# **Molecular-Level Precision Functionalization of Surface**

# **Nanostructures by Noncovalent Interactions**

(非共有結合的相互作用を用いた表面ナノ構造の分子レベル精密修飾)

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## — Abstract ——

Chemical structure on the surface of materials determines the physicochemical properties such as solubility and binding affinity to other materials. Noncovalent immobilization of functional molecules to the surface is often utilized for the modification of materials due to the facility in operation. For example, surface functionalization of self-assembled objects is often achieved by solvophobic interactions in solution. In another case, nanocarbon materials can be noncovalently functionalized by using chemically-modified aromatic molecules through  $\pi$ - $\pi$  interaction. However, these noncovalent approaches often lack the control of interactions at the molecular level precision and cause nonspecific adsorption of the molecules to the surface. In addition, the ill-controlled molecular immobilization methods often induce destabilization of self-assembled objects and decrease in cost-effectiveness of the surface modification. Therefore, more precise surface functionalization methods are needed.

In this thesis, I developed two kinds of supramolecular surface modification methods to overcome these problems. First one is post-surface modification of a self-assembled nanocapsule composed of a fluorous fullerene amphiphile via fluorous interaction. Second one is shape-selective surface modification of a nanocarbon via host-guest interaction. Specific structure on a nanocarbon surface was selectively modified with cyclodextrins (CDs), which are common host molecules for encapsulation of small guest molecules, and the water-dispersibility of the nanocarbon was improved by the addition of small amount (1 wt%) of CDs. In addition, the host-guest complex of nanocarbon and CD was visualized by high-resolution transmission electron microscopy (TEM) at a single molecule level. A functional group on CD was also visualized by TEM.

Chapter 1 describes non-covalent modification methods for surface nanostructures and the applications. Ligand display on self-assembled capsules and molecular adsorption on nanocarbons are highlighted. In addition, electron microscopic analysis of single organic molecules using nanocarbons as a template is discussed.

Chapter 2 describes protein-ligand display on the surface of sub-micrometer-sized fluorous fullerene vesicle via fluorous interaction. The vesicle composed of fluorous fullerene amphiphile that has three different moieties, perfluoroalkyl side chains/potassium cyclopentadienide /fullerene core, ( $Rf_5C_{60}$ -K<sup>+</sup>). When the amphiphile forms the vesicular structure, the perfluoroalkyl side chains are exposed to the surface of the vesicle in water. Therefore fluorous-tagged protein ligands can be immobilized on the surface of the vesicle via fluorous interaction simply by mixing them in water. In addition, the vesicle bound to target proteins via the surface ligands.

Chapter 3 describes shape-selective surface modification of a nanocarbon via host-guest interaction of CDs. Carbon nanohorn (CNH) aggregates are 50–150 nm-sized aggregates of cone-shaped carbon nanotubes. The size of cone-shaped tip of CNH is almost the same size as [60]fullerene. CDs are usually used as a host molecule for encapsulation of small guest molecules in water. In particular,  $\gamma$ -CD is known to encapsulate fullerenes into its inner cavity and forms a stable water-soluble complex. Inspired by the shape-selective encapsulation of CDs, I achieved selective modification of the tip of CNH aggregates with  $\gamma$ -CD and the derivatives. Since  $\gamma$ -CD is hydrophilic molecule, hydrophobic CNH aggregates became dispersable in water upon complexation with  $\gamma$ -CD. The complex structures of  $\gamma$ -CD and a tip of CNH were first visualized by TEM at a single-molecule level with atomic resolution. In addition, this tip-selective molecular immobilization on CNH aggregates was applied to visualization of specimens bound to primary hydroxyl group of  $\gamma$ -CD.

Finally, chapter 4 summarizes the present thesis and describes possible outcomes for the chemistry of noncovalent functionalization of self-assembled objects and solid materials that have specific surface nanostructures.

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#### — List of Publications —

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[2] "Shape-Selective Host-Guest Complexation of γ-Cyclodextrin with Nanocarbon Surfaces", Junya Yamada, Akihito Kumamoto, Satoshi Okada, Naoya Shibata, Koji Harano, Eiichi Nakamura 日本化学会第 95 春季年会, 日本大学, 船橋, 2015 年 3 月(学生講演賞受賞)

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[2] 「フルオラス相互作用を用いたナノベシクル上における糖類の表面呈示」, FCCA グライコサイ エンス若手フォーラム 2014,山田純也,水野慎一郎,原野幸治,中村栄一,名古屋大学,名古屋, 2014 年 8 月

[3] 「フルオラス表面での分子認識を利用した非極性気体応答性分子放出システム」,<u>山田純也</u>,関 貴一,原野幸治,中村栄一,第25回基礎有機化学討論会,東北大学,仙台,2014年9月 [4] 「フルオラス相互作用を用いたナノベシクル上におけるタンパクリガンド分子の表面呈示」,<u>山</u>

<u>田純也</u>,水野慎一郎,原野幸治,中村栄一,第四回 CSJ 化学フェスタ 2014,タワーホール船堀, 東京,2014 年 10 月

[5] "High Density Display of Protein Ligands on Fullerene Vesicles via Noncovalent Fluorous Interactions", <u>Junya Yamada</u>, Shinichiro Mizuno, Koji Harano, Eiichi Nakamura, International Symposium on the Synthesis and Application of Curved Organic π-Molecules and Materials (CURO-π), 京都大学, 宇治, 2014 年 10 月

[6] "Shape-Selective Host-Guest Complexation and Single-Molecule Microscopic Observation of Cyclodextrins on Nanocarbon Surfaces", Junya Yamada, Akihito Kumamoto, Naoya Shibata, Koji Harano, Eiichi Nakamura, 第 26 回基礎有機化学討論会, 愛媛大学•松山大学, 松山, 2015 年 9 月

[7] "Host-guest complexation and TEM imaging of cyclodextrins on nanocarbon surface", <u>Junya Yamada</u>, Akihito Kumamoto, Naoya Shibata, Koji Harano, Eiichi Nakamura, Pacifichem 2015, Honolulu, Hawaii, USA, 2015 年 12 月 (口頭発表と同時講演)

## — Abbreviations —

Ac	Acetyl	<sup><i>i</i></sup> Pr	Isopropyl
AFM	Atomic Force Microscopy	IR	Infrared Spectroscopy
	(Microscope)	ITO	Indium Tin Oxide
APCI	Atmospheric Pressure Chemical	IZO	Indium Zinc Oxide
	Ionization	LLS	Laser Light Scattering
aq	aqueous solution	М	Molar (mol/L)
a.u.	Arbitrary Unit	Man	Mannopyranoside
Bu	Butyl	Me	Methyl
CAC	Critical aggregation concentration	mp	Melting Point
CD	Cyclodextrin	NMR	Nuclear Magnetic Resonance
CNH	Carbon Nanohorn	ODCB	o-Dichlorobenzene
CNT	Carbon Nanotube	PdI	Polydispersity Index
ConA	Concanavalin A	PNA	Peanut Agglutinin
DCM	Dichloromethane	PTFE	Polytetrafluoroethylene
DLS	Dynamic Light Scattering	rt	Room Temperature
DMF	<i>N</i> , <i>N</i> -Dimethylformamide	SEM	Scanning Electron Microscopy
DMSO	Dimethylsulfoxide		(Microscope)
ESI	Electrospray Ionization	SMART	Single Molecule Atomic Real Time
Et	Ethyl	STEM	Scanning Transmission Electron
eq	Equivalent		Microscopy (Microscope)
FAB	Fast Atom Bombardment	TEM	Transmission Electron Microscopy
FT	Fluorous-Tagged		(Microscope)
Gal	Galactopyranoside	Tf	Trifluoromethanesulfonyl
GCP	Graphitic Carbon Particle	Ts	<i>p</i> -Toluenesulfonyl
GPC	Gel Permeation Chromatography	TG-DTA	Thermogravimetry-Differential
HEPES	4-(2-Hydroxyethyl)-		Thermal Analysis
	1-Piperazineethanesulfonic Acid	THF	Tetrahydrofran
HPLC	High Pressure (Performance) Liquid	TMS	Tetramethylsilane
	Chromatography	UV	ultraviolet
HRMS	High-Resolution Mass Spectrometry	Vis	Visible

Abstract	i
Acknowledgements	iii
List of Publications	V
Contents	vii
Chapter 1: General Introduction	1
1.1. Noncovalent Modification of Self-Assembled Objects	
1.2. Noncovalent Modification of Nanocarbons	6
1.3. Electron Microscopic Visualization of Single Molecules Using Nanocarbons	8
1.4. References	11
Chapter 2: Hierarchical Construction of Protein-Coated Capsule by Orthogonal Non	covalent
Interactions	15
2.1. Introduction: Fullerene Vesicle and the Surface Modification	17
2.2. Preparation of Fullerene Vesicles and FT Molecules	
2.2.1. Synthesis of Fluorous Fullerene Amphiphile and FT Ligand Molecules	
2.2.2. Preparation of Fullerene Vesicles	
2.3. Ligand Display on Fullerene Vesicles	
2.4. Protein Binding on Ligand-Coated Fullerene Vesicles	
2.5. SEM and STEM Imaging of Lectin-Coated Fullerene Vesicles	
2.6. Conclusion	
2.7. References	
Chapter 2: Experimental Section & Supporting Information	
Chapter 3: Shape-Selective Surface Modification of a Nanocarbon via Host-Guest In	teraction
of Cyclodextrins	49
本章については,5年以内に雑誌等で刊行予定のため,非公開.	
Chapter 4: Summary & Outlook	51
本章の第3章に関する記述は、5年以内に雑誌等で刊行予定のため、非公開.	

## — Contents —

— Chapter 1— General Introduction

Chemical structure on the surface of materials determines the physicochemical properties such as solubility and binding ability to other materials. Modification of the surface with hydrophilic groups increases the solubility in water, and modification with surface ligand induces binding materials to specific protein. Therefore a variety of surface modification methods have been developed for functionalization of materials in materials science. Especially, noncovalent modification with functional small molecules is energetically studied due to its facility in the operation compared with covalent methods. Here I introduce noncovalent surface modification methods focusing on functionalization for self-assembled objects and nanocarbons.

