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1 Biotic responses to volatile volcanism and environmental
2 stresses over Guadalupian-Lopingian (Permian) transition

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13 **ABSTRACT**

14 Biotic extinction during the Guadalupian–Lopingian (G–L) transition is actively
15 debated, with its timing, validity, and causality all questioned. Here we show, based on
16 detailed sedimentary, paleoecologic, and geochemical analyses of the Penglaitan section
17 in South China, that this intra-Permian biotic crisis began with the demise of a metazoan
18 reef system and extinction of corals and alatoconchid bivalves in the late Guadalupian. A
19 second crisis, amongst nektonic organisms occurred around the G-L boundary. Mercury
20 concentration/total organic Carbon (Hg/TOC) ratios show two anomalies. The first

21 Hg/TOC peak broadly coincided with the reef collapse and a positive shift in $\Delta^{199}\text{Hg}$
22 values during a lowstand interval, which was followed by a microbial proliferation. A
23 larger Hg/TOC peak is found just above the G–L boundary and speculatively represents a
24 main eruption episode of Emeishan Large Igneous Province (ELIP). This volatile
25 volcanism coincided with nektonic extinction, a negative $\delta^{13}\text{C}_{\text{carb}}$ excursion, anoxia, and
26 sea-level rise. The temporal coincidence of these phenomena supports a cause-and-effect
27 relationship, and indicates that the eruption of ELIP likely triggered the G–L crisis.

28 INTRODUCTION

29 The fossil record of several major benthic groups from South China reveals a
30 major extinction event around the G–L transition (Jin, 1993; Stanley and Yang, 1994). A
31 contemporaneous biotic crisis has also been recognized in the high-latitude Spitsbergen
32 sections (Bond et al., 2015). However, study of this crisis is hindered by the widespread
33 absence of uppermost Guadalupian and lowermost Lopingian strata due to a major
34 eustatic regression (Haq and Schutter, 2008). Thus, there remains considerable debate
35 about the timing of the extinction: did it occur at the G–L boundary (GLB) or within the
36 Late Guadalupian? Furthermore, the temporal link of the G-L crisis with Emeishan large
37 igneous province (ELIP) suggests that these flood basalt eruptions triggered the crisis
38 (Wignall et al., 2009; Bond et al., 2010).

39 Here, we examined the G–L succession at the Global Stratotype Section and Point
40 (GSSP) at Penglaitan (PLT) in South China (Fig. 1). This study reports a new metazoan

41 reef, which is investigated alongside a diverse new data set including new carbon isotope
42 values, mercury (Hg) concentrations, and Hg isotopes, that reveal reef collapse and
43 nektonic crisis coincided with extreme environment and volatile volcanism.

44 **GEOLOGICAL SETTING AND METHODS**

45 During the G–L transition, the South China craton was located near the equator in
46 the eastern Paleo-Tethys Ocean (Fig. 1A). Today, the PLT is situated ~20 km southwest
47 of Laibin, Guangxi Province. Here, the Guadalupian strata comprise the Maokou
48 Formation, and the basal Lopingian consists of the Heshan Formation. The G–L strata are
49 subdivided into seven beds (Fig. 1B). Bed 1 is made of siliceous mudstone with chert
50 nodules, and Beds 2–6 have been termed the Laibin Limestone. Beds 2–4 form the major
51 part of a skeletal reef (Fig. 1B), which is capped by bioclastic packstone (Bed 5). Bed 6 is
52 dominantly a crinoidal grainstone while Bed 7 consists of thin-bedded, siliceous
53 mudstone and chert. The GLB is placed at the base of Bed 6k (Fig. 3) and defined by the
54 first occurrence of conodont *Clarkina postbitteri postbitteri* (Jin et al., 2006).

55 Fresh rock chips were prepared for microanalysis using a scanning electron
56 microscope to search for microbiota. Rock chips were ground to fine powder using a
57 puck mill for geochemical analysis. $\delta^{13}\text{C}_{\text{carb}}$ were determined using a Finnigan MAT 251
58 mass spectrometer, and reported as per mil (‰) relative to Vienna Pee Dee belemnite
59 standard. Volcanism is a major source of Hg to Earth's surface environments, and the
60 accumulation of Hg in sediment can be used as a proxy indicating ancient volcanism

61 (Sanei et al., 2012). Hg enrichment is depicted by normalizing to total organic carbon
62 (TOC) due to its high affinity to organic matter. TOC and Hg contents were measured on
63 Elementar vario Macro cube, and LECO AMA254 mercury analyzer, respectively.

