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Article

# Modelling Urban Housing Stocks for Building Energy Simulation using CityGML EnergyADE

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**Abstract:** Understanding the energy demand of a city's housing stock is an important focus for local and national administrations to identify strategies for reducing carbon emissions. Building energy simulation offers a promising approach to understand energy use and test plans to improve the efficiency of residential properties. As part of this, models of the urban stock must be created that accurately reflect its size, shape and composition. However, substantial effort is required in order to generate detailed urban scenes with the appropriate level of attribution suitable for spatially explicit simulation of large areas. Furthermore, the computational complexity of microsimulation of building energy necessitates consideration of approaches that reduce this processing overhead. We present a workflow to automatically generate 2.5D urban scenes for residential building energy simulation from UK mapping datasets. We describe modelling the geometry, the assignment of energy characteristics based upon a statistical model and adopt the CityGML EnergyADE schema which forms an important new and open standard for defining energy model information at the city-scale. We then demonstrate use of the resulting urban scenes for estimating heating demand using a spatially explicit building energy microsimulation tool, called CitySim+, and evaluate the effects of an off-the-shelf geometric simplification routine to reduce simulation computational complexity.

**Keywords:** city modelling; energy simulation; CityGML; EnergyADE; generalisation

## 1. Introduction

Analysing energy use in buildings is vital for supporting reductions in greenhouse gas emissions. In the UK, it is estimated that residential buildings account for almost a third (29%) of overall energy use (see BEIS [1], Chart 1.04). Increasing the scale of analysis from individual buildings to urban stocks of buildings brings a number of benefits: (i) economies of scale in the specification of energy systems, (ii) energetic (e.g., radiative) interactions between buildings may be handled, so improving predictive accuracy and (iii) energy system interactions may be handled more completely (e.g., distributed generation coupled with storage in the form of electric car batteries). For these reasons, there is a growing interest in thoroughly simulating urban stocks of buildings and their

energetic interactions [2,3]. However this task entails both intensive computational demands and requires detailed representations of the urban fabric to understand energy demand.

Housing stock models are typically abstracted representations of an area's (e.g., neighbourhood, city, region or country) residential buildings that can be used to predict their energy use. This tends to involve statistical modelling of data arising from surveys of housing in conjunction with simple monthly energy balance calculations to produce their estimates of energy use and CO<sub>2</sub> emissions, e.g., Sousa et al. [4]. The use of simulation in this context is a more recent development and is typically adopted at the neighbourhood or, sometimes, district scale due to its increased cost, both in terms of data preparation and in computation. Housing stock models enable researchers and policymakers to predict current and future energy trends across a range of potential energy scenarios [5].

Urban Building Energy Models (UBEM) are one approach for estimating the demand for heating and electricity demand in cities [2,6–8]. UBEMs typically model the physical properties of individual buildings to understand their thermal performance. A UBEM will often employ building energy simulation tools such as EnergyPlus [6,9], originally developed to simulate single buildings, to model the energy use of a specific building archetype (e.g., a detached, 2-storey house constructed between 1945 and 1970) which can then be extrapolated to the actual building stock. Microsimulation may also be used to estimate the energy usage of groupings of buildings, explicitly simulating the radiative interactions between these buildings (handling occlusions to sun and sky and the reflections from these occlusions, whether they be due to buildings or variations in topography) [10]. Simulation-based approaches to energy demand estimation facilitate additional benefits through integration with other simulators, for example, that incorporate occupant's behaviour [11] or district heating networks [12].

Both housing stock models and UBEMs require data on the geometric and energy characteristics of the urban environment. UBEMs utilising microsimulation-based methods need accurate representations at the individual building level to model their volume, shading effects and inter-reflections between surfaces. 3D city models can be used for this purpose [13]. However, there is a substantial computational cost associated with applying microsimulation to urban scenes [14]; a cost that is deemed worthwhile, owing to the significant impacts of radiative interactions between buildings on their energy demands [15]. One might instinctively conclude that this computational cost could be managed through hardware accelerations, for example, by parallelising spatially-explicit microsimulations to exploit distributed or cloud-computing. However, this is not a trivial task due to the interactions (e.g., shortwave and longwave) between the geometric surfaces representing the urban fabric. Geometric simplification is, therefore, a valuable strategy in reducing urban scene complexity and the associated overheads.

### *1.1. The CityGML Standard and Energy Modelling*

Improved adoption of data standards is an important challenge for advancing the field of urban energy systems modelling [16]. The importance of 3D city models in many applications has prompted development of the CityGML standard [17]. CityGML is an open semantic data model designed for representing 3D urban information across a wide range of uses and enabling interoperability between systems that support it. The relevance of 3D modelling to building energy analyses has led to CityGML playing an important role in supporting these objectives [7,13,18–21]. For example, solar irradiance may be estimated using surface geometry extracted from CityGML [19], but additional modelling is required for heating demand estimation. For example, Energy Atlas Berlin used geometry extracted from CityGML and statistical data to estimate energy demands [22]. The SimStadt energy simulation platform utilised CityGML models to investigate the sensitivity of energy estimates to changes in input variables [7]. Whereas, the SUNSHINE platform also described using CityGML for assessing and visualising energy performance [20]. Murshed et al. [23] describe CityBEM, which calculates heating and cooling needs according to an ISO standard method and CityGML data. Whilst useful in their own right, Energy Atlas, SimStadt, SUNSHINE and CityBEM employ simple monthly energy balance equations to estimate the approximate annual energy demands for heating and cooling. As such, these methods, which are constrained in their usefulness

as design aids, do not require sophisticated models of solar radiation exchange. By extension, they also do not require detailed representations of urban spatial structure, and the scope of the energy-related attributes required by these models is also undemanding in comparison with explicit microsimulation which is our focus here.

On its own, CityGML provides a detailed data model for representing urban scenes. However, it does not aim to completely support all use cases for 3D city models. In the case of energy modelling, for example, there are many parameters related to the building composition (e.g., material, optical and thermophysical properties), heating and electrical systems and its occupants that cannot be represented in pure CityGML. To address this, the standard can be augmented using Application Domain Extensions (ADE) to provide additional attributes for domain specific tasks. EnergyADE is an ADE for CityGML that provides a data model for encoding details on the energy-related characteristics of buildings, to support UBEM and related applications, as described in Agugiaro et al. [24].

## 1.2. Research Gap

Despite the increasing proliferation of 3D city models, they are not yet widely utilised in energy-related applications using the CityGML EnergyADE. Significant resources are required to develop these models to a suitably high standard with regard to both geometric and semantic information. 3D city representations are increasingly being planned for production at the national level ([15,16]), however, in the UK, they are not yet readily available. Furthermore, quality issues can often arise when using preexisting 3D models [23,25], preventing their straightforward adoption and necessitating complex repair procedures [26]. Meanwhile, creation of detailed and accurate 3D urban geometry can require a dedicated photogrammetry or Light Detection and Ranging (LIDAR) survey and subsequent digital mapping by an expert. In the UK, however, high-quality (i.e., geometrically detailed and topologically correct) 2D map data with comprehensive coverage of building outlines, and their associated height, is available from the National Mapping Agency, Ordnance Survey (OSGB). Furthermore, a nationwide sample of the energy related characteristics of residential buildings, the English Housing Survey (EHS), is available and includes details on the construction, materials and other parameters that are vital for assessing housing energy performance.

In this article, we describe a workflow to generate large 2.5D housing stock models from UK topographic mapping and housing survey data for the purposes of creating a dynamic, spatially explicit building energy simulation. We adopt CityGML and its EnergyADE extension to represent our urban scenes and demonstrate their use in a building energy microsimulation tool, called CitySim+. Furthermore, we recognise that such models may be too detailed to undertake energy microsimulation within reasonable processing times [14]. As a consequence, we investigate the effect of employing an off-the-shelf generalisation tool, found in ArcGIS, that will scale to generating large urban scenes at the city and lower (i.e., neighbourhood, district) spatial scales.

We describe

- an automated workflow for generating 2.5D CityGML EnergyADE housing stock models from map and housing survey data for the purposes of dynamic microsimulation of residential building energy;
- a statistical model for the assignment of per-building energy related CityGML EnergyADE features; and
- an evaluation of the effect of a 2D geometric simplification routine on dynamic microsimulation of energy use, using the developed workflow.

