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# Dynamics of vacuum impregnation of apples: Experimental data and simulation results using a VOF model

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## A B S T R A C T

Vacuum impregnation (VI) of foods can be used to accelerate industrial processes. VI consists of removing the air present in a food by applying vacuum and replacing it with a given solution by recovering the atmospheric pressure. In this work, the goal was to study important parameters in the dynamics of VI and to propose a three-dimensional mathematical model (based on the Volume-of-Fluid model) for predicting the impregnation step in apple samples. An experimental device was built for determining the dynamics of VI. It was verified that the capillary radius that allowed for the best representation of the dynamics of vacuum impregnation were in the order of magnitude of micrometers, values that are in the range reported in the literature. The proposed mathematical model showed excellent predictive ability for three-dimensional simulation. However, for more accurate values, one should determine the parameters with improved accuracy.

### Keywords:

Mass transfer  
Biphasic flow  
Porous media  
Food processing  
Modeling

## 1. Introduction

Vacuum impregnation (VI) is a method in food processing by which most air and part or all of the native solution are removed from the food porous space (vacuum step) and replaced by an external solution (impregnation step) (Fito, 1994; Laurindo et al., 2007). In the vacuum step, the food is immersed in a solution and exposed to subatmospheric pressure, removing the air trapped in the food porous space. Subsequently, atmospheric pressure is reestablished and the external solution penetrates the food porous space, compressing the residual gas until the pressure equilibrium is reached (Fito, 1994; Fito et al., 1996).

This method implies both a fast change in the food composition and shorter diffusive paths modifying the conditions that control the mass transfer. VI can be useful to introduce dissolved or dispersed substances directly into the porous structure of the food matrix in processes in which solid-liquid operations are present: salting, osmotic dehydration, oil extraction by liquid solvents, incorporation of additives into foods, and others (Andrés 1995; Martínez-Monzó et al., 1998; Barat et al., 2001; Chiralt et al., 2001; Betoret et al., 2003; Mujica-Paz et al., 2003a,b; Laurindo et al., 2007; Schmidt et al., 2008; Martínez-Valencia et al., 2011).

Fito et al. (1996) obtained experimental data of VI dynamics in an apparatus which employed an electronic balance to measure the net mass (or force). However, the balance includes also the

mass of the water that evaporates during the VI process and the initial mass of the system, requiring a separate test to measure the water mass that evaporates during the VI experiment. Laurindo et al. (2007) presented a modified device with a load cell instead of electronic balance with the advantage of not requiring the determination of the water evaporated in a separate experiment, which increases the accuracy of the results. Moreover, the electronic interface is placed outside the vacuum chamber and does not suffer influence of the vacuum condition. The load cell system is more flexible, can be easily replaced by another one with a different capacity, and is also accurate for the determination of the time needed to produce degasification (vacuum step) and impregnation of foods submitted to the VI process, even under non-isotonic condition, as shown by Schmidt (2006), Laurindo et al. (2007), Schmidt et al. (2008) and Carciofi (2009).

Fito and Pastor (1994) and Fito et al. (1996) proposed a mathematical model for the equilibrium state in VI processes in porous foods, called by the authors the hydrodynamic mechanism (HDM) coupled with the deformation-relaxation phenomena (DRP). In the HDM model, the impregnated volumetric fraction ( $X_l$ ) of a food was modeled as a function of the macroscopic pressure gradients imposed, the capillary forces, and the food's effective porosity ( $\epsilon_e$ , defined as the fraction of the food's total volume that is occupied by gas). In biological materials, the pressure gradients leads to gas/liquid flows and to a probable deformation of the solid matrix. The DRP model takes into account the deformations of the food matrix in both impregnation steps, by considering the food matrix a viscoelastic material.

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## Nomenclature

### Abbreviations

DRP	deformation–relaxation phenomena
FCT	Flux–Corrected Transport
HDM	hydrodynamic mechanism
VI	vacuum impregnation
VOF	Volume-of-Fluid

### Symbology

$a_w$	water activity
$F_n$	net force registered by a load cell, N
$F_{n0}$	net force registered by a load cell at the beginning of the VI process, N
$F_{n1}$	net force registered by a load cell at the end of the vacuum step, N
$F_{n2}$	net force registered by a load cell at the end of the VI process, N
$g$	gravity acceleration, $m\ s^{-2}$
$\mathbf{g}$	gravity acceleration vector, $m\ s^{-2}$
$K$	permeability, $m^2$
$GB$	gain determined by a balance, $kg\ kg^{-1}$
$GC$	gain determined by a load cell, $kg\ kg^{-1}$
$M$	average molar mass of the gas phase, $kg\ mol^{-1}$
$M_b$	rigid rod and perforated box mass, kg
$M_d$	liquid mass drained from the sample during the VI process, kg
$M_s$	sample mass, kg
$M_{s0}$	sample mass before the VI process, kg
$M_{s1}$	sample mass at the end of the vacuum step, kg
$M_{s2}$	sample mass after the VI process, kg
$\mathbf{n}$	normal vector of the liquid interface (non-dimensional)
$P_1$	vacuum pressure, Pa
$P_2$	atmospheric pressure, Pa
$P_c$	capillary pressure, Pa
$P_G$	pressure at gas phase, Pa
$P_k$	pressure at k phase, where $k = L, G$ ( $L = liquid, G = gas$ ), Pa
$P_L$	pressure at liquid phase, Pa