64 Hg isotopes are used to trace the origin and the pathways of the Hg (e.g. Blum
65 and Bergquist., 2007), and their variations are reported in $\delta^{202}\text{Hg}$ notation referenced to
66 the NIST-3133 Hg standard:

$$67 \quad \delta^{202}\text{Hg} (\text{‰}) = [({}^{202}\text{Hg}/{}^{198}\text{Hg}_{\text{sample}})/({}^{202}\text{Hg}/{}^{198}\text{Hg}_{\text{standard}}) - 1] \times 1000 \quad (1)$$

68 Mass-independent fractionation (MIF) of Hg isotope is reported in Δ -notation
69 ($\Delta^{\text{xxx}}\text{Hg}$), describing the difference between the measured $\delta^{\text{xxx}}\text{Hg}$ and the theoretically
70 predicted $\delta^{\text{xxx}}\text{Hg}$ value:

$$71 \quad \Delta^{\text{xxx}}\text{Hg} \approx \delta^{\text{xxx}}\text{Hg} - \delta^{202}\text{Hg} \times \beta \quad (2)$$

72 β is equal to 0.2520 for ${}^{199}\text{Hg}$, 0.5024 for ${}^{200}\text{Hg}$, and 0.7520 for ${}^{201}\text{Hg}$ (Blum and
73 Bergquist, 2007).

74 The analytical precision is better than $\pm 0.1\text{‰}$ for $\delta^{13}\text{C}$, $\pm 0.2\text{‰}$ for $\delta^{18}\text{O}$, $\pm 10\%$
75 for Hg concentration, $\pm 5\%$ for TOC and $\pm 0.04\text{‰}$ for $\Delta^{199}\text{Hg}$. Detailed laboratory
76 methods and full data are given in the GSA Data Repository.

77 **RESULTS**

78 **Reef Ecosystem Collapse, and Biodiversity Variations near the GLB**

79 Beneath the Laibin Limestone, the Maokou Formation consists of cherty
80 wackestone beds with radiolarians, indicative of a distal ramp to basin setting. A sharp
81 contact is then followed by massive limestones that form a ~25 m wide and ~5 m thick

82 reef (Beds 2–4) (Fig. 1B), with the reef core composed of bryozoan-Tubiphytes
83 framestone (Fig. 2A), coral framestone (Fig. 2C), bryozoan bindstone, and alatoconchid
84 wacke-packstone. The first facies forms the major part of the reef, with in situ bryozoan
85 colonies acting as bafflers and binders. The reef core yields a diverse assemblage of
86 algae, echinoderms, brachiopods, and crinoids encrusted with the bryozoan *Fistulipora*
87 (Fig. 2B). Alatoconchid shells (Fig. DR1) are another conspicuous component of the reef.
88 The reef is overlain by a cross-stratified grainstone (shoal bank facies; Bed 5) that is in
89 turn capped by a hardground surface. The overlying crinoidal grainstone with *Skolithos*
90 traces (Fig. DR2), records the persistence of shallow-water following demise of the reef.
91 Bed 7 includes chert and siliceous mudstone, as well as pelagic faunas (ammonoid,
92 sponges, radiolarians), that point to deep-water conditions.

93 We identified 13 species including small foraminifers (*Pachyphloia ovate*,
94 *Diplosphaerina* sp., and *Ammodiscus planus*), bryozoans (*Septopora* indet., *Fenstella*
95 indet., and *Fistulipora* indet.), corals (*Ufimia elongata* and *Amplexocarinia* sp.), giant
96 bivalve (*Shikamaia* sp.), ammonoid (*Pleuronutilus* sp.), incertae sedis species
97 (*Tubiphytes* obscures, *Girvanella* sp., and *Archaeolithoporella* sp.). A total of 48
98 species/indet. species have been obtained from PLT, including: calcareous algae,
99 bivalves, brachiopods, bryozoans, corals, crinoids, echinoids, foraminifera, gastropods,
100 ostracods, sponges and trilobites (Fig. 3). Most (46) species occur in the Laibin
101 Limestone, and only two persisted into the overlying Heshan Formation (Fig. 3). Around

102 half the biodiversity occurs in the reef facies and the remaining taxa, mostly foraminifera
103 occur in Bed 6 while few taxa are recorded by Bed 7 (Fig. 3).

