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# A thorny issue: woody plant defence and growth in an East African savanna

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1 Abstract

Recent work suggests that savanna woody plant species utilise two different strategies based on their
 defences against herbivory; a low nutrient/high chemical defence strategy and a nutrition paired with
 mostly architectural defences strategy. The concept that chemical and structural defences can augment
 each other and do not necessarily trade-off has emanated from this work. In this study we examine
 woody plant defence strategies, how these respond to herbivore removal and how they affect plant
 growth in an East African savanna.

2. At three paired long-term exclosure sites with high browser and mixed feeder densities at Mpala
Ranch, Kenya we investigated: a) whether defences employed by the dominant fine- and broad-leaved
woody savanna species form defence strategies and if these align with previously proposed strategies,
b) how nine key plant defence traits respond to herbivore removal and c) how effective the different
defence strategies are at protecting against intense herbivory (by measuring plant growth with and
without herbivores present).

14 3. We identified three defence strategies. We found a group (1) with high N, short spines and high Nfree secondary metabolites, a group (2) with high N, long spines and low N-free secondary metabolites 15 16 and a group (3) with moderate N, no spines and low N-free secondary metabolites (most likely defended 17 by unmeasured chemical defences). Structural defences (spine length, branching) were generally found 18 to be induced by herbivory, leaf available N increased or did not respond, and N-free secondary 19 metabolites decreased or did not respond to herbivory. Species with long spines combined with 20 increased 'caginess' (dense canopy architecture arising from complex arrangement of numerous woody 21 and spiny axis categories) of branches, maintained the highest growth under intense browsing, 22 compared to species with short spines and high N-free secondary metabolites and species with no 23 spines and low N-free secondary metabolites.

Synthesis. At our study site, structural traits (i.e. spines, increased caginess) were the most inducible and
effective defences against intense mammalian herbivory. We propose that high levels of variability in
the way that nutrient and defence traits combine may contribute to the coexistence of closely related
species comprising savanna woody communities.

28

29 Key-words: herbivore exclosures, induced defences, mammal browsers, N-free secondary metabolites,

30 plant defence strategies, plant-herbivore interactions, structural defences

31

## 32 Introduction

33 A longstanding conundrum in ecology is how plants persist in the face of intense herbivory when 34 resources are limited. Plants growing in African savannas have a long history of co-evolution with a 35 diverse array of mammalian herbivores, and have evolved a range of different strategies to deal with 36 herbivory (Charles-Dominique et al., 2016). Classical defence theory suggests that plants can respond to 37 herbivory in two ways, i.e. either tolerate or resist it (Herms & Mattson, 1992; Strauss & Agrawal, 1999; 38 Núñez-Farfán, Fornoni, & Valverde, 2007). Defence theories make predictions as to how plants allocate 39 resources to traits that confer tolerance vs. resistance depending on the environmental conditions and 40 available resources. While much work has focussed on generating theories that predict allocation to 41 defence-related plant secondary metabolites (e.g. see Stamp, 2003 for a review of the different 42 theories), it is less clear under what conditions (i.e. resource and herbivory levels) plants should invest in 43 structural defences and more specifically in spines as none of the existing defence theories are able to 44 clearly predict the incidence of spinescence (Grubb, 1992; Herms & Mattson, 1992; Hanley, Lamont, 45 Fairbanks, & Rafferty, 2007; Tomlinson et al., 2016).

46 More recently, studies of plant investments in traits related to herbivore tolerance or resistance 47 have increasingly recognized that defence must be considered in terms of co-adapted trait complexes, 48 i.e. 'syndromes', or 'strategies', rather than simple trade-offs between allocation to growth vs. defence 49 (Steward & Keeler, 1988; Twigg & Socha, 1996; Koricheva, Nykänen, & Gianoli, 2004; Agrawal & 50 Fishbein, 2006; Read et al., 2008; Cornelissen et al., 2009; Moles et al., 2013; Barton, 2016). For 51 example, Da Silva & Batalha, (2011) categorised plants growing in South American savannas into two 52 defence syndromes; a low nutrient/high chemical defence syndrome (low nitrogen, low specific leaf 53 area and presence of secondary metabolites) and a nutrition and defence syndrome (high nitrogen, 54 thicker leaves and higher density of trichomes). Tomlinson et al., (2016) found that rather than trade-55 offs between traits, defences of savanna juvenile tree species could be grouped into two strategies: a 56 low nutrient/high chemical defence strategy that may include low nutrient content, physical toughness 57 and leaf chemicals (either digestion retardants such as tannins or poisons such as alkaloids) that make 58 plants unattractive to herbivores, and a structural or architectural defence strategy which may include 59 spinescence, branching and pubescence. Likewise, in a study of southern African savannas spanning a 60 range of resource levels, Wigley, Fritz, & Coetsee, (2018) found a low nutrient/high chemical defence

strategy with low N and high N-free secondary metabolites levels as well as a nutrition and defence
strategy which included variable combinations of chemical and structural defences.

63 While the classification of savanna trees into these two categories - 'low nutrient/high chemical 64 defence' and 'nutrition combined with architectural defence' - provides a first approximation of their overall defence strategies, defence strategies in savanna trees are likely to be more nuanced than these 65 66 broad categories suggest. Previous studies have often found several 'clusters' or 'groups' within 67 overarching strategies, particularly for the nutrition and defence strategy (Agrawal & Fishbein, 2006; Da 68 Silva & Batalha, 2011; Wigley et al., 2018). For example, Wigley et al., (2018) proposed that the nutrition 69 and defence strategy in southern African savanna trees can be subdivided into two groups; a strategy 70 that includes high leaf N and high structural defence investment, but low investment in N-free 71 secondary metabolites, as well as an additional strategy that includes plants with high leaf N and a high 72 incidence of both structural defences and N-free secondary metabolites. Agrawal & Fishbein, (2006) 73 similarly found two clusters within the nutrition and defence syndrome; in one, species were defended 74 through a combination of latex and trichomes, and in the other, through cardenolides.