$P_L^*$	corrected pressure at liquid phase, Pa
$R$	universal gas constant, $J\ mol^{-1}\ K^{-1}$
$R_c$	capillary radius, m
$t$	time, s
$T$	temperature, K
$\mathbf{U}_G$	velocity vector for gas phase, $m\ s^{-1}$
$\mathbf{U}_k$	velocity vector for k phase, where $k = L, G$ ( $L = liquid, G = gas$ ), $m\ s^{-1}$
$\mathbf{U}_L$	velocity vector for liquid phase, $m\ s^{-1}$
$\mathbf{U}_\Gamma$	velocity vector for interface, $m\ s^{-1}$
$V_b$	rigid rod and perforated box volume, $m^3$
$V_s$	sample volume, $m^3$
$V_{s0}$	sample volume before the VI process, $m^3$
$V_{s2}$	sample volume after the VI process, $m^3$
$X_L$	sample impregnated volumetric fraction, $m^3\ m^{-3}$
$X_{La}$	apparent sample impregnated volumetric fraction, $m^3\ m^{-3}$
$X_{Le}$	effective sample impregnated volumetric fraction, $m^3\ m^{-3}$
$\varepsilon$	porosity, $m^3\ m^{-3}$
$\varepsilon_e$	effective porosity, $m^3\ m^{-3}$
$\theta$	contact angle, rad
$\gamma$	irreversible relative sample deformation, $m^3\ m^{-3}$
$\gamma_1$	relative sample deformation in the vacuum step, $m^3\ m^{-3}$
$\mu_G$	dynamic viscosity for gas phase, Pa s
$\mu_k$	dynamic viscosity for k phase, where $k = L, G$ ( $L = liquid, G = gas$ ), Pa s
$\mu_L$	dynamic viscosity for liquid phase, Pa s
$\rho_a$	apparent density of samples, $kg\ m^{-3}$
$\rho_G$	density for gas phase, $kg\ m^{-3}$
$\rho_k$	density for k phase, where $k = L, G$ ( $L = liquid, G = gas$ ), $kg\ m^{-3}$
$\rho_L$	density of liquid (impregnating solution), $kg\ m^{-3}$
$\rho_r$	real density of samples, $kg\ m^{-3}$
$\sigma_{LG}$	interfacial tension at liquid–gas interface, $N\ m^{-1}$

These models are useful tools for estimating vacuum impregnation, but they do not consider the process dynamics, i.e., they are equilibrium models. Moreover, deformations of the food matrix are not simple to determine experimentally (Laurindo et al., 2007).

Volume-of-Fluid method (VOF) is a model used for simulating the displacement of two or more immiscible fluids in a control volume. As velocities and pressures are assumed to be the same in both fluids, the same set of transport equations is solved for an equivalent fluid. The physical properties of this equivalent fluid are calculated from their volume fractions (Benkenida, 1999; Carciofi, 2009; Carciofi et al., 2011).

With this in mind, the main goal of this work was to study the parameters in the dynamics of the vacuum impregnation process and to propose a three-dimensional mathematical model for predicting the impregnation step. The model is based on the VOF method, and the results are compared with the dynamic experimental data found for vacuum impregnation of apple samples.

## 2. Material and methods

### 2.1. Samples

Apple samples (Fuji variety) were used due to the fruit's high porosity (close to 20%), and low deformity (Fito et al., 1996; Laurindo et al., 2007). Fito et al. (1996) reported that apples

submitted to vacuum impregnation with isotonic solutions showed relative volumetric expansion of approximately 2.7%. Laurindo et al. (2007) found relative volumetric expansion in samples between 1.8% and 3.1%.

The size of the cylindrical apple samples was  $18.0 \pm 0.7$  mm and  $15.1 \pm 0.1$  mm (length and radius, respectively). Water activity ( $a_w$ ) of the fresh apples was determined using a hygrometer (Aqualab Model Series 3, Decagon Devices Inc., Pullman, WA, USA) and the apples' water content was determined by drying in an oven at  $105\ ^\circ C$  until constant weight. Soluble solids content (Brix) in samples was determined by refractive index using a manual optic refractometer (Reichert, model AR200, USA). The apparent ( $\rho_a$ ) and real ( $\rho_r$ ) densities of fresh apples were determined by the pycnometric technique as described by Salvatori et al. (1998) using crushed-homogenized-degassed apple samples and an intact portion of apple samples, respectively. Apple porosity ( $\varepsilon$ ) was estimated by Eq. (1). Triplicate determinations were performed for each property

$$\varepsilon = 1 - \frac{\rho_r}{\rho_a} \quad (1)$$

### 2.2. Impregnating solution

A sucrose/water solution that corresponded to the same water chemical potential (same water activity) of the fresh apple samples

was used in all experiments in order to minimize the diffusive phenomenon. Hence, it was considered that the impregnation of the solution into the samples was caused only due to the macroscopic pressure gradients, i.e., to the spontaneous imbibitions (capillarity phenomenon) and to the flow of solution into the sample when the atmospheric pressure was recovered (imposed pressure gradient).

