

On a Counter-Example of the Conjecture of Dodziuk-Singer by M. T. Anderson.

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Let M be a complete simply-connected riemannian manifold with non-positive sectional curvature of even dimension m . Dodziuk-Singer conjecture [D] means that $H_2^p(M) = 0$ if $p \neq m/2$ and $\dim H_2^{m/2}(M) = \infty$. Here, $H_2^p(M)$ is the space of smooth L^2 -harmonic forms. The affirmative solution of Dodziuk-Singer conjecture implies, by means of the L_2 -index theorem for a regular cover of M. F. Atiyah [A], the positive solution of the well-known E. Hopf Conjecture; If $(M, \langle \cdot, \cdot \rangle)$ is a compact riemannian manifold of even dimension m with negative sectional curvature, then

$$(-1)^{m/2} \chi(M) > 0.$$

Recently, M. T. Anderson [An] has announced that the conjecture is false, that is,

THEOREM AN. [An] *For any $m \geq 2$, $0 < p < m$ and $a > |m - 2p|$, with $a \geq 1$, there are complete simply-connected riemannian manifolds M with sectional curvature $-a^2 \leq K \leq -1$ such that $\dim H_2^p(M) = \infty$.*

The manifold M in his proof is a warped product $H^{2p}(-a^2) \times_r S^{m-2p}(1)$ where $H^{2p}(-a^2)$ (resp. $S^{m-2p}(1)$) is the hyperbolic (resp. spherical) space form of constant curvature $-a^2$ (resp. 1), and $f(x) := \sinh s(x)$, s is the distance from x to a fixed totally geodesic hyperplane H^{2p-1} in $H^{2p}(-a^2)$.

We shall point out his important mistakes from the following point of views. First, the following theorem on the warped products is well-known;

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THEOREM B-O. (R.L. Bishop-B. O'Neill [B-O]) *Let M and N be riemannian manifolds, and let $f > 0$ be a differentiable function on M . Then the warped product $M \times_f N$ with metric $ds^2 = ds_M^2 + f^2 ds_N^2$ has curvature $K < 0$ if the following conditions hold :*

- (1) *$\dim M = 1$, or the sectional curvature of M is negative.*
- (2) *f is strictly convex.*
- (3) (a) *$\dim N = 1$, or (b) the sectional curvature $K_N < 0$ if f has a minimum ; $K_N \leq 0$ if f does not have a minimum.*

Conversely, if M is complete, and $M \times_f N$ has negative curvature, then conditions (1), (2) and (3) hold.

Then, theorem B-O implies that his manifold $H^{2p}(-a^2) \times_f S^{m-2p}(1)$ can not have negative curvature for all warping functions. Therefore, his statement is false.

Second, his warping function f is not strictly positive. In fact, $f(x) = 0$ for all $x \in H^{2p-1}$. Then, his manifold is not riemannian. If his warping function f is miss-printed for $\cosh s(x)$, then $-a^2 \leq K \leq 1$. Therefore, his statement is also false.

It should seem for authors that he constructed the manifold M as followings ; First, he noted $H^{2p} = \mathbb{R} \times_{\cosh t} H^{2p-1}$ for $t \in \mathbb{R}$ and $\{0\} \times H^{2p-1}$ is a totally geodesic hyperplane in $H^{2p}(-a^2)$. Second, he considered $H^{2p}(-a^2)$ as $(0, \infty) \times_{\cosh t} H^{2p-1} \cup (-\infty, 0) \times_{\cosh t} H^{2p-1} \cup \{0\} \times H^{2p-1}$, and then set $H^{2p}(-a^2) = (0, \infty) \times_{\cosh s(x)} H^{2p-1}$, $s(x)$ is the distance from x to H^{2p-1} . In fact, every differential form in his proof is invariant under reflection through H^{2p-1} . But he forgot the $\{0\} \times H^{2p-1}$ -factor.