#### 1.1. Noncovalent Modification of Self-Assembled Objects

Amphiphilic molecules such as surfactants,<sup>1</sup> lipids<sup>2</sup> and ionic brock copolymers<sup>3</sup> composed of hydrophilic head and hydrophobic tail moieties form self-assembled objects. Amphiphilic molecules assemble in proper solvent via solvophobic interaction. In the case of self-assembly in water, amphiphilic molecules form micelle, bilayer vesicle, or bilayer sheets orienting the hydrophobic tails inside of the structure depending on the packing structure in self-assembly.<sup>4</sup> Generally, conical surfactants and ionic block copolymers tend to form micelles, while rod-shaped ionic block copolymers and lipids tend to form bilayer structures (Figure 1-1).<sup>3b, 5</sup>



Figure 1-1. Relationship between the geometric structures of amphiphilic molecules and the self-assembled structures.

Hydrophobic molecules can be incorporated into the hydrophobic interior of self-assembled objects made from amphiphiles, while hydrophilic molecules can be encapsulated into the inner cavity of vesicles at pre- and post-formation of the structures in water (Figure 1-2a).<sup>2, 3b, 6</sup> As an application using this phenomena, micelles and vesicles that contain drug molecules on the inside are used as a drug carrier to suppress metabolization of drugs and to add sustained release property in pharmacotherapy.<sup>3b, 7</sup> In addition, the surface of the vesicles is modified by incorporation of functional molecules via hydrophobic interaction, for instance, ligand modification for binding to protein, cationic modification for immobilization of DNA, and light-harvesting antenna for responsiveness to light irradiation and construction of bio-mimetic materials (Figure 1-2b).<sup>8</sup>



**Figure 1-2.** Incorporation of molecules into bilayer vesicles. (a) Incorporation of molecule such as drug molecules into the inside of vesicles and (b) display of functional molecules on the surface of vesicles.

Ligand functionalization on the surface of self-assembled objects has attracted much attention in the field of chemical biology.<sup>9</sup> Since vesicles have capsular structure, they can contain both hydrophilic and hydrophobic drugs on the inside of the cavity and the membrane, respectively. In addition, their size can be adjusted to the suitable size (10–100 nm) for drug delivery to tumor cells.<sup>10</sup> Therefore, vesicles are widely studied as a drug carrier in drug delivery system.<sup>10a, 11</sup> Modification of the surface of vesicles with protein-binding ligand molecules as "address labels" enables them to be delivered to the target diseases cells. Amphiphilic protein ligands that are composed of protein-binding moiety (conventionally saccharides) and hydrophobic tag moiety such

as alkyl, and adamantyl are immobilized on the membrane of the vesicles via hydrophobic interaction and host-guest interaction in water, respectively (Figure 1-3).<sup>8b, 8c, 12</sup>



**Figure 1-3.** Ligand display on the surface of vesicles. (a) Aliphatic-tagged saccharides. (b) Ligand display on the surface of a lipid vesicle via hydrophobic interaction. (c) Functionalization of a cyclodextrin vesicle via host-guest interaction.

Hydrophilic saccharides moiety is displayed on the surface of the vesicles (Figure 1-3b, c) and binds to the target protein.<sup>12</sup> However, immobilization of the protein ligands into the membrane of vesicles, especially liposomes, often destabilizes the membrane structure due to competitive interactions between the membrane formation and the ligands immobilization (Figure 1-4).<sup>13</sup>

In the chapter 2 in this thesis, I demonstrate a facile ligand display method on the surface of bilayer vesicles without affecting the structural integrity by using self-assembled fullerene bilayer as a scaffold.



Figure 1-4. Destabilization of the membrane structure of vesicles due to competitive uptake of ligand molecules.

#### **1.2.** Noncovalent Modification of Nanocarbons

Nanocarbons such as fullerenes,<sup>14</sup> carbon nanotubes (CNTs),<sup>15</sup> and graphene<sup>16</sup> have much attention in materials science due to the unique electrochemical properties<sup>17</sup> and the robust molecular architecture<sup>17a, 18</sup> derived from the large network of  $\pi$  system (Figure 1-5). Many modification methods of nanocarbons have been developed to adjust the chemical properties to their usages. Noncovalent modification is more manageable method than covalent modification because it does not break the  $\pi$  system and keep the chemical properties of nanocarbons.



Figure 1-5. Structures of nanocarbons.

Generally, noncovalent surface modification of nanocarbons are performed by using long aliphatic molecules<sup>19</sup> or chemically-modified aromatic molecules such as porphyrin,<sup>20</sup> styrene,<sup>21</sup> and pyrene<sup>22</sup> through hydrophobic interaction and  $\pi$ - $\pi$  interaction (Figure 1-6a–c).<sup>23</sup> Bowl-shaped and cage-shaped host molecules such as corannulene,<sup>24</sup> calixarenes,<sup>25</sup> and cyclodextrins<sup>26</sup> form an inclusion complex with fullerenes by recognition of the size and the curved structure of the fullerenes via host-gest interaction (Figure 1-6d).<sup>27</sup> In addition, cyclic aromatic rings are also known to encapsulate fullerenes on the inside (Figure 1-6e).<sup>28</sup> Compared with fullerenes that can be handled as a molecule, however, noncovalent surface modification of CNTs and graphene cannot be controlled very well due to the bulk nature of the materials. Functional molecules are randomly physisorbed on the surface of CNTs and graphene, hence the amount and orientation of the adsorbed molecules are difficult to investigate (Figure 1-6b, c).

Nanocarbons dissolve neither in hydrocarbon nor polar solvents. Even if they are dissolved, they are easily agglomerated in the solution or dispersion liquid. This agglomeration is a severe problem that impedes further functionalization and the following applications. Therefore, improvement of their wettability is often to become a topic of their functionalization.<sup>19, 21, 26, 29</sup> Especially, dispersion and de-bundling of CNTs are widely studied in both organic solvents and aqueous solvents because of the importance in application.<sup>30</sup> Pyrene with an aliphatic pendant was adsorbed on the surface of CNTs through the pyrene moiety and it improved the dispersibility of CNTs in organic solvents such as DMF and methanol (Figure 1-6c).<sup>22a</sup> Surfactants and amphiphilic block copolymers are also adsorbed on the surface of CNTs with the hydrophobic tail and made

them dispersed in aqueous solvents (Figure 1-6b).<sup>19, 21</sup> However, large amount of dispersants, at least as much as CNTs, are necessary for the dispersion of CNTs, which often cause bad effects in the physicochemical properties because noncovalent interaction between nanocarbon surface and dispersants usually weak and conventional dispersants aggregates into the self-assembled structure not adsorb on the surface of nanocarbons. In addition, the dispersants are difficult to be removed completely.

In the chapter 3 in this thesis, I try to develop a shape-selective surface modification method of a nanocarbon to overcome the general problem of nonspecific adsorption of functional molecules on the surface.



**Figure 1-6.** Noncovalent modification of nanocarbons via  $\pi$ - $\pi$  interaction. Molecules interact with nanocarbons via (a, b) multivalent interactions, (c) monovalent interaction, and (d, e) host-guest interactions.

#### **1.3. Electron Microscopic Visualization of Single Molecules Using Nanocarbons**

Electron microscopic imaging of the nanometer-sized structure and the behavior of organic molecules give us a new insight to understand the boundary between single molecule-level events and bulk properties of materials. However, the visualization of single organic molecules has been thought to be impossible by transmission electron microscopy (TEM) due to the significant damage of the specimens by electron irradiation during TEM measurement.<sup>31</sup> In contrast, fullerene molecules and the derivatives incorporated in CNTs, fullerene peapod, were stably observed by TEM because of isolation of fullerenes in CNTs (Figure 1-7a).<sup>32</sup> Series of TEM images taken with a small time interval successfully traced the translational and the rotational motions of fullerenes,<sup>33</sup> and also, the dimerization and the carbon-carbon bond reorganization reactions of fullerenes (Figure 1-7b).<sup>34</sup>



**Figure 1-7.** TEM visualization of specimens on the inside of CNTs. (a) Fullerene peapod, Reprinted from ref. 32c, Copyright © 2005 American Chemical Society. (b) C–C bond reorganization of fullerenes under electron irradiation. Reprinted from ref. 34b, Copyright © 2011 American Chemical Society. (c) Hydrocarbon-attached *ortho*-carborane (left: TEM image, middle: simulation image of the TEM image). Reprinted from ref. 35a, with permission from AAAS.

The stereotype for TEM visualization has been broken by success in observation of hydrocarbon-attached *ortho*-carborane by using CNTs as a cage to fixation (Figure 1-7c).<sup>35a</sup> The rotational motions, the conformational changes, and the motion of organic molecules induced by interaction with the wall of CNTs were also observed.<sup>35</sup> In this strategy, however, the specimen molecules should be incorporated into the inside of CNTs. The incorporation is carried out by vacuum vapor deposition,<sup>35</sup> therefore molecules that cannot be vaporized and cannot be tolerated under high temperature are not available. In addition, the observed molecules are limited by the size of the diameter of CNTs. These problems reduce the versatility of the single molecule TEM visualization.

The limitation of TEM visualization using CNTs was overcome using carbon nanohorn (CNH) aggregates<sup>36</sup> as another specimen support. CNH aggregates are 50–150 nm-sized aggregates of cone-shaped CNTs. Since the tip of CNH is more reactive than the sidewall due to the highly carved and strained  $\pi$  system, specimen molecules that are activated by succinimide ester were covalently bound to the tip of CNH via amide bonding (Figure 1-8a).<sup>37</sup>



**Figure 1-8.** TEM visualization of specimens on the outside of CNTs (CNH). (a) Schematic illustration of specimen binding to amino CNH. TEM images of (b) biotinylated molecule and (c) cluster of dibromophenylbenzamide and tribromophenylbenzene. (b) Reprinted from ref. 38c, Copyright © 2015 American Chemical Society, (c) Reprinted from ref. 38b, Copyright © 2012 Macmillan Publishers Limited. All Rights Reserved.