75 There are good reasons why multiple traits should evolve as strategies or syndromes, including 76 the need for plants to defend themselves against a wide range of herbivores (Agrawal, 2011). However, 77 there are only a few studies that have investigated how plant physical and chemical defences that 78 comprise these strategies or syndromes are induced or relaxed in response to herbivore presence or 79 exclusion (i.e. do plants induce different defence traits similarly in response to herbivory or are changes 80 greater for one vs. the other?), ultimately limiting our understanding of the 'syndrome' concept (Barton, 81 2016). Furthermore, it is very difficult to evaluate how different defence strategies affect plant 82 performance as this typically requires long-term herbivore exclusion experiments.

83 For syndromes to manifest, plants should be able to employ different combinations of physical 84 and chemical defences (Barton, 2016). Plant defence theories predict that slow-growing plants (which 85 are usually associated with limited resources) should invest heavily in defences and more specifically in 86 low maintenance defences such as tannins and lignin (Bryant, Chapin III, & Klein, 1983; Coley, Bryant, & 87 Chapin III, 1985; Coley, 1988; Herms & Mattson, 1992; Craine et al., 2003). Depending on the theory, 88 growth-dominated plants are predicted to either have low investments in defences (Bryant et al., 1983; 89 Herms & Mattson, 1992) or invest in gualitative defences (Coley et al., 1985; Coley, 1988). Architectural 90 defences which include spines are generally predicted to be more prevalent on nutrient-rich soils, especially in savanna ecosystems (Grubb, 1992; Craine et al., 2003; Scholes et al., 2002; Hanley et al., 91

92 2007). Wigley et al., (2018) found some support for this with significantly higher branching and spine 93 densities at nutrient-rich compared to nutrient poor sites in Southern African savannas. Architectural 94 defences (spines and branching density or 'caginess') are generally induced by herbivory in savannas 95 (e.g., Milewski et al., 1991; Wigley et al., 2015). Chemical defences on the other have been found to be 96 down-regulated by herbivory in savannas, most likely due to C-limitation imposed by the repeated 97 removal of photosynthesising leaf material by browsing herbivores (e.g., Scogings, Hjältén, & Skarpe, 98 2011, 2013; Scogings, Mamashela & Zobolo, 2013; Wigley et al., 2015). It is, however, still not clear if 99 similar plant functional types (e.g., fine- vs. broad-leaved) or closely related species within a community 100 growing on the same soils (i.e. same soil fertility status) employ similar suites or combinations of 101 defences (defence syndromes).

102 We note that 'syndrome' or 'strategy' and 'cluster' or 'group' have often been used 103 interchangeably in the plant defence literature (Agrawal & Fishbein, 2006; Da Silva & Batalha, 2011; 104 Tomlinson et al., 2016). For the sake of expediency, we consistently use 'strategy' and 'group' hereafter 105 to encompass the definitions of syndrome and cluster respectively. We examine defence strategies in 106 savanna trees by quantifying the expression of plant physical and chemical defences as well as plant 107 growth in the presence vs. absence of intense browsing by mammalian herbivores, using a set of long-108 term herbivore exclosures in an East African savanna in Laikipia County, Kenya. Specifically, we asked 109 the following questions: 1) Are the defence strategies observed in the dominant fine- and broad-leaved 110 woody species of this East African savanna similar to those documented in other savanna ecosystems -111 e.g., low nutrient/high chemical defence strategy (sensu Tomlinson et al., 2016) vs. nutrition and 112 defence strategy (Tomlinson et al., 2016; Wigley et al., 2018)? 2) Are there differences in the extent to 113 which alternative defence strategies are successful at resisting herbivory, i.e. as indexed by differences 114 in growth in the presence and absence of herbivory? 3) Are there differences in how physical and 115 chemical traits associated with different defence strategies respond to herbivory, i.e. which traits are 116 more inducible? Based on previous work (Da Silva & Batalha, 2011; Tomlinson et al., 2016; Wigley et al., 117 2018), we expect to find different defence strategies being employed by woody plants in our study 118 system with various combinations of chemical and structural defences. We expect that these different 119 strategies will determine how successful plants are at resisting herbivory, i.e. we expect to find 120 differences in growth between strategies. Finally, based on previous findings, we predict that structural defences will be higher (induced) with herbivores present, but chemical defences will not be up-121 122 regulated at high browsing intensities (e.g., Scogings, Hjältén, & Skarpe, 2013; Scogings, Mamashela & 123 Zobolo, 2013; Wigley et al., 2015).

124

#### 125 Methods

126 Study Sites

Our study was carried out at the Mpala Research Centre (MRC) and Mpala Ranch (190 km<sup>2</sup>) in Laikipia 127 128 County in central Kenya (37°53' E, O°17' N). We used three sets of herbivore exclosures constructed at 129 MRC in 1999. The exclosures consisted of an 11-strand, 3-m tall electrified fence with additional mesh 130 and electrified wires from 0 - 0.5 m height and excluded herbivores larger than 2 kg for seventeen years 131 (Augustine & McNaughton, 2004; Sankaran, Augustine, & Ratnam, 2013). The savannas at these sites 132 occur on red, sandy loam soils developed from basement, metamorphic parent materials (Augustine, 133 2003; Pringle, Prior, Palmer, Young, & Goheen, 2016). Topography consists of gently, rolling hills, 134 interspersed with occasional granitic inselbergs (Augustine & McNaughton, 2006). The long-term mean 135 annual rainfall (1972–2009) is 514 mm (Sankaran et al., 2013). Vegetation is characterized by an Acacia-136 dominated tree and shrub community and a discontinuous layer of perennial grasses (Augustine, 2003). 137 The most common native browsers and mixed feeders found include impala Aepyceros melampus (c. 20 km<sup>-2</sup>), Günther's dik-dik *Madogua quentheri* (c. 140 km<sup>-2</sup>) and elephant *Loxodonta africana* (c. 1.7 km<sup>-2</sup>; 138 139 Augustine, 2010). Impala and dik-dik are present throughout the year, while elephants tend to migrate 140 into the area during the wet season and are less abundant during dry seasons (Augustine, 2010; 141 Thouless, 1995). Eland Taurotragus oryx, giraffe Giraffa camelopardalis reticulata and greater kudu Tragelaphus strepsiceros also occur at Mpala at lower densities (see Augustine 2010). Previous studies 142 143 have shown that the high densities of browsers and mixed-feeders at the study sites have major effects 144 on the dynamics, population demography and structure of the woody vegetation (Augustine & 145 McNaughton, 2004; Sankaran et al., 2013).

