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D^* polarization vs. $R_{D^{(*)}}$ anomalies in the leptoquark models

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Abstract: Polarization measurements in $\overline{B} \to D^{(*)}\tau\overline{\nu}$ are useful to check consistency in new physics explanations for the R_D and R_{D^*} anomalies. In this paper, we investigate the D^* and τ polarizations and focus on the new physics contributions to the fraction of a longitudinal D^* polarization ($F_L^{D^*}$), which is recently measured by the Belle collaboration $F_L^{D^*} = 0.60 \pm 0.09$, in model-independent manner and in each single leptoquark model (R_2, S_1 and U_1) that can naturally explain the $R_{D^{(*)}}$ anomalies. It is found that $\mathcal{B}(B_c^+ \to \tau^+\nu)$ severely restricts deviation from the Standard Model (SM) prediction of $F_{L,\mathrm{SM}}^{D^*} = 0.46 \pm 0.04$ in the leptoquark models: $[0.43, 0.44]$, $[0.42, 0.48]$, and $[0.43, 0.47]$ are predicted as a range of $F_L^{D^*}$ for the R_2, S_1, and U_1 leptoquark models, respectively, where the current data of $R_{D^{(*)}}$ is satisfied at $1\,\sigma$ level. It is also shown that the τ polarization observables can much deviate from the SM predictions. The Belle II experiment, therefore, can check such correlations between $R_{D^{(*)}}$ and the polarization observables, and discriminate among the leptoquark models.

Keywords: Beyond Standard Model, Heavy Quark Physics

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1 Introduction

Semi-leptonic B meson decays have been investigated to test the Standard Model (SM) since the CLEO, BaBar, and Belle experiments were established. In the processes, the SM predicts the specific flavor structure: the quark mixing is suppressed by the Cabbibo-Kobayashi-Maskawa (CKM) matrix elements [1, 2] and the dependence on the lepton flavor in the final state is universal in the predictions. Therefore, steady efforts have been made to measure them with high accuracy. The measurements are significant for not only the test of the SM but also probing New Physics (NP).

On recent years, the semi-tauonic processes, $\overline{B} \to D^{(*)}\tau\overline{\nu}$, have come under the spotlight since the BaBar [3, 4], Belle [5–7] and LHCb [8, 9] experiments have shown discrepancies between their data and the SM predictions in the measurements of

$$R_D = \frac{\mathcal{B}(\overline{B} \to D\tau\bar{\nu})}{\mathcal{B}(\overline{B} \to D\ell\bar{\nu})}, \qquad R_{D^*} = \frac{\mathcal{B}(\overline{B} \to D^*\tau\bar{\nu})}{\mathcal{B}(\overline{B} \to D^*\ell\bar{\nu})}, \tag{1.1}$$

where $\ell = e, \mu$.

The current situation on the experimental values and the SM predictions are summarized in ref. [10] as $R_D^{\rm exp} = 0.407 \pm 0.046$, $R_{D^*}^{\rm exp} = 0.306 \pm 0.015$, $R_D^{\rm SM} = 0.299 \pm 0.003$, and $R_{D^*}^{\rm SM} = 0.258 \pm 0.005$. Hence the combined deviation is now $3.8\,\sigma$, referred to as $R_{D^{(*)}}$ anomalies. The surprising fact is that these decay processes are described by the tree-level

amplitude in the SM and thus such a large discrepancy implies large unknown effects in the processes.

Motivated by those results, a lot of studies have been done from different points of view: re-evaluations of the form factors in the SM predictions, studies to accommodate the $R_{D^{(*)}}$ anomalies in NP models, and utilities of other observables than $R_{D^{(*)}}$ to probe NP effects. An overview of the above points, based on recent developments, can be summarized as follows:

- For the SM predictions, the heavy quark effective theory (HQET) has been applied to the form factors of the $\overline{B} \to D^{(*)}$ transitions [11]. In refs. [12, 13], $\mathcal{O}(\Lambda_{\rm QCD}/M_Q)$ and $\mathcal{O}(\alpha_s)$ corrections to the form factors in HQET are obtained. In refs. [14, 15], another approach has been considered by taking the Boyd-Grinstein-Lebed parameterization [16]. Both of the two approaches enable us to evaluate the SM values with 1% level of the uncertainties as shown above. In ref. [17], corrections from soft-photon effects are calculated and then one finds that it gives up to $3-4\%$ amplification of $R_D^{\rm SM}$.

- The NP studies are summarized below:

  - One possible NP candidate to explain this anomaly was a charged scalar boson [18–34]. Regardless of the detail of the model, however, it has been turned out that this kind of scenario (i.e., with scalar mediator) becomes inconsistent with the bound from the B_c^+ lifetime [26, 35–38]. It is also found that the direct search for $\tau\nu$ resonance at the LHC gives a bound which could be more stringent depending on the mass and the branching ratio of the charged scalar boson [39].
  - A charged vector boson (W') could be in this game [40–47]. In order to introduce such a new vector field, we need additional gauge symmetry, which also leads to an additional neutral vector boson (Z') in general. With this additional ingredient, one can discuss a correlation between $\overline{B} \to D^{(*)}\tau\bar{\nu}$ and other processes such as $B \to K^{(*)}\mu^+\mu^-$.[1]
  - A scalar or vector boson that couples to a quark and lepton pair [58], namely leptoquark (LQ), is another candidate as will be discussed in detail later. It has been already pointed out that three types of LQ models can accommodate the $R_{D^{(*)}}$ anomalies [59].

- Other observables of $\overline{B} \to D^{(*)}\tau\bar{\nu}$ have been examined in order to probe/distinguish NP effects/scenarios. At the coming Belle II experiment, a large amount of signal events will be available and thus distributions of the processes would be useful for this purpose. In refs. [60, 61], it is pointed out that $5\,{\rm ab}^{-1}$ data of the $q^2 = (p_B - p_{D^{(*)}})^2$ distribution expected at the Belle II can distinguish some NP scenarios that can explain the present $R_{D^{(*)}}$ anomalies. The polarizations of τ and D^* are also good candidates to test the NP scenarios [62, 63]. They reflect the spin structure of the

[1] A simultaneous explanation of the anomalies in $b \to c\tau\nu$ ($R_{D^{(*)}}$) and $b \to s\mu\mu$ ($R_{K^{(*)}}$ [48, 49], $B \to K^*\mu^+\mu^-$ [50–54], $B_s^0 \to \phi\mu^+\mu^-$ [55, 56]) is another direction for the NP study (see ref. [57] for example), which will not be the subject in this paper.

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interaction in $\overline{B} \to D^{(*)}\tau\bar{\nu}$, and could be affected by NP, e.g., see refs. [59, 62–67]. Relations between the $R_{D^{(*)}}$ anomalies and $|V_{cb}|$ determination with a tensor operator have also been discussed [68–70].

In this paper, we focus on the D^* polarization following the new observation from the Belle experiment in which their first preliminary result of the longitudinal polarization $F_L^{D^*}$ has been given as [71]

$$F_L^{D^*} = 0.60 \pm 0.08(\text{stat.}) \pm 0.035(\text{syst.}). \tag{1.2}$$

This is then compared with the SM prediction: $F_{L,\text{SM}}^{D^*} = 0.46 \pm 0.04$ [65]. Although they are consistent at $1.5\,\sigma$, the point here is that the experimental value is larger than the SM one. Indeed, this is an opposite correlation with the present R_{D^*} anomaly in the presence of one NP effective operator for $b \to c\tau\nu$ as shown in ref. [63] except for scalar NP scenarios.

In the light of this situation, we investigate relations among R_D, R_{D^*}, and $F_L^{D^*}$ in the LQ models that induce more than two effective operators, and see if they could accommodate the present data. We will begin with obtaining numerical formulae in terms of Wilson coefficients for NP operators by taking into account the recent development on the form factors. Then, we will show possible reaches of $F_L^{D^*}$ when we take into account the $R_{D^{(*)}}$ anomalies in the LQ models. We will also point out that the τ polarizations are useful to distinguish the LQ models based on sensitivities expected at the Belle II experiment.

This paper is organized as follows. In section 2, we put the numerical formulae for the relevant observables in terms of the effective Hamiltonian. We also summarize the case for single operator analysis. In section 3, based on the generic study with renormalization-group running effects, we obtain relations among R_D, R_{D^*}, and $F_L^{D^*}$ in the LQ models and discuss their potential to explain the present data. Relations to the τ polarizations are also discussed. Finally, we conclude our study in section 4.