Perfluorocarbon, biotinylated molecule, and Y-shaped dibromophenylbenzamide were observed in near-atomic resolution by TEM and their conformational changes were investigated (Figure 1-8b, c).<sup>35d, 38</sup> This imaging technique is mild enough to take sequential image of van der Waals clusters of organic molecules (Figure 1-8c).<sup>38b</sup> Because of the smaller spatial limitation than inside of CNTs, larger specimen molecules succeeded in applying to TEM observation, and its more dynamic motion was observed on the tip of CNH. The molecular dynamics was quantified by cross-correlation ( $\gamma$ ) between consecutive each two frames of the movie of TEM images, and it was revealed that the motion was depending on acceleration voltage of TEM (Figure 1-9).<sup>38c</sup> However, the modification yield on CNH via covalent bonding is very low, and it is quite difficult to confirm that specimen molecules are really immobilized of the tip before TEM observation.

In the chapter 3 in this thesis, I discuss shape-selective surface modification of CNH by high resolution TEM imaging. In addition, I developed a new methodology for immobilization of specimen on the tip of CNH using noncovalent interaction.



**Figure 1-9.** Quantification of molecular dynamics of specimens observed by TEM. (a) Representative sequential TEM images of biotinylated molecule. (b) Cross correlation calculated from consecutive frames of the movie of TEM images in a. Reprinted from ref. 38c Copyright © 2015 American Chemical Society.

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---- Chapter 2 ----Hierarchical Construction of Protein-Coated Capsule by Orthogonal Noncovalent Interactions

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"High-Density Display of Protein Ligands on Self-Assembled Capsules via Noncovalent Fluorous Interactions" Harano, K.; <u>Yamada, J.</u>; Mizuno, S.; Nakamura, E.; *Chem. Asian J.* **2015**, *10*, 172–176.

#### 2.1. Introduction: Fullerene Vesicle and the Surface Modification

Sub-100 nm-sized nanocapsules are very attractive as a drug carrier due to the capsular structure and the suitable size to avoid metabolization in human body.<sup>1</sup> Conventionally, liposomes made of lipid amphiphiles (molecules composed of polar head and nonpolar tail (s)) and polymersomes made of amphiphilic polymers are used as a drug carrier in pharmacotherapy.<sup>2</sup> Ligand display on the surface of the self-assembled capsules enables them to bind to a specific membrane protein of target diseased cells for the efficacy control. However, immobilization of the protein ligands into a membrane of the self-assembled capsules, especially liposomes, often destabilizes the membrane structure due to competitive interactions between the membrane formation and the ligand immobilization.<sup>3</sup> Polymersomes also have a problem in the clinical usages, because polymers are usually difficult to be metabolized and tend to remain in tissues to cause toxic effects. The desirable features of the nanocapsules for practical application are 1) being composed of small molecules, 2) having robust membrane to tolerate further functionalization, and 3) being easily modified.

Nakamura et al. reported that water-soluble pentasubstituted [60]fullerene amphiphiles ( $R_5C_{60}^-$  K<sup>+</sup>) form sub-100 nm-sized self-assembled vesicles in water (Figure 2-1).<sup>4</sup> The amphiphiles are composed of ternary architecture of alkyl or (perfluoro)alkyl side chains (nonpolar), potassium cyclopentadienide (polar), and fullerene core (nonpolar).<sup>4b</sup> The functional groups are synthetically introduced to the *para*-position of the substituted benzene ring. Due to the robust molecular structure of the fullerene core, the amphiphiles self-assemble into mechanically stable bilayer or interdigitated bilayer fullerene vesicles via  $\pi$ - $\pi$  interaction. In addition, the substituted groups of the fullerene amphiphile are exposed to outside of the vesicle in water even though these are hydrophobic (perfluoro)alkyl groups.<sup>5</sup> Therefore, the surface of the vesicle can be functionalized by designing the substituted groups of the fullerene amphiphiles. Formation of the vesicles was analyzed by not only light scattering in solution but also electron microscopy such as scanning electron microscopy (SEM) and transmission electron microscopy (TEM) under vacuum. Due to the high stability of fullerenes and the structure robustness of the vesicles, the vesicular structure is maintained on substrates after drying, and the surface nanostructures were clearly observed in SEM.





Figure 2-1. Fullerene vesicle composed of water-soluble pentasubstituted fullerene amphiphiles.

Previously, Nakamura et al. reported a covalent construction method using Huisgen cycloaddition reaction of alkynyl vesicle, **C8(7Y)K** vesicle, and azide-terminated biotin molecule.<sup>6</sup> They demonstrated binding of avidin on the vesicle surface. Structural robustness of the fullerene vesicle enabled the surface modification and the following protein binding at post-formation of the vesicular structure. The adsorbed avidin on the surface of vesicle was clearly observed by extreme high resolution SEM without dissociation of the vesicle (Figure 2-2). Doxorubicin, an anticancer drug, was delivered into HepG2 cell (liver carcinoma) *in vitro* by encapsulation into the inner cavity of biotinylated fullerene vesicle and showed cytotoxicity, but the fullerene vesicle did not show cytotoxicity. Other fullerene vesicle composed of  $Ph_5C_{60}$ <sup>-</sup>K<sup>+</sup> also showed low cytotoxicity.<sup>7</sup> However, isolation of the biotin-coated vesicle is necessary after the Huisgen cycloaddition reaction, and the efficacy of the cycloaddition reaction was low (20% based on the alkynyl group). I surmised that development of noncovalent surface modification method of fullerene vesicles would solve this operation issue. In this chapter, I demonstrate that a noncovalent fluorous modification method enabled dense functionalization of the surface of fullerene vesicle.



**Figure 2-2.** Formation and SEM imaging of protein-coated nanocapsules. Preparation of a biotinylated fullerene vesicle from fullerene amphiphile **C8(7Y)K** via Huisgen cycloaddition reaction and conjugation with avidin. (Right bottom) SEM images of avidin-coated vesicles coated on a microcrystalline ITO (indium-tin oxide)/glass substrate, Pale blue arrows denote avidin molecules. The SEM image is reprinted from ref. 6 with permission of The Royal Society of Chemistry.

Fluorous fullerene vesicle V1 composed of water-soluble fluorous fullerene amphiphile F8K ( $R_5C_{60}$ <sup>-</sup>K<sup>+</sup>, R = 4-heptadecafluorooctylphenyl, Figure 2-3) has large potential as a template for ligand display because of the fluorous nature. F8K self-assembles in water and forms vesicular structure with exposing their (perfluoro)octyl chains to an outer aqueous phase. Since fluorous compounds are specifically dissolved in fluorous solvents rather than water and non-fluorous organic solvents due to the small dielectric constant, fluorous-tagged (FT) molecules that are molecules bearing a perfluoroalkyl group, can be immobilized on fluorous surface in a noncovalent manner. It is known that FT-saccharides can be easily immobilized on the surface of a fluorous-modified glass slide<sup>8</sup> and a polytetrafluoroethylene membrane filter<sup>9</sup>, both of which have highly fluorinated surface, via fluorous interaction.<sup>10</sup> This immobilization method is applied to a simple ways of solid-phase synthesis of polysaccharide<sup>11</sup>, production of michroarray<sup>8</sup>, and detection of virus<sup>9</sup>.

Herein, I applied this fluorous modification method for ligand display on vesicle V1 to develop more facile noncovalent surface modification methods of self-assembled nanocapsule without

destabilization of the membrane structure. The ternary architecture of **F8K** enables to use orthogonal noncovalent interactions for membrane formation of **V1** ( $\pi$ - $\pi$  interaction) and ligand display on the surface of **V1** (fluorous interaction). In addition, due to the immiscibility of (perfluoro)alkyl group, alkyl group, and properly designed FT-ligand molecules can be effectively displayed on the surface of the vesicle for the following protein binding. These well-constructed molecular designs in both the vesicle and the ligand molecule developed a non-invasive method for ligand display and protein binding on self-assembled nanocapsule. A part of this research has been already reported in my master theses.<sup>12</sup>



Figure 2-3. A fluorous fullerene vesicle V1 composed of water-soluble fluorous fullerene F8K. SEM images of V1 coated on a microcrystalline ITO/glass substrate.

#### 2.2. Preparation of Fullerene Vesicles and FT Molecules

#### 2.2.1. Synthesis of Fluorous Fullerene Amphiphile and FT Ligand Molecules

Penta(4-heptadecafluorooctylphenyl)[60]fullerene (F8H,  $R_5C_{60}H$ , R = 4-heptadecafluorooctylphenyl) as a precursor of fullerene amphiphile F8K was firstly reported by Nakamura et al. in 2010.5 F8H was synthesized by pentaaddition reaction of [60]fullerene with 1-bromo-4(heptadecafuluorooctyl)benzene through three organometallic intermediates. organolithium, organomagnesium, and organocopper derivatives of 1-bromo-4(heptadecafuluorooctyl)benzene (Route A in Scheme 2-1). However, the three-step synthesis for the organocopper precursor of F8H made the operation difficult and often reduced the

reproducibility of the reaction because of instability of organometallic intermediates made of 1-bromo-4(heptadecafuluorooctyl)benzene. Therefore I modified the synthetic procedure of **F8H**. I prepared the organocopper precursor in one-pot using 4(heptadecafuluorooctyl)-1-iodobenzene as a starting material and turbo Grignard reagent (<sup>*i*</sup>PrMgCl•LiCl complex)<sup>13</sup> to prepare the organomagnesium intermediate. **F8H** was synthesized this procedure with 70% yield and good reproducibility (Route B in Scheme 2-1). Penta(4-octylphenyl)[60]fullerene (**C8H**, R<sub>5</sub>C<sub>60</sub>H, R = 4-octylphenyl) was synthesized to prepare an aliphatic fullerene vesicle **V1C** for aliphatic template for ligand display by the reported procedure.<sup>4b</sup>





Fluorous-tagged (FT)-mannopyranosides (FT-Man 1 and FT-Man 2) and alkyl-tagged (AT)-mannopyranoside (AT-Man 3) synthesized by reaction were of 2,3,4,6-tetra-O-acetyl-a/b-D-mannopyranosidetrichloroacetimidate and the corresponding alcohols with reference of Pohl's group paper<sup>8</sup> (Scheme 2-2). FT-Man 1 is composed of a (perfluoro)octyl group and a longer aliphatic tether than FT-Man 2. These two ligands were designed to elucidate the orientation of immobilization of the FT molecules on the fullerene vesicles. Pohl et al. reported that the properly designed length of aliphatic tether was necessary for binding to a protein on fluorous glass surface.<sup>8</sup> AT-Man **3** is designed to elucidate the importance of fluorous interaction for functionalization. FT-galactopyranoside (FT-Gal 4, synthesized by Mr. Mizuno, S.) was used as another ligand molecule for evaluation of the protein binding ability of the fullerene vesicles.