If we replace his warping function f by $\cosh r(x)$, $r(x)$ is the distance from x to a fixed point $0 \in H^{2p}(-a^2)$, then we have

THEOREM.1. *For any $m \geq 2$, $0 < p < m$ and $a > |m - 2p|$ with $a \geq 1$, there are complete, simply-connected riemannian manifold with sectional curvature $-a^2 \leq K \leq 1$ such that $\dim H_2^p(M) = \infty$.*

REMARK. H. Donnelly and F. Xavier [D-X] have proved that, for any $p < (m-1)/2$, $0 \leq \epsilon < 1 - 4p^2/(m-1)^2$, a complete, simply-connected riemannian manifold with sectional curvature $-1 \leq K \leq -1 + \epsilon$ of dimension m has neither L_2 -harmonic p -forms nor L_2 -harmonic $(m-p)$ -forms.

Now we return Theorem B-O, and want to have a counter example of the conjecture in the same way as M. T. Anderson. Unfortunately, authors don't know exam-

ples of a complete, simply-connected manifold of negative curvature and of finite volume. In fact, there does not exist a complete, simply-connected riemannian manifolds of constant negative curvature -1 and of finite volume.

Then if we lack the assumption of simply-connectedness of M , we have Theorem 2, 3 with a slight reversions.

THEOREM 2. For any $m \geq 2$, $0 < p < m$ and $a > |m - 2p|$, with $a \geq 1$, there are complete non-simply connected riemannian manifolds with sectional curvature $-a^2 \leq K \leq -1$ such that $\dim H_2^p(M) = \infty$.

THEOREM 3. For any $m \geq 2$, $0 < p < m$ and $a > |m - 2p|$, with $a \geq 1$, there are incomplete simply-connected riemannian manifolds with sectional curvature $-a^2 \leq K \leq -1$ such that $\dim H_2^p(M) = \infty$.

From the above theorems, it seems to authors that the Conjecture of Dodziuk-Singer is unsolved yet.

1. L_2 -cohomology spaces.

Let (M, \langle, \rangle) be a riemannian manifold of dimension m . Let $\Lambda^*(M) := \Sigma \Lambda^p(M)$ be the space of differential forms on M . Let

$$0 \rightarrow R \rightarrow \Lambda^0(M) \xrightarrow{d} \Lambda^1(M) \xrightarrow{d} \Lambda^2(M) \rightarrow \dots \rightarrow \Lambda^p(M) \xrightarrow{d} \Lambda^{p+1}(M) \rightarrow \dots$$

be the de Rham complex on M .

Let $\Lambda_0^p(M)$ be the space of smooth p -forms on M with compact support. We define the global inner product \ll, \gg on $\Lambda_0^p(M)$:

$$\ll \omega, \eta \gg := \int_M \langle \omega, \eta \rangle \text{dvol}_M = \int_M \omega \wedge * \eta,$$

where $*$ is the Hodge star operator.

Let δ be the formal adjoint of d :

$$\ll d\omega, \eta \gg = \ll \omega, \delta\eta \gg \quad \text{for } \omega \text{ or } \eta \text{ in } \Lambda_0^*(M).$$

Let $\Delta := -(d\delta + \delta d)$ be the Lalacian acting on $\Lambda^*(M)$. $H^p(M) := \{\omega \in \Lambda^p(M) \mid \Delta\omega = 0\}$ is called the space of harmonic p -forms. Let $L_2^p(M)$ be the completion of $\Lambda_0^p(M)$ with respect to \ll, \gg . Then it is a Hilbert space. $H_2^p(M) := \{\omega \in L_2^p(M)$

$\{\Delta\omega=0\}$ is a closed subspace in $L_2^p(M)$. In fact, by the ellipticity of Δ , every weak solution ω is smooth. Then $H_2^p(M)=\Lambda^p(M)\cap L_2^p(M)$. And if we take any sequence ω_k in $H_2^p(M)$ converging to $\omega \in L_2^p(M)$, then we have

$$\langle\langle \omega, \Delta\eta \rangle\rangle = 0 \quad \text{for any } \eta \in \Lambda^p(M),$$

for $0 = \langle\langle \Delta\omega_k, \eta \rangle\rangle = \langle\langle \omega_k, \Delta\eta \rangle\rangle \rightarrow \langle\langle \omega, \Delta\eta \rangle\rangle$ ($k \rightarrow \infty$). By the ellipticity of Δ , $\omega \in \Lambda^p(M)$. Therefore, $H_2^p(M)$ is a closed subspace. $H_2^p(M)$ is called the space of L_2 -harmonic p -forms.