The sucrose solution concentration (Brix) was measured by refractive index using an optic refractometer (Reichert, model AR200, USA) and the concentration with the same water chemical potential was determined with a calibration curve ( $a_w$  as a Brix function), and was equivalent to 19.5 °Brix. The density ( $\rho_L$ ) of the impregnating solution was determined by picnometry (Lewis, 1993) at 25 °C. Triplicate determinations were performed for each property.

### 2.3. Experimental setup

A device was built for the measurement of the sample's mass as a function of time during the degasification and impregnation steps, as proposed by Laurindo et al. (2007). A schematic of this device is shown in Fig. 1. It consists of a 50-L vacuum chamber, a vacuum pump (VEB, Model AD230, Germany), and a digital vacuum meter (Motorola, Freescale Semiconductor – MPX2102AP, USA) for online pressure monitoring. Inside the chamber, a single-point load cell (Alfa Instrumentos, model GL1, Brazil), with nominal capacity of 1 kg and readability of 0.1 g, was sustained by a metallic support. A perforated stainless steel cylindrical box was connected to the load cell by a rigid rod with threaded extremities. This system was placed into the vacuum chamber to maintain the food sample immersed in the solution. An electronic interface (Alfa Instrumentos, Model 3102, Brazil) connected the load cell to a computer, allowing for the online recording of the net force variations during the experiments. The force measured by the load cell is the net force, which depends on the mass (weight force) and volume (buoyant force) variations of the system (Fig. 1). The device recorded the changes in the net force ( $F_n$ ) over time during the whole vacuum impregnation process, as shown in Eq. (2)

$$\frac{F_n}{g} = (M_s + M_b) - \rho_L(V_s + V_b) \quad (2)$$

where  $g$  is the acceleration of gravity,  $\rho_L$  is the density of the impregnating solution,  $M_s$  is the mass of the sample,  $M_b$  is the mass of the rigid rod and perforated box,  $V_s$  is the volume of the sample,

and  $V_b$  is the volume of the rigid rod and perforated box immersed in the impregnating solution.

If  $\rho_L$ ,  $M_b$ , and  $V_b$  are assumed as constants during the VI, all the changes in  $F_n$  during this process are due to changes in  $V_s$  (as a consequence of DRP), and in  $M_s$  (due to the external solution penetration or native solution drainage). Consequently, both  $M_s$  and  $V_s$  are dynamic (time-dependent) variables. Volumetric expansions and native solution loss during the vacuum step decrease  $F_n$ . Otherwise, the sample impregnation and possible sample shrinking after the reestablishment of atmospheric pressure increase the sample's weight. If the sample is undeformable under vacuum conditions, the only time-dependent variable in Eq. (2) is  $M_s$ , and the  $F_n$  evolution measured by the load cell directly represents the sample's mass loss (vacuum step) or gain (impregnation step).

The experimental device also allows for controlling the vacuum curves, i.e., the evacuation rate in the vacuum step and the rate of atmospheric pressure recovery in the impregnation step, by means of two solenoid valves (Ascovál, model TLP 584127, Brazil). The first valve was installed on the suction line, between the vacuum pump and the vacuum chamber (on-off operation), and the second one, operating by pulse-width modulation (PWM), was used to allow air to enter the vacuum chamber in order to help controlling the pressure.

### 2.4. Experimental procedures

Apple samples were weighed, placed inside the perforated box, and submerged into the impregnating solution. For all tests, the sample weight was  $65.000 \pm 2.500$  g, and the vacuum level of 3.3 kPa was applied for 900 s (350 s for reducing the pressure from  $P_2$  to  $P_1$ ), followed by the impregnation step for 1500 s. In addition, tests with the same system and conditions were performed, without samples or solution, in order to correct possible effects of vacuum on the system. Tests were performed in triplicate.

