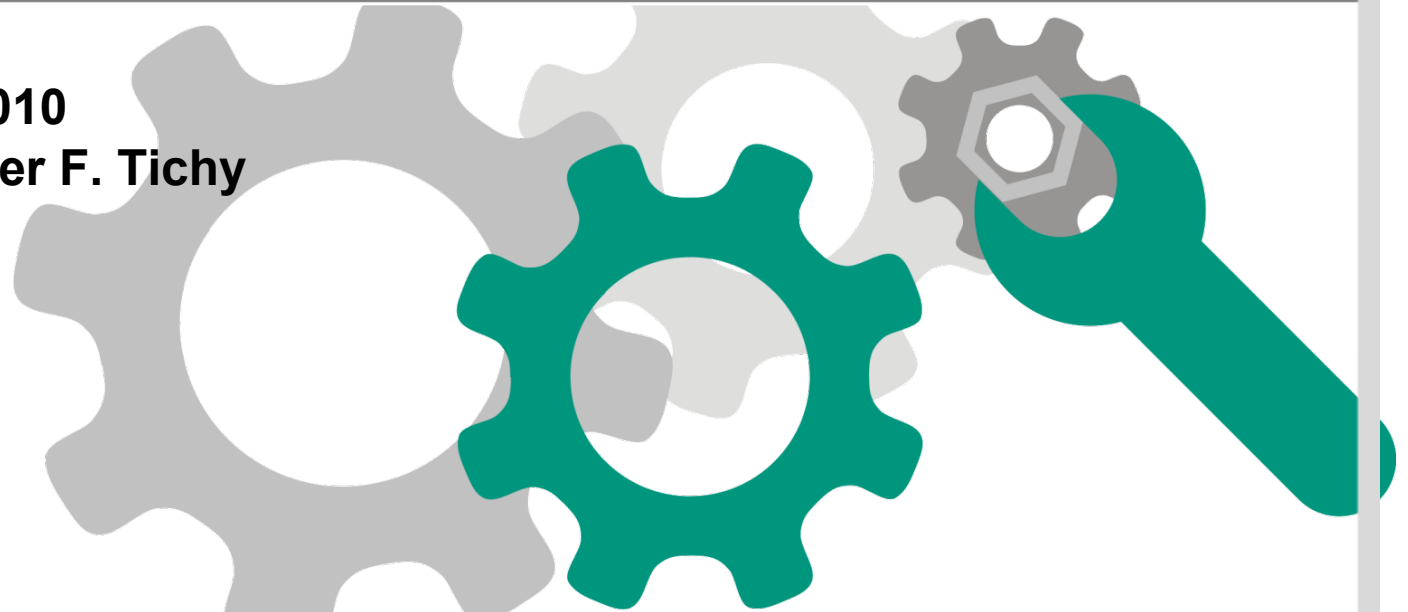


# Identifying Ad-hoc Synchronization for Enhanced Race Detection

IPD Tichy – Lehrstuhl für Programmiersysteme

**IPDPS – 20 April, 2010**  
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## Motivation

- Data races (unsynchronized accesses to share variables) are a common defect in parallel programs.
- They are difficult to find.
- Race detectors are impractical
  - They produce thousands to millions of false warnings.
  - Programmers are overwhelmed by false positives.
- Why false positives?
  - Ad-hoc, programmer-defined synchronizations
  - Unknown synchronization libraries
  - Detectors cannot reason about these, causing many false positives
- Contribution: how to handle user-defined synchronization and unknown synchronization libraries, reducing false positives.

## What is a Data Race?

- Two or more concurrent accesses to a shared location, at least one of them a write.

Thread 1

$X = 0$

$X++$

Thread 2

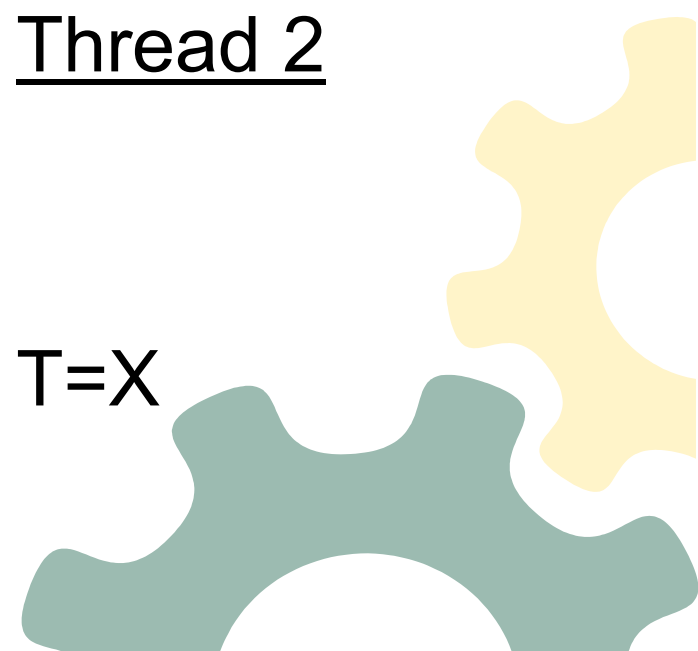
$T = X$

## Example – Data Race

- First Interleaving:
 

	<u>Thread 1</u>	<u>Thread 2</u>
1.	X=0	
2.		T=X
3.	X++	
  
- Second Interleaving:
 

	<u>Thread 1</u>	<u>Thread 2</u>
1.	X=0	
2.	X++	
3.		T=X
  
- T==0 or T==1?



# How Can Data Races be Prevented?

- Explicit synchronization between threads:
  - Locks
  - Critical Sections
  - Barriers
  - Mutexes
  - Semaphores
  - Monitors
  - Events (signal/wait)
  - Etc.