146

## 147 Trait sampling

148 In 2016, we sampled the six most abundant woody species for key plant traits constituting the

149 architectural defence and low nutrient/high chemical defence strategies. Three of the six species were

150 fine-leaved species (Acacia mellifera, Acacia etbaica and Acacia brevispica), and three were broad-

151 leaved (Balanites pedicellaris, Grewia tenax and Croton dichogamus). Both Balanites pedicellaris and

152 *Croton dichogamus* are evergreen species. Species nomenclature is based on Noad & Birnie (1990).

153 Measured traits that influences leaf guality included concentrations of leaf condensed tannins 154 and total polyphenolics, leaf total and available N, specific leaf area and fibre. Architectural-related traits 155 included spine length, spine density, bite size index and a branching index. For trait measurements, we 156 randomly selected five individuals of each species at each site in the sapling size class (typically between 157 1 and 2 m in height) inside exclosures (h-) and in adjacent control plots with herbivores present (h+). We 158 collected fully expanded, sun-exposed leaf material from each plant during the peak of the growing 159 season for nutrient and chemical analyses. All leaf material was air-dried until samples reached constant 160 weight at Mpala Research Station. Samples were milled using a MF10 basic IKA grinder fitted with a 1 161 mm sieve.

162 We analysed leaf material for nitrogen (N) using a Leco TruSpec CN Analyser (LECO Corporation, 163 St. Joseph, MI). Dried leaf digestibility and leaf available N were determined as outlined in DeGabriel et 164 al. (2008), but with some improvements. Briefly,  $800 \pm 10$  mg of dry leaf material was transferred into 165 pre-weighed filter bags (ANKOM F57, ANKOM Technology) and heat-sealed to lock in plant material. A 166 maximum of 20 bags were placed in a 1 litre polypropylene screw cap container to which 35 ml of 167 pepsin solution per bag was added. Bags were incubated at 37°C for 24 h with circular shaking in an 168 orbital rotator (GFL 3040, Gesellschaft für Labortechnik mbH, Germany) at 14 rpm. The addition of 169 rotational shaking far better simulates ruminal contraction or gut motility, which is an important 170 physiological adaptation, which ensures constant mixing of ingested food and probably aids in digestion 171 (Clauss et al., 2005). After incubation, bags were washed five times with distilled water, after which 35 172 ml of cellulose solution was added to each bag and incubated as outlined above for 48 h. After 173 incubation, bags were again washed 10 times with distilled water and dried at 60°C to constant weight. 174 To account for any loss to the bag in the incubation process, a blank bag was included. Dry matter 175 digestibility was calculated from the amount of material lost in the incubation process and leaf available 176 N was calculated by subtracting N remaining in the residue from the initial total leaf N. We then 177 calculated how much of the total leaf N was available to herbivores on a dry weight basis and named this total available N (i.e. leaf N (mg  $g^{-1}$ ) x proportion available N). We measured the concentration of 178 179 total condensed tannins (CT) in each leaf sample following Hattas & Julkunen-Tiitto, (2012) and the 180 concentration of total polyphenolic compounds (TP) following Hattas et al., (2005). We used an Ankom 181 fibre analyser to measure acid detergent fibre (ADF) content of all leaf samples which provides an index 182 of the unpalatable fibre component of leaf material and includes the cell-wall components of cellulose 183 and lignin (Cooper, Owen-Smith, & Bryant, 1988).

To measure specific leaf area, we collected 10 - 20 healthy, fully expanded, sun-exposed leaves from each plant and immediately scanned them at a resolution of 300 dpi in the field using a Canon CanoScan LiDE 100 flatbed scanner. After scanning, the leaves were oven dried and weighed. We measured the area of the leaf scans using Black Spot (Varma & Osuri, 2013). We calculated specific leaf area (SLA) by dividing leaf area by dry weight for each plant.

189 We measured average spine lengths and average diameter at the spine base of ten mature 190 spines on each of 3 branches per plant using digital Vernier callipers. We determined spine density by 191 counting the total number of spines on a measured length of each of the same three branches and 192 dividing by the branch length. We calculated branch density on three branches per tree by dividing the 193 number of lateral branches on each branch by the length of the terminal branch (see Perez-194 Harguindeguy et al., 2013). The bite size index (BSI) for a given plant was estimated as the total dry 195 weight of leaves removed from ten human bites taken from each plant (see Wigley et al., 2014; Charles-196 Dominique et al., 2015). An attempt was made to remove the maximum amount of leaf material with 197 each bite. The same person conducted all BSI measurements to control for potential differences 198 between individual recorders.

199

## 200 Plant growth measurements

201 At the time of fence construction in 1999, all individual trees and shrubs >0.5 m tall within a 50 x 50 m 202 area in each exclosure and paired control site were mapped, tagged and their basal area (at 15 cm 203 above-ground level, including all stems on multi-stemmed individuals), canopy dimensions (maximum 204 length and width in the cardinal directions) and plant height were measured. All plots were fully 205 censused again in 2002, 2009 and 2016. During each census, the height, basal area and canopy 206 dimensions of all previously tagged plants were remeasured and all new recruits (>0.5 m) were also 207 measured and recorded and all mortalities were noted. We calculated the mean change in plant height 208 and basal area for each of the six species using all individuals that were mapped and measured in 1999 209 and that were still present in 2009 in each treatment at the three sites (Acacia brevispica n = 359, Acacia 210 etbaica n = 349, Acacia mellifera n = 326, Balanites pedicellaris n = 24, Grewia tenax n = 205, Croton 211 dichogamus n = 54). By 2009, plant densities inside the exclosures had significantly increased to a level 212 where competition between plants was likely to have started affecting growth. We therefore only used 213 growth data up until 2009 to avoid the effects of interspecific and intraspecific competition.