## 3. Calculation

### 3.1. Experimental mass variations

The mass of apple samples before ( $M_{s0}$ ) and after ( $M_{s2}$ ) the VI process was determined by a semi-analytical balance. The relative mass gain during VI was determined and coded as GB (gain determined by a balance), as given by Eq. (3)

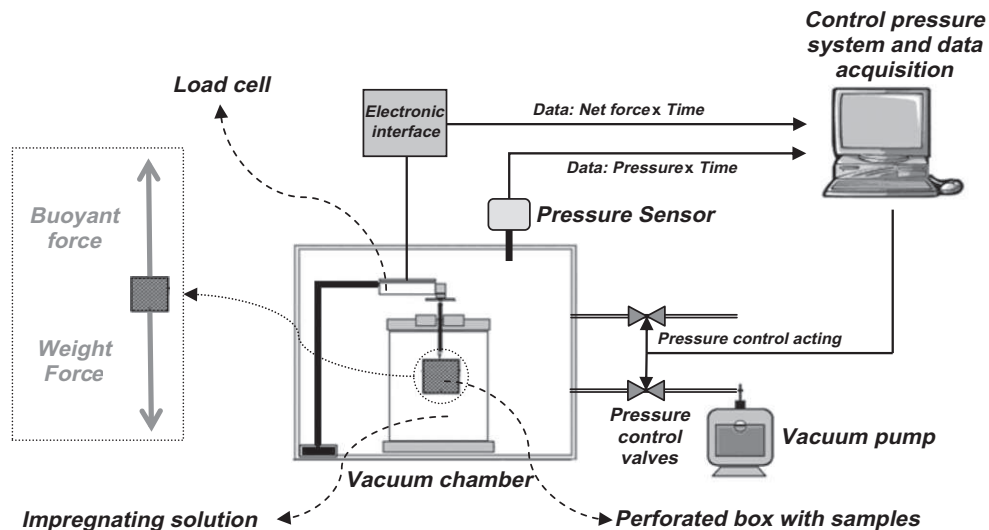


Fig. 1. Schematics of the experimental device used for vacuum impregnation of apples.

$$GB = \frac{M_{s2} - M_{so}}{M_{so}} \quad (3)$$

Similarly, the weight gain values were determined from data registered by the load cell at the beginning ( $F_{no}/g$ ) and at the end of the VI process ( $F_{n2}/g$ ), and coded as  $GC$  (gain determined by a load cell), as shown in Eq. (4). In this case,  $GC$  does not represent a real mass gain, because the buoyant forces present depend on the possible fruit deformation that leads to volume variation. It is important to mention that the native solution can be drained in the first VI step and replaced by the impregnation solution, and that  $GB$  and  $GC$  were calculated in relation to  $M_{so}$ .

$$GC = \frac{F_{n2} - F_{no}}{gM_{so}} \quad (4)$$

$X_L$  has been estimated by the coupled HDM-DRP model (Fito et al., 1996) as a function of its effective porosity  $\varepsilon_e$ , the inverse of the compression rate at the relaxation period (defined as the ratio between the sum of the atmospheric pressure,  $P_2$ , and capillary pressure,  $P_c$ , divided by the pressure applied during the vacuum step,  $P_1$ ), the relative sample deformation at the end of the vacuum step ( $\gamma_1$ ), and the relative sample deformation ( $\gamma$ ) observed at the end of the second step (irreversible). The parameters  $\gamma_1$  and  $\gamma$  are dimensionless and defined as the relative volume variations during the vacuum step and over the whole process of vacuum impregnation, respectively (both volume variations defined from the sample's initial volume). This model is expressed by Eq. (5)

$$X_L - \gamma = \varepsilon_e \left( 1 - \frac{P_1}{P_2 + P_c} \right) - \gamma_1 \frac{P_1}{P_2 + P_c} \quad (5)$$

In spite of their low deformability (Fito et al., 1996; Salvatori et al., 1998; Laurindo et al., 2007), relative volume variations of apple samples could be determined for different vacuum levels. The value of  $\gamma$  (defined in Eq. (6)) is estimated experimentally from the difference between  $GB$  and  $GC$  values, as proposed by Laurindo et al. (2007) and given by Eq. (7) (obtained from Eqs. (2)–(4), and (6)). The difference is attributed to the irreversible sample deformation that led to buoyant force changes, which modifies the load cell measurement,  $F_n$

$$\gamma = \frac{V_{s2} - V_{so}}{V_{so}} \quad (6)$$

where  $V_{so}$  and  $V_{s2}$  are the initial and final sample volumes, respectively

$$\gamma = \frac{\rho_a}{\rho_L} (GB - GC) \quad (7)$$

Two experimental values referring to the fraction of the sample impregnated by the external liquid were determined:  $X_{La}$ , the apparent volume fraction of the sample impregnated by the liquid, and  $X_{Le}$ , the effective volumetric fraction of the sample impregnated by the liquid.