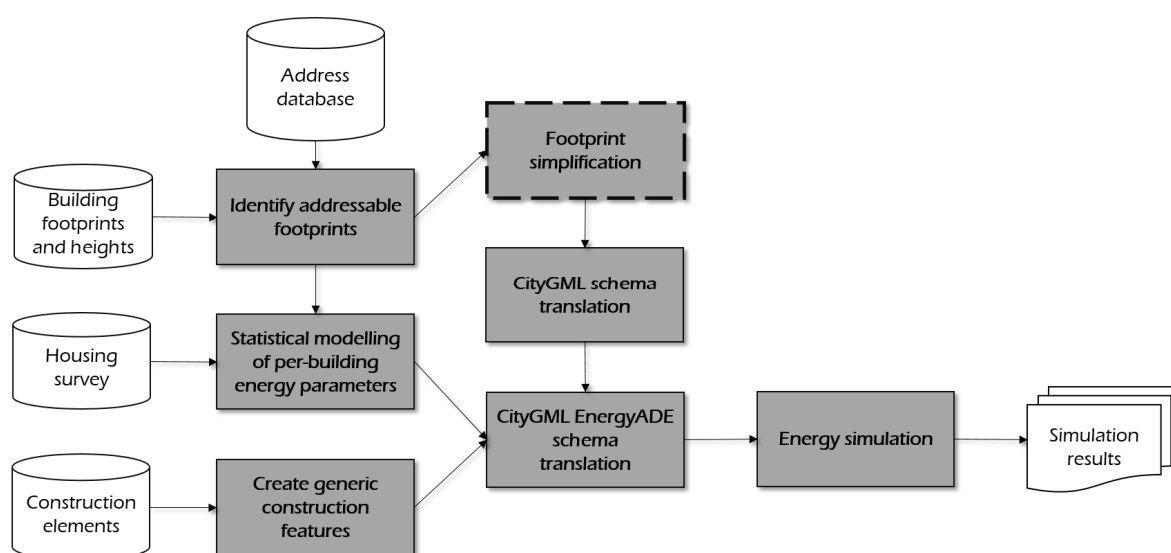
## 2. Workflow

### 2.1. Overview

Figure 1 illustrates our overall workflow for producing and simulating urban scenes using CityGML and EnergyADE data models. CityGML is a data model devoted to the 3D representation of urban areas and developed as an interoperability standard by Open Geospatial Consortium

schema [27]. The standard includes classes covering both the built-environment and natural features. A hierarchy of level of detail (LoD) defines the fidelity of a CityGML model, ranging from 2D footprint polygons to complex 3D representations of external and internal structures. The CityGML data model is described using Unified Modelling Language (UML) classes to define the urban features and their relations. We use version 2 of the CityGML standard for which XML schemas of the classes are available for software integration and development. For the EnergyADE features, we adopted version 0.6 (<https://www.sig3d.org/citygml/2.0/energy/0.6.0/FeatureCatalogue/>). For the technical implementation of assigning values to data model properties and creation of geometric features, we used FME from Safe Software. For simplifying the building footprint geometry we used ESRI ArcGIS.

We adopted CitySim+ as our building energy microsimulation tool. As a successor of CitySim [10], CitySim+ is a building energy simulation tool which models collections of buildings, and their mutual interactions, in a spatially resolved manner. CitySim+ adopts a microsimulation approach to simulate individual buildings while resolving for the energy-related consequences of their surroundings; in particular, the impacts on radiative (visible, shortwave and longwave) processes. CitySim+ is developed using the object-oriented language C++, using a recent standardised version of the language (namely C++ 11). CitySim+ introduces new features (mainly related to scalability and distributed simulation) and incorporates a standards-based data layer (based on CityGML/EnergyADE). The design of the new data layer follows object-oriented design principles to facilitate efficient parsing and processing of the input scene model.



**Figure 1.** Overview of the proposed workflow. The outline of the process “Footprint simplification” is dashed as it is an optional procedure.

## 2.2. Building Footprints and Heights

Building geometries are derived from the OS MasterMap Topography layer. The OS MasterMap uses OS TOIDs, which define a unique identifier for features, and the Topography Layer defines a “Building” attribute which can be used to select the 2D polygon footprint of the dwelling. The OSMM “Building” definition includes structures such as sheds, garages and other outbuildings. As these assets are typically unheated, we automatically remove them through integration of the OSMM data with the national databases of addresses, AddressBase Plus (ABP). Although this could remove structures that shadow properties, this is not common in residential scenarios, which are the focus of this paper. We follow the implementation of Beck et al. [28], which identifies the buildings which are addressable. This is achieved through use of both a spatial and table database join. A building is defined as addressable if either (a) the matching OS TOID is present in ABP or (b) one or more address points are found within the OSMM polygon.

The Ordnance Survey has recently released a building heights database which provides various elevation values corresponding to the building footprint. This dataset, known as the Building Height Attribute (BHA), contains three values measured relative to the datum including ground elevation, height to the roof eaves and the maximum height of the building. In addition, relative height to the eaves (*relh2*) and relative maximum height (*relhmax*)—as measured from the ground—are also included.

### 2.3. Building Footprint Geometry Simplification

Building footprint shape can include substantial geometric details manifesting as small protrusions or indentations in the polygon. In the context of energy simulation, these small geometric details increase the number of surfaces, leading to a computational penalty, as CitySim's radiation model is sensitive to the number of surfaces. To address this, we seek to reduce the number of edges in the footprint geometry while maintaining its overall area, edge orientation and topology (e.g., adjacency and intersection relations with other footprints). Broadly, this is a polygon simplification problem commonly found within the topic of cartographic generalisation. The widely implemented Douglas–Peucker algorithm, originally developed as a line simplification technique for removing vertices according to a given tolerance, can be applied to polygons while maintaining topology. However, the footprint shape and orientations, known to be an influential parameter on energy prediction [29], can change. Furthermore, the area is not explicitly maintained, which can lead to dramatic changes in the estimated envelope volume. Adopting a manual quality control procedure can mitigate this (as Davila et al. [6] do), however this is far from ideal when applied to large areas.

The ArcGIS Simplify Buildings was identified as an effective 2D footprint generalisation approach, which manipulates edges rather than vertices (and therefore retains rectilinear shape) and maintains footprint area. However, the topology of a footprint is not considered as part of the algorithm. This can lead to cases where buildings that should have a shared edge as part of their geometry (e.g., interior walls found between terraced and semidetached houses) become separated after application of the algorithm. This can lead to gaps depending on the original generalisation tolerance applied. For example, we found gaps of 1–2m could be introduced when using generalisation tolerances of 1m or 2m. This change in the built form of the property has important implications for energy modelling, as the thermal performance is unlikely to remain representative due to the implied change in boundary conditions.

To account for this, we adopted an automatic spatial adjustment of building footprints to resolve the topological issues introduced by the ArcGIS Simplify Building generalisation tool. This adjustment snaps together polygons that were previously adjacent through iteratively shifting footprints to align with their nearest neighbour. We assign a *block\_id* attribute, which defines buildings as part of a group according to the *touching* spatial predicate, before applying the generalisation. Then, after generalisation, for each set of buildings grouped according their *block\_id*, we dissolve any shared edges to identify features which have become separated (i.e., if the number of features after the dissolve is greater than one, then some features have become separated). For groups with separated features, we loop while  $d > 0$ : step (1), computing the distance  $d$  and angle  $a$  of the nearest neighbour to the base feature and, step (2), applying a rigid body transformation (shift) to the neighbour feature based on the parameters of  $d$  and  $a$ . After the loop is complete, we snap any vertices that are within 0.1m of an adjacent building's footprint to close any very small gaps. Overall, the computation time of the generalisation and automatic snapping is small (in testing found to be approximately 2 or 3 minutes for scenes of ~3000 buildings) with minimal effort incurred due to it being an automatic process.

### 2.4. CityGML Geometry Modelling

Table 1 illustrates the data sources and their use in constructing CityGML features. The 2D geometry of the building footprints are used as GroundSurfaces according to the ground height, and are also extruded to create WallSurfaces according to the *relh2* value. In cases where the buildings

were touching, the *relh2*, *relhmax*, and ground height of each polygon were averaged across the adjacent polygons.