104 **Diverse Microbiota**

105 Microbiota are abundant in the shoal bank facies of the upper Laibin Limestone,
106 including microborings and calcisphere aggregates. Four types of microbe-originated
107 microfossils are identified. Coccoid-like calcispheres (Figs. 2E–F) are comprised of
108 coarse-grained sparitic calcite nuclei coated with thin micritic envelopes, and they are
109 interpreted as endolithic coccoid bacteria (Salama et al., 2015). Bacterial clump-like
110 spheroids (Figs. 2H–I) consisting of dark colored, rounded micritic nuclei surrounded by
111 sparry calcite rims, are consistent with nucleation of bacterial clumps. The problematic
112 microorganism *Ovummurus duoportius* (Fig. 2G) is made of an ovoid wall, with an
113 internal chamber divided into two equal spaces by a septum-like structure. Microboring
114 *Eurygonum nodosum* occurs in brachiopod shells (Fig. 2D) and crinoid stems (Fig. 2J),
115 and is thought to be produced by the endolithic cyanobacterium *Mastigocoleus testarum*
116 (Gektidis et al., 2007).

117 **Sea-level Changes**

118 Sea-level changes associated with a 2nd-order global regression occurs around the
119 G–L transition (Haq and Schutter, 2008) and is well recorded at PLT where a sequence
120 boundary occurs at the basal Laibin Limestone (Wignall et al., 2009). This level is
121 marked by the replacement of deep-water cherty limestones by the reef facies. Water

122 depths continued to shallow and the trend culminates at the top of Bed 5 with the
123 development of a hardground. This was followed by a rapid upward deepening with
124 grainstone shoal facies of Bed 6 overlain by deep-water, finer grained facies of Bed 7
125 (Fig. 3).

126 **Hg and TOC Concentrations**

127 The strata below the Laibin Limestone comprise chert-rich limestones, and
128 contain low TOC, usually <0.1 wt.%, and Hg concentrations (mostly < 10 ppb) (Fig.
129 DR3). These low Hg values suggest only background levels of volcanic activity. Low
130 TOC values are not suitable for evaluating Hg/TOC (Grasby et al., 2016) below the
131 Laibin Limestone. In contrast, sediments of the Laibin Limestone and Heshan formations
132 have TOC concentrations varying from 0.12 to 2.76 wt.% that are decoupled from
133 variations of Hg concentrations that range up to 26.6 ppb. Hg/TOC ratios fluctuate from
134 2.1 to 64.1 ppb/wt.% during the *Jinogondolella xuanhanensis* Zone to lowermost *C.*
135 *postbitteri postbitteri* Zone, with a minor peak occurring in Bed 6b (Fig. 3). The ratio
136 then declines again before abruptly rising to peaks in the *C. postbitteri postbitteri* to *C.*
137 *dukouensis* Zones and finally returns to pre-extinction levels in the upper *C. dukouensis*
138 Zone.

139 **Carbon and Mercury Isotopes**

140 Our $\delta^{13}\text{C}_{\text{carb}}$ profile (Fig. 3) broadly tracks previous data (Wang et al., 2004; Jost
141 et al., 2014). The $\delta^{13}\text{C}_{\text{carb}}$ increases from +3‰ to +5‰ during *J. xuanhanensis*–*C.*

142 hongshuiensis Zones, with a minor negative excursion ($\sim 0.5\%$) in the upper *J. granti*
143 Zone, before a major shift to lighter values occurred in the Lopingian *C. postbitteri*
144 *postbitteri*–*C. dukouensis* Zones. MIF ($\Delta^{199}\text{Hg}$) have negative values (-0.1% to -0.02%)
145 in the PLT reef and suddenly rise to positive values in Bed 5 after the termination of the
146 reef, $\Delta^{199}\text{Hg}$ finally drop to negative values at the top of Bed 7 (Fig. 3). MDF ($\delta^{202}\text{Hg}$)
147 ranges from -1.34% to 0.15% . Because MDF ($\delta^{202}\text{Hg}$) can result from many physical,
148 chemical and biological reactions, we do not interpret MDF ($\delta^{202}\text{Hg}$) signatures here.

