

Where can a Trappist-1 planetary system be produced?

Thomas J. Haworth,¹★ Stefano Facchini,² Cathie J. Clarke³ and Subhanjoy Mohanty¹

¹*Astrophysics Group, Blackett Laboratory, Imperial College London, Prince Consort Road, London SW7 2AZ, UK*

²*Max-Planck-Institut für Extraterrestrische Physik, Giessenbachstrasse 1, D-85748 Garching, Germany*

³*Institute of Astronomy, Madingley Rd, Cambridge CB3 0HA, UK*

Accepted 2018 January 17. Received 2018 January 16; in original form 2017 December 9

ABSTRACT

We study the evolution of protoplanetary discs that would have been precursors of a Trappist-1-like system under the action of accretion and external photoevaporation in different radiation environments. Dust grains swiftly grow above the critical size below which they are entrained in the photoevaporative wind, so although gas is continually depleted, dust is resilient to photoevaporation after only a short time. This means that the ratio of the mass in solids (dust plus planetary) to the mass in gas rises steadily over time. Dust is still stripped early on, and the initial disc mass required to produce the observed $4 M_{\oplus}$ of Trappist-1 planets is high. For example, assuming a Fatuzzo & Adams distribution of UV fields, typical initial disc masses have to be >30 per cent the stellar (which are still Toomre Q stable) for the majority of similar mass M dwarfs to be viable hosts of the Trappist-1 planets. Even in the case of the lowest UV environments observed, there is a strong loss of dust due to photoevaporation at early times from the weakly bound outer regions of the disc. This minimum level of dust loss is a factor of 2 higher than that which would be lost by accretion on to the star during 10 Myr of evolution. Consequently, even in these least irradiated environments, discs that are viable Trappist-1 precursors need to be initially massive (>10 per cent of the stellar mass).

Key words: accretion, accretion discs – hydrodynamics – protoplanetary discs – circumstellar matter – photodissociation region (PDR).

1 INTRODUCTION

The recent discovery of seven planets ranging from a quarter Earth to around 1.5 Earth masses, packed within 0.06 au of the $0.08 M_{\odot}$ M dwarf Trappist-1 (Gillon et al. 2017; Wang et al. 2017), has unsurprisingly drawn considerable attention. In particular, the habitability of planets in this system is uncertain, even though they reside in the ‘habitable zone’ (e.g. Alberti et al. 2017; Bolmont et al. 2017; Dong et al. 2017; O’Malley-James & Kaltenegger 2017). It has also raised some interesting questions regarding the formation mechanism for such a system. For example, assuming an initial dust-to-gas mass ratio of 10^{-2} , total disc mass (approximately the gas mass) M_g , dust mass M_d , stellar mass $M_* = 0.08 M_{\odot}$, and planetary masses $\sum m_p = 4 M_{\oplus}$ (Wang et al. 2017), the minimum planet formation efficiency (conversion of solids into planets) is

$$\eta \equiv 10^2 \left(\frac{\sum m_p}{M_d} \right) \text{ per cent} = 10^4 \left(\frac{\sum m_p}{M_g} \right) \text{ per cent} \approx \left(\frac{M_*}{M_g} \right) \text{ per cent}, \quad (1)$$

where the last approximate equality uses the observed total planetary mass and stellar mass in Trappist-1. This is necessarily high given that canonically $M_g < 0.1 M_*$. Furthermore, some mechanism is required to concentrate the solids for planet formation and also to produce the planets in the observed compact orbital configuration.

Ormel, Liu & Schoonenberg (2017) recently proposed a mechanism whereby the Trappist-1 planets are formed both quickly and efficiently. Grains grow to pebble size (which is associated with rapid radial drift) at a time that increases radially outwards through the disc. These pebbles then drift inwards to the water snow line. Streaming instabilities (e.g. Youdin & Goodman 2005; Johansen et al. 2007; Yang, Johansen & Carrera 2017) then produce cores at that location. Once the cores form, they migrate inwards, still accreting as they do so, and settle into mean motion resonance (MMR). The lack of MMR for the innermost two planets of Trappist-1 is explained by internal clearing of the disc at the orbits of those planets [although Papaloizou, Szuszkiewicz & Terquem (2017) have noted that this does not fully explain the three-body Laplace resonance between the inner three planets]. Nevertheless, a mechanism of this form has the high efficiency expected to be required for the formation of a Trappist-1 system and also produces planets relatively quickly, making it (or some similar mechanism) a promising formation scenario.

* E-mail: t.haworth@imperial.ac.uk

It is unlikely that all the raw solid material in the disc is available for conversion into planets because of the action of a variety of dispersal mechanisms, including accretion, internal winds driven by photoevaporation and/or magnetic fields, and external photoevaporation by nearby stars. Because the streaming instability is sensitive to the solids-to-gas mass ratio, it could possibly be promoted by *internal* photoevaporation of the disc by the host star (see Carrera et al. 2017; Ercolano et al. 2017). In the present case of very low mass stars, internal photoevaporation is however likely to be subordinate to the effect of the environment and we do not consider this further here.

The impact of external photoevaporation on the evolution of protoplanetary discs is becoming increasingly apparent, across a wider range of UV field strengths than previously expected. For example, proplyds (the cometary products of externally photoevaporating circumstellar discs) have long been observed in $\sim 10^5 G_0$ environments in the vicinity of O stars (O’Dell 1998; Smith, Bally & Morse 2003; Wright et al. 2012), but have also recently been detected in environments where the UV field strength is as weak as $\sim 3000 G_0$ (Kim et al. 2016). Given that the median UV field environment is of the order of $1000 G_0$ (Fatuzzo & Adams 2008), we hence expect that a large fraction of stellar systems could have been influenced by photoevaporation.

There is also observational evidence that both the incidence (Guarcello et al. 2017) and the mass (Mann et al. 2014; Ansdell et al. 2017) of discs in clusters increase as a function of distance from the major ultraviolet sources in the cluster (i.e. the O stars). Furthermore, there is the theoretical expectation of effective photoevaporation in lower radiation regimes (Adams et al. 2004; Holden et al. 2011; Facchini, Clarke & Bisbas 2016). In particular, where the gravitational potential from the star is shallow, even very low UV fields can drive significant mass-loss, as is expected for the large disc in IM Lup that is being irradiated by a field of only $\sim 4 G_0$ (Cleeves et al. 2016; Haworth et al. 2017). This therefore raises the possible expectation that a disc around a very low mass star such as Trappist-1 may be subject to substantial photoevaporation if disc material is only quite weakly bound (there are some observed instances of evaporating discs around low-mass stars; e.g. Fang et al. 2016).

In this paper, we study the external photoevaporation of star-disc precursors to Trappist-1-like systems. We aim to determine the mass-loss rates of gas and dust from such a disc and hence gauge the impact on the evolution and planet formation potential of a Trappist-1 analogue star-disc system.

2 NUMERICAL METHOD

2.1 Overview

We begin with an overview of our general method, after which we will provide more details of the contributing components.

Our models are 1D viscous evolutionary calculations that account for accretion on to the central star, as well as photoevaporative mass-loss from the disc outer edge. Although the accretion and viscous evolution is not numerically intensive, and such calculations have been done many times before (e.g. Clarke 2007; Anderson, Adams & Calvet 2013; Kalyaan, Desch & Monga 2015; Rosotti et al. 2017), photoevaporative mass-loss rates from externally irradiated

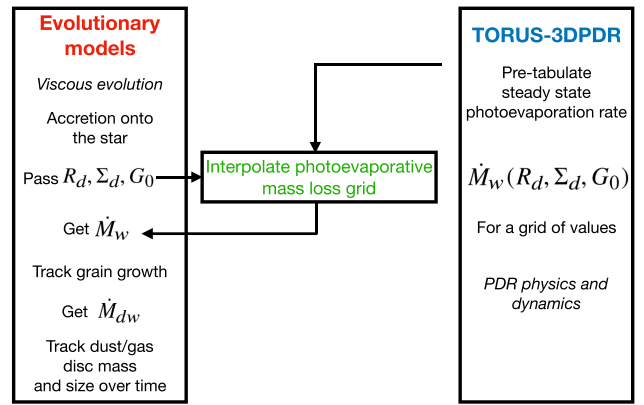


Figure 1. A schematic of our methodology. We pre-tabulate the computationally expensive photoevaporative mass-loss rate as a function of the disc outer properties and UV field strength. This is then interpolated over to provide the mass-loss rate in our viscous evolutionary models.

discs are expensive to compute and therefore cannot be done on the fly as a disc viscously evolves. We therefore take the approach of pre-computing the mass-loss rate of a disc about a Trappist-1 mass ($0.08 M_\odot$) star in terms of the surface density Σ_d at the disc outer edge R_d for a disc irradiated by a UV field in units of G_0 . We then interpolate from this grid of $\dot{M}_w(R_d, \Sigma_d, G_0)$ (where w denotes ‘wind’) during our viscous evolutionary calculations. In addition, we track the growth of grains in our disc, which affects the *dust* mass-loss rate \dot{M}_{dw} since only grains below a certain size are entrained in the flow (Facchini et al. 2016).

Using a grid of such viscous evolutionary models, we study the disc mass/size evolution and its implications for planet formation. A pictorial overview of our scheme is shown in Fig. 1. We summarize the key notation used in this paper in Table B1.

2.2 Photoevaporative mass-loss rates

We now discuss our technique for producing a grid of photoevaporative mass-loss rates as a function of the properties at the disc outer edge. Note that as discussed above, these mass-loss rates are computed prior to the viscous evolutionary models and are hence completely separate calculations. This separation is justified by the fact that the time-scale for secular evolution of the disc due to combined viscous evolution and photoevaporation is large compared with the time-scale on which steady-state photoevaporative flows are set up.

2.2.1 Photochemical dynamics with torus-3dpdr

We use the TORUS-3DPDR photochemical–dynamical code to compute our grid of photoevaporative mass-loss rates (Bisbas et al. 2015; Harries 2015; Haworth et al. 2015). This code directly couples photodissociation region (PDR) microphysics with hydrodynamics, which has not been possible until recently owing to the complexity of the implementation and computational cost of the calculations (e.g. Haworth et al. 2016b).

TORUS-3DPDR currently considers a reduced network that comprises 33 species and 330 reactions, tailored such that it provides temperatures to within ~ 10 per cent of the 3D-PDR (Bisbas et al. 2012) full chemical network (215 species, over 3000 reactions). It iteratively solves for the composition and temperature structure until

¹ G_0 is the Habing unit, which is $1.6 \times 10^{-3} \text{ erg cm}^{-2} \text{ s}^{-1}$ over the wavelength range $912 < \lambda < 2400 \text{ \AA}$ (Habing 1968).

convergence, using the approach detailed by Bisbas et al. (2012, 2015). The 33 species in the reduced network are presented in Haworth et al. (2016a), but broadly includes atoms/ions/molecules comprised of H, He, C, O, and Mg, as well as electrons, cosmic rays, polycyclic aromatic hydrocarbons (PAHs), and dust.

