# For Cybersecurity, Computer Science Must Rely on the Opposite of Gödel's Results

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*Abstract*—This article shows how fundamental higher-order theories of mathematical structures of computer science (e.g. natural numbers [Dedekind 1888] and Actors [Hewitt et. al. 1973]) are cetegorical meaning that they can be axiomatized up to a unique isomorphism thereby removing any ambiguity in the mathematical structures being axiomatized. *Having these* mathematical structures precisely defined can make systems more secure because there are fewer ambiguities and holes for cyberattackers to exploit. For example, there are no infinite elements in models for natural numbers to be exploited. On the other hand, the 1<sup>st</sup>-order theories of Gödel's results necessarily leave the mathematical structures ill-defined, e.g., there are necessarily models with infinite integers.

Cyberattackers have severely damaged national, corporate, and individual security as well causing hundreds of billions of dollars of economic damage. A significant cause of the damage is that current engineering practices are not sufficiently grounded in theoretical principles. In the last two decades, little new theoretical work has been done that practically impacts large engineering projects with the result that computer systems engineering education is insufficient in providing theoretical grounding. If the current cybersecurity situation is not quickly remedied, it will soon become much worse because of the projected development of Scalable Intelligent Systems by 2025 [Hewitt 2019].

Gödel strongly advocated that the Turing Machine is the preeminent universal model of computation. A Turing machine formalizes an algorithm in which computation proceeds without external interaction. However, computing is now highly interactive, which this article proves is beyond the capability of a Turing Machine. Instead of the Turing Machine model, this article presents an axiomatization of a universal model of digital computation (including implementation of Scalable Intelligent Systems) up to a unique isomorphism.

*Index Terms*—categorical theories, strong types, Scalable Intelligent Systems, Alonzo Church, Kurt Gödel, Richard Dedekind

#### I. INTRODUCTION

The approach in this article is to embrace *all* of the most powerful tools of classical mathematics in order to provide mathematical foundations for Computer Science. Fortunately, the results presented in this article are technically simple so they can be readily automated, which will enable better collaboration between humans and computer systems.

*Mathematics* in this article means the precise formulation of standard mathematical theories that axiomatize the following

standard mathematical structures up to a unique isomorphism: Booleans, natural numbers, reals, ordinals, sets, computable procedures, and Actors, as well as the theories of these structures.

In a strongly typed mathematical theory, every proposition, mathematical term, and program expression has a type where there is no universal type *Any*. Types are constructed bottom up from mathematical types that are individually categorically axiomatized in addition to the types of a theory being categorically axiomatized as a whole.

[Russell 1906] introduced types into mathematical theories to block paradoxes such as The Liar which could be constructed as a paradoxical fixed point using the mapping  $p \mapsto \neg p$ , except for the requirement that each proposition must have an order beginning with 1<sup>st</sup>-order. Consequently, the mapping  $p \mapsto \neg p$  has no fixed point because  $\neg p$  has order one greater than the order of p because p is a propositional variable, as in the lambda calculus version of the mapping, i.e.,  $\lambda[p]\neg p$ . Thus because of orders on propositions, there is no paradoxical fixed point for the mapping  $p \mapsto \neg p$  which *if it existed* could be called *I'mFalse* such that *I'mFalse*  $\Leftrightarrow \neg$  *I'mFalse*. Unfortunately in addition to attaching orders to propositions, [Whitehead and Russell 1910-1913] also attached orders to the other mathematical objects (such as natural numbers), which made the system unsuitable for standard mathematical practice.

#### II. LIMITATIONS OF 1<sup>ST</sup>-ORDER LOGIC

Wittgenstein correctly proved that allowing the proposition *I'mUnprovable* [Gödel 1931] into mathematics [Russell and Whitehead 1910-1913] infers a contradiction as follows:

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Gödel made important contributions to the metamathematics of 1<sup>st</sup>-order logic with the countable compactness theorem and formalization of provability [Gödel 1930]. However decades later, Gödel asserted that the [Gödel 1931] inferential undecidability results were for a 1<sup>st</sup>-order theory (e.g. like [Paulson 2014]) instead of the theory Russell [Russell and Whitehead 1910-1913] as originally stated in [Gödel 1931]. In this way, Gödel dodged the point of Wittgenstein's criticism and instead asked if Wittgenstein was "crazy."

Technically, the result in [Gödel 1931] was as follows:

 $Consistent[\mathbb{R}ussell] \Rightarrow \vdash_{\mathbb{R}ussell} \not\models_{\mathbb{R}ussell} P$ 

where  $P \Leftrightarrow \not\models_{\mathbb{R}ussell} P$  and Consistent[ $\mathbb{R}ussell$ ] if an only if

there is no proposition  $\Psi$  such that  $\vdash_{\mathbb{R}ussell}\Psi \land \neg \Psi$ , However, Wittgenstein was understandably taking it as a given that  $\mathbb{R}ussell$  is consistent because it formalized standard mathematical practice and had been designed to block known paradoxes (such as *The Liar*) using orders on propositions. Consequently, Wittgenstein elided the result in [Gödel 1931 to  $\vdash_{\mathbb{R}ussell} \not\models_{\mathbb{R}ussell} P$ . His point was that  $\mathbb{R}ussell$  is consistent provided that the proposition  $\vdash_{\mathbb{R}ussell} \not\models_{\mathbb{R}ussell} P$ 

is **not** added to  $\mathbb{R}$ ussell. Wittgenstein was justified because the standard theory of natural numbers is arguably consistent because it has a model. [Dedekind 1888]

Although 1<sup>st</sup>-order propositions can be useful (e.g. in 1<sup>st</sup>order proposition satisfiability testers), 1<sup>st</sup>-order theories are unsuitable as the mathematical foundation of computer science for the following reasons:

- **Compactness** Every 1<sup>st</sup>-order theory is compact [Maltsev 1936] (meaning that every inconsistent set of propositions has a finite inconsistent subset), which is false of the standard theory of natural numbers for the following reason: if k is a natural number then the set of propositions {i>k | i is a natural number} is inconsistent but has no finite inconsistent subset, thereby contradicting compactness.
- **Monsters** Every 1<sup>st</sup>-order theory is ambiguous about fundamental mathematical structures such as the natural numbers, lambda expressions, and Actors [Hewitt and Woods assisted by Spurr 2019]. For example,
  - Every 1<sup>st</sup>-order axiomatization of the natural numbers has a model with an element (which can be called ∞) for a natural number, which is a "monster" [Lakatos 1976] because ∞ is larger than every standard natural number.
  - Every 1<sup>st</sup>-order theory T that can formalize its own provability has a model M with a Gödelian "monster" element Γ such that  $\vDash_M \vdash_T \Gamma \land \neg \Gamma$  because according to [Gödel 1931]  $\nvdash_T$  Consistent[T] and consequently because of the 1<sup>st</sup>-order model "completeness" theorem [Gödel 1930] there must be some model of T in which Consistent[T] is false. [cf. Artemov 2019]

Such monsters are highly undesirable in models of standard mathematical structures in Computer Science because they are inimical to model checking.

- **Inconsistency** This article shows that a 1<sup>st</sup>-order theory that can formalize its own provability is inconsistent.
- Intelligent Systems. If a 1<sup>st</sup>-order theory is not consistent, then it is useless because each and every proposition (no matter how nonsensical) can be proved in the theory. However, Scalable Intelligent Systems must reason about massive amounts of pervasively-inconsistent information. [Hewitt and Woods assisted by Spurr 2019] Consequently, such systems cannot always use 1<sup>st</sup>-order theories. Conversational Logic [Hewitt 2016-2019] needs to be used to reason about inconsistent information in Scalable Intelligent Systems. [cf. Woods 2013]

Consequently, Computer Science must move beyond 1<sup>st</sup>-order logic for its foundations.

#### III. STRONG TYPES

**Types must be strong to prevent inconsistency but flexible to all valid inference. (See appendix on how known paradoxes are blocked.)** Although mathematics in this article necessarily goes beyond 1<sup>st</sup>-order logic, standard mathematical practice is used. Wherever possible, previously used notation is employed. The following notation is used for types:

- The notation x:t means that x is of type t. For example, 0:N expresses that 0 is of type N, which is the type of a natural number. Types are *intension*, i.e., if x:t<sub>1</sub> and x:t<sub>2</sub> for every x does not mean that t<sub>1</sub>=t<sub>2</sub> where t<sub>1</sub> and t<sub>2</sub>. are types.
- $t_2^{t_1}$  is type of *all* functions from  $t_1$  into  $t_2$  where  $t_1$  and  $t_2$ . are types. A function is total and may be *uncomputable*. For example,  $N^N$  is the type all total functions from natural numbers into the natural numbers, which are *uncountable*. If f: $N^N$ , then f[3] is the value of function f on argument 3.
- t<sub>1</sub>→t<sub>2</sub> is type of *computable* procedures from t<sub>1</sub> into t<sub>1</sub> where t<sub>1</sub> and t<sub>2</sub> are types. A computable procedure can be partial and can be indeterminate in its outcome. For example, [N]→N is the type all partial procedures of one argument (which is a natural number) into the type of natural numbers. If p:[N]→N, then p<sub>•</sub>[3] starts a computation by providing input [3] to procedure p. *It might happen that* p<sub>•</sub>[3] *does not return a value*. Similarly anAccount<sub>•</sub>deposit[\$5] sends a deposit message to the Actor anAccount.
- [ $t_1, t_2$ ] is type of pairs of  $t_1$  and  $t_2$  where  $t_1$  and  $t_2$  are types. For example, [N, Boolean] is the type of pairs whose first is a natural number and whose second is a Boolean.
- *TypeOf* ⊲ *t* ▷ is type of *t* where is a type. For example, *N:TypeOf* ⊲ *N* ▷ meaning that *N* is of type *TypeOf* ⊲ *N* ▷ producing an infinite hierarchy of types of types somewhat like the hierarchy of universes in [Martin-Löf 1998]. There is no type *Type* thereby blocking Girard's paradox [Girard 1972, Martin-Löf 1998].
- PropositionOfOrder⊲i⊳ is type of a proposition of order i where i:N<sub>+</sub> and N<sub>+</sub> is the type of positive natural

numbers. For example, *PropositionOfOrder* $\triangleleft 1 \triangleright$  is the type of propositions of order 1.

