

Simultaneous frequency and time-domain approximation method for discrete-time filters

著者	Nakayama Kenji
journal or publication title	IEEE transactions on circuits and systems
volume	31
number	12
page range	1002-1008
year	1984-12-01
URL	http://hdl.handle.net/2297/3949

A Simultaneous Frequency and Time-Domain Approximation Method for Discrete-Time Filters

KENJI NAKAYAMA, SENIOR MEMBER, IEEE

Abstract—A simultaneous frequency- and time-domain approximation method for discrete-time filters is proposed in this paper. In the proposed method, transfer function coefficients are divided into two subsets, X_1 and X_2 , which are employed for optimizing a time response and a frequency response, respectively. Frequency and time responses are optimized through the iterative Chebyshev approximation method and a method of solving linear equations, respectively. At the r th iteration step, the maximum frequency response error, which appeared at the $(r-1)$ th step, is minimized, and $X_2^{(r-1)}$ becomes $X_2^{(r)}$. $X_1^{(r)}$ is obtained from linear equations including $X_2^{(r)}$ as a constant. The frequency response at the r th step is evaluated using the above obtained $X_1^{(r)}$ and $X_2^{(r)}$. This means the optimum time response is always guaranteed in the frequency-response approximation procedure.

A design example of a symmetrical impulse response shows the new approach is more efficient than conventional methods from the filter order reduction viewpoint.

I. INTRODUCTION

DISCRETE-TIME filters, such as digital, CCD, and switched-capacitor filters, have become very important for communication systems and other uses, due to the full integration possibility. Filters employed in communication systems, which transmit data or image signals, are usually required to satisfy specifications in both frequency and time domains. Therefore, simultaneous frequency- and time-domain approximation methods are inherently necessary design techniques.

Existing approaches to the above simultaneous approximation are mainly summarized as follows:

(1) Closed-form transfer functions are employed, which provide the optimum time response for arbitrary frequency response. A frequency response is approximated through iterative methods [1], [2]. The obtainable time responses are rather limited to, for instance, waveforms zero crossing at equally spaced sampling points.

(2) Specific transfer functions are employed, which can optimize one filter response and which do not affect the other filter response. These transfer functions include all-pass functions and linear phase finite impulse response (FIR) filters [3], [4]. Some constraints exist on pole-zero locations in these transfer functions and prevent sufficient reductions in filter orders.

(3) The coefficient subset approximating stopband attenuation is uniquely obtained from a closed-form transfer function having the rest coefficient subset as a constant [5], [6]. A time response is approximated through iterative methods. Attainable filter responses are, however, restricted to low-pass filters having equal-ripple stopband attenuation.

In the above approaches, the attainable filter responses are rather limited and some constraints on pole-zero locations exist. Furthermore, linear phase FIR filters and infinite impulse response (IIR) filters having a flat group delay response, in some sense, are practically employed for systems transmitting data and processing image signals [7]–[9]. In this case, however, it is difficult to determine the tolerance for group delay distortions, which guarantees the minimum time response deviation.

The approach proposed in this paper basically employs the first approach and extends the attainable filter responses by employing a method of solving linear equations in a time domain. As is well known, the transfer function coefficients are linearly related to the impulse response in discrete-time filters. Many approximation techniques in a time domain, based on the above linear relations, have been proposed [10]–[13]. They are, however, directed toward only time response approximation, and no simultaneous approximation methods have been reported in this direction.

In the proposed method, the transfer function coefficients are divided into two subsets X_1 and X_2 which are employed for approximating time and frequency responses, respectively. A frequency response is optimized through the iterative Chebyshev approximation [14] using the coefficient subset X_2 . X_1 providing an optimum time response is obtained through solving linear equations which include X_2 as a constant. The frequency response is evaluated using the above obtained X_2 and X_1 . This means that the optimum time response is always guaranteed in the frequency response approximation procedure. This method allows using two kinds of error criteria. They are exact interpolation, where no errors are caused, and the mean square error.

Section II describes the time response approximation through solving linear equations. A simultaneous frequency- and time-domain approximation algorithm is provided in

Manuscript received September 8, 1981; revised April 19, 1983 and November 3, 1983.

The author is with the Transmission Division, NEC Corporation, Nakahara-ku, Kawasaki-City, 211 Japan.

Section III following a flowchart. Finally, a design example of a symmetrical impulse response is illustrated in Section IV. Comparison between the proposed and conventional methods are discussed, from the circuit complexity reduction viewpoint.

