# Recent $\mathbf{e}^{+} \mathbf{e}^{-}$Physics 

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## Recent $\mathrm{e}^{+} \mathrm{e}^{-}$Physics

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Abstract
Recent results from $\mathrm{e}^{+} \mathrm{e}^{-}$storage rings are discussed, with emphasis on PETRA experiments: total cross section and search for toponium; check of QED in e, $\mu$ and $\tau$ pair production; jet physics and evidence for gluon bremsstrahlung.

Neuere $e^{+} e^{-}$Physik

## Zusammenfassung

Neuere Ergebnisse von $\mathrm{e}^{+} \mathrm{e}^{-}$Speicherringen werden diskutiert, mit Betonung der PETRA Experimente: totaler Wirkungsquerschnitt und Suche nach Toponium; überprüfung der QED in e-, $\mu$ - und $\tau$-Paarproduktion; Jet-Physik und Evidenz für Gluon-Bremsstrahlung.
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## I. INTRODUCTION

These two lectures will be mainly concerned with the advances in the field of $e^{+} e^{-}$physics since the PETRA storage ring came into oneration some $11 / 2$ years ago. More complete summaries of recent $e^{+} e^{-}$ physics can be found in ref. 1.

To get an impression of the increase in accessible energy let us look at the energy dependence of the normalized total cross section $R$ shown in fig. 1. The respective ranges covered by DORIS/SPEAR, upgraded DORIS and PETRA/PEP are indicated.


Fig. 1 The ratio $R=\sigma_{\text {had }} / \sigma_{\mu \mu}$ of hadronic to $\mu$ pair production as a function of the c.m. energy $W$ ( $\equiv \mathrm{E}_{\mathrm{Cm}}$ ) (adopted from G. Wolfl).

The lectures are organized as follows:
A short introduction on $\mathrm{e}^{+} \mathrm{e}^{-}$storage rings and cross sections (chapter I) and a summary of our present knowledge of the heavy lepton and the bottom quark (II) will set the scene for a discussion of PETRA results: test of QED and universality of leptons (III), hadron production at PETRA and search for the top quark (IV). The second lecture will then concentrate on QCD: first a summary of QCD in quarkonia (V) and finally recent results about QCD effects in high energy jets (VI).

## 1. $\mathrm{e}^{+} \mathrm{e}^{-}$Storage Rings

The history of $\mathrm{e}^{+} \mathrm{e}^{-}$storage rings dates back to 1960 when B . Touschek in Frascati built the first machine of this $\mathrm{kind}^{2}$. The original motivation for $\mathrm{e}^{+} \mathrm{e}^{-}$storage rings was to study QED limits at large energies. Very soon, however, the prime interest turned to hadron pro-' duction ${ }^{3}$ although we see a revival of the QED tests today, in particular with a glance towards weak interference effects.

The annihilation of electrons and positrons into hadrons via the one-photon channel presents several advantages. Contrary to hadron collisions the system has the well defined quantum numbers of the photon. In (symmetric) storage rings the full energy of both beams becomes available in the head-on collisions of the stored particles.

The laboratory frame is identical with the center of mass system (for zero crossing angle and equal energies). This highly facilitates the data analysis but also requires large angular acceptance of the apparatus.

Table 0 gives a survey of $\mathrm{e}^{+} \mathrm{e}^{-}$machines which have been built ${ }^{3,4}$.

TABLE 0 History of electron storage rings

|  | Location | First Beam | Maximum Beam Energy (GeV) |
| :--- | :--- | :---: | :---: |
| AdA | Frascati | 1961 | 0.25 |
| Princeton-Stanford | Stanford | 1962 | 0.55 |
| ACO | Orsay | 1966 | 0.55 |
| VEPP-2 | Novosibirsk | 1966 | 0.55 |
| ADONE | Frascati | 1969 | 1.55 |
| BYPASS | Cambridge (USA) | 1970 | 3.5 |
| VEPP-3 | Novosibirsk | 1970 | 3.5 |
| SPEAR | Stanford | 1972 | 3.9 |
| DORIS | Hamburg | 1974 | 5.0 |
| VEPP-2M | Novosibirsk | 1975 | 0.67 |
| DCI | Orsay | 1976 | 1.8 |
| PETRA | Hamburg | 1978 | 19 |
| PEP | Stanford | $(1979)$ | 18 |
| CESR | Cornell | $(1979)$ | 8 |
| VEPP-4 | Novosibirsk | $(1979)$ | 8 |

PETRA:
PETRA is the first of a new generation of storage rings entering into the 30 GeV c.m. energy region ${ }^{5}$.

The history of PETRA is summarized in table 1. It may be interesting to realize that the submission of the proposal coincides historically with the discovery of $\mathrm{J} / \psi$ in Nov, 1974. It took less than a year until the machine was authorized on October 20, 1975. Again one year later in autumn 1976 decisions were taken on the first round of experiments: PLUTO ${ }^{7}$, MARK $\mathrm{J}^{8}$, CELLO $^{9}$, JADE ${ }^{10}$ and TASSO ${ }^{11}$. In the following one and a half years the construction of PETRA and of the five experiments went ahead. In July 1978 already - less than three years after authorization - an electron beam was stored and accelerated in the machine. In fall 1978 and beqinnina of 1979 first physics runs could be scheduled and experiments took data successfully. For these first physics shifts three detectors had been in-

Table 1 History of PETRA

Proposal submission No'vember 1974
1975
Authorization Oct. 20, 1975

1976
Proposal up - date
Begin of tunnel construction
Call for experimental proposals

Decisions on first round of experiments

Ring tunnel and halls are completed, begin of magnet installation
$\mathrm{e}^{+}$injection through first octant
$\mathrm{e}^{-}$injection through second octant

1978

|  | e- beam storage July 15, <br> acceleration to $>11$ GeV July 30 <br> Luminosity measurements Sept. 15 <br> installation of 3 detectors in interaction regions: <br> MARK J, PLUTO, TASSO <br> first physics runs at $2 \times 8.5 \mathrm{GeV}$ |
| :--- | :--- |
| $1979 \quad$Shut - down, installation of additional 28 cavities + PIA <br> Physics runs at high energies <br> JADE + PIA operational |  |
| 1980 | CELLO installed; additional 28 cavities |

stalled in the machine: PLUTO, MARK J and TASSO. A fourth detector, JADE, came into operation in June 1979; CELLO was moved into the beam in fall 1979.

Fig. 2 shows a bird's view of the DESY site with the storage ring PETRA. PETRA - Positron-Electron-Tandem-Ring-Accelerator - is an $\mathrm{e}^{+} \mathrm{e}^{-}$ storage ring designed for a maximum beam energy of $2 \times 19 \mathrm{GeV}$. Its diameter is about 800 m . In the original configuration all other DESY machines were used to fill the new PETRA storage ring. Two linear accelerators produce electrons and positrons. Originally positrons were preaccelerated to 2.2 GeV in the DESY synchrotron and then stacked into the DORIS storage ring. After accumulation they are reinjected into the


Fig. 2 The $\mathrm{e}^{+} \mathrm{e}^{-}$storage ring PETRA

DESY synchrotron and, like the electrons, accelerated to their final injection energy of 6.5 GeV . At this energy particles are injected into the PETRA tunnel where they are stacked. Typical currents of several mA are then circulating in the PETRA storage ring and can be accelerated to their final energy. Contrary to the original design of DORIS, PETRA is a single ring few bunch machine. Depending on the number of experimental areas which have to be served, the number of bunches per beam varies between one and four ${ }^{12}$.

In March 1979 the number of active cavities in PETRA was increased from 4 to 32 . Thus the accessible energy range went up to $2 \times 16 \mathrm{GeV}$. Another 32 cavities were added in fall 1979 taking the machine close to its design energy.

Since the beginning of 1979 a small positron ring PIA ${ }^{13}$ was installed and tested at the transfer between LINAC and the synchrotron. PIA was designed to accumulate positrons at 400 MeV before injection into the synchrotron. Already in July 1979 PIA was commissioned for routine filling of PETRA and DORIS could be freed for physics runs again.

Some relevant figures on the PETRA performance are summarized in table 2.

The accomplished values for the single beam lifetime, the bunch length, and the single bunch current are close to the design figures. A maximum beam energy of $\simeq 18 \mathrm{GeV}$ could be obtained.

In the light of possible resonance searches it is important to note that the design value for the momentum spread of $6.5 \times 10^{-5} \mathrm{GeV} \times \mathrm{p}^{2}$ ( $p$ in GeV ) was observed. This guarantees an energy resolution of $\sigma=2.3$ MeV at 10 GeV and 21 MeV at 30 GeV c.m. energy.

The best luminosity which was obtained at $2 \times 15 \mathrm{GeV}$ was $3 \times 10^{30}$ $\mathrm{cm}^{-2} \mathrm{~s}^{-1}$. Compared to the designed luminosity of $\approx 10^{32} \mathrm{~cm}^{-2} \mathrm{~s}^{-1}$ this is still a factor of $\sim 30$ too low. To understand this discrenancy in detail let us look at the following expression for the luminosity:

Table 2 PETRA performance (by February 1980)

Parameter Accomplished values Comments

| - Lifetime | 5.1 .8 h | design: 9 h ; improving |
| :---: | :---: | :---: |
| - Bunch length momentum spread energy resolution | $\begin{aligned} & 11.4 \mathrm{~mm}(r . m . s .) \\ & 0.065 \mathrm{MeV} \cdot \mathrm{p}^{2}(r . m . s .) \\ & 0.023 \mathrm{MeV} \cdot \mathrm{E}_{\mathrm{CM}}^{2}(r . m . s .) \end{aligned}$ | no bunch lengthening <br> $\mathrm{p}=$ beam momentum in GeV <br> $\mathrm{E}_{\mathrm{CM}}=\mathrm{c} . \mathrm{m}$. energy in GeV |
| - Single bunch current | $<18 \mathrm{~mA}$ | design: 20 mA |
| - Energy per beam | $\checkmark 18 \mathrm{GeV}$ | design: 19 GeV |
|  | $\begin{aligned} & 3 \times 10^{30} \mathrm{~cm}^{-2} \mathrm{~s}^{-1} \\ & 1 \times 10^{30} \mathrm{~cm}^{-2} \mathrm{~s}^{-1} \end{aligned}$ | $\left\{\begin{array}{l} 2 \times 2 \text { bunches; design } \\ \text { value: } \\ \approx 10^{32} \mathrm{~cm}^{-2} \mathrm{~s}^{-1} \\ (2 \times 4 \text { bunches }) \end{array}\right.$ |
| tune shift $\Delta Q$ | 0.015 ./. 0.025 | design: 0.06 |
| number of bunches $B$ | $2 \times 2$ | design: $2 \times 4$ |
| length of I.S. $\sim \sqrt{\beta_{y}}$ | 15 m | design: 10 m |

$$
L \sim \frac{\left(\Delta Q^{2}\right) \cdot B}{\beta y}
$$

The design value of $\beta_{y}$ is proportional to the square of the distance between the interaction quadrupoles. The product of all ratios between accomplished and design values for the tune shift $\Delta Q$, the number of bunches $B$ and the interaction length (table 2) explains the missing factor.

## Detectors

The first experiments at PETRA were primarily motivated by the possible discovery of new degrees of freedom, in particular the pnoposed new quarks ${ }^{14} \mathrm{~b}$ and t and may be even further quarks and leptons. An appropriate handle on new flavours is the total cross section.

$$
\frac{\sigma_{\text {had }}}{\sigma_{\mu \mu}}=R=3 \times \sum_{q} Q_{q}^{2}
$$

In addition topological quantities like sphericity or thrust may be even more important when looking for new thresholds. Any first round experiment should be in a position to measure these quantities. Therefore, a good detector should have a large acceptance for charged and neutral particles. For the topological studies good energy resolution both for charged and neutral particles is desirable. Two photon processes become increasingly important at larger energies. To discriminate against these processes a good measurement of the total hadronic energy is indispensable. Of course, this also ensures good suppression of beam gas, beam wall and synchrotron radiation background.

I will briefly describe the three experiments which have taken data during the first PETRA run. Fig. 3 shows the detector PLUTO ${ }^{7}$ in its proposed final configuration at PETRA. The inner de-


Fig. 3 The PLUTO detector in its PETRA configuration
(Aachen-Bergen-DESY-Hamburg-Maryland-Siegen-Wuppertal Collaboration)
tector had already been used at DORIS ${ }^{15}$. It consists of a superconducting coil which produces a magnetic field of 1.7 T . The magnetic field volume is filled with a set of cylindrical proportional chambers to detect the tracks of charged particles. Shower counters of the lead-scintillator type cover $93 \%$ of the solid angle. A set of proportional tube chambers outside the iron flux return yoke is used to separate hadrons and muons. The acceptance of such a detector for hadronic events is slightly energy dependent. In the energy range around 5 GeV for instance the event acceptance of PLUTO is of the order of $80 \%$.

In addition to the DORIS configuration mainly two new components have been added: The magnet yoke has been surrounded by additional iron to provide a total iron thickness of 1 m for muon filtering. Large area drift chambers have been mounted outside the new iron house. The complete setup will provide a muon detection over $83 \%$ of $4 \pi$ with a punchthrough and decay probability of less than three percent up to a muon momentum of 5 GeV .

Two forward spectrometers enable electron detection in the angular region between 23 and 250 mrad . Each spectrometer contains a small angle tagger (SAT) covering the angular range up to 68 mrad . It consists of a fine segmented array of lead glass blocks and two sets of proportional chambers. The remaining angular range is covered by the large angle tagger (LAT) which uses a lead scintillator sandwich preceeded by a layer of proportional tubes. The r.m.s. energy resolution in the SAT and the LAT is $8.4 \% / \sqrt{E}$ and $11 \% / \sqrt{E}$, respectively. The forward spectrometers are important mainly for two reasons. They extend the range for Bhabha scattering down to 23 mrad which is particularly needed for monitor purposes and they serve as tagging devices for two photon reactions.

Fig. 4 shows the MARK $J$ detector ${ }^{8}$. It was built for the dedicated purpose of measuring weak-electromagnetic interference through $\mu$ pair production at high energies ${ }^{16,17}$. The whole apparatus is, therefore, rotatable in $\theta$ and $\phi$. Essentially the setup consists of a central electromagnetic shower detector ( $\sigma / E=12 \% / \sqrt{E}$ ) surrounded by a hadron calorimeter. Several layers of track chambers are inserted between these two calorimeters. For muon detection the hadron calorimeter is surrounded by additional iron


Fig. 4 The MARK $J$ detector (Aachen-DESY-LAPP-MIT-NIKHEF-Peking collaboration).
which is covered by sets of multilayer drift chambers. For momentum analysis of the muons the iron can be magnetized. The endcaps of the detector were installed in March 1979.