Scheme 2-2. Synthesis of (perfluoro)alkyl or alkyl-tagged saccharides.



#### 2.2.2. Preparation of Fullerene Vesicles

Preparation of fullerene vesicle V1 and V1C has been already reported by Nakamura group.<sup>4b</sup> Briefly, potassium salts of fullerene amphiphiles F8K (for V1) and C8K (for V1C) were prepared by deprotonation of F8H and C8H in THF, respectively. The solution of the potassium fullerene salt was slowly injected into water with stirring to obtain the vesicle solution. THF was removed by evaporation, and then the vesicle solution was diluted with water to adjust the concentration of the potassium fullerene salt to 1.0 mM in water (Scheme 2-3). The hollow vesicular structure of V1 in water was confirmed previously through combined dynamic and static light scattering analysis.<sup>4</sup> The average diameter of the vesicle V1 was determined to be  $27.0 \pm 0.5$  nm (polydispersity index (PdI) of 0.15) by dynamic light scattering (DLS) measurement. The vesicle solution of C8K (V1C solution) was prepared by the same procedure and the concentration was adjusted to 1.0 mM. The average diameter of the vesicle V1C was determined to be  $23.8 \pm 0.1$  nm (PdI: 0.15, Figure S2-1).

Scheme 2-3. Schematic illustration of preparation of fullerene vesicle V1 and V1C.



#### **2.3. Ligand Display on Fullerene Vesicles**

Perfluorinated surface of vesicle V1 was successfully modified with FT-Man 1 just by mixing the solutions (Figure 2-4). FT-Man 1 in water forms aggregates of an ill-defined  $\mu$ m-sized structure (Figure 2-4b, gray), and so do FT-Man 2 and the AT-Man 3 (See Figure S2-1 in supporting

information.) measured by DLS measurement. Upon mixing the solution of **1** into V1 ([**1**]:[**F8K**] = 1:1 mole ratio), the aggregates mostly disappeared, and instead there formed a uniform solution of V2 that showed a DLS peak with an average diameter of  $32.8 \pm 0.8$  nm (PdI of 0.15, Figure 2-4b, red). Compared with the average diameter of V1 ( $27.0 \pm 0.5$  nm, Figure 2-4b, black), the  $5.8 \pm 0.7$  nm increase in the diameter of V2 indicates that the mannopyranoside and the alkyl tether moieties of **1** are displayed on the surface of V1. The size increase of V1 is larger than the expected value from the molecular size of the mannopyranoside and the alkyl tether moieties of **1** (ca. 1.5 nm x 2 molecules = 3.0 nm), suggesting that V1 was slightly swollen by insertion of the (perfluoro)octyl group of **1** into the membrane of V1.<sup>14</sup>



**Figure 2-4.** Surface coating of V1 with FT-Man 1 in water. (a) Schematic illustration of display of 1 on V1, (b) DLS data for V1 (black), an aggregate of 1 (gray) and a mixture of V1 and 1 at [F8K]:[1] = 1:1 mole ratio (red) recorded at 25 °C. The zeta potentials of V1 and V2 ([F8K]:[1] = 1:5 mole ratio) at pH 7.4 were -40 mV and -60 mV, respectively.

Ligand display on the fullerene vesicles was evaluated further with other combinations of the vesicle and the ligand molecule by size increase of the vesicles. The size increase of the vesicles upon binding of the ligand molecules is summarized in Table 2-1. Upon mixing FT-Man 2 that has short alkyl tether into the solution of vesicle V1, size increase corresponding to the

mannopyranoside moiety was detected. However mixing AT-Man **3** into the solution V1 did not show size increase of V1. This result suggests that **3** was not adsorbed on the surface of V1 due to the immiscibility of alkyl group of **3** and (perfluoro)alkyl group of **F8K**. When aliphatic fullerene vesicle V1C was used as a template for ligand display,  $3.1 \pm 0.2$  nm or  $3.3 \pm 0.2$  nm of size increase of V1C was detected by mixing FT-Man **1** or **3** into the solution of V1C, respectively. The value of size increase indicates that both **1** and **3** were adsorbed on the surface of V1C via hydrophobic interaction but did not induce swelling of V1C like combination of V1 and **1**. I surmise that the (perfluoro)octyl group and octyl group of **1** and **3** were not inserted into the membrane of V1C, hence V1C modified with **1** or **3** does not show significant protein binding ability on the vesicle as shown in next section.

**Table 2-1.** Increase in the vesicle size upon addition of ligand molecules, e.g., by addition of 1 to V1. [fullerene] = [ligand] = 0.2 mM. Unit is nm. The measurement was carried out in triplicate and the error denotes standard error of mean. Original DLS data is shown in Figure S2-1 in supporting information.



The maximum amount of FT-Man 1 which can be adsorbed on the surface of vesicle V2 was estimated by interfacial tension measurement using pendant drop method. Briefly, a droplet of sample solution was hanged on the tip of a syringe needle and interfacial tension of the sample was calculated by analysis of the shape of the droplet using the equation of Bashforth and Adams which is based on Laplace's equation (Figure S2-3).<sup>12b</sup> F8K amphiphile did not show interfacial activity because it does not exist as a monomer in water even though it was very low concentration (< 0.1  $\mu$ M) due to the formation of the rigid vesicle structure (Figure 2-5b). Therefore, the fullerene amphiphile is not adsorbed to the air-water interface and does not show interfacial activity. In contrast, since 1 is composed of hydrophilic mannopyranoside moiety and hydrophobic fluorous tag moiety, 1 shows surface activity in water as a surfactant. In water, 1 is adsorbed to air-water interface and decreases the interfacial tension (Figure 2-5a, B). In contrast, in V1 solution, 1 is adsorbed to the fluorous surface of V1 first, and after saturation on the surface of V1, 1 starts to be

adsorbed on the air-water interface and decreases the interfacial tension of V1 solution. The maximum amount of 1 on V1 was estimated by measuring difference in the critical aggregation concentration (CAC) that indicates saturation of the air-water interface of droplet of 1 in water (19.1  $\pm$  1.4 mM, Figure 2-5c, red) and in V1 solution (24.1  $\pm$  1.3 mM, Figure 2-5c, blue). This difference of 5.0  $\pm$  1.9 mM in the CAC of 1 indicates the saturation (maximum) amount of 1 on the surface of V1 ([F8K] = 1.0 mM).



Figure 2-5. Quantitative evaluation of the amount of FT-Man 1 on the surface of vesicle V1 by interfacial tension measurement using a pendant drop method. (a) Expected illustration of interfacial tension change upon increase of concentration of 1. A: No change in interfacial tension (<sup>a</sup> interfacial tension of pure water at 25 °C), B: Decrease of interfacial tension due to adsorption of 1 to air-water interface, C: No change in interfacial tension at air-water interface with 1. (b) Interfacial activity of V1 in water. (c) Interfacial activity of 1 in water (red) and 1 in a solution of vesicle V1 (1.0 mM for F8K, blue). The measurement was carried out in triplicate and the error denotes standard error of mean. The CAC of 1 increases by  $5.0 \pm 1.9$  mM because of adsorption of 1 on V1.

Assuming that the water-soluble 1 does not penetrate the fullerene bilayer and according to molecular modeling of the membrane structure of V2 saturated with 1 (Figure 2-6),<sup>6</sup> I conclude that approximately eight molecules of 1 per F8K are located outside, hence there were approximately three molecules of  $1/nm^2$  on the surface of a vesicle (6890 molecules on V1 with 27.0 nm diameter). FT-Gal 4 was also loaded on the surface of V1 with the same maximum density as 1 (Figure 2-7).

The ligand molecules are densely packed at this density as we can see in the molecular modeling (Figure 2-6).



**Figure 2-6.** Molecular modeling of V2 ([F8K]:[1] = 1:8), where FT-Man 1 is densely packed on the F8K membrane. (a) Side view and (b) top view. The van der Waals models of F8K and 1 are shown in green and red, respectively. Potassium ions of F8K are omitted for clarity.



**Figure 2-7.** Interfacial tension measurements of FT-Gal 4. Interfacial tension change in FT-Gal 4 in water (red) and 4 in a solution of vesicle V1 (1.0 mM for F8K, blue). CAC values of 4 increased by 5 mM due to absorption of 4 on V1. This result suggests that the maximum loading ratio of 4 on V1 is 5:1. Error bar means standard error (N = 3).

Structure robustness of vesicle V2 in solution was evaluated by heating at 80 °C for 24 h traced visually and by DLS. Neither aggregation nor precipitation occurred. As studied by SEM (See Figure S2-2 in supporting information and Figure 2-14a.), V2 maintains its spherical structure even in air and under vacuum conditions. The spherical structure was also maintained upon atomic force microscopic (AFM) analysis on mica in air (Figure 2-8a), and on scanning transmission electron microscopy (STEM) on a thin carbon film under vacuum (Figure 2-8b). Contrast profile of V2 in the STEM image shows a unimodal curve (Figure 2-8b, right) that indicates that V2 retained

the contents of the inside. From these results, therefore, V2 is very robust like vesicle V1, suggesting that the immobilization of the fluorous saccharides does not destabilize the bilayer membrane.<sup>5</sup>



Figure 2-8. Microscopic images of V2. (a) AFM image of V2 on mica. Cross section diagram of V2 (right, pale blue line in the left image). (b) STEM image of V2 on an amorphous carbon film (ca. 6 nm thickness) at an acceleration voltage of 200 kV. Contrast profile of V2 (right, pale blue line in the left image).