II. TIME RESPONSE APPROXIMATION BY SOLVING LINEAR EQUATIONS

Time response targets are mainly classified into the following two categories.

- 1) Desired time response values are specified.
- 2) Desired time response figures are specified.

The first category includes, for instance, the Nyquist waveform zero crossing at equally spaced sampling points. Symmetrical impulse responses and minimum moment impulse responses are included in the second category.

In the proposed method, a transfer function $H(z)$ is expressed as

$$H(z) = \frac{P(z)}{Q(z)} G(z), \quad z = \exp(j2\pi f/fs) \quad (1)$$

where f_s is a sampling frequency. $P(z)$ and $Q(z)$ are polynomials in z^{-1} and $G(z)$ is a rational function in z^{-1} . They are further expressed as

$$P(z) = \sum_{n=0}^{N_p-1} p_n z^{-n} \quad (2a)$$

$$Q(z) = \sum_{n=0}^{N_q-1} q_n z^{-n}, \quad q_0 = 1 \quad (2b)$$

$$\frac{1}{Q(z)} = \sum_{n=0}^{\infty} \bar{q}_n z^{-n} \quad (2c)$$

$$G(z) = \frac{\sum_{n=0}^{N_g-1} a_n z^{-n}}{\sum_{n=0}^{N_b-1} b_n z^{-n}} = \sum_{n=0}^{\infty} g_n z^{-n}, \quad b_0 = 1. \quad (2d)$$

$P(z)/Q(z)$ and $G(z)$ are used for approximating a time response and a frequency response, respectively. In other words, the coefficient subsets X_1 and X_2 consist of the coefficients of $P(z)/Q(z)$ and $G(z)$, respectively.

A. Time Response Values Specified

Letting d_n , $0 \leq n \leq N_d - 1$ be a desired time response, time response approximation can be generally formulated so as to minimize

$$e_p = \left\{ \sum_{n=0}^{N_d-1} |h_n - d_n|^p \right\}^{1/p} \quad (3)$$

where h_n is an impulse response, that is the inverse z -transform of $H(z)$,

$$H(z) = \sum_{n=0}^{\infty} h_n z^{-n}. \quad (4)$$

By using p_n , \bar{q}_n , and g_n , h_n is expressed as

$$h_n = p_n * \bar{q}_n * g_n \quad (5)$$

where the operation designated by the symbol $*$ means the convolution sum. From (3) and (5),

$$e_p = \left\{ \sum_{n=0}^{N_d-1} |p_n * \bar{q}_n * g_n - d_n|^p \right\}^{1/p}. \quad (6)$$

In (6), g_n is assumed to be fixed as will be described in the next section. The error evaluation e_p is usually formulated as a high-order equation of p_n and q_n . However, it is possible to express e_p as a linear equation of p_n and q_n by selecting appropriate N_p , N_q , and p values.

$$N_d = N_p + N_q - 1:$$

In this case, the approximation error e_p becomes exactly zero at specified sampling points by using the coefficient subset X_1 which is obtained through solving

$$p_n * \bar{q}_n * g_n - d_n = 0, \quad 0 \leq n \leq N_d - 1. \quad (7)$$

Equation (7) can be rewritten using q_n instead of \bar{q}_n , as follows:

$$\sum_{m=0}^{n_1} p_m g_{n-m} - \sum_{m=1}^{n_2} q_m d_{n-m} = d_n, \quad 0 \leq n \leq N_d - 1 \quad (8)$$

$$n_1 = \min \{ N_p - 1, n \} \quad (9a)$$

$$n_2 = \min \{ N_q - 1, n \}. \quad (9b)$$

Equation (8) means N_d -dimensional linear equations of p_m and q_m . Thus when the number of the specified sampling points N_d is equal to the degrees of freedom in X_1 , that is $N_p + N_q - 1$, time-response approximation can be carried out through solving linear equations and no approximation errors are caused.

