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Article:

Harrison, R.F., Debono, M., Whitaker, M.J. et al. (3 more authors) (2018) Salivary cortisone to estimate cortisol exposure and sampling frequency required based on serum cortisol measurements. *Journal of Clinical Endocrinology and Metabolism*. ISSN 0021-972X

<https://doi.org/10.1210/jc.2018-01172>

This is a pre-copyedited, author-produced version of an article accepted for publication in *Journal of Clinical Endocrinology and Metabolism* following peer review. The version of record Robert F Harrison, Miguel Debono, Martin J Whitaker, Brian G Keevil, John Newell-Price, Richard J Ross; Salivary cortisone to estimate cortisol exposure and sampling frequency required based on serum cortisol measurements, *The Journal of Clinical Endocrinology & Metabolism* is available online at:
<https://doi.org/10.1210/jc.2018-01172>

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The Journal of Clinical Endocrinology & Metabolism
Salivary cortisone to estimate cortisol exposure and sampling
frequency required based on serum cortisol measurements
--Manuscript Draft--

1 **Salivary cortisone to estimate cortisol exposure and sampling frequency**
2 **required based on serum cortisol measurements**

3

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10

11 **Short title:** Serum cortisol and salivary cortisone sampling

12

13 **Keywords:** cortisol, cortisone, saliva,

14

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17

18 **Word Count: 3301**

19

20 **Grants:** Funded by the European Commission Framework 7 Programme (GrantNo:281654).

21

22 **Disclosure Statement:** RJR & MJW are Directors of Diurnal Ltd and hold stock.

23

24 **Registered EudraCT number: 2013-000260-28**

25

26 **Abstract**

27

28 **Context:** Population studies frequently measure cortisol as a marker of stress and excess
29 cortisol is associated with increased mortality. Cortisol has a circadian rhythm and frequent
30 blood sampling is impractical to assess exposure. We investigated measuring salivary cortisone
31 and examined sampling frequency required to determine cortisol exposure.

32

33 **Methods:** Serum and saliva with cortisol and cortisone measured by LC-MS/MS in
34 independent cohorts. The relationship between serum cortisol and salivary cortisone was
35 analysed in cohort 1 using a linear mixed effects model and resulting fixed effects component
36 was applied to cohort 2. Saliva cannot easily be collected when sleeping so we determined
37 minimum sampling required to estimate cortisol exposure (eAUC) using 24-hour cortisol
38 profiles (AUC₂₄) and calculated the relative error (RE - a measure similar to the coefficient of
39 variation) for the eAUC.

40

41 **Results:** >90% of variability in salivary cortisone could be accounted for by change in serum
42 cortisol. A single serum cortisol measurement was a poor estimate of AUC₂₄ especially in the
43 morning or last thing at night (RE > 68%), however 3 equally spaced samples gave a median
44 RE of 0% (Interquartile range (IQR) between -15.6% and 15.1%). In patients with adrenal
45 incidentalomas the eAUC based on 3 serum cortisol samples showed a difference between
46 those with autonomous cortisol secretion and those without (p=0.03).

47

48 **Interpretation:** Accepting that most people sleep 7-8 hours, using approximately 8-hourly
49 salivary cortisone measurements provides a non-invasive method of estimating 24-hour
50 cortisol exposure for population studies.

51

52 **Introduction**

53

54 Measuring cortisol exposure is important in defining health. Even a subtle increase in cortisol
55 exposure may affect health outcomes and increased cardiovascular risk and mortality are
56 reported in shift workers and in patients with sleep apnoea and functioning adrenal
57 incidentalomas (1-5). Cortisol deficiency, irrespective of treatment with glucocorticoids, is also
58 associated with elevated mortality rates and a poor quality of life (6,7). In health, serum cortisol
59 demonstrates a distinct circadian rhythm rising from between 0200-0400h to peak shortly after
60 waking and declining over the day to low levels in the evening with a nadir around 2400h (8).
61 Results from a large number of studies from the 1960s to today and using different assays are
62 very consistent regarding this 24-hour rhythm (9). The circadian rhythm of cortisol is altered
63 in shift workers in relation to changes in the sleep-wake cycle and this results in increased
64 cortisol exposure as judged by the 24-hour area under the curve (AUC) of cortisol (10). The
65 same is true for patients with functioning adrenal incidentalomas who have high nocturnal
66 cortisol exposure (11).

