

# Theobromine up-regulates cerebral brain-derived neurotrophic factor and facilitates motor learning in mice

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43

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45 cAMP, phosphodiesterase, motor learning, VASP phosphorylation, BDNF, CREB

46 phosphorylation

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48

49 **Abstract**

50 Theobromine, which is a caffeine derivative, is the primary methylxanthine produced by  
51 *Theobroma cacao*. Theobromine works as a phosphodiesterase (PDE) inhibitor to  
52 increase intracellular cyclic adenosine monophosphate (cAMP). cAMP activates the  
53 cAMP-response element-binding protein (CREB), which is involved in a large variety  
54 of brain processes, including the induction of the brain-derived neurotrophic factor  
55 (BDNF). BDNF supports cell survival and neuronal functions, including learning and  
56 memory. Thus, cAMP/CREB/BDNF pathways play an important role in learning and  
57 memory. Here, we investigated whether orally administered theobromine could act as a  
58 PDE inhibitor centrally and affect cAMP/CREB/BDNF pathways and learning behavior  
59 in mice.

60 The mice were divided into two groups. The control group (CN) was fed a  
61 normal diet, whereas the theobromine group (TB) was fed a diet supplemented with  
62 0.05% theobromine for 30 days. We measured the levels of theobromine,  
63 phosphorylated vasodilator-stimulated phosphoprotein (p-VASP), phosphorylated  
64 CREB (p-CREB), and BDNF in the brain. p-VASP was used as an index of cAMP  
65 increases. Moreover, we analyzed the performance of the mice on a three-lever motor  
66 learning task.

67           Theobromine was detectable in the brains of TB mice. The brain levels of  
68 p-VASP, p-CREB, and BDNF were higher in the TB mice compared with those in the  
69 CN mice. In addition, the TB mice performed better on the three-lever task than the CN  
70 mice did. These results strongly suggested that orally administered theobromine acted as  
71 a PDE inhibitor in the brain, and it augmented the cAMP/CREB/BDNF pathways and  
72 motor learning in mice.

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75   Keywords:

76   cAMP, phosphodiesterase, motor learning, VASP phosphorylation, BDNF, CREB

77   phosphorylation

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82 **1. Introduction**

83 In South America, chocolate has a long history dating back to at least 600 B.C. [1].  
84 However, the first Westerner to eat chocolate is thought to be the 16th century Spanish  
85 general, Hernando Cortes [2]. Recently, consumption of chocolate with a high  
86 concentration of cacao (*Theobroma cacao*) has become popular around the world  
87 because cacao contains many flavonoids that have pleiotropic roles in neuroprotection  
88 and cognition [3, 4]. In addition, cacao contains theobromine, which is a caffeine  
89 derivative [5]. Theobromine is the primary methylxanthine found in products of  
90 *Theobroma cacao* [6]. Thus, an increase in chocolate intake results in an increase in the  
91 uptake of theobromine as well as flavonoids.

92       Cyclic adenosine monophosphate (cAMP) is an intracellular second messenger  
93 that transduces extracellular signals into intracellular responses by communicating with  
94 downstream targets in the cascade [7]. cAMP signaling is fundamentally involved in  
95 neural wiring and the brain mechanisms that mediate cognitive processes [8-10].

96 Intracellular cAMP is synthesized from adenosine triphosphate by adenylyl cyclases and  
97 hydrolyzed by cyclic nucleotide phosphodiesterases (PDEs). cAMP activates the  
98 cAMP-response element-binding protein (CREB) through protein kinase A (PKA)  
99 activation [8-10]. The activation of CREB, which is a nuclear transcription factor, is

100 involved in a large variety of brain processes, including the induction of brain-derived  
101 neurotrophic factor (BDNF) [11]. BDNF supports cell survival and neuronal functions,  
102 including the neuroplasticity that mediates learning and memory [11]. Thus, the  
103 cAMP/CREB/BDNF pathways play important roles in cognitive processes, including  
104 learning and memory. Consequently, there has been significant interest in targeting  
105 PDEs as cognition-enhancing drugs [12-14].

106 Methylxanthines, including theobromine, are well-known inhibitors of PDEs  
107 [15-18]. We have previously confirmed that theobromine increases the intracellular  
108 cAMP concentration in glioblastomas [19]. Thus, theobromine might enhance cognitive  
109 function through cAMP/CREB/BDNF pathways. However, the *in vivo* physiological  
110 and pharmacological actions of theobromine have not been fully elucidated. In this  
111 study, we examined whether orally administered theobromine enhanced the  
112 cAMP/CREB/BDNF pathways and/or cognitive function by conducting the following  
113 experiments in theobromine-fed mice. First, we measured the theobromine  
114 concentrations in the plasma and cerebral cortex. Second, we analyzed the levels of the  
115 vasodilator-stimulated phosphoprotein (VASP) in the cerebral cortex. VASP is an  
116 established substrate for PKA, and its phosphorylation reflects PKA activation  
117 following an increase in cAMP [20-22]. Third, we analyzed the activation of CREB in



118 the cerebral cortex and hippocampus. Fourth, we measured the BDNF levels in the  
119 cerebral cortex and hippocampus. Finally, we analyzed the performance of the mice on  
120 a three-lever operant task that was developed to study several different aspects of motor  
121 learning, including sequence learning, skill learning, adaptation, and reversal learning,  
122 in mice [23]. We found that the theobromine-fed mice performed better on the  
123 three-lever motor learning task. In addition, the cAMP/CREB/BDNF pathways were  
124 enhanced in these mice.