Since $\Lambda^p(M)$ is dense in $L_2^p(M)$, d has the strong closure \bar{d} . Hereafter, we use the same letters for every operator and its closure. Let D_d be the domain of d . If there is an $\eta \in L_2^{p+1}(M)$ and $\omega_k \rightarrow \omega$, $d\omega_k \rightarrow \eta$, then $d\omega = \eta$ and $\omega \in D_d$. It is well known ([de R], [G. 2. 3] [Che]) that $d\omega = \eta$ in the sense of distributions, *i. e.*

$$\langle\langle \eta, \phi \rangle\rangle = \langle\langle \omega, \delta\phi \rangle\rangle \quad \text{for all } \phi \in \Lambda^p(M)$$

if and only if $\omega \in D_d$, $d\omega = \eta$. $H_{2,p}^p(M) := Z_2^p(M) / \overline{B_2^p(M)}$ is called the reduced L_2 -cohomology space, where

$$Z_2^p(M) := \{\omega \in D_d \mid d\omega = 0\}$$

and

$$B_2^p(M) := \{\omega \in L_2^p(M) \mid \omega = d\eta, \eta \in D_d\}.$$

Let (M, \langle, \rangle) be a complete riemannian manifold of dimension m . We define a smooth function $\mu : \mathbb{R} \rightarrow \mathbb{R}$ by

$$0 \leq \mu(t) \leq 1 \quad (t \in \mathbb{R}), \quad \mu(t) = 1 \quad (t \leq 1), \quad \mu(t) = 0 \quad (t \geq 2),$$

and define the sequence of functions w_k by

$$w_k(x) := \mu(\rho(x)/k) \quad (k \in \mathbb{N})$$

where $\rho(x)$ is the geodesic distance from x to some fixed $x_0 \in M$.

LEMMA (1.1). For $\omega \in \Lambda^p(M)$,

$$\begin{aligned}\|dw_k \wedge \omega\| &\leq (c/k)\|\omega\|, \\ \|\delta w_k \wedge * \omega\| &\leq (c/k)\|\omega\|.\end{aligned}$$

Proof. $\rho(x)$ is a locally Lipschitz function, and at points which its derivatives exist, $\langle \partial_i \rho, \partial_j \rho \rangle \leq m$. Then we have

$$|dw_k|^2 \leq (c/k)^2.$$

THEOREM (1.2). *If M is complete, then $H_2^p(M) = \{\omega \in L_2^p(M) \mid d\omega = \delta\omega = 0\}$.*

Proof. Note that $H_2^p(M) = \Lambda^p(M) \cap L_2^p(M)$. If $d\omega = \delta\omega = 0$, then $\Delta\omega = 0$. Conversely, if $\omega \in H_2^p(M)$, $w_k \omega \in \Lambda_0^p(M)$ and so

$$\begin{aligned}0 = \langle \Delta\omega, w_k \omega \rangle &= -\langle d\omega, dw_k \wedge \omega \rangle - \langle d\omega, w_k d\omega \rangle \\ &\quad - \langle \delta\omega, w_k \delta\omega \rangle + \langle \delta\omega, *(dw_k \wedge * \omega) \rangle.\end{aligned}$$

Letting $k \rightarrow \infty$, by means of Lemma (1.1), we have

$$\|d\omega\|^2 + \|\delta\omega\|^2 = 0.$$

Therefore, we have $d\omega = \delta\omega = 0$.

We consider Δ as an unbounded operator on $L_2^p(M)$ with the domain $\Lambda_0^p(M)$. Since $\Lambda_0^p(M)$ is dense in $L_2^p(M)$, there is the adjoint Δ^* and since Δ is a negative-definite symmetric operator, there is the closure $\bar{\Delta}$. Let $D_{\Delta^*}^p$ (resp. D_{Δ}^p) be the domain of Δ^* (resp. Δ). Then we have

$$D_{\Delta^*}^p = D_{\Delta}^p \oplus D_{\lambda}^p,$$

where $D_{\lambda}^p := \{\omega \in D_{\Delta^*}^p \mid \Delta^* \omega = \lambda \omega, \lambda > 0\}$.