67

68 The cortisol circadian rhythm has a period of ~24 hours and can be described mathematically
69 by a Fourier Series (cosinor model) (12). Mathematical principles teach us that, in the absence
70 of measurement inaccuracies and other disturbances, the mesor (mean) can be estimated,
71 precisely, by taking the mean of any number of equi-spaced samples exceeding the total
72 number of harmonically related sinusoidal components (harmonics). Because the mesor is
73 proportional to the AUC of a periodic function ($AUC = \text{mesor} \times \text{period}$) this provides a means
74 of estimating AUC. However, the cortisol circadian rhythm within individuals has biological
75 variability and absolute cortisol levels may be determined by other factors such as genetic
76 sensitivity to glucocorticoids, cortisol production rates and variations in clearance (13-15), but

77 overall the circadian rhythm of cortisol is similar between populations in different studies (9).
78 Our earlier work suggests that the cortisol rhythm is well modelled by a two-harmonic series
79 (the mesor plus two harmonically-related sinusoidal components) suggesting, therefore, that
80 any three, or more, equi-spaced samples would lead to a reliable estimate of the mesor, hence
81 AUC (16). Given the likely presence of random variation, taking a much larger number of equi-
82 spaced samples would be expected to lead to improved estimates by reducing statistical
83 variability, however, the need to minimise the number of samples in clinical trials militates
84 against this.

85

86 Cortisol exposure can be estimated by measuring serum, salivary, interstitial and urine cortisol
87 and each method has its advantages and disadvantages. The measurement of serum cortisol
88 requires venepuncture and the stress of venepuncture may itself raise cortisol levels. Urine
89 requires 24 hour collection, which is often incomplete and in all studies shows reduced
90 sensitivity and specificity for diagnosing cortisol excess compared to measurement of serum
91 samples (17). Interstitial measurements require a complex custom sampling apparatus that is
92 not suitable to study large numbers of subjects. Salivary measurement has the advantage of
93 being non-invasive, can be collected with little stress at home or work and samples are very
94 stable, however sampling cannot be easily done during sleep. Salivary cortisone is emerging
95 as an improved measure of serum cortisol than salivary cortisol, because it is derived from
96 serum free cortisol which is rapidly converted to cortisone in the salivary gland. Salivary
97 cortisone is measurable at low levels of serum cortisol and is not affected by administration of
98 oral hydrocortisone (16,18,19).

99

100 Many studies have used single measurements of serum or salivary cortisol to make conclusions
101 about cortisol exposure especially in the field of psychology (20,21), however, in view of the

102 circadian rhythm of cortisol, these studies are likely to be inaccurate and there is a need for a
103 more accurate estimate of cortisol exposure. We have previously shown that 94% of the
104 variation in salivary cortisone is predicted by changes in serum cortisol (16). We have now
105 tested this relationship between salivary cortisone and serum cortisol in another population of
106 healthy individuals and in a patient population with adrenal incidentalomas some of whom had
107 autonomous cortisol secretion. We have then looked at the frequency of sampling required to
108 estimate the AUC of cortisol over 24 hours using serum cortisol and salivary cortisone.

109

110

111 **Methods**

112

113 **Healthy Volunteer and Patient Cohorts:** Cortisol data from three previously published
114 cohorts of healthy subjects and patients was used for analysis. Cohorts 1 & 2 had measurements
115 of both serum cortisol and salivary cortisone and were used to examine the relationship
116 between serum cortisol and salivary cortisone and all 3 cohorts had hourly measurement of
117 serum cortisol and were used for analysis of sampling frequency. Meals were not standardised
118 across studies and none of the women were on oestrogen containing therapy:

119 • Cohort 1: Fourteen healthy male volunteers with a median (interquartile range (IQR))
120 age of 28 (25 – 36) years, weight 83 (75 – 90) kg and BMI 25.3 (23.1 – 26.3) kg/m²
121 who had 24-hour, hourly sampling for serum cortisol and salivary cortisone from 0700-
122 2200h measured by LC-MS/MS (16).