Fig. 5 shows a sideview of the TASSO detector ${ }^{11}$. Until January ${ }^{1} 79$ only the central detector consisting of a drift chamber, the time-offlight system, the magnet and the top and bottom $\mu$ counters were installed. Some lead-scintillator shower counters covering part of the solid angle were added in the course of the year. The data I will report here were essentially obtained in the magnetic detector. A warm coil provides a solenoidal field of .5 Tesla. The field volume of 4.5 m length and 2.7 m diameter is filled with a large cylindrical drift chamber with 15 sense wire plances, 9 radial and 6 with a stereo angle of $\pm 4^{0}$

(TASSO)

Fig. 5 The TASSO detector (Aachen-Bonn-DESY-Hamburg-IC London-0xford-Rutherford-Weizman-Wisconsin Collaboration).
to determine the z-direction. A single wire resolution of 280 microns (rms) has been reached which yields a momentum resolution $\Delta p / p \simeq 2 \% \times p$ ( $r m s$ ), $p$ in GeV. A 4-gap proportional chamber aids the pattern recognition and $z$ reconstruction.

The JADE detector shown in fig. 6 started data taking in June 1979. Its warm coil, 3.5 m long, 2 m in diameter produces a field of 0.5 T . It is filled with a novel type of high pressure drift chamber. In addition to the momentum vector $48 \mathrm{dE} / \mathrm{dx}$ samples are measured in this 'jet chamber'. For electromagnetic shower detection the coil is surrounded by an array of about 2700 lead glass blocks ( $12.5 x_{0}$ ) covering $90 \%$ of the solid angle. TOF counters inside the coil and a $\mu$ detection array of loaded concrete interleaved with drift chambers complete the setup.

The CELLO detector (fig.7) was moved into the beam in fall 1979. With a thin superconducting coil ( $0.5 x_{0}$ ) and a full coverage


Fig. 6 The JADE detector (DESY-Hamburg-Heide1berg-Lancaster-Manchester Rutherford-Tokyo collaboration).
( $97 \%$ solid angle) of liquid argon shower counters it has a highly advanced technology. Since no physics results are available yet, I will not describe this detector in detail.

A very short summary of the physics abilities of the five detectors is given in table 3. Most detectors (except MARK J) provide charged particle detection in large solenoidal magnetic volumes over 80 to $90 \%$ of the solid angle. The typical momentum resolution at 5 GeV is of the order of $5 \%$ for the drift chamber detectors whereas it is only $15 \%$ for the proportional chamber detector PLUTO. Myon identification over a large solid angle is available in all experiments. The same is true for electron and


Fig. 7 The CELLO detector (DESY-Karlsruhe-München-Orsay-Paris-Saclay collaboration).
photon detection. However, the method is quite different for the various detectors as indicated in table 3. In the last column I have listed a few items which are special to the different devices.

Table 3 PETRA detectors

|  | Main Physics Goals | Charged Hadrons $\sigma_{p} / p$ at 5 GeV | Electrons | Special Items |
| :---: | :---: | :---: | :---: | :---: |
| PLUTO | $\sigma_{\text {tot }}$ | 15\% | lead-scintillator | y $y$ taggina <br> $25 . / .250 \mathrm{mrad}$ |
| MARK J | $\mu$ pairs | hadron calorimetry | lead-scintillator + tubes | rotatable |
| TASS0 | jets + hadron <br> identification | 4-6\% | 1 iquid argon | full C-identification in $2 \times 1.5 \mathrm{sr}$ |
| JADE | jets + leptons | 3-5\% | lead glass | "jet chamber", <br> $48 \mathrm{dE} / \mathrm{dx}$ samples |
| CELLO | leptons + photons | $3-5 \%$ | liquid argon | thin superconducting coil $0.5 X_{0}$ |

## 2. Cross Sections

In this section I want to give a short introduction to the main processes encountered in $\mathrm{e}^{+} \mathrm{e}^{-}$physics. The expected cross section will be estimated to provide a feeling for the rates we are dealing with.
a) u-Pair Production

The $\mu$-pair production

$$
\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mu^{+} \mu^{-}
$$

is a kind of a pilot reaction in $e^{+} e^{-}$physics since it is represented by the simple graph


The total cross section for this reaction is given by the formula

$$
\begin{aligned}
\sigma_{\mu \mu}=\frac{4 \pi \alpha^{2}}{3 \mathrm{E}_{\mathrm{cm}}^{2}} \simeq \frac{21.7 \mathrm{nb}}{\mathrm{E}_{\mathrm{b}}^{2}\left(\mathrm{GeV}^{2}\right)} \quad \begin{array}{l}
\text { (spin } 1 / 2, \text { pointlike, } \mathrm{E}_{\mathrm{CM}} \ngtr>\mathrm{m}_{\mu}, \\
\text { lowest order) } \\
\mathrm{E}_{\mathrm{cm}} / 2=\mathrm{E}_{\mathrm{b}}=\text { beam energy }
\end{array}
\end{aligned}
$$

Cross sections in $e^{+} e^{-}$reactions will mostly be given in terms of $\sigma_{\mu \mu}$. Let us, therefore, calculate the $\mu \mu$ rates to get a feeling of the number of events one expects in $\mathrm{e}^{+} \mathrm{e}^{-}$physics. Assume an average luminosity of $3 \cdot 10^{29} \mathrm{~s}^{-1} \mathrm{~cm}^{-2}$ at $E_{c m}=5 \mathrm{GeV}$ varying like $E_{b}^{2}$. Then the energy dependence cancels out in the product $L \cdot \sigma_{\mu \mu}$ and the expected average mate will be

$$
N_{\mu \mu}=L \cdot \sigma_{\mu \mu} \approx 10^{-3} \mathrm{~s}^{-1} \approx 90 \mathrm{~d}^{-1}
$$

b) Bhabha_Scattering

Another important QED cross section is the Bhabha scattering

$$
e^{+} e^{-} \rightarrow e^{+} e^{-}
$$

which is represented by the two graphs


Bhabha scattering is used as a monitor reaction in $\mathrm{e}^{+} \mathrm{e}^{-}$collisions because it gives a large calculable cross section at small angles where the validity of QED is proven (low momentum transfer).

## 3. Hadron Production at Low Energies

The most important cross section in $\mathrm{e}^{+} \mathrm{e}^{-}$physics is, however, hadron production via the one-photon-channel:


In the quark-parton model this process is simply described by the sum over all quark pair cross sections. It is, therefore, related to $\sigma_{\mu \mu}$ by the formula (assuming pointlike spin $1 / 2$ massless coloured quarks)

$$
R=\frac{\sigma_{h a d}}{\sigma_{\mu \mu}}=3 \sum_{q} Q_{q}^{2} \quad q \text { = quark flavours }
$$

Thus $R$ is just 3times the sum over all quark charges squared where the sum runs over all quark flavours and the factor 3 takes care of the 3 colours. The expected values for $R$ are summarized in table 4 . This table also contains the expectation for $R$ if we include OCD corrections in first order ${ }^{18}$.

Near to a new flavour threshold bumps and peaks appear in the cross section. In addition also new leptons show up by their hadronic decay modes. Like in the case of $c \bar{c}$ and $\tau^{+} \tau^{-}$production lepton and quark thresholds may (accidentally?) overlap. In the following I will
first talk about the asymptotic behaviour of the total cross section and then come back to the threshold region.

Table 4 Theoretical predictions for $R=\sigma_{\text {had }} / \sigma_{\mu \mu}$

| Quark q | Charge $Q_{q}$ | $\mathrm{R}_{\mathrm{QPM}}=3 \Sigma \mathrm{Q}_{\mathrm{q}}^{2}$ | $\mathrm{R}_{\mathrm{QCD}}{ }^{+}$ |
| :---: | :---: | :---: | :---: |
| u | 2/3 |  |  |
| d | - 1/3 | 2 | $\sim 2.3\left(\mathrm{E}_{\mathrm{cm}}=3.6 \mathrm{GeV}\right)$ |
| s | - 1/3 |  |  |
| c | 2/3 | $31 / 3$ | $\sim 3.9\left(\mathrm{E}_{\mathrm{cm}}=5.0 \mathrm{GeV}\right)$ |

${ }^{+}$Gluonic corrections in first order QCD:


Measurement of $\sigma_{\text {had }}$ below 5 GeV
The total hadronic cross section in $e^{+} e^{-}$reactions is measured according to

$$
\sigma_{\text {had }}=\frac{N}{\varepsilon \cdot \int L \mathrm{dt}}
$$

where $N$ is the number of events seen in the detector. $\varepsilon$ is the acceptance
of the detector and $\int L \mathrm{dt}$ is the integrated luminosity.

Fig. 8 shows the results of the total cross section measurements


Fig. 8 Measurements of $R$ as a function of energy
a) SLAC-LBL group 21
b) DASP group 22
c) DELCO ${ }^{\text {group }}{ }^{23}$ (no radiative corrections)

Adopted from G. Feldman ${ }^{20}$
of the PLUTO collaboration ${ }^{19}$ in terms of $R=\sigma_{\text {had }} / \sigma_{\mu \mu}$. The systematic error of $\pm 15 \%$ is indicated in the figure. For comparison ${ }^{20}$ also the results of three other experiments are shown: SLAC-LBL ${ }^{21}$, DASP ${ }^{22}$ and DELCO ${ }^{23}$. Below charm threshold around 3.5 GeV all data agree remarkably well. Also far above threshold in the asymptotic region around 5 GeV there is good agreement between all four experiments.

How well do these 'asymptotic' values above and bellow charm threshold reproduce the theoretical predictions? All measurements are figher than the simple quark-parton prediction. However, they agree well with the expectation of four quark flavours, heavy-lepton production (subtracted in fig. 8) and gluonic corrections. Since, however, both the gluonic corrections and the systematic errors are of the same leve1 of 10 to $15 \%$ we cannot draw any definite conclusions about QCD contributions in the total cross section.

In the resonance region near charm threshold there are considerable differences between all four experiments, in width, height and also position of the resonances. All data agree about the dip in the cross section around 4.2 GeV which shows that charm production drops down to a very low level between the resonances even above threshold.

## Charm

Since the discovery of the $J / \psi$ resonance ${ }^{6}$ in 1974 enormous progress has been achieved in the study of charmed particles and charmonium ${ }^{24}$. During the past year, however, the interest at DESY has rather moved to the higher energy region. Therefore, I will only give a very short summary of the situation of charm and charmonium in this lecture.

Our experimental knowledge on charm is schematically summarized in fig. 9. (Baryons are not included. Evidence for charmed baryon production in $\mathrm{e}^{+} \mathrm{e}^{-}$annihilation was reported recently $\mathrm{y}^{25}$.) The odd C -parity ${ }^{3} \mathrm{~S}$ state $\psi^{\prime}$; and the ${ }^{3} D$ state $\psi^{\prime \prime}(3.77)$ show up in the total $e^{+} e^{-}$cross section, the latter due to its mixing with the nearby ${ }^{3}$ S state. The existence of the $\psi^{\prime}(4.16)$ is somewhat controversial ${ }^{20}$. Quarkonium models would like it to be
$a^{3} D_{\text {state }}{ }^{26}$.
The ${ }^{3} \mathrm{p}$ states are established, although their quantum number assignment is not rigorously proven ${ }^{24}$.

The ${ }^{1}$ S states were searched for in radiative decays of $J / \psi$ and $\psi^{\prime}$. Evidence for an $X(2820)$ state based on a 5 standard deviation signal in $J / \psi$ decays was reported by the DASP group ${ }^{27}$. Less significant signals $x(3.45)$ and $\chi(3.59$ or 3.18$)$ were seen by different groups in the cascade decays of $\psi^{\prime} \rightarrow \gamma \gamma \mathrm{J} / \psi^{28}$. None of these states was confirmed in recent results from the crystal-ball experiment ${ }^{29}$. In particular the $\times(2820)$ was not seen, although resolution and sensitivity were superior to the DASP experiment. Instead, a new signal U(2976) showed up with 5 standard deviations in the inclusive $\gamma$ spectrum of $\psi^{1}$ decays. It should be mentioned that this new signal fits much better into the charmonium model than the $\mathrm{X}(2820)$.

The upper part of fig. 9 indicates, how the production of $D, D^{*}$, F and $\mathrm{F}^{*}$ mesons comes in with increasing energy: DD at the $\psi^{\prime \prime}(3.77)$, $D^{+} \bar{D}$ and $D^{*} D^{*}$ at $\psi^{\prime}(4.03)^{24,30}$, FF at $\psi^{\prime}(4.15)$ and $F^{*} \bar{F}$ and/or $F^{*} F^{*}$ at $\psi^{\prime}(4.42)^{31}$. The evidence for $\bar{F} \bar{F}$ production at the $\psi^{\prime}(4.16)$ is suggestive but not compelling, since it is only based on the inclusive $n$ signal of the DASP group. No clear distinction between $F^{*} F^{*}$ and $F^{*}{ }^{-}$prof duction at the $\psi^{\prime}(4.42)$ can be made.


Fig. 9 Schematic summary of the experimental situation of CHARM

## II. THIRD GENERATION OF QUARKS AND LEPTONS

Since the discovery of a new lepton $\tau$ in 1975 and of a new quark $b$ in 1977 much work has been devoted to a study of the third generation of quarks and leptons:

| Generation | 1 | 2 | 3 |
| :--- | :--- | :--- | :--- |
| Quarks | $u$ | $c$ | $t$ |
|  | $d$ | $s$ | $b$ |
| Leptons | $\nu_{e}$ | $\nu_{\mu}$ | $\nu_{\tau}$ |
|  | $e^{-}$ | $\mu^{-}$ | $\tau^{-}$ |

In this chapter I will, therefore, briefly summarize the achievements which have been obtained in the study of heavy leptons and Ypsilon particles during the last years.

## 1. The Heavy Lepton $\tau$

Table 5 gives a summary ${ }^{32}$ of the experimental knowledge on $\tau{ }^{33}$, which is now clearly established as a new heavy lepton with the mass ${ }^{34}$ $M_{\tau}=1.782{ }_{-}^{+.003} \mathrm{GeV}$. All properties of this new particle are as expected for a sequential left-handed lepton with conventional weak coupling to its own massless neutrino. It should be noted, however, that the orthoelectron hypothesis (the neutrino being of the $\nu_{e}$ type) as well as pure $V$ or pure $A$ coupling cannot firmly be excluded. Direct evidence for the $\tau$ neutrino is still missing.

