

1 **Explaining the amount and consistency of medical care and self-management support in asthma:**
2 **a survey of primary care providers in France and the United Kingdom**

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24 Running Title:

25 Asthma care in France and the United Kingdom

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27 **Potential conflicts of interest**

28

29 During the past five years, Eric Van Ganse has received funds for research, participations to congresses
30 and consulting from: ALK-ABELLO, BIF, MSD, ASTRA-ZENECA, CHIESI. During the past five years, Eric
31 Van Ganse has been the main investigator of studies sponsored by GSK, MSD, CHIESI, PFIZER. The
32 other authors have no conflict of interest to declare.

33

Abstract

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Background: The quality of asthma primary care may vary between countries, health care practices, and health care professionals (HCPs). Identifying and explaining such differences is critical for health services improvement.

Objectives: To examine the quality of asthma primary care in France and United Kingdom, and identify within-country and between-country predictors amenable to intervention.

Methods: An online questionnaire to capture asthma medical care and self-management support, practice characteristics, and psychosocial determinants, was completed by 276 HCPs. Mokken Scaling analyses were used to examine item structure and consistency. Hierarchical regression analyses were used to identify predictors of the amount (number of asthma care activities HCPs delivered) and consistency (the degree to which HCPs deliver similar care) of asthma medical care and self-management support.

Results: On average, HCPs reported delivering 74,2% of guideline-recommended care. Consistency of medical care and self-management support was lower among HCPs delivering a lower amount of care ($r=.58$ and $r=.57$, $p<.001$). UK HCPs provided more and more consistent asthma self-management support –but not medical care- than French HCPs, which was explained by the presence of practice nurses in the UK. More training, positive social norms, and higher behavioural control explained better quality of care across all HCPs.

Conclusions: Using carefully-developed questionnaires and advanced psychometric analyses, this study suggests that involving practice nurses, making social expectations visible, and providing more training to enhance skills and confidence in asthma care delivery could enhance the amount and consistency of asthma primary care. This needs to be corroborated in a future intervention trial.

Keywords: asthma; quality of care; adherence; self-management; primary care; implementation; guidelines

Abbreviations: Health Care Providers (HCPs), United Kingdom (UK), Theory of Planned Behaviour (TPB), Mokken Scaling Analyses (MSA), Quality Outcomes Framework (QOF)

61 **Highlights**

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63 1. What is already known about this topic?

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65 Previous research suggests that there may be variation in the content of asthma care delivered in primary
66 care, between and within-countries.

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68 2. What does this article add to our knowledge?

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70 This study reveals that the amount and consistency of asthma care varies substantially between primary
71 care providers in the UK and France, and identifies important modifiable predictors of suboptimal care
72 delivery

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74 3. How does this study impact current management guidelines?

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76 Involving nurses in asthma care, making patient expectations visible, and providing more training to
77 enhance skills and confidence in asthma care delivery, could improve the quality of asthma medical care
78 and self-management support.

79

80 **BACKGROUND**

81 Asthma continues to represent a global public health problem, affecting more than 300 million
82 people worldwide (1,2). Uncontrolled asthma remains prevalent, with high costs for individuals and
83 society (3,4). To support patients in achieving and maintaining asthma control, asthma care guidelines
84 recommend health care professionals (HCPs) in primary care to deliver medical and self-management
85 support (5–7). For these guidelines to benefit patients, they need to be widely adopted (8). Studies
86 suggest that asthma care delivery is suboptimal (9–19), but research on this topic is still incipient. Most
87 available studies have focused on a limited set of guideline-recommended activities and measured
88 guideline implementation indirectly (e.g., through HCP knowledge tests or vignettes with hypothetical
89 scenarios). No prior investigation focused on how much (the amount) of the guideline-recommended
90 activities were routinely delivered to patients, explored whether different HCPs deliver similar asthma care
91 (the consistency of care), or identified factors that explain differences in the quality of asthma care
92 provided. To progress, we need to examine both the amount and consistency of asthma care using a
93 comprehensive set of questions that capture medical care and self-management support directly (i.e.,
94 measure HCP behaviour rather than guideline knowledge), and identify the main quality of care
95 determinants that can be targeted in future service improvement interventions.

96 Within a European Commission-funded asthma cohort study in the United Kingdom (UK) and
97 France (20), we aimed to investigate the amount, consistency, and determinants of asthma care in
98 primary care. The two different health care systems also provided an opportunity for between-country
99 comparisons and reflecting on system-level influences on the quality of asthma care provided. Since
100 2004, UK primary care is guided by a performance management framework called the Quality and
101 Outcomes Framework (QOF), which includes performance pay for several guideline-recommended
102 asthma care activities. Some studies suggest that QOF resulted in improved performance on incentivised
103 indicators of quality of care (21,22). The French health care system is similar to the UK system as it
104 provides universal cover through a combination of public and private hospital and ambulatory care (23),
105 however historically it has been more focused on hospital-based and specialist care (24). A pay-for-
106 performance system to meet several prevention, prescription and chronic disease management goals
107 was introduced in France in 2008, but with limited success (24). These system-level differences would be

108 expected to result in differences in how asthma care is delivered in primary care. In particular, the
109 expectation could be that asthma primary care in the UK is more comprehensive and consistent than in
110 France.

111 The current study examined and compared the amount and consistency of medical care and self-
112 management support provided to asthma patients in primary care in the UK and France, and aimed to
113 identify determinants that can explain variations in care within and between countries.