The main coolants are lines from C, C+, O, and CO, with some additional contribution from the dust. Heating processes include C ionization, H₂ formation and photodissociation, FUV pumping, cosmic rays, turbulent and chemical heating, and gas-grain collisions. The dominant heating contribution in a PDR can readily be photoelectric heating from atomic layers of PAHs (see e.g. fig. 2 of Facchini et al. 2016), the abundance of which is highly uncertain, particularly towards the outer regions of discs (e.g. Geers et al. 2006; Oliveira et al. 2010; Perez-Becker & Chiang 2011). We therefore assume a negligible PAH abundance, which will mean that our heating of the disc, and hence mass-loss rates, is likely a lower limit. We assume a dust cross-section in the photoevaporative wind of $\sigma_{\text{FUV}} = 3 \times 10^{-23} \text{ cm}^{-2}$, which is approximately the value found by Facchini et al. (2016) when the maximum grain size in the disc is $\sim 1 \text{ mm}$ and the maximum grain size entrained in the flow is anywhere in the range $0.1 \mu\text{m} - 1 \text{ mm}$.

TORUS-3DPDR uses a finite-volume hydrodynamics scheme, and performs photochemical-dynamical simulations by iteratively computing PDR and hydrodynamical updates (i.e. via operator splitting). For the calculations in this paper, the star dominates the gravitational potential, with self-gravity being negligible. We hence just consider a point source potential from a $0.08 M_{\odot}$ star. In Haworth et al. (2016a), it was tested in the context of external photoevaporation models through comparison with the semi-analytic models of Facchini et al. (2016).

2.2.2 External disc photoevaporation

To produce a grid of $\dot{M}_{\text{w}}(R_{\text{d}}, \Sigma_{\text{d}}, G_0)$, we require a series of steady-state external photoevaporation models for different surface densities (Σ_{d}), radii (R_{d}), and UV fields (G_0). These have to encapsulate the range of conditions that will arise in our grid of viscous evolutionary models. To do so, we set up a series of disc surface density profiles that we truncate, as described below.

Our mass-loss rate calculations are very similar to those of Haworth et al. (2016a, 2017) only tailored to a Trappist-1-like system. They are 1D, assuming that mass-loss is predominantly driven from the disc outer edge (e.g. Adams et al. 2004; Facchini et al. 2016). The argument for this simplification is twofold. First, material at the disc outer edge is less gravitationally bound to the star, making it easier to liberate in a wind. Secondly, the volume density in a disc drops off more rapidly vertically than radially outwards, so there is a larger mass reservoir to feed the photoevaporative wind at the disc outer edge. The mass-loss rate from these 1D models assumes that the flow is spherical from the disc outer edge, that is

$$\dot{M}_{\text{w}} = 4\pi R^2 \rho \dot{R} \mathcal{F}, \quad (2)$$

where \dot{R} is the flow velocity, with density ρ at distance R , and \mathcal{F} is the fraction of solid angle subtended by the disc outer edge R_{d} , which Adams et al. (2004) define as

$$\mathcal{F} = \frac{H_{\text{d}}}{\sqrt{H_{\text{d}}^2 + R_{\text{d}}^2}}, \quad (3)$$

with H_{d} being the scale height at this disc outer edge.

In the calculations themselves, we set up a Lynden-Bell & Pringle (1974) profile with $\gamma = 1$, which yields

$$\Sigma(R) = \Sigma_{\text{c}} \left(\frac{R}{R_{\text{c}}} \right)^{-1} \exp \left(-\frac{R}{R_{\text{c}}} \right). \quad (4)$$

We then truncate this profile at different ‘disc outer radii’ $R = R_{\text{d}}$ to yield a corresponding disc outer surface density Σ_{d} . The initial disc mass is related to Σ_{c} via

$$\Sigma_{\text{c}} = \frac{M_{\text{g}}}{2\pi R_{\text{c}}^2 \{1 - [\exp(-R_{\text{d}}/R_{\text{c}})]\}}. \quad (5)$$

Interior to R_{d} , this disc portion of the calculation grid acts as a boundary condition to the flow. Outside of R_{d} , the medium dynamically evolves until it reaches as steady state. Note that these disc profiles have no bearing on our later viscous evolutionary calculations; they are simply used to provide representative surface densities at different disc radii from which we compute a mass-loss rate grid. For each model, the grid extends out to 533 au and is adaptive – refining to higher resolution automatically in regions that require it. The maximum effective number of cells is 2048, which yields a resolution of approximately 0.26 au.

We make one modification compared to our prior photoevaporation calculations (Haworth et al. 2016a, 2017) that is to impose a limit on where the PDR microphysics is applicable in this 1D framework. Although likely not a problem in higher dimensions, in 1D models high-density, highly optically thick regions can lead to spurious heating in PDR codes as the escape probability of cooling photons tends to zero. Therefore, interior to the point in the flow with an extinction greater than 10 and a gas local number density exceeding 10^8 cm^{-3} , we assume that the temperature of the flow is constant until heating from the star dominates. This is the approach used by Facchini et al. (2016) in the high-extinction/density parts of their flow. The temperature set by the star is assumed to be of the form $T_{\star} = 50 \text{ K}(R/\text{au})^{-1/2}$. In our photoevaporation calculations at any radius, we use the maximum of the temperature set by the star and that computed by TORUS-3DPDR.

Overall, we ran around 550 steady-state mass-loss rate calculations, spanning a range of radii and surface densities, and for UV fields of $10, 10^2, 10^3$, and $10^4 G_0$ (the median value for stellar systems is of the order of $10^3 G_0$; Fatuzzo & Adams 2008). Our resulting mass-loss rate grids are summarized in Fig. 2. The mass-loss rate initially increases as we move towards smaller radii, since there is more material at the base of the flow to resupply the wind. However, eventually the disc becomes dense and compact enough that moving to smaller radii reduces the mass-loss rate. At some radius, which is smaller for higher radiation field strengths, the mass-loss rate drops to a very low value $\leq 10^{-10} M_{\odot} \text{ yr}^{-1}$. Typically, increasing the disc mass leads to slightly higher mass-loss rates (over the range of disc parameters considered here), but this is by far a secondary effect compared to the mass-loss rate sensitivity to disc outer radius. This grid provides us with the mass-loss rates for a sensible range of disc sizes and outer surface densities to interpolate over in our viscous evolutionary models.

2.3 Viscous evolution

2.3.1 Evolutionary model method

We compute the evolution of our discs using a modified version of the code developed by Clarke (2007), which follows the viscous evolution, accretion, and external photoevaporation of the disc. For each model, we define an initial surface density profile of the form

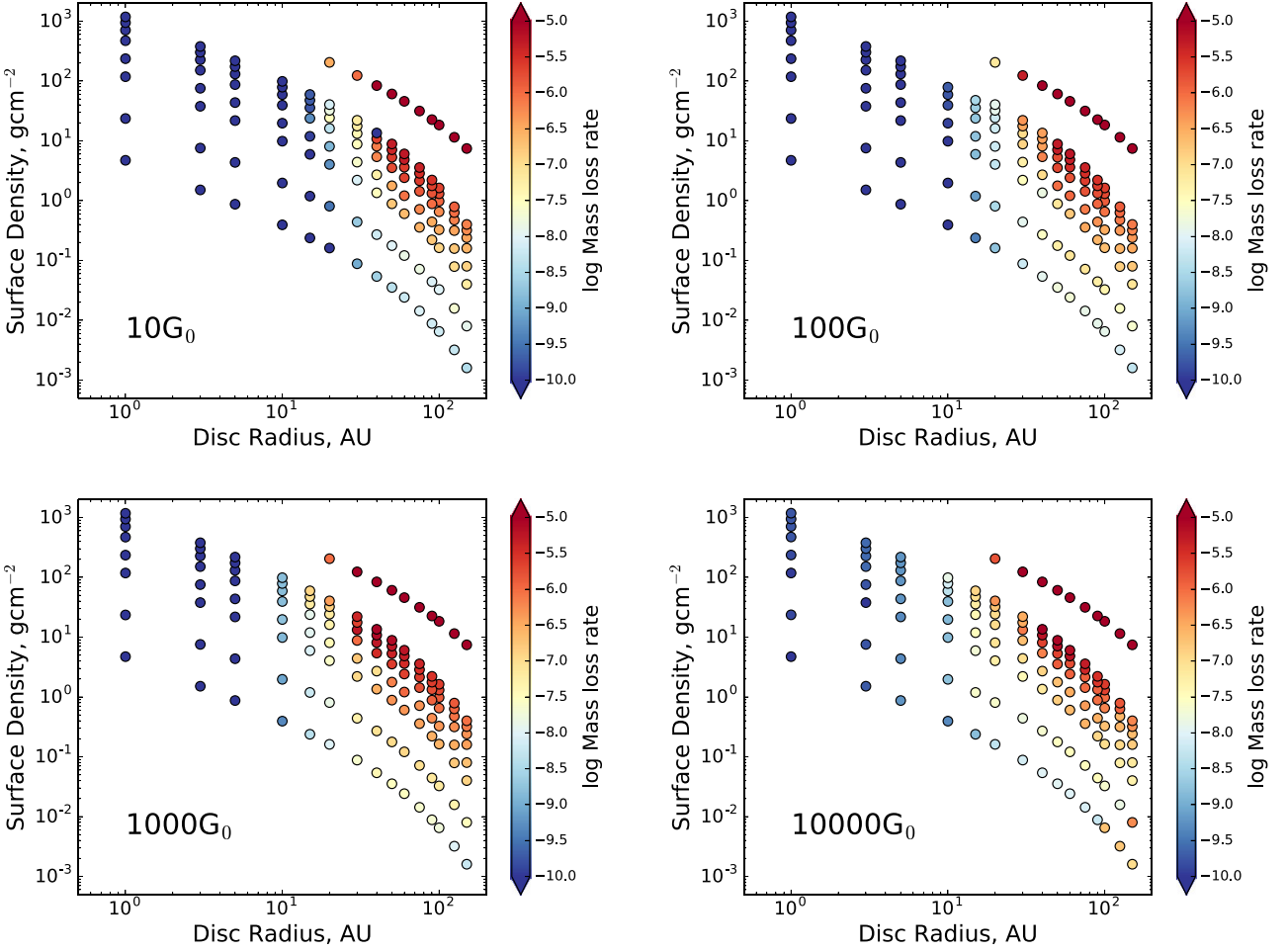


Figure 2. A summary of our grid of mass-loss rates as a function of disc outer radius and surface density. Panels are for an incident radiation field of 10, 10^2 , 10^3 , and $10^4 G_0$ from left to right, top to bottom. Each point is colour coded corresponding to the mass-loss rate.

given by equation (4) and initial mass accretion rate. The initial disc structure and accretion rate set the accretion and viscous time-scales, and hence the viscosity ν , which we assume scales linearly with R . If the surface density profile in equation (4) is interpreted in terms of a viscous steady state, then for a temperature profile with $T \propto R^{-1/2}$ the Shakura–Sunyaev α parameter is independent of radius and may be estimated by

$$\begin{aligned} \alpha &= \frac{R_c^2 \dot{M}_a \Omega}{3 M_g c_s^2} \\ &\approx 3 \times 10^{-3} \left(\frac{R_c}{50 \text{ au}} \right)^2 \left(\frac{\dot{M}_a}{6.4 \times 10^{-10} M_\odot \text{ yr}^{-1}} \right) \\ &\times \left(\frac{M_g}{0.01 M_*} \right)^{-1} \left(\frac{c_s}{\text{km s}^{-1}} \right)^{-1} \left(\frac{H}{\text{au}} \right)^{-1}, \end{aligned} \quad (6)$$

where c_s , Ω , H , and \dot{M}_a are the sound speed, angular velocity, scale height, and mass accretion rate. Both ν and α are constant in time. The disc then evolves under the combined action of viscous evolution, accretion, and external photoevaporation. We do not account for any change in the disc evolution from the dead zone into the inner disc (i.e. we ignore magnetohydrodynamic effects).