Thread 1  
**Lock(m)**

$X=0$

$X++$

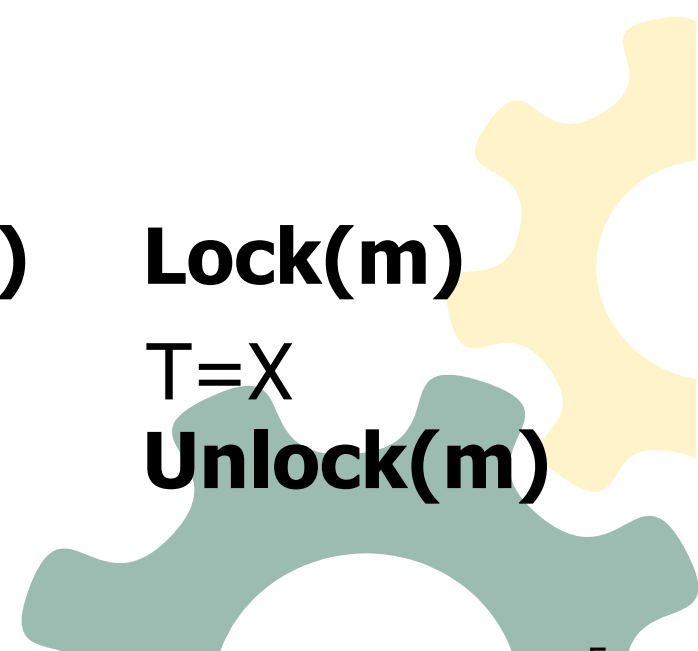
**Unlock(m)**

Thread 2

**Lock(m)**

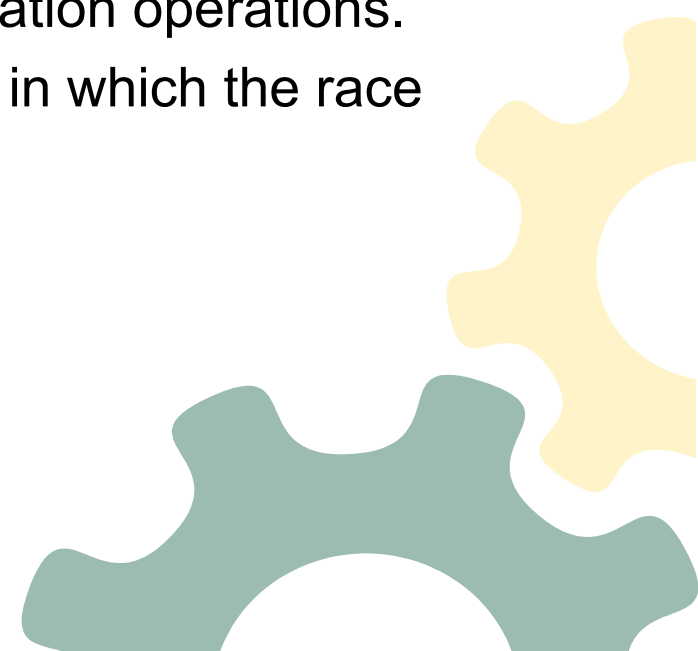
$T=X$

**Unlock(m)**



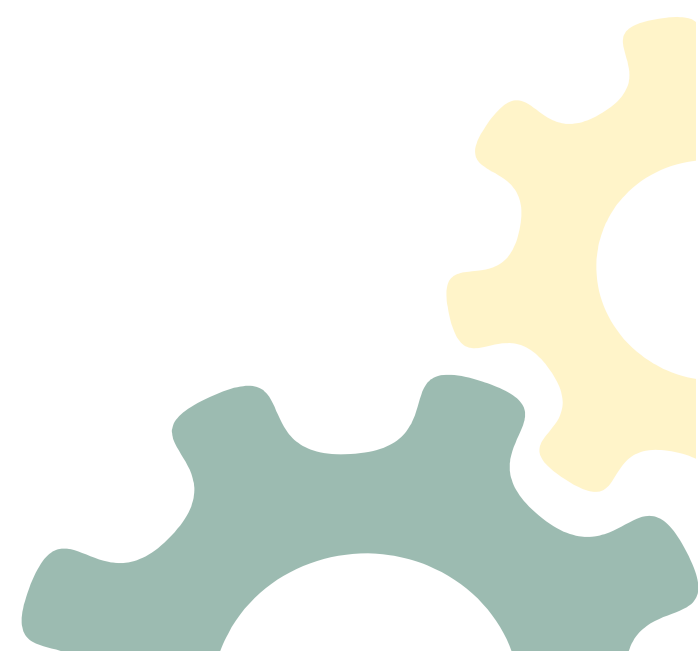
## Detection Approaches

- **Static:** perform a compile-time analysis of the code, reporting potential races.
- **Dynamic:** use tracing mechanism to detect whether a particular execution of a program actually exhibits data-races
  - The program must be instrumented with additional instructions to monitor shared variables and synchronization operations.
  - Every shared variable has a shadow cell in which the race detector stores additional information.



# Dynamic Data Race Detection

- Dynamic Data Race Detection
  - Lockset analysis
  - Happens-before analysis
  - Hybrids (combining Lockset and Happens-before)



## Lockset Analysis

- Observe all instances where a shared variable is accessed by a thread.
- Check whether the shared variable is always protected by the same lock.
- If variable isn't protected, issue a warning.
- The lockset for a variable is initially set to all locks occurring in program.
- Whenever a variable is accessed, remove all locks from the variable's lockset that are not currently protecting the variable.
- When the lockset is empty, issue a warning.