$X_{La}$  was calculated from the ratio between the final and initial sample mass difference and the sample initial volume, as shown in Eq. (8). Alternatively, from Eqs. (3) and (8),  $X_{La}$  can be obtained directly from sample and liquid densities and  $GB$ , as in Eq. (9)

$$X_{La} = \frac{M_{s2} - M_{so}}{V_{so}\rho_L} \quad (8)$$

$$X_{La} = \frac{\rho_a}{\rho_L} GB \quad (9)$$

For calculating  $X_{Le}$  by Eq. (10), the mass of the liquid drained during the vacuum step ( $M_d$ ) was considered as a result of gas expansion inside the sample's porous space (Laurindo et al., 2007; Badillo et al., 2011), as given by Eq. (11)

$$X_{Le} = \frac{(M_{s2} - M_{so}) + M_d}{V_{so}\rho_L} = X_{La} + \frac{M_d}{V_{so}\rho_L} \quad (10)$$

$$M_d = M_{so} - M_{s1} \quad (11)$$

where  $M_{s1}$  is the sample weight at the end of the vacuum step.

The value of  $M_d$  is given by Eq. (12)

$$M_d = \frac{(F_{no} - F_{n1})}{g} - \gamma_1 \frac{\rho_L}{\rho_a} M_{so} \quad (12)$$

where  $F_{n1}/g$  is the value obtained from the load cell and  $\gamma_1$  is the sample's relative deformation, both at the end of the vacuum step (immediately before the recovery of atmospheric pressure).

From Eqs. (3), (10), and (12):

$$X_{Le} = \frac{\rho_a}{\rho_L} GB + \frac{(F_{no} - F_{n1})}{V_{so}\rho_L g} - \gamma_1 \quad (13)$$

It is reasonable to assume that during the vacuum step the samples suffered expansion only and that during the impregnation step the samples suffered compression only, as proposed in the HDM-DRP model (Fito et al., 1996). Thus, the value of  $\gamma$  is the difference  $\gamma_1 - \gamma_2$ , which is generally positive for fruits, as verified by other studies (Fito et al., 1996; Salvatori et al., 1998). Thus, one can conclude that the value  $\gamma_1$  belongs to the range described by the inequality given by Eq. (14)

$$\gamma \leq \gamma_1 \leq \frac{(F_{no} - F_{n1})}{gV_{so}\rho_L} \quad (14)$$

The maximum value of  $\gamma_1$  is the difference of the measurement provided by the load cell at the beginning and at the end of step 1, considering that there is no drainage of fluid during gas expansion ( $M_d = 0$ ). Hence,  $X_{Le} = X_{La}$ . On the other hand, the deformation at the end of the vacuum step is at least equal to the deformation at the end of the vacuum impregnation, i.e., it is assumed that  $\gamma_2 = 0$ .

### 3.2. System modeling

The Eulerian approach proposed by Carciofi et al. (2011) was used to design the system under analysis. The physics and mathematics that describe the impregnation step, i.e., the displacement of a gas by a liquid inside a porous medium, is given by Eqs. (15)–(22). The apple sample was considered an isotropic and homogeneous porous medium represented by an average radius  $R_c$ . Other hypotheses were: (a) permeability, temperature, liquid–gas interfacial tension, and porosity were constant; (b) the two fluids are Newtonian and immiscible, and their viscosities are constant; (c) liquid is incompressible; (d) the gas mixture behaves ideally; (e) solid is completely inert; and (f) the pressure relaxation at the gas phase is instantaneous when compared to the interface displacement, implying that the gas density is spatially homogeneous

$$\nabla \cdot \mathbf{U}_k = 0 \quad (15)$$

$$\nabla P_k + \rho_k \mathbf{g} + \frac{\mu_k}{K} \mathbf{U}_k = 0 \quad (16)$$

$$P_G = \rho_G \frac{RT}{M} \quad (17)$$

$$P_c = \frac{2\sigma_{LG} \cos \theta}{R_c} \quad (18)$$

$$P_L^* = P_c + P_L \quad (19)$$

$$(P_G - P_L^*)_{\Gamma} = 0 \quad (20)$$

$$\frac{\mathbf{U}_G}{\varepsilon} \cdot \mathbf{n} = \frac{\mathbf{U}_L}{\varepsilon} \cdot \mathbf{n} = \mathbf{U}_{\Gamma} \cdot \mathbf{n} \quad (21)$$

$$P_L^* = P_2 + P_c \quad (22)$$



where  $\mathbf{U}$ ,  $P$ ,  $\rho$ ,  $\mu$  are, respectively, the velocity vector, pressure, density and the dynamic viscosity defined for liquid (L) or gas (G) phases or at the gas–liquid interface ( $\Gamma$ ),  $\mathbf{g}$  is the acceleration vector due to gravity,  $R$  is the universal gas constant,  $T$  is the system temperature,  $M$  is the average molar mass of the gas phase,  $\sigma_{LG}$  is the interfacial tension at the liquid–gas interface,  $\theta$  is the contact angle and  $\mathbf{n}$  is the normal vector of the liquid interface.