The initial conditions of the discs in our evolutionary models are set up using equations (4) and (5). These calculations use 330 cells

logarithmically spaced between 0.5 and 300 au. Our grid of models sample R_c from 10 to 100 au and initial disc mass from 3 to 50 per cent of the stellar. We assume an initial accretion rate on to the star of $6.4 \times 10^{-10} M_\odot \text{ yr}^{-1}$, which we obtain using $\dot{M}_a \propto M^2$ scaling down from $10^{-7} M_\odot \text{ yr}^{-1}$ for a disc about a $1 M_\odot$ star (Alexander & Armitage 2006). This initial accretion rate is consistent with recent observed accretion rates for low-mass objects observed by Alcalá et al. (2014) and Manara et al. (2016). This initial accretion rate will evolve over time if the disc viscously evolves (i.e. in mass/extent) in such a way that modifies it. Anderson et al. (2013) demonstrated that higher viscosity leads to more effective photoevaporation. From equation (6), we have a canonical $\alpha \approx 10^{-3}$ for discs with mass that is 1 per cent the stellar, and α will be lower for more compact and/or higher mass discs. Over the vast majority of our parameter space, we therefore expect a smaller α , and hence less effective photoevaporation, making our models conservative.

We checked the Toomre (1964) stability parameter

$$Q = \frac{c_s \Omega}{\pi G \Sigma} \quad (7)$$

for each of the models that we will discuss, finding that they are all gravitationally stable ($Q > 1$) at all radii in the disc. To illustrate this, in Fig. 3, we plot the minimum Q value over the entire disc for each model in our parameter space. Our approach would not have been suitable for modelling gravitationally unstable discs, which

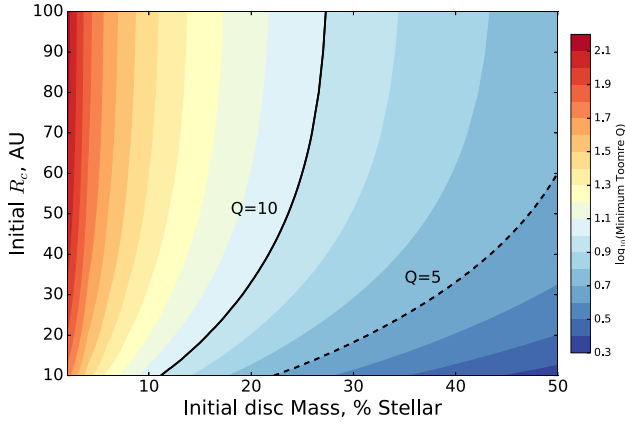


Figure 3. The minimum value of the Toomre Q parameter in our parameter space of disc properties. Our discs are all expected to be gravitationally stable ($Q > 1$). The contours denote the $Q = 10$ (solid) and $Q = 5$ (dashed) boundaries.

would require 3D photochemical–dynamical calculations that are currently impossibly computationally expensive.

The surface density at the outer edge of a disc for a given UV field strength is interpolated over the photoevaporative mass-loss grid of Section 2.2 to yield an evaporative mass-loss rate. The evolution of the extent of the disc depends on the relative values of the flux of material through viscous spreading (driven by accretion) and mass lost in the wind. If the photoevaporative wind is stronger than the mass flux through viscous spreading, then the disc will shrink over time, and vice versa (e.g. Clarke 2007; Rosotti et al. 2017).

2.3.2 Stripping of dust in the photoevaporative wind

As the disc is evaporated and shrinks (or expands), it will deplete the disc of dust and possibly influence planet formation. Not all dust at the disc outer edge is entrained in the wind as the gas is stripped. Facchini et al. (2016) showed that the maximum grain size entrained is roughly given by

$$a_{\text{entr}} \approx \frac{v_{\text{th}}}{GM_*} \frac{1}{4\pi\mathcal{F}\rho_g} \dot{M}_w, \quad (8)$$

where ρ_g is the density of the grains themselves, assumed to be 1 g cm^{-3} , and $v_{\text{th}} = \sqrt{8k_B T / \pi / \mu / m_H}$. The dust mass-loss rate for a distribution with maximum grain size a_{max} is hence

$$\dot{M}_{\text{dw}} = \delta \dot{M}_w \left(\frac{a_{\text{entr}}}{a_{\text{max}}} \right)^{1/2} \quad (9)$$

for dust-to-gas mass ratio δ and power law of the grain size distribution $q = 3.5$ [a Mathis, Rumpl & Nordsieck (1977) grain distribution]. Therefore over time, as grains grow, less dust is entrained in the wind.

We assume that initially the minimum grain size throughout the disc is $0.01 \mu\text{m}$. This grows over time at each radius in the disc according to

$$\frac{da}{dt} = \frac{a}{\tau} \quad (10)$$

(Birnstiel, Klahr & Ercolano 2012), where $\tau = 1/\delta\Omega_k$ and $\Omega_k = (GM_*/R^3)^{1/2}$. Since we are here concerned with the growth of grains prior to their attaining a size where they drift relative to the gas, we employ a fixed value of $\delta = 10^{-2}$ in equation (9) and

thereby also neglect the enhancement of δ in the outermost disc due to the fact that larger grains are also not entrained in the wind.

The maximum grain size could be set either by fragmentation or by radial drift. Radial drift limits the grain size once the Stokes number is ~ 0.1 (Booth et al. 2017); hence,

$$a_d = 0.1 \frac{\Sigma}{\rho_g} \quad (11)$$

is the drift-limited maximum grain size. Similarly, the fragmentation-limited maximum grain size is

$$a_f = 0.37 \frac{1}{3\alpha} \frac{v_f^2 \Sigma}{c_s^2 \rho_g} \quad (12)$$

(Booth et al. 2017), where v_f is the fragmentation velocity, which we assume to be 10 m s^{-1} (Gundlach & Blum 2015). If we then take the ratio a_f/a_d , with α from equation (6),

$$\frac{a_f}{a_d} = 3.7 v_f^2 f M_*^{1/2} R_c^{-1/2} G^{-1/2} \dot{M}_a^{-1} \quad (13)$$

and substitute in the Trappist-1 stellar mass, our assumed initial accretion rate, and $v_f = 10 \text{ m s}^{-1}$, then

$$\frac{a_f}{a_d} = 1150 f \left(\frac{R_c}{\text{au}} \right)^{-1/2}, \quad (14)$$

where f is the ratio of the initial disc to stellar masses M_d/M_* . For the parameter space considered here, we therefore expect to have $a_f > a_d$ except at very small radii and hence drift will dominate in setting the maximum grain size in the outer parts of the disc from which the wind is launched.

We note that once the maximum grain size first reaches a_d at the outer edge of the disc, we do not explicitly calculate the dust evolution thereafter. We however assume that after this point the dust can drift to the water snow line and form planets efficiently, which then reduces the dust content of the material that accretes on to the star. We conservatively assume that *no* dust is accreted on to the star once the grain size at the outer edge attains a_d . Therefore, in what follows, we assume that once the Stokes number at the disc outer edge attains a value of 0.1, widespread radial drift of grains into the water snow line shuts off further accretion of dust (though gas continues to accrete).

For simplicity, we only follow the total mass of grains entrained in the wind (and by extension the mass remaining in the disc); this would be an extremely conservative upper limit on the total planetary mass that could be produced. Not all dust remaining will drift into the water snow line (Ormel et al. 2017, permit 50 per cent to do so). Furthermore, once at the water snow line the pebble accretion efficiency is also not 100 per cent, with Ormel et al. (2017) using a value of 25 per cent. Additional pressure bumps might also strand dust at larger radii (Pinilla et al. 2012). We will proceed only accounting for dust lost in the wind, but will consider additional factors such as the pebble accretion efficiency when discussing the potential for planet formation from our discs in Section 3.4.

In principle, if the dust and gas masses are comparable, then feedback from the dust dynamics on to the gas could become important; however, we do not account for this. In what follows, we will show that once the global dust-to-gas ratio approaches unity, the gas is rapidly removed through photoevaporation anyway, so we do not expect this to have a significant impact on our models.

In Appendix A, we show that equations (8)–(10), combined with our photoevaporative mass-loss rates, can be used to get a semi-analytic estimate of the regions of a disc that are resilient to photoevaporation over time.

2.4 Quick recap of our methodology

Since there are a lot of components to our method, we quickly recap our general approach. We are running a grid of 1D viscous evolutionary models of protoplanetary discs, including the effects of accretion and photoevaporation. Prior to these viscous evolutionary calculations, we have computed a grid of photoevaporative mass-loss rates as a function of disc size and outer surface density, which we then interpolate over to give the mass-loss rate in the evolutionary models. We keep track of the mass of dust that remains in the disc and is potentially available for planet formation, taking account of mass-loss on to the star due to accretion (before grains in the outer disc reach $St = 0.1$) and entrainment in the wind.

3 RESULTS AND DISCUSSION

3.1 Detailed evolution of illustrative discs

Our evolutionary models contain a lot of information, such as the disc gas/dust masses over time, the spatial extent of the disc, the mass-loss rates, and so on. Therefore, before discussing the global properties of our large grid of evolutionary models, we begin by studying a handful of models in more detail to aid in the conceptual interpretation of the macroscopic grid properties. We consider two illustrative discs with initial masses of 15 and 35 per cent the stellar, respectively, and $R_c = 50$ au in both cases (equations 4 and 5). For these two discs, we consider radiation environments of 10, 10^2 , 10^3 , and $10^4 G_0$.