$$N_d > N_p + N_q - 1:$$

When N_d is larger than the degrees of freedom in X_1 , error evaluation e_2 is required to apply the method of solving linear equations. Since minimizing e_2 is equivalently carried out by the least mean square approximation, the error evaluation can be replaced by

$$E_1 = \sum_{n=0}^{N_d-1} (p_n * \bar{q}_n * g_n - d_n)^2. \quad (10)$$

Equation (10) is rewritten as

$$E_1 = \sum_{n=0}^{N_d-1} \{ \bar{q}_n * (p_n * g_n - q_n * d_n) \}^2 \quad (11a)$$

$$= \sum_{n=0}^{N_d-1} \left\{ \sum_{l=0}^{n-m} \bar{q}_l \left(\sum_{m=0}^{n_1} p_m g_{n-l-m} - \sum_{m=0}^{n_2} q_m d_{n-l-m} \right) \right\}^2 \quad (11b)$$

$$n_{1l} = \min \{ N_p - 1, n - l \} \quad (12a)$$

$$n_{2l} = \min \{ N_q - 1, n - l \}. \quad (12b)$$

Equation (11) is a set of high-order equations of p_m and q_m . These equations, however, can be solved as linear equations of p_m and q_m through an iterative method [11]. In such a method, the values of \bar{q}_n in (11) have the results

determined in the previous iteration step, and are fixed in solving (11). On the other hand, the proposed simultaneous approximation method employs an iterative approach in a frequency domain, as described in the next section. Therefore, the iterative procedure required in solving (11) can be combined with the frequency-domain approximation.

Optimum p_m and q_m values, in the least mean square sense, are obtained by solving

$$\frac{\partial E_1}{\partial p_m} = 0, \quad m = 0, 1, \dots, N_p - 1 \quad (13a)$$

$$\frac{\partial E_1}{\partial q_m} = 0, \quad m = 1, 2, \dots, N_q - 1. \quad (13b)$$

From (11) and (13), the linear equations can be expressed as

$$\sum_{n=0}^{N_d-1} \left\{ \sum_{l=0}^{n-m} \bar{q}_l \cdot g_{n-l-m} \left(\sum_{m=0}^{n_1} p_m g_{n-l-m} - \sum_{m=0}^{n_2} q_m d_{n-l-m} \right) \right\} = 0 \quad (14a)$$

$$\sum_{n=0}^{N_d-1} \left\{ \sum_{l=0}^{n-m} \bar{q}_l \cdot d_{n-l-m} \left(\sum_{m=0}^{n_1} p_m g_{n-l-m} - \sum_{m=0}^{n_2} q_m d_{n-l-m} \right) \right\} = 0 \quad (14b)$$

where \bar{q}_l have fixed values.

By letting \bar{q}_l be

$$\bar{q}_0 = 1 \quad (15a)$$

$$\bar{q}_l = 0, \quad 1 < l. \quad (15b)$$

Equation (14) become exact linear equations for p_m and q_m , as shown in (16), and no iterative procedure is required [10].

$$\sum_{n=0}^{N_d-1} g_{n-m} \left(\sum_{m=0}^{n_1} p_m g_{n-m} - \sum_{m=0}^{n_2} q_m d_{n-m} \right) = 0 \quad (16a)$$

$$\sum_{n=0}^{N_d-1} d_{n-m} \left(\sum_{m=0}^{n_1} p_m g_{n-m} - \sum_{m=0}^{n_2} q_m d_{n-m} \right) = 0. \quad (16b)$$

In this case, however, $Q(z)$ becomes a weighting function for impulse response error Δh_n . The mean square error to be minimized through solving (16) can be expressed as

$$E_1^* = \sum_{n=0}^{N_d-1} \left(\sum_{m=0}^{n_2} q_m \Delta h_{n-m} \right)^2 \quad (17)$$

where

$$d_n = h_n + \Delta h_n. \quad (18)$$

A relation between E_1 and E_1^* depends on desired responses in a time domain, and has been somewhat discussed [10].

In the above description, the desired time response d_n is continuously given on the sampling points from $n=0$ to N_d-1 . In the linear equations given by (8) and (14), the denominator coefficient q_n formulates the convolution sum with d_n . Therefore, when d_n is specified on discontinuous sampling points, (8) and (14) do not become linear equations for q_n .

B. Time Response Figure Specified

In this category, desired time response values are not given. For simplicity, symmetrical impulse responses are taken as desired figures for description.

Numerator Coefficients:

When X_1 includes only the $P(z)$ coefficients, the impulse response h_n can be expressed as

$$h_n = \sum_{m=0}^{n_1} p_m g_{n-m}. \quad (19)$$

Letting K be the sampling point corresponding to the average delay time, that is the waveform center, the symmetrical impulse response condition is expressed as

$$h_{K+n} = h_{K-n}, \quad n \in \Omega \quad (20)$$

where Ω is a set of sampling points at which the symmetrical condition must be satisfied. From (19), (20) becomes

$$\sum_{m=0}^{n_{1+}} p_m g_{K+n-m} = \sum_{m=0}^{n_{1-}} p_m g_{K-n-m}, \quad n \in \Omega \quad (21)$$

$$n_{1+} = \min \{ N_p - 1, K + n \} \quad (22a)$$

$$n_{1-} = \min \{ N_p - 1, K - n \}. \quad (22b)$$

When N_d , which is the number of elements in the set Ω , is equal to N_p , an exact symmetrical impulse response at the specified sampling points can be obtained by solving the linear equations given by (21) and (22).