## 2. The Bottom Quark b

Fig. 10 shows the status of the $T$ particles at the Tokyo Conference in 1978, one year after the discovery by the Columbia-Fermilab-Stony Brook collaboration ${ }^{40}$. Two distinct narrow resonances ( $T$ and $T^{\prime}$ ) had

Table 5 Summary of $\tau$ parameters. World averages or best values are given (ref. 32 with further references)

| Parameter | Units | Prediction ${ }^{38}$ | Exp. Value | Experiments |
| :---: | :---: | :---: | :---: | :---: |
| Mass | $\mathrm{GeV} / \mathrm{c}^{2}$ | - | $1.782^{+} .003$ | PLUTO, SLACL-LBL, DASP DESY-Heidelbera, DELCO ${ }^{34}$ |
| Neutrino mass | $\mathrm{MeV} / \mathrm{c}^{2}$ | 0 | $\begin{aligned} & <250 \\ & (95 \% \text { C.L. }) \end{aligned}$ | SLAC-LBL, PLUTO, DELCO ${ }^{35}$ |
| Spin |  | 1/2 | 1/2 | PLUTO, DASP, DELCO ${ }^{35}$, DESY-Heidelbera |
| Lifetime | $10^{-13} \mathrm{~s}$ | 2.8 | $\begin{array}{ll} <14 \\ (95 \% & \text { C.L. })^{+} \end{array}$ | PLUTO, SLAC-LBL, DELCO, TASSO ${ }^{37}$ |
| Michel parameter $\rho$ |  | $0.75{ }^{\text {++ }}$ | $0.72 \pm .15$ | DELCO ${ }^{35}$ |
| Leptonic branching ratios |  |  |  |  |
| $\begin{aligned} & \left.B_{e}: \tau^{-}+\nu_{\tau} e^{-} \bar{\nu}_{e}\right)_{B_{e}}=B_{\mu} \\ & \left.B_{\mu}: \tau^{-}+\nu_{\tau} \mu^{-} \bar{\nu}_{\mu}\right) \end{aligned}$ | . $973 \%$ | 16.8 | $\left\{\begin{array}{l}17.1 \pm 1.0 \\ 17.5 \pm 1.2^{+++}\end{array}\right.$ | $\begin{aligned} & \text { SLAC-LBL, PLUT0, Lead-Glass-lyall } \\ & \text { Ironball, MPPS, DASP, DELCO } \end{aligned}$ |
| $B_{\mu} / B_{e}$ |  | $:^{97}$ |  | SLAC-LBL, PLUTO, DASP |
| Semihadronic BR |  |  |  |  |
| $\tau^{-} \rightarrow \nu_{\tau} \pi^{-}$ | \% | 9.5 | $9.8 \pm 1.4$ | PLUTO, SLAC-LBL, DELCO, MARKII |
| $\tau^{-} \rightarrow \nu_{\tau} \rho^{-}$ | \% | 25.3 | $21.5 \pm 3.4$ | DASP, MARKII |
| $\tau^{-} \rightarrow \nu_{\tau} A_{1}^{-}$ | \% | 8.1 | $10.8 \pm 3.4$ | ' PLUTO, SLAC-LBL |
| $\tau^{-}+\nu_{\tau}+\geq 3$ prongs | \% | $\sim 26$ | $\begin{aligned} & 32 \pm 4 \\ & 30.6 \pm 3.0^{+++} \end{aligned}$ | PLUTO, DASP, DELCO |
| $\tau^{-}+K^{-} \ldots / \tau^{-}+\pi^{-} \ldots$ |  | . 05 | .07士..06 | DASP |
| $\tau^{\sim} \rightarrow \nu_{\tau} \cdot K^{-}$ | \% | $1.0 \pm 0.2$ | $1.26 \pm 0.5$ | MARK II |

${ }^{+}$In conjunction with upper limits on $v_{\mu} \rightarrow \tau$ production ${ }^{39}$ this value excludes $v_{\tau} \equiv v_{\mu}$. Similar limits on $\nu_{\mathrm{e}} \rightarrow \tau$ do not exists to exclude $\nu_{\tau} \equiv \nu_{e}$ (ortho electron hypothesis).
${ }^{++} V-A$ prediction. $\rho(V+A)=0$ is excluded, $\rho(V$ or $A)=0.375$ desfavoured by the data ${ }^{35}$.
${ }^{+++}$From ref. 36.
then clearly been established at the upgraded DORIS ${ }^{41-45}$. Table 6 and 7 give a summary of the $T$ and $T^{\prime}$ parameters ${ }^{47}$.

From these data two main questions remained to be answered experimentally:

- The total width was only known to be within the limits $25 \mathrm{keV}<\Gamma_{\text {tot }}<$ 8 MeV , where the upper 1 imit is given by the energy soread of the colliding beams.
- The FNAL data suggested a third resonance. In particular, taking the


Fig. 10 Columbia-Fermilab-Stony Brook and DESY-Hamburg-HeidelbergMünchen Collaborations: The $T$ family in hadronic and $\mathrm{e}^{+} \mathrm{e}^{-}$ reactions.
mass difference of $558 \pm 10 \mathrm{MeV}$ between the $T$ and $T$ ' they predicted a
mass of $M\left(T^{\prime \prime}\right)=10.41 \pm 0.05 \mathrm{GeV} 48$.
New data relevant to these two problems have become available in the last few months.

Table 6 Results on $T(9.46)$

|  | $M(\mathrm{~T})$ <br> $(\mathrm{GeV})$ | Exp. Width <br> $(\mathrm{MeV})$ | $\Gamma_{\mathrm{ee}}(\mathrm{T})$ <br> $(\mathrm{keV})$ | $\mathrm{B}_{\mu \mu}$ <br> $(\%)$ | $\Gamma_{\text {tot }}$ <br> $(\mathrm{keV})$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| PLUT0 | $9.46 \pm 0.01$ | $17.2 \pm 0.2$ | $1.33 \pm 0.14$ | $2.2 \pm 2.0$ | $>23(2 \mathrm{~s} . \mathrm{d})$. |
| DASP2 | $9.46 \pm 0.01$ | 18 | $\pm 2$ | $1.5 \pm 0.4$ | $2.5 \pm 2.1$ |
| NaJ-L.G. ${ }^{46}$ | $9.46 \pm 0.01$ | 17 | $\pm 2$ | $1.04 \pm 0.28$ | $1.0_{-1.0}^{+3.4}$ |

$$
\text { Mean Values: } \quad \begin{aligned}
& \Gamma=(1.32 \pm 0.09) \mathrm{keV} * \\
& \mathrm{~B}_{\mu \mu}=(2.3 \pm 1.4) \% \\
& \\
& \Gamma_{\text {tot }}>25 \mathrm{keV}(95 \% \mathrm{c} .1 .)
\end{aligned}
$$

Table 7 Results on $T^{\prime}(10.02)$

|  | $M\left(T^{\prime}\right)$ <br> $(\mathrm{GeV})$ | $M\left(\mathrm{~T}^{\prime}\right)-M(T)$ <br> $(\mathrm{MeV})$ | $\Gamma_{\mathrm{ee}}\left(\mathrm{T}^{\prime}\right)$ <br> $(\mathrm{keV})$ | $\Gamma_{\mathrm{ee}}\left(\mathrm{T}^{\prime}\right)$ <br> $\mathrm{ree}^{\left(T^{\prime}\right)}$ |
| :--- | :---: | :---: | :---: | :---: |
| DASP2 | $10.012 \pm 0.020$ | $555 \pm 11$ | $0.35 \pm 0.14$ | $4.3 \pm 1.5$ |
| NaJ-L.G. | $10.02 \pm 0.020$ | $560 \pm 10$ | $0.32 \pm 0.13$ | $3.3 \pm 0.9$ |
| Mean | $10.016 \pm 0.020$ | $558 \pm 10$ | $0.33 \pm 0.10$ | $3.6 \pm 0.6$ |

* The quadratic treatment of errors applied here may be inadequate since errors are largely systematic. A linear treatment yields $\Gamma_{\text {ee }}=$ (1.3 $\pm 0.3$ ) keV.

Total width
Only recently a refined analysis of the $e^{+} e^{-}$channel in the $T$ region was completed by the PLUTO group ${ }^{49}$. The data on resonance displayed in fig. 11 show a deviation from the QED expectation at large angles, which can only be attributed to the decay $T \rightarrow e^{+} e^{-}$. The data are well described assuming a branching ratio

$$
\mathrm{B}_{\mathrm{ee}}=(5.1 \pm 3.0) \% \text {. }
$$

If one combines this with the parameters $B_{\mu \mu}$ and $\Gamma_{\text {ee }}$ given in table 6 (assuming again $\mu$-e universality) one obtains

$$
\Gamma_{\text {tot }}=45_{-14}^{+38} \mathrm{keV}
$$

The DASP2 group ${ }^{50}$ could increase their statistics in a recent data taking on the $T$ resonance. Combining all their statistics available they obtain a value of

$$
\Gamma_{\text {tot }}=47 \begin{aligned}
& +37 \\
& -15
\end{aligned}
$$

in agreement with the PLUTO data.

T"_at CESR
The christmas mail last year contained the announcement of the discovery of the third resonance $\mathrm{T}^{\prime \prime}$ in $\mathrm{e}^{+} \mathrm{e}^{-}$annihilations at CESR. Fig. 12 shows the measurement of the CLEO group. The results are summarized in table 8.


Fig. 11 PLUTO: Differential cross section for the reaction $e^{+} e^{-}+e^{+} e^{-}$ on the $T$ resonance. The full curve shows the pure OED prediction, the dashed one includes the effect of $T$ decays.


Fig. 12 CLEO: Cross section in the region of the three $T$ resonances (without radiative corrections). Systematic uncertainties are $\pm 20 \%$ on the cross section and 30 MeV on the energy calibration.

Table 8 Level spacing and leptonic width of the $\mathrm{T}^{\prime \prime}$

|  | $\frac{\Delta M\left(T-T^{\prime \prime}\right)}{M e V}$ | $\Gamma_{e e^{(T \prime)} / \Gamma_{e e}(T)}$ |
| :---: | :---: | :---: |
| FNAL 48 | $950 \pm 50$ |  |
| CLEO ${ }^{51}$ | $891.1 \pm 0.7 \pm 3.0$ | $0.35 \pm 0.04 \pm 0.03$ |
| CUSB ${ }^{5}{ }^{5}$ | $889 \pm 1.0 \pm 5.0$ | $0.32 \pm 0.04$ |

The existence of three narrow resonances and their leptonic width confirm theoretical predictions based on potential models ${ }^{53,54}$. Looking at fig. 13 one would expect the next resonance to be above threshold, which implies a much larger width. In fact some preliminary indications of a (probably broad) state at $M \simeq 10.55 \mathrm{GeV}$ were recently reported by the two CESR groups ${ }^{55}$


Fig. 13 Number of bound states in the bottonium system (Quigg and Rosner 53)

## III. LEPTONIC PROCESSES

1. Test of QED

I will first concentrate on the QED process

$$
e^{+} e^{-} \rightarrow e^{+} e^{--}
$$

The cross section for Bhabha scattering is given by


$$
\frac{d \sigma}{d \Omega}=\frac{\alpha^{2}}{2 s}\left\{\frac{q^{4}+s^{2}}{q^{4}}+\frac{2 q^{4}}{q^{2} s}+\frac{q^{1^{4}}+q^{4}}{s^{2}}\right\}, \quad \begin{aligned}
& q^{2}=-s \sin ^{2} \theta / 2 \\
& q^{\prime 2}=-s \cos ^{2} \theta / 2
\end{aligned}
$$

QED Check
To quantify possible deviations from QED we introduce formfactors in the differential cross section for $\mathrm{e}^{+} \mathrm{e}^{-}$scattering ${ }^{56}$.

$$
\begin{aligned}
& \frac{d \sigma}{d \Omega}=\frac{\alpha^{2}}{2 s}\left\{\frac{q^{\prime 4}+s^{2}}{q^{4}}\left|F\left(q^{2}\right)\right|^{2}+\frac{2 q^{1^{4}}}{q^{2} s} \operatorname{Re}\left(F\left(q^{2}\right) F^{+}\left(q^{\prime 2}\right)\right)+\frac{q^{4}+q^{\prime 4}}{s^{2}}\left|F\left(q^{\prime 2}\right)\right|^{2}\right\} \\
& F\left(q^{2}\right)=1 \mp q^{2} /\left(q^{2}-\Lambda_{ \pm}^{2}\right)
\end{aligned}
$$

(Timelike and spacelike formfactors are assumed to be equal.)

There are different ways of introducing deviations from QED. Accordingly the exact defintion and physical interpretation of $\Lambda$ is model dependent.

A11 four experiments ${ }^{57-60}$ at PETRA have made an attempt to determine the cut-off parameters from their Bhabha scattering data. Table 9 summarizes the results. For comparison also the best values previously known from SLAC ${ }^{61}$ are given. The values were obtained fitting the experimental data with the above parametrization of the cross section and taking into account radiative corrections ${ }^{62}$. The angular distribution of the JADE data (ref. 60) is shown in fig. 14, together with the reaction $e^{+} e^{-} \rightarrow \gamma \gamma$. All data agree with QED predictions.

In conclusion we can say that QED holds up to the hiqhest PETRA energies. Thus the cut-off parameter can be pushed as high as about loo GeV.


Fig. 14 JADE: angular distribution of the reactions $e^{+} e^{--} \rightarrow e^{+} e^{-}, r y$. The solid curve is the QED prediction.

Table 9 QED cut-off parameters for the reaction $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{e}^{+} \mathrm{e}^{-}$assuming equal timelike and spacelike formfactors
$F\left(q^{2}\right)=1 \mp q^{2} /\left(q^{2}-\Lambda_{ \pm}^{2}\right)^{*}$
$95 \%$ C.L. lower limits for $\Lambda_{+}$and $\Lambda_{-}$are given.

| Experiment | $\Lambda_{+}(\mathrm{GeV})$ | $\Lambda_{-}(\mathrm{GeV})$ | Ref. |
| :--- | :--- | :--- | :--- |
| SLAC 1974 (rev. 1978) | 22.6 | 16.1 | 61 |
|  | $1975^{*}$ | 15 | 19 |
| 1976 | 33.8 | 38.0 | 61 |
| MARK J | 74 | 95 | 57 |
| PLUT0* | $79^{* *}$ | $230^{* * *}$ | 58 |
| JADE | 87 | 104 | 60 |
| TASSO (preTiminary) | 43 | 49 | 59 |

* In the limit $\Lambda^{2} \gg q^{2}$ this parametrization equals

$$
F\left(q^{2}\right)=1 \pm q^{2} / \Lambda_{ \pm}^{2}(a) \quad \text { or } \quad F\left(q^{2}\right)=1 /\left(1 \mp q^{2} / \Lambda_{ \pm}^{2}\right) \quad \text { (b) }
$$

These parametrizations have been applied to the data of the MARK I (a) and PLUTO (b) detector.
** These values include $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mu^{+} \mu^{-}$data at 9.4 GeV . Their effect on $\Lambda$ is small, however.