# Lockset Analysis

Thread 1	Thread 2	Lockset <sub>v</sub>
Lock( m1 ); v = v + 1; Unlock( m1 );	Lock( m1 ); v = v + 1; Unlock( m1 );	{m1, m2, ...}  {m1}
v = v + 1;		{m1}  {}

## Lockset - False Positives

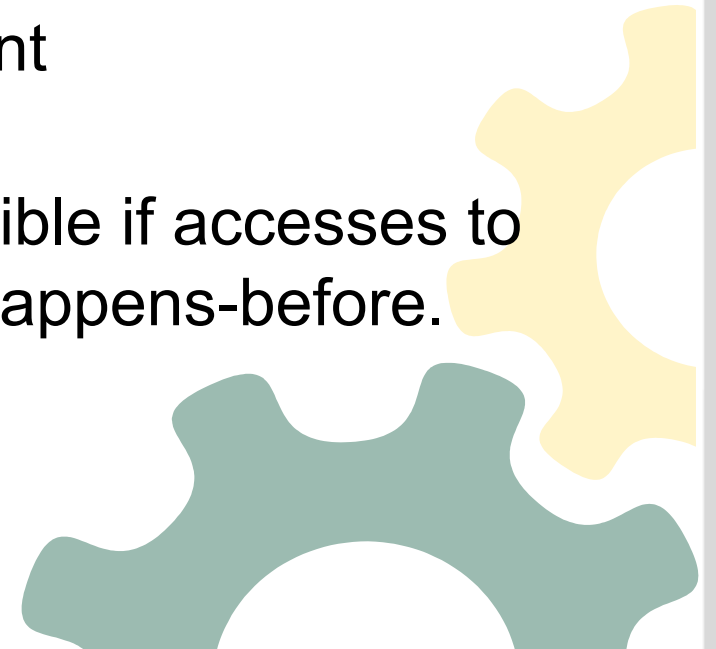
- The lockset algorithm will produce a false alarm in the following simple case:
  - Not able to detect signal-wait operation

Thread 1  
 $X=0$   
 $X++$   
**Signal(CV)**

Thread 2  
**Wait(CV)**  
 $T=X$

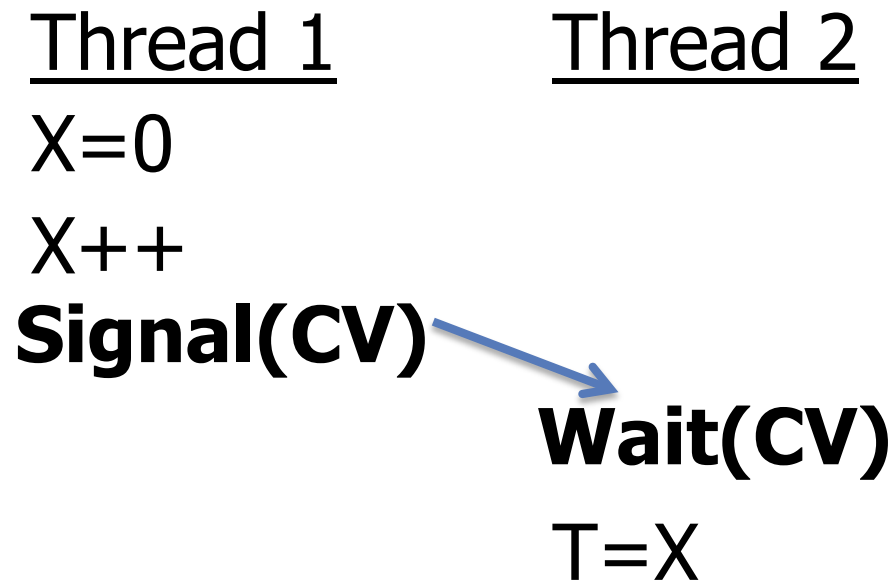
# Happens-Before Relation

- Based on Lamport's Clock
- Let event **a** be in thread A and event **b** be in thread B.
  - If event **a** and event **b** are paired synchronization operations, construct a happens-before edge between them:
    - E.g. If  $a = \text{unlock}(\mu)$  and  $b = \text{lock}(\mu)$  then  $a \xrightarrow{\text{hb}} b$  (*a happens-before b*)
- Shared accesses **i** and **j** are concurrent
  - if neither  $i \xrightarrow{\text{hb}} j$  nor  $j \xrightarrow{\text{hb}} i$  holds.
- Data races between threads are possible if accesses to shared variables are not ordered by happens-before.



## Happens-Before - Example 1

- Happens-before analysis will **eliminate** the false alarm in the following simple case:



# Happens-Before - Example 2

**Thread 1**

lock(mu);



v = v + 1;



unlock(mu);

**Thread 2**

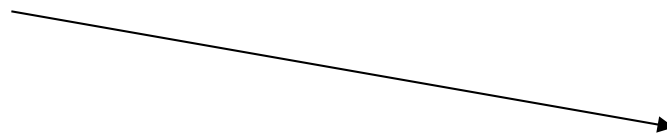
lock(mu);



v = v + 1;



unlock(mu);



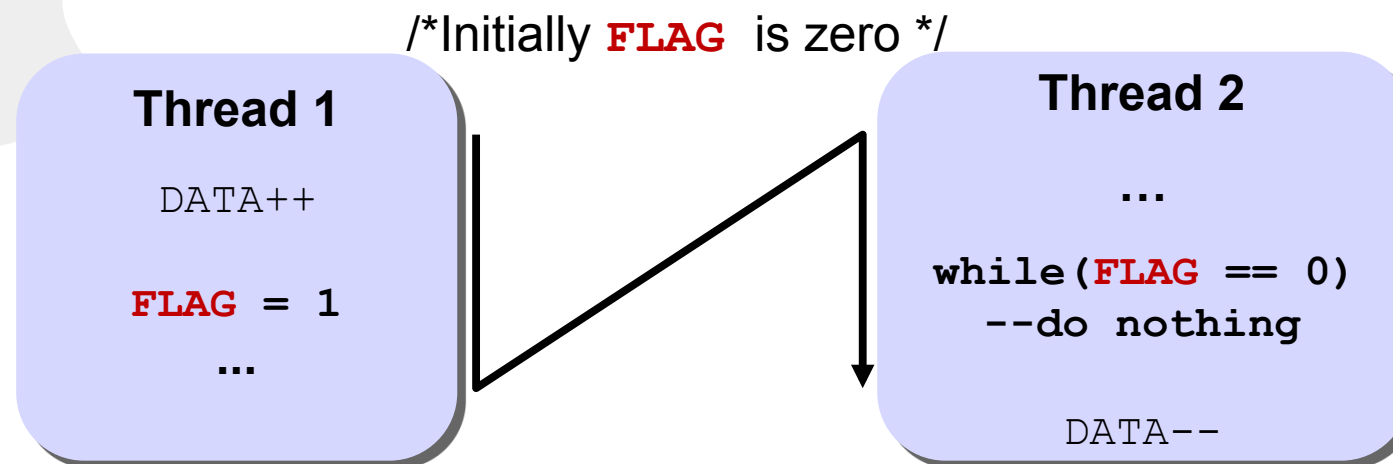
The arrows represent happens-before.  
The events represent an actual execution of  
the two threads.