LEMMA (1.3). ([R-S], pp.136-137) *Let A be any closed, negative-definite, symmetric, densely defined operator on a Hilbert space. Then $A = A^*$ if and only if there are no eigenvectors with positive eigenvalues in D_{A^*} .*

LEMMA (1.4). (Yau [Y]) *Suppose that M is complete. If ω is a L_2 - p -form such that $\Delta^* \omega = \lambda \omega$ for some $\lambda > 0$, then ω is identically zero.*

Proof. Since $\langle\langle \Delta\phi, \omega \rangle\rangle = \langle\langle \phi, \Delta^*\omega \rangle\rangle = \lambda\langle\langle \phi, \omega \rangle\rangle$ for all $\phi \in \Lambda^k(M)$, ω is a weak solution of an elliptic equation and so ω is smooth.

$$\begin{aligned}
& \lambda\langle\langle w_k^2\omega, \omega \rangle\rangle \\
&= \langle\langle w_k^2\omega, \Delta^*\omega \rangle\rangle \\
&= -\langle\langle d(w_k^2\omega), d\omega \rangle\rangle - \langle\langle \delta(w_k^2\omega), \delta\omega \rangle\rangle \\
&= -\langle\langle w_k^2d\omega, d\omega \rangle\rangle - \langle\langle w_k^2\delta\omega, \delta\omega \rangle\rangle \\
&\quad - 2\langle\langle w_kdw_k\Lambda\omega, d\omega \rangle\rangle + 2\langle\langle \omega, w_kdw_k\Lambda\delta\omega \rangle\rangle.
\end{aligned}$$

Then, we have

$$\begin{aligned}
& \|w_kd\omega\|^2 + \|w_k\delta\omega\|^2 \\
&= -\lambda\langle\langle w_k^2\omega, \omega \rangle\rangle - 2\langle\langle w_kdw_k\Lambda\omega, d\omega \rangle\rangle + 2\langle\langle \omega, w_kdw_k\Lambda\delta\omega \rangle\rangle \\
&\leq 2|\langle\langle w_kdw_k\Lambda\omega, d\omega \rangle\rangle| + 2|\langle\langle \omega, w_kdw_k\Lambda\delta\omega \rangle\rangle| \\
&\leq 2\|dw_k\|_\infty\|\omega\|(\|w_kd\omega\| + \|w_k\delta\omega\|),
\end{aligned}$$

and so

$$\|w_kd\omega\| + \|w_k\delta\omega\| \leq 4\|dw_k\|_\infty\|\omega\|.$$

(Here, we use the inequality : $x^2 + y^2 \leq c(|x| + |y|)$ implies that $|x| + |y| \leq 2c$.)

Letting $k \rightarrow \infty$, we have $d\omega = \delta\omega = 0$. Therefore, we have

$$\omega = \lambda^{-1}\Delta^*\omega = 0.$$

Lemma (1.4) implies that $D_{2^*}^p = D_2^p$, i. e. $\Delta^* = \Delta$.

THEOREM (1.5). Δ is an essentially self-adjoint operator on a complete riemannian manifold.

COROLLARY (1.6). If ω and $\Delta\omega$ are in $L^2(M)$, then $d\omega$ and $\delta\omega$ are in $L^2(M)$, and there is a sequence ω_k in $\Lambda^k(M)$ such that $\omega_k \rightarrow \omega$, $\Delta\omega_k \rightarrow \Delta\omega$, $d\omega_k \rightarrow d\omega$, $\delta\omega_k \rightarrow \delta\omega$ in L_2 -sense.

Proof. For $\omega \in \Lambda^p(M)$, $\|d\omega\| + \|\delta\omega\| = -\langle\langle \omega, \Delta\omega \rangle\rangle$, and so $\|d\omega\|^2 + \|\delta\omega\|^2 \leq \|\omega\| \|\Delta\omega\|$. By the continuity, their estimates hold also on $D_{2^*}^p = D_2^p$. The existence of such a sequence ω_k in $\Lambda^k(M)$ follows from the definition of $D_{2^*}^p$ and the above estimates.