## 2. Pointlike Structure of Leptons

The above results can be interpreted as a test of the pointlike structure of the electron. The values of table 9 set an upper limit on its charge radius

$$
r_{e} \lesssim \hbar c / \Lambda=2 \cdot 10^{-16} \mathrm{~cm} .
$$

Similarly, the pointlike structure of the other two leptons $\mu$ and $\tau$ can
be tested in the processes

$$
\begin{aligned}
& e^{+} e^{-} \rightarrow \mu^{+} \mu^{-} \\
& e^{+} e^{-} \rightarrow \tau^{+} \tau^{-}
\end{aligned}
$$

by introducing formfactors ${\underset{\tau}{\mu}}$, in the total cross section.

$$
\sigma_{\tau \tau}=\underset{\tau \tau}{\sigma_{\mu \mu}}(Q E D) \cdot \mid \underset{\tau}{\left|F_{\mu}(s)\right|^{2}}
$$

Figs. 15 and 16 show the energy dependence of these cross sections measured at PETRA. The data agree perfectly well with the QED prediction indicated in the figure. To quantify this statement, a formfactor

$$
\mathrm{F}_{\mu}(\mathrm{s})=1 \pm \frac{\mathrm{s}}{\mathrm{~s}-\Lambda_{ \pm}^{2}}
$$

was defined. The upper limits on $\Lambda_{ \pm}$are shown in tables 10 and 11 . They correspond to:

$$
r_{\mu}<3 \cdot 10^{-16} \mathrm{~cm} \quad r_{\tau} \lll 3 \cdot 10^{-16} \mathrm{~cm} .
$$

Table 10 QED cut-off parameters for the reaction $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mu^{+} \mu^{-}$for the formfactor $F(s)=1 \pm s /\left(s-\Lambda_{ \pm}^{2}\right) .95 \%$ C.L. lower 1 limits for $\Lambda_{+}$and $\Lambda_{-}$are given.

| Experiment | $\Lambda_{+}(\mathrm{GeV})$ | $\Lambda_{-}(\mathrm{GeV})$ | Ref. |
| :--- | :---: | :---: | :---: |
| MARK J | 71 | 97 | 57 |

Table 11 QED cut-off parameters for the reaction $e^{+} e^{-} \rightarrow \tau^{+} \tau^{-}$ $\Lambda$ is defined as in table 10.

| Experiment | $\Lambda_{+}(\mathrm{GeV})$ | $\Lambda_{-}(\mathrm{GeV})$ | Ref. |
| :--- | :---: | :---: | :---: |
| MARK J | 47 | 53 | 57 |
| TASS0 | 65 | 74 | 37 |
| PLUT0 (preliminary) | 67 | 74 | 63 |




Fig. 15 MARK J: Test of e $\mu \tau$ universality.
a) the reaction $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mu^{+} \mu^{-}$compared to the QED expectation.
b) the reaction $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \tau^{+} \tau^{\boldsymbol{\prime}}$ compared to QED.


Fig. 16 PLUTO, MARK J, TASSO: Energy dependence of the cross section für $\tau$ pair production. The curve shows the QED prediction.

## IV. HIGH ENERGY HADRON PRODUCTION

1. Total Cross Section

Entering a new region of energy the PLUTO, MARK J, TASSO and JADE groups made an attempt to measure the total cross section ${ }^{64-67}$. It has been emphazised already in the first chapter why this quantity is of particular interest in $e^{+} e^{-}$reactions. Any increase in $R=\sigma_{\text {had }} / \sigma_{\mu \mu}$ would indicate new flavours. To determine $R$ the theoretical value of $\sigma_{\mu \mu}$ is taken while $\sigma_{\text {had }}$ is measured experimentally:

$$
\sigma_{\text {had }}=\frac{N}{\varepsilon \cdot \int L \mathrm{dt}}
$$

The total number of observed hadronic events $N$ has been normalized to the integrated luminosity derived from small angle Bhabha scattering.

The event acceptance $\varepsilon$ is obtained by Monte Carlo studies. Events are generated according to the Feynman-Field parametrization and passed throuqh a realistic model of the detector. Of course, the larger the acceptance the less does $\varepsilon$ depend on details of the model. The typical acceptance of the three detectors is of the order of $80 \%$. The systematic erros of the total cross section measurements are mainly due to acceptance and luminosity uncertainties.

The number of hadronic events $N$ has to be separated from a background of cosmic rays, QED events of the e and $\mu$ type, beam gas, beam losses, synchrotron radiation and so on. This background is about 6 to 7 orders of magnitude higher than the event rate of a few events per hour under the typical PETRA running conditions. The event selection criteria are based on a combination of energy and track requirements. In the PLUTO detector ${ }^{64}$ for example at least 2 charged particles and a total energy deoosition of more than $0.4 \times \mathrm{E}_{\mathrm{CM}}$ was required. Fig. 17 demonstrates the quality of this event selection. It shows that after the energy cut only very little background remains in the sample. - Fig. 18 shows a hadronic event from the PLUTO detector.


Fig. 17 PLUTO: Distribution of the visible energy after all except the energy cut. The different sources of background are indicated in the figure.

## Measurements

The energy range up to 31.6 GeV was scanned in large steps: 13 , $17,22,27.4,27.7,30$ and 31.6 GeV . The values of $R$ are plotted in fig. 19 together with low energy data. Only statistical errors are shown. The systematic error is of the order of $10-15 \%$.

The experiments agree on the measurement of $R$ within their statistical errors. Since there are no obvious correlations between the four experiments, the systematic errors are probably smaller than assumed.

We can draw the following conclusions:

- Since the relative systematic error between different energies are small compared to the statistical error we can exclude an increase as


Fig. 18 PLUTO: first hadronic event seen at PETRA
expected from a new t quark.

- The absolute value of $R$ is well compatible with the expectation of the quark model for 5 flavours ( $u, d, s, c, b$ ):

$$
R=3 \Sigma Q_{q}^{2}=3.7
$$

The agreement is even better, if QCD corrections are included:

$$
R(u d s c b+Q C D) \simeq 4.0
$$



Fig. 19 PETRA: The relative total hadronic cross section $R=\sigma_{\text {had }} / \sigma_{\mu \mu}$ as a function of energy. The expected values for udscb without $(--)$ and with QCD (-) are indicated.
2. Jets in $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow$ hadrons

We have seen that the asymptotic behaviour of $R$ is in good agreement with the simple description of the quark-parton model. Let us, therefore, assume that quark-pair production really governs the process

$$
\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \text { hadrons. }
$$

In this picture the two quarks should fragment to form two back-to-back jets of particles (fig. 20).

What are these jets like? In the quark-parton model jets are described in a phenomenological way by a fragmentation of quarks with limited transverse momentum with respect to the original quark axis. On the other hand QCD tells us that jets are broadened by gluon bremsstrah-


Fig. 20 Definition of quantities used in the jet analysis.
lung in the framework of perturbative $Q C D^{68}$. Since in this process the transverse momentum increases with energy it will eventually win over the quark-parton process once the energy is high enough ${ }^{69}$. (These effects will be discussed quantitatively in chapter VI).)

In 1975 first evidence for a two jet structure was reported at SLAC $^{70}$. At the upgraded DORIS the phenomenon was confirmed by the PLUTO group ${ }^{71}$.

Fig. 21 shows a very clean jetlike event from the PLU'r0 detector. Two


Fig. 21 PLUT0: a non-typical nice jetlike event of $E_{C M}=9.35 \mathrm{GeV}$.
distinct back-to-back bunches of particles are clearly visible. Also the neutral energy of the two jets is clustered and follows the charged energy. At these energies of about 9.4 GeV only few events show a jet structure in such a nice way. At high PETRA energies however the two jet structure gets a prominent feature of the data. Fig. 22 shows an example from the JADE detector.

## Jet Measures

Several quantities have been proposed to measure jets. I will only use two of them here, namely sphericity ${ }^{72}$

$$
s=\frac{3}{2} \min \frac{\Sigma p_{T i}^{2}}{\sum p_{i}^{2}}
$$

and thrust ${ }^{73}$

$$
T=\max \frac{\Sigma\left|p_{L i}\right|}{\Sigma\left|p_{i}\right|}
$$



Fig. 22 JADE: A typical multihadron event detected in the central part of the JADE detector. Trajectories of charged and neutral particles are represented by full and dotted lines, respectively. The energy deposited in the shower counters is shown in MeV.
(This definition is slightly different from the original one, were the sum for $p_{L}$ runs over one hemisphere only.)

Both quantities simultaneously define the jet axis and give a measure for the topological structure of the event. The axis is found in a variational method by either minimizing the sum of the transverse momentum squared $\left(p_{T}^{2}\right)$ or maximizing the sum of the absolute longitudinal momentum component ( $\left|p_{\mathrm{L}}\right|$ ) with respect to a given axis (fig. 20 ). Extreme values of the two quantities for isotropic or ideally jetlike events are summarized in table 12.

Table 12 Values for S and T in extreme topologies


If we assume that $\mathrm{p}_{\mathrm{T}}$ is about constant the quantities <S> or <1-T> will both fall with increasing energy (assuming that the multiplicity is only slowly varying).

## Measurements

Fig. 23 shows the mean longitudinal and transverse momentum of charged particles with respect to the thrust axis as measured in the PLUTO and TASSO group ${ }^{64,66}$. The tendency at lower energies prevails. $p_{\mathrm{L}}$ increases whereas $\mathrm{p}_{\mathrm{T}}$ stays smal1, i.e. the jet structure is getting more and more pronounced.

The energy dependence of (1 - mean thrust) (fig. 24) quantifies this observation. The figure includes data from PLUTO, TASSO and MARK $J$ (ref. 64-66). Whereas the TASSO group uses charged particles only, neutrals are included in the PLUTO measurement at PETRA.

The angular dependence of the thrust axis ${ }^{74}$ is shown in fig. 25 (PLUTO data). It exhibits a $1+\alpha \cos ^{2} \theta$ behaviour, with $\alpha=1.2 \pm 0.3$. This is exactly as expected for a pair production of spin $1 / 2$ objects.

Thus all measurements are in quantitative agreement with the expectations of the quark-parton model, where $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow$ hadrons proceeds though the production of a spin $1 / 2$ quark pair.

PRELIMINARY


Fig. 23 PLUTO and TASSO: mean transverse <p ${ }^{\text {p }}$, and mean longitudinal <pL> momentum as a function of energy. $\left\langle\mathrm{p}_{\mathrm{T}}\right\rangle$ and $\langle\mathrm{pL}\rangle$ are calculated for charged particles with respect to the event thrust axis.


Fig. 24 TASSO, PLUTO and MARK J (preliminary): 1-<T> as a function of energy


Fig. 25 PLUTO (preliminary): angular distribution of the thrust axis
3. Topology of Heavy Quark Decays

We have seen in section 1 that the measurement of the total annihilation cross section is not very sensitive to new quark flavours, since statistical and systematic erros are relatively large. Even for $Q_{Q}=2 / 3$ the increase in $R$ is expected to be of the order of $25 \%$ on $1 y$, thus large statistics is required for clear effects.

There is, however, a topological effect, which may help to detect new heavy quarks. The basic idea is very simple ${ }^{69,75}$. Near threshold, a pair of heavy slow quarks will tend to decay nearly isotropically. Thus, the events will reveal themselves by large values of sphericity
(or small thrust). Since the mean sphericity of the "background" jets decreases, this method will be exceedingly sensitive at higher energies. The mean sphericity will change to

$$
\langle S\rangle=\frac{\left\langle S_{Q}\right\rangle R_{Q}+\left\langle S_{q}\right\rangle R_{q}}{R_{Q}+R_{q}}
$$

where $S_{q, Q}$ and $R_{q, Q}$ are the contributions from the light quarks $q$ and the new heavy quark $Q$. In contrast to $R$, not only the mean value but also the distribution of $S$ may be used. This will increase the sentitivity of the analysis.

Model of weak heavy quark decays
The applicability of this method has been studied by Ali, Körner, Kramer and Willrodt ${ }^{75}$ at DESY. They based their model on the KobayashiMaskawa scheme (KM) ${ }^{76}$. KM assumes three quark doublets with a weak current of the form

$$
J_{\text {hadron }}^{\text {weak }}=(\bar{u} \bar{c} \quad \overline{\mathrm{t}}) \gamma_{\mu}\left(1-\gamma_{5}\right) v\left(\begin{array}{l}
\mathrm{d} \\
\mathrm{~s} \\
\mathrm{~b}
\end{array}\right)
$$

where $u$ is a unitary matrix that describes the mixing within $d, s$ and $b$.
$u=\left\{\begin{array}{ccc}c_{1} & -S_{1} c_{3} & -S_{1} S_{3} \\ S_{1} c_{2} & c_{1} c_{2} c_{3}-S_{2} S_{3} e^{i \delta} & c_{1} c_{2} S_{3}+S_{2} c_{3} e^{i \delta} \\ S_{1} S_{2} & c_{1} S_{2} c_{3}+c_{2} S_{3} e^{i \delta} & c_{1} S_{2} S_{3}-c_{2} c_{3} e^{i \delta}\end{array}\right\}$
where $C_{i}=\cos \theta_{i}, \quad S_{i}=\sin \theta_{i}$.
$u$ is an extension of the Cabibbo mixing matrix in the GIM scheme. $\theta_{i}$ are the Euler angles of a 3 dimensional rotation, $\delta$ is a free phase. Phenomenological limits on their values are ${ }^{77}$ :

$$
\begin{aligned}
\theta_{1} & \simeq 13^{0} \quad \text { Cabibbo angle } \\
\theta_{2}, \theta_{3} & \leq 30^{\circ} \\
\theta_{2} \cdot \theta_{3} & \neq 0 \\
\delta & >0.3^{0} \quad \text { CP violation phase }
\end{aligned}
$$

If we assume now that $\theta_{\mathfrak{i}}$ are small but non zero, all decays involving $\sin \theta_{\mathfrak{i}}$ will be suppressed. The situation can be visualized in the following scheme ${ }^{78}$ :


Fig. 26 illustrates the application of KM to the production of a pair of heavy $b$ quarks. The $b$ quarks fragment down to form a $B$ meson. $b$ in $B$ decays weakly into $c$ which again fragments to form a $D$ meson.


Fig. 26 A model for the production of heavy quark pairs in $\mathrm{e}^{+} \mathrm{e}^{-}$ annihilation.

The assumptions of the model are

- $t$, b, c follow the dominant weak decay chain of the KM scheme with $30 \%$ leptonic branching ratio.
- The fragmentation of the heavy quark $b$ is given by $D_{b}{ }^{B}(z) \sim z$, the same for $t$.
- The charm quark fragments like $D_{C} D_{(z)} \sim(1-z)$.
- Light quarks are treated à la Feynman-Field ${ }^{79}$.

In some of the model calculations used later a constant fragmentation function is used for $c, b$ and $t$. The results depend very little on this choice ${ }^{75}$.

The reason for this weak dependence on the model can be easily understood'e.g. for thrust: Since momenta enter linearly, $T$ depends onlv on the jet mass (at fixed energy and if all secondaries are measured):

Fig. 27 shows the result of the model calculations for the $c, b$ and $t$ threshold, assuming $M_{t t}=30 \mathrm{GeV}$. The effect is as large as naively expected. It is particularly impressive for the top quark.

## Measurements

Let us return to fig. 22. It shows a smooth variation of thrust up to highest PETRA energies, without any prominent structure. Comparing with the theoretical expectation (fig. 27), a b threshold is not suggested but compatible with the data. There is no sign of a top quark contribution.