# Helgrind<sup>+</sup>

- Efficient hybrid dynamic race detector
  - Introduces a new hybrid algorithm based on lockset algorithm and happens-before analysis
  - Does runtime analysis and uses code and semantic information
- Different memory state machines for
  - short-running applications (during development - unit test)
    - More sensitive, but produces more false positives
  - long-running applications (integration testing)
    - Less sensitive, might miss a race on first iteration, but not on second
- Automatically handling of synchronization bug patterns related to condition variables without any source code annotation
  - Lost signal detector
  - Spurious wake-up detection

## Ad-hoc (User-defined) Synchronization

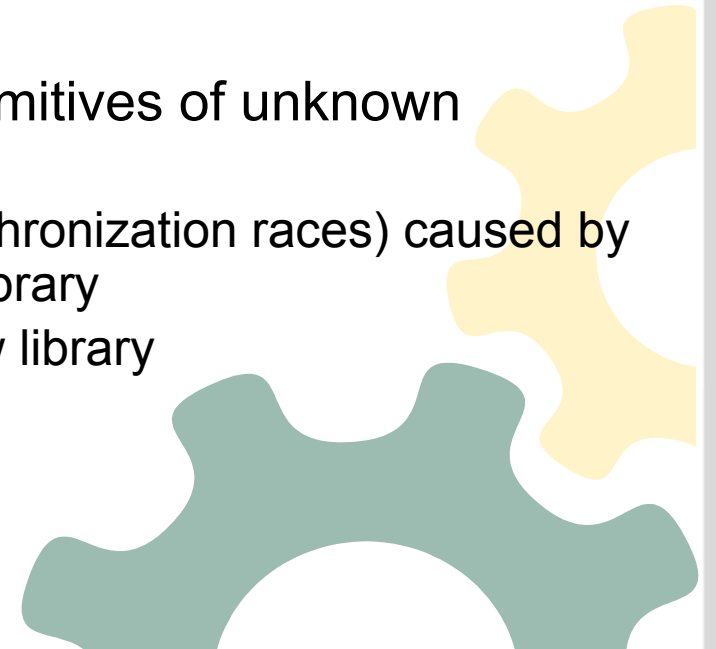
- Synchronization constructs implemented by user for performance reasons
  - High level synchronizations (e.g. task queues)
  - Spinning read loop instead of a library wait operation



- Ad-hoc synchronizations are widely used
  - 12 - 31 in SPLASH-2 and 32 - 329 in PARSEC 2.0

# Ad-hoc Synchronization

- Source of false positives
  - **Apparent races** (e.g. DATA)
  - **Synchronization races** (e.g. **FLAG**)
  - Detectors should identify and suppress them
- We developed a dynamic method to detect ad-hoc synchronization
  - Automatically without any user action
  - Capable of identifying synchronization primitives of unknown libraries
    - Eliminates false races (apparent and synchronization races) caused by unknown synchronization primitives of a library
    - No need to upgrade the detector for a new library





## Common Pattern

- Spinning read loop (spin-lock) is a common pattern for ad-hoc synchronizations
  - Happens-before relation induced by spin-lock synchronization

### Thread 1

```
do_before(X)
```

```
Set CONDITION to TRUE
```

```
...
```

```
...
```

**Counterpart write**

### Thread 2

```
...
```

```
while(!CONDITION) {  
  /* do_nothing() */  
}
```

```
do_after(X)
```

**Spinning read loop**

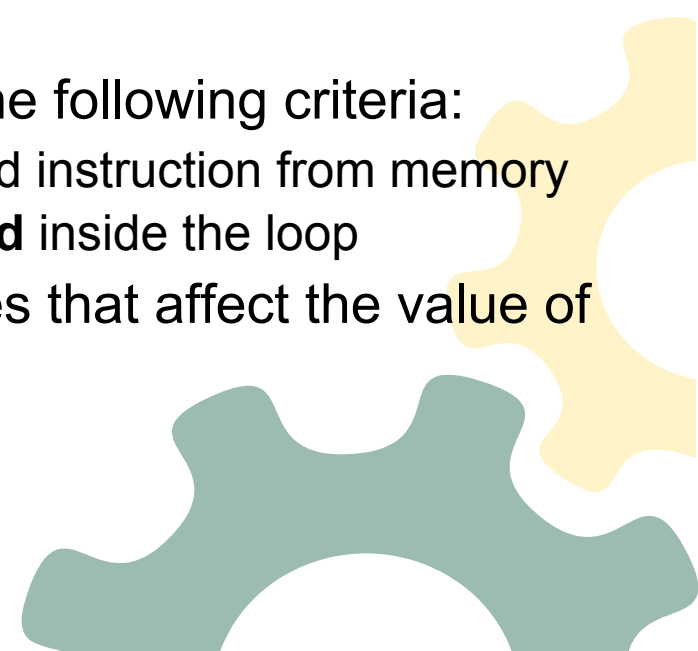
## Common Pattern

- Implementation of different synchronization primitives in libraries follows the same pattern as in spinning read loop
  - e.g. implementation of `Barrier()` :

```
...  
    Lock(L)  
        counter++  
    Unlock(L)  
  
while(!counter!=NUMBER_THREADS) {  
    /* do_nothing() */  
}  
...
```