114 **METHODS**

115 **Study design and participants**

116 HCPs participating in patient recruitment for the ASTRO-LAB cohort in France and the UK (maximum 4
117 HCPs per practice) were invited via email to complete an online survey on their asthma care practices. No
118 additional selection criteria were used. Thus, the sample size was determined by the number of HCPs in
119 the cohort willing to participate. The study design, including ethics approvals, is detailed elsewhere (20).

120 **Measures**

121 The survey included questions on routine provision of medical care and self-management support to
122 patients with persistent asthma who were prescribed (at least) daily use of an inhaled corticosteroid (ICS).
123 We also collected data on HCP and practice characteristics, including HCP psychosocial determinants of
124 providing asthma care.

125 **Asthma care activities**

126 To identify core medical care and self-management support activities for asthma management in primary
127 care, we reviewed the literature on asthma care measurement and recent guidelines (5–7). We identified
128 two questionnaires (17,18, 19, 25). As self-management support, particularly medication adherence, was
129 less detailed in these guidelines and tools, we adapted additional items from previous research assessing
130 adherence support in routine clinical care in other conditions (26–28). The items identified were
131 formulated in English and back-translated to French. Cognitive ('think-aloud') interviews with 6 HCPs in
132 both countries were conducted to ensure relevance and comprehensibility. Following this process, we
133 aimed to ensure a good coverage of asthma care activities relevant for achieving good clinical outcomes,
134 which HCPs can relate to and report on with minimal response burden.

135 The checklist included 12 medical and 25 self-management support activities. The item content
136 and sources are detailed in the Online Repository (Table E1). In line with research suggesting that self-
137 reports of behaviour are more accurate amongst people performing a behaviour routinely (most or all of
138 the time) (29), we asked HCPs whether during the last 12 months they performed that activity with the
139 majority of their patients on daily preventer therapy. The response options were 'Yes', 'No', and 'I don't
140 know' (recoded to 'No' for analysis). Previous meta-analyses in another topic area (HIV care)
141 demonstrated high reliability and strong predictive validity of this approach (26,27). To further increase
142 validity and limit social desirability, the survey was anonymous and reassured respondents in an
143 introductory statement that its purpose was not to verify HCP's knowledge, but to understand their daily
144 practice and personal perspectives.

145 **HCP and practice characteristics**

146 Additional survey questions measured practice characteristics, socio-demographics, and professional
147 background. We also assessed psychosocial determinants of care delivery based on the Theory of
148 Planned Behaviour (TPB), which has been extensively used to explain patient and HCP behaviours
149 (30,31). TPB states that in order to perform a behaviour people need to value performance of the
150 behaviour positively (attitude), perceive that other significant people perform or expect them to perform
151 the behaviour (subjective norms), and feel confident in their ability to perform the behaviour (perceived
152 behavioural control), and consequently have a strong intention to act accordingly (behavioural intention).
153 To limit respondent burden, TPB questions were only formulated only for self-management support. We
154 used a 7-point Likert response scale and included 24 attitude, subjective norm, and perceived
155 behavioural control items (see Table 1 for example items).

156

157 INSERT Table 1 ABOUT HERE

158

159 **Analysis**

160 Data analyses were conducted using R (32). Between-country differences were explored using Fisher's
161 exact tests for binary, Wilcoxon rank-sum tests for ordinal, and t-tests for continuous variables;
162 comparisons referring to individual asthma care delivery activities were Holm-adjusted for multiple testing.

163 The amount of medical care and self-management support were computed as total number of care
164 activities reported. To examine consistency of care *at the country level*, we first performed Moken Scaling
165 Analyses (MSA; (33)) per country, using items with sufficient variability (i.e., endorsed by 5%-95% of
166 HCPs). MSA is a non-parametric item response theory methodology commonly used to examine
167 psychometric properties of item sets developed to measure unidimensional constructs and differing in
168 levels of difficulty (frequencies of endorsement in a given sample). Items and scales are evaluated in
169 terms of homogeneity, monotonicity, local independence, and invariant item ordering; items that meet
170 these criteria are considered appropriate for calculating global scores which reflect differences between
171 respondents on a latent ordinal construct (34). Scale dimensionality was tested against a homogeneity
172 threshold of $H=0.30$. An exploratory item selection algorithm (aisp) was performed at increasing
173 homogeneity thresholds to identify unidimensional scales (35). Monotonicity and invariant ordering
174 assumptions were examined to identify item subsets that reflect how consistent asthma care is in each
175 country. Consistency of care *at the individual HCP level* was operationalized as number of Guttman
176 errors (G estimates), a person-fit indicator in MSA that reflects how consistent individual HCP response
177 patterns are with the sample-characteristic response pattern (higher scores reflect lower consistency)
178 (36,37).

179 To examine which factors explain the amount and consistency of care, four hierarchical regression
180 analyses were conducted with asthma care sum scores (amount) and G estimates (consistency) of
181 medical care and self-management support as dependent variables. The regression analyses included 3
182 models: 1) country, 2) practice characteristics, 3) individual characteristics, including psychosocial
183 determinants for self-management support only. To allow for the analysis of both the effect of country and
184 of profession (i.e., in the UK, nurses – not only GPs – also deliver asthma care), a dummy variable
185 distinguishing French GPs from UK HCPs was included in Model 1 (test for country differences), and
186 Model 2 included a dummy variable for 'UK nurse' (to compare UK GPs, with UK nurses and French
187 GPs). We ran the analyses including all predictors variables, and once using a more parsimonious model
188 that included only practice and individual HCP characteristics that showed at least weak bivariate
189 associations with the dependent variables (Pearson's $r \leq 0.10$; correlations in Table E2). Results were
190 essentially identical and the full models is reported here.