**Table 1.** Building geometry source data and its mapping to properties of the CityGML schema. BHA = Building Height Attribute. EHS = English Housing Survey. OSMM = Ordnance Survey MasterMap.

| Source       | UML class | CityGML property | Description  |
|--------------|-----------|------------------|--|
| OSMM         | Building  | lod1MultiSurface | Cuboid multisurface based on height extrusion to <i>relhmax</i>                            |
| OSMM and BHA | Building  | RoofSurface      | Roof boundary surface copied from footprint geometry and assigned a height of <i>relh2</i> |
| OSMM and BHA | Building  | WallSurface      | Vertically extruded boundary surfaces to a height of <i>relh2</i>                          |
| OSMM and BHA | Building  | GroundSurface    | Building footprint assigned at a height of <i>abshmin</i>                                  |

Both the composition and volume of the roof of a residential building play a role in determining its energy performance. Neither the roof type (i.e., whether it is flat, pitched or hipped, for example) nor is its corresponding 3D geometry available as standard data products within the UK. Although LIDAR data are available within the UK, their coverage is not comprehensive and methods for automated extraction of the roof geometry are not yet at a state of sufficient maturity to automatically generate closed 3D volumetric models that are suitable for simulation, without also adding a significant number of surfaces or manual editing into the pipeline. Instead, we estimate the contribution of the roof space by using a flat roof for the geometry encoded in the EnergyADE ThermalZone and ThermalBoundary extruded to eavesHeight (where eavesHeight is dependent on whether a converted or room in roof [RIR] is present, see Table 2 for further details). This approach means that the duration of the energy simulation is kept relatively low, as the number of surfaces used for calculation of surface irradiance and heat transfer are many times fewer than when complex roof geometry is adopted. It should be noted that, as a fully 3D software application, simulations in CitySim+ can be undertaken with complex 3D roof shapes where available (and preliminary testing with procedurally generated hipped roof geometry shapes was undertaken); however, such an approach is unlikely to scale to large, urban scenes.

### 2.5. Statistical Modelling of Building Energy Parameters

The workflow described thus far generates details of the CityGML model. Spatial parameters that relate to the EnergyADE are derived or copied from this model. However, further nonspatial parameters are required to make the model fully compliant with EnergyADE and for CitySim+ to perform energy simulations. These include data on energy conversion systems, construction materials, infiltration rates, occupancy levels, and occupants' behaviours. Table 2 describes the EnergyADE classes and properties and the corresponding source of data for populating their values.

**Table 2.** Energy parameter source data and its mapping to properties of the EnergyADE schema. BHA = Building Height Attribute. EHS = English Housing Survey. EFUS = Energy Follow-Up Survey. OSMM = Ordnance Survey MasterMap. BRE = Building Research Establishment.

| EnergyADE UML class | Source               | EnergyADE element      | EnergyADE property    | Description  |
|---------------------|----------------------|------------------------|-----------------------|--|
| Energy System       | EHS/EFUS/<br>InSmart | EnergyConversionSystem | InstalledNominalPower | Power output (W) of the energy system  |
|                     |                      |                        | nominalEfficiency     | Efficiency of the energy system  |
|                     |                      |                        | condensation          | Boolean representing whether any gas boiler present is of a condensing type. N/A for other systems |

|                       |                                  |                                    |                     |  |
|-----------------------|----------------------------------|------------------------------------|---------------------|--|
|                       | EHS (attic)                      |                                    | atticType           | Enumerated type defining whether the roof space is unconditioned, conditioned or not present   |
|                       | BHA (relh2)                      | _AbstractBuilding                  | eavesHeight         | If Room in roof = TRUE<br>eavesHeight = relhmax-relh2/2<br>Else eavesHeight = relh2  |
|                       | BHA (relhmax)                    |                                    | ridgeHeight         | Direct mapping of relhMax to ridgeHeight   |
|                       | OS                               |                                    | grossVolume         | Volume (m <sup>3</sup> ) calculated from the footprint geometry extruded to relhmax  |
|                       | BRE                              | Thermal Zone                       | infiltrationRate    | Rate of air change due to leakage of the thermal zone  |
|                       | Assumed false                    |                                    | isCooled            | Boolean. True if there is an energy system for cooling   |
|                       | Assumed true                     |                                    | isHeated            | Boolean. True if there is an energy system for heating   |
| Building Physics      |                                  | ThermalBoundary                    | thermalBoundaryType | Type of wall from the enumeration of boundary types (e.g., OuterWall or SharedWall) derived from 2D building footprint topology            |
|                       | OS                               |                                    | surfaceGeometry     | Ground surface copied from CityGML GroundSurface, wall and roof surfaces extruded to eavesHeight   |
|                       | BRE                              |                                    | construction        | Description of the building element (e.g., wall, roof, floor) including the thermal properties of each layer from which it is constructed  |
|                       | Assumed as 0.75                  |                                    | area                | The fraction of the overall area of the element that is unglazed   |
|                       | OS                               | ThermalComponent                   | isGroundCoupled     | Boolean. True if the building element touches the ground. Determined from the surface geometry   |
|                       | OS                               |                                    | isSunExposed        | Boolean. True if the building element is part of the external envelope, i.e., is exposed to sunlight. Determined from the surface geometry |
| Material              |                                  | Construction                       | uValue              | The U-value of material  |
|                       |                                  | OpticalProperties                  | transmittance       | The fraction of solar energy that is transmitted through the material  |
|                       | BRE materials database           | SolidMaterial                      | conductivity        | The thermal conductivity of the material in W/mK   |
|                       |                                  |                                    | density             | The material's density in kg/m <sup>3</sup>  |
|                       |                                  | LayerComponent                     | specificHeat        | Specific heat capacity of the material in J/kgK  |
| TimeSeriesandSchedule | Heating patterns papers and EFUS | heatingSchedule->DualValueSchedule | usageValue          | The set-point temperature in °C when the heating system is in use  |
|                       |                                  |                                    | idleValue           | The set-point temperature in °C of the heating system when not in use (set back temperature)   |
|                       |                                  | coolingSchedule->DualValueSchedule | usageValue          | The set-point temperature in °C when the cooling system is in use  |



|           |   |
|-----------|---|
| idleValue | The set-point temperature in °C of the cooling system when the not in use |
|-----------|---|

In order to assign these parameters, a statistical model of the housing stock including the probability of a given parameter's value is required. In this instance, the EHS—a national survey of English housing—forms the primary source of data. This was supplemented, where necessary, using a local housing survey carried out under a previous project, InSmart [30], and standard data sources on UK buildings provided by the Building Research Establishment (BRE) and the Energy Savings Trust [31]. We adopt the EHS as it represents the largest and most current survey of UK housing. Filtering the data (using the *arnatx* variable, available in the Special License version of the EHS data) enables exclusion of all nonurban dwellings. EHS is used in preference to the local InSmart survey due to its much larger sample (10,000 urban properties vs. 600 properties). The small sample size in the InSmart survey was found to under/oversample some archetypes due to their prevalence within the city boundary.

Housing archetypes, based on built form and construction period, are defined in order to assure that the nonspatial energy parameters were assigned appropriately. The combination of building age and form as the basis for building archetypes is well documented in the literature [32–35], and was adopted in this work. Correlations between age/form and the parameters to be modelled were also verified in the EHS data, using a chi-squared test. A strong correlation (chi-squared  $p$ -value < 0.05 (95% C.I.)) was found between the archetype value and each of the EHS parameters listed in Table 3.

Built forms used to define the archetypes were detached, semidetached, end-terrace, mid-terrace, and flats. Construction periods were selected to map onto the EHS's six age band definition represented by the EHS variable *dwage6x* [36]. This age band definition also mapped easily onto age classification work already performed for the city of Nottingham as part of the InSmart project [30]. The six age bands and five built forms were combined to generate 30 residential archetypes.

Table 3 shows the set of energy parameters used and the EHS, or alternate, attribute used to model them. Note that in the case of apartment buildings either

- A single value is applied to the entire building (e.g., wall/roof/floor type and insulation)
- An average value is assigned to the building, based on the results calculated for each individual residential property within the building (HSP, infiltration, heating system and household composition)
- The sum of values for each individual unit within the building is used (occupancy level)
- The parameter is not applicable (e.g., room in roof)

**Table 3.** Nonspatial energy parameters, their source, properties and level of attribution.

| Energy Parameter  | Data Source           | Data Value  | Attribution level |
|-------------------|-----------------------|---|-------------------|
| Wall Type         | EHS (Wallcavy)        | Solid, Cavity, Other  | Block             |
| Wall Insulation   | EHS (Wallinsy)        | TRUE/FALSE <sup>1</sup>   | Building          |
| Roof type         | EHS (typercov)        | Mixed, natural, slate, clay, concrete, asphalt, felt, glass/metal | Block             |
| Floor Type        | BRE                   | Suspended timber, stone, concrete slab, insulated concrete        | Archetype         |
| Loft Insulation   | EHS (Loftu4)          | None, <100mm, 100–150mm, >150mm                                   | Building          |
| Heating set-point | EFUS/Lomas et al.     | Integer value in the range of 15 to 25 °C                         | Building          |
| Infiltration rate | Jones et al. [37]/BRE | Real value in the range of 0 to 2ach <sup>2</sup>                 | Building          |
| Heating system    | EHS (Heat7x)          | Boiler, Storage radiator, warm air, roof heater, communal, other  | Household         |
| Room in roof      | EHS (attic)           | TRUE/FALSE  | Building          |
| Glazing type      | EHS (typewin)         | Mixed, wood, sash, PVC, metal <sup>3</sup>                        | Building          |

|                       |   |   |           |
|-----------------------|---|---|-----------|
| Glazing ratios        | InSmart                                     | % front, back and side facades  | Archetype |
| Household composition | EHS (hhcomp <sub>x</sub> )/Census (KS105EW) | Couple (<60), Couple (>60), Family, Lone Parent, Single (<60), Single (>60), Other multiperson <sup>4</sup> | Household |
| Occupancy level       | EHS (hhsizex)                               | 1-11 persons (determined from hhcomp <sub>x</sub> )   | Household |

<sup>1</sup> Thickness of wall insulation is not included in EHS. Thus, where wall insulation is present, insulation thickness for cavity walls is determined by the width of the cavity, while for solid walls it is assumed that 300mm of external insulation has been applied.