123 • Cohort 2: Eight patients with adrenal incidentalomas and autonomous cortisol secretion
124 (overnight dexamethasone suppression test serum cortisol >80nmol/L, or 60-80nmol/L
125 with an ACTH <2.2pmol/L (10pg/mL), and no features of clinical Cushing's) and two
126 matched groups (age-, sex- and BMI-matched): 6 patients with adrenal incidentalomas

127 and no excess cortisol secretion and 6 healthy volunteers. Median (IQR) age of 63 (61
128 – 67) years, weight 73 (63 – 97) kg and BMI 28 (24 – 33) kg/m² who had 24-hour,
129 hourly sampling of serum cortisol and hourly salivary cortisol/cortisone 0600h to 2300h
130 measured by LC-MS/MS (11).

- 131 • Cohort 3: 28 healthy (9 female) volunteers mean (range) age 28 (18–56) years who had
132 undergone 24-hour, hourly serum cortisol profiling measured by LC-MS/MS (22).

133

134 **Assays:** LC-MS/MS analysis for serum and salivary cortisone was performed using a Waters
135 Xevo TQ-MSTM mass spectrometer and a Waters AcquityTM LC system with an electrospray
136 source operated in positive ionisation mode (23). The lower limit of quantitation (LLOQ) for
137 serum cortisol was 12.5nmol/L. The inter-assay imprecision was 8, 7 and 6% at
138 concentrations of 80, 480 and 842nmol/L respectively. Salivary cortisone was measured with
139 a modified LC-MS/MS assay with lower limits of detection 0.50 nmol/L, intrassay CVs
140 <7.9%; and interassay <10.3% at 3.6–96 nmol/L of salivary cortisone (24).

141 **Statistical Analysis:** All statistical analyses were performed using MatlabTM and Microsoft
142 Excel 2010. In cohort 1, linear mixed effects models were used for both cosinor and
143 regression analysis to account for intra- and inter-subject variability. Model selection was by
144 likelihood-ratio test between models and statistically significant but more complex models
145 with only marginal improvement in either the Akaike or Bayesian Information Criteria were
146 rejected in favour of simplicity. The selected mixed effects model was found to be superior to
147 its fixed effects equivalent ($P \leq 0.001$). For use in cohort 2, the random effects component of
148 the mixed effects model was no longer applicable and so only the fixed effects element was
149 retained.

150 AUC estimation was conducted as follows. AUC₂₄ was computed by the trapezium rule. One
151 sample estimated AUC (eAUC): was computed as 24 times the sampled value. Two sample

152 eAUC: the earliest start-time was selected and the mean of the corresponding sample and the
153 sample 12 hours later was computed and multiplied by 24. The start point was advanced by
154 one hour and repeated until the sample was exhausted. Three sample eAUC: As above with
155 samples at baseline, 8 & 16 hours and likewise for four samples.

156 To account for inter-subject variability we derived the relative error (RE), a measure similar
157 to the coefficient of variation. For each subject we computed the difference between the
158 actual AUC (AUC_{24}) and the under-sampled estimates, (eAUC) and divided by the AUC_{24} ,
159 thus removing the inter-subject effect.

160 The sensitivity analysis explored the loss of accuracy (deviation from eAUC) that occurs
161 when samples are not taken at their prescribed times. This was done by taking all possible
162 patterns of sampling one hour too early or too late and computing the relative deviation from
163 the “on-time” estimate.

164 A two-sample t-test with unequal variances was used to examine differences between patients
165 with adrenal incidentalomas with and without subclinical hypercortisolism.