191 The dataset used for these analyses can be found at osf.io/wk8vm.

192

193 **RESULTS**

194 **Sample characteristics**

195 A total of 276 HCPs completed the survey between November 2013 and January 2016: 156 (56.5%, all
196 GPs) in France and 120 (43.5%; 68 GPs and 52 practice nurses) in the UK. UK practices were larger,
197 with 15.8% (UK) and 62.8% (France) working in a practice with 1-3 GPs, and 40.9% (UK) versus 0.6%
198 (France) in practices with >6 GPs. Only UK practices also employed nurses (100%), health care
199 assistants (67.5%), and pharmacists (24.2%). UK HCPs had consultations with asthma patients more
200 often than French HCPs (85.8% versus 39.7% saw at least one asthma patient every week). French
201 HCPs performed asthma review consultations every 3 months (57.7%) or 6 months (28.8%), which lasted
202 15 (41.03%) or 20 (46.1%) minutes. UK HCPs saw patients less frequently (30.0% at 6 months and
203 60.8% at 12 months), and consultations were shorter for GPs (88.3% had 10 minutes) and similar for
204 nurses (26.7% had 15 and 54.2% 20 minutes). Except for 2 French HCPs, all respondents had peak flow
205 meters available in the practice. UK practices were however better equipped than French GPs with
206 spirometers (98.3% vs 24.4%), pulse oximeters (99.1% vs 59.6%), large volume spacers (93.3% vs
207 78.2%), and nebulizers (98.3% vs 9.6%). Use of guidelines for asthma management was reported by
208 66% of French HCPs (GINA, HAS, or SFP) versus 98.3% UK HCPs (NICE, BTS/SIGN, or local
209 guidelines, e.g., from Clinical Commissioning Groups). Common socio-demographics and professional
210 background characteristics are presented in Table 2. There were no differences between France and the
211 UK in attitudes and perceived behavioural control regarding self-management support, while subjective
212 norms were more favourable in the UK (Table 2).

213

214 INSERT Table 2 ABOUT HERE

215

216 **Amount of asthma care delivered**

217 The percentages of French and UK HCPs reporting delivering individual medical care and self-
218 management support activities, and the mean (SD) amount of care delivered per country, are presented

219 in Tables 3 and 4. There were significant differences between France and the UK on 2 medical care and
220 10 self-management support activities. The items that stand out are the much higher rates of allergy
221 testing in France, and the much higher rates of using validated asthma control questionnaires in the UK.

222 Of all HCPs, 5.8% delivered all medical care activities, and 8.3% all self-management support
223 activities. On average, 27.4 of the 37 asthma care activities (74,2%) were delivered routinely, and only
224 1.8% HCPs delivered all activities. The amount of care was similar in both countries for medical care
225 ($t(239.29)=1.38, p=.17$), while asthma self-management support scores were higher in the UK
226 ($t(250.3)=4.85, p<.001$). Note that 'I don't know' responses (recoded to 'No' for analysis) were only given
227 in only 1.4% of the answers.

228

229 INSERT Table 3 ABOUT HERE

230

231 INSERT Table 4 ABOUT HERE

232

233 **Consistency of asthma care at country level**

234 ***Medical care***

235 Ten activities could be included in the Mokken scaling analysis (two items endorsed by >95% were
236 removed). In France, activities were unrelated (scale $H(SE)=.13(.03)$, item $H(SE)=.04 (.05) -.19 (.14)$). In
237 the UK, 5 of 10 medical care activities formed a unidimensional scale (scale $H(SE)=.55(.08)$, item $H(SE)=$
238 $.43 (.15) -.84 (.10)$, $\alpha = .62$ [95% CI=.47-.78]) showing latent monotonicity and invariant item ordering.
239 Hence, French HCPs were likely to deliver different combinations of medical care activities (even when
240 delivering the same amount of care), while in the UK half of the activities constituted a shared or
241 consistent approach.

242 ***Self-management support***

243 In France, 2 of 25 activities endorsed by >95% of respondents were excluded; the remaining 23 items
244 showed low homogeneity (scale $H(SE)=.23(.03)$, item $H(SE)=.08(.05) -.35(.07)$). Exploratory aisp
245 suggested a 9-item homogenous scale demonstrating latent monotonicity and invariant item ordering. In
246 the UK, out of the 20 items endorsed by <95%, 17 formed a unidimensional scale ($H(SE)=.41(.05)$, item

247 H= .30(.09) -.57(.09)) showing latent monotonicity and invariant item ordering. Hence, in France 11 of 25
248 activities, while in the UK 22 of 25 activities formed coherent approaches. Aisp analyses are detailed in
249 Tables E3-E6 in the Online Repository.

250

251 **Consistency of care at HCP level: Number of Guttman errors**

252 When comparing individual HCP responses to response patterns common to the whole sample, the
253 consistency of care was similar in both countries for medical care ($t(229.65)=1.938$, $p=.05$; mean=3.87
254 and 4.70 in France and the UK), while self-management support was less consistent in France
255 ($t(246.9)=3.056$, $p=.003$; mean=20.55 and 15.53 in France and the UK).