214

## 215 Statistical analyses

216 All analyses were performed using R version 3.3.1 (R Development Core Team, 2016). To test whether 217 species adopted different defence strategies and how these strategies responded to herbivore 218 exclusion, we ran a principal component analysis (PCA) of the nine measured defence traits using the 219 function dudi.pca (ade4 package for R, Dray & Dufour 2007). We ran Horn's Parallel Analysis using the 220 function paran (paran package for R) to evaluate the number of components to be retained in the 221 principal components analysis. We first used the Fligner-Killeen test of homogeneity of variance 222 (fligner.test in the stats package for R) to test if the data used for treatment (h- vs. h+) comparisons 223 were normally distributed. When the assumption of normality was met, we used paired t-tests to 224 evaluate effects of browser exclusion on the measured plant traits, change in plant height and woody 225 basal area for each of the six dominant woody species. When the assumption of normality was violated, 226 we used the nonparametric Wilcoxon rank sum test. We pooled trait data from the three exclosure sites 227 for each species, giving 15 individuals sampled for each treatment for four of the species. Acacia 228 brevispica and Croton dichogamus only occurred at two of the three sites, and consequently we only 229 had 10 individuals sampled for each trait in each treatment.

230

#### 231 Results

#### 232 Defence strategies

233 Results from Horn's Parallel Analysis for factor retention based on 5000 iterations, using the mean 234 estimate, showed that the first six components of the PCA should be retained. The PCA based on the 235 measured plant traits showed that the six dominant species at the study site separated into three broad 236 groups along the first two PC axes, which together, accounted for almost 60% of the total variance (Fig. 237 1). This separation was most strongly driven by differences in spine length and fibre on PC1, separating the two species with long spines and lower fibre (Acacia etbaica and Balanites pedicellaris) from the 238 239 species with higher fibre and short spines (Acacia brevispica and Acacia mellifera) or no spines (Grewia 240 tenax and Croton dichogamus (Table 1). On PC2 the groups were most strongly separated by differences 241 in N-free secondary metabolites and spine density. Two of the broad-leaved species, Grewia tenax and 242 Croton dichogamus were similar in terms of having no spines and lower concentrations of N-free

secondary metabolites and were most strongly separated from *Acacia brevispica* and *Acacia mellifera*,
which had high N-free secondary metabolites and spine densities (Fig.1, Table1).

245

## 246 Effects of herbivore removal on plant defence traits and strategies

247 The PCA (Fig. 1) illustrates the effects of herbivore removal and control treatments on the plant defence 248 traits (and strategies), with herbivore removal treatments diverging from control treatments along both 249 PC axes to varying degrees (Fig. 1). However, none of the species changed defence strategies as a result 250 of herbivore removal; both structural and chemical defences responded to variable degrees both within 251 and between strategies. The long-term removal of large mammalian browsers from this savanna did not 252 affect leaf total N and acid detergent fibre for any of the six of the woody species (Table 2). Specific leaf 253 area declined (i.e. leaves became smaller and thicker) with herbivore exclusion for one fine-leaved 254 species (A. mellifera; P = 0.05) and increased (leaves became larger and thinner) with herbivore 255 exclusion for two broad-leaved species Balanites pedicellaris (P = 0.03) and Grewia tenax (P = 0.09; Fig. 256 2). Herbivore removal resulted in higher total polyphenols in A. etbaica and A. mellifera (P = 0.07 and P =257 0.01 respectively) and significantly higher condensed tannins in A. brevispica (P = 0.003; Fig. 2) and 258 lower available N in Acacia mellifera (P < 0.1) and Croton dichogamus (P < 0.01). Plant architectural 259 defences (spines and branching densities) were found to relax with herbivore removal, i.e. became less 260 structurally defended. Herbivore removal decreased the branching index and branches per volume (P <261 0.001) and increased the bite size index (i.e. more leaf biomass per bite; P < 0.0001) for all species 262 except Croton dichogamus (Figs. 3 & 4). Herbivore removal resulted in significantly shorter spines in A. 263 etbaica (P < 0.001) and A. mellifera (P = 0.02) and a significantly lower density of spines in A. etbaica (P = 0.02) and a significantly lower density of spines in A. etbaica (P = 0.02) and a significantly lower density of spines in A. 264 0.01) (Fig. 3).

265

#### 266 *Growth, defence strategies and responses to herbivore removal*

267 Over the 10-year period between 1999 and 2009, we documented substantial variation in growth which

we present in relation to the three groups. When growing in the presence of herbivores, group 1 (A.

brevispica and A. mellifera) decreased in height (-0.42 ± 0.07 m and -0.10 ± 0.09 m respectively) but

increased in basal area  $(2.69 \pm 1.16 \text{ cm}^2 \text{ and } 11.0 \pm 6.56 \text{ cm}^2)$ . In this group, herbivore removal resulted

- in significantly taller plants with higher basal area than with herbivores present (*P* < 0.001, Table 3, Fig.
- 5). Group 2 (*Acacia etbaica* and *Balanites pedicellaris*) increased in height (0.31 ± 0.06 m and 0.24 ± 0.44

m respectively) and basal area  $(20.9 \pm 3.14 \text{ cm}^2 \text{ and } 34.6 \pm 12.4 \text{ cm}^2)$  in the presence of herbivores. In 273 274 this group, herbivore removal increased plant height (P < 0.01) but not basal area (Table 3, Fig. 5). Plants 275 in group 3 (Grewia tenax and Croton dichogamus) generally decreased in height but no consistent trend 276 was evident for basal area when herbivores were present. For Grewia tenax, herbivore removal resulted 277 in significantly taller plants with higher basal areas (P < 0.001). Croton dichogamus plants showed a 278 slight decrease in height and increase in basal area during the study period with herbivores present; 279 however, neither of the measures of growth differed between the herbivore removal and herbivores 280 present treatments (P > 0.05). Based on the measured changes in plant height and basal area in the 281 presence vs. absence of browsers over the course of a decade, we ranked the three groups from low to 282 high in terms of their capacity to persist in the face of intense browsing pressure, where group 1 < group283 3 < group 2 (Table 3, Fig. 5).

284

# 285 Discussion

286 Our overarching goal was to examine how woody species in this East African savanna use suites of traits 287 to contend with a relatively intense browsing regime imposed by a diverse and abundant assemblage of 288 large, mammalian herbivores. The hypotheses which underpin classic plant defence theory, rely heavily 289 on resource availability to predict whether plants invest in growth or defence (Herms & Mattson, 1992) 290 and hence, do not predict relative investments in structural vs. chemical defences (Hanley et al., 2007) 291 or which defences will be most effective under fixed resource levels but varying herbivory pressure. Our 292 results indicate that 1) woody species in this system can be classified into three different groups or 293 strategies based on their differential investment in structural and chemical defences, 2) structural and 294 chemical defences responded to varying degrees to the removal of herbivores, both within and across 295 groups, but plant defence strategies remained qualitatively unchanged even after nearly two decades of 296 herbivore exclusion, 3) structural and chemical defences did not respond in the same way to herbivore 297 removal, with structural traits (especially branching) typically responding more positively (i.e. increased), 298 and 4) the species that were most resilient to intense browsing (i.e. achieved the highest growth) were 299 those that maintained high spine length, spine density and branching in the presence of herbivores.