•  $t \ge P$  is type of t restricted to P where t is a type and P: *PropositionOfOrder* $\triangleleft i \triangleright^t$ . For example replacement of a function  $f:t_{2}^{t_{1}}$ (range is for types  $t_2 \underline{\ni} \lambda[y:t_2] \exists [x:t_1] y = f[x]$ 

Types are constructed bottom-up from types that categorically axiomatized up to a unique isomorphism. Type checking is linear in the size of the propositon, mathematical term or procedural expression to be type checked. See appendix for syntax of propositions, mathematical terms, and procedural expressions.

IV. STANDARD THEORIES OF COMPUTER SCIENCE

Cybersecurity requires that fundamental mathematical structures in Computer Science must be precisely defined. This section shows how to precisely define natural numbers. It is followed by a section on how to precisely define Actors, which are the fundamental abstraction of computation.

The mathematical theory Nat that axiomatises the Natural Numbers has the following axioms building on [Dedekind 1888]:

- 0:N // 0 is of type N
- $+_1: \mathcal{N}^{\mathcal{N}}$ // +<sub>1</sub> (successor) is of type  $N^N$ •
- $\nexists[i:N] +_1[i] = 0$ // 0 is not a successor
- $\forall [i,j:N] + 1[i] = +1[j] \Rightarrow i = j // +1 \text{ is } 1 \text{ to } 1$

In addition, Nat has the following induction axiom, which has uncountable instances:

 $\forall [i: N_{\perp}, P: Proposition Of Order_{NAT} \triangleleft i \triangleright^{N}]$ 

 $(P\llbracket 0 \rrbracket \land \forall [i:N] P\llbracket i \rrbracket \Rightarrow P\llbracket +_1[i] \rrbracket) \Rightarrow \forall [i:N] P\llbracket i \rrbracket$ 

For example, if P is a 1<sup>st</sup>-order predicate, i.e., P: Proposition Of Order NAT  $\triangleleft 1 \triangleright^{N}$  then, the following is a proposition of order 2:

 $(P\llbracket 0 \rrbracket \land \forall [i:N] P\llbracket i \rrbracket \Rightarrow P\llbracket +_1[i] \rrbracket) \Rightarrow \forall [i:N] P\llbracket i \rrbracket$ Note that the above proposition can be used in an induction axiom of order 2 to produce a proposition of order 3, etc.

#### Meta<Nat>

Meta $\triangleleft$ Nat $\triangleright$  is a meta theory of Nat for proving theorems about Nat which directly expresses provability of a **proposition**  $\Psi$  in  $\mathbb{N}$ at using  $\vdash_{\mathbb{N}$ at  $\Psi$  instead of using Gödel numbers because there are not enough Gödel numbers to represent all uncountably many propositions that are instances of the induction axiom.

#### Procedures of Nat

Eval $\triangleleft t \triangleright$ : [Expression $\triangleleft t \triangleright$  in Environment] $\rightarrow t$  is a procedure [McCarthy et. al. 1962] that corresponds to a universal Turing machine [Church 1936] as follows:

◦ Eval⊲t⊳ [x: Expression ⊲t ⊳] ≡

 $Eval \triangleleft t \triangleright_{\bullet} [x: Expression \triangleleft t \triangleright in EmptyEnvironment]$ 

○ Eval⊲t⊳l<sub>∎</sub>[x:Identifier⊲t⊳ in e:Environment] = Lookup[x in e]

• Eval $\triangleleft t2 \triangleright [operator operand in e: Environment] \equiv$ 

 $(\text{Eval} \triangleleft t1 \rightarrow t2 \triangleright [\text{operator in e}]) (\text{Eval} \triangleleft t1 \triangleright [\text{operand in e}])$ 

// apply the value of operator to

// the value of operand

◦ Eval⊲t1→t2▷<sub>∎</sub>[( $\lambda$  x1 body) in e: Environment] =

λx2:*t*1 Eval<sub>■</sub>[body **in** Bind<sub>■</sub>[x1 **to** x2 **in** e]]

// eval body in a new environment with x1 bound // to x2 as an extension of e

In addition, the lambda calculus has the primitive Fix such that  $\forall [F: Functional \triangleleft t_1, t_2 \triangleright_{\bullet}] Fix \triangleleft t_1, t_2 \triangleright_{\bullet} [F] = F_{\bullet} [Fix \triangleleft t_1, t_2 \triangleright_{\bullet} [F]]$ where Functional  $\triangleleft t_1, t_2 \triangleright \equiv [[t_1] \rightarrow t_2] \rightarrow ([t_1] \rightarrow t_2)$ 

#### Proof Checkers in Nat

A proof checker pc: *ProofChecker* Natbis a provably total boolean-valued procedure of two arguments that checks if the second argument is validly inferred from the first argument. The following notation (which is part of the theory Nat) means that pc is proof checker such that proposition  $\Psi_1$  infers proposition  $\Psi_2$  in Nat:  $\Psi_1 \vdash \frac{pc}{Nat} \Psi_2$  such that:  $\forall [\Psi_1, \Psi_2: Proposition \triangleleft Nat \triangleright]$ 

 $(\Psi_1 \vdash_{\mathbb{N}_{at}} \Psi_2) \Leftrightarrow \exists [pc: \mathcal{P} roof Checker \triangleleft \mathbb{N}_{at} \vdash_{\mathbb{N}_{at}} \Psi_2]$ Proof checking in Nat is computationally decidable because:  $\forall [\Psi_1, \Psi_2: Proposition \triangleleft \mathbb{N}at \triangleright], pc: Proof Checker \triangleleft \mathbb{N}at \triangleright]$ 

 $(\Psi_1 \vdash \frac{pc}{Nat} \Psi_2) \Leftrightarrow pc \bullet [\Psi_1, \Psi_2] = \underline{True}$ 

where  $pc_{\bullet}[\Psi_1, \Psi_2]$  means the invocation of procedure pc with arguments  $\Psi_1$  and  $\Psi_2$ . For example, there is a Chaining for Inference checker such that if  $\Psi_1$  is  $\Psi \wedge (\Psi \vdash \frac{pc}{Nat} \Phi)$ , then ChainingForInferenceChecker  $[\Psi_1, \Psi_2]$ =True if  $\Psi_2$ = $\Phi$  and pc  $[\Psi, \Phi]$ =True, otherwise pc  $[\Psi_1, \Psi_2]$ =False as follows:

ChainingForInferenceChecker  $[\Psi_1, \Psi_2] \equiv$ 

$$\Psi_1 \underbrace{\text{if }}_{\text{Nat}} \Psi \vdash \frac{\text{pc}}{\text{Nat}} \Phi \underbrace{\text{then}}_{\Psi_2} \Psi_2 = \Phi \underbrace{\text{and }}_{\text{pc}} p_{\text{c}} [\Psi, \Phi] = \underbrace{\text{True}}_{\text{rue}},$$
else False

The proof checker for the induction axiom is as follows:

InductionChecker  $[\Psi, \Psi_2] \equiv$ 

$$\Psi_1 \underline{if} \quad (P\llbracket 0 \rrbracket \land \forall [i:N] P\llbracket i \rrbracket \Rightarrow P\llbracket +_1[i] \rrbracket)$$
$$\underline{then} \quad \Psi_2 = \forall [i:N] P\llbracket i \rrbracket,$$

else False

Note that InductionChecker correctly checks uncountably many instances of each of the Nat induction axioms.

There are uncountable proof checkers in Nat which is made possible because proof checkers can operate on higher order types, e.g., they are not restricted to strings. For example, there are *uncountable* proof checkers of the form For AllElimination Checker  $\triangleleft t \triangleright [c]$  where t is a type and c:t such that

ForAllEliminationChecker $\triangleleft t \triangleright [c] [\Psi_1, \Psi_2] \equiv$  $\Psi_1 \underline{if} (\forall [x:t] P[x]) \underline{then} \Psi_2 = P[c], \underline{else False}$  Consequently,

 $\frac{\text{ForAllEliminationChecker} \triangleleft t \triangleright [c]}{P[c]}$  $(\forall [x:t] P[x]) \vdash$ Nat Inferential soundness means that a theorem in Nat can be used in proofs in Nat. A consequence of Infrential Soundness is that unrestricted cut-elimination does not hold for Nat.