THEOREM (1.7). (The general Hodge Theorem of Kodaira) ([de R])

The orthogonal direct sum decomposition holds on any riemannian manifold :

$$L^2(M) = \overline{\delta\Lambda^{p+1}(M)} \oplus \overline{d\Lambda^p(M)} \oplus H^p(M).$$

This decomposition holds also for $\Lambda^p(M) \cap L^2(M)$.

COROLLARY (1.8). (Gaffney [G1]) On a complete riemannian manifold,

$$H^p(M) = H^p_{,\#}(M) \text{ (as Hilbert spaces).}$$

Proof. Note that $H^p(M) = (d\Lambda^p(M))^\perp \cap (\delta\Lambda^{p+1}(M))^\perp$, where $(\)^\perp$ is the orthogonal complement of $(\)$ in $L^2(M)$. By Theorem (1.7), the natural map $\iota : H^p(M) \rightarrow H^p_{,\#}(M)$ is surjective and $\iota|_{(d\Lambda^p(M))^\perp}$ is an isometric injection. Moreover, d is a closed operator, $\ker d$ is a closed subspace in $L^2(M)$. By Theorem (1.5), we have

$$\langle d\omega, \eta \rangle = \langle \omega, \delta\eta \rangle \text{ for } \omega \in D_d, \eta \in D_\delta.$$

Therefore, ι is injective.

2. The Laplacians of Warped Product.

Let (M, d_M^2) and (N, d_N^2) be a riemannian manifold of dimension m and n respectively. We define a warped product $(M \times_f N, ds^2)$ as a riemannian manifold with a metric $ds^2 := d_M^2 + f^2(x)d_N^2$ where f is a positive function on M , called a warping function. Let $M \times_f N$ be a warped product. We shall discuss the influence of f on the de Rham complex, in particular, the Laplacian.***) Let $L_2^{p,q}(M \times_f N)$ be the completion of

$$\Lambda_0^{p,q}(M \times N) := \Lambda^p(M) \wedge \Lambda^q(N)$$

in $L_2^{p+q}(M \times_f N)$.

Then, $L_2^p(M \times_f N)$ can be generated by

$$\bigoplus_{p+q=r} L_2^{p,q}(M \times_f N).$$

**) S.Zuker [Z] computed the Laplacians on a warped product. But his computations contain errors.

We note that the volume element of the warped product is, in terms of $dvol_M$ and $dvol_N$,

$$dvol = f^n dvol_M dvol_N.$$

Then, we have

LEMMA (2.1). For $\phi\Lambda\eta \in \Lambda^p(M) \wedge \Lambda^q(N)$, the L_2 -norm $\|\phi\Lambda\eta\|$ is given by

$$\|\phi\Lambda\eta\|^2 = \int \|\phi\|_M^2 \|\eta\|_N^2 f^{n-2q} dvol_M dvol_N.$$

The exterior derivative d associated to the product structure may be written

$$d = d_M \otimes 1_N + (-1)^p 1_M \otimes d_N$$

on $\Lambda^{p,q}(M \times N)$.

Since the following calculations are local in nature, we may suppose that M and N are orientable. The relation between the Hodge star operators $*$, $*_M$, and $*_N$ is as follows ;

$$(2.2) \quad * = (-1)^{q(m-p)} *_f *_N \quad \text{on } \Lambda^{p,q}(M \times N),$$

where $*_f := F_q *_M$ and $F_q := f^{n-2q}$. Let d^* and d_N^* be the formal adjoint of d and d_N respectively. Then we have

$$(2.3) \quad d^*(\phi\Lambda\eta) = (-1)^p (*_f^{-1} d *_f \phi) \Lambda \eta + (-1)^p f^{-2} \phi \Lambda d_N^* \eta$$

for $\phi\Lambda\eta \in \Lambda^{p,q}(M \times N)$.