#### 2.4. Protein Binding on Ligand-Coated Fullerene Vesicles

Protein binding on ligand-coated fullerene vesicles with concanavalin A (ConA, a lectin binds to mannose) was next probed according to the known protocol for assessment of sugar–protein binding on a vesicle (Figure 2-9).<sup>15</sup> Addition of a buffer solution of ConA (2.0  $\mu$ M, pH 7.2) to a solution of **V2** (**V1** + FT-Man 1; [**F8K**] = [**1**] = 20  $\mu$ M) increases the turbidity of the solution due to spontaneous agglomeration of **V3** originating in the cross-linking of the vesicles via tetravalent ConA (Figure 2-9b, red).<sup>15</sup> Methyl  $\alpha$ -D-mannopyranoside (3,000 eq) was added as an inhibitor for binding between **1** and ConA after 20 min when the turbidity increase reached plateau. The

turbidity of the solution was decreased that means partially destroy of the network structure of V3 agglomerates. This result indicates that ConA binds to the surface of V1 via 1. In contrast, V1 bearing FT-Man 2 or AT-Man 3, and V1C bearing 1 or 3 did not show significant turbidity increase after addition of ConA (Figure 2-10a).



**Figure 2-9.** Time evolution of the turbidity of the solution upon addition of ConA to a mixture of V1 and FT-Man 1. The turbidity was monitored by measurement of the optical density at  $\lambda = 500$  nm ([fullerene] = 20  $\mu$ M). (a) Schematic illustration of ConA binding on vesicle V2 (V1 bearing 1) via 1. (b) Turbidity change for a mixture of V1 and 1 ([F8K]:[1] = 1:1, red). Addition of 3,000 eq of methyl  $\alpha$ -D-mannopyranoside at 20 min resulted in a decrease in turbidity (red). The blue and the green lines are control experiments for V1 and 1, respectively. Photoabsorption of F8K is subtracted from the optical density as a background.

According to the size increase of V1 upon the ligand display (Table 2-1), 2 was immobilized of the surface of V1 but the mannopyranoside moiety was not properly displayed to bind to ConA (Figure 2-10b), and 3 was not immobilized on the surface of V1. Therefore ConA did not bind to these vesicles and no significant turbidity increase was observed (Figure 2-10a, gray and orange for V1 + 2 and V1 + 3, respectively).

As shown in the section 2-3, size of V1C was increased upon mixing of 1 and 3 corresponding to display of the ligands on the surface of V1C. However, neither V1C bearing 1 (Figure 2-10a, green) nor V1C bearing 3 (Figure 2-10a, blue) showed significant turbidity increase. Mismatching alkyl-(perfluoro)alkyl combination of C8K of V1C and 1, and alkyl-alkyl combination of C8K and 3 are too weak to immobilize ConA on the surface of the vesicles. These

ligand molecules are easily detached from the surface of the vesicles when hydrophilic ConA binds and makes the molecules dissolved into water (Figure 2-10c). As a result, it is obviously revealed that fluorous interaction enables proper ligand display and the following protein binding on the vesicle. Note that nonspecific binding of **V1** to the proteins exists but negligible (Figure 2-9b, blue).



**Figure 2-10.** Time evolution of the turbidity of the solution upon addition of ConA to a mixture of V1 or V1C and FTor AT-Man. The turbidity was monitored by measurement of the optical density at  $\lambda = 500$  nm ([fullerene] = 20 µM). (a) Turbidity change for a mixture of vesicle V1 or V1C, and FT- or AT-Man ([fullerene]:[Man] = 1:1). The background contribution of the nonspecific binding to V1 (Blue line in Figure 2-9b) is subtracted. Surface structure of ligand display on fullerene vesicles, (b) V1 (F8K membrane), and (c) V1C (C8K membrane) expected by size increase of the vesicles upon mixing ligand molecules (Table 2-1).

I also confirmed that vesicle **V1** bearing FT-Gal **4** has strong interaction with peanut agglutinin (PNA, A protein binds to galactose.) by turbidity measurement (Figure 2-11). Then the protein binding selectivity was evaluated by using FT-Man- and FT-Gal-coated vesicles.<sup>12a</sup> Since the **V1** coated with **1** selectively binds to ConA (Figure 2-12a), the vesicle coated with **4** binds to PNA (Figure 2-12b). The lower selectivity in the experiment in Figure 2-12b is due to the poorer selectivity of ConA than PNA.<sup>16</sup> **V1** decorated with a 1:1 mixture of **1** and **4** bound to both ConA and PNA (Figure 2-12c). The slow rate of binding of PNA (Figure 2-12c, blue) is consistent with the reported data.<sup>17</sup> These results suggested that dual modification of **V1** with different ligand molecules was succeeded by just mixing each solution via fluorous interaction, and the dual-modified vesicle recognized two different proteins.





Figure 2-11. Time-dependent turbidity change after mixing proteins with ligand molecule-coated vesicles monitored by optical density at  $\lambda = 500$  nm. We started to record the optical density at the point of addition of proteins (0 min). Concentration of fullerene amphiphiles was fixed to 20 mM. Absorption of the V1 at 500 nm was independently measured at subtracted from the optical density as a background. (a) Turbidity change upon mixing PNA with V1 incorporating 4 ([F8K]:[4] = 1:1, red), and PNA with V1 (blue). At 20 min, 1500 eq of D-(+)-galactose was added as an inhibitor of binding between 4 and PNA (dashed line).



Figure 2-12. Recognition of two different proteins by V1 decorated with FT-Man 1 and FT-Gal 4. (a) Turbidity increase for V1 + 1 and ConA (red) or PNA (blue) ([F8K]:[1] = 1:1). (b) For V1 + 4 and ConA or PNA ([F8K]:[4] = 1:1). (c) For V1 + 1 + 4 ([F8K]:[1]:[4] = 1:0.5:0.5). A turbidity decrease was observed when methyl  $\alpha$ -D-mannopyranoside and D-(+)-galactose were added to the solutions of V1 + 1 + 4 mixed with ConA and PNA, respectively (See Figure S2-5 in supporting information.). The background contribution of the nonspecific binding to V1 is subtracted.

Density of FT-saccharides displayed on the surface of vesicle V1 can be easily tuned up to eight molecules of FT-saccharides per F8K. By changing the sugar density on the vesicle surface, it was found that there is an optimum sugar density for optimum protein binding (Figure 2-13). As

shown in the red line of a combination of ConA and FT-Man 1-coated vesicle, the turbidity increase maximizes at a 1/F8K ratio of 0.6 (i.e., approximately proportional to sugar/surface area and ca 0.43 molecule/nm<sup>2</sup>). At a higher ligand density, the binding ability vanished. A similar trend was also observed for the PNA binding to FT-Gal 4-coated vesicle with the binding maximizing at [4]/[F8K] = 1.4 (i.e., approximately proportional to sugar/surface area and ca 1.0 molecule/nm<sup>2</sup>, Figure 2-13, blue). Assuming closest hexagonal packing of the ligand molecules on the surface of V1, the optimum ratio of 1 on V1 for ConA binding is a distance of 3.3 nm among each molecule and that is 4 on V1 is a distance 2.1 nm. These values are close to the known values for spatial separation of the ConA and PNA binding sites, 3.6–3.9 nm<sup>18</sup> and 2.4–3.0 nm<sup>19</sup>, respectively. Appropriate spacing between the ligand molecules on the vesicle is necessary for proteins to bind to the saccharide moiety of ligand molecules on the vesicle surface.



**Figure 2-13.** Dependence of turbidity on sugar density on the vesicle surface measured for combinations of ConA and **V1**-coated FT-Man **1** (red) and PNA and **V1**-coated with FT-Gal **4** (blue). The vertical axis refers to the optical density of the solution after subtraction of the contribution of nonspecific binding to **V1**.

#### 2.5. SEM and STEM Imaging of Lectin-Coated Fullerene Vesicles

High resolution SEM provided visual evidence that V1 maintained its spherical structure after addition of FT-Man 1 and ConA. FT-Man 1-coated vesicle V2 and protein-binding vesicle V3 were spin-coated on an IZO (indium-zinc oxide)/glass substrate. After addition of ConA into V2 solution, V3 forms and gradually agglomerates into micrometer-sized network structures. To visualize isolated V3, V3 was sampled at 1 min after addition of ConA when the turbidity of the solution have not reached plateau (cf. Figure 2-9b). Surface of IZO is very smooth and hydrophilic that supports the visualization of nanometer-sized vesicles clearly and the isolation of the vesicle on the surface (Figure S2-2). The SEM analysis was carried out using electron beam current of 6.3 pA at low beam landing voltage (200 V) to avoid signal generation from deep inside of the vesicles. Surface of V2 is very smooth (Figure 2-14a), whereas that of V3 is rough (Figure 2-14b). ca. 10

nm-sized objects are observed on the surface of **V3** (indicated with red circles in Figure 2-14c) that is corresponding to ConA (8 nm).<sup>20</sup> But the spherical structure of the vesicle is still maintained. SEM image of **V3** sampled 20 min after addition of ConA shows network structures, but even after agglomeration, the spherical structure of vesicles is also maintained. In addition, STEM image shows that vesicles with dark black contrast were agglomerated via ConA with gray contrast without loss of the contents of the inside (Figure 2-14e). These results indicate that the ligand display, the protein binding, and the agglomeration did not break the vesicular structure of **V1**. Modification of vesicular vectors with ligand molecules bearing a hydrophobic insertion chain and large amount of proteins usually greatly reduces the mechanical stability of the vesicles.<sup>3,21</sup> In this case, however, mutually orthogonal molecular interactions,  $\pi$ - $\pi$  interaction and fluorous interaction, were applied for driving forces of vesicle formation and ligand binding, respectively. Therefore **V2** and **V3** kept their spherical structure after the modification.