125

126

## 127 **2. Materials and Methods**

### 128 **2.1 Animals**

129 Male C57BL/6NCr mice were purchased from Japan SLC, Inc. (Hamamatsu, Japan)  
130 and housed in a room with controlled temperature ( $23 \pm 2^\circ\text{C}$ ) and humidity ( $50 \pm 10\%$ )  
131 and under a 12/12 h light–dark cycle. They had access to food and water *ad libitum*. At  
132 the end of the experiments, the mice were sacrificed with an overdose of isoflurane. All  
133 animal experiments were performed in accordance with the Guidelines for Animal  
134 Experimentation of Shimane University Faculty of Medicine and the animal welfare  
135 committee of Kanazawa University, which complied with the Guidelines for Animal

136 Experimentation of the Japanese Association for Laboratory Animal Science.

137

## 138 **2.2 Materials**

139 Theobromine, caffeine-*d*<sub>9</sub>, and the Glucose CII-Test WAKO Kit were purchased from

140 Wako Pure Chemical Industries, Ltd. (Osaka, Japan). The BDNF Emax® ImmunoAssay

141 System was purchased from Promega Corporation (Madison, WI, USA).

142 Anti-phospho-VASP (Ser239), anti-βactin, anti-CREB, anti-phospho-CREB (Ser133),

143 horseradish peroxidase (HRP)-linked anti-mouse IgG, and anti-rabbit IgG antibodies

144 were purchased from Cell Signaling Technology, Inc. (Danvers, MA, USA).

145

## 146 **2.3 Feeding and experiment schedules**

147 The feeding and experiment schedules are summarized in Figure 1. All of the mice had

148 free access to a standard chow (CRF-1, Oriental Yeast Co., LTD., Tokyo, Japan) for

149 several days after admission. On Day0, the mice were divided into two groups. The first

150 group, which consisted of the control (CN) mice, was fed the CRF-1 chow for 30 days

151 and then subsequently switched to the CE-2 chow (O'HARA & Co., Ltd., Tokyo, Japan)

152 for the remaining 30 days. The second group of mice (TB) was fed the standard CRF-1

153 chow that was supplemented with 0.05% (W/W) of theobromine (Oriental Yeast Co.,

154 LTD.) for 30 days and then switched to the CE-2 chow for the remaining 30 days.  
155 During the period of operant task performance (Day30 to Day60), the CE-2 chow (50  
156 mg/1 pellet) was used instead of the CRF-1 chow (3–4 g/1 pellet) to adjust for the total  
157 amount of food per day (1.5–2.5 g).

158           The concentration of theobromine (0.05%) was selected according to the  
159 caffeine-supplemented chow [24] because theobromine is a caffeine derivative. The  
160 length of time for treatment (30 days) was selected based on our preliminary data with  
161 rats that showed the theobromine concentration in the plasma gradually increased and  
162 reached submaximal levels 30 days after switching to the theobromine-supplemented  
163 chow (unpublished preliminary data).

164           The body weights of the mice were measured at the beginning (Day0; start of  
165 theobromine feeding), halfway point (Day30; switching to CE-2 chow), and end  
166 (Day60; finish) of the experiments. The theobromine concentrations in the plasma and  
167 cerebral cortex were measured at the halfway point (Day30) and on the final (Day60)  
168 day of the experiments. The levels of plasma glucose, phosphorylated VASP, CREB,  
169 and BDNF were measured at the halfway (Day30) point of the experiments. The lever  
170 operant tasks were performed for a 30-d period from the halfway point (Day30) until the  
171 final day (Day60) of the experiments.

172

173 **2.4 Measurements of plasma glucose**

174 The plasma glucose concentrations were determined with a Glucose CII-Test WAKO  
175 Kit (Wako Pure Chemical Industries, Ltd.) according to the kit's instructions.

176

177 **2.5 Measurements of theobromine content**

178 Theobromine was measured in the biological samples as previously described, with a  
179 slight modification [25]. The brain tissues were homogenized in four volumes (w/v) of  
180 phosphate-buffered saline (pH 7.4). We mixed 50  $\mu$ L of plasma and brain homogenate  
181 with 250 ng of caffeine-*d*9 in 200  $\mu$ L of acetonitrile and then kept the samples at -30°C  
182 for 30 min. The samples were centrifuged at 5,000  $\times g$  for 10 min at 4°C to remove the  
183 precipitated proteins. The supernatants were analyzed with high-performance liquid  
184 chromatography in combination with electrospray ionization-mass spectrometry that  
185 was performed with a TSQ quantum mass spectrometer (Thermo Fisher Scientific K.K.,  
186 Tokyo, Japan). The high-performance liquid chromatography was performed with a  
187 Luna 3- $\mu$ m C18(2) 100Å liquid chromatography column (100  $\times$  2.0 mm, Phenomenex,  
188 Inc., Torrance, CA, USA) at 30°C. The samples were eluted in a mobile phase  
189 consisting of acetonitrile–methanol (4:1, v/v) and water–acetic acid (100:0.1, v/v) in a

190 10:90 ratio for 2 min. After 5 min, the ratio was changed to 70:30 and maintained for 7  
191 min. Subsequently, the ratio was changed to 80:20 and held for 2 min. Finally, after 9  
192 min, the ratio was changed to 100:0 and held for 2 min with a flow rate of 0.1 mL/min.  
193 Tandem mass spectrometry analyses were conducted in the positive ion mode, and  
194 theobromine (m/z 180.8>163.1) and caffeine-*d*9 (m/z 204.2>144) were detected and  
195 quantified with selected reaction monitoring. The peaks were selected, and their areas  
196 were calculated with Xcalibur™ 2.1 software (Thermo Fisher Scientific K.K.).

