elevation that is controlling the aridity and monsoon intensity (Fig. 7g,
367 9g) that was probably established after the Oligocene. Even under non-monsoonal circulation the
368 AECA is constantly wetter by up to 60% (Fig. 3f) also suggesting that the aridity over the region was
369 established after the Oligocene.

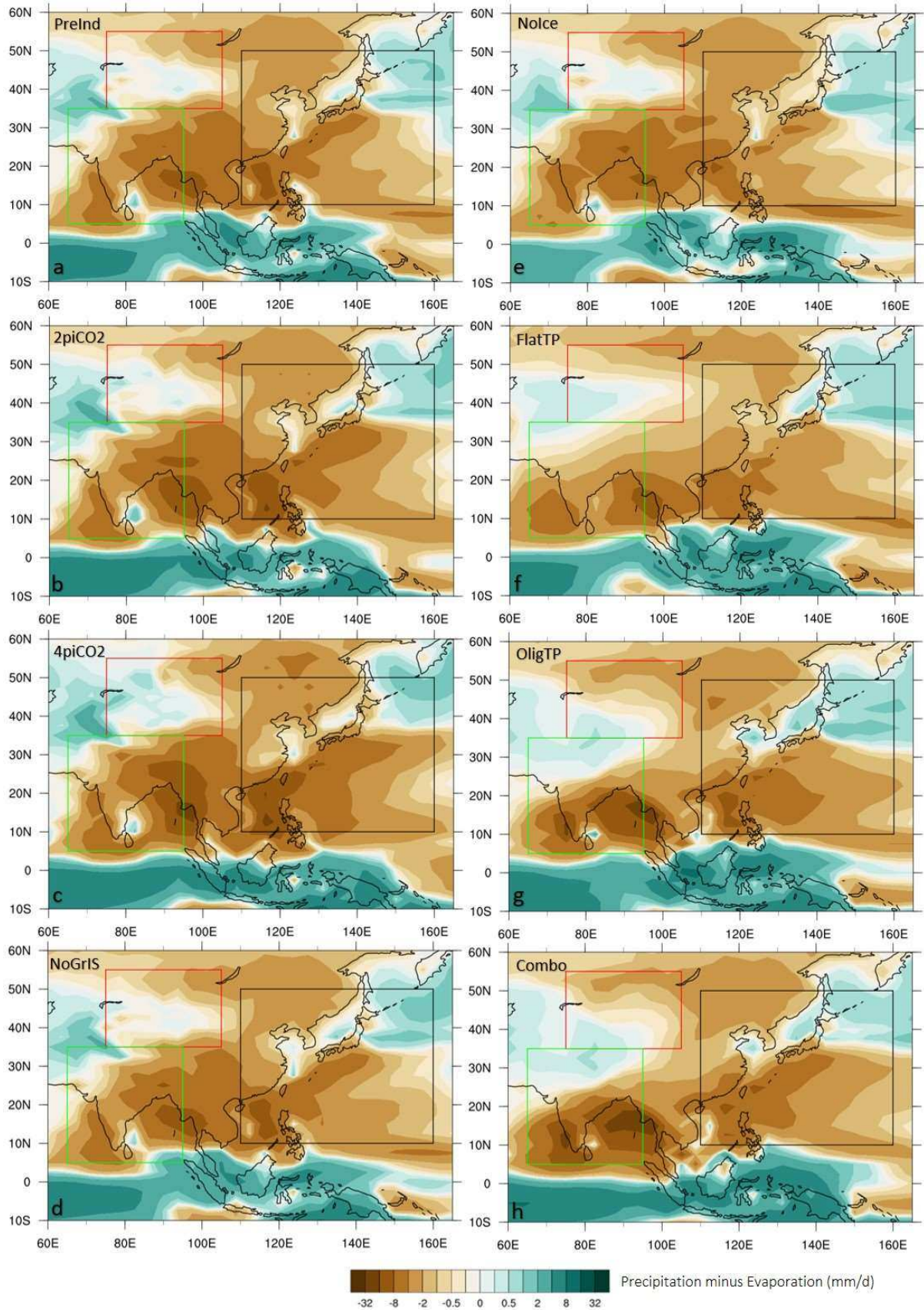
370 Finally, in the Combo simulation we observe that the simulated climate over Asia is mainly driven by
371 the topography change rather than the CO₂ increase or the ice-sheet formation (Fig. 7h, 8h).