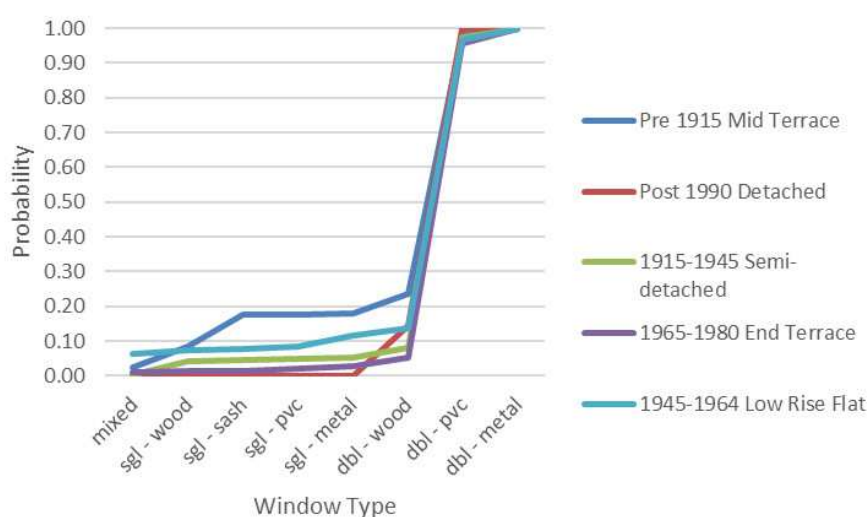
<sup>2</sup> ach = air changes / hour.

<sup>3</sup> Each material option can be either single or double-glazed (except sash which is single glazed only) leading to eight window variations in total.

<sup>4</sup> Household types listed are for EHS data. Census definition of household composition differs from those shown.

The field “Attribution level” in Table 3 refers to the aggregation level at which the parameter is assigned. Attributes assigned at the building level have a value for each building in the model, i.e., each building will have its own value for wall insulation, heating set-point and infiltration rate. Attributes assigned at the archetype level will have a common value applied all buildings of that type for that attribute, i.e., all buildings of a given form/age have the same floor type definition and glazing ratio. Roof and wall type are assigned at the block level. In this case, all buildings in the same block of buildings will have these values set the same. This avoids the possibility of terraced and semidetached buildings that are connected to each other having different wall and/or roof types.

Once suitable variables have been identified for the parameters, cumulative distribution functions (CDFs) were defined for each of the EHS-based variables, as illustrated in Figure 2, which shows the distribution of glazing type across selected residential archetypes. Double-glazed PVC windows (dbl-pvc) are clearly the dominant window type. Figure 3 shows the distribution of loft insulation levels. In this instance, the distribution across categories is more even than for glazing types, but still shows over 50% of properties having the highest level of insulation (with the exception of the pre-1915 properties).



**Figure 2.** Our Cumulative Distribution Functions (CDFs) of glazing types for example archetypes.

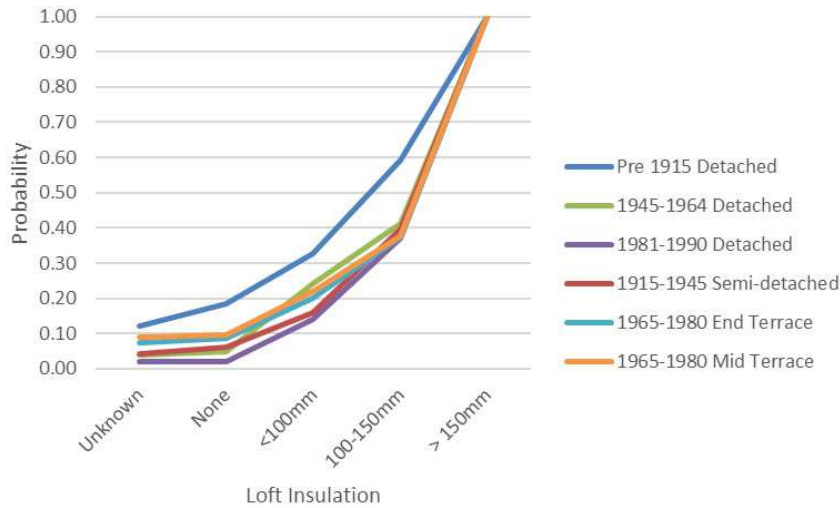


Figure 3. Our Cumulative Distribution Functions (CDFs) of loft insulation for example archetypes.

Two of the significant parameters not present in the EHS are heating set-points (HSPs) and infiltration rates. A number of surveys of internal temperature or HSP temperature in the UK housing stock have been carried out in recent years [30,38,39]. These were used to identify typical HSPs, which were then assigned across all archetypes. It is likely that HSP values would be correlated with household composition, income and housing archetype, but for the purposes of this work, HSP values were assigned independently. HSP values were assigned a normal distribution using a mean of 20.5 °C and a standard deviation of 2.5, based on the work of Huebner et al. [40], capped at 20±5 °C.

Infiltration rates are more difficult to define due to the complexity and accuracy of in-situ testing methods [37]. However, previous surveys carried out by the BRE provide some typical values based on built form and construction period [41]. Combining the BRE survey data with more recent modelling of UK infiltration rates [37], enabled a per building infiltration rate to be estimated using mean and standard deviations assigned to each archetype. For the purposes of this work, it was assumed that the infiltration rates are normally distributed.

In addition to assigning appropriate values to these nonspatial parameters, it is also necessary to define the EnergyADE feature types and the attribute values associated with them. A database of construction elements, energy systems and time schedules was defined in order to map from the parameter settings to a valid EnergyADE representation. An example of an EnergyADE feature definition for a solid masonry wall consisting of an external brick layer and an internal brick layer with an attached layer of plasterboard is shown as a UML object diagram in Figure 4, with the associated EnergyADE definition shown below.



```
<energy:Construction gml:id="id_construction_wall_1">
  <gml:name>double layer brick wall</gml:name>
```

```

<energy:uValue uom="W/(K*m^2)">2</energy:uValue>
  <energy:opticalProperties>
    <energy:reflectance>
<energy:fraction uom="ratio">0.3</energy:fraction>
      <energy:surface>Outside</energy:surface>
      <energy:wavelengthRange>Solar</energy:wavelengthRange>
    </energy:reflectance>
  </energy:opticalProperties>
  <energy:Layer gml:id="id_layer_1">
    <energy:LayerComponent gml:id="idNew_lc_1">
      ...
      <energy:thickness uom="mm">13</energy:thickness>
      <energy:material>
        <energy:SolidMaterial gml:id="id_material20">
          <energy:conductivity uom="W/m*K">0.25</energy:conductivity>
          <energy:density uom="kg/m^3">900</energy:density>
          <energy:specificHeat uom="J/(kg*K)">1000</energy:specificHeat>
        </energy:SolidMaterial>
      </energy:material>
    </energy:LayerComponent>
    <energy:LayerComponent gml:id="idNew_lc_2">
      ...
      <energy:thickness uom="mm">120</energy:thickness>
    <energy:material>
      <energy:SolidMaterial gml:id="id_material_2">
        <gml:description>Material created id_material_1 values</gml:description>
        <energy:conductivity uom="W/m*K">0.84</energy:conductivity>
        <energy:density uom="kg/m^3">1700</energy:density>
        <energy:specificHeat uom="J/(kg*K)">800</energy:specificHeat>
      </energy:SolidMaterial>
    </energy:material>
  </energy:LayerComponent>
  <energy:LayerComponent gml:id="idNew_lc_3">
    ...
    (this defined outer layer of brickwork. Exact replica of definition for previous layer
    component idNew_lc2)
    ...
  </energy:LayerComponent>
</energy:Layer>
</energy:Construction>