On the other hand, when N_d is larger than N_p , the least mean square approximation is required, as previously mentioned in the first category. The mean square error is expressed as

$$E_2 = \sum_{n \in \Omega} \left(\sum_{m=0}^{n_{1+}} p_m g_{K+n-m} - \sum_{m=0}^{n_{1-}} p_m g_{K-n-m} \right)^2. \quad (23)$$

The optimum solution for p_m is obtained by solving

$$\frac{\partial E_2}{\partial p_m} = 0, \quad m = 0, 1, \dots, N_p - 1. \quad (24)$$

Equation (24) is rewritten using (23), as follows:

$$\sum_{n \in \Omega} (g_{K+n-m} - g_{K-n-m}) \left(\sum_{m=0}^{n_{1+}} p_m g_{K+n-m} - \sum_{m=0}^{n_{1-}} p_m g_{K-n-m} \right) = 0, \quad m = 0, 1, \dots, N_p - 1. \quad (25)$$

Apparently, (25) is a set of linear equations of p_m . Since the impulse response h_n is expressed as the convolution sum of p_m with g_n , as shown in (19), (23) has no weighting function for Δh_n evaluation.

Numerator and Denominator Coefficients:

When the denominator $Q(z)$ is employed, the impulse response is not expressed as a linear combination of the denominator coefficients q_n . Furthermore, the desired time response values are not specified, and substituting q_n for \bar{q}_n , as in (8) and (11), is impossible.

C. Specified Sampling Point Range

In the proposed method, the time response is approximated on the specified N_d sampling points. Time response samples on other sampling points cannot be controlled. Therefore, N_d must be carefully determined so as to cover a sufficient time axis range. Detailed discussions on how to determine N_d closely depend on actual applications.

D. Stability

$G(z)$ can be optimized with arbitrary structure in the iterative approximation method [14]. Pole locations are easily observed and can be controlled. On the other hand, $Q(z)$ structure essentially has a direct form in the time response approximation, and the pole locations are not directly observed. Therefore, the stability of $Q(z)$ is not assured in the proposed method as in the conventional approaches [10]–[13].

III. SIMULTANEOUS APPROXIMATION ALGORITHM

In the proposed algorithm, time and frequency responses are approximated through solving linear equations and iterative methods, respectively. This section describes how to combine both approximation processes.

A flowchart for the proposed algorithm is shown in Fig. 1. Details for each block are described in the following.

(1) Initial Guess of Filter Order

The optimum filter order allocation into a numerator and a denominator, by which the minimum filter order can be achieved as a whole, is a very important design problem. It is, however, generally difficult to obtain a unified method to give the optimum allocation for a wide range of filter responses. Therefore, design charts, mainly based on experience laws, must be prepared for actual use.

(2) Initial Guess of $H(z)$

The optimum solution for any iterative method is highly dependent on the initial guess. Therefore, it is important to determine the initial guess as being near the optimum solution.

Time Response Values Specified:

In order to identify a transfer function using frequency responses, two kinds of responses are required, except for the minimum phase condition. Therefore, when an amplitude response and a time response are specified, phase calculation is required at first. On the contrary, a time response can uniquely identify a transfer function. For this reason, when desired time response values are given, it is computationally more efficient to determine the initial guess through time response approximation. The conventional approximation methods in a time domain [10]–[13] can be directly applied to this initial guess calculation.

Time Response Figures Specified:

Basically speaking, it is impossible to calculate the initial guess following the time response approximation in this case. However, there are several cases where the corresponding ideal time responses can be obtained. For exam-