197

## 198 **2.6 Levels of BDNF, phosphorylated VASP, and CREB**

199 The cerebral cortex and hippocampus were homogenized in radioimmunoprecipitation  
200 assay buffer with a glass homogenizer. Subsequently, the samples were centrifuged at  
201  $800 \times g$  for 15 min at 4°C to remove tissue debris, and a protein assay was performed to  
202 determine the protein concentrations. Equal amounts of protein were analyzed with the  
203 BDNF Emax® ImmunoAssay System (Promega Corporation) according to the kit's  
204 instructions. Western blotting was performed as previously described [26]. The proteins  
205 in the cerebral cortex and hippocampus were separated with 10% sodium dodecyl  
206 sulfate-polyacrylamide gel electrophoresis. The resolved proteins were transferred onto  
207 polyvinylidene fluoride membranes and incubated with primary antibodies (1:1,000)

208 and then HRP-linked secondary antibodies (1:2,000). The blots were developed with the  
209 Immobilon Western Chemiluminescent HRP Substrate (EMD Millipore Corporation,  
210 Billerica, MA, USA).

211

## 212 **2.7 One-lever and three-lever operant tasks**

213 The one-lever and three-lever operant tasks were performed as previously described  
214 [23]. Ten CN mice and 10 TB mice that were 6 weeks old on Day0 were kept separately  
215 in plastic cages with four compartments (KN-606, 230 × 300 × 130 mm, Natsume  
216 Seisakusho Co., Ltd., Tokyo, Japan) and provided a limited amount of food. Before the  
217 training, the mice were handled for approximately 10 min/day for one week in order for  
218 the mice to habituate to the testing area and experimenter. One 60-min training session  
219 was conducted once a day five days a week (Monday to Friday). The experiments were  
220 performed in an operant chamber (225 × 240 × 200 mm, OP-3101K, O'HARA & Co.,  
221 Ltd.) that was placed in a sound-attenuating box (495 × 750 × 685 mm). Three levers  
222 (18 × 15 mm) protruded into the chamber, and the right (A), center (B), and left (C)  
223 levers were positioned 2, 4, and 2 cm, respectively, above the floor. The B-lever was set  
224 2 cm higher than the other two levers so that the mouse pressed the B-lever with a  
225 forelimb by standing up on its hind legs. The Operant Task for multi levers program

226 (O'HARA & Co., Ltd.) controlled the execution of all experiments and data collection.  
227 When the mouse pressed an active lever (one-lever task) or three levers in the correct  
228 order within a given time (three-lever task), one pellet (AIN-76A, 10 mg, Research  
229 Diets, Inc., New Brunswick, NJ, USA) was delivered from the automatic diet feeder for  
230 reinforcement (PD-010D, O'HARA & Co., Ltd). The required load for the lever press  
231 was adjusted to 4–7 g. The number of reinforcements (R, pellets) and presses on each  
232 lever were recorded on a personal computer through an interface unit (AOI040C,  
233 O'HARA & Co., Ltd.) by the task program. In the operant chamber, water was available  
234 *ad libitum*.

235           The one-lever task was used as a shaping procedure for the three-lever task. In  
236 this task, the mouse was trained to press any one of the active levers for a food reward  
237 (fixed ratio 1, FR1). The number of active levers was initially set to three. When the  
238 mouse pressed the same active lever more than 100 times per session in two consecutive  
239 sessions, the lever was inactivated in the subsequent sessions. The one-lever task was  
240 completed when the mouse pressed the last active lever more than 100 times per session  
241 in two consecutive sessions.

242           The mouse was then trained to press the three levers in an ABC sequence  
243 (A-lever, B-lever, and C-lever). In this three-lever task, the mouse was required to press

244 the second (or third) lever within a given time (T) after the onset of the first (or second)  
245 lever press. Initially, T was set to 99.9 s, and it was sequentially decreased to 3 s, 2 s,  
246 and 1 s when R was over 100 in two consecutive sessions. After completion of the  
247 three-lever task, the mouse was trained to press the three levers in the opposite sequence  
248 (CBA; C-lever, B-lever, and A-lever). Like the original three-lever task, T was initially  
249 set to 99.9 s, and it was sequentially decreased to 3 s, 2 s, and 1 s when R was over 100  
250 in two consecutive sessions.

251

## 252 **2.8 Statistical analysis**

253 The data are expressed as mean  $\pm$  standard error of the mean. Statistical significance  
254 was evaluated with a two-way analysis of variance (ANOVA) or Student's *t*-test. P  
255 values less than 0.05 were considered statistically significant. The *p* value, effect size  
256 (d), and power (1- $\beta$ ) were obtained with Statcel software.