Fig. 4 shows the evolution of the disc mass over time for these illustrative discs. The dashed lines are the gas mass and the solid line is the mass in solids (planets and dust). Different coloured lines denote different radiation field strengths. To assist in interpretation of these mass evolutionary plots, we also include the spatial extent and mass-loss rates for two of the models in Fig. 5.

Initially, there is a period of photoevaporation-induced rapid truncation of the disc in which a substantial fraction of gas and dust mass is lost (e.g. quite readily 50–80 per cent of the initial mass, depending on the UV field and initial disc parameters). The disc then stalls (because the flux in material through viscous spreading balances the mass-loss rate in the wind) and undergoes slower photoevaporation for the bulk of its lifetime until the gas is depleted. During the early strong depletion phase, the dust is small enough to also be readily stripped from the disc. However, after only a few tens of kyr, grain growth means that a significant fraction of the remaining dust population is too large to be entrained in the photoevaporative wind. This is illustrated by the mass-loss rates in Fig. 5. Where the blue and red lines coincide in that figure, the dust is perfectly entrained in the flow. However, by about 30 kyr, the dust mass-loss rate drops to a lower value as grain growth occurs. By 0.1 Myr, the dust mass-loss rate is negligible in both cases.

The effect of the dust growing to a size at which it is not entrained in the photoevaporative wind is that although the gas disc steadily depletes, the dust disc is pretty much completely resilient to photoevaporation after this ~ 30 kyr (at most 0.1 Myr) time-scale. The dust mass hence plateaus after this time (see Fig. 4). This kind of behaviour is a general feature of our models, and when we consider the entire grid, it is the level of this plateau in dust mass that will be important for constraining where Trappist-1 planet formation is possible.

An interesting feature of our models is that we can follow the global solids-to-gas mass ratio over time. We refer to the ‘solids’ rather than dust, because some fraction of this mass may end up in

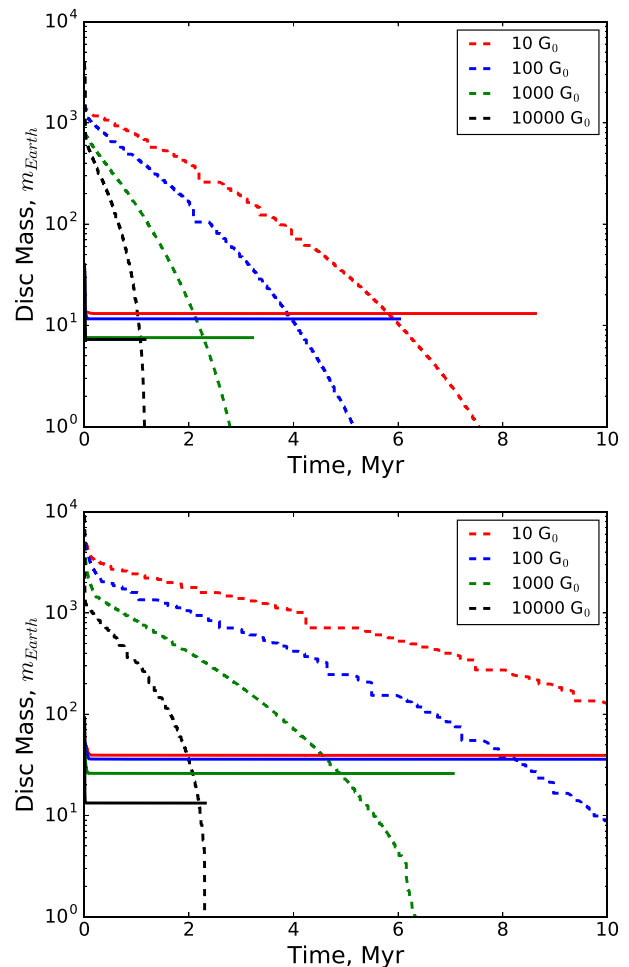


Figure 4. The evolution of the gas (dashed line) and solids (dust and planets, solid line) mass in our illustrative discs as a function of time. Different coloured lines correspond to different irradiating UV field strengths. The upper and lower panels are for initial disc masses of 15 and 35 per cent the stellar, respectively (both discs have $R_c = 50$ au).

planets or other bodies that would not be accounted for in a dust-to-gas mass measurement. In Fig. 6, we plot the solids-to-gas mass ratio for our illustrative discs over time. The dashed lines account for the total dust plus planetary mass and the solid lines subtract $4 M_{\oplus}$ (the observed planetary mass in Trappist-1) of material. Subtracting the observed planetary mass does not have a significant effect on the global dust-to-gas ratio, which rapidly deviates from the canonical interstellar medium value of 10^{-2} in all cases, irrespective of the UV field (over the range of parameters we consider).

A population of such discs of random age would therefore be likely to have an elevated dust-to-gas mass ratio unless the vast majority of their residual solids (typically tens of Earth masses, Fig. 4) are contained in unobservably large rocky bodies. Evidence for enhanced dust-to-gas mass ratios has been claimed in a number of systems, though currently these are typically all in a higher mass regime (e.g. Meeus et al. 2010; Isella, Pérez & Carpenter 2012; Muto et al. 2015; Ansdell et al. 2016; Boneberg et al. 2016; Pinte et al. 2016; Long et al. 2017). Our models suggest that external photoevaporation significantly alters the global dust-to-gas mass ratio of protoplanetary discs by more effectively stripping the gas than the dust, at least about low-mass stars like Trappist-1. Understanding the magnitude of this effect for the discs of higher mass stars

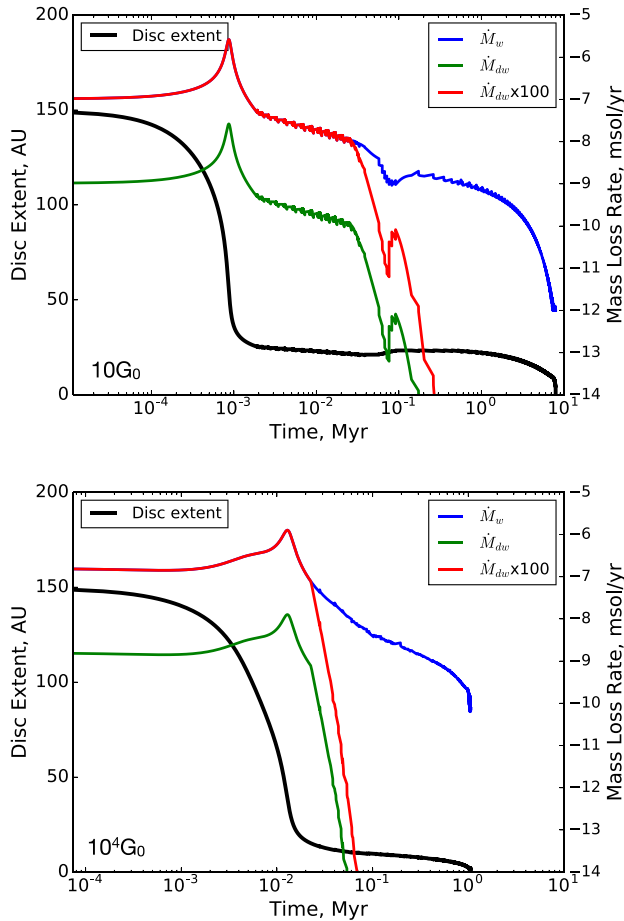


Figure 5. The disc extent (black line) and mass-loss rates (due to accretion and photoevaporation of gas and dust) as a function of time for a model with initial disc mass 15 per cent the stellar, $R_c = 50$ au, and incident UV fields of 10 and $10\,000\,G_0$ in the upper and lower panels, respectively. The blue and green are the gas and dust mass-loss rates in the wind, respectively. The red is the dust mass-loss rate multiplied by the initial gas-to-dust mass ratio. So where the red is not coincident with the blue, grain growth is hindering the entrainment of grains in the wind.

will require future dedicated models. We reiterate that dynamical feedback from grains on to the gas is not included in our models, which may become important at high dust-to-gas mass ratios.

In the case of no irradiation in our models, we never reach $St = 0.1$ at the disc outer edge and so under our prescription, gas and grains accrete in constant proportion throughout the entirety of the disc evolution. The solids-to-gas mass ratio hence remains constant in this case.

In Fig. 7, we show the total fraction of the dust mass that is photoevaporated and accreted over time for our example models in a $100\,G_0$ environment (we will study the fraction of the dust mass accreted/photoevaporated over our entire grid in Section 3.3). Effective accretion of dust is happening for a longer period of time than stripping in the wind but at a rate that is orders of magnitude lower. The general result for all of our models is that the mass accreted in dust is negligible compared to that liberated in the wind. In Fig. 7, the higher mass disc loses a larger fraction of its grains at a faster rate early on, but plateaus at a lower value (since photoevaporation becomes less effective for a compact massive disc) meaning that a smaller fraction of the dust is depleted. Note however that about a

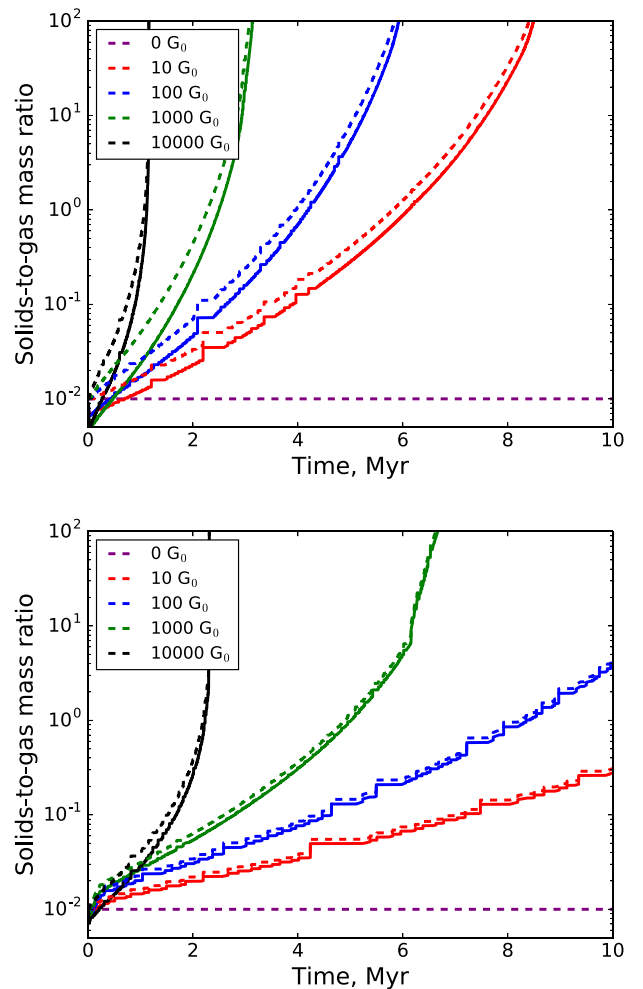


Figure 6. The evolution of the global solids-to-gas mass ratio in our illustrative discs. The dashed line is the mass of dust plus planets and the solid line neglects $4\,M_{\oplus}$ at all times, which is the mass in planets detected about Trappist-1. Different coloured lines correspond to different irradiating UV field strengths. The upper and lower panels are for initial disc masses of 15 and 35 per cent the stellar, respectively (both discs have $R_c = 50$ au). Note that dynamical feedback from dust on to the gas is not included in our models.

factor of 2 more total (i.e. not fractional) dust mass is depleted in the case of the higher mass disc. In Fig. 7, we also include the accreted mass fraction for a model with no photoevaporation (black) and an initial disc mass 15 per cent the stellar. By 10 Myr, around 30 per cent of the initial dust mass is accreted in a $0\,G_0$ environment, compared to the 70 per cent photoevaporated in the $100\,G_0$ case (note that the fraction of initial dust mass photoevaporated is 67, 80, and 81 per cent in the 10, 10^3 , and $10^4\,G_0$ cases). So crucially, even in very weak UV environments, depletion of the dust reservoir by photoevaporation dominates over that lost through accretion over the disc lifetime, even when the dust is allowed to accrete for the full 10 Myr.