Theorem: Inferential Soundness of Nat, i.e.,

$$\vdash_{Meta \triangleleft Nat \triangleright} \forall [\Psi: Proposition \triangleleft Nat \triangleright]$$

 $(\vdash_{\mathbb{N}at} \Psi) \vdash_{\mathbb{N}at} \Psi$ 

Proof. Follows immediately from the rule TheoremUse, i.e.,  $(\vdash_{\operatorname{Nat}} \Psi) \vdash \frac{\text{TheoremUse}}{\text{Nat}} \Psi$ 

Theorem: Deduction for Nat, i.e.,

 $\vdash_{Meta \triangleleft Nat \triangleright} \forall [\Phi, \Psi: Proposition \triangleleft Nat \triangleright]$ 

$$(\mathsf{H}_{Nat} \Phi \Leftrightarrow \Psi) \Leftrightarrow (\Phi \mathsf{H}_{Nat} \Psi)$$

*Proof* Suppose  $\vdash_{\operatorname{Nat}} \Phi \rightleftharpoons \Psi$  and consequently  $\Phi \rightleftharpoons \Psi$  by Inferential Soundness. Further suppose  $\Phi$ . Then  $\Psi$  by ChainingForImplication and consequently  $\Phi \vdash_{\mathbb{N}_{at}} \Psi$  by InferenceIntroduction.

On the other hand suppose  $\Phi \vdash_{Nat} \Psi$ . Further suppose  $\Phi$ . Then  $\Psi$  by ChainingForInference and consequently  $\vdash_{\mathbb{N}_{at}} \Phi \Rightarrow \Psi$  by ImplicationIntroduction.

Theorem Inferential Adequacy, i.e.,

 $\forall [\Psi: Proposition \triangleleft \mathbb{N}at \triangleright] (\vdash_{\mathbb{N}at} \Psi) \Rightarrow \vdash_{\mathbb{N}at} \vdash_{\mathbb{N}at} \Psi$ *Proof*: Suppose  $\vdash_{Nat} \Psi$ . Let  $\vdash \frac{pc1}{Nat} \Psi$  so that  $pc1_{\bullet}[\Psi] = \underline{True}$ . Then a provably total procedure pc2:  $ProofChecker \triangleleft \mathbb{N}$ at $\triangleright$  can be defined such that  $pc2 [\vdash \frac{pc1}{Nat} \Psi] = \underline{True}$  meaning that  $\vdash \frac{pc2}{Nat} \vdash \frac{pc1}{Nat} \Psi$ . Consequently,  $\vdash_{Nat} \vdash_{Nat} \Psi$ .

Theorem Unique Categoricity of Natt for N. [Dedekind 1888]: If M be a type satisfying the axioms of  $\mathbb{N}$ at, then there is a unique isomorphism I with N defined as follows:

- $I: M^N$ •
- •
- $I[0] \equiv 0_M$  $I[+_1[j]] \equiv +_1^M [I[j]]$

I is a unique isomorphism because of the following;

- I is defined on N
- I is 1-1
- I is onto M
- I is a homomorphism

$$\circ$$
 I[0]  $\equiv 0_M$ 

$$\circ \quad \forall [i:\mathcal{N}] \ \mathbf{I}[+_1[j]] \equiv +_1^M [\mathbf{I}[j]]$$

- I<sup>-1</sup> is a homomorphism
  - $\circ I^{-1}[0_M] = 0$

$$\circ \quad \forall [y:M] \ \mathbf{I}^{-1}[+_{1}^{M}[y]] = +_{1}[\mathbf{I}^{-1}[y]]$$

• If g is an isomorphism of N with M, then g=I

Corollary There are no infinite numbers in models of the theory Nat, i.e., if M satisfies the axiom of Nat, then

. .

 $\nexists$ [i:*M*]  $\forall$ [i:*N*] i < j

Computational Undecidability of Provability in Nat The predicate Halt can be defined as follows:

Halt  $\forall N \triangleright [x: Expression \forall N \triangleright] \equiv \exists [y:N] y = Eval_{\blacksquare}[x]$ Theorem. Halt is computationally undecidable [Church 1935, Turing 1936], i.e.,

∄[f: [Expression⊲N▷]→Boolean]

 $\forall [x: Expression \triangleleft N \triangleright] f_{\bullet}[x] = \underline{True} \Leftrightarrow Halt \triangleleft N \triangleright [x]$ *Theorem.* Whether a proposition is a theorem of Nat is computationally undecidable [Church 1935, Turing 1936], i.e., ∄[f: [Proposition <\Nat>]→Boolean]

 $\forall [\Psi: Proposition \triangleleft \mathbb{N} at \triangleright] f_{\bullet}[\Psi] = \underline{True} \Leftrightarrow \vdash_{\mathbb{N} at} \Psi$ Proof. Follows immediately from the following:

 $\forall [x: \mathsf{Expression} \land \mathsf{N} \triangleright] \; \mathrm{Halt} \triangleleft \mathsf{N} \triangleright [x] \Leftrightarrow \vdash_{\mathbb{N} a^{\sharp}} \mathrm{Halt} \triangleleft \mathsf{N} \triangleright [x]$ 

Theorem. Indiscernibles, i.e.,

 $\forall [t:TypeIn \triangleleft \mathbb{N}at \triangleright; x_1, x_2:t]$ 

 $(\forall [P: Proposition Of Order \triangleleft 1 \triangleright^t] P[[x_1]] \Leftrightarrow P[[x_2]]) \Rightarrow x_1 = x_2$ *Proof.* Induction on  $TypeIw \triangleleft \mathbb{N}$  at  $\triangleright$  using the base case

 $\forall [x_1, x_2: N] \ Let P = (\lambda [x: N] \ x = x_1) \ in P[[x_1]] \Leftrightarrow P[[x_2]]) \Rightarrow x_1 = x_2$ 

Inferential Undecidability of Nat

Theorem. Nat is inferentially undecidable, i.e.,

 $\vdash_{\mathsf{Meta}^{\triangleleft}\mathbb{N}\mathsf{at}^{\triangleright}} \exists [\Psi: \operatorname{Proposition} \triangleleft \mathbb{N}\mathsf{at}^{\triangleright}]$  $(\not\vdash_{\operatorname{Nat}}\Psi) \wedge (\not\vdash_{\operatorname{Nat}}\neg\Psi)$ 

Proof Suppose to obtain a contradiction that Nat is inferentially decidable and consequently

 $\forall [x: Expression \triangleleft N \triangleright]$ 

 $(\vdash_{\mathbb{N}at} Halt \triangleleft N \triangleright [x]) \lor (\vdash_{\mathbb{N}at} \neg Halt \triangleleft N \triangleright [x])$ Since only countably many instances of the natural number induction axiom could have been used in the above proofs, the halting problem is computationally decidable by computationally enumerating the proofs, which is a contradiction.

In practice, computational undecidability of provability and inferential undecidability, do not impose limitations on the ability to prove theorems for mathematical theories of Intelligent Systems.

Definition:  $P \underline{predicateOn}_{NAT} t \Leftrightarrow$ 

 $\exists [i:N_{+}] P: Proposition Of Order_{Nat} \triangleleft i \triangleright^{t}$ The following axioms hold for TypeIn < Natber (the type oftypes in  $\mathbb{N}$ at) because types are *intensional*:

- N:TypeIn ⊲ Nat⊳
- ∀[i:N<sub>+</sub>]PropositionOfOrder<sub>Nat</sub>⊲i⊳:TypeIn⊲Nat⊳
- $\forall [t_1, t_2, t_3, t_4: TypeIn \triangleleft \mathbb{N}at \triangleright]$ 
  - $[t_1, t_2] = [t_3, t_4] \Rightarrow t_1 = t_2 \land t_3 = t_4$
- $\forall [t_1, t_2, t_3, t_4: TypeIn \triangleleft \mathbb{N}_{at} \triangleright ] t_1^{t_2} = t_3^{t_4} \Rightarrow t_1 = t_2 \land t_3 = t_4$
- $\forall [t_1, t_2, t_3, t_4: TypeIn \triangleleft \mathbb{N}at \triangleright]$  $t_1 \rightarrow t_2 = t_3 \rightarrow t_4 \Rightarrow t_1 = t_2 \land t_3 = t_4$
- $\forall [t_1, t_2: TypeIn \triangleleft \mathbb{N} at \triangleright;$ P1 <u>predicateOn<sub>Nat</sub></u>  $t_1$ , P<sub>2</sub> <u>predicateOn<sub>Nat</sub></u>  $t_2$ ]
  - $t_1 \ge P_1 = t_2 \ge P_2 \Rightarrow t_1 = t_2 \land P_1 = P_2$
- $\forall [t_1, t_2: TypeIn \triangleleft \mathbb{N}at \triangleright]$  $TypeOf \triangleleft t_1 \triangleright = TypeOf \triangleleft t_2 \triangleright \Rightarrow t_1 = t_2$

For example,  $N^N$ : *TypeIn*  $\triangleleft \mathbb{N}$  at  $\triangleright$ , etc.