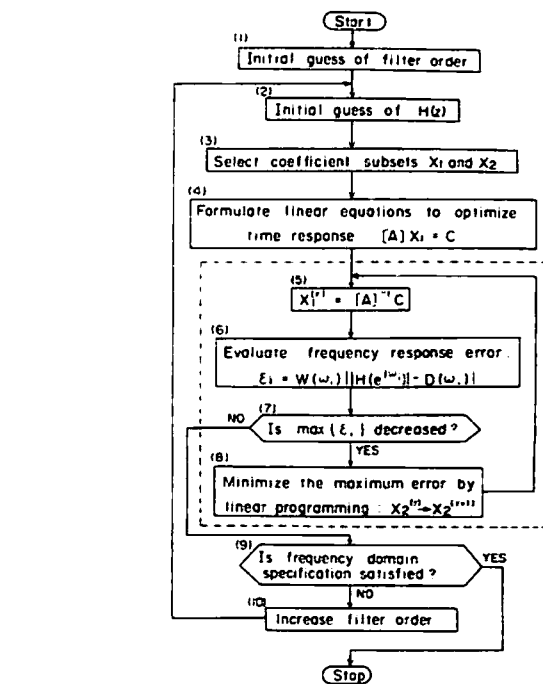


Fig. 1. Flowchart for simultaneous frequency- and time-domain approximation method.

ple, a symmetrical time response is obtained assuming a linear phase response. The Gaussian or the raised cosine waveforms can be used as the ideal responses for the minimum moment waveform. Furthermore, in the case of Nyquist filters, the inverse z -transform of frequency responses with amplitude interpolated using the raised cosine function in the transition band and with a linear phase response can be utilized for the initial guess calculation.

(3) Select Coefficient Subsets X_1 and X_2

Coefficient subsets X_1 and X_2 selections are dependent on the initial guess for the transfer function $H(z)$. When the conventional time response approximation methods [10]–[13] are employed, the resulting transfer function has a direct form. Therefore, it must be divided into the form $(P(z)/Q(z))G(z)$ as given by (1). In other words, it is necessary to select poles and zeros to be included in X_1 and X_2 from the initial direct form transfer function. The pole and zero selections are carried out, taking their contributions to filter responses into account. For example, zeros located in the stopband realize stopband attenuation. Therefore, they are selected for the X_2 elements. Zeros located in the passband mainly contribute to time response optimization, and are included in X_1 . Poles are usually located in the passband, and are classified into two groups. One group mostly contributes to shaping an amplitude response, and is included in X_2 . The other group contributes to time response optimization and becomes the X_1 elements.

(4) Formulate Linear Equations to Optimize Time Response

After X_1 and X_2 have been selected, linear equations utilized for approximating a time response can be uniquely

formulated. One example is presented here. Letting $Q(z)$ be unity, an impulse response h_n is expressed as

$$h_n = \sum_{m=0}^{n_1} p_m g_{n-m}. \quad (26)$$

When desired time response values are given and N_d is equal to N_p , the linear equations become

$$\begin{pmatrix} g_0 & & & 0 \\ g_1 & g_0 & & \\ g_2 & g_1 & g_0 & \\ \vdots & \vdots & \ddots & \\ g_{N_p-1} & g_{N_p-2} & \cdots & g_0 \end{pmatrix} \begin{pmatrix} p_0 \\ p_1 \\ p_2 \\ \vdots \\ p_{N_p-1} \end{pmatrix} = \begin{pmatrix} d_0 \\ d_1 \\ d_2 \\ \vdots \\ d_{N_d-1} \end{pmatrix}. \quad (27)$$

The matrix $[A]$, the vectors X_1 and C in Steps (4) and (5) are expressed as

$$[A] = \begin{pmatrix} g_0 & & & 0 \\ g_1 & g_0 & & \\ g_2 & g_1 & g_0 & \\ \vdots & \vdots & \ddots & \\ g_{N_p-1} & g_{N_p-2} & \cdots & g_0 \end{pmatrix} \quad (28a)$$

$$X_1 = (p_0 \ p_1 \ p_2 \ \cdots \ p_{N_p-1})' \quad (28b)$$

$$C = (d_0 \ d_1 \ d_2 \ \cdots \ d_{N_d-1})'. \quad (28c)$$

The coefficient subset X_2 consists of the $G(z)$ coefficients a_n and b_n . Since the time response g_n can be obtained using a_n and b_n , X_2 is equivalently included in g_n .