```

**Figure 4.** Energy ADE, UML and XML representation for a solid masonry wall.

## 2.6. Energy Simulator Implementation

When the scene is first loaded into CitySim+ for simulation, the buildings' energy attributes were encoded according to the EnergyADE schema, as described in Section 2.5 above. CitySim was originally developed at LESO-PB at EPFL and is a successor to the Sustainable Urban Neighbourhood modelling tool (SUNtool) [42]. CitySim used a proprietary input data model based on the eXtensible Markup Language (XML) standard to describe the input scene and various energy conversion systems (i.e., the model's elements had explicit structure but lacked well-defined semantics). Some older features in the input data model were redesigned using standardised features in CityGML and EnergyADE. For example, the use of the iDefault dataset offered by the CitySim Pro GUI application, which is a database of default attributes for buildings (e.g., describing constructional elements,

occupancy and energy systems), was partially designed using referenced elements xLinks to a dictionary of CityGML/EnergyADE elements.

CitySim+ has a complexity of  $O(N^2)$ , where  $N$  is the total number of surfaces in the given scene, hence we require the use of High Performance Computing (HPC) for large scenes. This is due to the Simplified Radiosity Algorithm (SRA) [15], which predicts surface irradiance in our urban scene, accounting for occlusions for each receiving surface to the sun and sky, and the energy reflected by these occlusions. These latter surface-to-surface energy pathways are expressed for the whole scene by a sparse inter-reflection (IR) matrix.

### Running CitySim+ over High Performance Computing (HPC)

In order to speed up the execution of CitySim+, we have run all simulations over the University of Nottingham HPC. The CitySim+ code was enhanced to utilise high-performance OpenMP and was compiled for use with the software configuration available on the HPC nodes. OpenMP is a set of compiler instructions (or hints in C++ compiler terms) and function calls which enable sections of the CitySim+ code to run in parallel over a shared memory parallel computing node (for simplicity we call it a multicore node). It manages a set of threads that occupy the different cores on the multicore node, with each thread representing a small unit of work that can occupy the central processing unit (CPU) to complete a task assigned by the parent process.

As the scene size grows, computational resources, in terms of CPU time and memory, become a limiting factor. For example, a CitySim+ simulation consumes ~1.6 hours of total CPU time to simulate the hourly shortwave irradiance distribution over a scene comprised of ~800 buildings, or ~5000 surfaces, in the case of complex roof models over the whole year. The total CPU time accounts for all the time the simulation process requested the CPUs (i.e., it appends all the time consumed by individual threads in a multithreaded program like CitySim+). To accelerate our computations, we perform simulations using nodes with General Purpose Graphic Processing Unit (GPGPU) support (called Enhanced nodes). The typical specification for these nodes is listed in Table 4.

**Table 4.** Hardware specification for General Purpose Graphic Processing Unit (GPGPU) node.

| Variable     | Value                            |
|--------------|----------------------------------|
| No. of CPUs  | 2                                |
| CPUs model   | Intel Sandybridge E5-2650 2.0GHz |
| No. of cores | 2 × 8                            |
| Total memory | 32GB                             |
| No. GPGPUs   | 2                                |
| GPGPU model  | Nvidia M2090                     |
| Disk space   | 500GB                            |

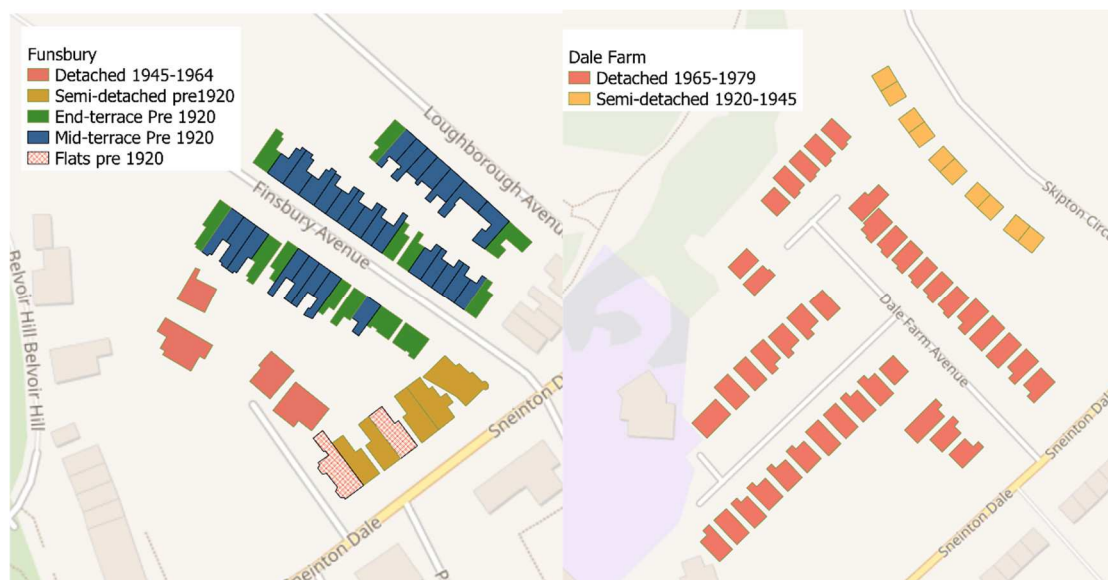
### 3. Case Study Areas

Two case study areas (each containing approximately 50 residential buildings) were chosen to illustrate the workflow to create energy-attributed models for simulation. The case studies are both located within the Sneinton district of the city of Nottingham, each exhibiting very different building types. These two case study areas were selected as they represent a broad diversity of building age and form and include a range of residential building types that are common within the city of Nottingham. Maps showing the building footprints and layout for the case study areas are shown in Figure 5. The buildings in case study area 1 include some complex geometrical footprints and contrast with the simpler building footprints present in case study 2. The difference in geometric complexity provides an effective comparison of the impact of geometric simplification on simulation performance and accuracy. All the buildings in both case studies are residential dwellings.

Case study 1 (Finsbury) is a group of buildings built prior to 1915 and includes rows of two storey terraced buildings along with some larger 2- and 3-storey semidetached and detached buildings. These types of building are very common in the city (and the UK generally) and are usually

constructed using solid masonry walls. A map showing the building footprints and layout for the case study area is shown in Figure 5.

Case study 2 (Dale Farm) has buildings of mixed vintage: detached private houses built in the 1970s and semidetached social housing built in the 1920s. All the buildings in Dale farm are two storeys.



**Figure 5.** Case study area (Finsbury) 1 (left) and case study area (Dale Farm) 2 (right).

In estimating the nonspatial attributes of the case study models, a number of assumptions were made:

- All buildings were heating to the required heating set-point temperature from 07:00 to 23:00 from 1st October until 1st May. A setback temperature of 5 °C was applied at all other times.
- No cooling system was specified.
- None of the buildings had a room present in the roof.
- Household composition and occupancy levels were fixed for all buildings at two adults, present at all times.

These assumptions were considered reasonable; recall the aim of this paper is not to simulate a realistic energy demand for the case studies, but to illustrate the described workflow and to examine the effects of geometric simplification on simulation performance and accuracy.

## 4. Results

Here we demonstrate application of our workflow for modelling urban scenes and its application for energy simulation for the two case study areas. Section 4.1 reports on the energy attribution modelling. Section 4.2 illustrates the estimates of building energy usage when employed for microsimulation, while Section 4.3 assesses the effect of geometric simplification on the computational and energy performance of the simulation.