256

257 **Associations between the amount and consistency of care**

258 HCPs who delivered more medical care also delivered more self-management support ($r=.54$; $p<.001$).
259 Moreover, HCPs who delivered medical care that was more consistent with that of other HCPs, also
260 delivered self-management support that was more consistent with their peers ($r=.18$; $p=.003$). Finally,
261 HCPs who delivered more medical care and self-management support, delivered this care more
262 consistently ($r=.58$ and $.57$ respectively; $p<.001$). Hence, scores for both components of care and of
263 quality of care converged: care delivery is much more variable between different HCPs who are already
264 delivering less of the guideline-recommended care (and vice versa).

265

266 **Multivariate analyses explaining asthma care delivery**

267 **Medical care**

268 In Model 1, country did not explain the amount of medical care (Table 5). Model 2 revealed that both UK
269 nurses and French GPs delivered more medical care than the UK GPs. Model 3 showed that HCPs
270 trained in asthma care during their university studies delivered more guideline-compatible medical care.

271 French GPs delivered more consistent medical care (Table 5, Model 1), but this effect became non-
272 significant when practice and individual characteristics were added in Models 2 and 3. No significant
273 effects on consistency of medical care were identified.

274

275 INSERT Table 5 ABOUT HERE

276

277 **Self-management support**

278 Country did predict the amount of self-management support, with French GPs providing less support than
279 UK HCPs (Table 6). Model 2 suggested that this effect was explained by the availability of nurses in the
280 UK. In Model 3 asthma-specific training (ongoing professional development), patient education training
281 (university studies), subjective norms ('do others expect me to deliver this?'), and perceived behavioural
282 control ('am I able to deliver this?') explained the amount of self-management support provided.

283 UK HCPs delivered more consistent self-management support than French GPs (Table 6, Model 1).
284 This effect seemed entirely explained by the presence of nurses in the UK (Model 2). In Model 3,
285 subjective norms also explained consistency of self-management support.

286 Model diagnostics revealed one influential case in 3 of the models, but removal did not influence the
287 results reported here. Given the finding that nurses play such an important role in asthma care delivery in
288 the UK, Table E7 in the Online Repository provides the descriptives for UK GPs and Nurses separately.

289

290 INSERT Table 6 ABOUT HERE

291

292 **DISCUSSION**

293 Using carefully developed tools, advanced psychometric methods, and data from two countries, this study
294 is – to our knowledge – the first to examine and demonstrate that the amount *and* consistency of asthma
295 care provided in France and the UK are highly variable. Importantly, the consistency in the care delivered
296 was low especially for medical care and amongst HCPs already delivering fewer of the guideline-
297 recommended asthma care activities. We were also able to identify country, system, and individual HCP
298 differences explaining variability in asthma care in multivariate models. The hypothesis that country
299 predicts the amount and consistency of care, was only confirmed for self-management support. Another
300 key finding was that UK nurses tended to deliver more asthma care and in a more consistent manner,
301 than GPs (also illustrated in Figures 1 and 2); but our analysis did not provide an explanation for that.
302 Finally, HCPs degree of training in medical care and patient education, and more positive subjective

303 norms (i.e., 'do others expect me to deliver this?') and perceptions of control (i.e., 'am I able to deliver
304 this?'), explained variability in asthma care. Hence, recommendations for policy and practice would be to
305 involve practice nurses in French primary care, making patient expectations and best practice examples
306 visible to HCPs (subjective norms), and expanding HCP training both in asthma and patient education to
307 enhance knowledge, skills and confidence (perceived behavioural control) in delivering high-quality
308 asthma care.

309

310 INSERT Figure 1 ABOUT HERE

311

312 INSERT Figure 2 ABOUT HERE

313

314 HCPs were on average able to deliver 75% of the activities recommended in guidelines, but only
315 1.8% was able to deliver all. This may reflect previously-reported difficulties in applying guidelines in
316 routine practice (16). Regarding the consistency of care, we could not find any other quality of care
317 studies that examined this characteristic of care delivery; yet a shared approach to care between different
318 HCPs reflects the success of guideline implementation and could be a valuable indicator for guideline
319 developers. The consistency analyses we developed in this study revealed considerable differences
320 between the theoretical structure of asthma care (what should be delivered) and its real structure (how
321 HCPs deliver care). In practice, this means patients are likely to receive different care if they would visit
322 different HCPs, even if these provide exactly the same amount of care. Hence, it could be useful for
323 future quality of care research to include measures of both the amount and consistency of care. Our
324 findings also suggest that interventions to improve the quality of care likely require changes at the policy,
325 management, and HCP level. Therefore, future studies on the quality of asthma care should explore
326 system, practice, and individual HCP level determinants of asthma care.