3.2 The protoplanetary disc lifetime

We now turn our attention to the behaviour of our large grid of evolutionary models. We first consider the time at which the gas mass drops to zero. Given that there is a dust reservoir remaining

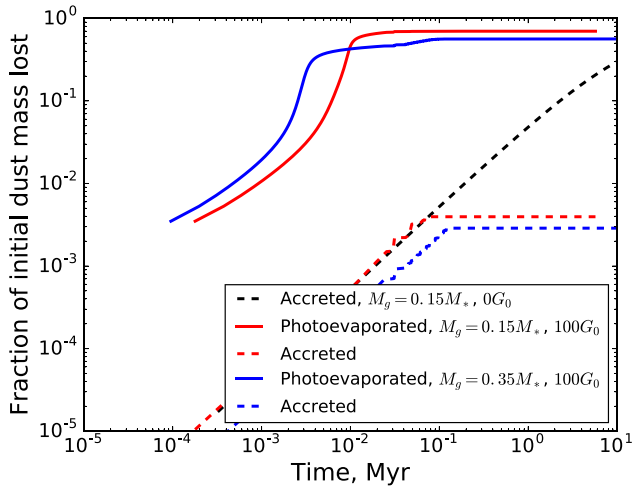


Figure 7. The total dust mass lost to photoevaporation (red) and accretion (blue) over time for our illustrative disc calculations in a $100 G_0$ radiation field. When the photoevaporation mass-loss plateaus, it is because grain growth means little dust is entrained in the flow. When the accretion mass-loss plateaus, there is assumed to be efficient accumulation of solids at the water snow line that quenches further accretion of solids on to the star.

that is not entrained in the wind, and the final clearing of the disc happens rapidly, this time is also very close to that at which the dust mass dominates over the gas. This time is hence synonymous with the time at which an observer would infer that the disc is a debris disc. There is no formal definition of a debris disc (see e.g. Wyatt et al. 2015) so we use the gas disc lifetime and ‘debris disc onset time’ interchangeably.

This gas lifetime is plotted as a function of the initial disc mass and scaling radius R_c in Fig. 8. Note that we terminate our calculations after 10 Myr, which is therefore the artificial upper limit on these plots.

The variation of the gas lifetime can be understood intuitively. More massive, compact discs are longest lived. This is because they have the largest mass reservoirs that are also most strongly bound to the parent star, weakening the power of external photoevaporation. Conversely, extended (more weakly bound) lower mass discs are easily stripped of material even when the disc has shrunk (since they contain less mass). These discs are hence easily stripped of gas, whilst grain growth will permit a substantial fraction of the dust to survive without getting entrained in the wind.

Unsurprisingly, larger UV field strengths also lead to shorter gas disc lifetimes, owing to the more effective heating of the disc outer edge. The most important impact of a higher UV field is that it is more capable of effectively heating and stripping material from the disc once it becomes compact (~ 10 – 20 au) and hence is able to completely remove the gas disc more quickly. In weaker UV environments, the disc does shrink, but stalls at some radius and is long-lived, as we discussed in Section 3.1.

For some spread in initial disc masses and radii, at a given time our models predict that we should expect to find mixtures of protoplanetary and debris discs, which is consistent with observations of similar aged young clusters (e.g. Sicilia-Aguilar et al. 2006; Hernández et al. 2007). We also expect higher debris disc fractions in higher UV environments, as the gas is more quickly removed.

3.3 The extraction of dust mass

An interesting quantity is the total mass in dust extracted from the disc, or similarly the fraction of the initial dust mass that is depleted over the calculation. We know that the total gas mass extracted in each calculation is essentially the initial mass, since all of it is eventually either accreted or stripped in the photoevaporative wind. We however assume in our calculations that there is insignificant loss of solid mass by accretion on to the star once the streaming instability is triggered at the snow line; moreover, at this stage the dust has grown to a sufficiently large size that it cannot be lost via the wind. Therefore, at the end of the calculation, only some subset of the initial dust population will have been removed.

Fig. 9 shows the percentage of the initial dust mass that is lost over our entire grid of models. This is vastly more sensitive to the initial extent of the disc than the initial disc mass (i.e. there is stronger variation vertically than horizontally in this plot). The fraction of dust lost is almost entirely insensitive to the initial mass. Only once the discs get very high or low mass, does it have an appreciable effect on the fraction of dust lost.

In the high-mass regime, the reason for a smaller fraction of the dust being depleted is simply that photoevaporation is less effective, taking longer to truncate the disc and gain access to more of the dust reservoir. During this time, grain growth occurs, and so by the time the disc is truncated, most of the dust will be of a size that is not entrained in the wind. In the low-mass regime, grains start drifting more quickly (equation 11) and so are not entrained in the flow.

3.4 Where can a Trappist-1 planetary system be produced?

For the Ormel et al. (2017) planet formation mechanism to operate, we require at least $16 M_{\oplus}$ of dust mass at the water snow line in order to facilitate the production of $4 M_{\oplus}$ worth of planets with their assumed 25 per cent pebble accretion efficiency. In practice, more than this will be required because not all of the dust that escapes entrainment in the wind will make it to the water snow line; however, this fraction is unknown in our models.

In Fig. 10, we show the dust mass remaining after 2 Myr in our grid. Recall that at this time all discs are at the plateau dust mass (and have been for some time) so this amount of dust represents the maximum available reservoir. On these plots, we include contours that represent the critical mass for forming the $4 M_{\oplus}$ worth of planets in Trappist-1 assuming different total efficiencies (i.e. the fraction of dust required to make it to the water snow line and end up in planets). The solid contour denotes an efficiency of 25 per cent (a quarter of the dust remaining after photoevaporation/accretion ends up in planets) and the dashed line an efficiency of 12.5 per cent.

There are a number of interesting inferences to be drawn from Fig. 10. First, in low-intermediate radiation field environments (10 – $1000 G_0$), the dust reservoir available for planet formation is relatively insensitive to the UV field strength (though recall that the gas is efficiently depleted). Above $1000 G_0$ this changes, and the initial disc parameters have to be more massive and more compact in order to sustain sufficient dust mass to produce the planets. We remind the reader that although depletion of the dust reservoir does not change much between our lower radiation field strengths, they still deplete at least a factor of 2 more of the total fraction of dust over 10 Myr than accretion alone (no photoevaporation) even when accretion is permitted to continue over the full 10 Myr (see Section 3.1, Fig. 7).

We note that this relative insensitivity of the dust mass to the UV field in low-intermediate UV environments is not in conflict with the

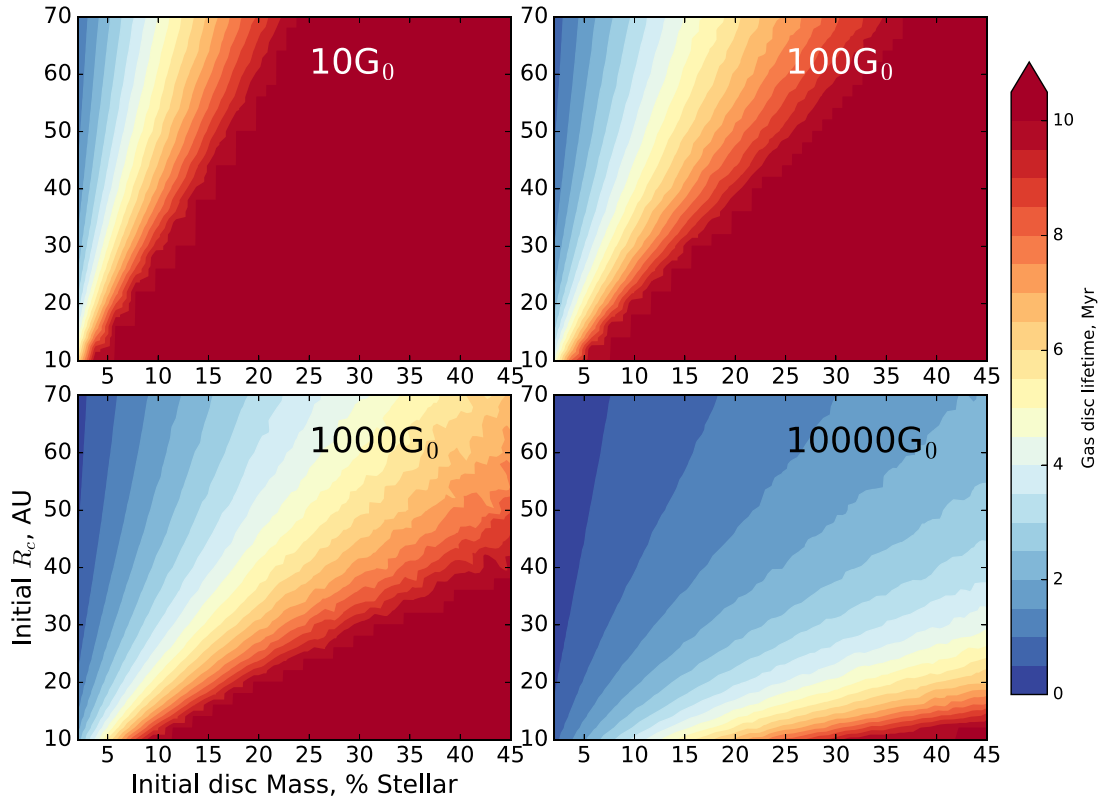


Figure 8. The time in our grid of models at which the gas is fully dispersed (which we also refer to as the debris disc onset time). The axes represent the range of initial disc masses and scaling radii, and the time at which the gas disc is dispersed is represented using the colour scale.