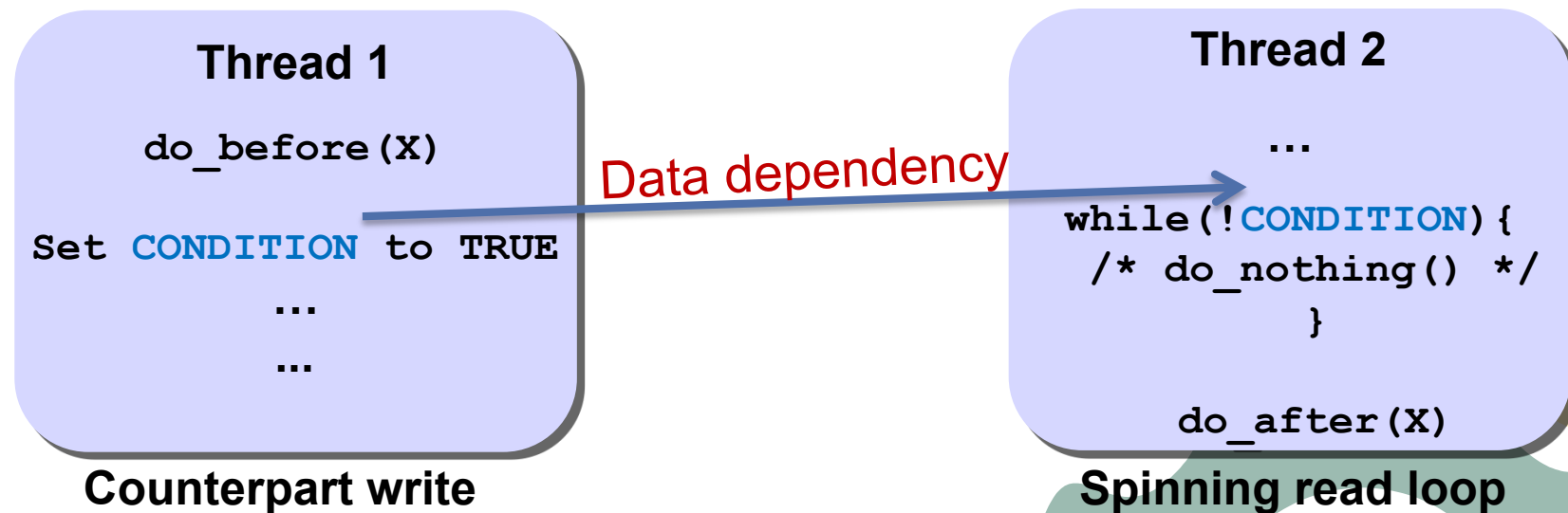
# Detecting Ad-hoc Synchronizations

- General dynamic approach
  - **Instrumentation** phase and
  - **Runtime** phase
- Instrumentation phase (code/semantic analysis)
  - Search the binary code to find all loops
    - Control flow analysis on the fly
    - Consider small loops (3 to 7 basic blocks)
  - Detect the spinning read loop based on the following criteria:
    - The loop condition involves at least one load instruction from memory
    - The value of loop condition is **not changed** inside the loop
  - Instrument the loop and mark the variables that affect the value of the loop condition to be treated specially.



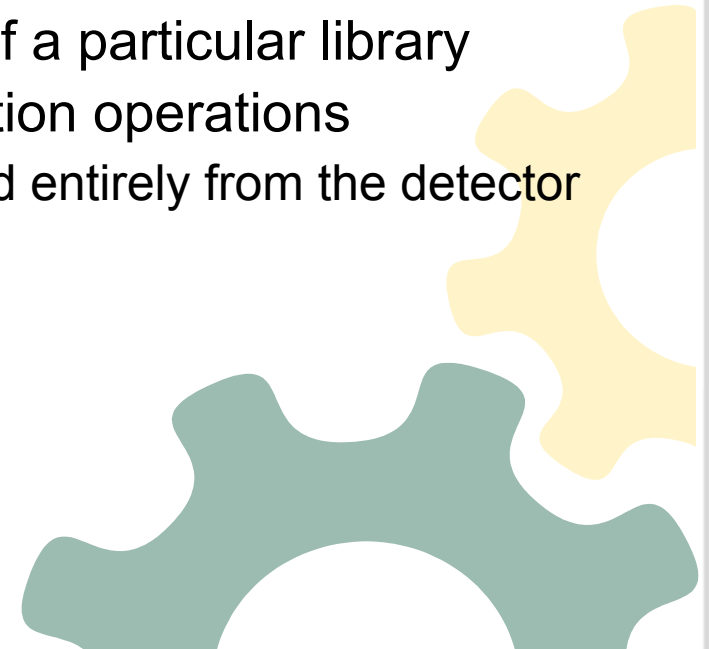
# Detecting Ad-hoc Synchronizations

- Runtime phase
  - Data dependency analysis
    - Monitor all write/read accesses
    - Identify the write/read dependency
      - Between the variables of instrumented spinning loop condition and those in counterpart write
    - Establish a happens-before relation between corresponding parts