149 **DISCUSSION**

150 **Reef Ecosystem Collapse, Benthos Turnover, and Proliferation of Microbiota**

151 The PLT reef is composed of several microfacies suggesting the construction
152 occurred over a spectrum of bathymetric and environmental conditions. This helps
153 explain the high faunal diversity, because communities from many depths are recorded.
154 Following reef collapse, hardground and peritidal facies (Beds 5–6) were developed. Of
155 the reef biota, bryozoans are especially abundant but disappeared by the end-
156 Guadalupian. The alatoconchids represent the youngest occurrence of this aberrant giant
157 bivalve family, and their loss, along with the rugose corals, coincides with reef collapse.
158 Most foraminiferal species disappeared prior to Bed 7, and the forms in Bed 6 are already
159 dominated by post-extinction types. The turnover of pelagic fauna is clearly close to the
160 GLB. The ammonoid turnover from goniatite- to ceratite-dominated faunas right at the

161 earliest Lopingian (Ehiro and Shen, 2008). Conodonts also underwent a major turnover
162 from Jinogondolella group to Clarkina group 20 cm below the GLB (Jin et al., 2006).

163 In addition, an coeval sponge reef has also been reported from the adjacent
164 Tieqiao section in Laibin (Chen et al., 2009). Termination of reef development in both
165 PLT and Tieqiao sections suggests the collapse of metazoan reefs prior to the GLB. The
166 diversity of microbe-originated fossils in the Beds 5–6 is also noteworthy, indicating that
167 the vacated ecospace lost by metazoan reef was immediately refilled by microbiota.
168 Range data from the Laibin Limestone (Fig. 3) undoubtedly show a facies control on
169 taxonomic occurrences near the GLB. In contrast, there is a turnover of pelagic elements
170 (ammonoids and conodonts) around the GLB. Thus, biodiversity shows a stepwise
171 depletion in the Laibin Limestone, suggesting a disconnection between benthic and
172 pelagic crises.

173 **Environment Perturbations**

174 Two Hg spikes are recorded near the GLB in PLT (Fig. 3). The weak correlation
175 between Hg and TOC concentrations (correlation test, $P = 0.0138$, estimate = -0.4066 ; R^2
176 = 0.12 ; Fig. DR4) suggests that the stratigraphic pattern of Hg concentration is not due to
177 variation in TOC content. With respect to Hg sources, volcanic Hg has insignificant MIF
178 ($\Delta^{199}\text{Hg} \approx 0 \text{ ‰}$) (Thibodeau and Bergquist, 2017). Once emitted to the environment, MIF
179 ($\Delta^{199}\text{Hg}$) mostly occurs and results in positive $\Delta^{199}\text{Hg}$ values (Blum et al., 2014). In
180 general, marine sediments that received $\text{Hg}^0_{(g)}$ through terrestrial runoff tend to have

181 greater negative $\Delta^{199}\text{Hg}$ than atmospheric $\text{Hg}^0_{(\text{g})}$, and terrestrial resources acquire
182 negative $\Delta^{199}\text{Hg}$ values when plants and soils sequester $\text{Hg}^0_{(\text{g})}$ (Thibodeau and
183 Bergquist, 2017).

184 In PLT, two Hg spikes occur with sustained positive $\Delta^{199}\text{Hg}$ values (Fig. 3),
185 indicating that sediments received Hg primarily through atmospheric Hg deposition.
186 Thus, the observed Hg peaks are likely due to increased atmospheric mercury loading
187 from a volcanic source. The termination age of ELIP (259.1 ± 0.5 Ma) is likely to be
188 close to the age of GLB (Zhong et al., 2014), suggesting ELIP may be the Hg source. A
189 notable positive Hg/TOC anomaly observed around the GLB in the Festningen section,
190 Spitsbergen, (Grasby et al., 2016), has similar amplitude to the main Hg/TOC anomaly at
191 PLT, suggesting that biotic changes at PLT are in response to a global phenomenon.

192 The positive $\Delta^{199}\text{Hg}$ shift is consistent with increased direct atmospheric
193 deposition of volcanically derived Hg^{2+} to the ocean (Thibodeau and Bergquist, 2017).
194 This shift also coincides with the reef demise, implying that onset of ELIP eruption,
195 marked by increased Hg^{2+} , may have caused reef development to cease through
196 significant CO_2 release triggering greenhouse warming, as seen in conodont oxygen
197 isotopes record (Chen et al., 2011). Climate warming and elevated atmospheric nutrient
198 flux may have then stimulated the post-extinction microbial proliferation. The large
199 Hg/TOC peak in the C. postbitteri postbitteri to C. dukouensis Zones suggests intense
200 eruptions in the earliest Lopingian, coincident with a negative $\delta^{13}\text{C}$ shift.