The following induction axiom holds, *which has uncountable instances*:

 $\forall [P \underline{predicateOn}_{\mathbb{N}at} TypeIn \triangleleft \mathbb{N}at \triangleright]$ 

 $\begin{array}{l} \wedge \forall [t_1, t_2: TypeIn \lhd \mathbb{N} at \rhd] \ \mathbb{P}[t_1] \wedge \mathbb{P}[t_1] \Rightarrow \mathbb{P}[[t_1, t_2]] \\ \wedge \forall [t_1, t_2: TypeIn \lhd \mathbb{N} at \rhd] \ \mathbb{P}[t_1] \wedge \mathbb{P}[t_2] \Rightarrow \mathbb{P}[t1^{t2}] \\ \wedge \forall [t_1, t_2: TypeIn \lhd \mathbb{N} at \rhd] \ \mathbb{P}[t_1] \wedge \mathbb{P}[t_2] \Rightarrow \mathbb{P}[t_1 \rightarrow t_2] \\ \wedge \forall [t: TypeIn \lhd \mathbb{N} at \rhd] \ \mathbb{P}[t_1] \wedge \mathbb{P}[t_2] \Rightarrow \mathbb{P}[t_1 \rightarrow t_2] \\ \wedge \forall [t: TypeIn \lhd \mathbb{N} at \rhd], \ \mathbb{Q} \ \underline{predicateOn}_{\mathbb{N} at} t] \\ \mathbb{P}[t] \Rightarrow \mathbb{P}[t \exists \mathbb{Q}] \\ \wedge \forall [i: N_+] \ \mathbb{P}[PropositionOfOrder_{\mathbb{N} at} \lhd i \rhd] \\ \wedge \forall [t: TypeIn \lhd \mathbb{N} at \rhd] \ \mathbb{P}[t] \Rightarrow \mathbb{P}[TypeOf \lhd t \rhd] ) \\ \Rightarrow \forall [t: TypeIn \lhd \mathbb{N} at \rhd] \ \mathbb{P}[t] \end{array}$ 

Theorem Unique categoricity of  $TypeIn \triangleleft Nat \triangleright$ , i.e., if M is a type satisfying the theory Nat, then there is a unique isomorphism I between  $TypeIn \triangleleft Nat \triangleright$  and  $TypeIn M \triangleleft Nat \triangleright$  defined as follows:

•I[N]  $\equiv N_M$ 

•I[ $[t_1, t_2]$ ] =  $[I[t_1], I[t_2]]_M$ 

• $I[t1^{t2}] \equiv I[t1]^{I[t2]}$ 

•  $I[t_1 \rightarrow t_2] \equiv I[t_1] \rightarrow I[t_2]$ 

•  $I[TypeOf \triangleleft t \triangleright] \equiv TypeOf_M \triangleleft I[t] \triangleright \quad I[t \supseteq P]$  defined by Induction on  $TypeIn \triangleleft \mathbb{N} \triangleleft t \triangleright$  using the following cases on t:

 $\circ \quad \mathcal{N}, \text{ then } I[t \supseteq P] \equiv \mathcal{N}_{\mathcal{M}} \supseteq_{\mathcal{M}} \lambda[y] P[[I^{-1}[y]]]$ 

 $\circ \quad [t_1, t_2], \text{ then } \mathrm{I}[t \underline{\ni} \mathrm{P}] \equiv [\mathrm{I}[t_1], \mathrm{I}[t_2]]_{\mathcal{M}} \underline{\ni}_{\mathcal{M}} \lambda[\mathrm{y}] \, \mathrm{P}[[\mathrm{I}^{-1}[\mathrm{y}]]]$ 

 $\circ \quad t\mathbf{1}^{t\mathbf{2}}, \text{ then } \mathbf{I}[t\underline{\ni}\mathbf{P}] \equiv \mathbf{I}[t\mathbf{1}]^{\mathbf{I}[t\mathbf{2}]}] \underline{\ni}_{\mathcal{M}} \lambda[\mathbf{y}] \mathbf{P}[\![\mathbf{I}^{-1}[\mathbf{y}]]\!]$ 

 $\circ \quad t_1 \rightarrow t_2, \text{ then } I[t \supseteq P] \equiv I[I[t_1] \rightarrow I[t_2]] \supseteq_M \lambda[y] P[I^{-1}[y]]$ 

•  $TypeOf \triangleleft t_1 \triangleright$ , then

 $I[t \underline{\ni} P] \equiv \mathcal{T} y pe \mathcal{O} f_{\mathcal{M}} \triangleleft I^{-1}[t_1] \triangleright \underline{\ni}_{\mathcal{M}} \lambda[y] P[[I^{-1}[y]]]$ 

◦  $t_1 \supseteq P_1$ , then  $I[t \supseteq P] \equiv I[t_1] \supseteq_M \lambda[y] P[I^{-1}[y]] \land P_1[I^{-1}[y]]$ The following induction axiom holds for propositions of Nat, which has uncountable instances:

```
 \begin{array}{l} & (\forall [P \ \underline{predicateOn}_{\mathbb{N}at} \ Proposition \triangleleft \mathbb{N}at \triangleright) \\ \land (\forall [t:TypeIn \triangleleft \mathbb{N}at \triangleright; x_1, x_2:t] \ P[x1=x2]] \\ \land \forall [t_1, t_2:TypeIn \triangleleft \mathbb{N}at \triangleright; x:t_2] \ P[x:t]] \\ \land \forall [\Psi:Proposition \triangleleft \mathbb{N}at \triangleright] \ P[\Psi] \Rightarrow P[\neg \Psi]] \\ \land \forall [\Psi_1, \Psi_2:Proposition \triangleleft \mathbb{N}at \triangleright] \\ P[[\Psi_1] \Lambda P[[\Psi_2]] \Rightarrow P[[\Psi_1 \Lambda \Psi_2]] \\ \land \forall [t:TypeIn \triangleleft \mathbb{N}at \triangleright; Q \ \underline{predicateOn}_{\mathbb{N}at} \ t] \end{array}
```

 $(\forall [x:t] P[[Q[[x]]]) \Rightarrow P[\forall [x:t] Q[[x]]])$  $\Rightarrow \forall [\Psi: Proposition \triangleleft \mathbb{N} at \triangleright] P[\Psi]$ 

*Theorem.* **Proposition** Natb is characterized up to a unique isomorphism.

Theorem. Instance Adequacy for Nat, i.e.,

 $\begin{array}{l} \forall [t: \mathcal{T}_{\mathcal{Y}} peI_{\mathcal{W}} \lhd \mathbb{N}_{at} \rhd, P \ \underline{predicateOn}_{\mathbb{N}_{at}} t] \\ (\forall [x: t] \vdash_{\mathbb{N}_{at}} P[\![x]\!]) \Leftrightarrow \vdash_{\mathbb{N}_{at}} \forall [x: t] \ P[\![x]\!] \\ \textit{Proof. Suppose to obtain a contradiction that} \\ \neg \forall [x: t] P[\![x]\!]. \ Let \ x_0: t \ such that \ \neg P[\![x_0]\!]. \ Then} \\ \vdash_{\mathbb{N}_{at}} P[\![x_0]\!] \ by \ the \ hypothesis \ of \ the \ theorem. \ P[\![x_0]\!] \\ follows \ by \ inferential \ soundness, \ which \ is \ a \ contradiction. \end{array}$ 

#### Nat is algorithmically inexhaustible

That all the theorems of a theory can be obtained by computationally enumerating them from axioms has long been a default assumption of philosophers of logic. However, the theory Nat violates this assumption because there are uncountable instances of the induction axiom thereby raising questions about the theory NatlString, which has the following induction axiom, which has countable instances because strings are countable.:

 $\forall$ [i:N<sub>+</sub>, P:(PropositionOfOrder\_NAT<(i)))) String]

 $(P\llbracket 0 \rrbracket \land \forall [i:N] P\llbracket i \rrbracket \Rightarrow P\llbracket +_1[i] \rrbracket) \Rightarrow \forall [i:N] P\llbracket i \rrbracket$ Definitions.

- Total  $\triangleleft t_1, t_2 \triangleright \equiv (t_1 \rightarrow t_2) \underline{\exists} \lambda[f] \forall [x:t_1] \exists [y:t_2] f_{\bullet}[x] = y$
- Onto  $\triangleleft t_1, t_2 \triangleright \equiv (t_1 \rightarrow t_2) \supseteq \lambda[f] \forall [y:t_2] \exists [x:t_1] f_{\bullet}[x] = y$
- ProvedTotal  $\mathbb{N}_{at}$   $|t_1, t_2 | \equiv (t_1 \rightarrow t_2)$   $|String \supseteq \lambda[f] \vdash_{\mathbb{N}_{at}} f: Total \triangleleft t_1, t_2 |$

*Theorem Theorem Nat String b* is computationally enumerable, i.e., there is a procedure

Theorems: ProvedTotal Nat<sup>1</sup> string such that

Theorems: Onto  $\triangleleft[N]$ , Theorem  $\triangleleft\mathbb{N}$  at  $\mathsf{String} \triangleright \triangleright$ Corollary. Proved Total  $\mathbb{N}_{\mathsf{N}$  at  $\mathsf{String}}$  is computationally

enumerable, i.e., there is a procedure

 $ProvedTotals: \textit{ProvedTotal}_{Nat} \ \ \ such that$ 

 $ProvedTotals: Onto \triangleleft [N], ProvedTotal_{Nat} \land for the string} \triangleright$ 

*Definition*. Define the procedure Diagonal as follows: Diagonal\_ $[i:N] \equiv 1+(ProvedTotals_[i])_[i]$ 

Lemma. Diagonal: Proved Total Nat String

*Proof.* Suppose i: N. Let

 $\label{eq:linear} \begin{array}{l} f: \textit{ProvedTotal}_{\texttt{Nat}^{\texttt{lsring}}} = ProvedTotals_{\texttt{[i]}} and let \\ j: \textit{N=} f_{\texttt{[i]}}. Therefore Diagonal_{\texttt{[i]}} = 1+j. Consequently, \end{array}$ 

 $\vdash_{\operatorname{Nat}^{\mathsf{l}}\operatorname{String}} \operatorname{Diagonal}: \operatorname{Total} \triangleleft [N], N \triangleright.$ 

*Lemma*. ¬Diagonal: *ProvedTotal* <sub>Nat</sub><sup>†</sup>*string*. **Proof.** Diagonal differs from every procedure enumerated by ProvedTotals.