327 This study has several strengths and limitations. It utilised a carefully-designed, comprehensive
328 questionnaire to assess both medical care and self-management support in two countries. Other studies
329 on quality of asthma care provided an excellent starting point for this study, but typically focused on a
330 narrower selection of guideline components (9,10,13–16). Moreover, we used these data to examine the

331 amount *and* consistency of care provided, which to our knowledge is a novel approach relevant to
332 evaluating quality of care in a population of HCPs. Finally, we examined what factors amenable to
333 intervention explain both the amount and consistency of care, identifying avenues for improving both
334 components. Collecting data in two countries also allowed for cross-country comparisons. A possible
335 limitation is that socially desirable responses may have led to an overestimation of the care delivered. On
336 the other hand, recall difficulties could have led to an underestimation of care provided, since the
337 response option 'I don't know' [whether I routinely deliver this care to my asthma patients] was recoded to
338 'No' (although only 1.4% of the responses was 'I don't know'). With only 1.8% reporting delivering all
339 activities, ceiling effects were not observed. Moreover, the strong correlation between the amount and
340 consistency of care can be interpreted as evidence of convergent validity, and the significant variance in
341 the amount and consistency of care predicted by other variables also suggests a valid assessment.
342 Second, the determinants investigated in this study explained a limited amount of variance, particularly in
343 medical care. Further work could explore what other system, practice, and individual level determinants
344 are relevant here. Third, although the participating HCPs formed a sufficiently large and heterogeneous
345 sample for the analyses conducted, they might not be fully representative of UK and French primary care
346 professionals. Fourth, this study was embedded in a larger cohort study on the safety and effectiveness
347 of LABAs. The sample size of this study was not defined a-priori, but determined by the number of
348 practices in this cohort and the response rates of the participating practices.

349

350 **CONCLUSION**

351 In this study we developed a new questionnaire to capture asthma medical care and self-
352 management support, which showed good psychometric properties. IRT analyses allowed us to examine
353 both the amount and consistency of asthma care – two relevant but conceptually different quality of care
354 dimensions. Our findings suggest that changes in resources (i.e., involving nurse practitioners in asthma
355 care delivery), more asthma-specific and patient education training for HCPs, and providing normative
356 feedback to individual HCPs could enhance the quality of asthma care in the UK and France. This needs
357 to be corroborated in a future intervention trial.

358

359 **DECLARATIONS**

360 **Ethics approval and consent to participate**

361 The study received ethics approval in the UK from the NRES Committee London – West London (REC
362 reference 12/LO/2039, protocol number 282593, IRAS project ID 112186). In France, it received the
363 approval of the CCTIRS for observational studies in health (Advisory Committee for Data Processing in
364 Health Research, reference number 12.702) and of the CNIL (National Commission on Informatics and
365 Liberty, EGY/FLR/AR134392) regarding data privacy.

366

367 **Consent for publication**

368 Not applicable

369

370 **Availability of data and material**

371 The research consortium is currently discussing the exact conditions of data sharing. The details of where
372 the data and materials are accessible will be available by the time of publication (would the article be
373 accepted).

374

375 **Competing interests**

376 During the past five years, Eric Van Ganse has received funds for research, participations to congresses
377 and consulting from: ALK-ABELLO, BIF, MSD, ASTRA-ZENECA, CHIESI. During the past five years, Eric
378 Van Ganse has been the main investigator of studies sponsored by GSK, MSD, CHIESI, PFIZER. The
379 other authors have no conflict of interest to declare.

380

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383 Framework (FP7/2007-2013) under grant agreement n°282593.

384

385 **Authors' contributions**

386 MdB, NT, and EvG obtained project funding. MdB, AD, and EvG designed and developed the materials
387 for this substudy. AD and NT managed data collection. AD and MdB conducted the statistical analyses
388 and drafted the manuscript. NT and EvG critically revised the manuscript.

389

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392 conducting and interpreting Mokken Scaling analyses.

393

394

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- 494

495 **Figure captions**

496

497 **Figure 1. The association between (a) the *consistency* and (b) the amount of Self-management**
498 **support (y-axis) and Medical care (x-axis). Higher scores on Guttman errors reflect lower**
499 **consistency of care. Higher scores on number of activities performed reflect a higher amount of**
500 **care provided. Different symbols are used for the different HCPs and the lines reflect 95%**
501 **confidence intervals per HCP. HCPs reporting more consistent Self-management support also**
502 **report delivering more consistent Medical care; and the same holds for amount of care provided.**

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505 Figure 1 provided in separate file