LEMMA (2.4). For $\phi\Lambda\eta \in \Lambda^{p,q}(M \times N)$,

$$d_f^*(\phi\Lambda\eta) = d_M^*(\phi\Lambda\eta) - (n-2q)(\iota_{d(\log f)} \phi) \Lambda \eta,$$

where

$$(2.5) \quad d_f^* := (-1)^p *_f^{-1} d *_f.$$

Proof. The equalities

$$d_f^*(\phi \wedge \eta) = (-1)^p F_q^{-1} *_M^{-1} d(F_q *_M \phi) \wedge \eta$$

and

$$d(F_q *_M \phi) = dF_q \wedge (*_M \phi) + F_q d(*_M \phi)$$

imply that

$$(2.6) \quad d_f^*(\phi \wedge \eta) = (-1)^p F_q^{-1} *_M^{-1} (dF_q \wedge *_M \phi) \wedge \eta + (d_M^* \phi) \wedge \eta.$$

And $F_q = f^{n-2q}$ implies that

$$dF_q \wedge *_M \phi = (n-2q) f^{n-2q-1} df \wedge *_M \phi,$$

and the first term of the right hand side (2.6) is equal to

$$(-1)^p (n-2q) *_M^{-1} (d(\log f) \wedge *_M \phi) \wedge \eta.$$

Setting

$$d(\log f) := \sum f_j \omega^j \quad \text{and} \quad \phi := \phi_{i_1 \dots i_p} \omega^{i_1} \wedge \dots \wedge \omega^{i_p}$$

in terms of a local orthonormal coframing $\{\omega^i\}$ on M , we have

$$\begin{aligned} & *_M (d(\log f) \wedge *_M \phi) \\ &= (-1)^{(m-p)(p-1)} f_j \phi_{i_1 \dots i_p} \operatorname{sgn} \begin{pmatrix} i_1 & \dots & i_p \\ j & k_1 & \dots & k_{p-1} \end{pmatrix} \omega^{k_1} \wedge \dots \wedge \omega^{k_{p-1}} \\ &= (-1)^{(m-p)(p-1)} \iota_{d(\log f)} \phi, \end{aligned}$$

where $\iota_{(\cdot)}$ means the interior multiplication by (\cdot) . Then, we have

$$*_M^{-1} (d(\log f) *_M \phi) = (-1)^{p-1} \iota_{d(\log f)} \phi,$$

which implies that the first term of the right hand side of (2.6) is equal to

$$-(n-2q) (\iota_{d(\log f)} \phi) \wedge \eta.$$

Therefore, we may compute the Laplacian $\Delta := d^* d + d d^*$ acting on $\Lambda_0^p(M \times N)$.

THEOREM (2.7).

$$\Delta = \Delta_f + f^{-2} \Delta_N + 2(-1)^p \iota_{d(\log f)} d_N + 2(-1)^{p+1} f^{-2} \varepsilon_N d_N^*,$$

where $\Delta_f := d_M d_f^* + d_f^* d_M,$

$$d_f^* := (-1)^p *_{f^{-1}} d_M^* *_{f^{-1}},$$

$$*_{f^{-1}} := f^{n-2q} *_{M^{-1}},$$

and ε_N is the left exterior multiplication by $d_M(\log f)$.

Moreover, we have,

THEOREM (2.8).

$$\Delta = \Delta_M + (-1)^p (d_{\iota_F} - \iota_F d) \quad \text{on} \quad \Lambda^p(M),$$

where $F := n \, d(\log f)$.

REMARK (2.9). $F := n \, d(\log f) = -(\text{the mean curvature of } N \text{ in } M \times_f N)$.

We consider the complex on $M \times_f N$:

$$(2.10) \quad 0 \rightarrow R \rightarrow \Lambda^0(M \times_f N) \xrightarrow{d} \Lambda^1(M \times_f N) \xrightarrow{d} \Lambda^2(M \times_f N) \xrightarrow{d} \cdots \xrightarrow{d} \Lambda^p(M \times_f N) \xrightarrow{d} \cdots$$

which is sometimes called the "basic" de Rham complex ([K.1,2]). If $M \times_f N$ is complete and N is compact, then we have

COROLLARY (2.11). *The complex (2.10) satisfies the Poincaré duality in the L_2 - "basic" cohomology if $M \times_f N$ is a product manifold, i.e, f is constant.*

In fact, the Poincaré duality holds in the "basic" cohomology if N is minimal, $df = 0$ ([K-T]. [K2]).