Theorem.  $\mathbb{N}_{t}$  is inconsistent [Church 1934], i.e.,  $\exists [\Psi: Proposition \triangleleft \mathbb{N}_{at} \upharpoonright String \triangleright] \vdash_{\mathbb{N}_{at}} \Psi \land \neg \Psi$ *Proof.* Let  $\Psi$ =Diagonal: *ProvedTotal*  $\mathbb{N}_{at} \upharpoonright String$ 

According to [Church 1934], the above theorem means that "there is no sound basis for supposing that there is such a thing as logic." Contrary to [Church 1934], the conclusion in this article is to abandon the assumption that theorems of a theory must be computationally enumerable while retaining the requirement that proof checking must be computationally decidable. Nat is algorithmically inexhaustible, i.e., nonalgorithmic creativity will be forever required to develop new Nat axioms abstracted from strings thereby reinforcing the intuition behind [Franzén, 2004]

#### V. ACTOR MODEL

[Church 1932] and [Turing 1936] developed a model of computation time based on the concept of an *algorithm*, which by definition is provided an input from which it is to compute a value without external interaction. After physical computers were constructed, they soon diverged from computing only algorithms meaning that the Church/Turing theory of computation no longer applied to computation in practice because computer systems are highly interactive as they compute. Actors [Hewitt, et. al 1973] (axiomatized in this article) remedied the omission to provide for scalable computation. An Actor machine can be millions of times faster than any corresponding pure Logic Program or parallel nondeterministic  $\lambda$  expression. Since the time of this early work, Actors have grown to be one of the most important paradigms in computing [Hewitt and Woods 2019; Milner 1993].

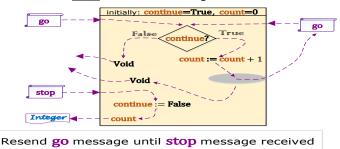
Of course, earlier work made huge pioneering contributions:  $\lambda$  expressions [Church 1932] play an important role in programming languages. Turing Machines [Turing 1936] inspired development of the stored program sequential computer and Logic Programs are fundamental to Scalable Intelligent Systems. [Hewitt 2019]

#### Computation that cannot be done by $\lambda$ Calculus, Nondeterministic Turing Machines, or pure Logic Programs

Actor machines can perform computations that a no  $\lambda$  expression, nondeterministic Turing Machine or pure Logic Program can implement. Below is an example of a very simple computation that cannot be performed by a nondeterministic Turing Machine:

There is an *always-halting* Actor machine that can compute an integer of unbounded size. This is accomplished using variables count initially 0 and continue initially <u>True</u>. The computation is begun by concurrently sending two messages to the Actor machine: a stop request that will return an integer n formalized as Output[n] and a go message that will return Void. The Actor machine operates as follows:

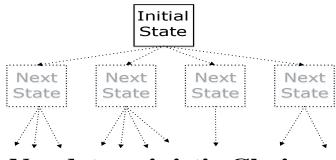
- When a go message is received: If continue is <u>True</u>, increment count by 1, send this Actor machine a go message in a hole of the region of mutual exclusion, and afterward return Void. If continue is <u>False</u>, return Void.
- •When a stop message is received, return count and set continue to <u>False</u> for the next message received.



Theorem. There is no  $\lambda$  expression, nondeterministic Turing Machine, or pure Logic Program that implements the above computation.

Proof [Plotkin 1976]:

"Now the set of initial segments of execution sequences of a given nondeterministic program P, starting from a given state, will form a tree. The branching points will correspond to the choice points in the program. Since there are always only finitely many alternatives at each choice point, the branching factor of the tree is always finite. That is, the tree is finitary. Now König's lemma says that if every branch of a finitary tree is finite, then so is the tree itself. In the present case this means that if every execution sequence of P terminates, then there are only finitely many execution sequences. So if an output set of P is infinite, it must contain a nonterminating computation."



## **Nondeterministic Choice**

*Limitations of 1<sup>st</sup>-order Logic for Concurrent Computation Theorem.* It is well known that there is no 1<sup>st</sup>-order theory for the above Actor machine.

Proof. Every 1<sup>st</sup>-order theory is compact meaning that every inconsistent set of propositions has a finite inconsistent subset. Consequently, to show that there is no 1<sup>st</sup>-order theory, it is sufficient to show that there is an inconsistent set of propositions such that every finite subset is consistent. Let Output[i] mean that i is output, The set of propositions {¬Output[i] | i:N} is inconsistent but every *finite* subset S is consistent because the Actor machine output might be larger than any output in S.

Actors have fundamentally transformed the foundations and practice of computation since the initial conceptions of Turing and Church. Although 1<sup>st</sup>-order propositions can be useful (e.g. in testing 1<sup>st</sup>-order propositions for satisfiability), message passing illustrates why 1<sup>st</sup>-order logic cannot be the foundation for theories in Computer Science.

#### Actors in Practice

An interface can be defined using an interface name, "interface", and a list of message handler signatures, where message handler signature consists of a message name followed by argument types delimited by "[" and "]", " $\rightarrow$ ", and a return type. For example, the interface type *ReadersWriter* can be defined as follows:

ReadersWríter <u>interface</u> read[Query]→ ReadResponse,

write[Update]→ WriteResponse

#### Holes in regions of mutual exclusion

Holes in regions of mutual exclusion (Swiss cheese) [Hewitt and Atkinson 1979; Atkinson 1980] is a generalization of mutual exclusion with the following goals:

- *Generality:* Conveniently program any scheduling policy
- Support maximum performance in • *Performance:* implementation, e.g., the ability to minimize locking and to avoid repeatedly recalculating a condition for proceeding.
- Understandability: Invariants for the variables of a mutable Actor should hold whenever entering or leaving the region of mutual exclusion.
- Modularity: Resources requiring scheduling should be encapsulated so that it is impossible to use them incorrectly.

Coordinating activities of readers and writers in a shared resource is a classic problem. The fundamental constraint is that multiple writers are not allowed to operate concurrently and a writer is not allowed to operate concurrently with a reader.

Below is a read priority implementation of a readers/writer scheduler for a database in which it is forbidden for a writer to operate concurrently with any other activity (cf. [Hoare 1974; Brinch Hansen 1996]):

#### ReadPriority [aDatabase: ReadersWriter]] →

// Invariant: Nonempty [writing] ⇒ IsEmpty [reading] #Local(#FIFO(writersO, readersO),

// queues of suspended activities #Crowd(reading), // crowd of active reading #AtMostOne(writing)), // at most one writing #Handler(getScheduler  $\mapsto$  As myScheduler, upgrade[newVersion]  $\mapsto$ 

> #CancelAll(readersO, writersO, reading, writing) for Become newVersion)

myScheduler implements ReadersWriter #Handler(

read[aQuery] →

Enqueue readersQ

when #SomeNonempty(writing, writersQ, readersQ) // Require: #IsEmpty writing for

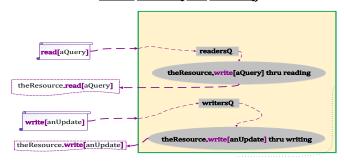
Permit readers0

for aDatabase read aQuery thru reading afterward // Require: #IsEmpty writing permit writersQ when IsEmpty reading else readers0 when

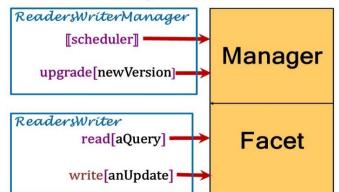
#AllEmpty(writing, writersQ)

write  $[anUpdate] \mapsto$ 

Enqueue writersO when #SomeNonempty(reading, readersO, writing, writersO) // Require: #IsEmpty(writing, reading) for aDatabase\_write anUpdate thru writing afterward // Require: #AllEmpty(writing, reading) Permit readersQ else writersQ)



## ReadPriority Implementation



Note:

- 1. At most one activity is allowed to execute in the region of mutual exclusion of ReadPriority.
- The region of mutual of exclusion has holes illustrating 2. that an Actor is **not** a sequential process (thread) in which control moves sequentially through a program.
- An invariant holds when an Actor is created and when 3. entering/leaving a continuous section of a region of mutual exclusion.
- An implementation, e.g. ReadPriority, differs from a 4. class [Dahl and Nygaard 1967] as follows:
  - An implementation can use multiple other implementations using namespaces to prevent ambiguity [cf. ISO 2017].
  - An implementation cannot be subclassed [Dahl and Nygaard 1967] in order to prevent impersonation by other types.

A ReadPriority implementation has the following invariant:

Nonempty[<u>writing</u>] ⇒ IsEmpty[<u>reading</u>] which holds because of Actor Induction as follows [Turing 1949, Hewitt 2017-2019]:

- The invariant holds when a *ReadPriority* implementation is created.
- If the invariant holds in a *ReadPriority* implementation when a communication is received, then it holds when leaving.