257

258

## 259 **3. Results**

### 260 **3.1 Body weights and plasma glucose levels**

261 First, we measured the body weights and plasma glucose levels. The body weights of



262 the mice fed the theobromine-supplemented chow (TB mice, n = 10) did not differ from  
263 those of the mice fed the standard chow (CN mice, n = 10) on Day0 ( $p = 0.15$ ), Day30  
264 ( $p = 0.59$ ), or Day60 ( $p = 0.57$ ) (Table 1). The plasma glucose levels of the TB mice (n  
265 = 6) did not differ from those of the CN mice (n = 6) on Day30 ( $p = 0.52$ ; Table 2).

266 These results indicated that theobromine did not affect the feeding behavior or glucose  
267 metabolism of the mice.

268

### 269 **3.2 Theobromine concentrations in the plasma and brain**

270 Next, we examined whether theobromine was taken up into the brain. In the CN mice (n  
271 = 6), theobromine was not detected in the plasma or cerebral cortex on Day30 or Day60  
272 (Table 2). In the TB mice (n = 6), the theobromine concentrations in the plasma and  
273 cerebral cortex were  $2.20 \pm 0.12 \mu\text{g/mL}$  and  $0.21 \pm 0.02 \mu\text{g/mL}$ , respectively, on Day30  
274 (Table 2). However, theobromine was no longer detected in the plasma and cerebral  
275 cortex 30 days after the theobromine-supplemented chow was replaced with the  
276 standard chow (Day 60; Table 2). The presence of theobromine in the brains of the TB  
277 mice indicated that orally administered theobromine influenced the signaling pathways  
278 in the brain through its pharmacological actions.

279

280 **3.3 Levels of phosphorylated VASP, phosphorylated CREB, and BDNF in the brain**

281 Next, we examined whether orally administered theobromine enhanced the  
282 cAMP/CREB/BDNF pathway in the brain in the CN mice (n = 6) and TB mice (n = 6)  
283 on Day30. The levels of phosphorylated VASP protein in the cerebral cortex were  
284 significantly higher in the TB mice than in the CN mice ( $p < 0.05$ ) (Fig. 2), which  
285 indicated that the TB mice had increased levels of intracerebral cAMP. The levels of  
286 phosphorylated CREB in both the hippocampus and cerebral cortex were significantly  
287 higher in the TB mice than in the CN mice ( $p < 0.05$ ; Fig. 3). The protein expression  
288 levels of BDNF in the hippocampus and cerebral cortex were also significantly higher  
289 in the TB mice than in the CN mice ( $p < 0.01$  and  $p < 0.05$ , respectively; Table 2). These  
290 results indicated that orally administered theobromine activated the  
291 cAMP/CREB/BDNF pathways in the brain.

292

293 **3.4 One-lever and three-lever operant tasks**

294 Finally, we analyzed the performances of the CN mice (n = 10) and TB mice (n = 10) on  
295 the three-lever operant task. The CN mice and TB mice generally exhibited similar  
296 performances on the one-lever task (Fig. 4), which was used as a shaping procedure for  
297 the three-lever task. The total number of sessions required to complete the one-lever

298 task was  $10.3 \pm 0.7$  sessions in the CN mice and  $10.3 \pm 0.3$  sessions in the TB mice (Fig.  
299 4B), which was not a significant difference ( $p = 0.99$ ). In the first several sessions, both  
300 types of mice exhibited similar increases in the total number of lever presses (Fig. 4A).  
301 A two-way ANOVA (session  $\times$  mouse group) showed no significant interaction effects  
302 of session and mouse group ( $p = 0.88$ ) and a significant main effect of session ( $p <$   
303  $0.001$ ) but not mouse group ( $p = 0.23$ ).

304 In the three-lever task, the TB mice exhibited a significantly better performance  
305 than the CN mice did (Fig. 5). In the first several sessions, the total number of lever  
306 presses (Fig. 5A) exhibited a significant interaction effect of session and mouse group  
307 ( $p < 0.01$ ). In addition, the total number of presses was significantly higher in the TB  
308 mice than in the CN mice in the first session ( $p < 0.05$ ) but not in the subsequent  
309 sessions ( $p > 0.05$ ). The number of reinforcements, success rates, and number of lever  
310 presses for the ABCABC pattern were significantly increased in the TB mice than in the  
311 control mice (Fig. 5B-D). A two-way ANOVA showed no significant interaction effects  
312 of session and mouse group (reinforcement,  $p = 0.35$ ; success rate,  $p = 0.83$ ; ABCABC,  
313  $p = 0.09$ ) and significant main effects of session (reinforcement, success rate, and  
314 ABCABC,  $p < 0.001$ ) and mouse group (reinforcement,  $p < 0.01$ ,  $d = 0.28$ ,  $1 - \beta = 0.79$ ;  
315 success rate,  $p < 0.001$ ,  $d = 0.45$ ,  $1 - \beta = 0.99$ ; ABCABC,  $p < 0.01$ ,  $d = 0.35$ ,  $1 - \beta =$

316 0.94). The total number of sessions required to complete the three-lever task differed  
317 significantly between the CN and TB mice (CN mice,  $9.6 \pm 0.4$  sessions; TB mice,  $8.3 \pm$   
318  $0.1$  sessions;  $p < 0.05$ ). These results demonstrated that the TB mice performed  
319 significantly better on the three-lever operant task compared with the CN mice.