### 4.1. Statistical Model Energy Attribution Results

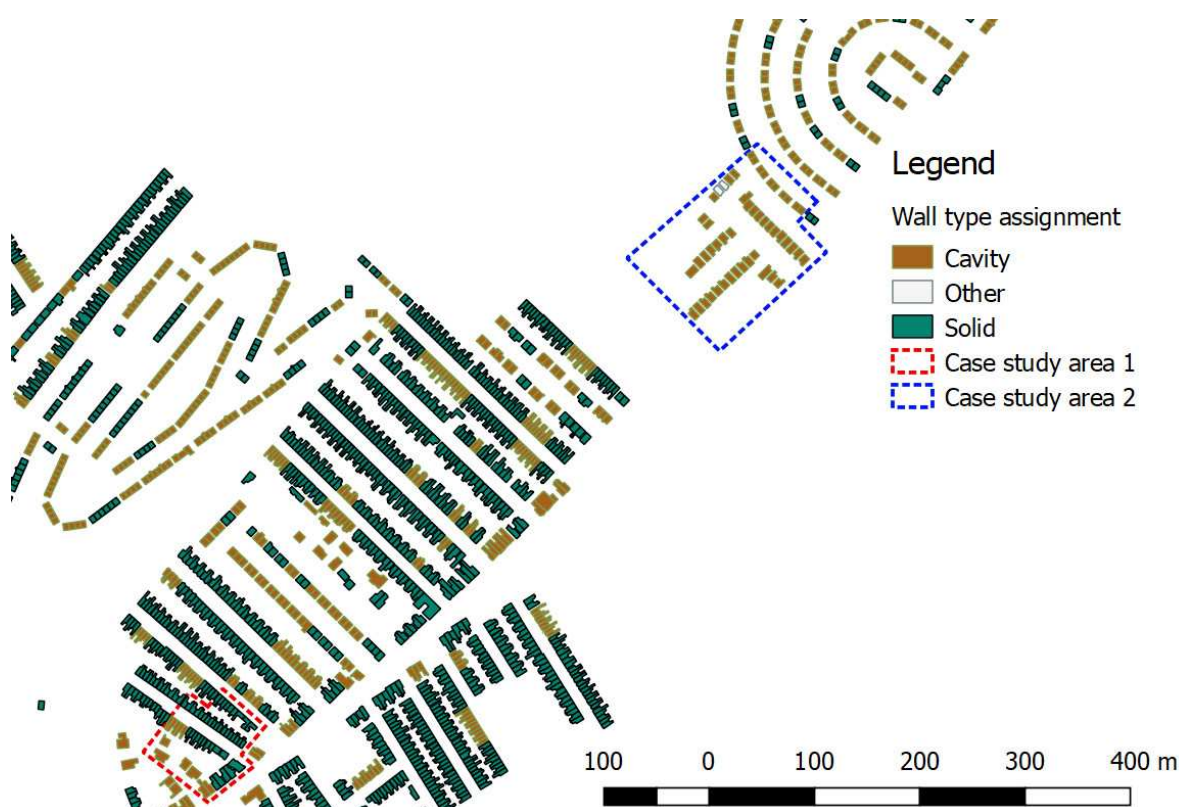
The attribution method described in Section 2.5 was used to populate the nonspatial energy parameters for the housing stock of the city of Nottingham (~100,000 properties). A comparison of the average statistics for the two case study areas and the city housing stock is shown in Table 5. Average values for case study 2 are similar to those for the city housing stock. In comparison, case study 1 has very few buildings with insulated walls, a higher number of single glazed buildings (15% vs. 7%) and smaller than average thermal volumes (i.e., the volume of the building that requires heating). As expected, from the central limit theorem, the average HSP for the city of Nottingham is



20.5 °C. Figure 6 illustrates spatially resolved examples of the parameter attribution. As described, wall types are assigned to blocks of buildings rather than the individual buildings within each block.

**Table 5.** Statistics for energy parameter attribution for two case study areas and the city.

| Parameter                             | Case study 1        | Case study 2        | Nottingham City     |
|---------------------------------------|---------------------|---------------------|---------------------|
| Average heating set-point temperature | 20.3 °C             | 19.1 °C             | 20.5 °C             |
| Average infiltration rate (ach)       | 0.73                | 0.74                | 0.67                |
| Percentage of insulated walls         | 9%                  | 52%                 | 45%                 |
| Median loft insulation level          | > 150mm             | > 150mm             | > 150mm             |
| Percentage of double-glazed buildings | 85%                 | 94%                 | 93%                 |
| Average thermal volume                | 292.5m <sup>3</sup> | 309.7m <sup>3</sup> | 335.9m <sup>3</sup> |



**Figure 6.** Wall type assignment for the Sneinton area of Nottingham with case study areas highlighted.

#### 4.2. Energy Simulation Results

Running the simulations for each of the case study areas enables visualisation of the total annual heat demand for each building. We present the heat demand by volume, expressed as energy use intensity per m<sup>3</sup>. As expected, the older and more poorly insulated buildings in case study area 1 have higher heat demands (Figure 7) than those in case study area 2 (Figure 8). Average heat demand in case study 1 is 7.76 MWh and total heat demand is 411 MWh, compared to an average of 7.54 MWh and total of 377 MWh for case study 2. This is in line with the OFGEM Typical Domestic Consumption Value (TDCV) for a low-user (<https://www.ofgem.gov.uk/gas/retail-market/monitoring-data-and->

statistics/typical-domestic-consumption-values), when assuming that 85% of gas use is attributed to space heating [43]. The buildings in both areas that have the highest heat demands are typically uninsulated houses with higher heating set-points and infiltration rates.

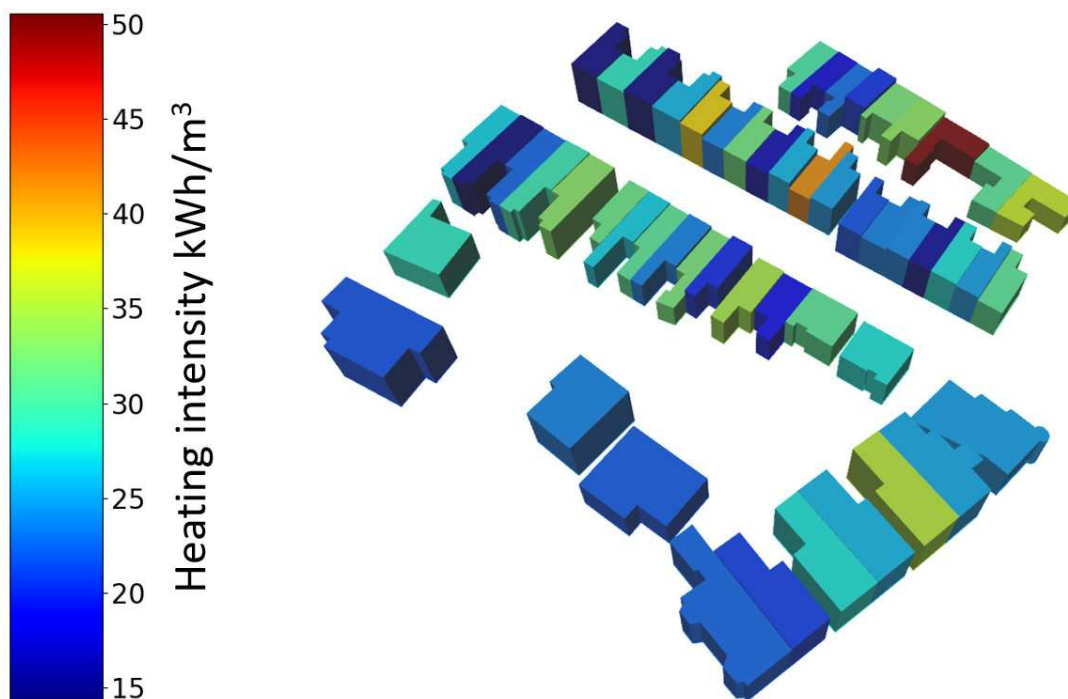


Figure 7. Heat demand by volume (CitySim+ thermal model) results for case study 1.