Starvation of activities suspended in readersQ and writersQ as is prevented in a *ReadPriority* implementation as follows:

- An activity in readersQ progresses when
- 1. A read to the database is started by another activity
- 2. If <u>writersO</u> and <u>writing</u> are both empty after the read to the database is completed.by another activity
- 3. Else after the next write to the database is finished.
- An activity in writers0 progresses when
- 1. If <u>readersQ</u> is empty when a write to the database is completed.by another activity
- 2. Else when reading becomes smaller when reading the database is completed by another activity.

Reading throughput is maintained by permitting <u>readersQ</u> when another activity starts a read to the database.

#### Categorical Axiomatization of Actors

Let x[e] be the behavior of Actor x at local event e, Com be the type for a communication, and Behavior be the type for a procedure that maps a communication received to an outcome that has a finite set of created Actors, a finite set of sent communications, and a behavior for the next communication received.

Actor Theory categorically axiomatises Actors using the following axioms where  $\uparrow$  (read as "precedes") is transitive and irreflexive and Info[x] is the information in the Actor addresses of x:

• Primitive Actors

```
\circ \forall [i:N] i:Actor // natural numbers are Actors
```

 $\circ \forall [x_1, x_2: Actor] [x_1, x_2]: Actor$ 

```
// a 2-tuple of Actors is an Actor
```

• An Actor's event ordering

```
\circ \forall [x:Actor, c:Com] Initial<sub>x</sub> \land Received<sub>x</sub>[c] \land After<sub>x</sub>[c]
```

```
\circ \forall[x:Actor, c<sub>1</sub>,c<sub>2</sub>:Com]
```

```
c_1 \neq c_2 \Rightarrow \text{Received}_x[c_1] \land \text{Received}_x[c_2]
```

- V Received<sub>x</sub>[ $c_2$ ] $\sim$ Received<sub>x</sub>[ $c_1$ ]
- $\circ \; \forall [x: \textit{Actor}, c_1: \textit{Com}]$

 $\nexists[c_2:Com]$  Received<sub>x</sub>[c<sub>1</sub>] $\land$ Received<sub>x</sub>[c<sub>2</sub>] $\land$ After<sub>x</sub>[c<sub>1</sub>]

- An Actor's behavior change
- $\circ \forall [x:Actor, c_1:Com]$

```
(\nexists[c_2:Cow] \operatorname{Received}_x[c_2] \land \operatorname{Received}_x[c_1]) \\ \Rightarrow x[\operatorname{Received}_x[c_1]] = x[\operatorname{Initial}_x]
```

```
\circ \forall [x:Actor, c:Cow] x[After_x[c]] = (x[Before_x[c]])[c]
```

```
\circ \forall [x:Actor, c:Com]
```

```
<u>Let</u> processing = Info[Before<sub>x</sub>[c]]⊔Info[Received<sub>x</sub>[c]]
⊔Info[Created<sub>x</sub>[c]]
// processing is information about addresses
// before c was received, received in c,
```

```
// and created processing \boldsymbol{c}
```

```
\underline{in} Info[After<sub>x</sub>[c]] \sqsubseteq processing
```

```
\land Info[Sent<sub>x</sub>[c]] \sqsubseteq processing
```

- Discreteness of Actors event ordering
  - $\forall [e_1, e_2: \textit{Event}] \text{ Finite} [ \{e: \textit{Event} | e_1 \frown e \frown e_2] \} ]$ 
    - // There are only finitely many events
      - // in  $\sim$  between two events.

```
    Actor Induction
```

```
\forall[x:Actor, P <u>predicateOn</u> Behavior]
```

 $(P[[x[Initial_x]]] \land \forall [c:Com])$ 

 $P[[x[Received_x[c]]]] \Rightarrow P[[x[After_x[c]]]])$  $\Rightarrow \forall [c:Cow] P[[x[Received_x[c]]]] \land P[[x[After_x[c]]]]$ 

Note that the above axioms do not require that every communication sent must be received. However, ActorScript [Hewitt and Woods assisted by Spurr 2015] provides that every request will either throw a *TooLong* exception or respond with the response sent to its customer.

Theorem. Unique Categoricity of ActorTheory, i.e., if M is a type satisfying the axioms for ActorTheory, then there is a unique isomorphism between M and Actor.

*Thesis*: Any digital system can be directly modeled and implemented using Actors.

# In many practical applications, the parallel $\lambda$ -calculus and pure Logic Programs can be thousands of times slower than Actor implementations.

VI. MATHEMATICAL THEORIES OF COMPUTER SCIENCE

#### Standard Mathematical Theories of Computer Science

Although theorems of mathematical theories in higher order logic are not computationally enumerable, proof checking is computationally decidable. Strong types can be used categorically axiomatize [Hewitt 2017-2019] up to a unique isomorphism a mathematical theory T for the model *M* for each of the following: Natural Numbers, Real Numbers, Ordinals, Computable Procedures, and Actors with the following properties:

- T is categorical for *M*, i.e., if *X* satisfies the axioms of T, then is *X* isomorphic to *M* by a unique isomorphism.
- T is **not** compact
- T has Instance Adequacy, i.e.,

 $\forall [t: TypeIn \triangleleft T \triangleright P \underline{predicateOn}_T t]$ 

 $(\forall [x:t] \vdash_{\mathbb{T}} P[\![x]\!]) \Leftrightarrow \vdash_{\mathbb{T}} \forall [x:t] P[\![x]\!]$ 

•  $\vdash_{\mathbb{T}}^{\underline{p}} \Psi$  is computationally decidable for  $\Psi$ : *Propositon*  $\exists \mathbb{T} \triangleright$ and p: *ProofChecker*  $\exists \mathbb{T} \triangleright$ 

#### Information Invariance

Information Invariance is a fundamental technical goal of logic consisting of the following:

- 1. Soundness of inference: information is not increased by inference
- 2. Completeness of inference: all valid inferences are included.

#### Criteria for Mathematical Foundations

Computer Science brought different concerns and a new perspective to mathematical foundations including the following requirements (building on [Maddy 2018]):

- *Practicality* is providing powerful machinery so that arguments (proofs) can be short and understandable and
- *Generality* is formalizing inference so that all of mathematics can take place side-by-side. Strong types provide generality by formalizing theories of the natural numbers, reals, ordinals, set theory, groups, lambda calculus, and Actors side-by-side.
- *Shared Standard* of what counts as legitimate mathematics so people can join forces and develop common techniques and technology. According to [Burgess 2015]:

"To guarantee that rigor is not compromised in the process of transferring material from one branch of mathematics to another, it is essential that the starting points of the branches being connected ... be compatible. ... The only obvious way ensure compatibility of the starting points ... is ultimate to derive all branches from a common unified starting point."

This article describes such a common unified starting point including natural numbers, reals, ordinals, sets, groups, geometry, algebra, lambda calculus, and Actors that are axiomatized up to a unique isomorphism.

- *Abstraction* so that fundamental mathematical structures can be characterized up to a unique isomorphism including natural numbers, reals, ordinals, sets, groups, lambda calculus, and Actors.
- *Guidance* is for practioners in their day-to-day work by providing relevant structures and methods free of extraneous factors. This article provides guidance by providing strong parameterized types and intuitive categorical inductive axiomatizations of natural numbers, ordinals, sets, lambda calculus, and Actors.
- *Meta-Mathematics* is the formalization of logic and rules of inference. The mathematical theories described in this article facilitate meta-mathematics because inference is directly on propositions without having to be coded as integers as in [Gödel 1931].
- *Automation* is facilitated in this article by making type checking very easy and intuitive along as well as incorporating Jaśkowski natural deduction for building an inferential system that can be used in everyday work.
- *Risk Assessment* is the danger of contradictions emerging in classical mathematical theories. This article formalizes long-established and well-tested mathematical practice while blocking all known paradoxes. (See appendix on paradoxes.) Confidence in the consistency of Nat and ActorTheory is based on the way that they are inductively constructed bottom-up.
- *Monsters* [Lakatos 1976] are unwanted elements in models of classical mathematical theories. Actor Theory precisely characterizes what is digitally computable leaving no room for "monsters" in models. Having a unique model up to isomorphism in classical mathematical theories is crucial for cybersecurity.

Intuitive categorical *inductive* axiomatizations of natural numbers, propositions, types, ordinals, sets, lambda calculus, and Actors promote confidence in operational consistency.

Consistent mathematical theories can be freely used in (inconsistent) empirical theories without introducing additional inconsistency.

#### VII. CYBERSECURITY CRISIS

The current disastrous state of cybersecurity cries out for a paradigm shift away from 1<sup>st</sup>-order logic (the basis of Gödel's results discussed in this article) as the foundation for mathematical theories of Computer Science because of the following deficiencies:

- unwanted monsters in models of theories
- inconsistencies in theories caused by compactness

- inconsistencies in theories that can formalize their own provability
- being able to infer each and every proposition (including nonsense) from an inconsistency in an empirical theory even though it may not be apparant that the theory is inconsistent.

Thus Computer Science must move beyond the consensus claimed by [G. H Moore 1988] as follows: "To most mathematical logicians working in the 1980s, first-order logic is the proper and natural framework for mathematics."

According to [Kuhn 2012],

"The decision to reject one paradigm is always simultaneously the decision to accept another. First, the new candidate must seem to resolve some outstanding and generally recognized problem that can be met in no other way. Second, the new paradigm must promise to preserve a relatively large part of the concrete problem solving activity that has accrued to science through its predecessor ...