372

373 **Fig. 7:** Simulated monsoonal (May – September) Precipitation – Evaporation (P – E) for: a) PreInd b) 2piCO₂, c) 4piCO₂, d)

374 NoGrIS, e) Nolce, f) FlatTP, g) OligTP and h) Combo simulations. The SAM, EAM and AECA regions are denoted by the green,
 375 black and red boxes respectively.



376

377 **Fig. 8:** Simulated non - monsoonal (October – April) Precipitation – Evaporation (P – E) for: a) PreInd b) 2piCO₂, c) 4piCO₂, d)
378 NoGrIS, e) NoIce, f) FlatTP, g) OligTP and h) Combo simulations. The SAM, EAM and AECA regions are denoted by the green,
379 black and red boxes respectively.

380 **4.2 Significance of results for understanding the development of aridity in Asia during the Cenozoic**

381 Changes in atmospheric CO₂ concentration, Antarctic ice-sheet coverage and TP elevation have all
382 been determined to be important drivers for the precipitation that the SAM and EAM regions receive,
383 not only during monsoonal months but during non-monsoonal months (Fig. 5, 6). Nevertheless, the
384 AECA region seems to remain unaffected by all changes to boundary conditions except for the TP
385 elevation for both monsoonal and non-monsoonal months. In a palaeoclimate context it has been well
386 established that the differential uplift of the TP, together with associated land/sea distribution
387 changes as well as the global cooling at the EOT, played a significant role in the climatic evolution of
388 the Asian continent in general. However, aridification of inland Asia is interpreted as a combination of
389 the global oceanic cooling and ice-sheet growth, the TP uplift and the retreat of the Paratethys Sea
390 (Bosboom et al., 2014a, b; Licht et al., 2014; Lippert et al., 2014). Our sensitivity experiments indicate
391 that the Asian aridification is solely controlled by the TP uplift rather than changes in CO₂ and ice-sheet
392 formation. From the precipitation and P – E results analysed in this study, it is clear that climate
393 reconstructions from proxy records can be challenging to interpret for a number of reasons. Firstly,
394 the nature of climate variability recorded by different proxies varies. Some proxy systems (either
395 physical/chemical or biological) may record annual environmental conditions averaged over long
396 timescales. Others may provide information useful to deduce seasonality and the relative strength of
397 the monsoons. So, understanding the nature of what proxy system is actually recording in the
398 environment will be central in any comparison to the climate model results shown here. Secondly,
399 given the spatially heterogeneity seen in our model results, the geographical location of any proxy
400 data record will be an important predetermining factor in the environmental reconstruction itself. For
401 example, during the monsoonal period in the 4piCO₂ experiment, the AECA region receives more
402 precipitation on average. However, the western part of the same region is drier compared to the