166 **Ethics:** All subjects and patients gave full informed consent: cohort 1, the study received
167 approval from the South East Wales Research Ethics Committee; cohort 2, the study received
168 approval from East Leeds National Research Ethics Service Committee, cohort 3, the study
169 was approved by the South Manchester Local Research Ethics Committee.

170

171

172 **Results**

173

174 **Relationship between salivary cortisone and serum cortisol (figure 1):** Application of the
175 fixed effects model: $\log_{10} \text{ serum F} = 1.24 + 0.89 \log_{10} \text{ salE}$ describing the relationship between
176 serum cortisol and salivary cortisone in cohort 1 was applied to cohort 2 which included
177 patients with adrenal incidentalomas with autonomous cortisol secretion as well as matched
178 controls. The fixed effects model from cohort 1 gave similar results in cohort 2: model
179 predictions of serum cortisol from salivary cortisone gave correlation coefficients of $r=0.93$
180 and 0.91 $p<0.001$, for cohorts 1 & 2 respectively.

181

182 **Frequency of serum cortisol sampling and comparison of eAUC vs AUC₂₄ (figure 2 &**
183 **table 1):** A single sample used to calculate the eAUC was a very poor predictor of the
184 AUC₂₄, especially in the morning and last thing at night. The median RE were greatest
185 between 0700-0900h and 2300-0100h, being 104% to -68%, and the smallest values were
186 between 0400-0500h and 1400-1600h, being -42% to 30%. The RE falls as 2, 3 and 4 equi-
187 spaced samples are used to calculate the eAUC with the IQR for the RE with 3 equi-spaced
188 samples being -15.6% to 15.1%, and for 4 equi-spaced samples -14.3% to 11.4%. The same
189 pattern was seen when the individual cohorts were analysed (Table1).

190

191 **Sensitivity analysis on timing of samples:** The 8-hourly sampling scheme is relatively
192 insensitive to mistiming of the samples by up to one hour either way for any or all samples.
193 Looking at the variation of the mistimed (\pm one hour) 3-sample eAUCs against the eAUC on-
194 time across all three cohorts gives a median RE of 0% with IQR between -7.3% and 7.6%.

195

196 Comparison of eAUC vs AUC₂₄ in patients with adrenal incidentalomas with and
197 without autonomous cortisol secretion (Figure 3): To test whether the eAUC could be used
198 to distinguish different patient populations we examined the AUC₂₄ and eAUC between
199 healthy controls and patients with adrenal incidentaloma and autonomous cortisol secretion
200 and those without autonomous cortisol secretion. There was a difference between AUC₂₄ for
201 patients with adrenal incidentalomas and autonomous cortisol secretion and those without
202 ($p < 0.02$) and the same pattern was seen for eAUC based on 3 serum cortisol samples
203 ($p = 0.03$) and although the eAUC based on 3 salivary cortisone samples didn't reach
204 significance ($p = 0.06$) the pattern was the same. The 3 samples used for serum cortisol were
205 0700, 1500, & 2300h but as there was no salivary sample at 0700h the 3 samples used for
206 salivary cortisone were 0800, 1500 & 2300h. The 2300h salivary cortisone in patients with
207 and without hypercortisolaemia showed that the 2300h salivary cortisone was higher in the
208 patients with subclinical hypercortisolaemia: median (25-75 percentiles) controls 4.5, 4.0-7.9;
209 SCH 9.9, 7.5-16.7 AI 4.4, 3.0-7.4 ANOVA $p = 0.03$ with SCH different from AI and controls
210 $p < 0.05$. For the healthy men in cohort 1 the eAUC for salivary cortisone median (25-75
211 percentiles) was 406 (387-470) nmol hours/L similar to that of the patients with adrenal
212 incidentalomas and no autonomous cortisol secretion.