A numerical solution of the local balance equations for a Eulerian approach (Eqs. (15)–(22)) can be provided by the homogeneous model, which describes the displacement of two immiscible fluids as a single equivalent fluid (Bonometti, 2005). Therefore, the density and the viscosity of the fluid, defined by the homogeneous model, vary through the interface according to the physical properties of each pure fluid. The VOF (Volume-of-Fluid) model used to simulate the impregnation of a porous medium by a liquid is based on the work of Benkenida (1999), using a phase-indicating function and the conservation of mass and momentum in the liquid and gas phases. This model allows for evaluating the fraction of a gas phase ( $\alpha_G$ ), the interface position and the fraction of the porous medium occupied by liquid ( $X_L$ ). The relation between  $\alpha_G$  and  $X_L$  is given by Eq. (23)

$$X_L = \varepsilon \left( 1 - \frac{\int V_s \alpha_G dV}{V_s} \right) \quad (23)$$

### 3.3. Numerical solution

The numerical solution of the homogeneous VOF model should satisfy at least four conditions: stability, conservation of  $\alpha_G$  that leads to local and global mass conservation, positivity of the  $\alpha_G$  function, and spatial precision; which reduces the numerical diffusion caused by discontinuity of the  $\alpha_G$  function (Benkenida, 1999). This solution was performed by using the Flux-Corrected Transport (FCT) methodology, implemented in JADIM code, developed at the *Institut de Mécanique de Fluides de Toulouse* (IMFT), France.

Initially proposed by Boris and Book (1973), the FCT numerical scheme was modified by Zalesak (1979) to treat numerical diffusion. In the JADIM code, the numerical solution for  $\alpha_G$  was obtained by using a displaced grid and the discretization was done by a second-order finite volume method (Magnaudet et al., 1995; Bonometti and Magnaudet, 2007). The pressure field was calculated numerically discretizing by an implicit finite volume method, as presented by Patankar (1980).

### 3.4. Statistical parameters

MSE (Eq. (24)) and Bias factor (Eq. (25)) were used for comparing experimental data and values calculated by numerical solutions of the homogeneous VOF model

$$\text{MSE} = \frac{\sum (\text{Experimental data} - \text{Numerical values})^2}{\text{Number of data}} \quad (24)$$

$$\text{Bias} = 10 \frac{\sum \log(\text{Experimental data}/\text{Numerical values})}{\text{Number of data}} \quad (25)$$

## 4. Results and discussion

Experimental data on time-force evolution measured by the load cell as a result of variations that occurred on the sample's mass and volume and time-pressure variation on the impregnating chamber containing the perforated box with apple samples are shown in Fig. 2. These results are very representative of the vacuum impregnation of apples investigated in this study. The load cell showed an increase of  $F_n$  immediately after sample immersion

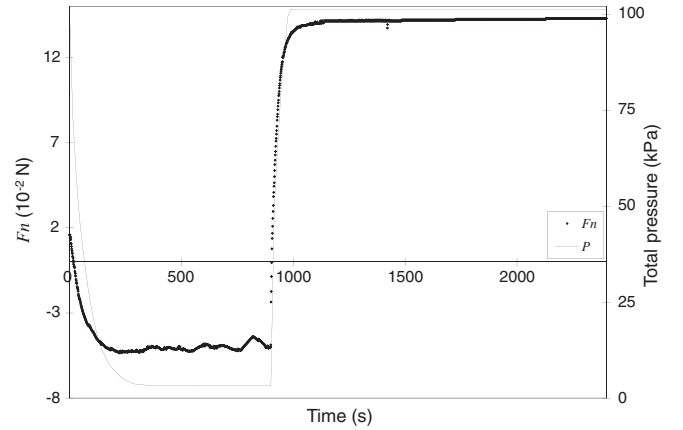


Fig. 2. Evolution over time of the resulting force and total pressure in a typical vacuum impregnation process.

Table 1

Experimental mass and volume variations for apple samples.

Parameter	
$GB$ ( $\text{kg kg}^{-1}$ )	0.253
$GC$ ( $\text{kg kg}^{-1}$ )	0.200
$\gamma$ ( $\text{m}^3 \text{m}^{-3}$ )	0.0417
$\gamma_1$ ( $\text{m}^3 \text{m}^{-3}$ )	0.0417–0.0848
$M_d$ ( $\text{kg kg}^{-1}$ )	0.000–0.055
$X_L$ (Eq. (5)) ( $\text{m}^3 \text{m}^{-3}$ )	0.238–0.240
$X_{La}$ (Eq. (9)) ( $\text{m}^3 \text{m}^{-3}$ )	0.199
$X_{Le}$ (Eq. (13)) ( $\text{m}^3 \text{m}^{-3}$ )	0.199 a 0.242

into the impregnating solution, due to the superficial adherence and a small capillary imbibition. During vacuum application,  $F_n$  decreased as a result of both sample deformation and drainage of the native solution present in the apples' intercellular spaces, both promoted by the expansion of gases inside the fruit's porous space.