320 Better performances of the TB mice were also observed on the reverse  
321 three-lever task (CBACBA), although the differences in the performances between the  
322 two groups were less obvious. The total numbers of sessions required to complete the  
323 reverse three-lever task were similar (CN mice,  $8.4 \pm 0.2$  sessions; TB mice,  $8.3 \pm 0.2$   
324 sessions;  $p = 0.45$ ). The total number of lever presses (Fig. 6A) exhibited no significant  
325 interaction effects of session and mouse group ( $p = 0.59$ ) and significant main effects of  
326 both session ( $p < 0.001$ ) and mouse group ( $p < 0.05$ ,  $d = 0.23$ ,  $1 - \beta = 0.63$ ). The number  
327 of reinforcements and success rates showed no significant interaction effects of session  
328 and mouse group (reinforcement,  $p = 0.79$ ; success rate,  $p = 0.83$ ) and a significant main  
329 effect of session (reinforcement and success rate,  $p < 0.001$ ) but not mouse group  
330 (reinforcement,  $p = 0.75$ ,  $d = 0.03$ ,  $1 - \beta = 0.06$ ; success rate,  $p = 0.06$ ,  $d = 0.20$ ,  $1 - \beta =$   
331  $0.51$ ). Importantly, the number of lever presses for the CBACBA pattern (Fig. 6D) was  
332 significantly increased in the TB mice compared with the CN mice. Session and mouse  
333 group did not exhibit a significant interaction effect ( $p = 0.70$ ), while they did show

334 significant main effects (session,  $p < 0.001$ ; mouse group,  $p < 0.05$ ,  $d = 0.26$ ,  $1 - \beta =$   
335  $0.73$ ).

336

337

#### 338 **4. Discussion**

339 Several lines of evidence have shown that theobromine exerts a variety of physiological  
340 and pharmacological actions [6, 27, 28]. Notably, theobromine functions as an inhibitor  
341 of PDEs, including PDE4 [19], which results in an increase in intracellular cAMP.

342 PDE4 inhibitors prevent cognitive deficits. Therefore, theobromine might serve as a  
343 potential protective agent against cognitive disorders [13, 14]. This possibility was

344 supported by the results of the present study, which demonstrated that orally

345 administered theobromine influenced signaling pathways in the brain, including those

346 for cAMP, CREB, and BDNF, and facilitated motor learning in mice.

347 In order to exert its effect against cognitive disorders, theobromine must be

348 taken up into the brain. Our data showed that theobromine was detectable in the plasma

349 and cerebral cortex of the mice 30 days after initiation of the theobromine-supplemented

350 chow. The plasma and brain levels of theobromine were about 2.2 and 0.2  $\mu\text{g/mL}$ ,

351 respectively. The level of 0.2  $\mu\text{g/mL}$  is sufficient to produce pharmacological effects

352 [29]. However, the concentrations differed greatly between the plasma and brain. The  
353 molecular structure of theobromine is very similar to that of caffeine. Caffeine freely  
354 crosses the blood-brain barrier (BBB), and its concentration in the brain is almost the  
355 same as it is in plasma [30-32]. Thus, the permeability of the BBB to theobromine  
356 would be much lower than that to caffeine.

357 Isoflurane, which was used as an anesthetic agent in the present study, is  
358 thought to have effects on the permeability of the BBB [33, 34]. Therefore, we cannot  
359 exclude the possibility that our biochemical data might have been affected by alterations  
360 in the BBB that were induced by isoflurane. The BBB selectively controls the  
361 homeostasis of the central nervous system environment through specific structural and  
362 biochemical features of endothelial cells, pericytes, and glial cells [35]. In addition, tight  
363 junction molecules between the brain endothelial cells contain the molecular  
364 components of the BBB [33]. Alterations to the cellular and molecular structures may  
365 result in BBB disruption. Isoflurane inhibits the expression of occludin, which is a tight  
366 junction molecule, and influences BBB integrity [34]. However, the downregulation of  
367 occludin takes time, and a 1-h exposure to isoflurane *in vivo* does not alter the  
368 morphology of the BBB visualized with electron microscopy [36]. In the present study,  
369 the exposure to isoflurane was only a few minutes. Thus, the BBBs of the mice used in

370 the present study were assumed to be relatively intact.

371           The present study demonstrated that orally administered theobromine enhanced  
372 the cAMP/CREB/BDNF pathways in the brain and motor learning. Theobromine, which  
373 is a well-known inhibitor of PDEs [19], was expected to increase the concentration of  
374 cAMP by preventing the hydrolysis of cAMP. We showed that the levels of  
375 phosphorylated VASP protein in the cerebral cortex were significantly higher in the TB  
376 mice compared with the CN mice (Fig. 2). Because VASP is an established substrate of  
377 PKA, increased levels of phosphorylated VASP indicated increased cAMP and the  
378 resulting PKA activation [20-22]. Therefore, our data strongly suggested that orally  
379 administered theobromine acted as a PDE inhibitor and increased the cAMP levels in  
380 the brain. cAMP plays an important role in various neural functions [7, 37, 38] as a  
381 second messenger molecule. In particular, cAMP signaling is involved in synaptic  
382 plasticity, such as long-term potentiation [8, 9, 39-41], which is crucial for learning and  
383 memory [8, 9]. Furthermore, cAMP is known to activate CREB through PKA activation  
384 [8, 9]. In addition, CREB has been implicated in long-term potentiation and the  
385 production of BDNF. BDNF is one of several neurotrophins that regulate synaptic  
386 plasticity [8, 9, 39-41]. Thus, the cAMP/CREB/BDNF pathways are heavily involved in  
387 learning and memory. In the present study, we observed that the levels of