213

214

215

216 Discussion

217

218 We have confirmed that salivary cortisone is a good estimate of serum cortisol in populations
219 of both healthy subjects and patients. Examining the frequency of serum cortisol sampling,
220 we demonstrate that a single cortisol sample is a poor measure of cortisol AUC, especially
221 when taken around the time of waking and going to sleep. However, three equi-spaced 8
222 hourly serum cortisol samples give an eAUC with an inter-quartile range between -15.6% and
223 15.1% of the AUC_{24} and this was relatively insensitive to mistiming by one hour. Taken
224 together these results suggest that three approximately 8 hourly spaced salivary cortisone
225 measurements can give a good estimate of serum cortisol exposure in healthy and patient
226 populations and provides an algorithm for measuring 24 hour cortisol exposure without
227 interrupting sleep and that is independent of the time of starting sampling.

228

229 It is evident from our data that the single measurement of cortisol when taken in the morning
230 or last thing at night has a poor correlation with overall 24-hour cortisol AUC. This is in
231 accordance with the problem of AUC estimation from a small number of samples in data that
232 has a periodic component. Estimates can only be unbiased if the number of samples exceeds
233 the number of significant harmonic components needed to represent the curve, two in the
234 case of cortisol. A single sample will always be biased unless its timing matches the point at
235 which the curve crosses the mesor. From our data, the best times for a single measurement in
236 relation to overall cortisol exposure is when the RE is lowest between either 1400–1600h in
237 the afternoon or 0400–0500h in the morning corresponding to when the cortisol rhythm
238 crosses the mesor as predicted by theory. Timing of a single sample is tricky in shift workers
239 whereas taking 3 approximately 8 hourly samples allows sampling to start at any time. The
240 cortisol circadian rhythm is described mathematically by a sinusoid with two harmonics and

241 as such 3 or more equally spaced samples taken over 24 hours should correlate well with the
242 AUC_{24} . This is what we observed. Increasing the number of samples will reduce variability in
243 the estimates, however, 6 hourly or more frequent sampling is impractical because it would
244 require sampling during sleep. We found that there was little difference in the accuracy of
245 predicting the AUC_{24} between 8 hourly vs 6 hourly sampling, and even when samples were
246 not taken exactly 8 hourly we found there was still a good correlation between the eAUC and
247 AUC_{24} .

248

249 We are not proposing the salivary cortisone eAUC as a diagnostic test for Cushing's
250 syndrome and adrenal insufficiency, where we already have specific and sensitive tests and
251 cortisol levels at specific times of the day are more relevant than the 24 hour cortisol
252 exposure. The single measurement of either serum or salivary cortisol as a diagnostic test has
253 been used in many studies to investigate Cushing's syndrome and disease (17,25-27). A
254 single late night cortisol measurement is a sensitive method for diagnosing Cushing's
255 syndrome and has been shown to be elevated in some populations such as those with type 2
256 diabetes (27), and in our study the single measurement of salivary cortisone at 2300h did
257 differentiate function from non-functioning adrenal incidentalomas. However, cortisol
258 exposure (24h cortisol AUC) varies in both patients with Cushing's syndrome, adrenal
259 insufficiency and there is overlap between patient populations and healthy individuals. A
260 recent study in patients with Cushing's disease showed great variability in late-night salivary
261 cortisol within patients over time (28) and late night salivary cortisol is a poor marker
262 differentiate functioning from non-functioning adrenal incidentalomas (29). We propose that
263 the salivary cortisone eAUC provides an easy to administer more accurate method for
264 comparing cortisol exposure in populations of patients or healthy subjects than single samples
265 or 24 hour serum profiles.

266

267 In our small cohort of patients with functioning adrenal incidentalomas, if samples were
268 taken in the morning the excess cortisol secretion would be missed whereas, as shown by our
269 data, a sample taken last thing at night or 3 samples taken approximately 8 hourly
270 demonstrated that adrenal incidentalomas with excess cortisol secretion, as judged by a
271 dexamethasone suppression test, had overall increased cortisol secretion compared to non-
272 functioning adrenal incidentalomas. It is likely that adrenal tumours have more stable cortisol
273 excretion whereas in Cushing's disease there may be variability over time, however 3
274 samples rather than 1 is likely to better define the variability related to disease. The salivary
275 cortisone eAUC in the healthy men in cohort 1 was similar to that of patients with non-
276 functioning adrenal incidentalomas, however this is not a normal range as a much bigger
277 sample of the population would be required. We know in any population of healthy
278 individuals and patients there is variation in 24-hour cortisol exposure and overlap between
279 patients with excess and deficient cortisol secretion. Therefore, We know that meal times,
280 shift work and stress can influence cortisol exposure so in studies comparing populations it is
281 important to control for these factors.