2 Formulae for the observables

At first, we describe general NP contributions in terms of the effective Hamiltonian. The operators relevant to $\overline{B} \to D^{(*)}\tau\overline{\nu}$ are described as[2]

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cb} \Big[(1 + C_{V_1}) O_{V_1} + C_{V_2} O_{V_2} + C_{S_1} O_{S_1} + C_{S_2} O_{S_2} + C_T O_T \Big], \tag{2.1}$$

at the scale $\mu = \mu_b = 4.2\,\text{GeV}$ with

$$\begin{aligned} O_{V_1} &= (\bar{c}\gamma^\mu P_L b)(\overline{\tau}\gamma_\mu P_L \nu_\tau), & O_{V_2} &= (\bar{c}\gamma^\mu P_R b)(\overline{\tau}\gamma_\mu P_L \nu_\tau), \\ O_{S_1} &= (\bar{c} P_R b)(\overline{\tau} P_L \nu_\tau), & O_{S_2} &= (\bar{c} P_L b)(\overline{\tau} P_L \nu_\tau), \\ O_T &= (\bar{c}\sigma^{\mu\nu} P_L b)(\overline{\tau}\sigma_{\mu\nu} P_L \nu_\tau), \end{aligned} \tag{2.2}$$

where $P_L = (1 - \gamma_5)/2$ and $P_R = (1 + \gamma_5)/2$. Note that the SM prediction is given by $C_X = 0$ for $X = V_{1,2}$, $S_{1,2}$, and T in this description. We assume that the neutrino is

[2] Another convention used in the literature [72, 73] is related as $C_{V_1} = g_{V_L}^\tau$, $C_{V_2} = g_{V_R}^\tau$, $C_{S_1} = g_{S_R}^\tau$, $C_{S_2} = g_{S_L}^\tau$, and $C_T = g_T^\tau$.

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always left-handed and third-generation (ν_τ). In LQ models, the neutrino flavor could be first- or second-generation ($\nu_{\mu,e}$) as seen in the next section. In principle, one can translate C_X into that of $\nu_{\mu,e}$. Possibilities of the light sterile neutrinos are discussed in refs. [30, 44–47, 74, 75].

In this work, we follow analytic forms for the decay rates obtained in refs. [59, 60]. As for all the form factors in both SM and NP amplitudes, we universally adopt the recent development taken in ref. [12] such that a proper manner of the HQET expansion can be evaluated. To be precise, we have adopted the fit scenario "$\mathrm{L}_{w\geq 1}$+SR" [12], where the HQET expansion is evaluated at the matching scale $\mu = \sqrt{m_b m_c}$ with QCD. According to eq. (A5) of ref. [12], we have evaluated observables at the scale $\mu = \mu_b$, as defined in the effective Hamiltonian of eq. (2.1). In the end, we find the following numerical formulae

$$\begin{aligned}\frac{R_D}{R_D^{\mathrm{SM}}} &= |1 + C_{V_1} + C_{V_2}|^2 + 1.02|C_{S_1} + C_{S_2}|^2 + 0.90|C_T|^2 \\ &\quad + 1.49\mathrm{Re}[(1 + C_{V_1} + C_{V_2})(C_{S_1}^* + C_{S_2}^*)] + 1.14\mathrm{Re}[(1 + C_{V_1} + C_{V_2})C_T^*]\,, \qquad (2.3)\\ \frac{R_{D^*}}{R_{D^*}^{\mathrm{SM}}} &= |1 + C_{V_1}|^2 + |C_{V_2}|^2 + 0.04|C_{S_1} - C_{S_2}|^2 + 16.07|C_T|^2 \\ &\quad - 1.81\mathrm{Re}[(1 + C_{V_1})C_{V_2}^*] + 0.11\mathrm{Re}[(1 + C_{V_1} - C_{V_2})(C_{S_1}^* - C_{S_2}^*)] \\ &\quad - 5.12\mathrm{Re}[(1 + C_{V_1})C_T^*] + 6.66\mathrm{Re}[C_{V_2}C_T^*]\,, \qquad (2.4)\end{aligned}$$

which can be compared with those in the recent literature [45, 72, 76].[3] Using our code, we obtained the SM predictions as $R_D^{\mathrm{SM}} = 0.300$ and $R_{D^*}^{\mathrm{SM}} = 0.256$, which are well consistent with ref. [12].

Note that our values of $R_{D^{(*)}}$ and the following polarization observables are valid up to $\mathcal{O}(\Lambda_{\mathrm{QCD}}/m_{c,b})$ and $\mathcal{O}(\alpha_s)$ within uncertainties[4] from the input parameters [12]. We also emphasize that we have taken care of the scale for the Wilson coefficients and that for the HQET expansion to be $\mu = \mu_b = 4.2\,\mathrm{GeV}$. Although the SM operator is independent of such a scale, the NP operators do depend on it. For example, the coefficient of the $|C_T|^2$ term in $R_{D^*}/R_{D^*}^{\mathrm{SM}}$ is found to be 17.24 at the scale $\mu = \sqrt{m_b m_c} = 2.6\,\mathrm{GeV}$, whereas 16.07 at $\mu = \mu_b = 4.2\,\mathrm{GeV}$ as shown in our result. This difference is indeed compensated with the running effect on the Wilson coefficient given as $C_T(\mu = 2.6\,\mathrm{GeV}) = 0.97\,C_T(\mu = 4.2\,\mathrm{GeV})$.

In a similar way, we can also calculate the polarizations in $\overline{B} \to D^{(*)}\tau\overline{\nu}$. The D^* polarization is defined as the fraction of a longitudinal mode for the D^* meson, namely,

$$F_L^{D^*} = \frac{\Gamma(\overline{B} \to D_L^*\tau\overline{\nu})}{\Gamma(\overline{B} \to D^*\tau\overline{\nu})} = \frac{\Gamma(\overline{B} \to D_L^*\tau\overline{\nu})}{\Gamma(\overline{B} \to D_L^*\tau\overline{\nu}) + \Gamma(\overline{B} \to D_T^*\tau\overline{\nu})}, \qquad (2.5)$$

where $D_{L(T)}^*$ denotes the longitudinal (transverse) mode of the D^* meson. For the numerical

[3] Differences of the numerical results stem from an input and method to describe the form factors.

[4] Recently, ref. [77] has suggested that a higher order contribution of $\mathcal{O}(\Lambda_{\mathrm{QCD}}^2/m_{c,b}^2)$ may have an impact on the evaluation.

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formula, we obtain

$$\frac{F_L^{D^*}}{F_{L,\mathrm{SM}}^{D^*}} = \left(\frac{R_{D^*}}{R_{D^*}^{\mathrm{SM}}}\right)^{-1} \times \Big(|1 + C_{V_1} - C_{V_2}|^2 + 0.08|C_{S_1} - C_{S_2}|^2 + 7.02|C_T|^2 \\ + 0.24\mathrm{Re}[(1 + C_{V_1} - C_{V_2})(C_{S_1}^* - C_{S_2}^*)] - 4.37\mathrm{Re}[(1 + C_{V_1} - C_{V_2})C_T^*]\Big). \tag{2.6}$$

Here the SM prediction is $F_{L,\mathrm{SM}}^{D^*} = 0.453$, which is consistent with ref. [65].