The impregnating solution had a density of  $1077 \text{ kg m}^{-3}$  and concentration of 19.5 °Brix, which guaranteed approximately the same water activity observed for fresh fruit, i.e., 0.988. The apple samples had moisture content of  $87.8 \pm 1.1\%$  and porosity  $0.206 \pm 0.006$ . This value of porosity is in agreement with data reported in the literature for apples, i.e., 0.21 (Karathanos et al., 1996), 0.216 for Red Chef variety (Salvatori et al., 1998), 0.238 for Granny Smith variety (Salvatori et al., 1998), 0.183 for Gala variety (Paes et al., 2007) and 0.205 for Fuji variety (Paes et al., 2008).

Experimental data of relative mass gains ( $GB$  and  $GC$ ), relative deformations ( $\gamma_1$  and  $\gamma$ ), drained mass ( $M_d$ ), and volumetric fraction of samples impregnated by the external solution ( $X_{La}$  and  $X_{Le}$ ) are shown in Table 1. The difference obtained between  $GB$  and  $GC$ , as previously mentioned, is credited to the sample's irreversible deformation (swelling), and estimated as 4.17% of the sample's initial volume. This result corroborates the works of Fito et al. (1996), Salvatori et al. (1998) and Laurindo et al. (2007), who observed an increase in fruit volume at the end of the vacuum impregnation processes. Thus, two limit situations can be considered. In the first, assuming that deformation occurred only in the vacuum step, the value  $\gamma_1$  (which reaches its minimum value) is equal to  $\gamma$ , and if so,  $M_d$  reaches its maximum value, i.e.,  $0.055 \text{ kg kg}^{-1}$ . Moreover, considering the other limit condition, in which there was no drainage of native solution ( $M_d = 0$ ),  $\gamma_1$  reaches its maximum value.

From the coupled HDM-DRP model (Eq. (5)),  $X_L$  could be estimated. As the parameters  $\varepsilon$ ,  $\gamma$ , and  $\gamma_1$  were determined

experimentally, the only unknown parameter was  $P_c$ . However, it is easy to demonstrate by Eq. (5) that the variation of  $P_c$  between limit values (from zero to  $\infty$ ) doesn't change the value of  $X_L$  significantly. Variations of less than 4.0% are accounted for  $P_c$  when its value is the same as  $P_2$ , and the maximum error in calculating  $X_L$  is below 2.0%. Thus, assuming  $P_c = 0$ ,  $X_L$  was calculated for the two limit values of  $\gamma_1$ , as shown in Table 1, obtaining values close to both  $\gamma_1$ .

In comparing the values of the volumetric fraction impregnated by the liquid, the values of  $X_L$  were higher than those estimated for  $X_{Ld}$  (approximately 20%). However,  $X_L$  is in the range found for  $X_{Le}$ , achieving equality between them ( $X_L = X_{Le} = 0.240$ ) for  $\gamma_1 = 0.0440$ , hence  $M_d = 0.052 \text{ kg kg}^{-1}$ . Thus, it would be reasonable to assume that at the end of the vacuum impregnation process, the relative deformation was 4.17% of the sample's initial volume, the drained mass of the native solution was 5.2% of the initial mass, and the fraction of the sample impregnated by the external liquid was 24.0% of the sample's initial volume.

From the homogeneous VOF model, a numerical simulation of the impregnation step (atmospheric pressure recovery) was performed and compared to the experimental data of impregnation of cylindrical apple samples immersed in a sucrose solution. The parameters presented in Table 2 were used in numerical simulation:  $\mu_G$  and  $\mu_L$  were obtained from Lide (2004);  $\varepsilon$  and  $\rho_L$  are experimental values; and  $P_{Co}$  and  $P_L$  are the pressures imposed on the system during the vacuum impregnation process;  $\theta$  was defined considering the fact that the impregnating solution is a wetting fluid; and  $\sigma_{LG}$  was the value for water at 25 °C (Lide, 2004), although it is known that the addition of carbohydrates may increase the value of water surface tension (Ferreira, 2009). The numerical mesh used was 102 divisions in each spatial coordinate.

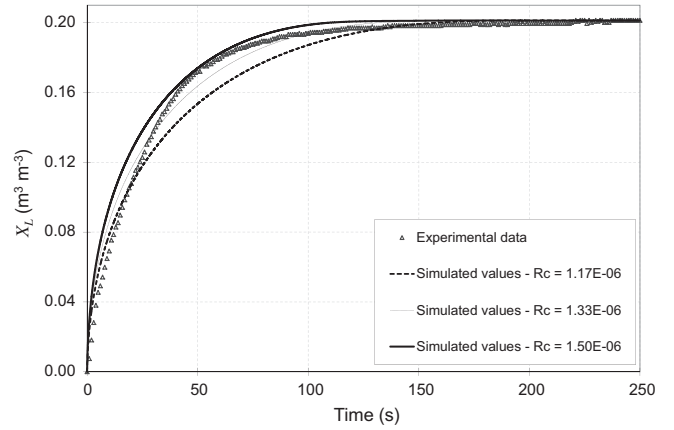
The parameters  $P_c$  and  $K$  should be estimated, respectively, by Eq. (18) and by Kozeny–Carman relation (Eq. (26)), which is adequate for apple tissue as reported by Feng et al. (2004). For its use, the value of  $R_c$  (distribution or average value) must be known. Karathanos et al. (1996) reported values of approximately  $10^{-6} \text{ m}$  for the capillary radius of dried apple, determined by the mercury porosimetry technique. According to Bazhal et al. (2003a,b) the distribution of the capillary radius of Cortland variety, dried at 45 °C, ranges from  $0.008 \cdot 10^{-6}$  to  $36 \cdot 10^{-6} \text{ m}$ , with average capillary radius of  $3.10 \cdot 10^{-6} \text{ m}$ , determined by mercury porosimetry. Thus, different values of  $R_c$  were used in the quest to represent the porous media (apple tissue)