None of the species in our study site appear to be adopting the 'low nutrient/high chemical
 defence' strategy, with most species having moderate to high leaf N compared to species previously
 categorised as low nutrient/high chemical defence strategists in other savanna systems (Wigley et al.,

303 2018, Fig. 1a). The three strategies we identified thus all fall within the broad remit of 'nutrition and 304 defence', but species in these different groups appear to invest variably in structural and N-free 305 chemical defences. Fine-leaved species such as Acacia brevispica and Acacia mellifera (group 1) have 306 moderate to high leaf N, low investment in structural defences (short spines) and high investment in N-307 free chemical defences (high CT and TP). Acacia etbaica and Balanites pedicellaris (group 2) similarly had 308 moderate to high leaf N and both species invested more in physical defences (dense long spines/thorns) 309 than chemical defences (low N-free secondary metabolites). Finally, species such as Grewia tenax and 310 Croton dichogamus (group 3; both broad-leaved) had moderate to high leaf N, no spines and low N-free 311 secondary metabolites.

312 Based on our measured changes in plant height and basal area in the presence compared to 313 absence of browsers over the course of one decade, we ranked the six woody species from low to high 314 in terms of their capacity to coexist with intense browsing pressure, where G. tenax < A. brevispica < A. 315 mellifera < C. dichogamus < B. pedicellaris < A. etbaica (Fig. 5). Our results for the two broad-leaved 316 species that lack investment in spines (group 3; G. tenax and C. dichogamus) provide several key insights 317 regarding strategies for "living with browsers". Based on the framework developed from southern 318 African savannas (Wigley et al., 2018), we expected both species to invest heavily in N-free secondary 319 metabolites, as a trade-off to the lack of armament. Contrary to this prediction, neither species invested 320 in N-free secondary metabolites, either in the presence or absence of large herbivores.

321 Lower leaf N (both total and available) was one conspicuous trait distinguishing *Croton* from the 322 other species in this study, and may contribute to the fact that this species is generally unpalatable and 323 uneaten by large browsers (Kartzinel et al., 2014). However, leaf N (~2.2%) was not so low as to 324 compromise ruminant digestion efficiency (which typically occurs closer to 1% N, Van Soest, 1994), 325 suggesting that some other potentially costly, and as yet unmeasured aspect of Croton leaf chemistry is 326 a key trait allowing this broad-leaved species to coexist with large browsers. Croton spp. in general are 327 highly aromatic and known to contain multiple unique secondary chemicals including cembranoids, 328 halimanes, crotofolanes, sesquiterpenoids, flavonoids, and cyclohexanol derivatives (Langat et al., 329 2016), some of which are very successful in limiting vertebrate and invertebrate herbivory (Levin, 1976; 330 Kaplan, Halitschke, Kessler, Sardanelli, & Denno, 2008). Including these types of chemical defences in a 331 generalized trait framework will be challenging as they are not ubiquitous across species and their 332 expression can depend on specific herbivore elicitors (Moreira et al., 2013).

333 The lack of investment in N-free secondary metabolites by the second broad-leaved species, 334 Grewia tenax, was also surprising, but consistent with our finding that growth of this species is severely 335 negatively affected by large browsers, and with previous work showing substantial declines in all size 336 classes of G. tenax in the presence of browsers in this savanna (Augustine & McNaughton, 2004; 337 Sankaran et al., 2013). Rather than employing any form of costly chemical defence, this species appears 338 to coexist (uneasily) with browsers by increasing the complexity of its branching architecture (BI), and 339 growing in close association with other thorny species that create structural refugia where G. tenax 340 saplings are protected from browsers (personal observation by all authors, see also Coverdale, Goheen, 341 Palmer, & Pringle, 2018). One value of this strategy is that when browsing pressure is removed or low, 342 the lack of investment in costly defences, combined with high leaf N, allows for rapid growth.

343 The remaining four species belonging to the other two groups all have some form of investment 344 in spines. They can be arrayed along a gradient of increasing spine investment from group 1 to group 2, 345 with species in group 1 consisting of those with short, straight spines (A. brevispica) or short, recurved 346 spines (A. mellifera), and those in group 2 having either long, straight spines (B. pedicellaris), or both 347 short, recurved and long, straight spines (A. etbaica). Species with the longest and most dense spines 348 (group 2) showed little to no investment in CT or TP, while the species with low spine investment (group 349 1) showed the greatest concentrations of both CT and TP (Fig. 2). The latter strategy experiences greater 350 consumption by browsers in this landscape relative to the former (Ford et al. 2014), consistent with 351 previous studies which have reported that species with higher investment in structural defences (group 352 2) are most successful at coexisting with browsers in this savanna system (Goheen, Keesing, Allan, 353 Ogada, & Ostfeld, 2004; Sankaran et al., 2013). In the presence of browsers, species in both groups 1 354 and 2 maintained an increased complexity of their branching architecture combined with smaller leaves 355 (reflected in substantial reductions in bite size index). Increased branching, even in the absence of 356 changes in thorn length and density, which occurred in some species but not others, can result in 357 dramatic increases in the number of spines per unit volume of canopy (Archibald & Bond, 2003; Staver, 358 Bond, Cramer, & Wakeling, 2012), serving as an effective deterrent for browsers.