For the τ polarization asymmetries along the longitudinal directions of the τ leptons in $\overline{B} \to D\tau\bar{\nu}$ and $\overline{B} \to D^*\tau\bar{\nu}$, we obtain

$$\frac{P_\tau^D}{P_{\tau,\mathrm{SM}}^D} = \left(\frac{R_D}{R_D^{\mathrm{SM}}}\right)^{-1} \times \Big(|1 + C_{V_1} + C_{V_2}|^2 + 3.18|C_{S_1} + C_{S_2}|^2 + 0.18|C_T|^2 \\ + 4.65\mathrm{Re}[(1 + C_{V_1} + C_{V_2})(C_{S_1}^* + C_{S_2}^*)] - 1.18\mathrm{Re}[(1 + C_{V_1} + C_{V_2})C_T^*]\Big)\,, \tag{2.7}$$

and

$$\frac{P_\tau^{D^*}}{P_{\tau,\mathrm{SM}}^{D^*}} = \left(\frac{R_{D^*}}{R_{D^*}^{\mathrm{SM}}}\right)^{-1} \times \Big(|1 + C_{V_1}|^2 + |C_{V_2}|^2 - 0.07|C_{S_1} - C_{S_2}|^2 - 1.86|C_T|^2 \\ - 1.77\mathrm{Re}[(1 + C_{V_1})C_{V_2}^*] - 0.22\mathrm{Re}[(1 + C_{V_1} - C_{V_2})(C_{S_1}^* - C_{S_2}^*)] \\ - 3.37\mathrm{Re}[(1 + C_{V_1})C_T^*] + 4.37\mathrm{Re}[C_{V_2}C_T^*]\Big), \tag{2.8}$$

respectively. The definitions of P_τ^D and $P_\tau^{D^*}$ are given in refs. [63, 78]. Based on the present framework for the form factors, we obtain the SM predictions as $P_{\tau,\mathrm{SM}}^D = 0.320$ and $P_{\tau,\mathrm{SM}}^{D^*} = -0.507$. Note that the polarizations are measurable by analyzing angular and/or energy distributions, e.g., see refs. [62, 65]. For comparison, $P_{\tau,\mathrm{SM}}^D = 0.325 \pm 0.009$ and $P_{\tau,\mathrm{SM}}^{D^*} = -0.497 \pm 0.013$ are obtained in ref. [7] (Belle estimation), while $P_{\tau,\mathrm{SM}}^D = 0.34 \pm 0.03$ in ref. [67].

Another significant observable for our study is the branching ratio of $B_c^+ \to \tau^+\nu$. As shown in refs. [26, 35, 37], the constraint on the B_c^+ lifetime can be translated to that on $\mathcal{B}(B_c^+ \to \tau^+\nu)$ and then one finds that a large scalar NP effect is disfavored. We also take this bound into account by using the analytic formula shown in ref. [79]:

$$\frac{\mathcal{B}(B_c^+ \to \tau^+\nu)}{\mathcal{B}(B_c^+ \to \tau^+\nu_\tau)_{\mathrm{SM}}} = \left|1 + C_{V_1} - C_{V_2} + \frac{m_{B_c}^2}{m_\tau\,(\overline{m}_b + \overline{m}_c)}\,(C_{S_1} - C_{S_2})\right|^2 \tag{2.9}$$

$$= |1 + C_{V_1} - C_{V_2} + 4.33\,(C_{S_1} - C_{S_2})|^2\,, \tag{2.10}$$

where the $\overline{\mathrm{MS}}$ quark masses $\overline{m}_{b,c}$ at scale μ_b are used [80]. The SM prediction is 0.023.

2.1 Case for single NP operator

Here we review a model-independent study on the correlation between $R_{D^{(*)}}$ and $F_L^{D^*}$ in the presence of a single NP operator in eq. (2.1).

We parametrize C_X ($X = V_1, V_2, S_1, S_2, T$) as $C_X = |C_X|e^{i\delta_X}$, and then vary $|C_X|$ and δ_X (in the range of $[0, \pi]$ for the latter). As for the V_1 case, $|C_{V_1} + 1|$ is the only physical

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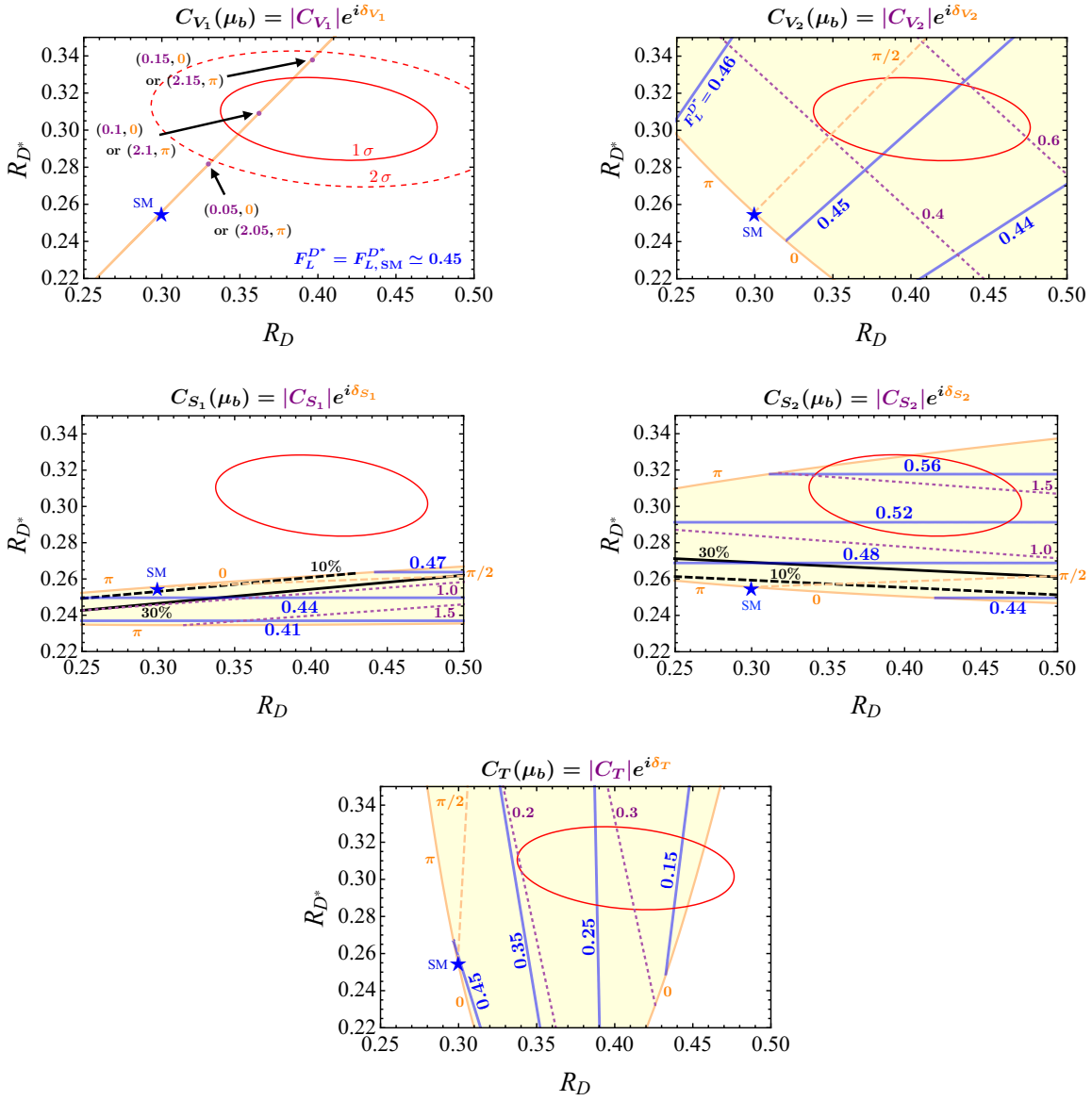

Figure 1. The contour for $F_L^{D^*}$ is shown with the blue line on the R_D–R_{D^*} plane in the single NP operator scenario. The purple and orange lines represent the absolute value and the phase of $C_X(\mu_b)$, respectively. The constraint from the B_c^+ lifetime is drawn by the solid (dashed) black line for $\mathcal{B}(B_c^+ \to \tau^+\nu) < 0.3$ (0.1). The world average of the data at $1\,\sigma$ ($2\,\sigma$) is shown by the red (dashed) ellipse. The SM point is represented by the blue star.

parameter and hence we take C_{V_1} to be real for simplicity. In figure 1, the $F_L^{D^*}$ contour is shown with the blue line on the R_D–R_{D^*} plane for each single operator: O_{V_1}, O_{V_2}, O_{S_1}, O_{S_2}, and O_T, where the shaded region in yellow is achievable with the NP operator and the red (dashed) ellipse stands for the world average of the present data at the $1\,\sigma$ ($2\,\sigma$) level [10]. In the plots, we also put some contours for $|C_X|$ and δ_X in purple and orange, respectively. The constraint from the B_c^+ lifetime is shown with the solid black and dashed black lines for $\mathcal{B}(B_c^+ \to \tau^+\nu) < 0.3$ and < 0.1, respectively.

