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Risk factors for shoulder and elbow pain in youth baseball players

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1 Abstract

Objectives: This study sought to quantify the 1-year cumulative incidence of shoulder
and elbow pain among youth baseball players and identify risk factors associated with
the occurrence of shoulder and elbow pain.

Methods: In total, 900 youth baseball players (aged 7–11 years) were enrolled in a 5 1-year prospective follow-up study. One year later, subjects were asked whether they 6 $\overline{7}$ had experienced episodes of shoulder or elbow pain and the following risk factors for 8 such pain were investigated: age, position, length of baseball experience, training hours 9 per week, and history of shoulder or elbow pain. Data for the groups with and without 10 shoulder or elbow pain were analyzed using multivariate logistic regression models. 11 Results: Episodes of shoulder pain were reported by 18.3% of players and episodes of 12elbow pain were reported by 35.2% of players. Multivariate analysis showed that 13shoulder pain was associated with playing pitcher, catcher, increasing training hours per 14week, and history of shoulder and elbow pain, and that elbow pain was associated with 15increasing age, playing pitcher, catcher, increasing training hours per week, and history of elbow pain. Length of baseball experience was not associated with shoulder or elbow 16 pain. 17

18 Conclusion: History of elbow pain, playing pitcher, catcher and increasing training 19 hours per week were associated with both types of pain. History of shoulder pain was 20 associated with shoulder pain but not elbow pain. Increasing age was associated with 21 elbow pain but not shoulder pain.

22

23 Keywords: Youth sports, Joint pain, Athletic injuries, Risk assessment

24 **1. Introduction**

25Youth baseball players are at risk of shoulder and elbow problems. Further, reports suggest there has been a rapid increase in shoulder and elbow injury rates among 26players in this age group since the first decade of the 21^{st} century (1, 2, 3, 4). It is 2728thought that at higher competition levels, shoulder and elbow injuries requiring medical 29attention are likely the result of cumulative microtrauma starting at the youth level. 30 Serious throwing injuries are most commonly due to the accumulation of microtrauma 31from repetitive throwing motions (5). Although epidemiological research has 32increasingly focused on throwing-related risk factors for injuries among youth and adolescent players (2, 6, 7, 8, 9, 10, 11), the factors associated with these problems are 33 34 not well understood.

35Lyman et al. followed 298 youth baseball pitchers (aged 9–12 years) for 2 36 consecutive spring seasons (7). They found that the frequency of shoulder pain and 37elbow pain was 32% and 26%, respectively, and that the factors associated with each type of pain were different. Shoulder pain was associated with pitches thrown per 3839 season and pitches thrown per game (7); elbow pain was associated with increasing age, 40 arm fatigue during the game, and pitches thrown per season (7). The risk of shoulder 41 and elbow pain from playing catcher, infield, or outfield without pitching was not 42examined in that study. Matsuura et al. investigated 1563 players aged 7–12 years 43including pitchers and nonpitchers, and found that 15.9% and 29.2% of the players reported episodes of shoulder pain and elbow pain, respectively (9). Again, the 44 45 associated risk factors were different for each type of pain. There was a significant 46 association between shoulder pain and increasing age; elbow pain was associated with increasing age, increasing length of baseball experience, and playing catcher (9). 47

48 However, that study did not clarified risk factors because study design was

49 cross-sectional study. To our knowledge, no study has assessed the risk factors for

shoulder and/or elbow pain in youth baseball players, either in pitchers or in position
players.

The aim of this study was to determine the cumulative incidence of shoulder and elbow pain in youth baseball players within a 1-year period. We hypothesized that the risk factors for shoulder pain would be different from those for elbow pain in youth baseball players.

56

57 2. Materials and Methods

58 This study was approved by the institutional review board at our institution, and all 59 parents and coaches provided informed consent. All team players gave their assent to 60 participate.