The effect due to a top quark should be even clearer in the differential distributions. They are shown in fig. 28 and 29 for the PLUTO and MARK J detector. The PLUTO data are well accounted for by udscb quark calculations (full curves), whereas additional top quark contributions (dashed curves) are excluded. The evidence against top quark production is not very strong at 31.6 GeV .8 events are seen with $\mathrm{T}<0.8$ whereas 18.9 are expected from the (udscbt) model at this energy.


Fig. 27 Predicted change of sphericity above $t$ threshold: Mean sphericity as a function of energy.

The MARK J data up to $30 \mathrm{GeV}{ }^{65}$ are well accounted for by the (udscb) mode1 (full line). At 31.6 GeV neither the udscb nor the udscbt model fits the data. I will come back to this point in the next chapter. As far as the top quark is concerned, the data do not show any evidence for it.

Whereas thrust is the appropriate variable to describe PLUTO (including neutrals) and MARK $J$ data, the TASSO experiment was mainly analyzed in terms of sphericity. Fig. 30 shows the mean sphericity as measured by the PLUTO and TASSO group ${ }^{64,66}$. Differential distributions (TASSO) are given in fig. 31. Again a top quark contribution (dash-dotted line) is clearly ruled out.


Fig. 28 PLUT0: thrust distribution at different energies. Thrust is calculated from charged and neutral particles.


Fig. 29 MARK J: Thrust distribution at different energies


Fig. 30 PLUTO and TASSO: mean sphericity as a function of energy


Fig. 31 TASSO: sphericity distributions for different energies. The curves show predictions of the quark model udscb plus gluon corrections (-) and with top quark (-.-).

Note that contrary to the measurements of $R$, these results are independent of normalization erros. In this respect the results are safer than the ones reported in the previous section.

## 4. Search for Toponium

Although the data described in the previous section did not reveal any sign of top quark production, the experimenters did not give in. The reason can best be explained looking at fig. 32. Remember, that only a course scan was made up to 31.6 GeV . What if the last data point or points were to lie in between two resonances or in a "valley" above threshold?


Fig. 32 Predicted variation of the cross section $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow$ hadrons near to a hypothetical $t \bar{t}$ threshold.

To answer this question a fine scan in steps of the machine resolution ( $\sim 20 \mathrm{MeV}$ ) was applied to look for resonance structure within the last $11 / 2 \mathrm{GeV}$ of CM energy.

To get an estimate of the relative height of the resonance ( $\sigma_{p}$ ) compared to the continuum ( $\sigma_{C}$ ) we can scale the relative cross sections from the $T$ region to the toponium region (assuming $M_{t \bar{t}}=30 \mathrm{GeV}$ ):

$$
\sigma\left(e^{+} e^{-} \rightarrow V \rightarrow \text { hadrons }\right)=\frac{\pi}{s}(2 J+1) \frac{\Gamma_{e e} \Gamma_{\text {had }}}{(M-E)^{2}+\Gamma^{2} / 4} \text { (Breit-Wigner) }
$$

With $J(V)=1$ and $\Gamma_{\text {had }} \simeq \Gamma$ this yields:

$$
\sigma_{\text {peak }}=\frac{12 \pi}{M_{t \bar{t}}^{2}} \frac{\Gamma_{e e} \Gamma_{\text {had }}}{\Gamma^{2}} \quad \frac{12 \pi}{M_{t \bar{t}}^{2}} \frac{\Gamma_{\text {ee }}}{\Gamma}
$$

Since the resolution of PETRA is $\Delta W \gg \Gamma$ the peak cross section will scale like

$$
\sigma_{p}=\sigma_{\text {peak }} \sim \frac{1}{M_{t \bar{t}}^{2}} \cdot \frac{\Gamma_{\text {ee }}}{\Delta W}
$$

whereas the continuum scales like

$$
\sigma_{c}=\sigma_{\text {cont }} \quad \frac{1}{E^{2}}
$$

Therefore, we can write the following relationship between the $T$ data measured at DORIS and toponium search at PETRA:

$$
\left(\frac{\sigma_{p}}{\sigma_{c}}\right)_{t \bar{t}}^{\text {PETRA }} \simeq\left(\frac{\sigma_{p}}{\sigma_{c}}\right)_{T} \cdot \frac{\Delta W(9.4)}{\Delta W(3 \dot{\sigma})} \cdot \frac{\Gamma_{e e}(t \bar{t})}{\Gamma_{e e}(b \overline{5})} \simeq 0.9 \cdot\left(\frac{\sigma_{p}}{\sigma_{c}}\right)_{T}^{\text {DORIS }}
$$

Since the energy resolution $\Delta W$ will be about three times worse at the toponium and $\Gamma_{\text {ee }}$ will scale like $Q_{q}^{2}$ the relative peak cross section at the toponium will be roughly as large as the $T$. Therefore, from a total cross section measurement the toponium could be found if it exists in the PETRA energy region.

## Measurements

The energy scan was performed in steps of 20 MeV between $\mathrm{E}_{\mathrm{CM}}=29.9$ and 31.6 GeV . About $20 \mathrm{nb}^{-1}$ were taken at each energy. All four experiments (ref. 80 ) found a flat energy dependence of R. The combined result of the JADE and TASSO measurements is shown in fig. 33.

From their data, the four groups determined upper limits on the production of narrow resonances in this region. The results for the leptonic width times the hadronic branching ratio $\Gamma_{e e}{ }^{\circ} B_{h}$ is given in table. 13

Table 13 Upper limits on leptonic width times hadronic branching in the range $E_{C M}=29.9$ to 31.6 GeV

| Experiment | $\Gamma_{\mathrm{ee}} \bullet B_{h}$ <br> $(\mathrm{keV})$ | C.L. |
| :--- | :---: | :--- |
| TASSO | $<1.6$ | $95 \%$ |
| JADE | $<1.5$ | $95 \%$ |
| PLUTO | $<1.5$ | $95 \%$ |
| MARK J | $<1.3$ | $90 \%$ |

Since the theoretical expectation for narrow bound states of charge $2 / 3$ e quarks is about 4 kev, the production of such resonances can be safely excluded from the data. A bound state built out of charge $1 / 3$ e quarks is unlikely.

Very_recent data
After the shutdown in fall 1979 new data were taken at PETRA, now upgraded to reach $2 \times 18 \mathrm{GeV}$. The MARK J group ${ }^{80}$ has analyzed their data up to $E_{C M}=35.6 \mathrm{GeV}$. They find no indication of a change in cross section or topology indicative of new flavour production. The thrust distribution they find at $E_{C M}=35 \mathrm{GeV}$ is shown in fig. 34 . Production of $t$ quarks is clearly excluded.


Fig. 33 JADE and TASSO: fine scan of the hadronic cross section between 29.9 and 31.6 GeV (CM). Combined data of the two groups.


Fig. 34 MARK J: thrust distributions at $\mathrm{E}_{\mathrm{CM}}=35 \mathrm{GeV}$ and $29.9 \leq \mathrm{E}_{\mathrm{CM}} \leq$ 31.6 GeV compared to model predictions: five quarks and ${ }^{-} \mathrm{glu} \mathrm{Cons}^{-}$ $(--)$ and additional top quark (---).

## V. QCD IN QUARKONIA

1. Branching Ratios

Bound vector states of heavy quarks (quarkonia) can decay through the one photon channe1. The corresponding term in $\mathrm{e}^{+} \mathrm{e}^{-}$annihilation, a quark loop correction to the one photon channel, is often called vacuum polarisation. Its contribution to the hadronic decay channel is simnly given by

$$
\Gamma_{\mathrm{q} \bar{q}}=\Gamma_{e \mathrm{ee}} \Sigma Q_{\mathrm{q}}^{2}=\Gamma_{e \mathrm{ee}} R
$$


where

$$
\Gamma_{e e}=16 \pi \frac{\alpha^{2} Q_{q}^{2}}{M^{2}}|\psi(0)|^{2}
$$

is known from QED.
Quantum chromo dynamics ${ }^{81}$ (QCD) is the on1y theory that offers a prediction for the direct decay of quarkonia into hadrons. In this approach, the direct decay width is given by the coupling of the quark pair to more than 2 gluons ( 1 gluon is forbidden by colour, 2 by C parity). The lowest order contribution ( 3 gluons) is then (e.g. ref. 26)

$$
\Gamma_{\mathrm{ggg}}=\frac{160\left(\pi^{2}-9\right) \alpha_{s}^{3}}{81 \mathrm{M}^{2}}|\psi(0)|^{2}
$$

where $\alpha_{s}$ is the strong coupling constant.

The total width (for given $\Gamma_{e e}$ )

$$
\Gamma=\Gamma_{q \bar{q}}+\Gamma_{g g g}=R \Gamma_{e e}\left(1+\frac{\Gamma_{g g g}}{\Gamma_{q \bar{q}}}\right)
$$

is independent of the wavefunction of the quarks, since $|\psi(0)|$ drops out in

$$
\frac{\Gamma_{g g g}}{\Gamma_{\mathrm{q} \bar{q}}}=\frac{10\left(\pi^{2}-9\right) \alpha_{\mathrm{s}}^{3}}{81 \pi \alpha^{2} \mathrm{Q}_{\mathrm{q}}^{2} \mathrm{R}}
$$

If we apply this formula to the $c \bar{c}$ ground state $J / \psi$ with $\Gamma_{h}=57 \mathrm{keV}$, $\Gamma_{\mathrm{ee}}=4.8 \mathrm{keV}, \mathrm{R}=2.3$ and $Q_{c}=2 / 3$ we get

$$
\alpha_{\mathrm{s}}=0.19(\mathrm{~J} / \psi)
$$

If we scale this value from $\mathrm{J} / \psi$ to T taking the formula

$$
\alpha_{s}(E)=\frac{12 \pi}{\left(33-2 N_{f}\right) \ln E^{2} / \Lambda^{2}} ; \quad N_{f}=\text { number of flavours }
$$

we get

$$
\alpha_{s} \simeq 0.17 \quad(\mathrm{~T})
$$

With $R=4, Q_{b}=-1 / 3$ and $\Gamma_{\text {ee }}=1.3 \mathrm{keV}$ this yields

$$
\Gamma_{\text {tot }}=\Gamma+3 \Gamma_{\mathrm{ee}} \simeq 50 \mathrm{keV}
$$

in agreement with the measured value (section II.2).

Since these numbers look like good agreement with theory, a word of caution is in order: Other determinations of $\alpha_{s}$ from $v$-data, $J / \psi$ radiative decays and charmonium models yield values of $\alpha_{s} \simeq 0.3 . / .0 .4$ for $\mathrm{J} / \psi$ energies. There is no generally excepted explanation for this discrepancy; higher order radiative corrections* and difficulties in defining $\alpha_{s}$ in the potential picture may cause the trouble.

Though, whatever the absolute value of $\alpha_{s}$ is, the $J / \psi$ and $T$ resonance parameters given above fit into a consistent picture with the right (small) energy dependence predicted by QCD.
*R. Barbieri et al. (Nucl. Phys. B154 (1979) 535) point out that higher or-
der corrections to radiative transitions in charmonium may be very large. der corrections to radiative transitions in charmonium may be very large.

## 2. T. Decay Topology

In first order of $Q C D$ a $q \bar{q}$ bound state couples to three gluons. Once the energy of the $q \bar{q}$ state is high enough a fragmentation of these three gluons into jets will become the preferred decay mode ${ }^{18}$.



The observation of a three jet structure in the $T$ decay would therefore be a decisive test on the existence of gluons and the validity of $0 \subset 0^{82,83}$. This conjecture leads to the following predictions.
(1) Topological quantities like sphericity and thrust change drastically as one passes through the resonance.
(2) A three jet structure would of course lead to a planar configuration of the events.
(3) Eventually three separated jets may be visible.

Although a possible observation of (1) and (2) may be indicative only (3) could be really decisive. Unfortunately it turns out that an asymmetric partition of energy among the three jets is preferred ${ }^{82}$ which leads to a nearly back-to-back structure of the events instead of a symmetric three star structure (fig. 35). In addition at the present stage of theory and analysis any interpretation of the data suffers from the following problems:




Fig. 35 Relative cross section for symmetric and asymmetric three-gluon events.

- The fragmentation of gluons is not known and theoretical predictions are rather vague and controversial ${ }^{84}$.
- At the $T$ resonance the energy of the proposed gluon jets is still very low (about $3 \mathrm{GeV} /$ jet).
- Resonance events can only be separated statistically from the continuum.


## Measurements

Data are available from three groups: The NaJ-lead glass ${ }^{85}$ experiment, the DASP2 ${ }^{86}$ and the PLUTO ${ }^{87}$ collaboration. I will restrict myself to a short discussion of the PLUTO results. The analysis proceeds in three steps.

- Isolate the direct decay mode.
- Define models.
- Compare the data with these models.

Data were compared with three models:
(1) a phase space model,
(2) the two-jet Feynman-Field model, and
(3) a three-gluon jet model.

In this latter model the gluons fragment like quarks ${ }^{82}$. The two ingredients of the model are:

- A three-gluon matrix element ${ }^{82,88}$ for the production of three massless gluons through an intermediate virtual photon.
- A fragmentation of the gluons with limited $p_{T}$. The mean $p_{T}$ is adjusted to fit the two-jet data at 9.4 GeV below the resonance (at comparable jet energies). The charged multiplicity and neutral energy is adjusted to the $T$ data.

Fig. 36 shows the mean observed thrust in the $T$ region compared to lower energy data. T data are given before and after subtraction of the continuum value ( ${ }^{T}$ direct). We observe a drastic change of topoloay as one passes through the resonance (see also insert in fig. 36). The predictions for the two jet model and phase space are indicated in the figure. The three gluon model ${ }^{89}$ (not shown in the figure) coincides exactly with the $T$ direct data point.

From this and similar analyses we can certainly exclude the two jet decay mode as a major contribution to the $\Upsilon$ decay. Data are in excellent agreement with the three gluon model. The phase space description is, however, only disfavoured by about two standard deviations.

Three Jets?
In the proposed three-jet structure of $T$ decays the mean jet energy would be $\sim 3 \mathrm{GeV}$. We know from the corresponding two-jet data at 6 GeV that a jet structure is very difficult to reveal. The identification of a three-jet-structure is even more difficult since the average relative jet angles are smaller than in the two-jet case. Nevertheless, two methods have been tried: the triplicity and the energy flow analysis.


Fig. 36 PLUTO: observed mean thrust for charged particles including the Ypsilon. Data are compared to different model predictions: phase space and two jets. The three-gluon prediction coincides with the $T$ direct data point.