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Note that charged scalar ($H^{\pm}$) scenario gives rise to non-zero $C_{S_{1,2}}$. A vector boson ($W_L'^{\pm}$) that couples to left-handed fermions contributes to C_{V_1}.

The vector operators ($O_{V_{1,2}}$) can explain the $R_{D^{(*)}}$ anomalies, but $F_L^{D^*}$ has to be the same as the SM prediction ($F_{L,\mathrm{SM}}^{D^*} \simeq 0.45$). For the scalar operators ($O_{S_{1,2}}$), we can see that the constraint from the B_c^+ lifetime is significant and thus the deviations of R_{D^*} and $F_L^{D^*}$ from their SM predictions are severely constrained. Finally, $F_L^{D^*}$ is suppressed as $R_{D^{(*)}}$ are enhanced in the case of the tensor operator (O_T).

3 Leptoquark scenarios

In this section, we discuss LQ models that can explain the $R_{D^{(*)}}$ anomalies, based on the generic analysis in section 2. We address the following three types of LQs with ($\mathrm{SU}(3)_C$, $\mathrm{SU}(2)_L$, $\mathrm{U}(1)_Y$) SM quantum numbers that are known as good candidates to accommodate the $R_{D^{(*)}}$ discrepancies:

- R_2 with $(\mathbf{3},\ \mathbf{2},\ \frac{\mathbf{7}}{\mathbf{6}})$: $\mathrm{SU}(2)_L$ doublet scalar LQ.
  The scalar LQ R_2 can generate significant contributions to $R_{D^{(*)}}$ (e.g., see refs. [59, 63, 81]). R_2 does not cause the proton decay since there is no diquark coupling. On the other hand, it is known that this scenario is not accessible to the $b \to s\mu\mu$ anomaly at the tree-level. The loop-level contributions [82] and the scenario with R_2–S_3 combination [83] have been studied to accommodate both anomalies, where S_3 with $(\bar{\mathbf{3}}, \mathbf{3}, \frac{1}{3})$ is a $\mathrm{SU}(2)_L$ triplet scalar LQ.

- S_1 with $(\bar{\mathbf{3}},\ \mathbf{1},\ \frac{\mathbf{1}}{\mathbf{3}})$: $\mathrm{SU}(2)_L$ singlet scalar LQ.
  The scalar LQ S_1 is also known as a candidate to explain the $R_{D^{(*)}}$ anomalies (e.g., see refs. [36, 59, 84]). In order to ensure the proton stability, we assume that diquark couplings to LQ are forbidden (by a symmetry, see ref. [85]). Although this LQ does not provide $b \to s\ell\ell$ transition at the tree-level, the loop-level contributions have been investigated [86]. Then it is found that the scenario with a pair of S_1 and S_3 is viable [87–89].

- U_1 with $(\mathbf{3},\ \mathbf{1},\ \frac{\mathbf{2}}{\mathbf{3}})$: $\mathrm{SU}(2)_L$ singlet vector LQ.
  The U_1 vector LQ has been receiving attention because it can provide a simultaneous explanation of the anomalies in the $b \to s$ and $b \to c$ transitions (e.g., see refs. [88, 90–93]). This LQ does not predict the proton decay.

3.1 Models

We adopt the notation of refs. [73, 85]. The left-handed doublets are represented as $Q^i = \left((V_{\mathrm{CKM}}^\dagger u_L)^i,\ d_L^i\right)^T$ and $L^i = \left(\nu_L^i,\ \ell_L^i\right)^T$, where V_{CKM} is the CKM matrix. Here, u^i and d^i denote mass eigenstates. Below, we present the relevant couplings in the each model and derive the effective four-fermi interactions that contribute to the semi-leptonic B decays.

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3.1.1 R_2 LQ model

We introduce one R_2 LQ whose SM charges are $(\mathbf{3},\ \mathbf{2},\ \frac{\mathbf{7}}{\mathbf{6}})$. R_2 is a scalar field, so that it couples to quarks and leptons flavor-dependently via Yukawa couplings. The Yukawa interactions involving R_2 can be written as

$$\mathcal{L}_{\mathrm{R}_2} = y_R^{ij}\,\overline{Q}_i \ell_{R\,j}\,\mathrm{R}_2 - y_L^{ij}\,\overline{u}_{R\,i}\mathrm{R}_2 i\tau_2 L_j + \mathrm{h.c.}\,, \tag{3.1}$$

where y_L and y_R are 3×3 complex matrices. In terms of the electric charge eigenstates, it can be written as

$$\begin{aligned}\mathcal{L}_{\mathrm{R}_2} = (V_{\mathrm{CKM}} y_R)^{ij}\,\overline{u}_{L\,i}\ell_{R\,j}\,\mathrm{R}_2^{(5/3)} + y_R^{ij}\,\overline{d}_{L\,i}\ell_{R\,j}\,\mathrm{R}_2^{(2/3)} \\ + y_L^{ij}\bar{u}_{R\,i}\nu_{L\,j}\,\mathrm{R}_2^{(2/3)} - y_L^{ij}\overline{u}_{R\,i}\ell_{L\,j}\,\mathrm{R}_2^{(5/3)} + \mathrm{h.c.}\end{aligned} \tag{3.2}$$

The superscripts of R_2 denote the electromagnetic charges of the LQs. The $\mathrm{R}_2^{(2/3)}$ exchange gives contributions to $b\to c\tau\bar{\nu}_\tau$ at the tree-level, and generates the coefficients of the scalar and tensor operators at the scale $\mu=\mu_{\mathrm{LQ}}$:

$$C_{S_2}(\mu_{\mathrm{LQ}}) = 4\,C_T(\mu_{\mathrm{LQ}}) = \frac{1}{4\sqrt{2}G_F V_{cb}}\frac{y_L^{c\tau}\left(y_R^{b\tau}\right)^*}{m_{R_2}^2}\,. \tag{3.3}$$

Assuming the Yukawa couplings are aligned to avoid the strong constraints from flavor observables, sizable $y_L^{c\tau}$ and $y_R^{b\tau}$ couplings can achieve the experimental results of $R_{D^{(*)}}$. For instance, when one chooses $y_L^{c\tau}(y_R^{b\tau})^* = 2.5i$ and $m_{\mathrm{LQ}} = 1.5$ TeV, we have $C_{S_2}\ (4C_{C_T}) = 0.41i$ that can explain the present $R_{D^{(*)}}$ data within $1\,\sigma$.

3.1.2 S_1 LQ model

Next, we consider a S_1 LQ whose SM charges are $(\bar{\mathbf{3}},\ \mathbf{1},\ \frac{\mathbf{1}}{\mathbf{3}})$. S_1 is a $\mathrm{SU}(2)_L$-singlet scalar, so the Yukawa couplings between S_1 and the SM fermions can be written as

$$\begin{aligned}\mathcal{L}_{\mathrm{S}_1} &= y_L^{ij}\,\overline{Q^C} i\tau_2 L_j\,\mathrm{S}_1 + y_R^{ij}\,\overline{u_{R\,i}^C} e_{R\,j}\,\mathrm{S}_1 + \mathrm{h.c.} \\ &= \mathrm{S}_1\Big[\left(V_{\mathrm{CKM}}^* y_L\right)^{ij}\overline{u_{L\,i}^C}\ell_{L\,j} - y_L^{ij}\,\overline{d_{L\,i}^C}\nu_{L\,j} + y_R^{ij}\,\overline{u_{R\,i}^C}\ell_{R\,j}\Big] + \mathrm{h.c.}\,,\end{aligned} \tag{3.4}$$

where y_L and y_R are generic 3×3 matrices. Assuming that S_1 is heavy, the contribution of the S_1 exchange to $b\to c\tau\bar{\nu}_\tau$ at the tree-level is given by

$$\begin{aligned}C_{V_1}(\mu_{\mathrm{LQ}}) &= \frac{1}{4\sqrt{2}G_F V_{cb}}\frac{y_L^{b\tau}\left(V_{\mathrm{CKM}} y_L^*\right)^{c\tau}}{m_{S_1}^2}\,, \\ C_{S_2}(\mu_{\mathrm{LQ}}) &= -4\,C_T(\mu_{\mathrm{LQ}}) = -\frac{1}{4\sqrt{2}G_F V_{cb}}\frac{y_L^{b\tau}\left(y_R^{c\tau}\right)^*}{m_{S_1}^2}\,.\end{aligned} \tag{3.5}$$

Compared to the R_2 case, C_{V_1} is also generated. When one chooses $y_L^{b\tau}(V_{\mathrm{CKM}}y_L^*)^{c\tau} = 0.3$, $y_L^{b\tau}(y_R^{c\tau})^* = -0.3$ and $m_{\mathrm{LQ}} = 1.5$ TeV, C_{V_1} and $C_{S_2}\ (-4C_{C_T})$ are 0.05 at the LQ mass scale, that can explain the $R_{D^{(*)}}$ anomalies at $1\,\sigma$ level.