61 Baseline data were collected by questionnaire from 1020 players who participated in 62 a regional summer championship for youth baseball teams in July 2012. The questionnaire was distributed to team coaches, and the players completed them with the 63 64 assistance of their coaches and/or parents. Players were asked whether they had experienced episodes of shoulder or elbow pain that resulted in restriction of 65 participation for ≥ 1 day. The questionnaire was also used to gather data on age, playing 66 position, length of baseball experience, and training hours per week. Players reported 67 their most often played position. Training hours per week included hours spent in 68 69 practice, the bullpen, and games. 70The same subjects were re-investigated for shoulder and/or elbow pain 1 year later

vising a follow-up questionnaire sent out by mail. The subjects were not contacted by the

72	study investigators during the intervening year. Of the 1020 players, 900 (88.2%; mean
73	age 9.5 [range 7–11] years) completed the survey. Of these 900 players, 122 had
74	reported prior shoulder pain and 187 had reported prior elbow pain on the initial
75	baseline questionnaire completed at the beginning of the study. No completed
76	questionnaires were excluded. Subjects were asked at follow-up whether they had
77	experienced episodes of shoulder or elbow pain that resulted in restriction of
78	participation for ≥ 1 day. The first author reviewed the questionnaire returned by mail
79	with each subject to confirm their understanding of the questions and to check the
80	accuracy of the information provided.
81	We investigated the following potential risk factors for shoulder and elbow pain:
82	age (four categories), position (four categories), length of baseball experience (five
83	categories), training hours per week (four categories), and history of shoulder or elbow
84	pain (binary). The data were analyzed by multiple logistic regression analysis. First, the
85	potential risk factors were analyzed one by one, followed by multivariate analysis that
86	included all potential risk factors in the models. Dummy variables were created and
87	those except for reference categories were included in the models. Odds ratios (ORs)
88	with profile likelihood 95% confidence intervals (CIs) are presented relative to the
89	reference categories. A two-tailed P -value < 0.05 (Wald test) was considered
90	statistically significant. The statistical analysis was performed using PROC LOGISTIC
91	PC SAS version 8.2 software (SAS Institute Inc., Cary, NC).
92	
93	3. Results

94 Of the 900 subjects, 165 (18.3%) reported episodes of pain in the throwing shoulder 95 during the 1-year study period. Potential risk factors associated with shoulder pain are

96	summarized in Tables 1 and 2. Univariate analysis showed that shoulder pain was
97	significantly associated with age 10 years ($P < 0.01$), age 11 years ($P < 0.01$). There
98	was no statistically significant association between 9 years and shoulder pain. There
99	was a statistically significant association between shoulder pain and playing pitcher (P
100	< 0.0001) or catcher ($P < 0.001$). Infielder position was not significantly associated
101	with shoulder pain. There was a statistically significant association between shoulder
102	pain and lengths of baseball experience of > 4.5 but \leq 6 years ($P < 0.05$). Training
103	hours per week were not significantly associated with shoulder pain. There was a
104	statistically significant association between shoulder pain and history of shoulder and
105	elbow pain ($P < 0.0001$; Table 1). Multivariate analysis of these variables showed that
106	the risk factors significantly associated with shoulder pain were playing pitcher (OR
107	2.99; 95% CI 1.65–5.43), catcher (OR 2.02; 95% CI 1.07–3.76), training hours per
108	week of > 16 but \leq 36 h (OR 2.00; 95% CI 1.07–3.92), history of shoulder pain (OR
109	3.34; 95% CI 2.16–5.17), and history of elbow pain (OR 1.53; 95% CI 1.00–2.31; Table
110	2). Age 10 years (OR 1.31; 95% CI 0.69–2.57), 11 years (OR 1.26; 95% CI 0.60–2.71)
111	and lengths of baseball experience of > 4.5 but \leq 6 years (OR 1.60; 95% CI 0.43–7.90)
112	were not significantly associated with shoulder pain
113	Of the 900 subjects, 317 (35.2%) reported episodes of pain in the throwing elbow
114	during the season. Potential risk factors associated with elbow pain are summarized in
115	Tables 3 and 4. Univariate analysis showed that elbow pain had a significant association
116	with age 9 ($P < 0.0001$), age 10 ($P < 0.0001$), or age 11 ($P < 0.0001$) years. Playing
117	pitcher ($P < 0.0001$), catcher ($P < 0.0001$), or infielder ($P < 0.01$) was significantly
118	associated with elbow pain. Lengths of baseball experience of > 2.5 but \leq 3.5 years (P <
119	0.01), > 3.5 but \leq 4.5 years (<i>P</i> < 0.001), and 4.5 but \leq 6 years (<i>P</i> < 0.001) were