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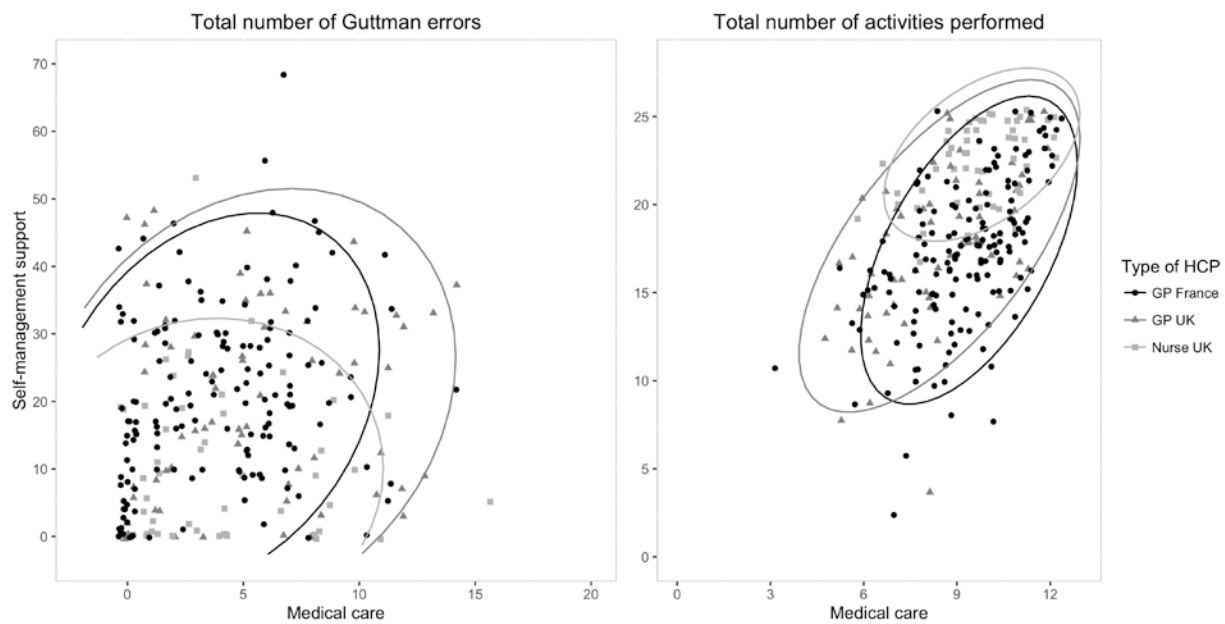
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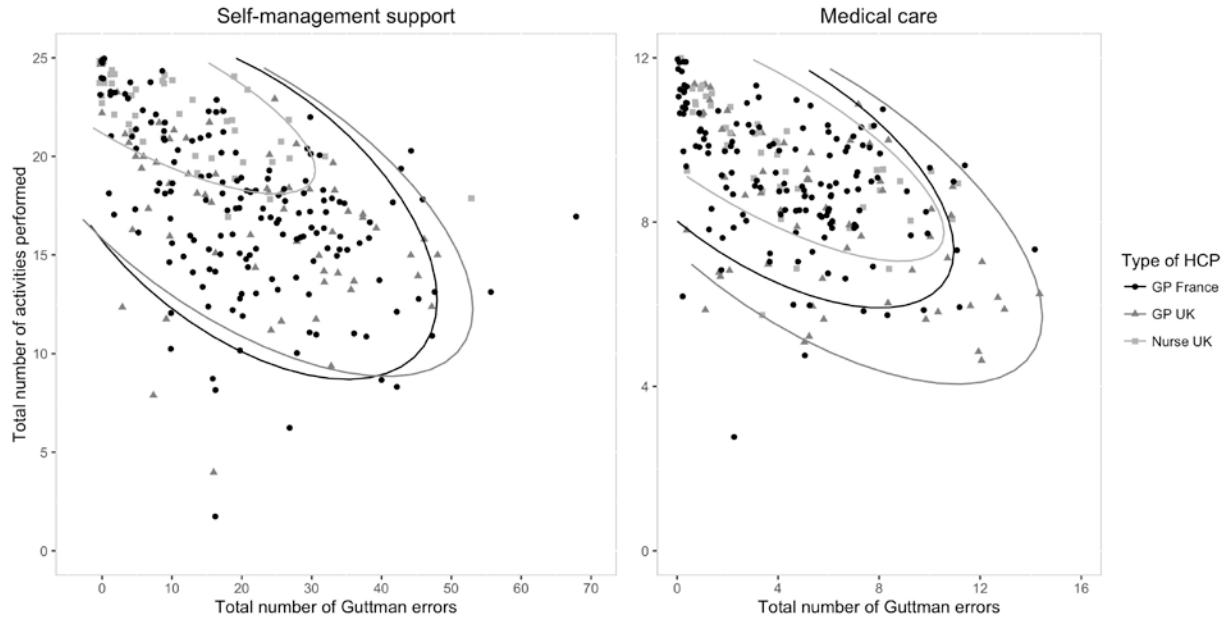
508 **Figure 2. The association between the amount (y-axis) and consistency (x-axis) of (a) Self-**
509 **management support and (b) Medical care. Different symbols are used for the different HCPs and**
510 **the lines reflect 95% confidence intervals per HCP. The negative associations suggest that HCPs**
511 **delivering a higher number of guideline-based care activities also provide more consistent care**
512 **(lower scores on Guttman errors). Nurses report delivering more and more consistent medical**
513 **care and self-management support than GPs.**

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516 Figure 2 provided in separate file





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522

523 **Table 1. Psychosocial determinants of self-management support**

Determinant	Example content	No. items	Cronbach's α	M (SD)		t-test (df)	p-value
				France	UK		
Attitude	"I think that, overall, self-management support is: totally my responsibility ... not at all my responsibility"	8	.85	5.41 (0.84)	5.55 (0.88)	1.329 (250.64)	.185
Subjective norms	"Most of my patients expect me to provide self-management support: strongly agree ... strongly disagree"	6	.87	4.71 (1.01)	5.4 (1.18)	5.096 (233.53)	<.001
Perceived behavioural control	"I am confident that I can provide self-management support when I have limited time with the patient: strongly agree ... strongly disagree"	10	.93	4.15 (1.1)	4.55 (1.42)	2.533 (217.61)	.012