$$K = \frac{(2R_c)^2}{180} \frac{\varepsilon^3}{(1 - \varepsilon)^2} \quad (26)$$

Simulations with the homogeneous VOF model and the experimental data from the impregnation step performed with cylindrical apple samples are shown in Fig. 3. This figure presents the simulation results that led to results in agreement with the experimental data. In this way, an effective apple capillary radius was found for apple impregnation. Table 3 lists the statistical parameters MSE and Bias factor corresponding to the simulations that

**Table 2**  
Parameters employed in VI simulation of cylindrical apple samples.

Parameter	Value	Unit
Numerical grid	$102 \times 102 \times 102$	
$\sigma_{LG}$	$7.2 \times 10^{-2}$	$\text{N m}^{-1}$
$\theta$	1.05	rad
$P_L$	$1.01325 \times 10^5$	Pa
$P_{Co}$	$3.3 \times 10^3$	Pa
$\mu_G$	$1.8 \times 10^{-5}$	Pa s
$\mu_L$	$1.7 \times 10^{-3}$	Pa s
$\rho_L$	$1.077 \times 10^3$	$\text{kg m}^{-3}$
$\varepsilon$	$2.06 \times 10^{-1}$	$\text{m}^3 \text{m}^{-3}$



**Fig. 3.** Experimental data and simulated values of the impregnation step (atmospheric pressure recovery) of cylindrical apple samples.

**Table 3**  
Statistical parameters to compare experimental data and simulated results for VI of cylindrical apple samples.

Capillary radius ( $10^{-6} \text{ m}$ )	MSE	Bias factor
1.00	0.00031	1.07496
1.17	0.00008	1.00628
1.33	0.00004	0.96642
1.50	0.00009	0.93336

agreed with the experimental data. It was verified that the values assigned to the parameter  $R_c$  that allowed for the best representation of the dynamics of vacuum impregnation were in the order of magnitude of micrometers (approximately  $1.33 \mu\text{m}$ ), values that are in the range reported in the literature as characteristic of apple pore radius.

The plant tissue is generally a heterogeneous medium, with micrometer-sized intercellular spaces (Karathanos et al., 1996; Bazhal et al., 2003a,b; Mendoza et al., 2007), but cannot be described by a single value of capillary radius. However, hydrodynamically, the assignment of values for  $R_c$  represents a quantification of the matrix's potential effects (including the capillary pressure) and flow resistance inside a porous medium, i.e., an effective capillary radius.

In Fig. 3, the homogeneous VOF model overestimates the fraction impregnated at the initial instants. This difference is attributed to the boundary condition imposed on the system during the simulation, which considers a constant pressure on the system boundary equal to the maximum pressure ( $P_2$ ). However, by observing Fig. 2, one can see that a period of about 90 s between the beginning of the system pressurization and the pressure stabilization at a maximum value was needed. As a result of this higher impregnation rate at the initial instants, the internal pressure gradient (driving force for impregnation) evolves more rapidly in the simulations, resulting in a greater slowdown, underestimating the impregnation rate from the half of this step on. Besides, one should consider that the possible deformations suffered by the sample are not considered in this model.

## 5. Conclusions

The experimental device proved to be a useful tool in estimating the vacuum impregnation parameters, i.e., relative deformation during the vacuum step, relative deformation at the end of the VI process, and mass of drained solution, normally considered

difficult to determine. Furthermore, the time-resultant force curve as measured by the load cell allows for determining the adequate time required for each VI step. The device also allowed for accurately determining the evolution of the volumetric fraction of the sample, enabling studies of the dynamics of vacuum impregnation as a function of the applied vacuum curve.

The mathematical model proposed for the prediction of the dynamics of VI (liquid penetration into the porous solid) showed excellent predictive ability in three dimensions. In order to obtain more accurate values, one should accurately determine the parameters such as permeability and porous size distribution (influence on the pressure drop and capillary forces). It is still an open problem in Food Engineering, which depends on the use of image-based methods, e.g. tomography, that is expensive and need complex interpretation.

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