388 phosphorylated CREB and BDNF, as well as phosphorylated VASP, were increased in  
389 the brains of TB mice when they were measured 30 days after the start of the  
390 theobromine-supplemented chow (Table 2 and Fig. 3). These results indicated that  
391 orally administered theobromine facilitated the cAMP/CREB/BDNF pathways in the  
392 brain. More importantly, we observed that orally administered theobromine enhanced  
393 motor learning (Figs. 5 and 6). Our observations were in agreement with previous  
394 findings concerning the roles of the cAMP/CREB/BDNF pathways in learning and  
395 memory.

396         Lastly, the question remains as to how long the effects of theobromine on the  
397 brain signaling pathways and functions last. Theobromine was not detectable in the  
398 plasma and brains of the mice in the TB group 30 days after replacement (Day60) of the  
399 theobromine-supplemented chow with the standard chow. However, the  
400 theobromine-mediated enhancement of motor learning was observed between Day30  
401 and Day60. When the theobromine disappears in the plasma and brain after the  
402 theobromine chow is stopped is unclear.

403

404

405 **5. Conclusions**



406 This study demonstrated that orally administered theobromine acted as a PDE inhibitor  
407 in the brain, influenced the signaling pathways, including those for cAMP, CREB and  
408 BDNF, and facilitated motor learning in mice. We confirmed that theobromine was  
409 present in the brains of the theobromine-fed mice and that it increased the cAMP levels.  
410 cAMP signaling mediates numerous neural processes, including development, cellular  
411 excitability, synaptic plasticity, learning, and memory. Increased cAMP phosphorylates  
412 CREB and, in turn, releases BDNF. The cAMP, CREB, and BDNF pathways mediate  
413 synaptic plasticity, thus facilitating behavioral learning and memory. Therefore, our  
414 findings strongly suggested that cacao products, including chocolate and cocoa, can  
415 benefit learning and memory.

416

417

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422

#### 423 **Conflicts of interest disclosure**

424 The authors do not have any conflicts of interest to declare.

425

426

427

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566

567

568

569 **Table 1**

570

571 Body Weights [g, mean  $\pm$  standard error of the mean (SEM)] in control (CN) and  
572 theobromine-fed (TB) mice

573

574		CN (n = 10)	TB (n = 10)	<i>P</i> value
575	Initial			
576	(Day0)	21.1 $\pm$ 0.4	21.9 $\pm$ 0.3	<i>P</i> = 0.15
577	Halfway			
578	(Day30)	24.6 $\pm$ 0.4	24.3 $\pm$ 0.4	<i>P</i> = 0.59
579	Final			
580	(Day60)	24.9 $\pm$ 0.5	24.5 $\pm$ 0.3	<i>P</i> = 0.57

581

582

583 **Table 2**

584

585 Glucose levels in plasma, theobromine levels in plasma and the cerebral cortex, and  
 586 brain-derived neurotrophic factor (BDNF) levels in the hippocampus and cerebral  
 587 cortex in control (CN) and theobromine-fed (TB) mice

588

589 CN (n = 6) TB (n = 6) *P* value

---

590

591 Glucose (Day30)

592 (mg/dL plasma)  $254.6 \pm 13.85$   $241.35 \pm 12.91$  *P* = 0.52

---

593

594

595 Theobromine (Day30)

596 (µg/mL plasma) Not detected  $2.20 \pm 0.12$

597 (µg/mL cerebral cortex) Not detected  $0.21 \pm 0.02$

598

599 Theobromine (Day60)

600 (µg/mL plasma) Not detected Not detected

---

601           (µg/mL cerebral cortex)       Not detected       Not detected

602

---

603

604   BDNF (Day30)

605           (pg/g hippocampus)           25.81 ± 0.85       29.60 ± 0.99       *P* = 0.0086

606           (pg/g cerebral cortex)           20.28 ± 0.61       22.67 ± 0.82       *P* = 0.029

607

---

608

609   Each value represents the mean ± SEM

610

611

612 **Figure legends**

613 **Figure 1**

614 Feeding and experiment schedules. The mice were divided into two groups. The mice in  
615 the first group (control; CN) were fed the standard chow (CRF-1) for 30 days and were  
616 then switched to CE-2 for 30 days. The second group (theobromine; TB) was fed chow  
617 supplemented with 0.05% (W/W) theobromine (CRF-1 with 0.05% of theobromine) for  
618 30 days and were then switched to CE-2 for 30 days. Body weight was measured on  
619 Day0, Day30, and Day60. The theobromine concentrations in plasma and the cerebral  
620 cortex were measured on Day30 and Day60. The plasma glucose, brain-derived  
621 neurotrophic factor (BDNF), phosphorylated vasodilator-stimulated phosphoprotein  
622 (VASP), and cAMP-response element-binding protein (CREB) levels were measured on  
623 Day30. The Lever operant tasks were performed between Day30 and Day60.