524

525 **Table 2. Sample characteristics - descriptive statistics**

Characteristic	France (n=156)	UK (n=120)	p-value
Gender (women)	53 (33.97%)	71 (59.2%)	<0.001
Use of guidelines (yes)	103 (66.0%)	118 (98.3%)	<0.001
Age			
Less than 30 years	0 (0%)	3 (2.5%)	
30 to 39 years	26 (16.67%)	26 (21.7%)	
40 to 49 years	42 (26.92%)	39 (32.5%)	0.088
50 to 59 years	73 (46.79%)	45 (37.5%)	
60 years and over	15 (9.62%)	7 (5.8%)	
Years of experience in asthma care			
Less than 5 years	10 (6.41%)	15 (12.5%)	
5 to 9 years	21 (13.46%)	20 (16.7%)	
10 to 19 years	45 (28.85%)	42 (35.0%)	0.044
20 to 29 years	55 (35.26%)	35 (29.2%)	
30 years and over	25 (16.03%)	8 (6.7%)	
Asthma-specific training			
During university studies	110 (70.5%)	106 (88.3%)	<0.001
Ongoing professional development (e.g., workshops or conferences)	94 (60.3%)	98 (81.7%)	<0.001
Patient education training			
During university studies	58 (37.2%)	91 (75.8%)	<0.001
Ongoing professional development (e.g., workshops or conferences)	115 (73.7%)	89 (74.2%)	1

526 Note: group comparisons performed with Fisher's exact test for binary, Wilcoxon rank-sum test for ordinal,
 527 and t-tests for continuous variables.

528

529

530 **Table 3. Frequencies of reporting medical care activities and significance tests for between-**
 531 **country differences (ordered from most to least frequent in France)**

Medical care activity	Number (%) HCPs reporting routine delivery		P-value	P-value (Holm)
	France (n=156)	UK (n=120)		
Assess smoking status for patients over 13 years old	154 (98.72%)	118 (98.33%)	1.000	1.000
Step-up treatment if patients have poor asthma control	152 (97.44%)	119 (99.17%)	.392	1.000
Question patients to identify asthma triggers	148 (94.87%)	107 (89.17%)	.108	.972
Refer to an asthma specialist if poor asthma control	144 (92.31%)	99 (82.50%)	.015	.150
Perform or refer to repeated lung function tests - over 8 years old	136 (87.18%)	102 (85.00%)	.603	1.000
Discuss how to avoid or manage triggers	120 (76.92%)	102 (85.00%)	.125	.972
Perform or refer to allergy testing	118 (75.64%)	12 (10.00%)	<.001	<.001
Assess comorbid conditions and prescribe treatment if needed	118 (75.64%)	81 (67.50%)	.139	.972
Delay stepping-up treatment when poor adherence or inhaler use	116 (74.36%)	98 (81.67%)	.190	.972
Assess smoking status of co-inhabitants (parents, partner, roommate)	115 (73.72%)	77 (64.17%)	.113	.972
Ask patients whether they have been able to manage triggers	95 (60.90%)	69 (57.50%)	.621	1.000
Use a validated set of questions to assess symptoms	30 (19.23%)	93 (77.50%)	<.001	<.001
Mean (SD)	9.27 (1.63)	8.97(1.84)	.17	
Number of HCPs delivering all activities	10 (6.4%)	6 (5%)		

532 Note: group comparisons via Fisher's exact test with unadjusted and Holm-adjusted p-values

533

534 **Table 4. Frequencies of reporting adherence support activities and significance tests for**
 535 **differences**

Adherence support activity	Number (%) HCPs reporting routine delivery		P-value	P-value (Holm)
	France (n=156)	UK(n=120)		
Explain the difference between preventer and reliever medication	154 (98.72%)	119 (99.17%)	1.000	1.000
Discuss what to do in case of worsening symptoms or an asthma attack	150 (96.15%)	118 (98.33%)	0.472	1.000
Discuss the importance of taking the inhaler medication as prescribed for achieving asthma control	146 (93.59%)	118 (98.33%)	0.074	0.740
Explain what their medication does to control asthma	145 (92.95%)	119 (99.17%)	0.015	0.168
Ask patients if they have any concerns about using their inhaler medication as prescribed	140 (89.74%)	92 (76.67%)	0.004	0.060
If patients report adherence difficulties, discuss what can be done to overcome these	139 (89.10%)	113 (94.17%)	0.196	1.000
Ask patients whether they have always taken their inhaler medication as prescribed since their last visit	133 (85.26%)	105 (87.50%)	0.725	1.000
Explain what happens to the lungs during an asthma attack	126 (80.77%)	99 (82.50%)	0.756	1.000
Discuss the risks of not taking inhaler medication regularly or stopping treatment	123 (78.85%)	108 (90.00%)	0.014	0.168
Plan storing spare asthma inhalers at strategic places (office, car, school)	123 (78.85%)	75 (62.50%)	0.003	0.048
Ask patients with poor asthma control to come more frequently to the clinic	123 (78.85%)	116 (96.67%)	<0.001	<0.001
Discuss with patients which inhaler device they prefer to use	115 (73.72%)	83 (69.17%)	0.421	1.000
Inquire about side-effects and discuss how these can be managed if present	115 (73.72%)	86 (71.67%)	0.785	1.000
Encourage patients who you perceive as having good adherence	112 (71.79%)	107 (89.17%)	<0.001	<0.001
Ask patients to show how they use their inhaler	111 (71.15%)	104 (86.67%)	0.002	0.034
Explain common side-effects and how to deal with them	100 (64.10%)	103 (85.83%)	<0.001	<0.001
Practice how to use inhalers	99 (63.46%)	101 (84.17%)	<0.001	<0.001
Explain the difference between asthmatic and normal	91	99	<0.001	<0.001