Figure 2-14. SEM and STEM images of vesicle V3 (V2 bearing ConA). SEM images of V2 (V1 bearing FT-Man 1), V3, and the network of V3 were recorded on an IZO/glass substrate at a landing voltage of 200 V. The imaging under these conditions does not require the conventional metal coating of the sample. (a) V2, which is a perfect sphere and has a smooth surface (a mole ratio of F8K:1 = 1:1). Scale bar is 25 nm. (b) V3 sampled 1 min after mixing with ConA. (c) The same image as in b, where brighter areas because of ConA molecules are highlighted in red. Scale bar is 50 nm. (d) Agglomerated V3 sampled 20 min after mixing with ConA. Scale bar is 200 nm. (Inset) A magnified image where ConA molecules are seen to glue V3 to each other. Scale bar is 50 nm. (e) STEM image of the network of V3 recorded on an amorphous carbon film (ca. 6 nm thickness) at an acceleration voltage of 200 kV. Vesicles and ConA are denoted by red arrows.

#### 2.6. Conclusion

In conclusion, a fluorous fullerene **F8K** vesicle and ligand display via fluorous interaction provide a viable approach to the preparation of structurally well-defined nanocapsules. Protein ligands are effectively displayed on the surface of the vesicle for the following protein binding. Spherical structure of the vesicle was maintained after the ligand display and the protein binding. The simplicity of the preparation, the structural robustness, and the utility of diversity of fluorous-tagged molecules are attractive for construction of a drug carrier in pharmacotherapy compared with conventional lipid systems. In addition, the fluorine-rich and fullerene-based functional vesicles are unique among water-soluble mesoscopic nanostructures and are expected to be applicable as bioimaging agents for <sup>19</sup>F MRI<sup>22</sup> and antioxidants.<sup>7</sup>

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---- Chapter 2 ----Experimental Section & Supporting Information

#### **Table of Contents**

- 1. General
- 2. Materials
- 3. Measurements
- 4. References

#### 1. General

All reactions dealing with air- or moisture-sensitive compounds were carried out in a dry reaction vessel under nitrogen or argon atmosphere. The water content of the solvent was confirmed with a Karl-Fisher Moisture Titrator (MKC-210, Kyoto Electronics Company) to be less than 100 ppm. Analytical thin-layer chromatography was perfumed on a glass plate coated with 0.25 mm 230-400 mesh silica gel containing a fluorescent indicator (Merck). Analysis with high performance liquid chromatography (HPLC) was performed on JASCO HPLC system equipped with a Bucky Prep column (Nacalai Tesque Cosmosil Buckyprep,  $4.6 \times 250$  mm; column temperature at 40 °C). Flash silica gel chromatography was performed on silica gel 60N (Kanto, spherical and neutral, 140–325 mesh) as described by Still.<sup>1</sup> Gel permiation chromatography (GPC) was performed on Japan Analytical Industry LC-908 (eluent: chloroform) with JAIGEL 1H and 2H polystyrene columns. Infrared (IR) were recorded on a ASI Applied System React IR1000 equipped with an attenuated total refraction (ATR) instrument or JASCO/ FT IR-420 and are reported as wavenumbers (v) in cm<sup>-1</sup> NMR spectra were measured on JEOL ECX-400 and ECA-500 spectrometers and reported in parts per million from tetramethylsilane (TMS). <sup>1</sup>H NMR spectra in CDCl<sub>3</sub> were referenced internally to TMS as a standard and CS<sub>2</sub> was used as a co-solvent for dissolution of fullerene pentaadducts, <sup>13</sup>C NMR spectra to the solvent resonance. Hexafluorobenzene ( $\delta = -162.0$ ) was used as an internal standard for <sup>19</sup>F NMR.<sup>2</sup> Dynamic light scattering (DLS) study was carried out on a Malvern Zetasizer Nano ZS machine. Scanning transmission electron microscopy (STEM) was performed on a JEOL JEM-2100F (acceleration voltage: 200 kV). Atomic force microscope (AFM) measurement was performed by using JEOL JSPM-4200 with a silicon cantilever (NSC-35, resonant frequency 120-190 kHz). The time-dependent turbidity changes were recorded on a JASCO V-570 UV/Vis/NIR Spectrophotometer.

#### 2. Materials

Unless otherwise noted, materials were purchased from Tokyo Kasei Co., Aldrich Inc., and other commercial suppliers and used after appropriate purification before use. Anhydrous ethereal solvents (stabilizer-free) were purchased from WAKO Pure Chemical and purified by a solvent purification system (GlassContour)<sup>3</sup> equipped with columns of activated alumina and supported copper catalyst (Q-5) prior to use. All other solvents were purified by distillation and stored over molecular sieves 4 Å. Distilled water was further purified with Millipore Milli-Q. **F8K** vesicle  $(V1)^4$  and C8K vesicle  $(V1C)^5$  were prepared according to the reported procedures.

## 6,9,12,15,18-Penta(4-heptadecafluorooctylphenyl)-1,6,9,12,15,18-hexahydro(C<sub>60</sub>-*I*<sub>n</sub>) [5,6]fullerene (F8H)



The synthesis of **F8H** was firstly reported by Nakamura et al. in 2010.<sup>4</sup> Herein a modified procedure for facile synthesis of **F8H** is described. 4-(heptadecafluorooctyl)-1-iodobenzene (435 mg, 0.700 mmol) in THF (0.35 mL) was added to Turbo Grignard reagent; a solution of <sup>*i*</sup>PrMgCl•LiCl in THF (1.08 M, 1.04 mL, 1.12 mmol) over 10 min at -20 °C. After stirring for 1 h at -20 °C, the solution was transferred through a cannula to a suspension of CuBr•SMe<sub>2</sub> (230 mg, 1.12 mmol) in THF (0.60 mL) at -20 °C, and then the mixture was stirred for 10 min at -20 °C. A solution of C<sub>60</sub> (25.0 mg, 35.0 µmol) in 1,2-dichlorobenzene (1.27 mL) was transferred through a cannula to the mixture at -20 °C. After stirring for 1 h at 35 °C, saturated aqueous ammonium chloride solution (1 mL) was added to the solution. The suspension was concentrated to remove THF and dimethyl sulfide. The concentrated mixture was filtered through a pad of silica gel (3 cm) with toluene and FC-72. The filtrate was concentrated on a rotary evaporator at 70 °C until precipitation occurred. Methanol was added until precipitation was complete. The precipitate was collected by membrane filter, washed with methanol, and dried under reduced pressure for 4 h to obtain an orange-red powder (80.2 mg) in 70 % yield. Analytical data is consistent with the reported ones.<sup>4</sup>

# 1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,10,10,11,11,11-heptadecafluoroundecanoxyl-*cis*-but-2-enyl)-*α*-man nopyranoside (FT-Man 1)



1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,10,10,11,11,11-heptadecafluoroundecanoxyl-*cis*-but-2-enyl)-2,3,4, 6-tetra-*O*-acetyl-α-mannopyranoside (56.5 mg, 64.3 μmol)<sup>6</sup> and K<sub>2</sub>CO<sub>3</sub> (31.5 mg, 228 μmol) was dissolved in MeOH (3 ml). The mixture was stirred for 30 min. The reaction mixture was neutralized using Amberlyst-15 ion-exchange resin and filtered. The solvent was removed under reduced pressure and desired product was obtained as a colorless sticky solid (39.6 mg, 55.7 μmol, 87%): mp 180–181 °C (dec); IR (powder) 3383, 2925, 2503, 1722, 1452, 1370, 1331, 1201, 1146, 1134, 1104, 1059, 1031, 974, 877, 809, 779, 737, 721, 703, 655, 557 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, methanol-*d*<sub>4</sub>) δ 1.86–1.91 (m, 2H), 2.24–2.35 (m, 2H), 3.54–3.57 (m, 3H), 3.62 (dd, *J* = 9.7, 9.7 Hz, 1H), 3.69–3.71 (m, 2H), 3.81 (dd, *J* = 1.7, 3.4 Hz, 1H), 3.86 (dd, *J* = 2.3, 12.0 Hz, 1H), 4.11–4.31 (m, 4H), 4.81 (d, *J* = 1.7 Hz, 1H), 5.72–5.77 (m, 2H); <sup>13</sup>C NMR (125 MHz, methanol-*d*<sub>4</sub>) δ 21.91, 28.88 (t, *J*<sub>CF</sub> = 23 Hz), 62.95, 63.59, 67.49, 68.64, 69.68, 72.20, 72.63, 74.84, 100.76, 129.52, 131.03; <sup>19</sup>F NMR (470 MHz, methanol-*d*<sub>4</sub>) δ –124.87 (2F), –122.03 (2F), –121.31 (2F), –120.50 (4F), –120.31 (2F), –113.05 (2F), –79.97 (3F); Anal. Calcd for C<sub>21</sub>H<sub>23</sub>F<sub>17</sub>O<sub>7</sub>: C, 35.51; H, 3.26. Found: C, 35.22; H, 3.52.

# 1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,10,10,11,11,11-heptadecafluoroundecanoxyl)-*α*-mannopyranoside (FT-Man 2)



To a solution of 2,3,4,6-tetra-*O*-acetyl- $\alpha/\beta$ -D-mannopyranosidetrichloroacetimidate<sup>7</sup> (211 mg, 0.428 mmol) and 1H,1H,2H,2H,3H,3H-perfluoroundecanol (138 mg, 289 µmol) in dichloromethane (5 mL) were cooled down to 0 °C. TMSOTf (41.8 mg, 188 µmol) was added and the reaction mixture was stirred at 0 °C for 30 min. The reaction was quenched with triethylamine (1 mL). The crude product was purified by solid-phase extraction using a fluorous solid-phase extraction cartridge (Fluorous Technologies, Inc.). Non-fluorous compounds were eluted with 80% MeOH/water and fluorous compounds was eluted by 100% MeOH. The solvent was removed under reduced pressure. Whole amount of the fluorous compounds (193 mg) and K<sub>2</sub>CO<sub>3</sub> (149 mg, 1.08 mmol) were dissolved in MeOH (7.5 mL). The mixture was stirred at rt for 2 h. The reaction

mixture was neutralized using Amberlyst-15 ion-exchange resin and filtered. The solvent was removed under reduced pressure and desired product was purified by flash column chromatography on silica gel using Hexane/EtOAc and EtOAc/MeOH as eluent. The desired product was obtained as a colorless sticky solid (50.0 mg, 78.0 µmol, 29%): mp 205-206 °C; IR (powder) 3317, 2927, 2855, 2360, 2340, 1739, 1435, 1366, 1262, 1146, 1102, 1026, 977, 809, 705, 657, 557 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, methanol- $d_4$ )  $\delta$  1.85–1.95 (m, 2H), 2.23 (tt, J = 19, 9.0 Hz, 2H), 3.48–3.54 (m, 2H), 3.60 (t, J = 9.5 Hz, 1H), 3.67–3.72 (m, 2H), 3.80–3.86 (m, 3H), 4.77 (d, J = 1.2 Hz, 1H); <sup>13</sup>C methanol- $d_4$ ) δ 21.77, 28.91 (t,  $J_{\rm CF}$ NMR (125)MHz, = 22 Hz), 62.94, 66.99, 68.55, 72.13, 72.64, 74.89, 101.65; <sup>19</sup>F NMR (470 MHz, methanol- $d_4$ )  $\delta$  – 124.77 (2F), -121.90 (2F), -121.22 (2F), -120.41 (4F), -120.22 (2F), -112.83 (2F), -79.85 (3F); HRMS (FAB+): Calculated for  $[C_{17}H_{17}F_{17}O_6Na]^+$ : 663.0651, found: 663.0637.