To summarise, the strategies expressed by spinescent species in terms of "living with browsers" can be characterized as (1) very high and inducible investment in spines but not N-free secondary metabolites and low available N, leading to greatest success under intense browsing (*A. etbaica*), (2) moderate and inducible investment in straight spines, combined with thicker, more fibrous leaves, but high available N, leading to sufficient success under intense browsing (*B. pedicellaris*), (3) low and non364 inducible investment in recurved spines combined with high N-free secondary metabolites and low 365 available N, leading to reduced success under browsing (A. mellifera), and (4) low and non-inducible 366 investment in short spines combined with moderate investment in N-free secondary metabolites and 367 high available N, leading to low success under browsing (A. brevispica). Fornara and Du Toit (2008) 368 suggested that physical defences together with mass compensatory growth abilities are key adaptations 369 to living with high browsing pressure. Our findings lend strong support to this notion as the most heavily 370 structurally defended species (A. etbaica and B. pedicellaris) performed the best in the presence of 371 herbivores despite of low investments in N-free secondary metabolites. However, Scogings et al. (2011) 372 predicted that the concentrations of N-free secondary compounds would depend on browsing intensity 373 with the highest concentrations occurring at intermediate levels of browsing for certain functional groups. For example, Scogings et al. (2011), predict a linear increase in N-free secondary compounds 374 375 with browsing intensity, with a potentially sudden decrease at very high levels of browsing pressure in 376 deciduous savanna species. As our experimental design lacked an intermediate browsing pressure 377 treatment, we were unable to test these predictions.

378 Our analyses of available N provided some interesting and valuable insights. Firstly, 379 interpretations of browse quality differ depending on whether total N (also commonly converted to 380 crude protein by multiplying by 6.25, e.g. Cooper et al. 1998) or available N is evaluated. For example, 381 the three Acacia species in this study; A. brevispica, A. mellifera and A. etbaica all had similar and 382 relatively high concentrations of leaf total N (~3.8, 3.5 and 3.3% respectively) but the proportion of the 383 total N available to herbivores in A. brevispica was ca. 20% higher than in A. mellifera and A. etbaica 384 (~2.4, 1.7 and 1.5% respectively, see Table 2). If we only looked at total N they would all appear to be of 385 high quality, however if we look at available N it appears as if A. brevispica is of much higher quality than 386 the other two species. Secondly, available N appears to be more responsive to herbivore removal than 387 total leaf N. No differences in total N were evident between herbivory treatments, while available N was 388 significantly higher in A. mellifera and C. dichogamus when herbivores were present. Thirdly, differences 389 in available N do not seem to be linked to phylogeny (e.g., high in some Acacia species, low in others), 390 functional type (e.g., fine-leaved vs. broad-leaved) nor concentrations of N-free secondary metabolites 391 (available N found to be low in species with both high and low N-free secondary metabolites). Finally, 392 these results raise some important questions regarding the commonly held view (especially in African 393 savannas) that fine-leaved species (e.g. Acacia) have higher guality leaves and are structurally defended, 394 while broad-leaved species are less nutritious and chemically defended. For example, G. tenax and B. 395 pedicellaris, both broad-leaved species, had higher available N than the two fine-leaved species, A.

396 *mellifera and A. etbaica*. These findings highlight the need for further studies to elucidate the

397 determinants of leaf available N and that caution needs to be taken when using total N as a measure of

398 browse quality. Furthermore, our findings suggest that neither total N nor available N are sufficient by

themselves to predict browser utilisation, highlighting the need to consider all traits collectively (i.e.

400 defence strategies).

401

# 402 Conclusion

403 All of the dominant species at our study site had moderate to high leaf N concentrations but differed in 404 available N, and in the way they combined chemical and structural defences, thus fitting under the 405 broader 'nutrition and defence' syndrome previously described for savannas. Structural defences had 406 more pronounced responses to herbivory through increased spine length and density and/or increased 407 branching, while N-free secondary metabolites did not increase in response to herbivory. High 408 investment in structural defences was the most successful defence strategy at our study sites with 409 moderate resource levels (relative to other African savannas) and high herbivory pressure, resulting in 410 the highest growth in this savanna system. This work has shown that within one overarching defence 411 syndrome (nutrition and defence), species within a community can widely diverge in their adopted 412 defence strategies. Endara et al., (2015) report high levels of dissimilarity in the defences of closely 413 related co-occurring forest species and suggest that this would be necessary for the coexistence of 414 closely related neighbours and could account for the high local diversity of tropical forests. Similarly, we 415 suggest that diverse defence strategies enable savanna species (which are often closely related) to 416 occupy different niches and defend against different types of herbivores resulting in more resilient and 417 species rich woody communities.

418

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### Author contributions

BJW, CC, DA, and MS co-wrote the manuscript. BJW and MS collected the data. DA, MS, and JR implemented the exclosure experiment. DH conceptualised and performed the analytical approaches with respect to fibre and available N determination and performed condensed tannin and total polyphenol analyses. All authors commented and added to earlier versions of the manuscript.

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Tables

Table 1. Eigenvector scores for the principal component analysis (PCA) based on nine defence traits. SLA = specific leaf area, TP = total polyphenols, CT = condensed tannins, ADF = acid detergent fibre, BI = branching index and BSI = bite size index.

	PC1 (33%)	PC2 (26%)	PC3 (15%)	PC4 (10%)	PC5 (8%)	PC6 (4%)
Spine length (mm)	-0.53	-0.05	0.19	-0.07	0.10	0.25
Spine density (spines cm <sup>-1</sup> )	-0.30	-0.46	0.13	0.04	0.43	0.16
Total available N (mg g⁻¹)	0.08	0.38	-0.29	0.26	0.78	-0.26
SLA (cm <sup>2</sup> g <sup>-1</sup> )	0.32	-0.04	0.48	-0.56	0.15	-0.39
TP (%)	0.28	-0.49	-0.28	0.09	-0.11	-0.40
CT (%)	0.33	-0.42	-0.36	0.09	0.04	0.37
ADF (%)	0.48	0.13	0.07	-0.30	0.24	0.61
BI (branches cm <sup>-1</sup> )	-0.14	0.37	-0.53	-0.40	-0.24	0.05
BSI (g)	0.28	0.28	0.37	0.59	-0.23	0.12

Table 2. Mean ± se for leaf total available nitrogen (mg g<sup>-1</sup>), leaf total nitrogen (mg g<sup>-1</sup>), specific leaf area (SLA, cm<sup>2</sup> g<sup>-1</sup>), leaf total polyphenolics (TP, %), leaf condensed tannins (CT, %), leaf acid detergent fibre (ADF, %), branching index (BI, branches cm<sup>-1</sup>), bite size index (BSI, g) and average spine length (ASL, mm). Treatments are herbivores excluded (h-) and herbivores present (h+). Group membership is indicated in parentheses next to each species. Significance levels are indicated by: z = p < 0.1, z = p < 0.05, z = p < 0.01, z = p < 0.001 and are highlighted in bold.