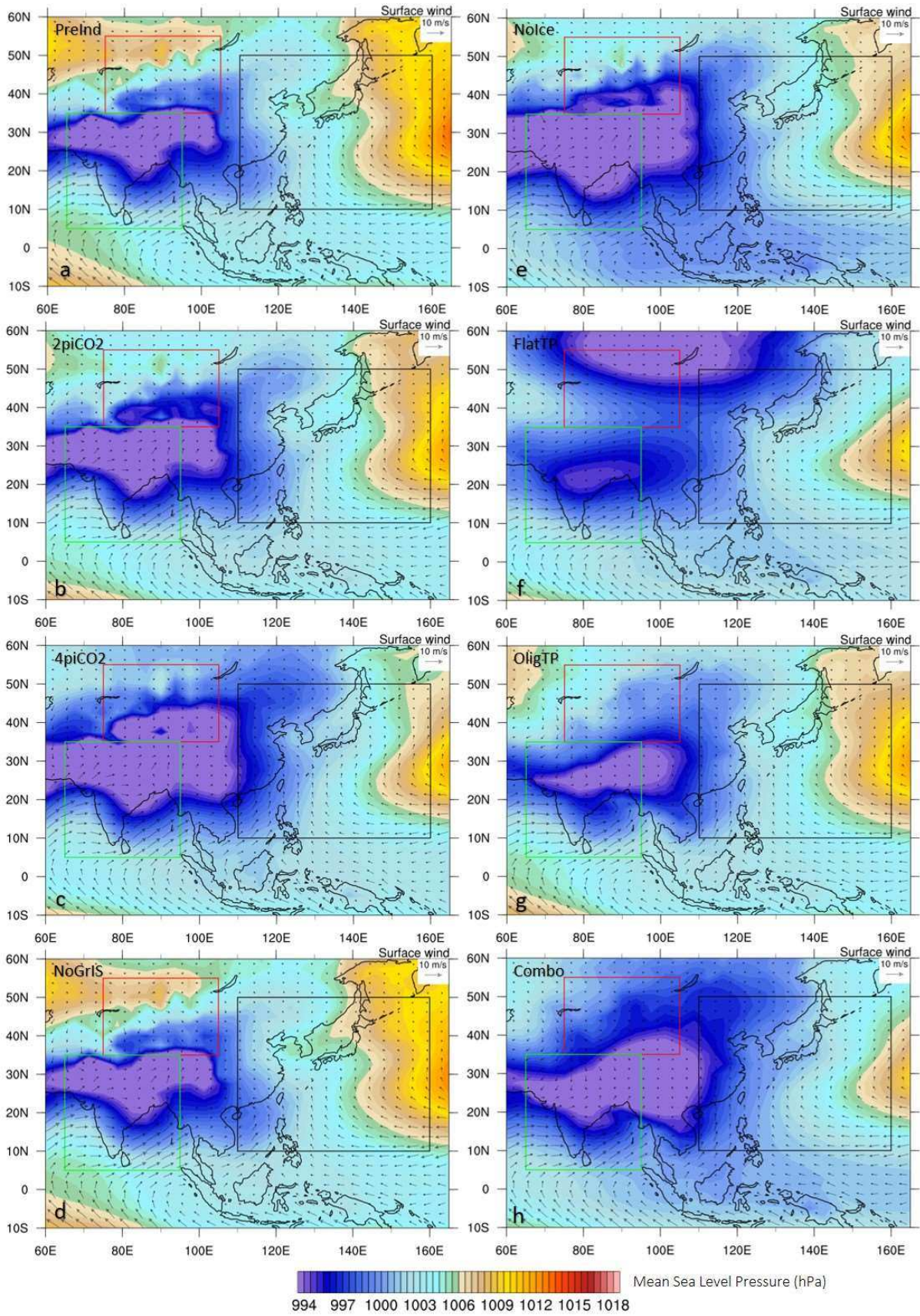
403 PreInd (Fig. 5c). Thus, extrapolation from a single proxy record from the west part of the AECA could
404 lead to a completely different environmental reconstruction than a proxy collected from the eastern
405 part of the same region. Finally, depending on what each proxy records (i.e. precipitation or P – E), the
406 most important driver can be different. Specifically, if one focusses on P – E in the EAM region for the
407 OligTP simulation during non-monsoonal months (Fig. 8g), we would expect to have greater moisture
408 availability in the environment. However, the results for precipitation alone (which declines relative
409 to the PreInd), would suggest otherwise (Fig. 6g).

410 Finally, in order to understand and decipher the forcings and underlying mechanisms that drove the
411 aridity and monsoonal circulation after the EOT in more detail, it is necessary to perform an additional
412 series of fully realistic Oligocene simulations, whereby the effect of individual boundary condition
413 changes can be fully factorized.