(5)–(8) Iterative Chebyshev Approximation

In Steps (5)–(8) enclosed with a dashed line, a frequency response is optimized through the iterative Chebyshev approximation method [14]. A time response is also approximated through solving linear equations in this procedure. In Step (5), the linear equations include $X_2^{(r)}$ optimized in Step (8) as a constant. $X_1^{(r)}$ is, therefore, uniquely determined for $X_2^{(r)}$. The amplitude response $|H(e^{j\omega_i})|$ is calculated using both $X_1^{(r)}$ and $X_2^{(r)}$ in Step (6). Therefore, frequency response evaluation automatically includes the optimum time response. $W(\omega_i)$ in Step (6) is a weighting function for error evaluation. The iteration procedure is finished when the maximum value for ϵ_i does not decrease from that obtained in the previous iteration step in Step (7). On the other hand, when the maximum value decreases, the above procedure is further repeated, based on the possibility of attaining maximum error reduction. The iterative Chebyshev approximation is carried out by employing a local linear programming technique at each iteration step. The nonlinear function of $X_2^{(r)}$ is approximately expressed with a linear function using the first-order differential coefficients for $X_2^{(r)}$. A further improved coefficient subset $X_2^{(r+1)}$ is obtained in Step (8). The same operations as those described above are repeated until the frequency response satisfies the given specification.

TABLE I
SPECIFICATIONS AND DESIGN PARAMETERS

Sampling frequency	400 Hz
Passband	0~49 Hz
Stopband	59~200 Hz
Desired time response	Partially symmetrical impulse response
Filter order allocations	20/4, 16/8, 12/12
Average delay time	12T, 16T, 20T, 24T (T = 1/400 Sec)

If the specification is not satisfied after the iterative Chebyshev approximation with the initial filter order, then the filter order is increased and the operations from Steps (2)–(9) are repeated.

IV. DESIGN EXAMPLES

A. Specifications and Design Parameters

Table I shows specifications and design parameters. The frequency values do not make sense in actual applications, but ratios among them specify the frequency response. A symmetrical impulse response is taken as a desired time response. The number of specified sampling points is equal to the number of the X_1 elements. Degrees of freedom exist for selecting filter order allocations and average delay time. In this case, the average delay time means a sampling point at which an impulse response has the maximum value. Several values are assigned to these parameters, and the optimum result having good performances is selected.

B. Initial Guess of X_2

The ideal frequency response having an amplitude response shown in Fig. 2(a) with linear phase is used for the initial guess calculation. In this figure, f_p and f_s are taken as 45 and 55 Hz, respectively. The initial guess is approximated through the Padé approximation in a time domain, taking the corresponding impulse response shown in Fig. 2(b) as a target. The exact symmetrical waveform condition is imposed on the samples designated by the symbol * in Fig. 2(b), which correspond to the peaks and valleys in the ringing.

Select Coefficient Subsets X_1 and X_2 :

The numerator coefficients, corresponding to two zeros which appear in the passband in the initial guess, are selected as the X_1 elements. The remaining numerator and denominator coefficients are included in X_2 .

C. Filter Responses Optimized

Among the design parameters, 12/12th-order allocation and 20T average delay time provide the smallest passband ripple and the highest stopband attenuation. In the case of 12/12th-order, however, a pole and zero pair, which mostly cancelled each other, appeared in the passband at the iterative approximation procedure. Therefore, the approximation was continued after removing them. As a result, the filter order became 11/11th. Fig. 3(a) and (b) shows the optimized frequency and impulse responses, respectively. The resulting pole-zero locations are shown

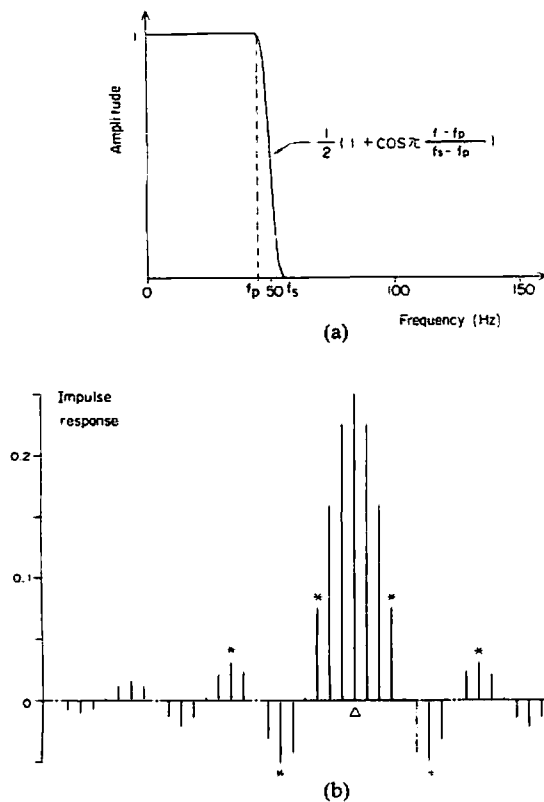


Fig. 2. Ideal filter responses for initial guess calculation. (a) Amplitude response. (b) Impulse response. Symbol Δ indicates average delay time. Symmetrical conditions are imposed on samples designated by symbol *. Sampling frequency is 400 Hz.

in Fig. 3(c). The numerator coefficients corresponding to the zeros enclosed with a dashed line are used for the time response approximation. As shown in Fig. 3(a), the group delay distortion is well decreased, except for the transition band, because the impulse response is approximated as a symmetrical waveform.