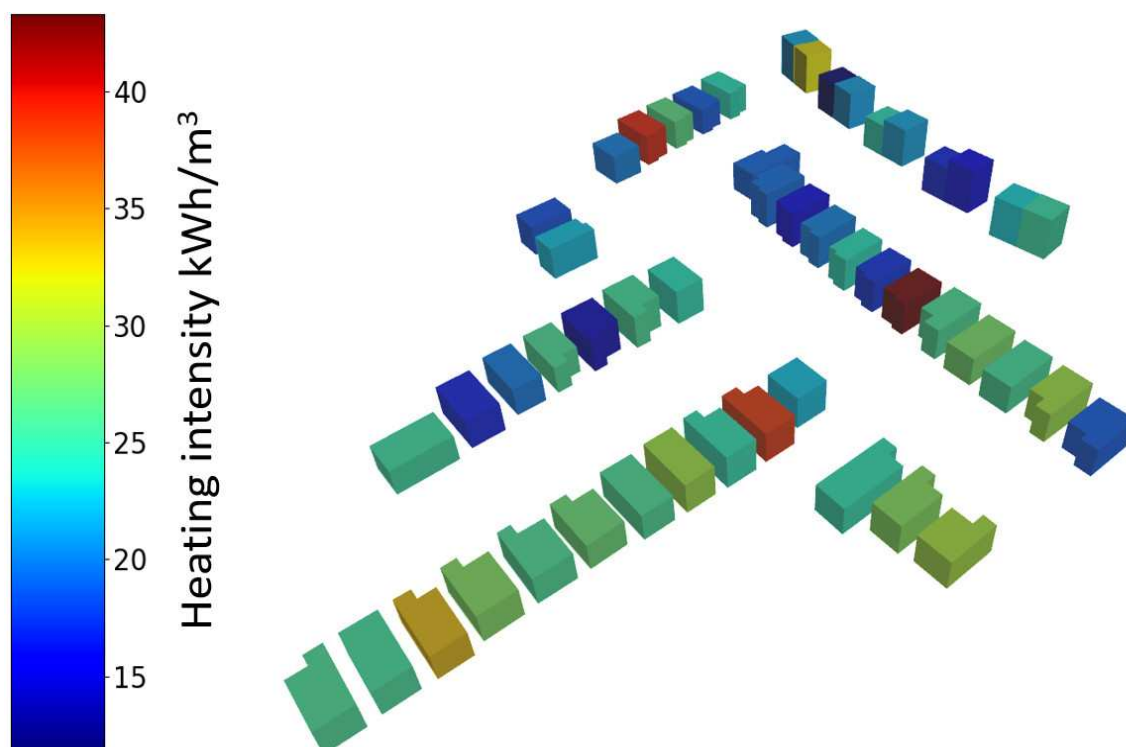


Figure 8. Heat demand by volume (CitySim+ thermal model) results for case study 2.

#### 4.3. Building Simplification Results

Table 6 illustrates the effect of building footprint generalisation on the resulting model complexity according to thresholds of 1 and 3m. The reduction in the number of overall surfaces is

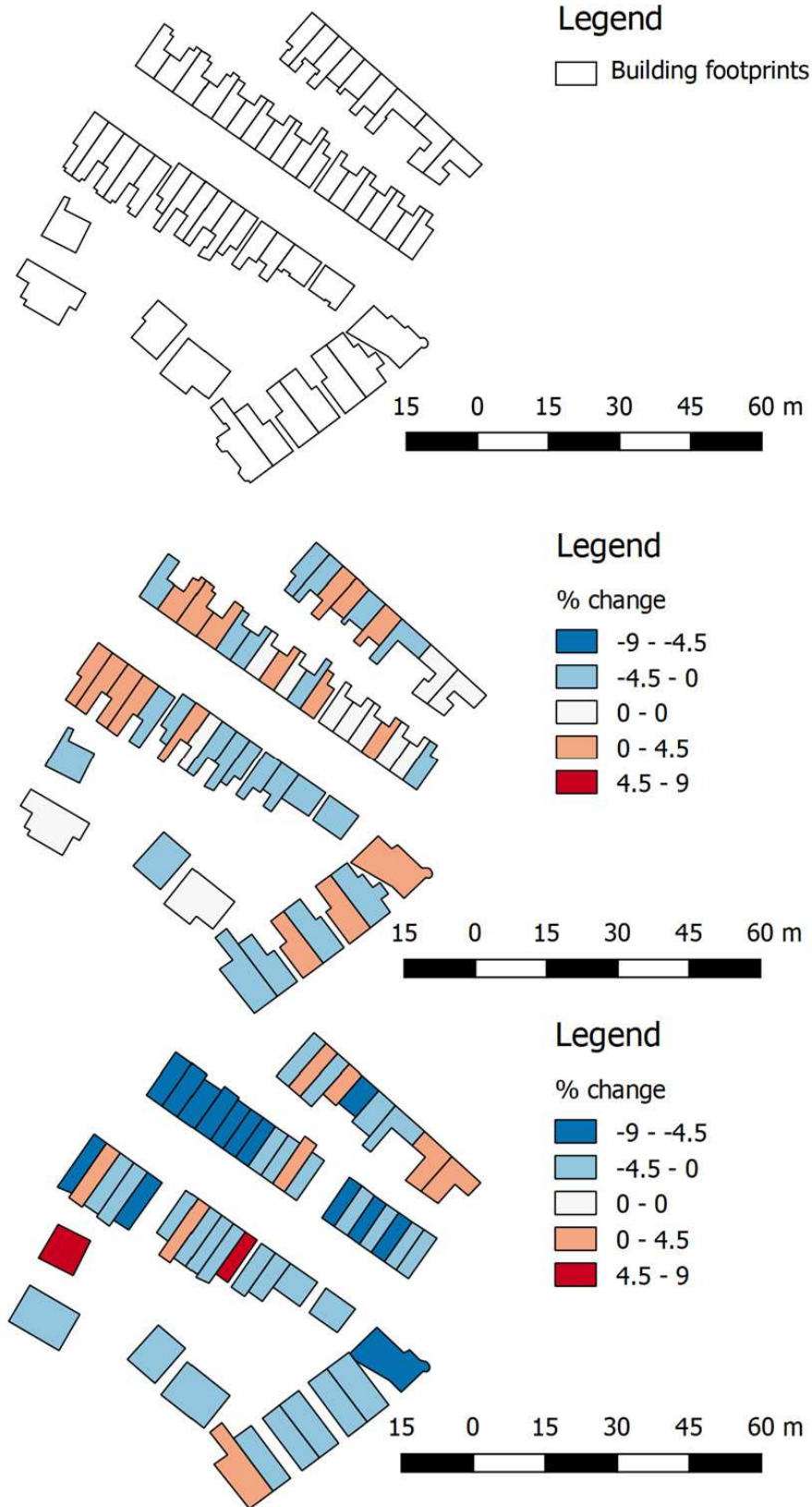


the most important aspect in decreasing simulation time. Notably there is an obvious difference in the simplification between the two study areas, with case study area 1 exhibiting 5 and 12 higher percentage point reductions in the number of simulated surfaces than case study area 2, at the respective generalisation thresholds. This is due to the relatively simple building shapes found in case study area 2, of which a proportion are already rectangular and thus cannot be simplified further.

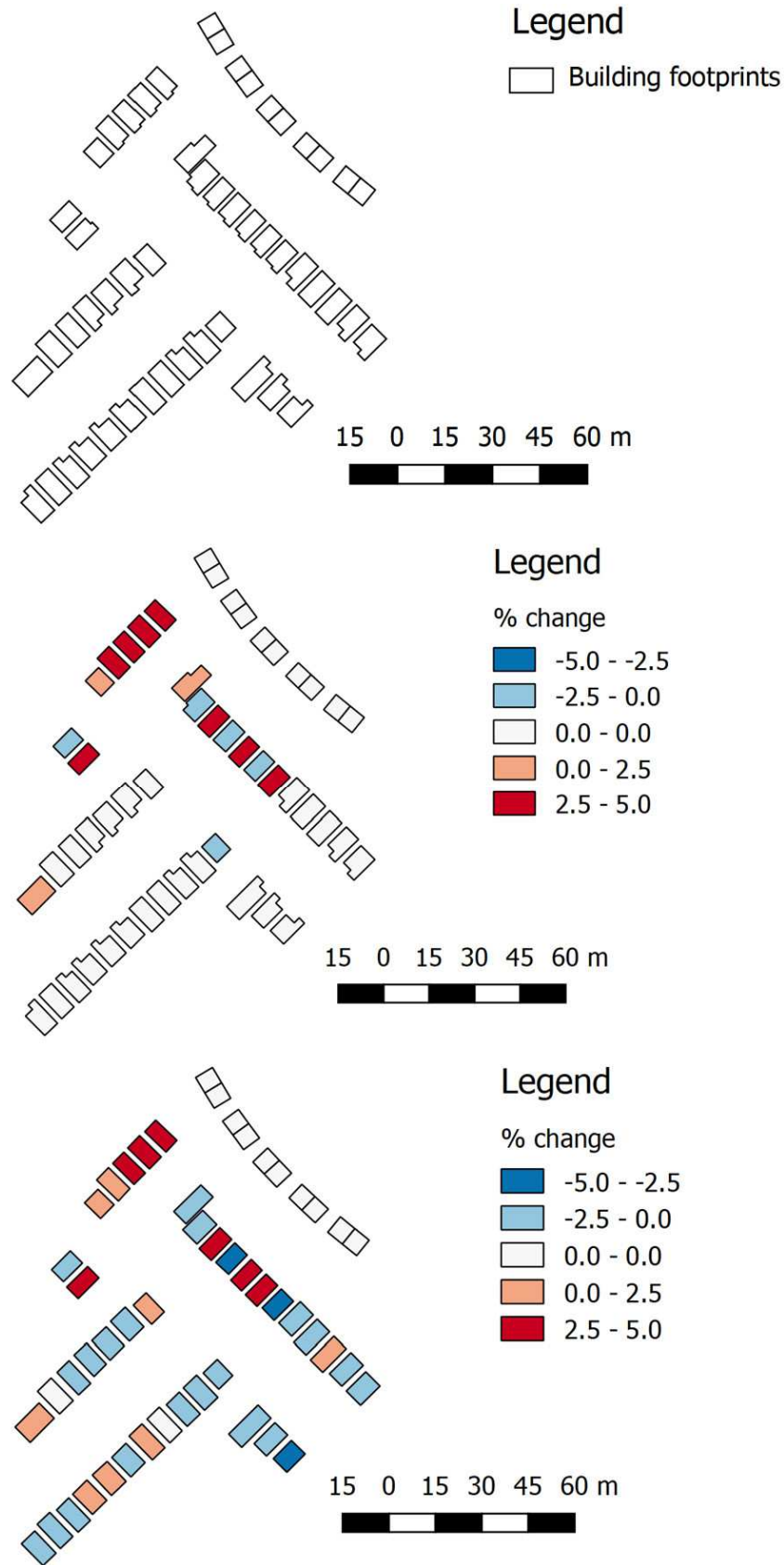
Table 6 also describes the overall change in heating demand from the base model at the different simplification levels, with Figure 9 and Figure 10 illustrating percentage change at the per-building level. At the 1-m-level, we see that the estimates remain relatively close to the baseline, for example in case study 2 the overall heat demand difference is within ~0.5%. At the 3-m-level, the geometry changes have a more notable effect. For example, considering the mid-terraces in Figure 9, the simplify algorithm tends to remove protruding parts the footprint that extend away from the main footprint shape leading to tighter packing of the footprints in the block—this appears to reduce the exposed wall area, decreasing the heating demand.