3. The sectional curvatures of a warped product.

Let $(M \times_f N, ds^2)$ be a warped product. We have the orthogonal decomposition of the tangent bundle $T(M \times_f N, ds^2)$;

$$T(M \times_f N, ds^2) = D_M \oplus D_N,$$

where D_M and D_N are integrable distributions on $M \times_f N$. The leaves of D_M (resp. D_N) are isometric to (M, ds_M^2) (resp. $(N, f^2 ds_N^2)$).

PROPOSITION (3.1). ([B-O]) The sectional curvature $K(\Pi)$ of $(M \times_f N, ds^2)$ is given by

$$\begin{aligned} K(\Pi) = & K_M(X, Y) \|X \wedge Y\|_{M^2}^2 - f(x) \{ \langle W, W \rangle_N ((\nabla_M)^2 f)(X, X) \\ & - 2 \langle V, W \rangle_N ((\nabla_M)^2 f)(X, Y) + \langle V, V \rangle_N ((\nabla_M)^2 f)(Y, Y) \} \\ & + f^2(x) \{ K_N(V, W) - \|\text{grad } f\|_{M^2}^2 \} \langle V \wedge W, V \wedge W \rangle, \end{aligned}$$

where $\nabla_{(\cdot)}$ (resp. $K_{(\cdot)}$) is the covariant derivative (resp. the sectional curvature of (\cdot)), and $(\nabla_M)^2 f$ is the Hessian of f .

Hereafter, let $H^m(-a^2)$ and $N^n(-1)$ be the hyperbolic space form of dimension m and a compact (or finite volume) hyperbolic space of dimension n . And let $s(x)$ be the geodesic distance from x to a fixed point 0 in $H^{2p}(-a^2)$, and set $f(x) = \cosh s(x)$.

EXAMPLE 1. $H^{2p}(-a^2) \times_f N^{m-2p}(-1)$. Then we have,

$$-a^2 \leq K(\Pi) \leq -1.$$

Proof. Let Π be generated by orthonormal framing $\{X, V\}$. Then we have

$$K(\Pi) = -a \frac{\cosh as}{\sinh as} \frac{\sinh s}{\cosh s},$$

which implies that if $s \rightarrow \infty$, $K(\Pi) \rightarrow -a$ and if $s \rightarrow 0$, $K(\Pi) \rightarrow -1$. On the other hand, if $\Pi \subset D_N$ (resp. D_H), $K(\Pi) = -1$ (resp. $-a^2$). Therefore, we have the the above estimate.

EXAMPLE 2. $H^{2p}(-a^2) \times_f S^{m-2p}(1)$. Then we have

$$-a^2 \leq K(\Pi) \leq 1.$$

4. Proofs of Theorems.

We shall prove Theorem 2. Our proof is a slight reversion of one of M.T. Anderson [An]. $M := H^{2p}(-a^2) \times_f N^{m-2p}(-1)$ is a complete, not simply-connected riemannian manifold. Let $\{X_i\}$ be a local orthonormal framing on $H^{2p}(-a^2)$ of eigenvectors of $\nabla^2 f$ and $\{V_i\}$ a local orthonormal framing on $N^{m-2p}(-1)$. Then the 2-framings $\{X_i \wedge X_j\}$, $\{X_i \wedge V_j\}$ and $\{V_i \wedge V_j\}$ diagonalize the curvature tensor $R : \Lambda^2(M) \rightarrow \Lambda^2(M)$ with corresponding sectional curvature $-a^2$, $-a(\coth as)(\tanh s)$, -1 .