Triplicity
Brandt and Dahmen ${ }^{90}$ have extended the two jet quantity thrust to the case of three jets. For each event they form three classes of particles $C_{1}$, $C_{2}$ and $C_{3}$ with $\vec{P}_{k}={ }_{i} \sum_{e} C_{k} \vec{p}_{i}$ such that $\sum_{1}^{3}\left|\vec{P}_{k}\right|$ is maximized. The triplicity of an event is then given by

$$
T_{3}=\frac{1}{\Sigma\left|p_{i}\right|} \max \left\{\left|\sum_{i \in C_{1}} \vec{p}_{i}\right|+\left|\sum_{i \in C_{2}} \vec{p}_{i}\right|+\left|\sum_{i \in C_{2}} \vec{p}_{i}\right|\right\}
$$

$P_{k}$ are ordered: $P_{1} \geq P_{2} \geq P_{3}$. The values for $T_{3}$ range from $T_{3}=1$ for a perfect three-jet event to $T_{3}=3 \sqrt{3} / 8$ for a spherical event. The procedure defines a three fold structure of each event with three axes and relative
angles as defined below.


Figs. 37 and 38 show a comparison of the on and off resonance data with the predictions of the three models defined above ${ }^{91}$. The data are in excellent agreement with the two-jet model off resonance and the threegluon prediction on resonance. Phase space does not describe the data.

As in the discussion of sphericity and thrust distributions, one may argue that modifications of the phase space model may yield better agreement. Let us, therefore, go one step further and study the relative angles defined by the triplicity method. These angles have the virtue of depending very weakly on the details of the gluon fragmentation.

Fig. 39 shows the distributions of the angles $\theta_{1}$ and $\theta_{3}$ opposite to the largest and smallest jet momentum. The agreement with the three-gluon model and the complete disagreement with the phase space are presently our best evidence for the decay of Ypsilon into three gluons.

Energy_flow
De Rujula, Ellis, Floratos and Gaillard ${ }^{69}$ have proposed another method to detect possible three-jet structures in quarkonium decays. The events are oriented along two perpendicular axes which are defined by the largest and second largest momentum flow. All events are then super-

TRIPLICITY


Fig. 37 PLUTO (preliminary): distribution of triplicity for events from the $T$-resonance. The data disagree with expectations from a phase-space model (--) or a two-jet model. They agree with a three-jet model (-).


Fig. 38 PLUTO (preliminary): distribution of triplicity $T_{3}$ for events outside the $T$ resonance. They show a two-jet structure and aqree with the prediction from a Feynman-Field two jet model.


Fig. 39 PLUTO (preliminary): distribution of the angles $\theta_{1}$ and $\theta_{3}$ between gluon jets (see text) for events from the Tresonance. The distributions agree with a three gluon jet model, they disagree with phase space.
imposed and the total momentum flow in the plane defined by the two axes is studied. This analysis has been applied to the PLUTO data ${ }^{92}$. Several procedures have been tried to define the event plane. Excellent agreement between data and the three-gluon model was found. However, the discrimination against phase space turns out to be rather weak. In particular, the energy flow at fixed thrust is nearly model independent, i.e. the method does not add much information in addition to a simple thrust analysis. The method could have its virtues with higher statistics (and higher energies), since it is sensitive to the details of the jet fragmentation.

Angular distribution
The alignment of the fastest jet with respect to the beam axis strong$1 y$ depends on the gluon spin. If we accept the 3 gluon hypothesis for $T$ decays, we can therefore perform a sensitive test on the spin of the qluons.

Fig. 40 shows the angular distribution of the sphericity axis in direct $T$ decays as measured by the PLUT0 group ${ }^{87}$. The data are compared with the predictions for spin 1 (full curve) ${ }^{82}$ and spin 0 (dashed curve) (ref. 93).

The data are in good agreement with the vector gluon prediction. A fit with $1+\alpha \cos ^{2} \theta$ yields $\alpha=0.83 \pm 0.23$, which excludes scalar gluons.

## Conclusions

The event shape encountered in Ypsilon decays strongly deviates from the two-jet structure found in the continuum. All topological quantities studied are in agreement with a three-gluon jet model. A simple phase-space model cannot explain the details of the data, in particular the three-jet angular distributions: QCD is the only model that offers a satisfactory ex-


Fig. 40 PLUTO: angular distribution of the sphericity axis on resonance. The full curve is proportional to $1+0.39 \cos ^{2} \theta$, the dashed curve to $1-0.995 \cos ^{2} \theta$.
planation of all experimental aspects of Ypsilon decays. If we accept the three-gluon picture, we can exclude scalar gluons.

## VI. LCD IN HIGH ENERGY JETS

1. Quark-Parton Mode 1 and QCD

Quark -Parton Model_(QPM)
The simple quark-parton model has lead us throughout most of the presceeding discussions. In this picture electrons and positrons annihilate into a pair of quarks which fragment into jets. The basic assumptions of this model are:

- Quarks behave like leptons with fractional charges at the $\gamma q \bar{q}$ vertex, i.e. the process $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{q} \bar{q}$ can be calculated from QED.
- The hadronic nature of quarks is introduced ad hoc: the quarks fragment with limited $\mathrm{p}_{\mathrm{T}}$ and thus produce jets.

The predictions of this model are:

$$
\begin{aligned}
& R=3 \sum_{q} Q_{q}^{2} \quad q=\text { quark flavours } \\
& \left\langle p_{T}\right\rangle \simeq \text { cons } \simeq 320 \mathrm{MeV} \\
& \langle S\rangle \simeq \frac{\langle n(E)\rangle\left\langle p_{T}\right\rangle}{E^{2}} \sim \frac{\ln E}{E^{2}} \\
& \langle 1-T\rangle \simeq \frac{\langle n(E)\rangle\left\langle p_{T}\right\rangle}{E} \sim \frac{\ln E}{E}
\end{aligned}
$$

where we assume a logarithmic increase of the mean multiplicity $n(E)$ with energy.


## First Order Perturbative_QCD

QCD ${ }^{81}$ tells us that quark pair production is only the $0^{\text {th }}$ order in a perturbation expansion. In $1^{\text {st }}$ order radiative gluon corrections and a vertex correction term ${ }^{94}$ have to be added. Like in OED the infrared divergences in the $q \bar{q} g$ terms cancel against those arising from the interference between the vertex correction and the Born term.

The process of gluon emission is governed by the strong coupling constant $\alpha_{s}$. Once $\alpha_{s}$ is known, QCD predicts quantitatively where the quark-parton model is a valid approximation and how large the radiative terms are. In this order of QCD the problem of fragmentation is of course not solved. Again both the quark and the gluon fragmentation have to be introduced heuristically. Thus the QPM assumptions change in the following way:

- Including first order gluonic corrections the annihilation process $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow$ hadrons can be calculated in QCD with only one free parameter, $\alpha_{s}$.
- Quarks fragment like in the QPM. The fragmentation of gluons is probably softer due to the three-gluon vertex which exists in a non-abelian gauge theory like QCD ${ }^{95}$.

Characteristics of Gluon_Emission
The QCD cross section for gluon emission in the process

$$
\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{q} \overline{\mathrm{q} g}
$$

in first order $\alpha_{s}$ and integrated over all orientations of the qua plane with respect to the beams reads ${ }^{94}$ :

$$
\begin{equation*}
\frac{1}{\sigma_{q \bar{q}}} \frac{d \sigma(q \bar{q} g)}{d x_{q} d x_{\bar{q}}}=\frac{2}{3} \frac{\alpha_{s}}{\pi} \frac{x_{q}^{2}}{\left(1-x_{q}\right)\left(1-x_{\bar{q}}\right)} \tag{a}
\end{equation*}
$$


where $x_{j}=E_{j} / E_{b}$ are the scaled energies of the quarks and the gluon (Feynman $x ; E_{b}=$ beam energy).

For simplicity we assume that the quark $q$ carries the largest momentum. We can easily see that without fragmentation the thrust of a q $\bar{q} g$ event is $T=x_{q}$. Since $x_{q}+x_{\bar{q}}+x_{g}=2$ we can rewrite (a):

$$
\begin{align*}
\frac{1}{\sigma_{q \bar{q}}} \frac{d \sigma(q \bar{q} g)}{d T d x_{\bar{q}}} & =\frac{2}{3} \frac{\alpha_{s}}{\pi} \frac{x_{q}^{2}+x_{\bar{q}}^{2}}{(1-T)\left(1-x_{\bar{q}}^{-}\right)} \\
& =\frac{2}{3} \frac{\alpha_{s}}{\pi} \frac{x_{q}^{2}+x_{\bar{q}}^{2}}{(1-T)\left(T-1+x_{g}\right)} \tag{b}
\end{align*}
$$

The expression has a singularity for $T \rightarrow 1$ when the two quarks are lined up (colinear singularity). In the small angle limit $T \rightarrow 1$ and for small gluon
momenta ( $\mathrm{x}_{\mathrm{g}} \ll 1$ ) (b) reads:

$$
\begin{align*}
\frac{1}{\sigma_{q \bar{q}}} \frac{d \sigma(q \bar{q} g)}{d T d x_{\bar{q}}} & =\frac{2}{3} \frac{\alpha_{s}}{\pi} \frac{T^{2}+\left(2-T-x_{g}\right)^{2}}{(1-T)\left(T-1+x_{g}\right)}  \tag{c}\\
& \simeq 4 \frac{\alpha_{s}}{3} \frac{1}{\pi} \frac{E_{b}}{1-T}
\end{align*}
$$

Formula (c) demonstrates the $1 / k$ behaviour of the gluon brews spectrum. A soft gluon singularity occurs for $k \rightarrow 0$.

## Transverse Momentum

If we integrate over $T$ we get

$$
\begin{equation*}
\frac{1}{\sigma_{q \bar{q}}} \frac{d \sigma}{d x_{T}}=\frac{1}{\sigma_{q \bar{q}}} \int d T \frac{d \sigma(q \bar{q} g)}{d x_{T} d T} \tag{d}
\end{equation*}
$$

where we have introduced

$$
x_{T}=x_{\bar{q}} \sin \theta
$$

(d) diverges for $x_{T} \rightarrow 0$. If we take moments, however,

$$
\left\langle x_{T}^{n}\right\rangle=\frac{1}{\sigma_{q \bar{q}}} \int d x_{T} \int d T \quad x_{T}^{n} \frac{d \sigma(q \bar{q} g)}{d T} \frac{d x_{T}}{}
$$

we get finite solutions of the type

$$
\left\langle x_{T}^{n}\right\rangle=\alpha_{s}(E) \cdot f\left(x_{q}, x_{\bar{q}}\right)
$$

$f\left(x_{q}, x_{\bar{q}}\right)$ does not depend on the beam energy. Therefore we get

$$
\left\langle x_{T}^{n}\right\rangle \sim \alpha_{s}(E) \sim 1 / \ln (E / \Lambda)^{2} .
$$

i.e. $\left\langle x_{T}^{n}\right\rangle$ varies only slowly with energy. Consequently the moments of the transverse momenta increase with powers of the energy

$$
\left\langle p_{T}^{n}\right\rangle \sim E^{n} / \ln (E / \Lambda)^{2}
$$

in particular we get a linear or quadratic increase of the first two moments

$$
\begin{aligned}
& \left\langle p_{T}\right\rangle \sim E / \ln (E / \Lambda)^{2} \\
& \left\langle p_{T}^{2}\right\rangle \sim E^{2} / \ln (E / \Lambda)^{2}
\end{aligned}
$$

This is a dramatic deviation from the QPM prediction ${ }^{69,96,97,98}$.

Fixed Angle Jets
At high energies, the gluon emission with increasing $p_{T}$ will eventually win over the fragmentation. The broadening of the "jet" consisting of a quark and a gluon in $1^{\text {st }}$ order $Q C D$ is then given by an opening angle

$$
\langle\theta\rangle \simeq \frac{\left\langle p_{T}\right\rangle}{E_{b}} \sim \alpha_{s}(E) \sim 1 / \ln (E / \Lambda)^{2} .
$$

Thus the width of the jet will only depend slightly on energy, a penomenon being denoted as: "fixed angle jets" 68 .

Simple_Tests of QCD
From the above considerations a number of conceptually simple tests can be derived (table 14):

Table 14 Additional contributions expected in $1^{\text {st }}$ order OCD $^{\text {O }}$ compared to the simple QPM predictions

| Quantity | QPM (qa $)$ | $+1^{\text {st }}$ order 0 CD (qāg) |
| :---: | :---: | :---: |
| R | $3 \Sigma Q_{q}^{2}$ | $3 \Sigma \bar{Q}_{\mathrm{q}}^{2} \frac{\alpha_{\mathrm{s}}}{\pi}$ |
| ${ }^{<p_{T}}{ }^{\text {P }}$ | const. | $\sim \alpha_{S} E \sim E / \ln (E / \Lambda)^{2}$ |
| $\left\langle p_{T}^{\text {n }}\right\rangle$ | const. | $\sim \alpha_{S} E^{n} \sim E^{n} / \ln (E / \Lambda)^{2}$ |
| <S> | $\sim \ln E / E^{2}$ | $\sim \alpha_{S} \sim \sim 1 / \ln (E / \Lambda)^{2}$ |
| <1-T> | $\sim \ln E / E$ | $\sim \alpha_{S} \quad \sim 1 / \ln (E / \Lambda)^{2}$ |

$R$ should be higher than predicted in the QPM. The effect is of the order of $10 \%$ to $15 \%$ and has been discussed in the previous chapters. The data agrees with the expected increase. For a decisive test, however, an accuracy of at least $5 \%$ would be needed on the absolute value of the total cross section. The precision is not yet reached and seems hard to achieve.

Rising $\left\langle p_{T}\right\rangle$ would influence the energy dependence of $S$ and $1-T$. Visible effects are predicted somewhere between $10 \mathrm{GeV}{ }^{69}$ and $30 \mathrm{GeV}^{98} \mathrm{CM}$ energy. In this context I want to recall deviations from the expected two-jet behaviour in the topological quantities which have already been mentioned in chapter IV in connection with the top search (fig. 41). Neither the udscb nor the udscbt prediction gave a good description of the data. If gluon radiation is introduced (dashed-dotted line in fig. 41) the agreement with the data becomes much better.

## 2. $q \bar{q} g$ Event Generator

The effects in the data are small and call for a quantitative description before any significance can be attributed to them. To this end a model based on the QCD prediction (a) was constructed by Hoyer et al. (ref. 98). The two main problems for such a model are (i) the infrared singularities in (a) and (ii) the fragmentation of quarks and gluons.


Fig. 41 MARK J: thrust distribution at $\mathrm{E}_{\mathrm{Cm}}=31.6 \mathrm{GeV}$. The curves are MC predictions for udscb (-), udscbt (--) and udscb + gluons (---).

## (i) Infrared_Singularities

We have seen in the previous section that (b) diverges for $T \rightarrow 1$. On the other hand, non-perturbative effects will dominate this region anyway. In fig. 42 the thrust distributions of the $1^{\text {st }}$ order QCD calculation (without fragmentation) and the non-perturbative effects of quark fragmentation are compared. The model proceeds like this:

A cutoff value $T_{0}$ for thrust is defined. For $2 / 3 \leq T \leq T_{0} q \bar{q} g$ events are generated according to the QCD cross section (a). Only uds and $c$ quarks participate in the gluon emission process. The remaining interval $T_{0}<T<1$ ispassumed to be dominated by fragmentation. Therefore, $\sigma(q \bar{q} g)$ is set to zero and $q \bar{q}$ events are generated such that $\sigma(q \bar{q} g)+$ $\sigma(q \bar{q})=3 \sum Q_{q}^{2}\left(1+\alpha_{s} / \pi\right)$ accounts for the total cross section expected in QCD.
$T_{0}$ is somewhat arbitrarily defined as the maximum of the non-perturbative distribution.