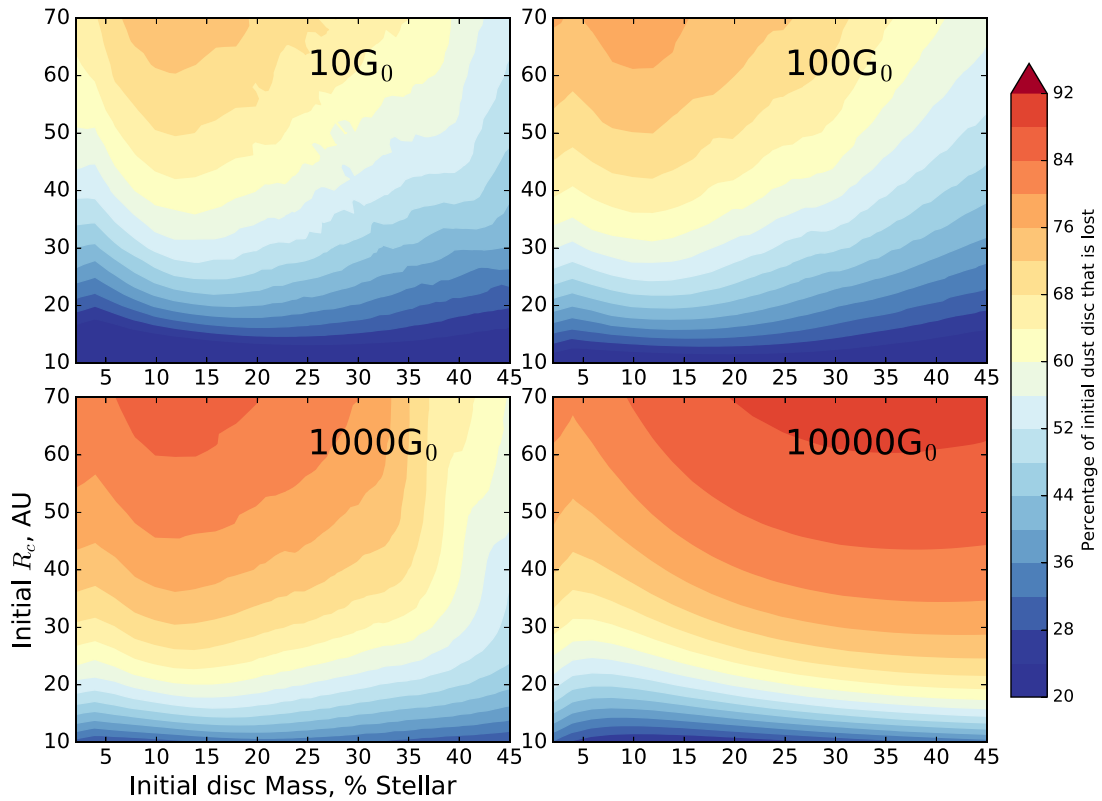


Figure 9. The percentage of the initial mass in dust that is lost in our grid of evolutionary models.

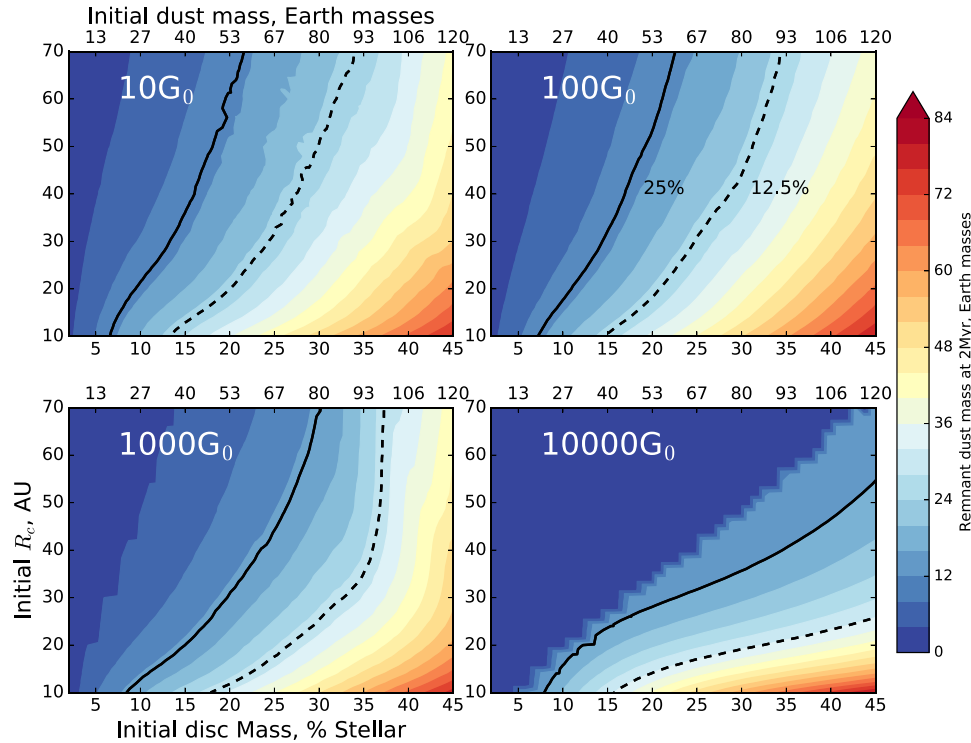


Figure 10. The mass in dust remaining in our grid of discs after 2 Myr. By this time, dust has stopped being significantly depleted in the photoevaporative wind so this mass is a good representation of what will be available for planet formation (typically, the models reached these plateau masses at much earlier times than this). The solid and dashed contours denote the boundary between models where there is and is not enough mass to produce the Trappist-1 planet with certain efficiencies. That is, to the right of the solid line, conversion of dust into planets with 25 per cent efficiency would be sufficient, and similarly for the dashed line for an efficiency of 12.5 per cent.

radial dependence of the dust mass in discs about Sigma Orionis by Ansdell et al. (2017). They quote a radial variation of the UV field of $8000(\text{d/pc})^{-2} G_0$ that gives a UV field of $1280 G_0$ at 2.5 pc, which is conservatively the largest distance that they consider from Sigma Ori. The majority of their discs are well within this distance and hence are exposed to significantly higher UV field strengths. For such UV fields, the dust in the discs observed by Ansdell et al. (2017) is expected to be showing signs of radiation field-dependent dust mass according to our models (though note that this is complicated since in this paper we are only considering discs about low-mass stars).

Returning to planet formation, the relative insensitivity of the dust mass to the UV up to about $1000 G_0$ suggests that Trappist-1 planet formation would be quite resilient to radiation environment in this regime. However, in more massive star-forming regions such as Orion, there will be regions close to O stars where Trappist-1 planet formation is expected to be more difficult.

Another major conclusion drawn from these models is that even in low-UV environments *the initial mass of the Trappist-1 disc has to be high*. In addition, they should also preferably be compact ($R_c < 30$ au). For the extremely efficient conversion of 25 per cent of the remaining solids into planets, we require typical initial disc masses around 15–25 per cent of the stellar. For more conservative efficiencies where 12.5 per cent of the dust is converted into planets, the initial disc mass readily needs to be more like 30–40 per cent of the stellar. We reiterate that we checked the Toomre Q parameters for these models, and even the most massive are initially stable at all radii. Note that this stability would not hold at similarly high disc-to-

star mass ratios in the case of more massive stars since gravitational instability is promoted, for given disc-to-star mass ratio, by the relatively low disc aspect ratios in higher mass stars.

3.5 What fraction of low-mass M dwarfs could host a Trappist-1 planetary system

A key question is to ask what the expected frequency of Trappist-1-like planetary systems would be from our models? Given that our models constrain the types of discs in different environments that can form such a system, if we knew the initial conditions for a large population of discs, we could compute what fraction of such discs could produce a Trappist-1-like system – placing an upper limit on the frequency. Unfortunately, the disc initial mass/radius function is highly uncertain.

The probability distribution of UV fields to which a disc is exposed to is better constrained. The UV field associated with embedded clusters was studied by Fatuzzo & Adams (2008), who used the initial mass function and cluster size relation to compute the probability that a cluster member is exposed to a given UV field. This assumed that the fraction of stars born in clusters of size N is evenly distributed logarithmically, which is observed up to $N \sim 2000$ (Lada & Lada 2003), but assumed to be the case for larger clusters too. Fatuzzo & Adams (2008) also considered different extinction levels. Typically, the distribution of $P(G_0)$ is approximately a Gaussian, and we used the ‘standard’ such distribution from Fatuzzo & Adams (2008) that has a median $\log_{10}(G_0) = 3.06$ and width 1.13.

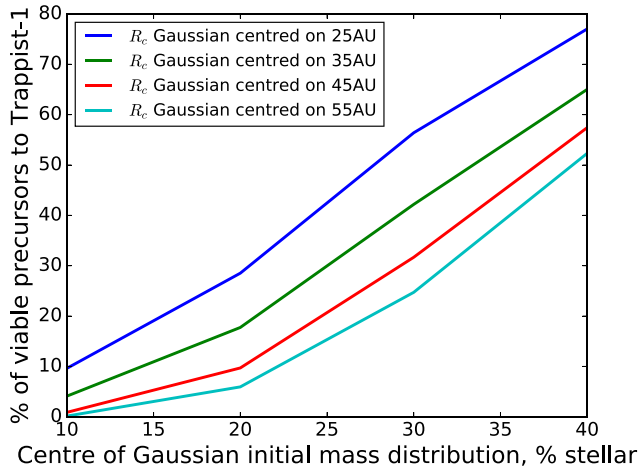


Figure 11. The percentage of Trappist-1 planets able to host a Trappist-1 system for different initial disc parameter distributions.

For further information on the statistical properties of stellar birth environments, see Adams et al. (2006) and Adams (2010).

Since the initial disc parameters are uncertain, we assume a Gaussian distribution of disc masses centred about either 10, 20, 30, or 40 per cent of the stellar, each of which has a standard deviation of 10 per cent. Similarly, the R_c is randomly sampled from a Gaussian centred on either 25, 35, 45, or 55 au with a standard deviation of 15 au. This gives a total of 16 initial disc parameter distributions that we randomly sample from to gauge what fraction of M dwarfs from that population could produce Trappist-1 planets. Obviously, these populations are artificial (and unconstrained), but they permit us to illustrate what each would yield in terms of Trappist-1 analogue planet populations.