624

625 **Figure 2**

626 Phosphorylated VASP levels in the cerebral cortex of the mice. Theobromine induces  
627 VASP phosphorylation in the cerebral cortex of mice. Each data point represents the  
628 mean  $\pm$  SEM (n = 6, \**P* < 0.05)

629

630 **Figure 3**

631 Phosphorylated CREB levels in the hippocampus and cerebral cortex of mice.  
632 Theobromine induces CREB phosphorylation in the hippocampus and cerebral cortex of  
633 the mice. Each data point represents the mean  $\pm$  SEM (n = 6, \**P* < 0.05).

634

635 **Figure 4**

636 Performance on the one-lever task. The time course of the total number of lever presses  
637 during the 1st–8th sessions (A) and the number of sessions required for the completion  
638 of the one-lever task (B). Each data point represents the mean  $\pm$  SEM (n = 10).

639 Statistical significance was evaluated with a two-way analysis of variance (ANOVA; A)  
640 or Student's *t*-test (B).

641

642 **Figure 5**

643 Performance on the three-lever task. The time courses of the total number of lever  
644 presses (A), number of reinforcements (B), success rates (C), and number of lever  
645 presses for the A→B→C→A→B→C (ABCABC) pattern (D) during the 1st–5th  
646 sessions. Each data point represents the mean  $\pm$  SEM (n = 10). Statistical significance  
647 was evaluated with a two-way ANOVA. Differences with *P* values less than 0.05 were

648 considered significant.

649

650 **Figure 6**

651 Performance on the reverse three-lever task. The time courses of the total number of  
652 lever presses (A), number of reinforcements (B), success rates (C), and number of lever  
653 presses for the C→B→A→C→B→A (CBACBA) pattern (D) during the 1st–5th  
654 sessions. Each data point represents the mean ± SEM (n = 10). Statistical significance  
655 was evaluated with a two-way ANOVA. Differences with *P* values less than 0.05 were  
656 considered significant.

657

658

659

660



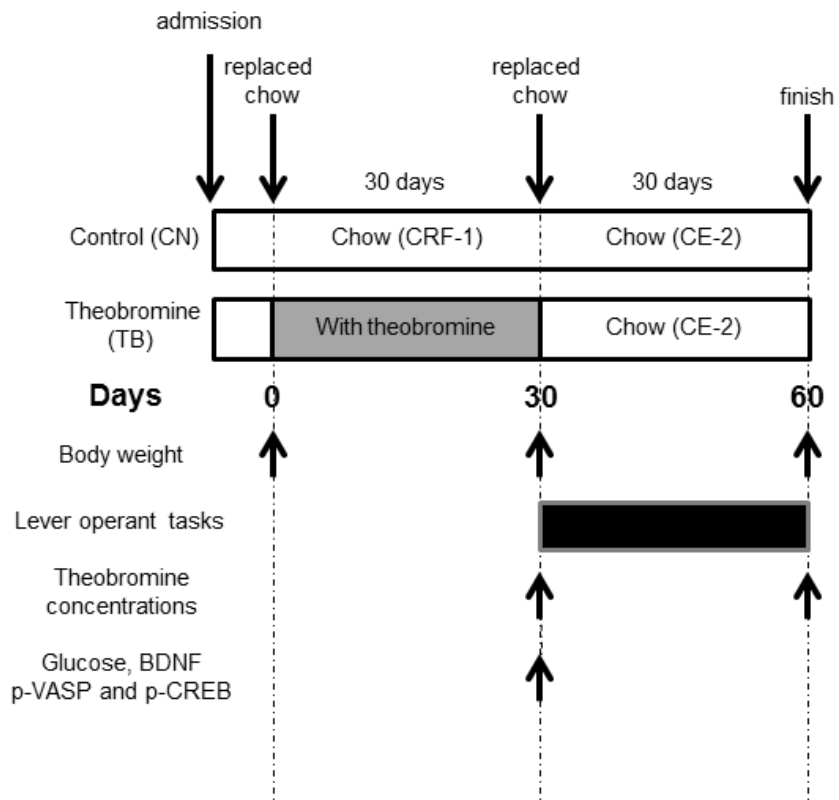


Figure 1

661

662

## Cortex

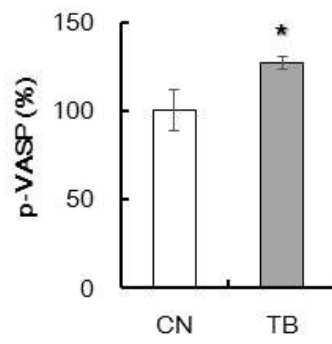
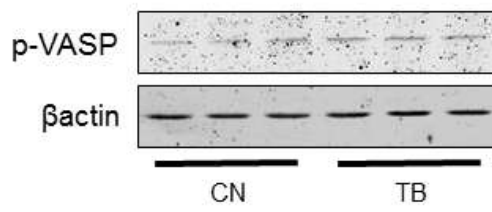
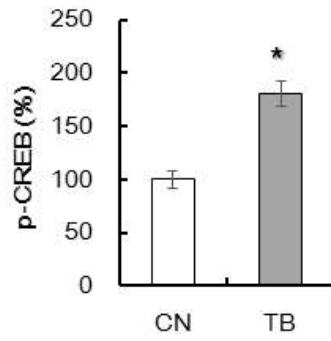
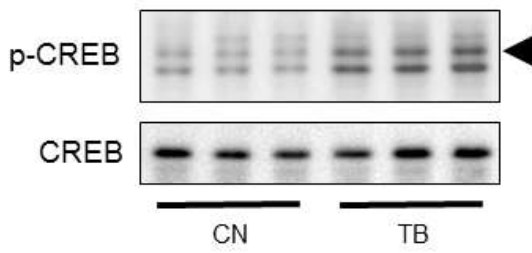