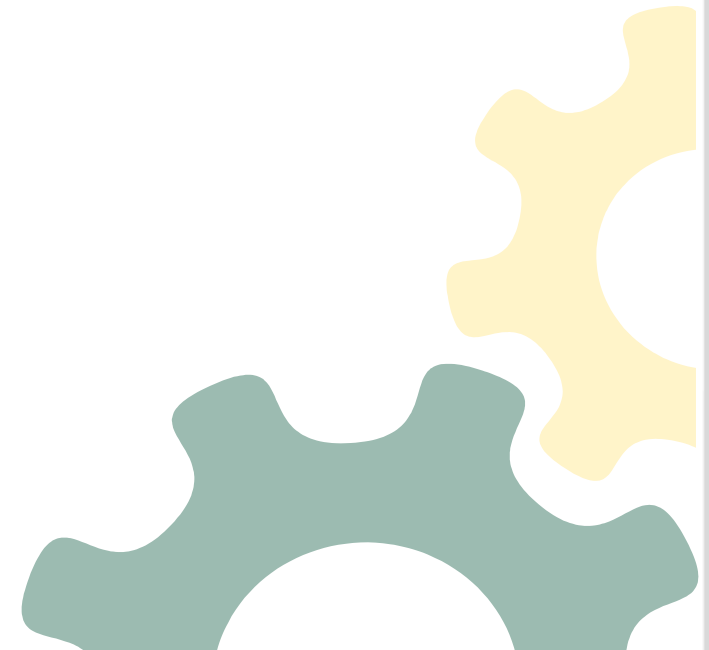
# Detecting Unknown Synchronization Primitives

- Synchronization operations are ultimately implemented by spinning read loops
- Identify unknown synchronization operations if based on spinning read loops.
- **If this works, then we actually get a universal race detector**
  - Not limited to synchronization primitives of a particular library
  - General approach to identify synchronization operations
    - Information about libraries can be removed entirely from the detector



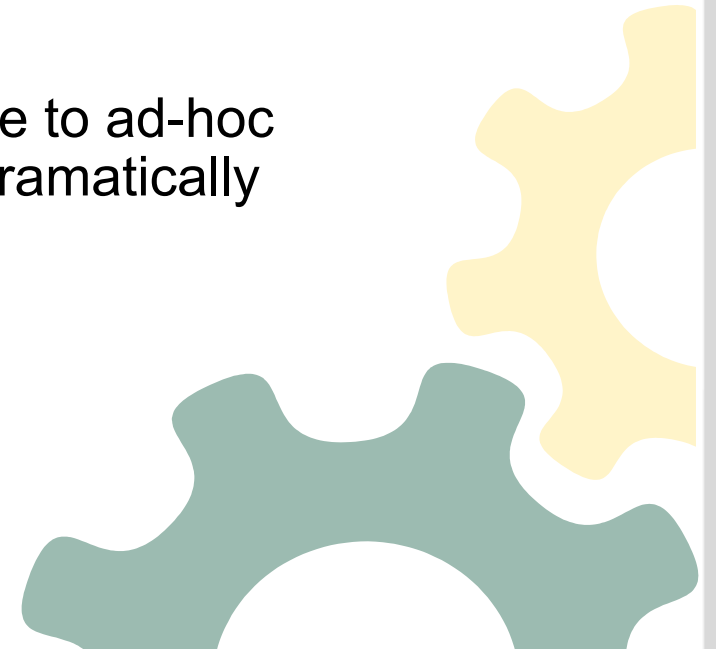
# Implementation

- We implement the presented approach into our race detector **Helgrind<sup>+</sup>**
- Helgrind<sup>+</sup>
  - A hybrid dynamic race detector
    - Combines lockset algorithm and happens-before analysis
  - It is open source and built on top of Valgrind (a binary instrumentation tool)



## Experiments & Evaluation

- The approach is evaluated on different benchmarks
  - data-race-test – a test suite framework for race detectors
  - PARSEC 2.0 Benchmarks
- All experiments were conducted on:
  - 2 \* 1,86 GHz Xeon E5320 Quadcores, 8 GB RAM
  - OS: Linux (Ubuntu 8.10.2)
- New features in Helgrind<sup>+</sup>
  - Reduces the number of false positives due to ad-hoc synchronizations and unknown libraries dramatically



## Test Suite – data-race-test

- 120 different test cases (2-16 Threads)
  - Test cases are racy or race-free programs (using Pthread)
    - Includes difficult cases
  - Spinning read loop detection of up to 7 basic blocks
    - 24 false positives and one false negative are removed
  - Removing information about Pthread library (unknown library)
    - Only one false positive more

Tools	False alarms	Missed races	Failed cases	Correctly analyzed cases
Helgrind <sup>+</sup> lib	32	8	40	80
Helgrind <sup>+</sup> lib+spin(7)	<b>8</b>	<b>7</b>	<b>15</b>	<b>105</b>
Helgrind <sup>+</sup> nolib+spin(7)	9	7	16	104
DRD	13	20	33	87



## Test Suite – data-race-test

- Best result achieved with seven basic blocks using spinning read loop detection as a complementary method
- In most cases spinning read loops contain more than 3 basic blocks
  - loop conditions use templates and complex function calls

Tools	False alarms	Missed races	Failed cases	Correctly analysed cases
Helgrind+ lib+spin(3)	24	7	31	89
Helgrind+ lib+spin(6)	23	7	30	90
<b>Helgrind+ lib+spin(7)</b>	<b>8</b>	<b>7</b>	<b>15</b>	<b>105</b>
Helgrind+ lib+spin(8)	8	7	15	105

# PARSEC 2.0

Program	Parallelization model	LOC	Synchronisation primitives			Ad-hoc
			CVs	Locks	Barriers	
blackscholes	POSIX	812	-	-	✓	-
swaptions	POSIX	4,029	-	-	-	-
fluidanimate	POSIX	3,689	-	✓	-	-
canneal	POSIX	29,31	-	✓	-	-
freqmine	OpenMP	10,279	-	-	-	-
vips	GLIB	1,255	✓	✓	-	✓
bodytrack	POSIX	9,735	✓	✓	✓	✓
facesim	POSIX	1,391	✓	✓	-	✓
ferret	POSIX	2,706	✓	✓	-	✓
x264	POSIX	1,494	✓	✓	-	✓
dedup	POSIX	3,228	✓	✓	-	✓
streamcluster	POSIX	40,393	✓	✓	✓	✓
raytrace	POSIX	13,302	✓	✓	-	✓