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3.1.3 U_1 LQ model

We also consider a $SU(2)_L$-singlet massive vector LQ, U_1. The SM charges of U_1 are defined as $(\mathbf{3},\ \mathbf{1},\ \frac{\mathbf{2}}{\mathbf{3}})$. This LQ is a massive vector field, so that it could be realized by the extension of the SM gauge symmetry. We do not mention the underlying theory, but we simply discuss the phenomenology introducing flavor-dependent couplings between U_1 and the SM fermions. Then, the coupling between U_1 and the SM fermions can be described by

$$\mathcal{L}_{U_1} = x_L^{ij}\,\bar{Q}_i\gamma_\mu U_1^\mu L_j + x_R^{ij}\,\bar{d}_{R\,i}\gamma_\mu U_1^\mu \ell_{R\,j} + \text{h.c.}, \tag{3.6}$$

where x_L^{ij} and x_R^{ij} are 3×3 complex matrices. Integrating out the heavy U_1, the couplings contribute to $b\to c\tau\bar{\nu}_\tau$ via the following coefficients

$$C_{V_1}(\mu_{\rm LQ}) = \frac{(V_{\rm CKM}x_L)^{c\tau}\left(x_L^{b\tau}\right)^*}{2\sqrt{2}G_F V_{cb}\,m_{U_1}^2}, \tag{3.7}$$

$$C_{S_1}(\mu_{\rm LQ}) = -\frac{(V_{\rm CKM}x_L)^{c\tau}\left(x_R^{b\tau}\right)^*}{\sqrt{2}G_F V_{cb}\,m_{U_1}^2}. \tag{3.8}$$

Note that the formula of C_{S_1} is omitted in ref. [73]. The C_{V_1} contribution interferes with the SM contribution, so that it easily enhances $R_{D^{(*)}}$. On the other hand, C_{V_1} does not affect the polarization, as shown in section 2.1. When $(V_{\rm CKM}x_L)^{c\tau}(x_L^{b\tau})^* = 0.15$, $(V_{\rm CKM}x_L)^{c\tau}(x_R^{b\tau})^* = -0.15$, and $m_{\rm LQ} = 1.5$ TeV are taken, $C_{V_1} = 0.05$ and $C_{S_1} = 0.1$, and the $R_{D^{(*)}}$ anomalies can be explained at $1\,\sigma$ level. We show our results of the flavor physics in each model, in section 3.3.

3.2 Renormalization-group running effects

As seen above, some of LQs give rise to contributions from more than two types of the operators. In such a case, it is necessary to consider renormalization-group (RG) evolution effects from the NP scale ($\mu_{\rm NP}$) to the effective Hamiltonian matching scale (μ_b). Let us briefly summarize the RG corrections in this subsection.

The semi-leptonic vector and axial vector four-fermion operators do not evolve in QCD [94] and there are no operator mixings with the other operators which we consider [95], so that we deal with $O_{V_{1,2}}$ as scale independent operators: $C_{V_{1,2}}(\mu_b) \simeq C_{V_{1,2}}(\mu_{\rm NP})$.

It is pointed out that a large operator mixing between O_{S_2} and O_T arises from the electroweak anomalous dimension [96] above the electroweak symmetry breaking scale ($\mu_{\rm EW}$). The RG evolution for the operators $C_i = \{C_{S_1}, C_{S_2}, C_T\}$ at the one-loop level [72, 95–97] is given as

$$\frac{dC_i(\mu)}{d\ln\mu} = \frac{1}{16\pi^2}\left[g_s(\mu)^2\gamma_s^T + \gamma_w^T(\mu) + y_t(\mu)^2\gamma_t^T\right]_{ij} C_j(\mu), \tag{3.9}$$

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with

$$\gamma_s^T = \{\gamma_S, \gamma_S, \gamma_T\}_{\rm diag}\,, \tag{3.10}$$

$$\gamma_w^T(\mu) = \begin{pmatrix} -\frac{8}{3}g'^2(\mu) & 0 & 0 \\ 0 & -\frac{11}{3}g'^2(\mu) & 18g^2(\mu)+30g'^2(\mu) \\ 0 & \frac{3}{8}g^2(\mu)+\frac{5}{8}g'^2(\mu) & -3g^2(\mu)+\frac{2}{9}g'^2(\mu) \end{pmatrix}, \tag{3.11}$$

$$\gamma_t^T = \{0, 1/2, 1/2\}_{\rm diag}\,, \tag{3.12}$$

where $\gamma_S = -6C_F = -8$ and $\gamma_T = 2C_F = 8/3$. We numerically solve the RG evolution in eq. (3.9) from $\mu_{\rm NP}$ to $\mu_{\rm EW} = m_Z$ in our analysis.

On the other hand, below the electroweak scale, the RG evolution is dominated by the QCD contributions. Reference [96] gives a numerical solution for the RG evolution at the three-loop in QCD and the one-loop in QED as follows,[5]

$$\begin{pmatrix} C_{S_1}(\mu_b) \\ C_{S_2}(\mu_b) \\ C_T(\mu_b) \end{pmatrix} \simeq \begin{pmatrix} 1.46 & 0 & 0 \\ 0 & 1.46 & -0.0177 \\ 0 & -0.0003 & 0.878 \end{pmatrix} \begin{pmatrix} C_{S_1}(m_Z) \\ C_{S_2}(m_Z) \\ C_T(m_Z) \end{pmatrix}. \tag{3.14}$$

When one considers the RG evolution at the three-loop level in QCD and the one-loop level in electroweak and QED mentioned above, one obtains [96] $C_{S_2}(\mu_b) \simeq +8.1 C_T(\mu_b)$ $[C_{S_2}(\mu_b) \simeq -8.5 C_T(\mu_b)]$ when $C_{S_2}(\mu_{\rm NP}) = +4C_T(\mu_{\rm NP})$ $[C_{S_2}(\mu_{\rm NP}) = -4C_T(\mu_{\rm NP})]$ at $\mu_{\rm NP} = \mathcal{O}(1)$TeV. Therefore, the ratio of $C_{S_2}(\mu_b)$ to $C_T(\mu_b)$ in the case with $C_{S_2}(\mu_{\rm NP}) = -4C_T(\mu_{\rm NP})$ is more amplified than in the case with $C_{S_2}(\mu_{\rm NP}) = +4C_T(\mu_{\rm NP})$ at $\mu_{\rm NP} = \mathcal{O}(1)$TeV.[6]

3.3 Results

Here, we discuss whether $F_L^{D^*}$ could be enhanced in the LQ scenarios that can accommodate the current $R_{D^{(*)}}$ anomalies. Note that the present case is different from the scenarios with the single NP operator (see section 2.1) in the sense that various NP operators are induced from the LQ interactions and thus contributions to the observables are non-trivial.