airways	(58.33%)	(82.50%)		
Ask patients how confident they feel in their ability to take the inhaler medication as advised	87 (55.77%)	75 (62.50%)	0.270	1.000
Discuss common barriers to taking inhaler medication as prescribed (adherence), and how to deal with these	83 (53.21%)	69 (57.50%)	0.542	1.000
Together with the patients discuss an individualized plan of where, when and how to take their medication	82 (52.56%)	91 (75.83%)	<0.001	<0.001
Discuss with patients how they can monitor their asthma control using symptom diaries or a peak flow meter	61 (39.10%)	97 (80.83%)	<0.001	<0.001
Ask patients to identify daily routines (e.g. brushing teeth) to remember to use inhaler medication at these times	50 (32.05%)	74 (61.67%)	<0.001	<0.001
Develop with patients a written action plan detailing medication intake instructions that they can follow at home	44 (28.21%)	52 (43.33%)	0.011	0.143
Encourage patients to use reminders (e.g. an alarm) to remember their inhaler medication use	32 (20.51%)	43 (35.83%)	0.006	0.084
Mean (SD)	17.21 (4.16)	19.72 (4.34)	<.001	
Number of HCPs delivering all activities	5 (3.2%)	18 (15%)		

536 Note: group comparisons via Fisher's exact test with unadjusted and Holm-adjusted p-values

537

538 **Table 5. Hierarchical regression analyses for the amount and consistency of medical care**
 539 **(unstandardized estimates and standard errors)**

	Amount			Consistency		
	Model 1	Model 2	Model 3	Model 1	Model 2	Model 3
Intercept	8.975*** (0.157)	8.249*** (0.488)	6.681*** (0.661)	11.300*** (0.323)	11.191*** (1.015)	9.399*** (1.401)
Country (FR)	0.294 (0.209)	0.719 (0.381)	0.975* (0.400)	0.854* (0.430)	-0.016 (0.792)	-0.244 (0.848)
Specialty (nurse)		1.188** (0.371)	1.030* (0.432)		1.022 (0.772)	0.156 (0.915)
Caseload (high)		0.243 (0.233)	0.306 (0.235)		-0.450 (0.486)	-0.304 (0.497)
Frequency consultations		-0.021 (0.030)	-0.008 (0.030)		-0.116 (0.063)	-0.118 (0.064)
Minutes consultation		0.016 (0.027)	0.008 (0.028)		0.088 (0.057)	0.077 (0.059)
Gender (female)			0.053 (0.249)			0.687 (0.527)
Age (groups)			0.308 (0.188)			0.727 (0.398)
Years experience			-0.138 (0.159)			-0.194 (0.337)
Asthma in University studies			0.869*** (0.253)			0.343 (0.536)
Asthma ongoing professional development			0.213 (0.242)			-0.301 (0.513)
R ²	0.007	0.074	0.126	0.014	0.056	0.076
Adjusted R ²	0.004	0.057	0.093	0.011	0.039	0.041

540 * $p < .05$, ** $p < .01$, *** $p < .001$. Note: More Guttman errors reflect less consistent care; this variable was
 541 reversed here to reflect consistency (rather than inconsistency).

542

543 **Table 6. Hierarchical regression analyses for the amount and consistency of self-management**
 544 **support (unstandardized estimates and standard errors)**

	Amount			Consistency		
	Model 1	Model 2	Model 3	Model 4	Model 5	Model 6
Intercept	19.717*** (0.387)	16.377*** (1.137)	4.933* (1.977)	53.467*** (1.222)	48.878*** (3.781)	27.143*** (7.054)
Country (FR)	-2.512*** (0.515)	-0.743 (0.888)	0.906 (0.901)	-5.012** (1.625)	0.492 (2.952)	1.961 (3.215)
Specialty (nurse)		4.395*** (0.866)	4.124*** (0.980)		10.717*** (2.877)	9.746** (3.498)
Caseload(high)		0.788 (0.544)	0.601 (0.505)		2.188 (1.809)	1.763 (1.801)
Frequency consultations		-0.026 (0.070)	0.041 (0.065)		-0.085 (0.234)	0.021 (0.231)
Minutes consultation		0.075 (0.064)	0.062 (0.060)		-0.080 (0.213)	-0.045 (0.214)
Gender(female)			-0.826 (0.535)			-1.679 (1.907)
Age (groups)			0.194 (0.404)			0.439 (1.442)
Years experience			-0.089 (0.341)			0.965 (1.219)
Asthma in University studies			0.703 (0.564)			-0.288 (2.013)
Asthma ongoing professional development			0.803 (0.534)			-2.528 (1.905)
Communication undergrad or postgrad training			1.228* (0.519)			2.052 (1.852)
Communication ongoing professional development			1.042* (0.526)			2.734 (1.878)
Attitudes			0.183 (0.356)			-0.642 (1.270)
Subjective norms			0.937** (0.282)			2.664** (1.005)
Perceived behavioral control			0.504* (0.218)			1.227 (0.777)
R ²	0.080	0.227	0.400	0.034	0.100	0.191

Adjusted R² 0.077 0.213 0.365 0.030 0.083 0.144

545 * $p < .05$, ** $p < .01$, *** $p < .001$. Note: More Guttman errors reflect less consistent care; this variable was
546 reversed here to reflect consistency (rather than inconsistency)

547