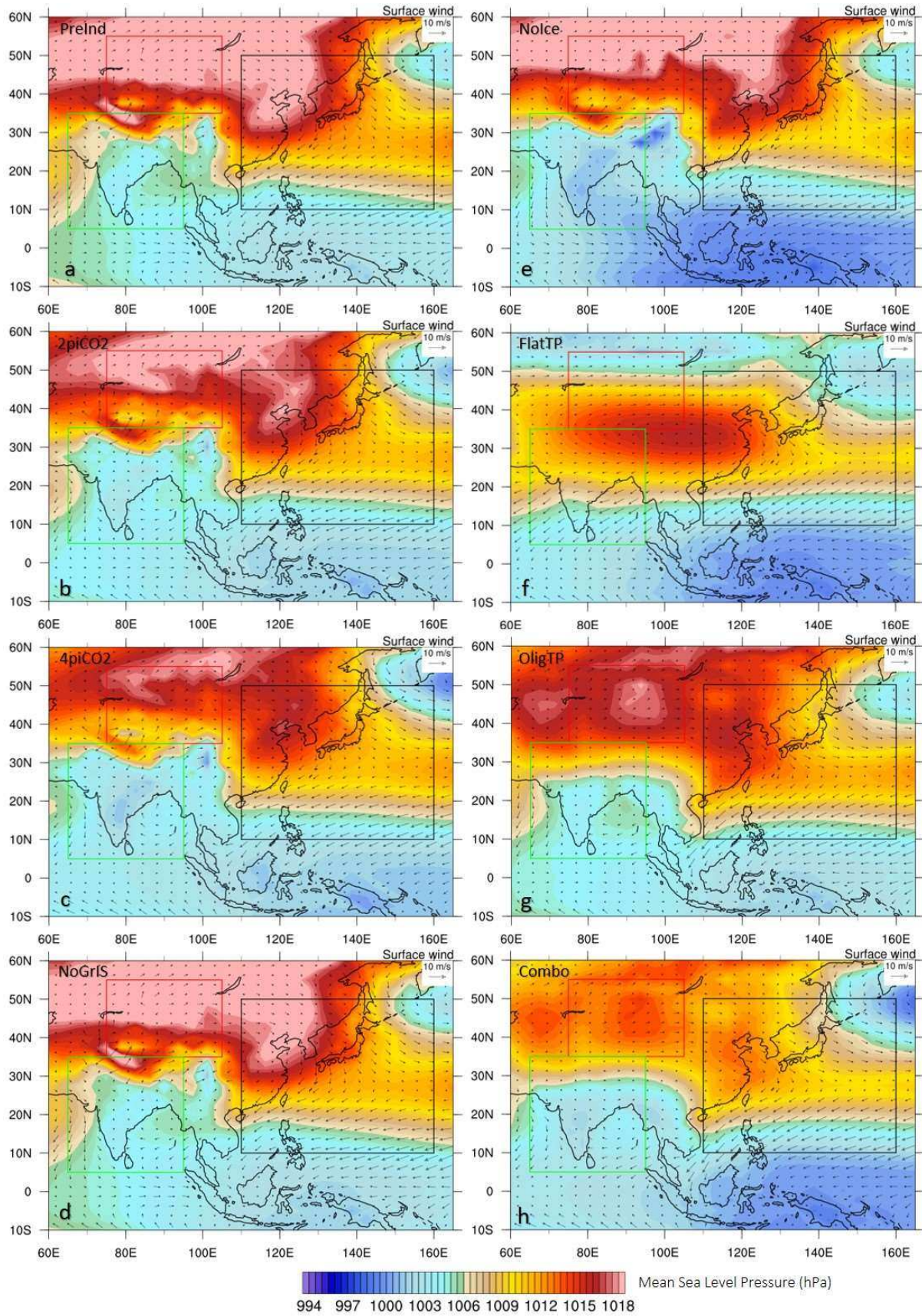
414 **Conclusions**

415 Using HadCM3, we attempt to determine the effect of three different factors to Asian climate (CO₂,
416 ice-sheet coverage and Tibetan Plateau uplift). Our model shows that CO₂ increase leads to enhanced
417 monsoonal precipitation for both the South Asian Monsoon and East Asian Monsoon and wetter
418 conditions over Arid East Central Asia throughout the year. The Greenland ice-sheet seems to not
419 affect Asian climate. However, the specification of Antarctic ice-sheet produces a wetter Arid East
420 Central Area, stronger South Asian Monsoon conditions but weaker East Asian Monsoon related
421 precipitation. Tibetan Plateau uplift corresponds to an intensified South Asian Monsoon but weakens
422 the East Asian Monsoon region's precipitation through the year. A combination of boundary condition
423 changes seems to follow the OligTP simulation's general pattern with wetter conditions over the Arid
424 East Central Asia, throughout the year, a steeper transition from non-monsoonal to monsoonal
425 precipitation for the South, and a weaker precipitation over East Asia during the boreal winter. This
426 suggests that the TP, even though other changes contribute, is the main driver for climatic change
427 over Asia. Finally, by studying different hydroclimatic parameters (i.e. Precipitation vs Precipitation –

428 Evaporation) we show that the relative significance of each forcing is dependent on the parameter
429 studied itself, a fact that should be taken into consideration when interpreting proxy records for
430 palaeoclimate reconstructions.



432 **Fig. 9:** Simulated monsoonal (May - September) Mean Sea Level Pressure and surface winds for: a) PreInd b) 2piCO₂, c)
433 4piCO₂, d) NoGrIS, e) Nolce, f) FlatTP, g) OligTP and h) Combo simulations. The SAM, EAM and AECA regions are denoted by
434 the green, black and red boxes respectively.



436 **Fig. 10:** Simulated non-monsoonal (October - April) Mean Sea Level Pressure and surface winds for: a) PreInd b) 2piCO₂, c)
437 4piCO₂, d) NoGrIS, e) Nolce, f) FlatTP, g) OligTP and h) Combo simulations. The SAM, EAM and AECA regions are denoted by
438 the green, black and red boxes respectively.

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