Plant trait	treatment	Aca bre (1)	Aca mel (1)	Aca etb (2)	Bal ped (2)	Gre ten (3)	Cro dic (3)
Total available N	In (h-)	23.4 ± 1.42	15.6 ± 1.06	15.6 ± 0.86	21.5 ± 2.4	22.6 ± 0.99	12.6 ± 0.24
	Out (h+)	24.8 ± 1.11	<b>18.5 ± 1.05</b> <sup>.</sup>	15.2 ± 1.14	23.5 ± 1.71	22.0 ± 0.93	16.7 ± 0.82**
Leaf total N	In (h-)	36.1 ± 2.20	34.4 ± 0.88	32.9 ± 0.90	28.3 ± 1.90	34.0 ± 0.90	21.4 ± 0.30
	Out (h+)	39.4 ± 1.30	35.2 ± 0.60	32.0 ± 0.80	31.2 ± 1.50	32.2 ± 0.90	23.4 ± 0.70
SLA	In (h-)	102 ± 7.34	107 ± 5.44	141 ± 7.86	54.6 ± 5.07	150 ±5.14	147 ± 6.00
	Out (h+)	119 ± 8.09	118 ± 3.02*	139 ± 12.0	40.7 ± 2.52*	<b>136 ± 7.12</b> <sup>.</sup>	146 ± 6.00
ТР	In (h-)	$3.31 \pm 0.46$	6.26 ± 0.25	1.55 ± 0.09	0.55 ± 0.03	$1.09 \pm 0.14$	1.26 ± 0.06
	Out (h+)	2.78 ± 0.30	5.35 ± 0.22*	<b>1.36 ± 0.07</b> <sup>.</sup>	0.55 ± 0.05	$1.29 \pm 0.13$	$1.42 \pm 0.05$
СТ	In (h-)	4.72 ± 0.57	6.58 ± 1.04	$0.38 \pm 0.04$	0.08 ± 0.007	1.42 ± 0.22	0.33 ± 0.03
	Out (h+)	2.48 ± 0.37**	7.15 ± 0.51	$0.41 \pm 0.04$	$0.09 \pm 0.01$	1.54 ± 0.22	0.37 ± 0.01
ADF	In (h-)	32.9 ± 2.39	37.1 ± 1.98	30.4 ± 1.29	24.6 ± 0.89	43.0 ± 0.84	33.8 ± 0.45
	Out (h+)	35.0 ± 2.16	33.6 ± 1.83	28.8 ± 1.58	$24.1 \pm 0.60$	42.0 ± 1.05	32.4 ± 1.16
BI	In (h-)	0.07 ± 0.03	0.09 ± 0.03	0.04 ± 0.007	0.25 ± 0.03	0.16 ± 0.02	0.67 ± 0.16
	Out (h+)	0.45 ± 0.05***	0.38 ± 0.04***	0.32 ± 0.04***	1.25 ± 0.08***	1.19 ± 0.18***	0.56 ± 0.07
BSI	In (h-)	3.00 ± 0.23	2.01 ±0.15	0.81 ± 0.06	2.49 ± 0.23	5.57 ± 0.48	5.34 ± 0.5
	Out (h+)	0.87 ± 0.08***	0.37 ± 0.03***	0.11 ± 0.01***	0.44 ± 0.04***	1.08 ± 0.08***	4.27 ± 0.49
ASL	In (h-)	3.46 ± 0.06	3.73 ± 0.04	52.9 ± 1.01	54.7 ± 1.25	-	-
	Out (h+)	3.47 ± 0.07	3.85 ± 0.03*	58.6±0.90***	55.0 ± 0.86	-	-

Table 3 Mean ± se for plant height and basal area in 1999 and 2009 for the six species growing with and without herbivores present, net changes in plant height and basal area between the two years are also shown. All measurements are based on the same individual plants of each species that were present in the plots in 1999 and that were still alive in 2009.

Species	Treatment	Height 1999 (m)	Height 2009 (m)	Basal area 1999 (cm <sup>2</sup> )	Basal area 2009 (cm <sup>2</sup> )	Δ height	∆ basal area
Acacia brevispica	Out (h+)	1.95±0.07	1.52±0.05	13.79±1.74	16.49±2.09	-0.43±0.07	2.69±1.17
Acacia brevispica	In (h-)	2.01±0.06	2.97±0.08	9.91±0.96	16.4±1.47	0.95±0.06	6.49±0.88
Acacia mellifera	Out (h+)	2.28±0.11	2.18±0.1	83.68±15.98	94.65±13.4	-0.10±0.09	10.97±6.57
Acacia mellifera	In (h-)	2.19±0.09	3.49±0.1	80.48±13.31	122.36±14.92	1.3±0.05	41.88±6.11
Acacia etbaica	Out (h+)	1.68±0.08	1.99±0.1	36.99±6.16	57.86±7.15	0.31±0.06	20.87±3.14
Acacia etbaica	In (h-)	1.57±0.07	3.05±0.07	30.39±7.54	51.83±7.7	1.48±0.06	21.17±3.23
Balanaites pedicellaris	Out (h+)	2.5±0.7	2.86±0.5	88.23±46.82	122.82±50.08	0.36±0.47	34.59±12.42
Balanaites pedicellaris	In (h-)	1.96±0.28	4.01±0.26	25.42±8.29	71.93±16.45	2.05±0.23	46.50±12.83
Grewia tenax	Out (h+)	1.31±0.09	0.95±0.24	3.36±0.44	2.96±0.48	-0.36±0.24	-0.41±0.35
Grewia tenax	In (h-)	1.16±0.05	2.4±0.08	1.94±0.23	5.78±0.5	1.24±0.07	3.84±0.44
Croton dichogamus	Out (h+)	2.16±0.22	2.1±0.24	23.93±8.31	33.23±8.29	-0.07±0.14	9.29±2.17
Croton dichogamus	In (h-)	1.59±0.08	1.82±0.12	9.32±2.67	13.46±3.17	0.23±0.11	4.14±1.16

#### **Figure legends**

Figure 1. a) Plot of a linear discriminant analysis of the measured defence traits showing the grouping of the six Mpala woody species relative to Wigley et al's. (2018) dominant species from southern African savannas. b) PCA based on nine measured plant defence traits of the six woody plant species growing in the presence (black) and long-term absence (grey) of large mammalian herbivores in central Laikipia County, Kenya. Axis 1 explained 33% of the variance and was primarily associated with variation in spine length and ADF. Axis 2 explained 26% of the variance and was primarily associated with variation in CT, TP and spine density (see Table 1 for eigenvector scores of the PCA). Points represent the mean location of each species on PC axes 1 and 2 at each of the three paired exclosure and control sites. Ovals show the overall means (centre) for each species and treatment and dispersion (ellipses).