[5] A relation of the operator basis in ref. [96] with our basis is

$$\begin{pmatrix} \epsilon_S \\ \epsilon_P \\ \epsilon_T \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 \\ -1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} C_{S_1} \\ C_{S_2} \\ C_T \end{pmatrix}. \tag{3.13}$$

[6] On the other hand, if one considers the RG evolution from $\mu_{\rm NP}$ to μ_b at only the one-loop level in QCD, there is no operator mixing and the exact solution is given as [59, 81],

$$C_{S_i}(\mu_b) = \left[\frac{\alpha_s(m_t)}{\alpha_s(\mu_b)}\right]^{\frac{\gamma_S}{2\beta_0^{(5)}}} \left[\frac{\alpha_s(\mu_{\rm NP})}{\alpha_s(m_t)}\right]^{\frac{\gamma_S}{2\beta_0^{(6)}}} C_{S_i}(\mu_{\rm NP}) \quad \text{for } i = 1, 2, \tag{3.15}$$

$$C_T(\mu_b) = \left[\frac{\alpha_s(m_t)}{\alpha_s(\mu_b)}\right]^{\frac{\gamma_T}{2\beta_0^{(5)}}} \left[\frac{\alpha_s(\mu_{\rm NP})}{\alpha_s(m_t)}\right]^{\frac{\gamma_T}{2\beta_0^{(6)}}} C_T(\mu_{\rm NP}), \tag{3.16}$$

where $\beta_0^{(f)} = 11 - 2f/3$. Then, one obtains $C_{S_2}(\mu_b) \simeq \pm 7.7 C_T(\mu_b)$ when $C_{S_2}(\mu_{\rm NP}) = \pm 4 C_T(\mu_{\rm NP})$ holds.

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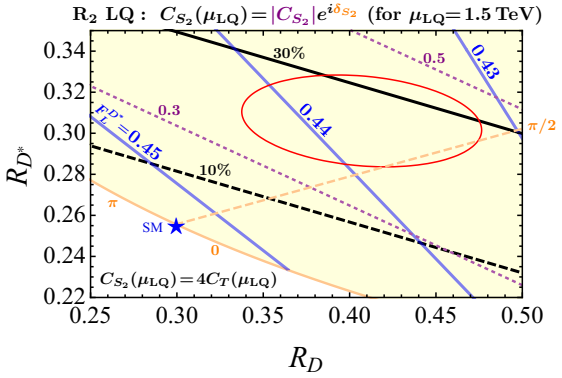
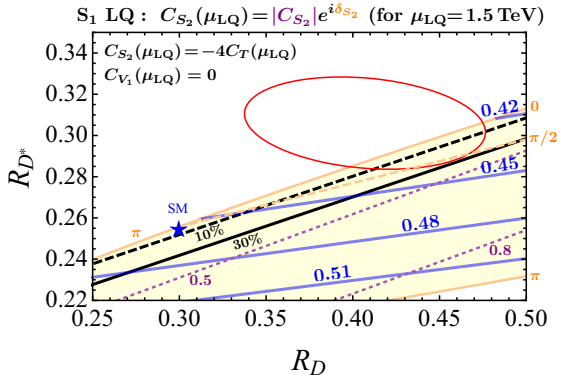
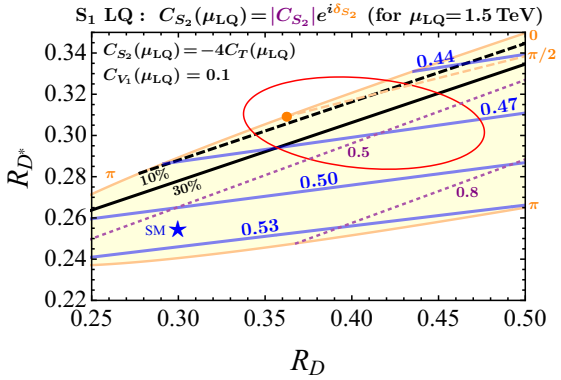


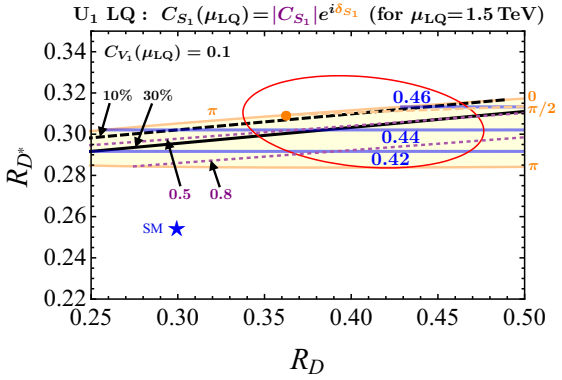
(a) R_2 LQ.



(b) S_1 LQ with $C_{V_1}(\mu_{LQ}) = 0$.



(c) S_1 LQ with $C_{V_1}(\mu_{LQ}) = 0.1$.



(d) U_1 LQ with $C_{V_1}(\mu_{LQ}) = 0.1$.

Figure 2. The $F_L^{D^*}$ contour is shown on the R_D–R_{D^*} plane with the blue line in (a) R_2, (b) S_1 with $C_{V_1} = 0$, (c) S_1 with $C_{V_1} = 0.1$ and (d) U_1 with $C_{V_1} = 0.1$ LQ scenarios at $m_{LQ} = 1.5$ TeV. The plot legend is the same as that in figure 1. The orange point stands for the case of $C_{S_2} = 0$ and $C_{V_1} = 0.1$ for the S_1 LQ or $C_{S_1} = 0$ and $C_{V_1} = 0.1$ for the U_1 LQ.

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In figure 2, the $F_L^{D^*}$ contour is shown on the R_D–R_{D^*} plane with the blue line in the three LQ models: R_2, S_1 and U_1. We take $m_{\rm LQ} = 1.5\,\text{TeV}$ for a reference value of the LQ mass in our analysis, where the value is chosen so that the recent collider bounds are satisfied, e.g., see ref. [73] for a review. Note that the LQ mass is relevant to the RG evolution effects and thus indicated in the plots. Then, the correlations among R_D, R_{D^*}, and $F_L^{D^*}$ are seen when varying the Wilson coefficients $C_X(\mu_{\rm LQ})$ in the complex plane. Here, we assume that the couplings of $y_{L,R}$ and $x_{L,R}$, relevant to $\overline{B} \to D^{(*)}\tau\overline{\nu}$, are sizable while the others are negligible in our analysis. In these plots, we focus on the parameter region that is favored by the $R_{D^{(*)}}$ experimental results.[7]

Along with the $F_L^{D^*}$ contour, we also show the lines for the absolute value and phase of $C_{S_{1,2}}(\mu_{\rm LQ}) \equiv |C_{S_{1,2}}|e^{i\delta_{S_{1,2}}}$ in purple and orange, respectively, in the figures. Note that the shaded region in yellow can be achieved in the single LQ scenario. The constraint from the B_c^+ lifetime is put with the solid (dashed) black lines corresponding to $\mathcal{B}(B_c^+ \to \tau^+\nu) = 0.3$ (0.1). The SM point and the present data for $R_{D^{(*)}}$ are denoted by the blue star and the red ellipse, respectively. The orange points stand for the cases of $C_{S_2} = 0$ for the S_1 LQ and $C_{S_1} = 0$ for the U_1 LQ.

In the R_2 LQ model, the scalar Wilson coefficient C_{S_2} and tensor one C_T are introduced. In the figure 2a, it is found that $F_L^{D^*}$ is not so changed from the SM point ($F_{L,\rm SM}^{D^*} \simeq 0.45$) in this scenario. Our prediction of a range of $F_L^{D^*}$ within the present data of $R_{D^{(*)}}$ at $1\,\sigma$, and within the B_c^+ lifetime bound [$\mathcal{B}(B_c^+ \to \tau^+\nu) < 0.3$], is $[0.43, 0.44]$. The value of $R_{D^{(*)}}$ is constrained by the B_c^+ lifetime. If we take $\mathcal{B}(B_c^+ \to \tau^+\nu) < 0.3$, $R_{D^{(*)}}$ is loosely constrained: the present data is still accommodated (with $|C_{S_2}(\mu_{\rm LQ})| = 4|C_T(\mu_{\rm LQ})| \sim 0.4$ in the vicinity of $\delta_{S_2} = \pi/2$, for instance). The result is consistent with refs. [59, 81, 98, 99].

In the S_1 LQ model, the C_{V_1}, C_{S_2}, and C_T operators are introduced with the relation as $C_{S_2}(\mu_{\rm LQ}) = -4C_T(\mu_{\rm LQ})$. The phase of C_{V_1} can be absorbed by the redefinition of $C_{S_2,T}$ as shown in appendix A, and thus only three parameters remain: δ_{S_2}, $|C_{S_2}|$, and $|C_{V_1}|$. (Note that C_{V_1} and $C_{S_2(T)}$ can be independent by using $y_L^{i\tau}$ and $y_R^{c\tau}$.) Then, the $F_L^{D^*}$ contour is shown for the cases of $|C_{V_1}| = 0$ and $|C_{V_1}| = 0.1$ in figure 2b and figure 2c, respectively. It is found that a large $F_L^{D^*}$ is disfavored by the B_c^+ lifetime. The case for $|C_{V_1}| = 0$ cannot explain the central value of the present R_{D^*} data while that for $|C_{V_1}| = 0.1$ can do [100]. We can see that the constraint $\mathcal{B}(B_c^+ \to \tau^+\nu) < 0.3$ is satisfied for the latter case. Finally, varying the value of $|C_{V_1}|$ we find that the S_1 scenario predicts a range of $F_L^{D^*}$ as $[0.42, 0.48]$.