# Programs without Ad-hoc Synchronizations

- No false positives for first 4 programs
- In case of using the unknown library **OpenMP** only 2 false positives remain

Program	Para. model	LOC	Racy Contexts			
			Helgrind+ lib	Helgrind+ lib+spin	Helgrind+ nolib+spin	DRD
blackscholes	POSIX	812	0	0	0	0
swaptions	POSIX	4,029	0	0	0	0
fluidanimate	POSIX	3,689	0	0	0	0
canneal	POSIX	29,31	0	0	0	0
freqmine	<b>OpenMP</b>	10,279	153.4	2	2	1000

# Programs with Ad-hoc Synchronizations

- In 5 out of 8 programs false positives are completely eliminated

Program	Para. model	LOC	Racy Contexts			
			Helgrind+ lib	Helgrind+ lib+spin	Helgrind+ nolib+spin	DRD
vips	<b>GLIB</b>	1,255	50.8	<b>0</b>	0	858.6
bodytrack	POSIX	9,735	36.8	<b>3.6</b>	32.4	34.6
facesim	POSIX	1,391	113.8	<b>0</b>	0	1000
ferret	POSIX	2,706	111	<b>2</b>	47	214.6
x264	POSIX	1,494	1000	<b>19</b>	28	1000
dedup	POSIX	3,228	1000	<b>0</b>	2	0
streamcluster	POSIX	40,393	4	<b>0</b>	1	1000
raytrace	POSIX	13,302	106,4	<b>0</b>	0	1000

# Programs with Ad-hoc Synchronizations

- 3 programs produce false positives (2 to 19 warnings)
  - Function pointers for condition evaluation and obscure implementation of task queue (do not match the spin patterns)

Program	Para. model	LOC	Racy Contexts			
			Helgrind+ lib	Helgrind+ lib+spin	Helgrind+ no lib+spin	DRD
vips	<b>GLIB</b>	1,255	50.8	<b>0</b>	0	858.6
bodytrack	POSIX	9,735	36.8	<b>3.6</b>	32.4	34.6
facesim	POSIX	1,391	113.8	<b>0</b>	0	1000
ferret	POSIX	2,706	111	<b>2</b>	47	214.6
x264	POSIX	1,494	1000	<b>19</b>	28	1000
dedup	POSIX	3,228	1000	<b>0</b>	2	0
streamcluster	POSIX	40,393	4	<b>0</b>	1	1000
raytrace	POSIX	13,302	106,4	<b>0</b>	0	1000