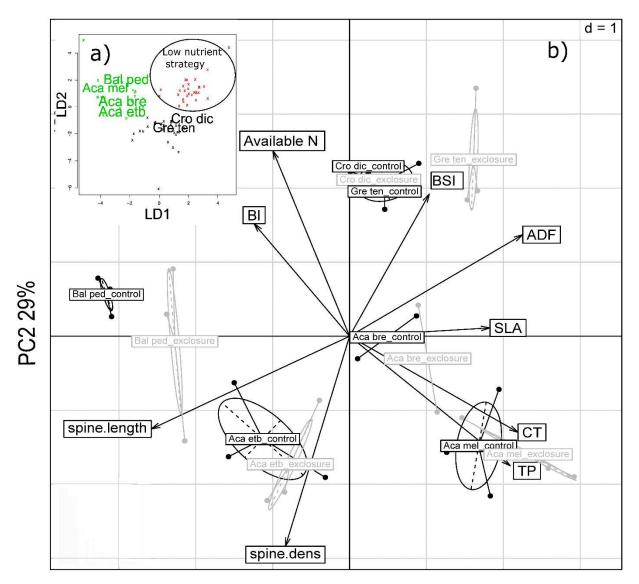
Figure 2. Mean ± se total available leaf nitrogen (total N x available N proportion a), specific leaf area b), total polyphenols c) and condensed tannins d) inside and outside of the exclosures. Aca bre = *Acacia brevispica*, Aca mel = *Acacia mellifera*, Aca etb = *Acacia etbaica*, Bal ped = *Balanites pedicellaris*, Gre ten = *Grewia tenax*, Cro dic = *Croton dichogamus*. Significance levels are: . = P < 0.1, \* = P < 0.05, \*\* = P < 0.01.

Figure 3. Mean ± se spine length a), spine diameter b), bite size index c) and branch density d) inside and outside the exclosures. Aca bre = *Acacia brevispica*, Aca mel = *Acacia mellifera*, Aca etb = *Acacia etbaica*, Bal ped = *Balanites pedicellaris*, Gre ten = *Grewia tenax*, Cro dic = *Croton dichogamus*. Significance levels are \* = P < 0.05, \*\* = P < 0.01, \*\*\* = P < 0.001.

Figure 4. Examples of *Acacia brevispica* and *Balanites pedicellaris* growing in the absence of large mammalian herbivores (a & c) and the same species growing in the presence of large mammalian herbivores (b & d) in central Laikipia County, Kenya. Note the clear differences in architecture, branching densities, and browser damage between the treatments.

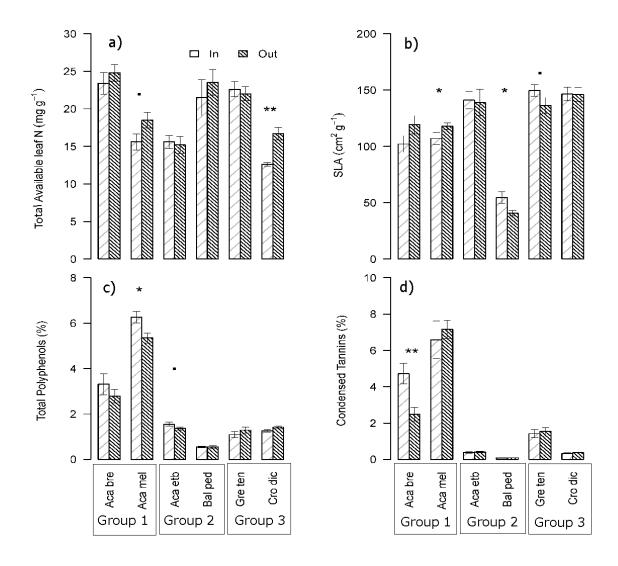
Figure 5. a) Mean  $\pm$  se change in plant height between 1999 and 2009 for the six dominant species growing inside and outside the exclosures. b) Mean  $\pm$  se change in stem basal area between 1999 and 2009 for the same six species. Significance levels are \*\* = P < 0.01, \*\*\* = P < 0.001.



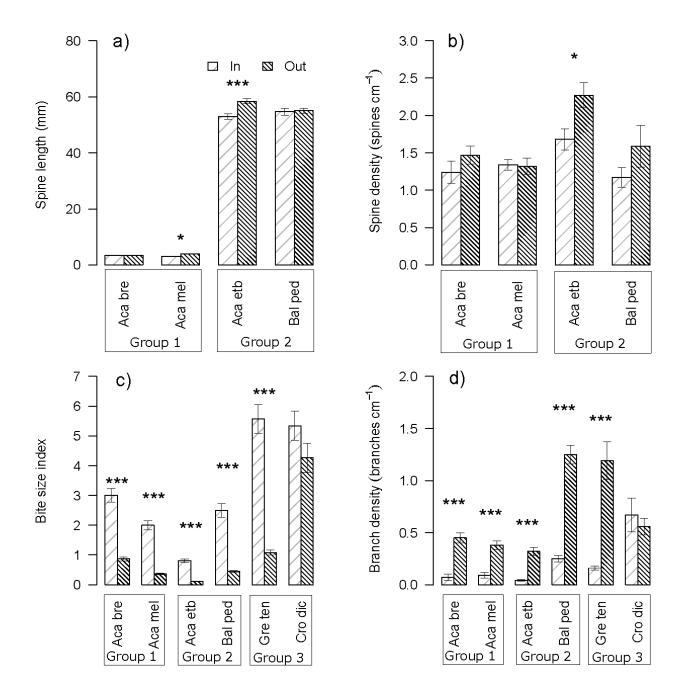


PC1 34%









# Figure 4