120	significantly associated with elbow pain. Training hours per week of > 16 but \leq 36 h (P
121	< 0.01) was significantly associated with elbow pain. There was a significant
122	association between elbow pain and history of shoulder and elbow pain ($P < 0.001$;
123	Table 3). Multivariate analysis of these variables showed that the following risk factors
124	were significantly associated with elbow pain: age 9 (OR 3.19; 95% CI 1.76–6.02), age
125	10 (OR 3.18; 95% CI 1.76–6.00), or 11 (OR 3.93; 95% CI 2.01–7.95) years; playing
126	pitcher (OR 2.62; 95% CI 1.53–4.50), and catcher (OR 2.29; 95% CI 1.33–3.96);
127	training hours per week of > 16 but \leq 36 h (OR 2.33; 95% CI 1.34–4.15; Table 4);
128	history of elbow pain (OR 5.70; 95% CI 3.91-8.41) . Infielder (OR 1.41; 95% CI 0.96-
129	2.06), length of baseball experience of > 2.5 but \leq 3.5 years (OR 1.65; 95% CI 0.59–
130	5.91), > 3.5 but \leq 4.5 years (OR 1.64; 95% CI 0.56–6.03) and > 4.5 but \leq 6 years (OR
131	2.39; 95% CI 0.73–9.48) of baseball experience and episodes of shoulder pain (OR
132	1.20; 95% CI 0.77–1.88) were not significantly associated with elbow pain.
133	
134	4. Discussion

This study investigated the risk factors for shoulder and/or elbow pain in youth
baseball players. Unique aspects of this study were the inclusion of pitchers and
position players and a Japanese study population. Baseball is the most popular sport in
Japan, and many problematic throwing injuries in young players are recognized (6, 9,
10).

This is the first study to report the cumulative incidence of shoulder and elbow pain in entire teams of youth baseball players aged 7–11 years within a 1-year period. The 1-year cumulative incidence of shoulder and elbow pain at either site was 18.3% and 35.2%, respectively. History of shoulder or elbow pain was the strongest risk factors for pain at each site. Picher was also strong risk factors for both shoulder and elbow pain.
Some factors associated with pain at these sites appeared to be different, suggesting
diverse etiologies. Increasing age was strong risk factor for elbow pain but not for
shoulder pain.

148 History of shoulder or elbow pain was the strongest risk factors for pain at each site. 149These results suggest that players with a previous history of shoulder or elbow pain 150were required attention. Interestingly, in our study, a history of elbow pain was associated with a higher incidence of shoulder pain. However, a history of shoulder pain 151152was not associated with a higher incidence of elbow pain. Taken together with the fact 153that the frequency of elbow pain was higher than that of shoulder pain, elbow injury in 154youth baseball players might often precede a shoulder injury. It may be that, in players who develop an elbow injury, throwing mechanics are altered to alleviate the stress on 155the elbow and lead to decreased performance, thereby increasing the stress on the 156157shoulder, although there is no direct evidence that this occurs (12). 158Multivariate logistic regression revealed that pitching was associated with shoulder

pain and elbow pain. The risk factor with the association with injury was pitching, 159160 suggesting that pitching is a strong risk factor for developing pain at the shoulder and 161 elbow. In a cross-sectional study of risk factors for elbow injuries in baseball players 162aged 9–12 years, Harada et al. showed that pitching was a strong risk factor for elbow 163 injury (6). In our study, catcher was also risk factor for shoulder and elbow pain. 164Previous studies have demonstrated comparatively high rates of arm pain in young catchers, which might be explained by the fact that the number of throws made by 165166catchers is comparable to that of pitchers and more than that of position players (10, 167 13).

168 Increasing age, but not length of baseball experience, had a strong association with elbow pain. The relationship between age and risk of arm problems and/or injuries has 169 170frequently been reported in earlier studies, where increasing age was shown to be 171associated with a higher incidence of arm pain (7, 9, 14, 15, 16). Because this increase 172was found for 2–3 years between the ages of 8 and 11 years, it might have important 173implications. It is possible that older players are more skillful and thus may make more 174throws per game (2, 8, 11). Older players are also likely to be stronger and capable of 175generating a greater load on the joint/soft tissue structures. A further hypothesis focuses 176on the secondary ossification centers (8), which start to ossify between the ages of 2 and 17711 years and do not fuse to the long bones until as late as 17 years of age. There may be 178up to six secondary ossification centers present in the elbow of an 11-year-old boy. 179These centers are the most vulnerable points in the young elbow and can become inflamed and irritated by the throwing motion (8). However, increasing age was not 180 181associated with shoulder pain in this study. Lyman et al. reported similar results, that is, 182a relationship between increasing age and elbow pain but not with shoulder pain (7). 183Training hours per week of > 16 but ≤ 36 h was also associated with both shoulder 184 and elbow pain. Harada et al. showed that ≥ 14 h of training per week tended to be an 185 associated risk factor (6). The results of our longitudinal study confirm that increasing 186 training hours per week are risk factors for arm pain. 187 It is expected that increasing length of baseball experience would be associated with 188 arm pain because throwing injuries result in the accumulation of microtrauma from repetitive throwing motion (5). However, in this study, length of baseball experience 189