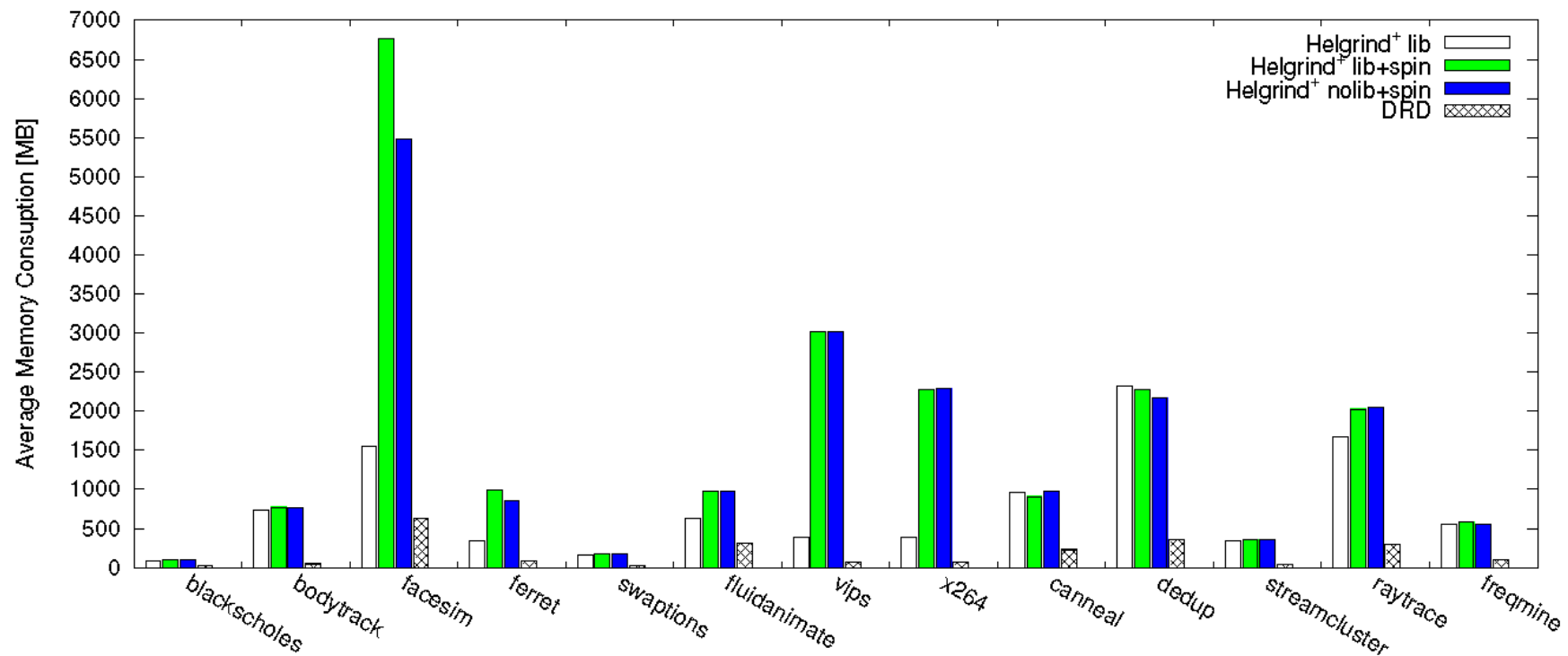
# Universal Race Detector

Program	Para. model	LOC	Racy Contexts			
			Helgrind+ lib	Helgrind+ lib+spin	Helgrind+ nolib+spin	DRD
			0	0	0	0
			0	0	0	0
			0	0	0	0
canneal	POSIX	29,31	0	0	0	0
freqmine	OpenMP	10,279	153.4	2	2	1000
vips	GLIB	1,255	50.8	0	0	858.6
bodytrack	POSIX	9,735	36.8	3.6	32.4	34.6
facesim	POSIX	1,391	113.8	0	0	1000
ferret	POSIX	2,706	111	2	47	214.6
x264	POSIX	1,494	1000	19	28	1000
dedup	POSIX	3,228	1000	0	2	0
streamcluster	POSIX	40,393	4	0	1	1000
raytrace	POSIX	13,302	106,4	0	0	1000

Happens-before detector  
 • false positives are  
 Slightly increased  
 in 4 cases

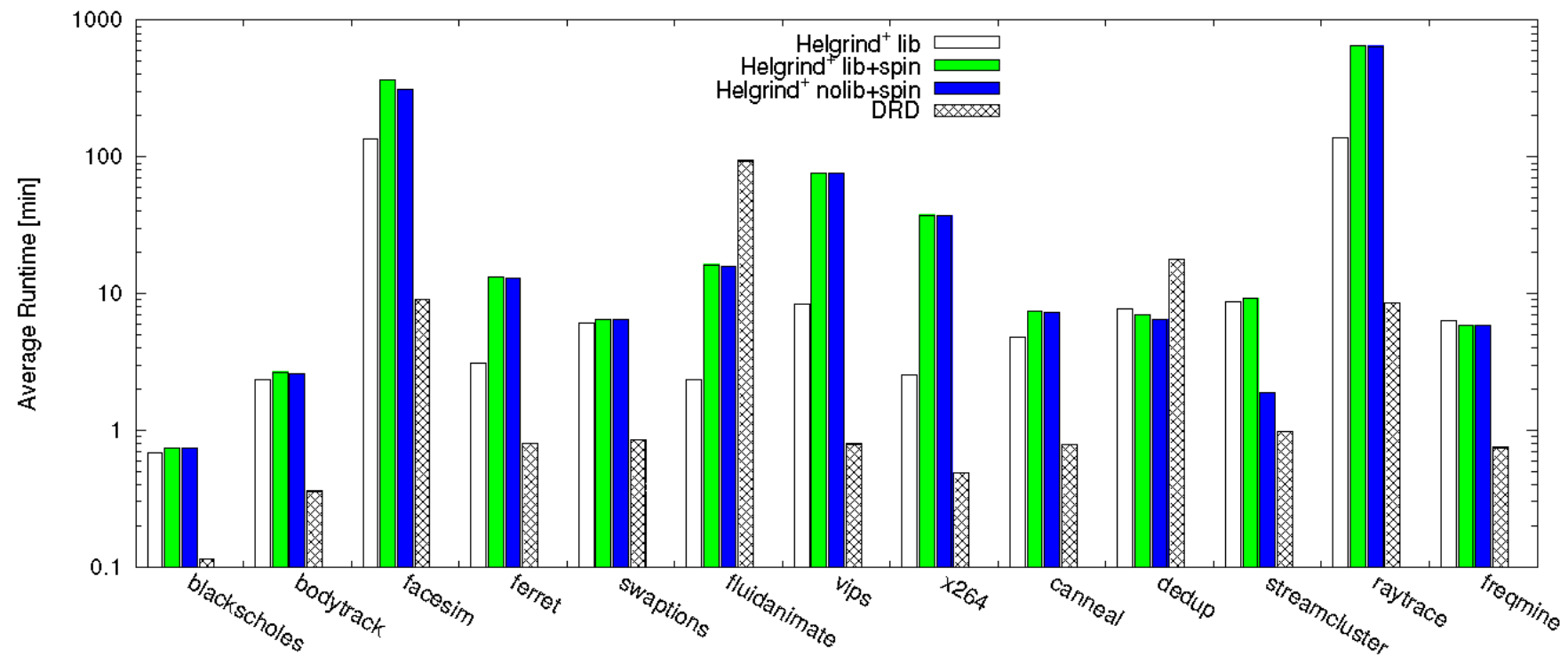
# Performance

- Minor overhead due to the new feature for spinning read detection
- Memory consumption:



# Performance

- Slight runtime overhead:





## Summary

- Knowledge of all synchronization operations are crucial for accurate data race detection
  - Missing ad-hoc synchronizations causes a lot of false positives
- We present a dynamic method that is able to identify ad-hoc and unknown synchronizations in programs
- **Universal race Detector**
  - No need to upgrade the detector for unknown libraries
- Best results achieved when using it as complementary method (applicable for every race detector)
- Future work: Improving the accuracy of the universal race detector by identifying the lock operations (enabling lockset analysis).

Thank you

Questions?

**This work:** Ali Jannesari, Walter F. Tichy, *Identifying Ad-hoc Synchronization for Enhanced Race Detection*, to appear in *International Parallel & Distributed Processing Symposium (IPDPS'10)*, Apr 2010.

**Helgrind+:** Ali Jannesari, Kaibin Bao, Victor Pankratius, Walter F. Tichy, *Helgrind+: An Efficient Dynamic Race Detector*, *Proceedings of the 23rd international Parallel & Distributed Processing Symposium (IPDPS'09)*, 2009.

[www.ipd.uka.de/Tichy/](http://www.ipd.uka.de/Tichy/)