#### 4-undecanoxyl-cis-2-buten-1-ol

*cis*-1,4-butenediol (0.330 mg, 3.50 mmol) and crushed KOH (304 mg, 5.42 mmol) were added to distilled DMF (15 mL). Undecyl iodide (800 mg, 2.83 mmol) was added to the solution at rt and then the reaction mixture was heated at 65 °C for 2 h. The reaction was quenched with HCl (1N) after dilution with water (30 mL). The organic layer was extracted with EtOAc (30 mL x 3) and dried over brine (10 mL) and MgSO<sub>4</sub>. The mixture was filtered and the solvent was removed under reduced pressure. The crude product was purified by flash column chromatography on silica gel using Hexane/EtOAc as eluent. The desired product was obtained as an yellow oil (549 mg, 2.27 mmol, 81%): IR 3368, 2923, 2853, 2360, 2340, 1722, 1466, 1380, 1106, 1029, 721 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  0.88 (t, *J* = 7.2 Hz, 3H), 1.26–1.30 (m, 16H), 1.56–1.61 (m, 2H), 1.96 (t, *J* = 5.7 Hz, OH), 3.44 (t, *J* = 6.6 Hz, 2H), 4.05 (d, *J* = 6.3 Hz, 2H), 4.21 (dd, *J* = 5.7, 5.7 Hz, 2H), 5.72 (dt, *J* = 11, 5.7 Hz, 1H), 5.83 (dt, *J* = 11, 6.3 Hz, 1H); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)  $\delta$  14.10, 22.67, 26.14, 29.32, 29.46, 29.56, 29.59 (2C), 29.70, 31.89, 58.83, 66.47, 70.91, 128.65, 132.02; HRMS (ESI+): Calculated for [C15H3002Na]<sup>+</sup>: 265.2138, found: 265.2136.

#### 1-O-(undecanoxyl-cis-but-2-enyl)-α-mannopyranoside (AT-Man 3)



To a solution of 2,3,4,6-tetra-O-acetyl-α/β-D-mannopyranosidetrichloroacetimidate (296 mg,

 $603 \mu mol)^7$  and 4-undecanoxyl-*cis*-2-buten-1-ol (121 mg, 500  $\mu mol$ ) in dichloromethane (10 mL) were cooled down to 0 °C. TMSOTf (77.8 mg, 350 µmol) was added and the reaction mixture was stirred at 0 °C for 1.5 h. The reaction was quenched with triethylamine (0.1 mL) after dilution with water (20 mL). The organic layer was extracted with dichloromethane (10 mL x 3) and dried over brine (10 mL) and MgSO<sub>4</sub>. The mixture was filtered and the solvent was removed under reduced pressure. The crude product (400 mg) and K<sub>2</sub>CO<sub>3</sub> (277 mg, 2.00 mmol) were dissolved in MeOH (15 mL). The mixture was stirred at rt for 1 h. The reaction mixture was neutralized using Amberlyst-15 ion-exchange resin and filtered. The solvent was removed under reduced pressure and desired product was purified by flash column chromatography on silica gel using Hexane/EtOAc and EtOAc/MeOH as eluent. The desired product was obtained as an yellow waxy oil (67.0 mg, 166 µmol, 33%): IR (powder) 3331, 2924, 2854, 2360, 2341, 1650, 1459, 1353, 1230, 1071, 975, 835, 736, 678 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, methanol- $d_4$ )  $\delta$  0.89 (t, J = 6.9 Hz, 3H), 1.29– 1.32 (m, 16H), 1.56 (tt, J = 7.2, 7.1 Hz, 2H), 3.44 (t, J = 6.6 Hz, 2H) 3.53–3.56 (m, 1H) 3.65 (td, J= 9.7, 1.7 Hz, 1H), 3.71-3.75 (m, 2H), 3.82-3.85 (m, 2H), 4.08 (d, J = 4.6 Hz, 2H), 4.11-4.15 (m, 1H), 4.25–4.28 (m, 1H) 4.81 (s, 1H) 5.70–5.73 (m, 2H);  $^{13}$ C NMR (125 MHz, methanol- $d_4$ )  $\delta$  14.43, 23.72, 27.25, 30.46, 30.58, 30.72 (3C), 30.77, 33.06, 62.90, 63.69, 67.37, 68.60, 71.59, 72.20, 72.60, 74.74, 100.75, 129.39, 131.13; HRMS (ESI+): Calculated for [C<sub>21</sub>H<sub>40</sub>O<sub>7</sub>Na]<sup>+</sup>: 427.2666, found: 427.2677.

## 1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,10,10,11,11,11-heptadecafluoroundecanoxyl-*cis*-but-2-enyl)-2,3,4,6β-galactopyranoside (FT-Gal 4)



1-*O*-(4,4,5,5,6,6,7,7,8,8,9,9,10,10,11,11,11-heptadecafluoroundecanoxyl-*cis*-but-2-enyl)-2,3,4, 6-tetra-*O*-acetyl-α-galactopyranoside (10.1 mg, 11.5 µmol)<sup>7</sup> and K<sub>2</sub>CO<sub>3</sub> (7.5 mg, 54 µmol) was dissolved in MeOH (1 ml). The mixture was stirred for 2 h. The reaction mixture was neutralized using Amberlyst-15 ion-exchange resin and filtered. The solvent was removed under reduced pressure and desired product was obtained as a colorless sticky solid (7.5 mg, 11 µmol, 88%): mp 81.5–82.5 °C; IR (powder) 3502, 3337, 2933, 2870, 1731, 1452, 1372, 1332, 1200, 1146, 1114, 1077, 1058, 1041, 974, 920, 861, 780, 738, 721, 704, 655, 622, 558 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, methanol-*d*<sub>4</sub>) δ 1.86–1.92 (m, 2H), 2.24–2.35 (m, 2H), 3.47–3.57 (m, 5H), 3.74–3.85 (m, 5H), 4.28 (d, *J* = 8.1 Hz, 1H), 4.33 (dd, *J* = 6.9, 12.6 Hz, 1H), 4.45 (dd, *J* = 5.75, 13.2 Hz, 1H), 5.72–5.83 (m, 2H); <sup>13</sup>C NMR (125 MHz, methanol-*d*<sub>4</sub>) δ 21.87, 28.87 (t, *J*<sub>CF</sub> = 23 Hz), 62.55, 65.48, 67.50, 69.66,

70.33, 72.47, 75.02, 76.78, 103.86, 129.52, 131.03; <sup>19</sup>F NMR (470 MHz, methanol- $d_4$ )  $\delta$  –124.87 (2F), –122.03 (2F), –121.31 (2F), –120.50 (4F), –120.31 (2F), –113.05 (2F), –79.97 (3F); Anal. Calcd for C<sub>21</sub>H<sub>23</sub>F<sub>17</sub>O<sub>7</sub>: C, 35.26; H, 3.26. Found: C, 35.40; H, 3.43.

#### **Preparation of fullerene vesicles**

The vesicle solution of **F8K** (**V1** solution) used for the studies was prepared as reported<sup>4</sup>: Potassium *tert*-butoxide in THF (1.0 M, 35.2 mL, 35.2 µmol) was added to a suspension of **F8H** (23.4 µmol) in THF (1.84 mL) and the mixture was stirred under nitrogen. During the mixing, the suspension became a dark transparent solution of **F8K**. After 3 h, a portion of the solution of **F8K** (12.5 mM, 1.60 mL, 20 µmol) was slowly injected into water (8.4 mL) with stirring at 400 rpm over 1 min using a syringe pump (ISIS Co.) to obtain a vesicle solution of **F8K** (2.0 mM) in 16 % THF/water. THF was removed by evaporation, and then the vesicle solution was diluted with water using 25 mL measuring flask. The final concentration of vesicle **V1** was determined to be 1.0 mM by UV-Vis absorption measurement. The average diameter of the vesicle **V1** was determined to be 27.0 ± 0.5 nm (PdI: 0.15) by DLS measurement. The vesicle solution of **C8K** (**V1C** solution) was prepared by the same procedure and the concentration was adjusted to 1.0 mL. The average diameter of the vesicle **V1C** was determined to be 23.8 ± 0.1 nm (PdI: 0.15).

#### **Typical procedure of preparation of V2**

A stock solution of FT-Man 1 (0.50 mM, 0.12 mL) was mixed with F8K (0.33 mM) vesicle (V1) solution (0.18 mL) and the mixture was stirring using a magnetic stirrer over 10 min at 200 rpm ([1] = [F8K] = 0.20 mM). Increase in diameter of the vesicle and disappearance of signal originating in 1 were observed by DLS analysis, suggesting adsorption of 1 on V1 (i.e. formation of V2).

#### 3. Measurements

#### **DLS** measurements

DLS measurement was performed by using Malvern Zetasizer Nano ZS equipped with an He– Ne laser operating 4 mW power and 633 nm wavelength, and a computer-controlled correlator, at a 173° accumulation angle. Measurements were carried out in polystyrene or glass cuvette. The data were processed using Dispersion Technology Software version 5.10 to give Z-average particle size and polydispersity index (PdI) value by cumulant analysis, and particle size distribution with high resolution (Multiple narrow mode).