D. Comparison between New and Conventional Approaches Linear Phase FIR Filter:

A 73 tap filter length is required to achieve the same frequency response shown in Fig. 3(a), using the Remez-exchange method [4]. When the input signals have some band limited spectra, an exact linear phase is not optimum, from the filter order reduction viewpoint [15].

Elliptic Filter with All-Pass Function:

It is possible to optimize a time response using an all-pass function without affecting an amplitude response through the iterative method [14]. This approach was tried during this study. A sixth-order elliptic filter and an eighth-order all-pass function are required to achieve the same results, as those shown in Fig. 3.

Circuit Complexity Comparison:

Numbers in operations such as adders, multipliers and delay elements are listed in Table II, where the FIR filter has a symmetrical direct form using 37 multipliers. Other approaches employ a cascade form of biquad sections. The elliptic filters and all-pass functions require three and two multipliers, respectively, for the biquad implementation.

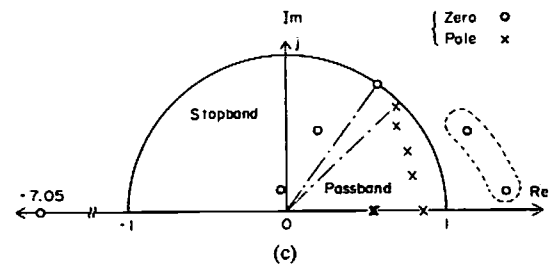
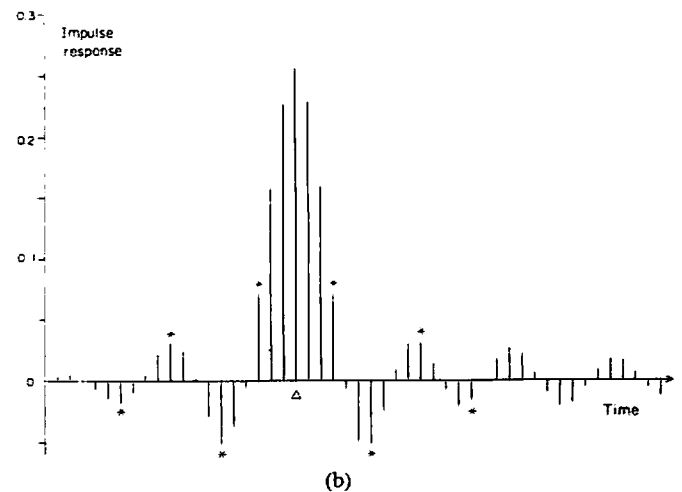
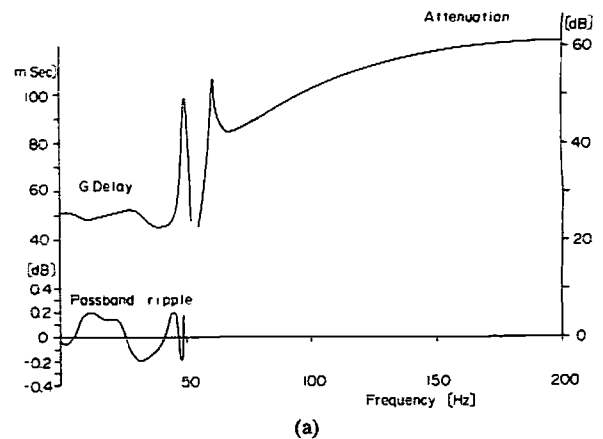


Fig. 3. Filter responses designed through proposed method with 11/11th-order transfer function, 20T average delay time and 400 Hz sampling frequency. (a) Amplitude response in decibels. (b) Impulse response. (c) Pole-zero locations.

The approach using elliptic filters and all-pass functions is superior to the proposed method, when circuit complexity is evaluated only based on the number of multipliers. On the other hand, when digital filters are implemented on high level functional LSI's for digital signal processing [16] or on signal processors including a hardware multiplier [17], the circuit complexity is mainly determined by the filter order. Furthermore, circuit complexities for other sampled data filters, such as CCD and switched capacitor filters [18], are mostly determined by the filter order. In these cases, the proposed method becomes a more efficient approach.