282

283 Salivary cortisol has been used as a measurement of free cortisol since the 1960s (30) and
284 now LC-MS/MS provides a highly specific and sensitive method whereby we can measure
285 cortisol and cortisone simultaneously (31). Free serum cortisol is rapidly converted to
286 cortisone in the salivary gland and salivary cortisone generally shows a better correlation
287 with serum cortisol than salivary cortisol especially at low levels of serum cortisol where
288 salivary cortisol is undetectable (19). We have previously shown that salivary cortisone
289 reflects serum cortisol using a mixed effects model and we have now shown that its fixed
290 effects component demonstrates an almost identical relationship in another healthy volunteer

291 population as well as in patients being investigated for adrenal incidentalomas, half of whom
292 had functioning adrenal adenomas secreting cortisol. The results confirm that salivary
293 cortisone is a good method for estimating serum cortisol levels and further studies are
294 required to establish its use. Saliva has the advantage of being non-invasive, can be collected
295 in a non-clinical setting and, because steroids are very stable, samples can be posted to the
296 laboratory without any special conditions.

297

298 Limitations of our data are the retrospective analysis and that the patient population is
299 relatively small. This is reflected in the fact that the difference in eAUC for salivary cortisone
300 between patients with adrenal incidentalomas with or without excess cortisol secretion didn't
301 reach significance. However, the studies analysed provide comprehensive data of hourly
302 sampling over 24 hours in 3 different subject cohorts and the results are consistent over the
303 different cohorts. Although this analysis is retrospective all the studies were done under
304 carefully monitored controlled conditions. It should be recognised that two AUCs can be the
305 same but the rhythm may be different but it is difficult to define the rhythm from limited
306 sampling and this will generally require more frequent sampling.

307

308 This study provides a strong basis for using three approximately 8 hourly spaced salivary
309 cortisone samples when estimating cortisol exposure in healthy and patient populations. This
310 methodology will allow further investigation of the impact of cortisol secretion on health.

311

312

313 **Legends**

314

315 **Table 1:** Relative Error (RE) for individual cohorts.

316

317 **Figure 1:** Shows the relationship between serum cortisol and salivary cortisone. Cohort 1 was
318 analysed using a linear mixed effects model and the resulting fixed effects component was applied
319 predictively to Cohort 2. The relationship is the same in both cohorts.

320

321 **Figure 2:** Box-plots of Relative Error with IQR and range across all cohorts using 1 to 4 equi-spaced
322 sampling points in estimating AUC. The size of the Relative Error and variation over time decreases
323 with the increasing number of samples measured.

324

325 **Figure 3.** AUC_{24} (a), eAUC for serum cortisol (b) and eAUC salivary cortisone (c) based on 3
326 approximately equi-spaced samples in patients with Subclinical HyperCortisolism (SCH) and without
327 autonomous cortisol secretion (AI) and adrenal incidentalomas. Boxes show IQR and dotted lines
328 minimum and max range.

329

330

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333

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429

Table 1: Relative Error (RE) for individual cohorts.

3-equi-spaced samples RE (%)

	median	25%ile	75%ile	IQR
Cohort 1	2.4	-16.5	20.7	37.1
Cohort 2	-1.69	-2.37	1.55	3.92
Cohort 3	-5.78	-11.7	9.39	21.1
All	-0.03	-15.6	15.1	30.6

4-equi-spaced samples RE (%)

	median	25%ile	75%ile	IQR
Cohort 1	2.3	-6.61	6.77	13.4
Cohort 2	-1.53	-7.23	3.37	10.6
Cohort 3	-0.445	-12.1	5.05	17.2
All	-1.11	-14.3	11.4	25.7