201 Emeishan magmas are estimated to have released nearly 5 Mt of SO₂ km⁻³ (Zhang
202 et al., 2013), and the volume of the Emeishan basalts is at least 0.3 × 10⁶ km³ (Shellnutt,
203 2014). Thus, the total SO₂ release would be >1.5 × 10⁶ Mt, which corresponds to a total
204 Hg input of >31.5 Mt to the atmosphere (assuming a Hg/SO₂ ratio of 0.21 × 10⁻⁴; Nriagu,
205 1989). Major fluxes of volcanic Hg have been postulated as a possible extinction
206 mechanism via poisoning of marine waters (Sanei et al., 2012). Marine anoxia is also
207 seen in several locations around the world (Bond et al., 2015; Zhang et al., 2015; Wei et
208 al., 2016) and is another potential cause of stress at this time. While the ELIP produced a
209 global Hg record that, at PLT and Spitsbergen, is timed with a biotic crisis, further work
210 in other regions is required to demonstrate global biotic impacts at this time.

211 CONCLUSIONS

212 The PLT provides a detailed record of major environmental and biotic changes in
213 the GLB interval, here summarized into four stages:

- 214 1) A metazoan reef containing a high diversity of framework builders (bryozoans,
215 Tubiphytes and corals) was developed prior to the GLB during a lowstand interval.
- 216 2) Two Hg/TOC anomalies occur either side of the GLB. The first, in the *J. granti* Zone,
217 coincides with the collapse of the reef and the loss of several coral species and the
218 alatoconchid bivalves. Positive Δ¹⁹⁹Hg values indicate this crisis is triggered by the
219 eruption of ELIP volcanism with the effusion of volatiles causing the Hg/TOC peak.

220 3) The end-Guadalupian saw the proliferation of microbios that may have benefitted
221 from climate warming and enhanced atmospheric nutrient input at this time.

222 4) Hg/TOC peaked in the earliest Lopingian, suggesting the acme of ELIP volcanism at
223 this time. This coincided with rapid sea-level rise and deep-water anoxia, and a
224 turnover amongst the pelagic biota which clearly post-dates the benthic crisis.

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319

320 **FIGURE CAPTIONS**

321

322 Figure 1. (A) Paleogeographic map (R. Blakey: <http://www2.nau.edu/rcb7/260moll.jpg>)
323 showing location of the PLT section (China). (B) G–L succession (Beds 1–7) of
324 Penglaitan showing geometry of the reef and GLB position. The geologist, in red, is ~160
325 cm in height.

326

327 Figure 2. Selected PLT reef biota and microbiota. (A) Bryozoan-Tubiphytes framestone;
328 (B) Encrusting networks of *Fistulipora*; (C) Coral *Amplexocarinia* sp.; (D) *Eurygonum*
329 *nodosum* along the margins of a brachiopod shell. (E–F) Coccoid-like calcispheroid
330 under microscope and SEM, (G) *Ovummurus duoportius*, (H–I) Bacterial clump-like
331 spheroids under microscope and SEM, (J) *Eurygonum nodosum* on crinoid stem.

332

333 Figure 3. The PLT section showing: Covariations of microbiota, carbon isotopes (green
334 open circle data from Wang et al. (2004), red triangle data from Jost et al. (2014), black,

335 solid circles: this study), Hg, TOC, Hg isotope, redox conditions (from Wei et al., 2016),
336 sea-level changes, and stratigraphic distributions of fossils (Jin et al., 2006; Ehiro and
337 Shen, 2008; Wignall et al. 2009; Shen and Shi, 2009; this study). Conodont zonation after
338 Jin et al. (2006), Epo. = Epoch, Fm. = Formation, CZ = Conodont Zone, Lith. =
339 Lithology, Rel. abu. = Relative abundance, C. h. = *Clarkina hongshuiensis*, C. po. =
340 *Clarkina postbitteri postbitteri*, C. duk. = *Clarkina dukouensis*. u.s. = upper slope, l.s. =
341 lower slope, ba. = basin. Relative abundances of Coccoid-like spheres (a), Bacterial
342 clump-like spheres (b) and *Ovummurus duoportius* (c) are: few (<20 individuals /cm²),
343 common (20–50 individuals /cm²), abundant (>50 individuals /cm²), or areal percentages
344 on 200 views (× 50) for *Mastigocoleus testarum* (d): few (<5%), common (5%–10%),
345 and abundant (>10%).