## (ii) Fragmentation

The fragmentation of quarks is done according to Field-Feynman for uds and Ali et al. for $c$ and $b$ quarks. Gluons are assumed to decay into $u \bar{u}, \mathrm{~d}$ and $\mathrm{s} \bar{s}$ pairs with a relative abundance of $2: 2: 1$ (mass suppression of $s \bar{s})$.

The magnitude of the effects depends linearly on the absolute value of $\alpha_{S}$. For $\Lambda=500 \mathrm{MeV}$ in

$$
\alpha_{s}=\frac{12 \pi}{\left(33-2 N_{f}\right) \ln (E / \Lambda)^{2}}
$$

one gets the values of table 15 .

At 30 GeV about $30 \%$ of the events will be generated according to qवंg. Of course, most of the gluons will be either colinear or very low

Table 15 Energy dependence of QCD contributions

| $\mathrm{E}_{\mathrm{CM}}(\mathrm{GeV})$ | $\mathrm{T}_{0}$ | $\mathrm{q} \overline{\mathrm{q}} \mathrm{g} / \mathrm{q} \overline{\mathrm{q}}$ |
| :---: | :---: | :---: |
| 15 | 0.92 | 0.17 |
| 30 | 0.95 | 0.29 |
| 90 | 0.98 | 0.49 |



Fig. 42 QCD predictions for the thrust distribution at different energies (1st order q $\bar{q} g$ ) compared to the non-perturbative jet fragmentation $(q \bar{q}){ }_{N P}$ (the mean values $\Delta T$ defined in ref. 69 are not used here).
energy. Above 30 GeV the QCD effects get too large to be considered in first approximation. Therefore, the model is not applicable for $\mathrm{E}_{\mathrm{CM}} \simeq$ 100 GeV in this form.

## 3. Experimental Results

After preliminary results had been reported by the TASSO collaboration ${ }^{99}$ at the CERN conference in June 1979, evidence for QCD effects in $\mathrm{e}^{+} \mathrm{e}^{-}$annihilation was published by the MARKJ ${ }^{100}$, $\operatorname{TASS}^{101}$, and PLUTO ${ }^{102}$ groups in September 1979 and by the JADE group ${ }^{103}$. In this section I will give a short summary of their analysis.

Like in the previous chapters in general observed quantities will be shown and compared with various model calculations. Only some of the distributions are corrected (or partially corrected) for detector effects. At this point some remarks are in order to explain, why these corrections are generally difficult and problematic (and, therefore, not yet applied to the data).

Corrections are usually estimated from a comparison of Monte Carlo generated events before and after having passed the detector. Even if the detector simulation is perfect the following principle problems remain:

- The corrections will be model dependent. Before applying them one has to make sure that they are indeperident of the different models one wants to compare with.
- There are different effects one may want to correct for: detector efficiency and resolution, neutral particles (if only charged are seen) or even the fragmentation process. This has to be matched to the model under consideration.
- Model calculations often predict distributions which are taken with respect to the original quark axis. In such a case the correction to the data has to take this into account. Of course this increases the model dependence.

In summary, corrections have to be consistent with the specific model one wants to compare with. This may be difficult, if several models are to be tested.
a) Rising_Transverse Momentum

The mean transverse and parallel momentum with respect to the thrust axis as a function of energy is shown in figs. 43 and 44 for the experiments TASSO and PLUTO. The TASSO group has determined the thrust axis from charged particles only, whereas the PLUTO data are evaluated with respect to the common thrust axis of charged and neutral momentum. In both figures, the mean transverse momentum $\left\langle p_{T}\right\rangle$ and the mean paralle1 momentum $\left\langle p_{L}\right\rangle$ are calculated from charged tracks only. Apart from the gross features of the data - bounded $\left\langle p_{\top}\right\rangle$ and rising $\left\langle p_{L}\right\rangle$ - both figures indicate a slight increase of $\left\langle p_{T}\right\rangle$ with energy. This increase becomes dramatic if we plot the second moment $\left\langle p_{T}^{2}\right\rangle$, which gives more weight to high momentum particles.

Only a small part of this increase can be accounted for by detector effects. This can be checked by comparing the data with the Feyn-man-Field model for uds quarks, which has no inherent energy dependence of $\left\langle p_{T}^{2}\right\rangle$. The TASSO data (fig. 43) are corrected for this effect. The uds expectation would then be a constant $\left\langle p_{T}^{2}\right\rangle$.

An increase in $\mathrm{p}_{\mathrm{T}}$ is expected from new heavy quark production. In fact, including detector effects and $c$ and $b$ quarks, the energy dependence is quite strong, accounting for roughly half of the increase in the PLUTO data (dashed line in fig. 44). Still the models do not account for the full effect.

In summary, $\left\langle p_{\top}^{2}\right\rangle$ increases roughly by a factor of 2 between 10 and 30 GeV . This effect cannot fully be explained by detector effects and new quark flavours. Fig. 45 shows a comparison of the $p_{T}^{2}$ distributions at low and high PETRA energies. To account for the data the parameter $\sigma_{q}$ in


Fig. 43 TASSO (preliminary): mean momentum components <pT>, < $\left.\mathrm{p}_{\mathrm{L}}\right\rangle$ and $\left\langle p_{\mathrm{L}}^{2}\right\rangle$ for charged particles with respect to the thrust axis. Some PLUTO data are shown for comparison.



Fig. 44 PLUTO: energy dependence of the mean momentum components $\left\langle\mathrm{p}_{\mathrm{T}}\right\rangle,\left\langle\mathrm{p}_{\mathrm{L}}\right\rangle$ and $\left\langle\mathrm{p}^{2}\right\rangle$ compared to the models of quark pair production with ( - ) and without (--) gluons.

$$
d \sigma / d p_{T}^{2} \quad \sim \quad e^{-p_{T}^{2} / 2 \sigma_{q}^{2}}
$$

which describes the transverse spread of the momentum distribution in


Fig. 45 TASSO: distributions of the square of the transverse momentum with respect to the thrust axis. Data at low (13 +17 GeV ) and high (27.4-31.6 GeV) energies are compared with different parametrisations in the $q \bar{q}$ model.
the Feynman-Field model has to be increased from $\sigma_{q}=0.3 \mathrm{GeV}$ at 13 and 17 GeV to $\sigma_{\mathrm{q}}=0.45 \mathrm{GeV}$ in the $27-32 \mathrm{GeV}$ range.

A possible explanation is offered by QCD. In fact, model calculations coincide perfectly well with the observed energy dependence of the data (fig. 44).

## Seagull effect

What could one do to enhance the signal? If the effect is due to gluon emission, the fast hadrons from the gluon fragmentation should carry the best memory of the gluon transverse momentum. The same holds for the

fragmentation particles of the quark from which the gluon is radiated. The effect first predicted by Kramer and Schierholz ${ }^{97}$ is shown in fig. 46. The energy dependence $\left\langle p_{T}^{2}\right\rangle$ is growing with $x_{p}=2 p / E_{C M}$, the scaled hadron momentum. Since all curves drop to zero in the kinematical limit $x_{p} \rightarrow 1$, intermediate values of $x_{p}$ will be the best place to look for QCD effects. (Fig. 46 is often called a "seagull" plot.)

Asymmetry of the Seagul1_Effect
Let us go one step further and consider the gluon radiation process in more detail

fragmentation



Fig. 46 Predicted energy dependence of the seagull effect in first order QCD. $\left\langle\mathrm{p} \boldsymbol{\mu}^{2}>\right.$ is shown as a function of Feynman $x$ (Kramer and Schierholz ${ }^{97}$ ).

The fragmentation of quarks and gluons will in general lead to an asymmetric two-jet structure. Let the events be oriented along their thrust axis in such a way that the "slim" jet always points to the same (say left) side. A seagull plot, carried out separately over the left (slim) and right (fat) jet will then reflect this asymmetry. The effect predicted ${ }^{98}$ in QCD is shown in fig. 47. Besides the striking asymmetry also the increase in the fat jet is stronger than for non oriented events.

Such an analysis has been carried out by the TASSO and PLUTO groups. Their results are shown in figs. 48 and 49. The seagull effect increases drastically with energy in the "fat" jet, whereas it stays small and roughly constant in the "slim" jet. Data are in good agreement with the QCD prediction (fig. 49,full curve).


Fig. 47 Monte Carlo simulation of the asymmetry in <p\%> for the "slim" and "fat" jets in $\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{q} \bar{q}+q \bar{q} g$ (Hoyer et al. 98).

Given the increase in $\left\langle p_{T}^{2}\right\rangle$ which had already been realized in the previous discussion, we have to ask how much new information is really contained in figs. 48 and 49. The answer is given by the dashed curve in fig. 48 and the dash-dotted line in fig. 49. The change in $\sigma_{q}$ needed to describe the $\mathrm{p}_{\mathrm{T}}$ distribution accounts well for the increasing asymmetry, i.e. statistical fluctuations in conjunction with the slim-fat selection method introduce a sufficiently strong effect already.

We have seen so far that the mean transverse momentum rises with ener$\%$ The increase is in good agreement with the prediction of QCD. Though it has to be kept in mind that this is only a necessary but not sufficient condition for QCD to be proven. After all we do not have a reliable prediction for the quark fragmentation up to 30 GeV . There may be other sources


Fig. 48 TASSO: $\left\langle p_{T}^{2}\right\rangle$ distributions as a function of $z=p / p b$ for the "fat" and "slim" jet. Data are grouped in two energy bins. qq̄ models with different $\sigma_{q}$ are shown for comparison.
for jet broadening, some of which have been mentioned already.
b) Planar Event Structure

The radiation of a gluon would induce a planar structure of the event. Two ways of analysing coplanar structures were applied to the TASSO and PLUTO data: the three eigenvalues of the sphericity tensor and the Q-parameters (first defined by G. Alexander ${ }^{104}$ ) and the triplicity (first defined by $S$. Brandt and H. Dahmen ${ }^{90}$ ). The MARK J group studied the energy flow in terms of "oblateness".


Fig. 49 PLUTO: $\left\langle p^{2}\right\rangle$ distributions like in fig. 48. Data are compared with different $q \bar{q}$ predictions for $\sigma_{q}=0.25 \mathrm{GeV}(--)$ and $\sigma_{q}$ $=0.35 \mathrm{GeV}(-0-)$. The full curve shows the QCD calculation.

Oblateness
In the MARKJ analysis thrust is defined by the maximum energy flow in the detector

$$
T=\max \frac{\sum_{\substack{i}}^{\sum_{i}\left|\vec{E}^{i}{ }^{i} \vec{e}_{1}\right|}}{\substack{i}}
$$

The Energy flow $E^{i}$ is calculated for each detector hit. $\vec{e}_{1}$ is the direction of maximum energy flow.

To investigate the energy distribution perpendicular to $\vec{e}_{1}$ a new quantity "Major" is defined in analogy to thrust

$$
\text { Major }=\max \frac{\sum_{i}\left|\vec{E}^{i} \cdot \vec{e}_{2}\right|}{\sum_{i}\left|E^{i}\right|} \quad \vec{e}_{1} \cdot \vec{e}_{2}=0
$$

$\vec{e}_{2}$ is the direction of largest energy flow in a plane perpendicular to $\vec{e}_{1}$.
To define the flatness of an event a third axis $\vec{e}_{3}$ orthogonal to both $\vec{e}_{1}$ and $\vec{e}_{2}$ is constructed. The normalized energy flow along this axis is called "Minor". The flatness is then measured by a quantity called "0blateness".

$$
0=\text { Oblateness }=\text { Major }- \text { Minor }
$$

Fig. 50 shows the energy dependence of the mean oblateness <0> compared to a $q \bar{q}$ model and the $Q C D$ prediction ( $q \bar{q} \bar{q}$ ). We realize that the $q \bar{q}$ model decreases much faster with energy than the data, whereas QCD gives a proper description of the observed energy dependence.

The distributions of oblateness at low and high PETRA energies are compared in fig. 51. Again, at high energies, data cannot be described by the $\mathrm{q} \overline{\mathrm{q}}$ model, even if $\left\langle\mathrm{p}_{\mathrm{T}}\right\rangle$ is increased from 325 to 425 MeV (dashed and dashdotted lines). All measurements are in very good agreement with the QCD predictions (full curves).

## Eigenvalues of the Sphericity Tensor

Several methods are based on the generalized three-dimensional sphericity which is introduced in the following way ${ }^{70}$ : let us look at the expression

$$
T_{\alpha \beta}=\sum_{i}\left(\delta_{\alpha \beta}\left(p^{i}\right)^{2}-p_{\alpha}^{i} p_{\beta}^{i}\right) \quad \alpha, \beta=1,2,3
$$



Fia. 50 MARK J: the mean oblateness $\langle 0\rangle$ as a function of energy. Data are compared with the $q \bar{q}$ and the $q \bar{q} g$ model.


Fig. 51 MARK J: oblateness distributions for two different energies (27.4 to 31.6 GeV are grouped together). Data are compared with $\mathrm{q} \bar{q}$ predictions for $\left\langle\mathrm{p}_{\mathrm{T}}\right\rangle=325 \mathrm{MeV}(--)$ and $\left\langle\mathrm{p}_{\mathrm{T}}\right\rangle=425 \mathrm{MeV}(--)$ and the QCD mode1 ( - ).
defined in analogy to the inertia tensor. If we diagonalize this expression we obtain the (normalised) Eigenvalues $\lambda_{k}$ which correspond to the three main axes of the event in momentum space (fig. 52). If we order these $E$ :gemvalues such that

$$
\lambda_{1} \geq \lambda_{2} \geq \lambda_{3}
$$

then $\lambda_{3}$ closely resembles our well known sphericity definition

$$
\lambda_{3}=\frac{\sum\left(p_{1}^{i}\right)^{2}+\left(p_{2}^{i}\right)^{2}}{\sum\left(p^{i}\right)^{2}}=\frac{\sum\left(p_{T}^{i}\right)^{2}}{\sum\left(p^{i}\right)^{2}} \quad ; \quad S=3 \lambda_{3} /\left(\lambda_{1}+\lambda_{2}+\lambda_{3}\right)
$$

The physical meaning of $\lambda_{3}$ is again best understood from an analogy with the inertia tensor. $\lambda_{3}$ points into the direction of the smallest inertia moment in momentum space. To measure the flatness of events we have to study the other two Eigenvalues in particular $\lambda_{1}$ which points into the direction of the smallest extent of the event in momentum space.