At the start, a new candidate for paradigm shift may have few supporters, and on occasions supporters' motives may be suspect. Nevertheless, if they are competent, they will improve it, explore its possibilities, and show what it would be like to belong to the community guided by it. And as that goes on, if the paradigm is one destined to win its fight, the number and strength of the persuasive arguments in its favor will increase. More scientists will then be converted, the exploration of the new paradigm will go on. Gradually, the number of experiments, instruments, and books upon the paradigm will multiply...

Though a generation is sometimes required to effect the shift, scientific communities have again and again been converted to new paradigms. Furthermore, these conversions occur not despite the fact that scientists are human but because they are. ... Conversions will occur a few at a time until, after the last holdouts have died, the whole profession will again be practicing under a single, but now different paradigm."

The necessity to give up a long-held intuitive assumption has often held back the development of a paradigm shift. For example, the Newtonian assumption of absolute space-time had to be given up in the theory of relativity. Also, physical determinacy had to be abandoned in quantum theory. According to [Church 1934]:

"Indeed, if there is no formalization of logic as a whole [i.e. theorems are not computationally enumerable], then there is no exact description of what logic is, for it in the very nature of an exact description that it implies a formalization. And if there no exact description of logic, then there is no sound basis for supposing that there is such a thing as logic."

Contrary to [Church 1934], the conclusion in this article is to abandon the assumption that theorems of a theory must be computationally enumerable while retaining the requirement that proof checking must be computationally decidable.

The Establishment has made numerous mistakes during paradigm shifts. For example, Arthur Erich Has derived the radius of the ground state of the hydrogen atom [Haas 1910], anticipating Niels Bohr work by 3 years. Yet in 1910 Haas's article was rejected and his ideas were termed a "carnival joke" by Viennese physicists. [Hermann 2008] On the other hand, Enrico Fermi received the 1938 Nobel prize for the discovery of the nonexistent elements "Ausonium" and "Hesperium", which were actually mixtures of barium, krypton and other elements. [Fermi 1938]

*How the Computer Science cybersecurity crisis will proceed is indeterminate*, including the following possibilities.

- muddle along without fundamental change
- shift to something along the lines proposed in this article

• shift to some other proposal that has not yet been devised

Cybersecurity issues can provide focus and direction for fundamental research in Computer Science.

#### VII. RELATED WORK

Recent work has centered on constructive type theory which has type  $t_1 \rightarrow t_2$ , which is the type of *computable* procedures  $t_1$  into  $t_2$ , but does *not* have  $t_2^{t_1}$ , which is the type of *all* functions from  $t_1$  into  $t_2$ . Also, constructive type theory relies on *Propositorv*  $\triangleleft \mathbb{T} \triangleright = Theorem \triangleleft \mathbb{T} \triangleright$  with the unfortunate consequence that type checking is *computationally undecidable* and it is difficult to reason about unprovable propositions.

Extensions of Isabelle/HOL [Gordon 2016] seem more suitable for formalizing classical mathematics than constructive type theory.

#### VIII. CONCLUSION

This article strengthens the position of working mathematicians as follows:

- Providing usable theories of standard mathematical theories of computer science (e.g. Natural Numbers and Actors) such that there is only one model up to a unique isomorphism. The approach in this article is to embrace **all** of the most powerful tools of classical mathematics in order to provide mathematical foundations for Computer Science. Fortunately, these foundations are technically simple so they can be readily automated, which will enable improved collaboration between humans and computer systems.
- Allowing theories to freely reason about theories
- Providing a theory that precisely characterizes all digital computation as well as a strongly-typed programming language that can directly, efficiently, and securely implement every Actor computation.
- Providing in foundation for well-defined classical theories of natural numbers and Actors for use in reasoning by theories of practice in Scalable Intelligent Systems that are (of necessity) pervasively inconsistent.

Blocking known paradoxes makes classical mathematical theories safer for use in Scalable Intelligent Systems by preventing security holes. Consistent strong mathematical theories can be freely used without introducing additional inconsistent information into inconsistency robust empirical theories that will be the core of future Intelligent Applications.

Inconsistency Robustness [Hewitt and Woods assisted by Spurr 2015] is performance of information systems (including scientific communities) with massive pervasively-inconsistent information. Inconsistency Robustness of the community of professional mathematicians is their performance repeatedly repairing contradictions over the centuries. In the Inconsistency Robustness paradigm, deriving contradictions has been a progressive development and not "game stoppers." Contradictions can be helpful instead of being something to be "swept under the rug" by denying their existence, which has been repeatedly attempted by dogmatic theoreticians (beginning with some Pythagoreans). Such denial has delayed mathematical development.

For reasons of computer security, Computer Science must abandon the thesis that theorems of fundamental mathematical theories must be computationally enumerable. This can be accomplished while preserving almost all previous mathematical work except the 1<sup>st</sup>-Order Thesis [Barwise 1985]. **Automation of the proofs in this article is within reach of the state of the art which will enable better collaboration between humans and computer systems.** 

Having a powerful system is important because computers must be able to formalize all logical inferences (including inferences about their own inference processes) so that computer systems can better collaborate with humans.

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#### APPENDIX: MATHEMATICAL NOTATION

Notation for mathematical propositions, mathematical terms, and procedural expressions is formalized in this appendix.

Mathematical *Proposition* is a discrimination of the following patterns:

- $\circ \neg \Psi_1, \Psi_1 \land \Psi_2$ : *PropositionOfOrder* ib where  $\Psi_1, \Psi_2$ : *PropositionOfOrder* ib and i:  $N_+$
- $(x_1=x_2)$ : Proposition Of Order  $\exists 1 \triangleright$  where  $x_1, x_2$ : Term  $\exists t \triangleright$  and t is a type
- (x:t): *PropositionOfOrder*  $\triangleleft$  1 > where t is a type
- P[[x]]: Proposition Of Order  $\forall i+1 \triangleright$  where x: Term  $\forall t \triangleright$ , t is a type and P: Term  $\forall$  Proposition  $\forall i \triangleright^{t} \triangleright$  and i:  $N_{+}$
- $\circ \quad (\Psi_1 \vdash \Psi_2): Proposition Of Order \lhd i \triangleright \text{ where } i: N_+ \text{ and } \\ \Psi_1, \Psi_2: Proposition Of Order \lhd i \triangleright$
- $(\Psi_1 \vdash \overset{\mathbf{p}}{\vdash} \Psi_2)$ : Proposition Of Order  $\triangleleft i \triangleright$  where p: Term  $\triangleleft$  Proof Checker  $\triangleright$ , and  $\Psi_1, \Psi_2$ : Proposition Of Order  $\triangleleft i \triangleright$  and i:  $N_+$
- $(\models_t \Psi)$ : Proposition Of Order ⊲i▷ where t is a type,  $\Psi$ : Proposition Of Order ⊲i▷ and i: N<sub>+</sub>
- Ls]:PropositionOfOrder⊲i⊳ (abstraction of s) where s:PropositionOfOrder⊲i⊳1String with no

free variables, and  $i: N_+$ 

Mathematical *Proposition from String* is a discrimination of the following patterns:

- $\circ ``'\neg'' s_1", ``s_1" \wedge "' s_2": Proposition of Order \triangleleft i \triangleright ``String''$
- where  $s_1, s_2$ : Proposition of Order  $\triangleleft i \triangleright \upharpoonright String$  and  $i: N_+$
- "x1"="x2": PropositionofOrder⊲1⊳↑String where where x1,x2:Term↑String
- $\circ$  "x1":" x2": Proposition of Order  $\triangleleft 1 \triangleright \upharpoonright String$  where x1,x2: Term  $\upharpoonright String$
- o ""∀[" x ":" t "]" s":PropositionofOrder⊲i+1⊳1String where t is a type, x:Variable⊲t⊳1String and s:PropositionofOrder⊲i⊳1String
- o "P "[" x "]"": Proposition of Order⊲i+1⊳1String where x: Term ⊲t⊳1String, i:N<sub>+</sub> and

 $P: Term \triangleleft Proposition of Order \triangleleft i \triangleright^t | String \triangleright$ 

- " $s_1$ "+" $s_2$ ": Proposition of Order <[+1>] String where  $s_1, s_2$ : Proposition of Order <[i>] String, and i:  $N_+$ .
- "s1" ⊢"<sup>p</sup> s2": Proposition of Order⊲i+1⊳1 String where p: Term⊲ Proof Checker ⊳1 String,

 $s_{1}, s_{2}: Proposition of Order {\triangleleft} i {\triangleright} {\upharpoonright} String,, and i: N_{+}$ 

 $\circ$  "" $\models$ " ts": Proposition of Order  $\triangleleft i+1 \triangleright$  String where

s: Proposition of Order  $\triangleleft i \triangleright l$  String, i:  $N_+$  and t: Term l String, .