For $\omega \in \Lambda^p(H^{2p}(-a^2))$,

$$\Delta_M \omega = \Delta_{H^{2p}} \omega + (-1)^p [d\iota_F - \iota_F d] \omega.$$

where $F = (m-2p) d(\log f)$. And it holds that

$$H^{2p}(-a^2) = H^2(-a^2) \times_g H^{2p-2}(-a^2)$$

where $g : H^2(-a^2) \rightarrow R$, $g(x) := \cosh a\rho(x)$, $\rho(x)$ is the geodesic distance from a fixed point $0 \in H^2(-a^2)$ to x . By this decomposition, F is tangent to the $H_2(-a^2)$ -factor. Let

$$\omega := \phi \wedge \eta, \quad \phi \in \Lambda^1(H^2(-a^2)), \quad \eta \in \Lambda^{p-1}(H^{2p-2}(-a^2)).$$

If η is any harmonic $(p-1)$ -form on $H^{2p-2}(-a^2)$, then $\Delta \omega = 0$ if and only if

$$(4.1) \quad \Delta \phi - [d\iota_F - \iota_F d] \phi = 0 \quad \text{on } \Lambda^1(H^2(-a^2)).$$

Setting $\phi := du$ and using the conformal equivalence of $H^2(-a^2)$ with $\Omega := \{(x, \theta) | x \in R, \theta \in (-\pi/2, \pi/2)\}$, we have that (4.1) is equivalent to

$$(4.2) \quad \partial^2 u / \partial x^2 + \partial^2 u / \partial \theta^2 + h(\theta) \partial u / \partial \theta = 0,$$

$$h(\theta) := (1/f_1)(\partial f_1 / \partial \theta),$$

$$f_1 := f|_{H^2(-a^2)} = 1/2 \{[\alpha^{1/a} - \beta^{1/a}] / \cos^{1/a} \theta\},$$

$$\alpha := 1 + \sin \theta, \quad \beta := 1 - \sin \theta.$$

Note that $h=0$ on $\partial\Omega$. We may suppose, without loss of generality, that $(m-2p) > 0$ and so $h > 0$. (4.2) has solutions, smooth up to $\partial\Omega$. If we conformally identify $H^2(-a^2)$ with $B^2(1)$ with the flat metric, we may obtain an infinite dimensional space of solutions of (4.2).

$\| \cdot \|$ is a conformal invariant on forms in the middle dimension. For ω as above, we

have

$$\begin{aligned} \int_M |\omega|^2 &= \int_{H^{2p} \times N^{m-2p}} |\omega|^2 f^{m-2p} \, d\text{vol}_H d\text{vol}_N \\ &= \text{vol}(N^{m-2p}) \int_{H^2 \times H^{2p-2}} |\phi|^2 |\eta|^2 f^{m-2p} \, d\text{vol}_{H^2} d\text{vol}_{H^{2p-2}} \\ &\leq \text{vol}(N^{m-2p}) \text{vol}(B^{2p-2}(1)) \int_{B^2(1)} f^{m-2p} \, d\text{vol}_B. \end{aligned}$$

Here, we have used the conformal equivalence of $H^k(-a^2)$ with $B^k(1)$, $k=2, 2p-2$ and have supposed that η is a harmonic $(p-1)$ -form with $|\eta|_\infty \leq 1$ with respect to the flat metric on $B^{2p-2}(1)$, e.g.

$$\eta = (1/(p-1)!) dx^1 \wedge \dots \wedge dx^{p-1}.$$

Then we have

$$\int_{B^2(1)} f^{m-2p} \, d\text{vol} < c \int_0^{\pi/2} \cos^{-(m-2p)/a} \theta \, d\theta,$$

and so if $(m-2p)/a < 1$, we have $\int_M |\omega|^2 < \infty$.

Theorem 1 can be also obtained by replacing $N^{m-2p}(-1)$ by $S^{m-2p}(1)$.

$SO(m,1)/SO(m)$ is a complete, simply-connected riemannian manifold with a compact quotient $\Gamma \backslash SO(m,1)/SO(m)$, where Γ is a group acting differentiably and properly discontinuously on $SO(m,1)/SO(m)$.

LEMMA (4.3). *There is a relatively compact open set C in $SO(m,1)/SO(m)$ with $\Gamma C = SO(m,1)/SO(m)$.*

If we replace $N^{m-2p}(-1)$ by the above C , $M := H^{2p}(-a^2) \times_r C$ is an incomplete, simply-connected riemannian manifold. $\text{vol}(C) \leq \text{vol}(\bar{C})$ implies Theorem 3.

COMMENT. If we may find a complete, simply-connected riemannian manifold with non-positive curvature, and finite volume, the conjecture of Dodziuk-Singer is false by M. T. Anderson's construction.

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