Fig. 11 shows the percentage of M dwarfs capable of producing a Trappist-1-like planetary system as a function of the peak of the Gaussian of initial disc-to-star mass ratios, for different disc size distributions. For this plot, we assume that 12.5 per cent of the remaining dust is converted into planets (i.e. there has to be at least $32 M_{\oplus}$ worth of dust in the disc). This fraction is a strong function of the initial disc mass (typically more so than R_c , cf. Fig. 10). For a mass distribution centred on 10 per cent of the stellar, less than 10 per cent of M dwarfs are capable of hosting a Trappist-1 planetary system. Much higher initial disc masses, centred on at least 30 per cent of the stellar, are required for the majority of M dwarfs to host Trappist-1 planets. Better statistics on the fraction of M dwarfs with similar planetary systems might permit us to gain insight into the, highly uncertain, initial conditions of such discs.

3.6 Could Trappist-1 host an additional higher mass planet?

Boss et al. (2017) recently placed constraints on the upper mass limit of a long-period planet in Trappist-1. They found an upper limit of $4.6 M_J$ (Jupiter masses) and $1.6 M_J$ for a planet with an orbital period of 1 and 5 yr, respectively. Such a planet would require access to the same limited mass reservoir that we are tracking in our models and hence make it more difficult to produce the confirmed planets. We re-computed the fraction of viable Trappist-1 precursors (as above) requiring an additional tenth of a Jupiter mass of solids go into producing the core of some higher mass planet, under the assumption that the rest of the planetary mass comes from the gas phase. We find that even for our most massive and most compact disc

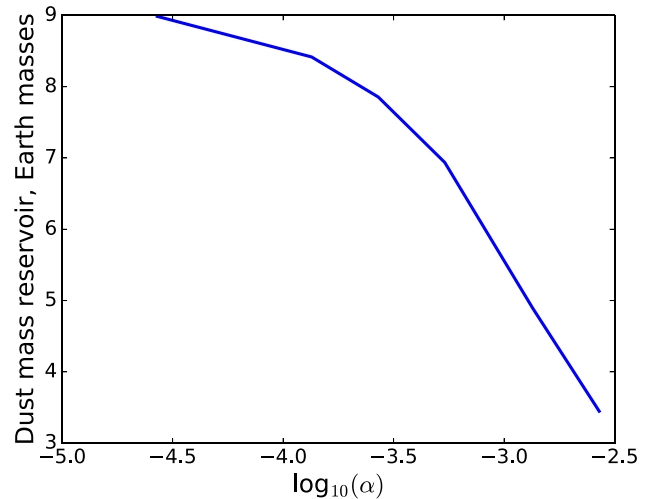


Figure 12. An illustration of the sensitivity of the remaining dust reservoir to the viscous α parameter, which is set in our models by a combination of the disc parameters and initial accretion rate. This is for a single disc setup with initial disc mass 20 per cent the stellar and $R_c = 50$ au. The lowest α value is that resulting from our main grid for this model.

distributions, only a very small fraction of the disc population are able to produce both the Trappist-1 planets and the $0.1 M_J$ core for a higher mass planet (irrespective of whether or not there is enough gas for the core to accrete a substantial gaseous atmosphere). We therefore conclude that an additional higher mass, longer period, planet is highly unlikely. If one is detected about Trappist-1, this would imply a very high initial disc mass; it is likely that the longer period planet would be of sub-Jupiter mass (probably only of the order of another Earth mass), and such a system would still be expected to be rare for such low-mass M dwarfs.

3.7 Sensitivity to viscosity

The viscosity in our models is set by the disc parameters and accretion rate. It hence varies across the disc parameter space since we assume the same initial (observationally motivated) accretion rate. Recall from equation (6) that for a disc mass 1 per cent of the stellar and $R_c = 50$ au, $\alpha \sim 10^{-3}$, with α decreasing for higher mass, more compact discs. The α viscosity parameter is therefore quite low across much of our parameter space. We therefore ran additional calculations where we increased the accretion rate (and hence α). Fig. 12 shows the dust reservoir for planet formation as a function of α for a disc with initial mass 20 per cent the stellar, $R_c = 50$ au that is irradiated by $100 G_0$. In line with Anderson et al. (2013), we find that a higher α leads to more effective evaporation of the disc, including the dust mass reservoir. Overall then, unless viscosity is extraordinarily low, the high disc masses required by our models to form the Trappist-1 planets cannot be circumvented by changes to the viscosity.

4 SUMMARY AND CONCLUSIONS

We have used a large grid of viscous evolutionary models, including accretion on to the star and external photoevaporation, to study the evolution of discs that might be precursors to the famous Trappist-1 system. We aim to probe the dust and gas mass content of such discs over time in different radiation environments, and any consequences

that this has for planet formation. We draw the following main conclusions from this work.

(1) Photoevaporation of the gas from a Trappist-1 precursor disc is effective, owing to the low stellar mass and hence weak boundedness of the material at the disc outer edge. Intuitively, more massive, compact, weakly irradiated discs retain their gas reservoir for longer than low-mass, extended, strongly irradiated discs.

(2) Once grains reach a critical size (as shown by Facchini et al. 2016), they are no longer entrained in the photoevaporative flow. Evolving the maximum grain size following Birnstiel et al. (2012) results in a front that propagates quickly outwards through the disc, interior to which grains are sufficiently large that dust is resilient to the effects of photoevaporation. Photoevaporation hence only has a short period of time in which to deplete the disc of dust (see also Appendix A). We demonstrate that radial drift dominates fragmentation in setting the maximum grain size across our parameter space.

(3) Although dust quickly becomes resilient to external photoevaporation, gas continues to be depleted. The solids-to-gas ratio hence increases over time (at a rate dependent on the disc properties and incident UV field strength, see Fig. 6). Eventually, the mass in solids dominates over the gas, and shortly after this the gas disc is cleared completely. The gas disc lifetime is hence synonymous with the time at which the disc would be identified as a debris disc. For a distribution of initial disc parameters, our models expect a mixture of debris and protoplanetary discs in a given young stellar cluster, even for a single UV field environment (at least for low-mass stars).

(4) Although dust rapidly becomes resilient to photoevaporation, a significant fraction of the initial dust reservoir can still be removed early on. We find that high initial disc masses are required in order to form the observed $4 M_{\oplus}$ worth of Trappist-1 planets. For example, if the dust reservoir resilient to photoevaporation is converted into planets with 25 per cent efficiency, initial disc masses of 15–25 per cent the stellar are required in $10\text{--}10^3 G_{\odot}$ environments. For 12.5 per cent efficiency, the initial disc mass required is pushed towards 30–40 per cent the stellar. In $10^4 G_{\odot}$ environments, only the most compact ($R_c < 30$ au) discs are capable of producing the Trappist-1 planets. Note that we confirmed that such high fractional disc masses are Toomre Q stable.

(5) Even very weak UV fields strip substantially more dust (by about a factor of 2) than 10 Myr of depletion through accretion only.

(6) We estimate the fraction of Trappist-1 mass M dwarfs capable of hosting a similar planetary system by randomly sampling Gaussian distributions of initial disc parameters. As above, distributions centred on high masses (>30 per cent the stellar) are required for ~ 50 per cent of such M dwarfs to be able to host similar planetary systems, at least for an efficiency with which solids are converted to planets of 12.5 per cent.

(7) It is almost impossible for an additional higher mass, longer orbital period planet to be produced around Trappist-1. None of our models can accommodate an additional $0.1 M_J$ planetary core unless the efficiency with which grains are converted into planets is unrealistically high, and also only for our most compact and massive discs. We hence conclude that an additional longer period high-mass planet is very unlikely, and if one were to exist it would probably be sub-Jupiter mass.

ACKNOWLEDGEMENTS

We thank the anonymous referee for their insightful comments. We also thank Fred Adams, James Owen, and Hugh Jones for useful

discussions. TJH is funded by an Imperial College London Junior Research Fellowship. CJC acknowledges support from the DISC-SIM project, grant agreement 34113, 7 funded by the European Research Council under ERC-2013-ADG. This work was partly developed during and benefited from the MIAPP ‘Protoplanetary discs and planet formation and evolution’ programme. The photoevaporation models in this paper were run on the COSMOS Shared Memory system at DAMTP, University of Cambridge operated on behalf of the STFC DiRAC HPC Facility. This equipment is funded by BIS National E-infrastructure capital grant ST/J005673/1 and STFC grants ST/H008586/1, ST/K00333X/1. DiRAC is part of the National E-Infrastructure.

REFERENCES

- Adams F. C., 2010, *ARA&A*, 48, 47
- Adams F. C., Hollenbach D., Laughlin G., Gorti U., 2004, *ApJ*, 611, 360
- Adams F. C., Proszkow E. M., Fatuzzo M., Myers P. C., 2006, *ApJ*, 641, 504
- Alberti T., Carbone V., Lepreti F., Vecchio A., 2017, *ApJ*, 844, 19
- Alcalá J. M. et al., 2014, *A&A*, 561, A2
- Alexander R. D., Armitage P. J., 2006, *ApJ*, 639, L83
- Anderson K. R., Adams F. C., Calvet N., 2013, *ApJ*, 774, 9
- Ansdell M. et al., 2016, *ApJ*, 828, 46
- Ansdell M., Williams J. P., Manara C. F., Miotello A., Facchini S., van der Marel N., Testi L., van Dishoeck E. F., 2017, *AJ*, 153, 240
- Birnstiel T., Klahr H., Ercolano B., 2012, *A&A*, 539, A148
- Bisbas T. G., Bell T. A., Viti S., Yates J., Barlow M. J., 2012, *MNRAS*, 427, 2100
- Bisbas T. G., Haworth T. J., Barlow M. J., Viti S., Harries T. J., Bell T., Yates J. A., 2015, *MNRAS*, 454, 2828
- Bolmont E., Selsis F., Owen J. E., Ribas I., Raymond S. N., Leconte J., Gillon M., 2017, *MNRAS*, 464, 3728
- Boneberg D. M., Panić O., Haworth T. J., Clarke C. J., Min M., 2016, *MNRAS*, 461, 385
- Booth R. A., Clarke C. J., Madhusudhan N., Ilee J. D., 2017, *MNRAS*, 469, 3994
- Boss A. P., Weinberger A. J., Keiser S. A., Astraatmadja T. L., Anglada-Escude G., Thompson I. B., 2017, *AJ*, 154, 103
- Carrera D., Gorti U., Johansen A., Davies M. B., 2017, *ApJ*, 839, 16
- Clarke C. J., 2007, *MNRAS*, 376, 1350
- Cleeves L. I., Öberg K. I., Wilner D. J., Huang J., Loomis R. A., Andrews S. M., Czekala I., 2016, *ApJ*, 832, 110
- Dong C., Jin M., Lingam M., Airapetian V. S., Ma Y., van der Holst B., 2017, ([arXiv:1705.05535](https://arxiv.org/abs/1705.05535))
- Ercolano B., Jennings J., Rosotti G., Birnstiel T., 2017, *MNRAS*, 472, 4117
- Facchini S., Clarke C. J., Bisbas T. G., 2016, *MNRAS*, 457, 3593
- Fang M., Kim J. S., Pascucci I., Apai D., Manara C. F., 2016, *ApJ*, 833, L16
- Fatuzzo M., Adams F. C., 2008, *ApJ*, 675, 1361
- Geers V. C. et al., 2006, *A&A*, 459, 545
- Gillon M. et al., 2017, *Nature*, 542, 456
- Guarcello M. G. et al., 2017, *A&A*, 602, A10
- Gundlach B., Blum J., 2015, *ApJ*, 798, 34
- Habing H. J., 1968, *Bull. Astron. Inst. Neth.*, 19, 421
- Harries T. J., 2015, *MNRAS*, 448, 3156
- Haworth T. J., Harries T. J., Acreman D. M., Bisbas T. G., 2015, *MNRAS*, 453, 2277
- Haworth T. J., Boubert D., Facchini S., Bisbas T. G., Clarke C. J., 2016a, *MNRAS*, 463, 3616
- Haworth T. J. et al., 2016b, *PASA*, 33, e053
- Haworth T. J., Facchini S., Clarke C. J., Cleeves L. I., 2017, *MNRAS*, 468, L108
- Hernández J. et al., 2007, *ApJ*, 662, 1067
- Holden L., Landis E., Spitzig J., Adams F. C., 2011, *PASP*, 123, 14
- Isella A., Pérez L. M., Carpenter J. M., 2012, *ApJ*, 747, 136