In the U_1 LQ model, the relevant Wilson coefficients are C_{S_1} and C_{V_1}. In the same way as the S_1 LQ, $|C_{V_1}|$, $|C_{S_1}|$, and δ_{S_1} are free parameters. The result for $|C_{V_1}| = 0$ is the same as the one in one operator analysis C_{S_1} in figure 1. The case for $|C_{V_1}| = 0.1$ is shown in the figure 2d. We find that the U_1 LQ predicts a range of $F_L^{D^*}$ as $[0.43, 0.47]$ and is consistent with the present data of $R_{D^{(*)}}$ and the bound of $\mathcal{B}(B_c^+ \to \tau^+\nu) < 0.3$.

In the end, we found that these three LQ models cannot give $F_L^{D^*}$ deviating from the SM prediction ($F_{L,\rm SM}^{D^*} \simeq 0.45$) as long as we take the present $R_{D^{(*)}}$ data seriously, especially a large deviation of $F_L^{D^*}$ is restricted by the severe constraint from the B_c^+ lifetime in the S_1 and U_1 models. Figure 2 shows that the LQ models can not explain the experimental result

[7] The $R_{J/\psi}$ anomaly would overshoot the R_{D^*} preferred region [79].

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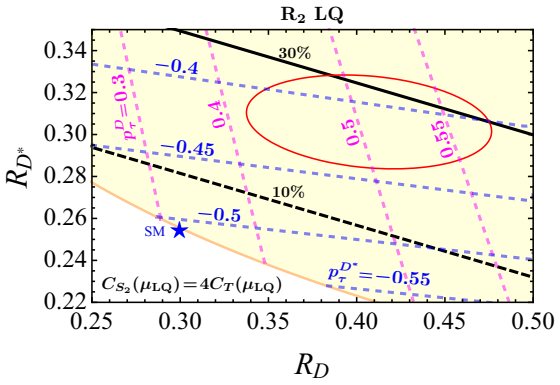
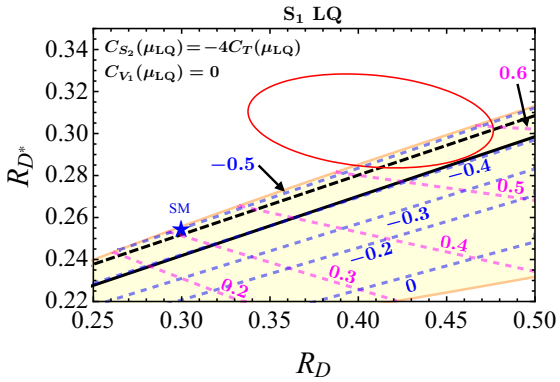
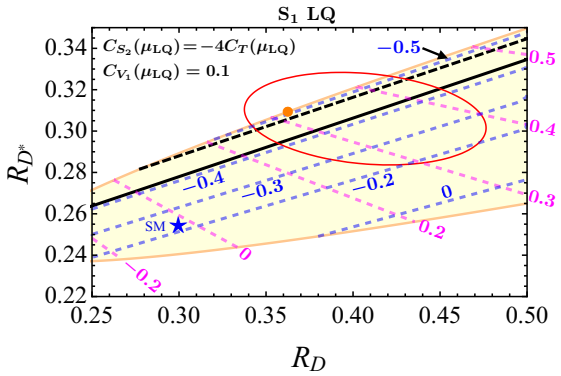


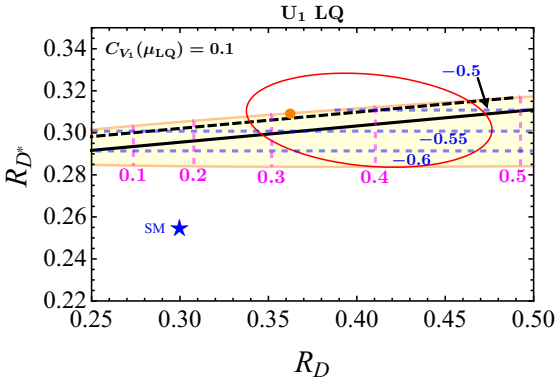
(a) R_2 LQ.



(b) S_1 LQ with $C_{V_1}(\mu_{LQ}) = 0$.



(c) S_1 LQ with $C_{V_1}(\mu_{LQ}) = 0.1$.



(d) U_1 LQ with $C_{V_1}(\mu_{LQ}) = 0.1$.

Figure 3. The contours of the τ polarizations P_τ^D and $P_\tau^{D^*}$ are shown on the R_D–R_{D^*} plane in magenta and blue colors, respectively, when we consider the LQ scenarios of (a) R_2, (b) S_1 with $C_{V_1} = 0$, (c) S_1 with $C_{V_1} = 0.1$ and (d) U_1 with $C_{V_1} = 0.1$. In all cases, $m_{LQ} = 1.5$ TeV is taken. The SM point is represented by the blue star.

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for $F_L^{D^*}$ in eq. (1.2) at $1\,\sigma$ level. On the other hand, the large enhancement of $R_{D^{(*)}}$, compared with the SM predictions, is still possible although the severe constraint from the B_c^+ lifetime excludes some regions of the parameter space. Therefore, the large/small deviation of $R_{D^{(*)}}/F_L^{D^*}$ is one of the possibilities in the LQ models, which will be verified at the Belle II experiment. If this is the case, however, it is difficult to distinguish the LQ scenarios.

In turn, we study correlation between $R_{D^{(*)}}$ and the τ polarizations $P_\tau^{D^{(*)}}$. In figure 3, the contours of P_τ^D and $P_\tau^{D^*}$ are shown with dashed lines in magenta and blue, respectively. The other legends in the plots are the same as figure 2. We can see that each LQ model predicts unique ranges for P_τ^D and $P_\tau^{D^*}$, which can be used to distinguish these LQ models: $(P_\tau^D,\, P_\tau^{D^*})$ with ([0.42, 0.57], [−0.44, −0.39]) for R_2 LQ, ([0.11, 0.63], [−0.51, −0.41]) for S_1 LQ and ([0.23, 0.52], [−0.57,−0.47]) for U_1 LQ are predicted where the current data of $R_{D^{(*)}}$ at $1\,\sigma$ and the bound of $\mathcal{B}(B_c^+ \to \tau^+\nu) < 0.3$ are satisfied. Here, $C_{V_1}(\mu_{\rm LQ})$ is also varied in S_1 and U_1 LQ models. Note that the predicted ranges of $P_\tau^{D^*}$ are consistent with the latest result by the Belle experiment [7, 101]

$$P_\tau^{D^*} = -0.38 \pm 0.51(\text{stat.})^{+0.21}_{-0.16}(\text{syst.}). \tag{3.17}$$

Since Belle II with $50\,\text{ab}^{-1}$ data can measure P_τ^D with 3% accuracy [67],[8] and $P_\tau^{D^*}$ with ± 0.07 [61], we point out that the future measurement of P_τ^D has sufficient sensitivity to distinguish between the LQ models. Note that W' models predict $P_\tau^D = P_{\tau,\rm SM}^D$ for any values of C_{V_1} and C_{V_2}. Thus, P_τ^D is a good observable for discrimination between W' and LQ models.

In table 1, we summarize our results of the predictions on the polarization observables for the LQ models. This can be partly compared with ref. [102] based on the SM effective field theory. Note that the uncertainties for the SM predictions are taken from refs. [7, 12, 65]. We also stress that our study provides the theoretically possible ranges of the polarization observables which satisfy the current $R_{D^{(*)}}$ data at $1\,\sigma$ level, by scanning the full set of the parameters in the LQ models. On the other hand, model-independent and -dependent parameter fits from the data including $F_L^{D^*}$ are performed in refs. [76, 103].