It is convenient to define the following quantities ${ }^{87,104}$ (fig. 52):

$$
Q_{k}=1-\frac{2 \lambda_{k}}{\lambda_{1}+\lambda_{2}+\lambda_{3}}=\frac{\sum_{i}\left(p_{k}^{i}\right)^{2}}{\sum_{i}\left(p^{i}\right)^{2}}
$$

$Q_{k}$ points into the same direction as $\lambda_{k}$, however, it measures the sum of the momentum components $p_{k}$ parallel to the axis $\lambda_{k}$. Consequently the $Q_{k}$ are ordered in a rising sequence

$$
Q_{1} \leq Q_{2} \leq Q_{3}
$$

for a falling sequence of $\lambda_{k}$.


$$
\begin{aligned}
& \lambda_{k} \sim \sum_{i}\left(p_{1}^{i}\right)^{2} \\
& Q_{k} \sim \sum_{i}\left(p_{k}^{i}\right)^{2}
\end{aligned}
$$

Fig. 52 The sphericity tensor in analogy to the inertia tensor. Definition of $\lambda_{k}, Q_{k}$.
$<\mathrm{p}_{\text {in }}>$ and $<\mathrm{p}_{\mathrm{out}}{ }^{>}$
We recall the eigenvalues of the sphericity tensor $\lambda_{k}$ with $\lambda_{1} \leq \lambda_{2} \leq$ $\lambda_{3}$ and introduce the corresponding eigenvectors $\vec{n}_{1}, \vec{n}_{2}$ and $\vec{n}_{3}$.

In a disklike structure, the normal to the event plane is given by $\vec{n}_{1}$. The vector $\vec{n}_{2}$ lies in the disk and is normal to the sphericity vector $\vec{n}_{3}$. The following quantities are defined ${ }^{101}$ :

$$
\left\langle p_{\text {out }}^{2}\right\rangle=\left\langle\left(\vec{p} \vec{n}_{1}\right)^{2}\right\rangle
$$

measures the mean momentum component pointing out of the plane, whereas

$$
\left\langle p_{i n}^{2}\right\rangle=\left\langle\left(\vec{p} \vec{n}_{2}\right)^{2}\right\rangle
$$

gives the mean momentum component in the plane perpendicular to the main event axis $\vec{n}_{3}$.

Figs. 53-55 show the experimental distributions obtained by the PLUTO, TASSO, and JADE collaborations. We notice that, due to the method, the $\left\langle p_{\text {out }}^{2}>\right.$ distributions are narrower than the ones for $\left\langle p_{i n}^{2}\right\rangle$. The width of the distributions increases with energy. Whereas all <pout plots and the $\left\langle p_{i n}^{2}>\right.$ at low energies are well described by the conventional qq model (udscb), this model fails to describe the high energy data. Increasing $\sigma_{q}$ to 450 MeV as suggested by the $\mathrm{p}_{\mathrm{T}}$ distribution (fig. 45) gives a reasonable account of the TASSO data up to $\left\langle\mathrm{p}_{\mathrm{T}}^{2}\right\rangle \simeq 0.8 \mathrm{GeV}$ (fig. 54, dashed line). It does not explain the high momentum tail, however, This tail can be well explained by QCD, as demonstrated e.g. in the PLUTO data of fig. 53 (full curve). Note, however, that the distribution at intermediate $<p_{\text {in }}^{2}>$ is not well reproduced by neither $q \bar{q}$ nor $q \bar{q} g$.


Fig. 53 PLUTO: mean transverse momentum squared in and normal to the event plane $\left\langle\mathrm{p}^{2}\right.$ in $\rangle\left\langle\mathrm{p}_{\mathrm{in}}^{2}\right\rangle$ (text). Model calculation for two jets (-) and $Q C D^{n}(-)$ are shown for comparison.


Fig. 54 TASSO: the mean transverse momentum squared in and normal to the event plane. $\left\langle\mathrm{p}_{\mathrm{T}}^{2}\right\rangle_{\text {in }} \equiv\left\langle\mathrm{p}_{\text {in }}^{2}\right\rangle$ (text); $\left\langle\mathrm{p}^{2}\right\rangle_{\text {out }} \equiv\left\langle\mathrm{p}_{\text {out }}^{2}\right\rangle$ (text). Model calculations with $\sigma_{q}=0.3 \mathrm{GeV}(\longrightarrow)$ and $\sigma_{q}=0.45 \mathrm{GeV}(-)$.


Fig. 55 JADE: the mean transverse momentum squared in and normal to the event plane. $\left\langle p^{2} T\right\rangle_{\text {out }}$ and $\left.\left\langle\mathrm{p}^{2}\right\rangle\right\rangle_{\text {in }}$ as in fig. 54. Model calculations for $q \bar{q}(--)$ and $q \bar{q} g(-)$.

Aplanarity
The normalized eigenvalues

$$
Q_{k}=\frac{\sum\left(p_{k}^{i}\right)^{2}}{\sum\left(p^{i}\right)^{2}}
$$

defined above which measure the total momentum component along the axis $\vec{n}_{k}$ can be used to define a new variable

$$
A=3 / 2 Q_{1}=3 / 2\left\langle p_{\text {out }}^{2}\right\rangle /\left\langle p^{2}\right\rangle,
$$

the aplanarity ${ }^{105}$. In terms of $Q_{k}$ the sphericity can be written as

$$
S=3 / 2\left(Q_{1}+Q_{2}\right)=3 / 2\left\langle p_{T}^{2}\right\rangle /\left\langle p^{2}\right\rangle
$$

Since $Q_{1}+Q_{2}+Q_{3}=1$, events can be plotted in a Dalitz-like triangle. The TASSO group has carried out such an analysis. Fig. 56 shows the result for the low and high energy data separately. At both energies, most events fall into the jet corner at low sphericity. Planar events would congregate along the triangle side of low aplanarity. If one eliminates the "background" of two-jet events with $S<0.25$, the remaining sample may be subdivided into planar ( $A<0.04$ ) and non-planar events ( $A>0.04$ ). Table 16 gives the observed numers of coplanar events in the two energy regions together with the expectation of different models.

Table 16 Observed number of non-colinear ( $S>0.25$ ) coplanar ( $A<0.04$ ) events compared to different predictions (TASSO collaboration)

| Energy (GeV) | observed events | $\tilde{\sigma}_{p} \stackrel{q \bar{q}}{=} 0.3$ |  | $q \bar{q} g$ |
| :---: | :---: | :---: | :---: | :---: |
| 13-17 | 6 | 3.5 |  |  |
| 27.4-31.6 | 18 | 4.5 | 4.5 | $\sim 17$ |



Fig. 56 TASSO: distribution of events in a scatter plot of apianarity and sphericity. Data are grouped into low (a) and high (b) energies.

At low energies, the observed number of events agrees with the prediction of the q $\bar{q}$ model within statistical errors. In the high energy bin, however, the effect of 18 events cannot be reproduced by the $q \bar{q}$ model, even if the transverse momentum is increased ( $\sigma_{q}=0.45$ ).

A similar analysis based on a Dalitz plot of the high energy data was performed by the JADE collaboration. Fig. 57 shows scatter plots for the data and the $q \bar{q}$ and $q \bar{q} g$ mode1. A quantitative comparison is given in table 17. Again the $q \bar{q} g$ model is strongly favoured by the data.

Table 17 JADE: 0bserved number of events with $\left(\mathrm{Q}_{3}-\mathrm{Q}_{2}\right) / \sqrt{3}<0.35$ and $Q_{1}<0.07$ compared to model predictions.

| observed <br> $27.7 \div 30 \mathrm{GeV}$ | $q \bar{q}\left(\sigma_{q}=250 \mathrm{MeV}\right)$ | $q \bar{q} \mathrm{~g}$ |
| :--- | :---: | :--- |
| 23 | 6 | 22 |



Fig. 57 JADE: distribution of high energy events in a Dalitz plot of Q1 vs. $\left(\mathrm{Q}_{3}-\mathrm{Q}_{2}\right) / \sqrt{3}$.
a) Data
b) $q \bar{q}$ model prediction
c) $q \bar{q} g$ model prediction

Triplicity
The PLUTO data have been analyzed in terms of triplicity $T_{3}$ and thrust T. A correlation plot of the two quantities for the high energy sample (27.4-31.6 GeV) is given in fig. 58. Two-jet events cluster in the corner of large $T$ and $T_{3}$ (two and three jet events cannot be distinguished at large $T$ ). Let us look at the events with small $T<0.8$ which are our three jet candidates. In fact, if we plot the angles $\theta_{\dot{i}}$ found by the triplicity analysis (fig. 58e) we realize that these events cluster around values of


Fig. 58 PLUTO: high energy data (27.4 to 31.6 GeV ) are shown in a scatter plot of triplicity $T_{3}$ vs. thrust $T(d)$ and in a Dalitz plot of the jet angles $\theta_{i}(e)$. Open circles in (e) correspond to the region ( $\left.T<0.8, T_{3}<0.9\right)$. Fig. (a), (b) and (c) illustrate the definition of $\theta_{j}$.
$\theta_{1}=\theta_{3} \simeq 120^{\circ}$ (open circles in fig. 58e). Table 18 gives a quantitative comparison of events observed and expected under various selection criteria for three-jet candidates ( $T_{3}>0.9, T<0.8, \theta_{3}<150^{\circ}$ ). In addition, results for a selection similar to TASSO are given: $\left(S>0.25, Q_{1}<0.03\right)$. Whereas all numbers at low energies are well accounted for by simple $q \bar{q}$ models (with $\sigma_{q}=250 \mathrm{MeV}$ ) this model cannot reproduce the high energy data, even if $\sigma_{q}$ is increased to 350 MeV .

Conclusion
In conclusion, all data indicate an increasing number of events with planar structure which cannot be accounted for by a simple rise in $\left\langle p_{T}^{2}\right\rangle$.

Table 18 Observed and expected numbers of events obeying different selection criteria

| $\mathrm{E}_{\mathrm{CM}}$ | selected region | events observed | events $\left(\sigma_{q}=\right.$ | ected <br> $\mathrm{MeV} / \mathrm{c}$ ) | events $\left(\sigma_{q}=3\right.$ | pected $\mathrm{MeV} / \mathrm{c}$ ) | events expected $\left(\sigma_{q}=350 \mathrm{MeV} / \mathrm{c}\right)$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| (GeV) |  |  | q $\bar{q}$ | $q \bar{q} g$ | qq | 999 | $q \bar{q}$ |
| 13-17 | $\begin{aligned} & T_{3}>0.9, T<0.8 \\ & \text { (three-jet events) } \end{aligned}$ | 24 | 11 | 15 | 15.5 | 17.5 | 20 |
|  | $\theta_{3}<150^{\circ}$ | 32 | 25 | 32 | 27 | 33 | 29 |
|  | $S>0.25, Q_{1}<0.03$ <br> (planar events) | 7 | 8 | 8 | 9 | 9 | 11 |
| 27-32 | $\begin{aligned} & \mathrm{T}_{3}>0.9, \mathrm{~T}<0.8 \\ & \text { (three-jet events) } \end{aligned}$ | 48 | 11 | 43 | 23.5 | 48.5 | 36 |
|  | $\theta_{3}<150^{\circ}$ | 52 | 19 | 51 | 25 | 50 | 31 |
|  | $S>0.25, Q_{1}<0.03$ <br> (planar events) | 35 | 12 | 30 | 17 | 30 | 22 |

The effect is well reproduced by QCD predictions. Note, however, that with the present event numbers, the statistical significance of this planar structure is not overwhelming.
c) Three Jet Events?

We have seen that the number of planar events is well compatible with the expectation of QCD. If qq̄g is the source of these events; there should be some hard gluon bremsstrahlung events with a visible three-jet structure. (At the $T$ there was no chance to observe 3 jets, due to the low energy. At high PETRA energies about 10 GeV per jet should be sufficient to see this structure.) Fig. 59g-i shows an example for such an event in the TASSO data. For comparison, an event from the two-jet sample is given in fig. 59a-c. The projections into the plane perpendicular to the smallest extent in momentum space $\vec{n}_{1}$ are shown in fig. 59a and $g$ (top view). Projections $b, h$ view along the main event axis $\vec{n}_{3}$ and $c$, $i$ give the side view. a-c confirm the main characteristics of a two-jet event: elongation along the main axis with limited $p_{\mathrm{T}}$. Fig. 59 , however, shows a broad distribution of momenta with a clustering in three-jet directions (dashed lines).

One may argue that only charged particles are shown here and the neutrals may destroy the threefold structure. However, including neutral energy detection, figs. 60 and 61 show a corresponding example of planar events from PLUTO and JADE. Neutral (dashed) and charged (full lines) particles are well collimated in three jets. (The jet axes are indicated by thick bars in fig. 60.)
d) Strong_Goupling Constant

In the preceeding comparison of data and QCD prediction a standard value of $\Lambda=0.5 \mathrm{GeV}$ was used. Of course we can turn the argument the other way and extract $\alpha_{s}$ (or $\Lambda$ ) from this comparison.

A summary of values obtained for $\alpha_{s}$ by the different groups is given in fig. 62. They agree within the error bars yielding an average of


Fig. 59 TASSO: a typical two-jet event, (a), (b) and (c) are different views as defined in the text. Charged
a) , b) + c) particle momenta are represented by full lines, the length is proportional to the particle momentum.


Fig. 59 TASSO: a three-jet candidate, ( g ), ( h ) and ( i ) are different prog),h)+i) $\begin{aligned} & \text { jections as } \\ & \text { jet momenta }\end{aligned}$


Fig. 60 PLUT0: a three-jet candidate. Different projections are shown (comp. fig. 59). The full lines correspond to charged particles, the dashed lines to neutral energy. The thick bars indicate the jet direction.


Fig. 61 JADE: The three projections of a three-jet event. The solid lines indicate charged particle tracks, the dashed photons.


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Fig. 62 MARKJ $^{106}, 107$, PLUTO $^{74}$, JADE $^{103}$, TASSO $^{107}$ : the strong coupling constant $\alpha_{S}$, at ECM $\simeq 30 \mathrm{GeV}$.

$$
\alpha_{\mathrm{s}}(30 \mathrm{GeV}) \simeq 0.2
$$

which corresponds to

$$
\Lambda \simeq 0.5 \mathrm{GeV} .
$$

## 4. Conclusion

The jet analysis at highest PETRA energies has shown a clear deviation from a simple extrapolation of the two-jet behvaiour at low energies ( $\leq 10 \mathrm{GeV}$ ).

In particular the $p_{T}^{2}$ distributions and the seagull effect can only be explained by an increase of $\left\langle p_{T}^{2}\right\rangle$ with energy. The amount by which the $\left\langle p_{T}^{2}\right\rangle$ is changed is an agreement with QCD.

In addition, a (low) fraction of the high energy events exhibits a planar structure. Again, this effect can be well explained by gluon bremsstrahlung.

All observations are in quantitative agreement with QCD predictions. The value of $\alpha_{s}$ extracted from these data is $\alpha_{s}(30 \mathrm{GeV}) \simeq 0.2$,

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