- Johansen A., Oishi J. S., Mac Low M.-M., Klahr H., Henning T., Youdin A., 2007, *Nature*, 448, 1022
- Kalyaan A., Desch S. J., Monga N., 2015, *ApJ*, 815, L12
- Kim J. S., Clarke C. J., Fang M., Facchini S., 2016, *ApJ*, 826, L15
- Lada C. J., Lada E. A., 2003, *ARA&A*, 41, 57
- Long F. et al., 2017, *ApJ*, 844, 99
- Lynden-Bell D., Pringle J. E., 1974, *MNRAS*, 168, 603
- Manara C. F., Fedele D., Herczeg G. J., Teixeira P. S., 2016, *A&A*, 585, A136
- Mann R. K. et al., 2014, *ApJ*, 784, 82
- Mathis J. S., Rumpl W., Nordsieck K. H., 1977, *ApJ*, 217, 425
- Meeus G. et al., 2010, *A&A*, 518, L124
- Muto T. et al., 2015, *PASJ*, 67, 122
- O'Dell C. R., 1998, *AJ*, 115, 263
- O'Malley-James J. T., Kaltenecker L., 2017, *MNRAS*, 469, L26
- Oliveira I. et al., 2010, *ApJ*, 714, 778
- Ormel C. W., Liu B., Schoonenberg D., 2017, *A&A*, 604, A1
- Papaloizou J. C. B., Szuszkiewicz E., Terquem C., 2017, *MNRAS*, preprint (arXiv:1711.07932)
- Perez-Becker D., Chiang E., 2011, *ApJ*, 727, 2
- Pinilla P., Birnstiel T., Ricci L., Dullemond C. P., Uribe A. L., Testi L., Natta A., 2012, *A&A*, 538, A114
- Pinte C., Dent W. R. F., Ménard F., Hales A., Hill T., Cortes P., de Gregorio-Monsalvo I., 2016, *ApJ*, 816, 25
- Rosotti G. P., Clarke C. J., Manara C. F., Facchini S., 2017, *MNRAS*, 468, 1631
- Sicilia-Aguilar A. et al., 2006, *ApJ*, 638, 897
- Smith N., Bally J., Morse J. A., 2003, *ApJ*, 587, L105
- Toomre A., 1964, *ApJ*, 139, 1217
- Wang S., Wu D.-H., Barclay T., Laughlin G. P., 2017, preprint (arXiv:1704.04290)
- Wright N. J., Drake J. J., Drew J. E., Guarcello M. G., Gutermuth R. A., Hora J. L., Kraemer K. E., 2012, *ApJ*, 746, L21
- Wyatt M. C., Panić O., Kennedy G. M., Matrà L., 2015, *Ap&SS*, 357, 103
- Yang C.-C., Johansen A., Carrera D., 2017, *A&A*, 606, A80
- Youdin A. N., Goodman J., 2005, *ApJ*, 620, 459

APPENDIX A: ANALYTIC ESTIMATE OF THE GRAIN ENTRAINMENT FRACTION

For grain growth and entrainment in the wind as discussed in Section 2.3.2, we can solve for the dust mass-loss rate over time as a function of disc outer radius in the disc as grain growth proceeds [i.e. by combining and integrating equations (8)–(10)]

$$\dot{M}_{\text{dw}} = \delta \dot{M}_{\text{w}}^{3/2} \left(\frac{v_{\text{th}}}{4\pi \mathcal{F} G M_* \rho_{\text{g}} a_{\text{min}}} \right)^{1/2} \exp \left[\frac{-\delta (G M_*)^{1/2} t}{2R^{3/2}} \right]. \quad (\text{A1})$$

An interesting quantity is the ratio of the dust mass-loss rate to that one would expect for perfect entrainment, i.e. $\Xi = \dot{M}_{\text{dw}} / (\delta \dot{M}_{\text{w}})$, where δ is the initial dust-to-gas mass ratio. Assuming that the scale height is c_s / Ω , this is

$$\Xi = (\dot{M}_{\text{w}} M_{\odot} \text{yr}^{-1})^{1/2} \left[\left(\frac{8^{1/2}}{4\pi^{3/2} G^{1/2} M_*^{1/2} \rho_{\text{g}} a_{\text{min}}} \right) \times R^{-3/2} \left(\frac{k_{\text{B}} T R^3}{\mu m_{\text{H}} G M_*} + R^2 \right)^{1/2} \right]^{1/2} \exp \left(\frac{-\delta G^{1/2} M_*^{1/2} t}{2R^{3/2}} \right). \quad (\text{A2})$$

From our grid (Fig. 2), we know that the gas mass-loss rate is more sensitive to radius than surface density. We can therefore fit some

Table A1. Parameters for a polynomial fit to the photoevaporative mass-loss rate as a function of disc outer radius from a Trappist-1 precursor disc in 10 and 1000 G_0 environments. These are specifically tailored for a disc with initial mass 20 per cent of the stellar, though note that compared to the disc outer radius the mass-loss rate is *relatively* insensitive to the surface density and hence mass.

	10 G_0	1000 G_0
p_1	−13.8842	−12.4687
p_2	0.363 892	0.424 697
p_3	−0.005 573 22	−0.009 659
p_4	3.3816e−051	0.000 102 68
p_5	−6.212 43e−08	−5.256 44e−07
p_6	−5.993 67e−11	1.043 66e−09

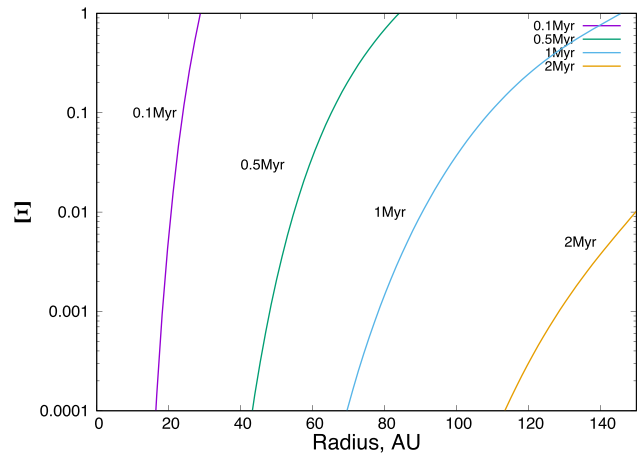


Figure A1. The fraction of dust entrained in a photoevaporative wind as a function of disc outer radius, with each line denoting different times. That is, at 0.1 Myr dust within about 20 au has grown to sufficient size that it will not be entrained in the wind. By 2 Myr, photoevaporative stripping of dust would be inefficient at all radii.

representative $\dot{M}_{\text{w}}(R)$ and feed it into equation (A2) to determine how the dust fraction entrained evolves as a function of time and radius. To facilitate this, we fitted a fifth-order polynomial to the mass-loss profile of a disc with initial mass 20 per cent the stellar

$$\log_{10}(\dot{M}_{\text{w}}) = p_1 + p_2 x + p_3 x^2 + p_4 x^3 + p_5 x^4 + p_6 x^5, \quad (\text{A3})$$

the parameters for which in the case of a 10 and 1000 G_0 field are given in Table A1.

Combining the above, we can estimate the fraction of the dust entrained in the wind as a function of radius at different times, an example of which is shown in Fig. A1 for the 1000 G_0 radiation field parametrization from Table A1. This shows that after 0.1 Myr, essentially all dust within about 20 au has grown to sufficient size that it is impervious to photoevaporative stripping. By 0.5 Myr, all dust within ~ 65 au is resilient to photoevaporation and by 2 Myr essentially the whole disc retains its dust.

These analytic estimates are powerful tools for quickly estimating the dust stripping and mass reservoir for planet formation for a given low-mass M-dwarf disc, without requiring additional numerical models. This kind of analysis could be extended to other stellar systems with future more comprehensive/dedicated mass-loss rate grids.

APPENDIX B: NOTATION SUMMARY

Table B1. A summary of the key notation used in this paper.

Symbol	Description
\dot{M}_a	Gas mass accretion rate
\dot{M}_w	Gas mass-loss rate in photoevaporative wind
\dot{M}_{dw}	Dust mass-loss rate in photoevaporative wind
M_*	Stellar mass
M_g	Disc gas mass
M_d	Disc dust mass
m_p	Planetary mass
a_{entr}	Maximum grain size entrained in photoevaporative wind
a_{max}	Maximum grain size at a given radius
a_{min}	Minimum grain size at a given radius
a_d	Size of grains at which radial drift occurs
a_f	Size of grains at which fragmentation occurs
ρ_g	Grain mass density
δ	Dust-to-gas mass ratio
v_f	Fragmentation velocity
Σ	Surface density
Ω	Angular frequency
T	Temperature
c_s	Sound speed
v_{th}	Mean thermal speed of gas particles
H_d	Scale height at disc outer edge
R_d	Disc outer radius
R_c	Scaling radius (equation 4)
Σ_c	Surface density at scaling radius
\mathcal{F}	Solid angle subtended by disc at outer edge
Q	Toomre stability parameter
α	Viscosity parameter
ν	Viscosity
Ξ	Instantaneous fraction of possible dust entrained in wind
η	Planet formation efficiency (planets-to-solids mass ratio)

This paper has been typeset from a $\text{\TeX}/\text{\LaTeX}$ file prepared by the author.