**Table 6.** Building simplification results, reduction of vertices, surfaces, and the effect on heat demand for case study areas 1 and 2.

|                                    | Base model | 1m Simplified | 3m Simplified |
|------------------------------------|------------|---------------|---------------|
| <b>Finsbury—Case Study Area 1</b>  |            |               |               |
| Number of 2D vertices              | 472        | 403           | 312           |
| Number of ThermalBoundary surfaces | 525        | 456           | 365           |
| Reduction in surfaces (%)          | n/a        | 13            | 30            |
| Total heat demand (MWh)            | 411.32     | 411.06        | 402.84        |
| Average heat demand (kWh)          | 7760.76    | 7755.86       | 7600.70       |
| Heat demand difference (%)         | n/a        | -0.06         | -2.00         |
| Total Simulation seq. time (mins)  | 88.09      | 79.0          | 54.46         |
| Simulation seq. time reduction (%) | n/a        | 10.31         | 38.17         |
| <b>Dale Farm—Case Study Area 2</b> |            |               |               |
| Number of 2D vertices              | 314        | 286           | 250           |
| Number of ThermalBoundary surfaces | 364        | 336           | 300           |
| Reduction in surfaces (%)          | n/a        | 8             | 18            |
| Total heat demand (MWh)            | 377.18     | 379.60        | 376.08        |
| Average heat demand (kWh)          | 7543.69    | 7591.97       | 7521.56       |
| Heat demand difference (%)         | n/a        | +0.64         | -0.29         |
| Total Simulation seq. time (mins)  | 83         | 68            | 57.17         |
| Simulation seq. time reduction (%) | n/a        | 18.39         | 31.22         |



**Figure 9.** Case study area 1: Raw unsimplified footprints (top) and percentage change in heat demand between base model and 1m simplification (middle) and 3m simplification (bottom).



**Figure 10.** Case study area 2: Raw unsimplified footprints (top) and percentage change in heat demand between base model and 1m simplification (middle) and 3m simplification (bottom).

## 5. Discussion

We found that several assumptions were necessary in the development of this workflow and highlight several interesting avenues for further work. Our workflow is described for the UK context, where detailed footprint geometry, address and energy survey information is readily available to practitioners. However, the workflow would be applicable in other contexts (potentially with minor adaptation). For example, results from the simplification exercise in described this paper demonstrated that detailed footprint geometry is not necessarily required to produce reasonable energy estimates. Most EU countries would have data on housing stocks that could be used to populate the nonspatial energy parameters in EnergyADE (see for example the EU Building Stock Observatory). This could then be supplemented by data on local housing stock features where available.

One key finding relates to the lack of geometric generalisation algorithms appropriate to the energy modelling context, i.e., that maintain rectilinearity in the building shape, footprint area (and thus envelope volume) and topology (i.e., adjacency relations between buildings). As such, we adopt and evaluate the generalisation of the 2D building footprints using an off-the-shelf GIS package (ArcGIS), with automated postprocessing to resolve invalidation of the adjacency relations and thus maintain appropriate shared wall geometry and attribution in the energy model. This reduces the number of surfaces in the resulting model, greatly reducing the computational complexity of the scene and the corresponding cost, with a relatively modest degradation in the heating demand estimate (2% underestimation at most).

Applying a single generalisation threshold to urban scenes comprised of different building morphologies is a relatively crude approach. Investigation of the application of different thresholds according to built form or building archetype could be a valuable area for further work. There is likely an ideal threshold to apply at the footprint or block level. Going further, an ideal generalisation approach would aim to maintain exposed wall area (i.e., where heat loss is most drastic), footprint area or building volume, topology and tie-in knowledge of the wall construction (such as likelihood of cavity or solid wall presence). For example, negative effects of generalisation (deviations in the energy demand accuracy) are strongest when an external wall is uninsulated and these cases could be handled with less severe geometric changes.

In terms of EnergyADE modelling, the geometric volume defined for simulation in this work is a single zone; however, the EnergyADE standard supports composition of multiple geometric zones within a building envelope. This could form part of future work. Furthermore, EnergyADE attribution of nonspatial parameters can be performed using national or local surveys of housing stocks where applicable. Where such data is not available or is lacking in key attributes, default values can be applied based on expert knowledge. Housing archetypes can be used to assign attributes to the urban housing stock. In the UK, the EHS is a good source of attribution data due to the size of the survey sample and the frequency of update. It does, however, produce homogenous results and cannot capture local differences in building construction methods and/or design. This limitation could be overcome by using the recently available Energy Performance Certificate dataset, as an alternative or supplement to the EHS data [41]. Supplementing the results with other local data or expert knowledge can also be used to mitigate this limitation.

The use of a dynamic, spatially explicit energy simulation (CitySim+) to predict energy use offers a significant improvement over current approaches to energy modelling. In the UK context, energy performance of buildings is typically assessed using the BRE's Standard Assessment Procedure (SAP) in its standard or reduced data (rdSAP) version [44]. This simplified model uses energy balance equations to predict residential energy use on a monthly or annual basis and does not provide the level of fidelity of a full dynamic energy simulation. See Kelly et al. [44] and Jenkins et al. [45] for a more detailed discussion of the limitations of SAP based energy predictions.

## 6. Conclusion

Efficiently creating urban housing stock models for energy simulation is a challenging task due to the range of information required to accurately represent the characteristics of each building in the scene, and the level of detail available in existing datasets. In addition, ensuring that such models can

be consumed by different simulator applications and that computational complexity can be managed, particularly for larger urban scenes, are important requirements in solving this challenge. This article demonstrates a workflow for the creation of residential scenes encoded using CityGML and EnergyADE schemas. Taking national mapping agency data as the basis for the geometric information, we describe constructing the relevant surface and volume features using attributes of building height and extrusion of 2D footprints. For the energy related features, we statistically modelled residential building characteristics based on a national housing survey sample and used this model to infer likely per-building values for attributes defined by EnergyADE schema. To understand how the computational overhead associated with dynamic simulation could be reduced, we integrated an off-the-shelf geometric simplification routine as part of the workflow. Our experiments show that reducing the number of surfaces with a strong generalisation tolerance led to, at most, a small underestimation (2%) in heating demand with a 30% reduction in the number of simulated surfaces.

We have demonstrated the application of the workflow described in this paper for relatively small UK scenes. Work is underway to improve the ways in which these scenes can be handled, in conjunction with hardware acceleration technology, to facilitate larger, potentially city-scale, microsimulation.

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