Mathematical *Term* is a discrimination of the following patterns:

- $\circ$  Boolean:Constant TypeOf Boolean  $\triangleright \triangleright$ , N:Constant TypeOf  $\triangleleft N \triangleright \triangleright$ , and Actor:Constant TypeOf  $\triangleleft Actor \triangleright \triangleright$
- $\circ x$ :*Term*  $\lhd$  *t*  $\triangleright$  where *x*:*Constant* $\lhd$  *t*  $\triangleright$  and *t* is a type
- o x:*Term* ⊲t⊳ where x:*Variable*⊲t⊳ and t is a type
- $[x_1, x_2]$ :*Term*  $\triangleleft$   $[t_1, t_2]$   $\triangleright$  where  $x_1$ :*Term*  $\triangleleft$   $t_1$   $\triangleright$ ,  $x_2$ :*Term*  $\triangleleft$   $t_2$   $\triangleright$ , and  $t_1$  and  $t_2$  are types
- $\circ$  (x<sub>1</sub> <u>if True then</u> x<sub>2</sub>, <u>False then</u> x<sub>3</sub>):*Term*  $\triangleleft t \triangleright$  where x<sub>1</sub>:*Term*  $\triangleleft Boolean \triangleright$ , x<sub>2</sub>,x<sub>3</sub>:*Term*  $\triangleleft t \triangleright$  and *t* is a type
- $∧ (λ[x:t_1] y):Term ⊲t_2^{t_1} ▷ where x:Variable⊲t_1 ▷,$  $y:Term⊲t_2 ▷ and t_1 and t_2 are types$
- f[x]:*Term* ⊲ $t_2$ ▷ where f:*Term* ⊲ $t_2$ <sup> $t_1$ </sup>▷, x:*Term* ⊲ $t_1$ ▷, and  $t_1$  and  $t_2$  are types
- $\circ [x]$ :*Term*  $\triangleleft t \triangleright$  is abstraction of x where x:*Term*  $\triangleleft t \triangleright$  *String* and t is a type

Procedural *Expression* is a discrimination of the following:

- $\circ$  x:Expression ⊲t ▷ where x:Constant ⊲t ▷ and t is a type
- o x:Expression ⊲t⊳ where x:Identifier ⊲t⊳ and t is a type
- [e<sub>1</sub>, e<sub>2</sub>]: *Expression* ⊲[ $t_1$ ,  $t_2$ ] ▷ where e<sub>1</sub>: *Expression* ⊲ $t_1$ ▷, e<sub>2</sub>: *Expression* ⊲ $t_2$ ▷, and  $t_1$  and  $t_2$  are types
- o (e1 if True then e2, False then e3): Expression ⊲t ▷where e1: Expression ⊲Boolean ▷, e2,e3: Expression ⊲t ▷ and t is a type
- $\circ$  (λ[x:t<sub>1</sub>] y):Expression⊲t<sub>1</sub>→t<sub>2</sub>▷ where x:Identifier⊲t<sub>1</sub>▷, y:Expression⊲t<sub>2</sub>▷ and t<sub>1</sub> and t<sub>2</sub> are types
- x<sub>•</sub>m:*Expression* ⊲ $t_2$ ▷ where m:*Expression* ⊲ $t_1$ ▷, x is an Actor with a message handler with signature of type *Expression* ⊲ $t_1$ → $t_2$ ▷, and  $t_1$  and  $t_2$  are types
- $I[[x_1, ..., x_n]]$ : Expression ⊲I ▷ where I is an Actor implementation and  $x_1, ..., x_n$  are expressions.
- $c[x]: Expression \forall t \triangleright$  is abstraction of x where x: Expression  $\forall t \triangleright$  String and t is a type

#### APPENDIX: MATHEMATICAL PARADOXES

Inconsistencies in fundamental mathematical theories of Computer Science are dangerous because they can be used to create security vulnerabilities. Strong types are extremely important because they block *all* known paradoxes including the ones in this appendix.

#### Russell [Russell 1902]

- Russell's paradox for sets is resolved as follows: the type of all sets restricted to ones that are not elements of themselves is just the type of all sets because **no** set is an element of itself.
- Russell's paradox for predicates is resolved as follows: The mapping  $P \mapsto \neg P[P]$  has **no** fixed point because  $\neg P[P]$  has order one greater than the order of P because P is a predicate variable.

#### Gödel [Gödel 1931]

#### Curry [Curry 1941]

Curry's Paradox is blocked because the mapping  $p \mapsto p \Rightarrow \Psi$ does **not** have a fixed point because the order of  $p \Rightarrow \Psi$  is greater than the order of p since p is a propositional variable.

#### *Löb* [Löb 1955]

Löb's Paradox is blocked because the mapping  $p \mapsto ((+p) \Rightarrow \Psi)$ does **not** have a fixed point because the order of  $(+p) \Rightarrow \Psi$  is greater than the order of p since p is a propositional variable.

#### Yablo [Yablo 1985]

Yablo's Paradox is blocked because the mapping

 $P \mapsto \lambda[i:N] \forall [j:N] \ j > i \Leftrightarrow \neg P[[j]]$  does **not** have a fixed point because the order of  $\lambda[i:N] \forall [j:N] j > i \Leftrightarrow \neg P[[j]]$  is greater than the order of P since P is a predicate variable [cf. Priest 1997].

#### Berry [Russell 1906]

Berry's Paradox can be formalized using the proposition Characterize  $\exists b [[s, k]]$  meaning that the string s characterizes the integer k as follows where  $i: N_t$ :

- Berry⊲i▷≡(Term⊲PropositionofOrder⊲i⊳<sup>N</sup>)|String
- Characterize <br/>diþ[[s: Berry <br/>diþ, k: N]] = <br/>  $\forall [x: N] L s \sqcup [x] \Leftrightarrow x = k$

The Berry Paradox is to construct a string for the proposition that holds for integer n if and only if every string with length less than 100 does not characterize n using the following definition:

BerryString:  $Berry \triangleleft i+1 \triangleright \equiv$ 

"λ[n:N] ∀[s:PropositionOfOrder⊲i⊳†String]

 $Length[s] < 100 \Rightarrow \neg Characterize \triangleleft i \triangleright [[s, n]]"$ 

Note that

- Length[BerryString]<100.
- $\circ$  *Berry*⊲i $\triangleright$ <u>∋</u>λ[s]Length[s]<100 is finite.
- $\circ$  Therefore, BerryNumber is finite where

BerryNumber ≡

N<sub>+</sub><u>∋</u>λ[i] ∃[s:Berry⊲i⊳]

 $Length[s] < 100 \land Characterize \triangleleft i \triangleright [[s, i]]$ 

- $\circ \exists [i:N_{+}] i: Berry Number because is N_{+} is infinite.$
- $\circ$  LeastBerry = Least[BerryNumber]
- o LBerryStringJ[[LeastBerry]] =

∀[s:Berry⊲i⊳]

Length[s]<100  $\Rightarrow \neg$ Characterize $\triangleleft i \triangleright [s, LeastBerry ]]$ However BerryString: *Berry* $\triangleleft i+1 \triangleright$  cannot be substituted for s: *Berry* $\triangleleft i \triangleright$ . Consequently, the Berry Paradox as follows does not hold:

LBerryString][LeastBerry]

⇔ ¬Characterize⊲i⊳ [[BerryString, LeastBerry]]

#### APPENDIX: ORDINALS

Ordinals (denoted by type O) can be axiomatized up to a unique isomorphism in a theory called Ord [Hewitt 2016-2019].

Theorem. Ord is inferentially undecidable, i.e.,

 $\exists [\Psi: Proposition \triangleleft \mathbb{Ord} \triangleright] (\forall_{\mathrm{Ord}} \Psi) \land (\forall_{\mathrm{Ord}} \neg \Psi)$ 

*Proof* Suppose to obtain a contradiction that Ord is inferentially decidable and consequently

 $\forall [x: \textit{Expression} \land N \triangleright] (\vdash_{Ord} Halt[x]) \lor (\vdash_{Ord} \neg Halt[x])$ where Halt[x] means that expression x halts. Since only countably many instances of the Ordinal induction axiom could have been used in the above proofs, the halting problem is computationally decidable by computationally enumerating the proofs, which is a contradiction. **Thesis.** Ord is inferentially complete, i.e., all valid mathematical inference for the Ordinals can be carried out in Ord. (The thesis should be formalized as a mathematical theorem).

Let  $\Omega$  be the least Ordinal of cardinality Boolean<sup>N</sup>.

*Lemma*.  $\vdash_{\mathbb{O}\mathbb{r}\mathbb{d}} \forall [\alpha:\mathcal{O}] \alpha < \Omega \Rightarrow \text{Countable}[\alpha]$ 

*Proof.* Immediate from the definition of  $\Omega$ . *Theorem.* Continuum Hypothesis for Ordinals, i.e.,

 $\vdash_{\mathbb{O}\mathbb{rd}} \nexists[\alpha:\mathcal{O}] |\omega| < |\alpha| < |\Omega|$ , where  $\omega$  is the least Ordinal

of cardinality N and  $|\delta|$  is the cardinality of Ordinal  $\delta$ .

*Proof.* Follows immediately from the above lemma. Consequently, the **opposite** of the 1<sup>st</sup>-order Gödel/Cohen [Cohen 1963-1964] result holds for Ordinals.

The Continuum Hypothesis for Ordinals is the most important version of the Continuum Hypothesis for Computer Science. The version of the Continuum Hypothesis for untyped sets [cf. Kreisel 1967, page 152; Feferman 2011] is less important because theories in Computer Science use a mixture of lists (e.g.  $List \triangleleft N \triangleright$ ), sets (e.g.  $Set \triangleleft List \triangleleft N \triangleright \triangleright$ ), trees, etc. parameterized by types instead of the ill-defined notion of an untyped set of sets. To defeat cyberattacks, Set Theory must be axiomatized up to a unique isomorphism. [cf. Hewitt 2017-2019]

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