Figure 2

663

664

## Hippocampus



## Cortex

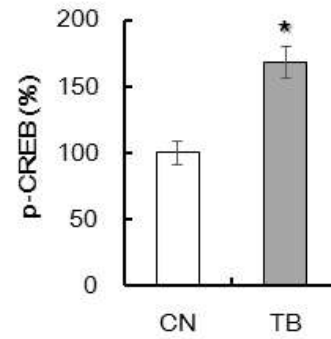
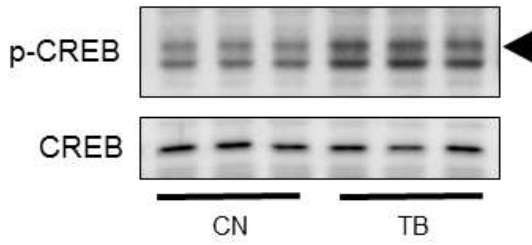


Figure 3

665

666

### 1-lever

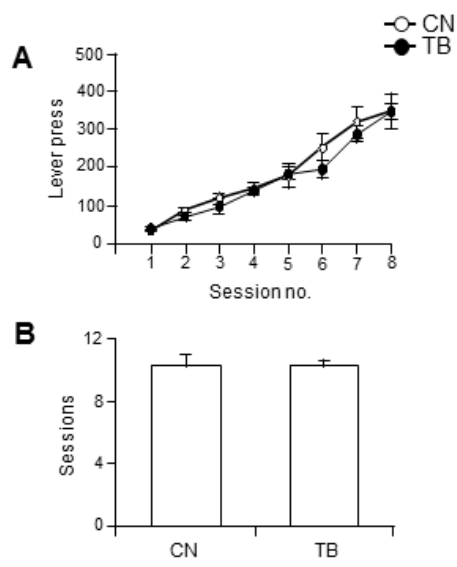


Figure 4

667

668

### 3-lever

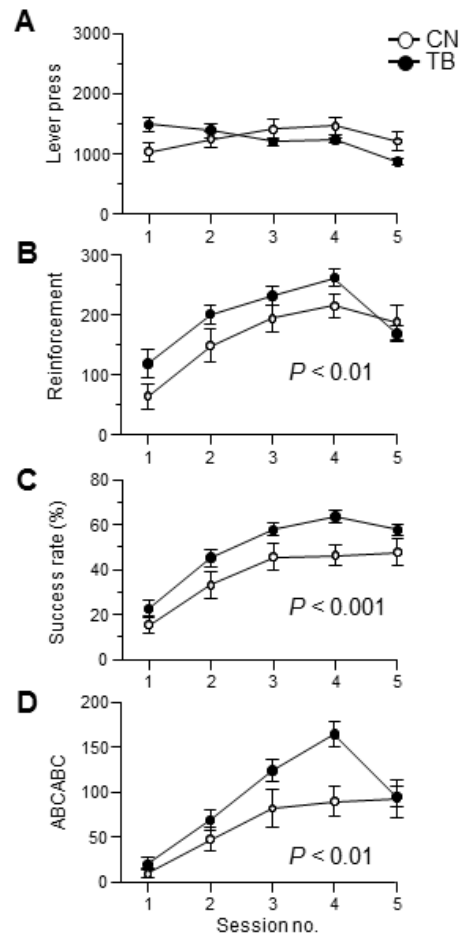


Figure 5

669

670

### Reverse 3-lever

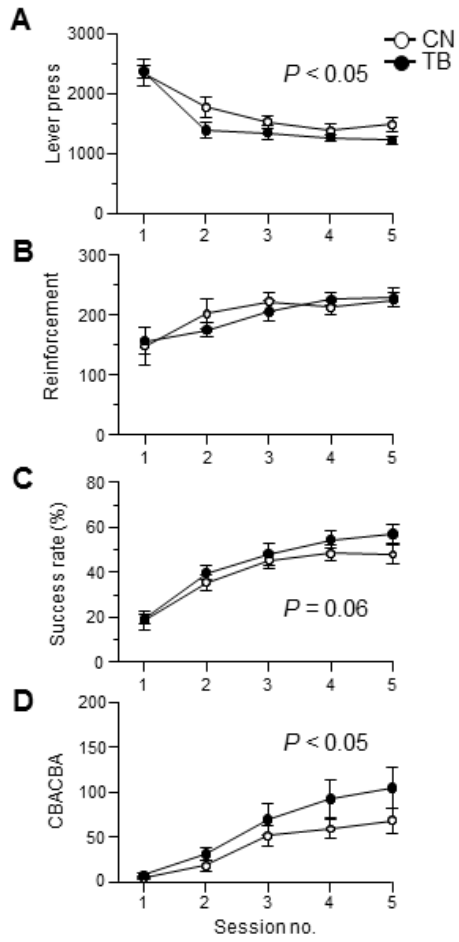


Figure 6