190 was not associated with the incidence of shoulder pain or elbow pain. A possible

191 explanation for this lack of a significant finding is that most (72.3%) of the participants

in our study had < 3.5 years of baseball experience. Combined with the fact that
increasing training hours per week was associated with shoulder and elbow pain, it
might be that increasing length of baseball experience is associated with shoulder and/or
elbow pain in players who are older than those in the present study.

196 This prospective study identified multiple risk factors for "throwing injuries" in youth baseball players and adds new information to the available data. However, the 197 198 study has several limitations. One major limitation is that our data are based on 199self-reporting by young participants. There might have been some recall bias when the 200 players were asked about their history of shoulder and/or elbow pain. Moreover, it 201would have been ideal for someone who was not involved in the study or was blinded to 202the study hypothesis to have reviewed the questionnaires with each player. The study 203would also have yielded more robust information if the questionnaire had included information on factors such as additional or secondary positions, the characteristics, 204205intensity, and duration of pain and treatment, time to return to baseball, and prior 206surgical treatment. A further limitation was that no physical examination was 207 undertaken to rule out conditions such as glenohumeral internal rotation deficit, loss of 208total range of motion at the shoulder, rotator cuff weakness, scapular dysfunction, 209muscle tightness in the lower extremities, and deficits in single-leg standing balance. 210The final limitation was that all the players were from the same geographic region in 211Japan. It is unclear whether the risk factors identified in this study are different from 212those for players in other regions or countries. Future multicenter studies are needed to 213draw firm conclusions regarding the causes of pain and the risk of injury to the shoulder 214and elbow in youth baseball players.

215

216 **5.** Conclusion

- 217 History of shoulder or elbow pain, and pitcher are strong risk factors for arm pain.
- 218 Increasing age is strong risk factor for elbow pain not for shoulder pain. Training hours
- 219 per week should be restricted less than 16 hours. Players with history of elbow pain
- 220 were paid attention to occurrence of shoulder pain.

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261

	n (%)	OR	95% CI	<i>P</i> -value
Age (years)				
≤ 8	153 (19.7)	1		
9	200 (25.7)	1.55	0.79-3.15	0.21
10	310 (39.8)	2.24	1.24-4.31	0.01
11	115 (14.8)	1.61	0.75-3.48	0.22
Position				
Pitcher	80 (10.3)	2.82	1.49-5.29	< 0.01
Catcher	75 (9.6)	2.12	1.05-4.13	0.03
Infielder	339 (43.6)	1.43	0.89-2.34	0.15
Outfielder	284 (36.5)	1		
Length of baseball experience				
(years)				
≤ 1.5	30 (3.9)	1		
> 1.5 but ≤ 2.5	270 (34.7)	1.95	0.55-12.41	0.38
> 2.5 but \le 3.5	279 (35.9)	2.07	0.59-13.18	0.33
> 3.5 but ≤ 4.5	150 (19.3)	3.80	1.06-24.28	0.08
> 4.5 but ≤ 6	49 (6.2)	4.05	0.99–27.54	0.08
Training hours per week (h)				
≤ 10.5	87 (11.2)	1		
> 10.5 but \le 13	197 (25.3)	2.24	0.95-6.17	0.09
> 13 but ≤ 16	249 (32.0)	2.06	0.89-5.63	0.12
> 16 but ≤ 36	245 (31.5)	3.20	1.42-8.62	0.01
History of elbow pain				
Negative	637 (81.9)	1		
Positive	141 (18.1)	1.99	1.25-3.12	0.01

Table 1 Univariate analysis of risk factors for shoulder pain

Potential risk factors were examined one by one. Statistically significant values (Wald test) are shown in bold. Abbreviations: CI, confidence interval, OR, odds ratio