Before closing this section, we comment on the LQ mass dependence. Since there is no operator mixing, the figures for U_1 LQ are independent of the LQ mass scale. Predicted ranges of $F_L^{D^*}$ and $P_\tau^{D^{(*)}}$ slightly depend on the LQ mass scale through the electroweak RG evolution in R_2 and S_1 LQ cases (see section 3.2). We found that the variations of $F_L^{D^*}$ and $P_\tau^{D^{(*)}}$ are at the most 0.01 when $1\,\text{TeV} < \mu_{\rm LQ} < 3\,\text{TeV}$ is taken.

4 Conclusion

The observed excesses of $R_{D^{(*)}}$ in $\overline{B} \to D^{(*)}\tau\overline{\nu}$ have been one of the major anomalies in particle physics since the combined deviation is $3.8\,\sigma$ at present. Thus, it is important to summarize the NP explanations and investigate how to hunt the NP footprint. There are several ways to test the NP predictions according to the direct and indirect searches for NP signals. In fact, it is found that the B_c^+ lifetime severely constrains the NP scenarios,

[8] Only statistical uncertainty has been considered [67].

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	$F_L^{D^*}$	P_τ^D	$P_\tau^{D^*}$	R_D	R_{D^*}
R_2 LQ	[0.43, 0.44]	[0.42, 0.57]	[−0.44, −0.39]	$1\,\sigma$ data	$1\,\sigma$ data
S_1 LQ	[0.42, 0.48]	[0.11, 0.63]	[−0.51, −0.41]	$1\,\sigma$ data	$1\,\sigma$ data
U_1 LQ	[0.43, 0.47]	[0.23, 0.52]	[−0.57,−0.47]	$1\,\sigma$ data	$1\,\sigma$ data
SM	0.46(4)	0.325(9)	−0.497(13)	0.299(3)	0.258(5)
data	0.60(9)	-	−0.38(55)	0.407(46)	0.306(15)
Belle II	0.04	3%	0.07	3%	2%

Table 1. Predicted ranges of the polarizations for R_2, S_1 and U_1 LQ models ($\mu_{\rm LQ} = 1.5\,\text{TeV}$), which satisfy the current $1\,\sigma$ data of $R_{D^{(*)}}$ and the bound of $\mathcal{B}(B_c^+ \to \tau^+\nu) < 0.3$. The SM predictions, the current data, and the expected sensitivity at Belle II with $50\,\text{ab}^{-1}$ data [61, 67, 104] are also shown. The sensitivities for $F_L^{D^*}$ and $P_\tau^{D^*}$ are absolute uncertainty while the others are relative.

even though the leptonic decay, $B_c^+ \to \tau^+\nu$, is still not directly observed. Moreover, it is recently pointed out that the direct search for the heavy resonance almost excludes the charged scalar scenario [39]. The measurements of the physical observables in $\overline{B} \to D^{(*)}\tau\overline{\nu}$ could also conclude the NP possibilities, as discussed in refs. [59, 62–67]. Recently, the Belle collaboration has reported the new result on the longitudinal D^* polarization $F_L^{D^*}$ in $\overline{B} \to D^*\tau\overline{\nu}$, which could give us a new hint about the NP sector.

In this paper, we have investigated the correlations between the ratio $R_{D^{(*)}}$ and the D^* polarization $F_L^{D^*}$ for the LQ models in terms of the general effective Hamiltonian. It is already known that the three types of LQs can easily explain the present $R_{D^{(*)}}$ anomalies; scalar LQs (R_2, S_1) and vector LQ (U_1). Since the recent Belle result ($F_L^{D^*} = 0.60 \pm 0.09$) is slightly above the SM prediction ($F_{L,\,\text{SM}}^{D^*} \simeq 0.45$), the NP effect that enhances $F_L^{D^*}$ tends to be favored, which is not achievable with the single NP operators. Thus, we have tried to see if this could be possible in the LQ models that induce various types of NP operators. We, however, conclude that the possible deviations of $F_L^{D^*}$ from the SM prediction are small in the three LQ models. We find the predicted ranges of $F_L^{D^*}$ in the LQ models: $[0.43, 0.44]$, $[0.42, 0.48]$, and $[0.43, 0.47]$ for R_2, S_1 and U_1, respectively, in which the present $R_{D^{(*)}}$ anomaly can be explained within $1\,\sigma$. To be precise, it is found that $\mathcal{B}(B_c^+ \to \tau^+\nu)$ severely restricts deviation of $F_L^{D^*}$ from the SM prediction in the S_1 and U_1 LQ models. In the R_2 LQ case, $F_L^{D^*}$ is not much influenced. Therefore, it is unlikely to accommodate the present data of $R_{D^{(*)}}$ and $F_L^{D^*}$ simultaneously at $1\,\sigma$.

We also investigated the correlations between the $R_{D^{(*)}}$ explanation and the τ polarization asymmetries $P_\tau^{D^{(*)}}$ in the LQ models. It is found that the τ polarization observables can much deviate from the SM predictions.

In table 1, predicted ranges of the polarizations for the LQ models are summarized. Then, we would point out that the upcoming Belle II experiment can survey the correlations between $R_{D^{(*)}}$ and the polarization observables with high accuracy enough to discriminate among the LQ models. Note that LHCb run II will also improve the R_{D^*} observation [105]. According to our results, one can comment on a potential for future measurements of the

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polarization observables. As aforementioned in section 1, the present data of $F_L^{D^*}$ is more than $1\,\sigma$ away from the SM prediction, although it still includes not small uncertainties. At present, the statistical error is dominant and this can be improved in the future measurement at the Belle II experiment [104]. Provided that the present systematic error still remains, it is found that R_2, S_1, and U_1 LQ models are excluded at the $3\,\sigma$ level, if the present central value ($F_L^{D^*} \simeq 0.6$) is not changed. In the case, on the other hand, that data becomes consistent with the SM prediction ($F_L^{D^*} \simeq 0.46$), correlations between the other observables, $R_{D^{(*)}}$ and $P_\tau^{D^{(*)}}$, are significant to probe NP effects.

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A Absorption of the phase of C_{V_1}

The global phase in (2.1) is unphysical and hence can be reabsorbed. In our numerical study, we have taken C_{V_1} to be real by absorbing its phase as illustrated below.

In the S_1 LQ model, the relevant Wilson coefficients are C_{V_1}, C_{S_2} and C_T. A general formula for relevant observables is given as a function of $(1 + C_{V_1})$, C_{S_2} and C_T

$$\begin{aligned} f\left(C_{V_1}, C_{S_2}, C_T\right) = a_0|1 + C_{V_1}|^2 + a_1|C_{S_2}|^2 + a_2|C_T|^2 + a_3\mathrm{Re}[(1 + C_{V_1})C_{S_2}^*] \\ + a_4\mathrm{Re}[(1 + C_{V_1})C_T^*] + a_5\mathrm{Re}[C_{S_2}C_T^*], \end{aligned} \tag{A.1}$$

where a_i are real constants. Let us define $1 + C_{V_1} \equiv C_0 e^{i\theta_0}$ where C_0 is a real dimensionless number, then one obtains

$$\begin{aligned} f\left(C_{V_1}, C_{S_2}, C_T\right) = a_0 C_0^2 + a_1|C_{S_2}|^2 + a_2|C_T|^2 + a_3 C_0\mathrm{Re}[e^{i\theta_0}C_{S_2}^*] \\ + a_4 C_0\mathrm{Re}[e^{i\theta_0}C_T^*] + a_5\mathrm{Re}[C_{S_2}C_T^*]. \end{aligned} \tag{A.2}$$

The phase θ_0 can be absorbed by redefinitions of C_{S_2} and C_T; $C_{S_2} \to C'_{S_2} = C_{S_2}e^{-i\theta_0}$ and $C_T \to C'_T = C_T e^{-i\theta_0}$. Besides, the LQ boundary condition $C_{S_2}(\mu_{\rm LQ}) = -4C_T(\mu_{\rm LQ})$ and the RG evolution are compatible with the redefinitions. Therefore, the independent parameters are only three: C_0, $|C'_{S_2}(\mu_{\rm LQ})|$ and $\mathrm{Arg}\left[C'_{S_2}(\mu_{\rm LQ})\right]$. This redefinition is also applicable for the case of the U_1 LQ.

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