Figure S2-1. DLS analysis of fullerene vesicles, V1 and V1C, coated with 1, 2, and 3 ([sugar]/[fullerene] = 1:1). (a) V1 + 1, (b) V1+ 2, (c) V1 + 3, (d) V1C + 1, (e) V1C + 3. Error means standard error (N = 3). Aggregates of 1, 2, and 3 are shown as black line.

#### **SEM** measurement

Extreme high resolution scanning electron microscopy (SEM) was carried out using a FEI Magellan 400L. An aqueous solution of the V1, V2, and V3 ([V1] = 0.02–2 mM) was spin-coated on an IZO/glass substrate cleaned by UV/ozone pretreatment. After drying under reduced pressure  $(5 \times 10^{-2} \text{ Pa})$  for 10 min, the substrates were subjected to the SEM observation at a beam landing voltage of 200 V with a beam deceleration bias of 800 V under a vacuum of  $5 \times 10^{-5}$  Pa without any conductive coatings.



**Figure S2-2**. SEM images of fullerene vesicles on an IZO/glass taken at beam landing voltage of 200 V and beam current of 6.3 pA. (a) V2, (b) V3, (c) agglomerated V3. The vesicles are the round objects surrounded by shadow and the irregular objects in b are the crystalline fine structures of IZO or excess ConA.

#### **AFM measurement**

AFM measurement was conducted on a JEOL JSPM-4200 with a silicon cantilever (NSC-350, resonant frequency 120–190 kHz). Samples were deposited on mica substrate (5 x 5 mm<sup>2</sup>) in aliquot of 2 mL under air. After drying the sample by blowing air for 10 sec and under reduced pressure (5 x  $10^{-2}$  Pa), the AFM images were obtained with AC mode measurement.

#### **STEM measurement**

A solution (2 µl) of **F8K** vesicle (50 µM) or the mixture of **F8K**, FT-Man 1, and ConA ([**F8K**] = [1] = 50 µM, [ConA] = 1.8 µM) in water was dropped on TEM copper grids pre-coated with super-ultra-high-resolution carbon supported films (thickness: ca 6 nm, Oken Shoji Co. Inc.), and the substrate was dried without blotting in a desiccator overnight. The STEM observation at 298 K was performed on a JEOL JEM-2100F with a spherical aberration coefficient Cs = 1.0 mm at an acceleration voltage of 200 kV under reduced pressure of  $1.0 \times 10^{-5}$  Pa in the sample column. The current density was ca 0.5 pA•cm<sup>-2</sup>. The imaging instrument used was an ultrascan charged-coupled device (CCD) camera (512 × 512 pixels).

#### Interfacial tension measurement

Interfacial tension measurements were performed using a DM-301 (Kyowa Interface Science Co. Ltd.) and analyzed by FAMAS software. FT-Man **1** was weighted in a plastic tube and dissolved in **F8K** (1.0 mM) vesicle (**V1**) solution (200  $\mu$ L) by stirring using a magnetic stirrer over 10 min at 200 rpm. Interfacial tension at each concentration was measured by the pendant drop method.<sup>8</sup> The droplet of sample solution was hanged down from the tip of syringe and the interfacial tension ( $\gamma$ ) was calculated from the captured image of the droplet using the equation of Bashforth and Adams which is based on Laplace's equation (eq.1).

$$\frac{1}{R_1/a} + \frac{\sin\varphi}{x/a} = -B\frac{z}{a} + 2$$
 (eq. 1)

where *a* is the radius of curvature at the apex of the drop, *x*, *z* and *f* are the coordinates defined as in Figure S2-3, and *B* is given by eq.2.

$$B = \frac{a^2 g \Delta \rho}{\gamma} \qquad (\text{eq. 2})$$

where  $\Delta \rho$  is the difference between the densities of the pendant drop and air, g is the gravitational constant, Interfacial tension of 1 was measured three times at each concentration of 1. Error was calculated as standard error of the interfacial tension. The CAC values were obtained from an intersection of two regression curves (Figure S2-4). The maximum density of 1 on the V2 surface

was estimated from increase of critical aggregation concentration (CAC) of **1**. The maximum density of FT-Gal **4** was also obtained in the same way as **1**.



Figure S2-3. Pendant drop method to measure interfacial tension.



**Figure S2-4**. Interfacial tension measurements of FT-Man 1. Interfacial tension change in 1 in water (red) and 1 in a solution of vesicle V1 (1.0 mM for F8K, blue). CAC values of 1 increased by 5 mM due to absorption of 1 on V1. This result suggests that the maximum loading ratio of 1 on V1 is 5:1. Error bar means standard error (N = 3).

#### Molecular modeling of V2

Molecular structure of **F8K** was optimized by molecular mechanics calculations using Compass force field implemented in Materials Studio.<sup>9</sup> The surface area per one **F8K** molecule that consists of outer part of the **V1** vesicle was estimated to be 3.80 nm<sup>2</sup> from SLS measurements.<sup>4b</sup> Using hexagonal closest packing lattice of **F8K** with spatial separation of 2.10 nm between the neighboring molecules generated on Materials Studio, eight FT-Man molecules **1** per one **F8K** molecule were placed on **F8K** layer manually not to overlap with **F8K** molecule and other FT-molecules within the sum of van der Waals radii.

#### **Turbidimetry experiments**<sup>10</sup>

**Concanavalin A (ConA) and peanut agglutinin (PNA):** Turbidimetry experiments were performed in a quartz cell (1×1 cm). Aliquot (500  $\mu$ L) of stock ConA or PNA solutions (1 mg/ mL) in HEPES buffer (10 mM, pH 7.1, [NaCl] = 150 mM, [CaCl<sub>2</sub>] = 1 mM, [MnCl<sub>2</sub>] = 1 mM) was diluted with the same HEPES buffer (1700 mL). The 1: 1 mixture as a molar ratio of **F8K** or **C8K**, and FT-Man **1** or the 1: 1 mixture as a molar ratio of **F8K** and FT-Gal **4** in Milli-Q (300  $\mu$ L) was added. The mixture was stirred at 1000 rpm at 20 °C and UV spectra were measured every 10 sec. The turbidity of the mixtures was monitored by plotting absorbance at 500 nm. After 20 minutes, an excess amount of inhibitor in HEPES buffer (150  $\mu$ L of 1 M solution of Me– $\alpha$ –D-mannopyranoside for ConA, 150  $\mu$ M of 0.5 M of D-(+)-galactose) was added. The absorbance after addition of the inhibitor was corrected in consideration of the effect of dilution. The same vesicle solution was used for a series of experiment for avoidance of effect of the size distribution.



Figure S2-5. Time-dependent turbidity change upon mixing proteins with solution of V1 coated both with FT-Man 1 and FT-Gal 4. The measurements were carried out using the same condition mentioned above. (a) Turbidity change upon mixing ConA with a solution V1 coated with 1 and 4 ([F8K]:[1]:[4] = 1:0.5:0.5, red), and ConA with a solution of V1 (blue). At 20 min, 3000 eq of methyl  $\alpha$ -D-mannopyranoside was added as an inhibitor of binding between 1 and ConA (dashed line). (b) Turbidity change upon mixing PNA to F8K vesicle solution incorporating 1 and 4 ([F8K]:[1]:[4] = 1:0.5:0.5, red), and PNA with V1 (blue). At 20 min, 3000 eq of D-(+)-galactose was added as an inhibitor of specific binding between 4 and PNA (dashed line).

## 4. References

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## ---- Chapter 3 ----Shape-Selective Surface Modification of a Nanocarbon via Host-Guest Interaction of Cyclodextrins

本章については、5年以内に雑誌等で刊行予定のため、非公開.

— Chapter 4 — Summary & Outlook

In the present thesis, I developed two kinds of precisely controlled supramolecular surface modification methods in molecular-level to overcome long-standing problems on noncovalent modification of self-assembled objects and nanocarbons resulting from nonspecific binding of functional molecules on their surface. One is post-surface modification of a self-assembled capsule via fluorous interaction that does not affect to the structural integrity of the capsule. Another is shape-dependent surface modification of a nanocarbon via host-guest interaction that enable us to functionalize it with high efficacy to the control of the physicochemical properties.

In chapter 2, protein-ligand display on the surface of sub-micrometer-sized fluorous fullerene vesicle via fluorous interaction was achieved without decreasing the mechanical stability of the membrane of the vesicle even after binding with proteins. Vesicle made of fluorous fullerene amphiphile are composed of a bilayer of amphiphilic fullerene where the fullerene core is located in the interior, perfluoroalkyl substituents are exposed to an aqueous environment, and the anionic part is located in the middle. Because of the unique fluorous/polar/fullerene (nonpolar) ternary architecture that enables hierarchical construction of vesicles by orthogonal interactions, fluorous-tagged saccharides as ligands for proteins were successfully immobilized on the fluorous surface of the vesicle via fluorous interaction just mixing the sample solutions (Figure 4-1).



Figure 4-1. Ligand display on a self-assembled nanocapsule via fluorous interaction.

Because of the robust fullerene membrane and immiscibility of heavy fluorous molecules with non-fluorous molecules, only the fluorous tag moiety was incorporated into the fluorous surface

without penetration to the fullerene membrane, and the hydrophilic saccharide moiety and the aliphatic tether moiety were successfully displayed on the surface of the vesicles that enables them to be bound to the target proteins strongly.

Fluorous interaction was crucial for the ligand display and the following protein binding on the surface of the vesicle via ligand-protein interaction. This precision immobilization and the display of ligand molecules preserved the mechanical stability of the fullerene membrane that retains the vesicular structure even after binding with proteins on the surface of the vesicle confirmed by high resolution scaning-electron microscopy (SEM) measurements that can reveals the surface morphology of self-assembled objects (Figure 4-2). The protein ligand-decorated fluorine-rich and fullerene-based functional vesicles are expected to be applicable in medicinal applications.



**Figure 4-2.** High resolution SEM measurement of ligand-decorated vesicle (left) and protein-binding vesicle (right). SEM measurement was performed on a smooth IZO/glass substrate at low landing voltage 200 V.

本章の第3章に関する記述は、5年以内に雑誌等で刊行予定のため、非公開.