Variable	OR	95% CI	<i>P</i> -value	
Age (years)				
≤ 8	1			
9	1.22	0.61-2.56	0.58	
10	1.42	0.71-2.96	0.34	
11	0.94	0.40-2.25	0.90	
Position				
Pitcher	2.12	1.05-4.23	0.03	
Catcher	1.61	0.77-3.28	0.19	
Infielder	1.26	0.76-2.10	0.38	
Outfielder	1			
Length of baseball experience (years)				
≤1.5	1			
> 1.5 but ≤ 2.5	1.59	0.44-10.25	0.54	
> 2.5 but ≤ 3.5	1.40	0.38-9.13	0.66	
> 3.5 but ≤ 4.5	2.03	0.52-13.53	0.37	
> 4.5 but ≤ 6	2.40	0.53-17.28	0.30	
Training hours per week (h)				
≤ 10.5	1			
> 10.5 but \le 13	2.05	0.86-5.71	0.13	
> 13 but ≤ 16	1.87	0.80-5.16	0.18	
> 16 but ≤ 36	2.98	1.30-8.09	0.02	
History of elbow pain				
Negative	1			
Positive	1.60	0.98-2.59	0.06	

Table 2 Multivariate analysis of risk factors for shoulder pain

All five potential risk factors were included in the model. Statistically significant values (Wald test) are shown in bold. Abbreviations: CI, confidence interval, OR, odds ratio

y	n (%)	OR	95% CI	<i>P</i> -value
Age (years)				
≤ 8	152 (21.3)	1		
9	194 (27.2)	3.42	1.86-6.69	< 0.001
10	265 (37.2)	4.34	2.43-8.28	< 0.0001
11	102 (14.3)	6.10	3.16-12.39	< 0.0001
Position				
Pitcher	74 (10.4)	4.59	2.62-8.10	< 0.0001
Catcher	64 (9.0)	4.48	2.48-8.12	< 0.0001
Infielder	306 (42.9)	1.88	1.25-2.87	< 0.01
Outfielder	269 (37.7)	1		
Length of baseball experience				
(years)				
≤1.5	32 (4.5)	1		
> 1.5 but ≤ 2.5	264 (37.0)	1.76	0.65-6.13	0.31
> 2.5 but ≤ 3.5	250 (35.1)	2.56	0.96-8.89	0.09
> 3.5 but ≤ 4.5	120 (16.8)	3.63	1.31-12.88	0.02
> 4.5 but ≤ 6	51 (6.6)	4.75	1.55-18.00	0.01
Training hours per week (h)				
≤ 10.5	89 (12.4)	1		
> 10.5 but \le 13	180 (25.3)	0.95	0.51-1.83	0.88
> 13 but ≤ 16	224 (31.4)	1.13	0.63-2.12	0.69
> 16 but ≤ 36	220 (30.9)	2.30	1.30-4.22	< 0.01
History of shoulder pain				
Negative	637 (89.3)	1		
Positive	76 (10.7)	1.80	1.08-2.95	0.02

Table 3 Univariate analysis of risk factors for elbow pain

Each potential risk factor was examined one by one. Statistically significant values (Wald test) are shown in bold. Abbreviations: CI, confidence interval, OR, odds ratio

Variable	OR	95% CI	<i>P</i> -value
Age (years)			
≤ 8	1		
9	2.87	1.50-5.79	< 0.01
10	3.03	1.58-6.13	< 0.01
11	4.17	1.97-9.16	< 0.001
Position			
Pitcher	3.30	1.78-6.13	< 0.001
Catcher	3.35	1.78-6.33	< 0.001
Infielder	1.68	1.09-2.62	0.02
Outfielder	1		
Length of baseball experience			
≤1.5	1		
> 1.5 but ≤ 2.5	1.48	0.52-5.32	0.50
> 2.5 but \le 3.5	1.35	0.47-4.90	0.60
> 3.5 but \le 4.5	1.39	0.46-5.22	0.58
> 4.5 but ≤ 6	1.49	0.44-6.12	0.55
Training hours per week			
≤1 0.5	1		
> 10.5 but ≤ 13	0.98	0.51-1.96	0.96
> 13 but ≤ 16	1.17	0.62-2.25	0.64
> 16 but ≤ 36	2.44	1.33-4.66	< 0.01
History of shoulder pain			
Negative	1		
Positive	1.40	0.81-2.38	0.22

Table 4 Multivariate analysis of risk factors for elbow pain

All five potential risk factors were included in the model. Statistically significant values (Wald test) are shown in bold. Abbreviations: CI, confidence interval, OR, odds ratio