TABLE II
CIRCUIT COMPLEXITY COMPARISON BETWEEN CONVENTIONAL
METHODS AND PROPOSED METHOD

Methods	Linear phase FIR filter	Elliptic filter with all-pass function	Proposed method
Operations			
Filter order	72nd	14 / 14th	11 / 11th
Multipliers	37	17	22
Adders	72	28	22
Delay elements	72	14	11

V. CONCLUSION

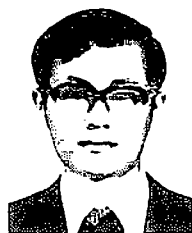
A simultaneous frequency- and time-domain approximation method for discrete-time filters is proposed. A time response is approximated through solving linear equations. The optimum solution is always guaranteed in a frequency response approximation procedure. The frequency response is optimized through the iterative Chebyshev approximation. This approach does not impose any constraints on pole-zero locations and filter responses. Hence, filter order reductions can be achieved for a wide range of filter responses.

Approximation error criteria in the proposed time response approximation are restricted to two categories, including exact interpolation and the mean square error. By extending the method of solving linear equations to linear programming techniques, a weighted mini-max error criterion can be employed.

REFERENCES

- [1] M. Hibino, T. Mizukami, and K. Nakayama, "A design method for FIR filters with zero intersymbol interference (in Japanese)," *IECE Japan*, National Convention Rec., p. 1799, Mar. 1980.
- [2] K. Nakayama and T. Mizukami, "A new IIR Nyquist filter with zero intersymbol interference and its frequency response approximation," *IEEE Trans. Circuits Syst.*, vol. CAS-29, pp. 23-34, Jan. 1982.
- [3] A. G. Deczky, "Synthesis of recursive digital filters using the minimum p -error criterion," *IEEE Trans. Audio Electroacoust.*, vol. AU-20, pp. 257-263, Oct. 1972.
- [4] T. W. Parks and J. H. McClellan, "Chebyshev approximation for nonrecursive digital filters with linear phase," *IEEE Trans. Circuit Theory*, vol. CT-19, pp. 189-194, Mar. 1972.
- [5] G. C. Temes and M. Gyi, "Design of filters with arbitrary passband and Chebyshev stopband attenuation," *IEEE Trans. Conv. Digest*, pp. 184-185, Mar. 1967.

- [6] M. Hibino, "IIR low-pass filters with specified equiripple stopband loss and its time response approximation (in Japanese)," *IECE Japan*, Trans. vol. J62-A, pp. 895-902, Dec. 1979.
- [7] J. P. Thiran, "Equal-ripple delay recursive digital filters," *IEEE Trans. Circuit Theory*, vol. CT-18, pp. 664-669, Nov. 1971.
- [8] A. Fettweis, "A simple design of maximally flat delay digital filters," *IEEE Trans. Audio Electroacoust.*, vol. AU-20, pp. 112-114, June 1972.
- [9] T. Saramäki, Y. Neuvo, and T. Saarinen, "Equal ripple amplitude and maximally flat group delay digital filters," in *Proc. IEEE ICASSP '81*, pp. 236-239, 1981.
- [10] C. S. Burrus and T. W. Parks, "Time domain design of recursive digital filters," *IEEE Trans. Audio Electroacoust.*, vol. AU-18, pp. 137-141, June 1970.
- [11] A. G. Evans and R. Fischl, "Optimal least squares time-domain synthesis of recursive digital filters," *IEEE Trans. Audio Electroacoust.*, vol. AU-21, pp. 61-65, Feb. 1973.
- [12] F. Brophy and A. C. Salazar, "Considerations of the Padé approximant technique in the synthesis of recursive digital filters," *IEEE Trans. Audio Electroacoust.*, vol. AU-21, pp. 500-505, Dec. 1973.
- [13] F. Brophy and A. C. Salazar, "Recursive digital filter synthesis in the time domain," *IEEE Trans. Acoust., Speech, Signal Processing*, vol. ASSP-22, pp. 45-55, Feb. 1974.
- [14] Y. Ishizaki and H. Watanabe, "An iterative Chebyshev approximation method for network design," *IEEE Trans. Circuit Theory*, vol. CT-15, pp. 326-336, Dec. 1968.
- [15] R. L. Crane and R. W. Klopfenstein, "Optimum weights in delay equalization," *IEEE Trans. Circuits Syst.*, vol. CAS-26, pp. 46-51, Jan. 1979.
- [16] A. Kanemasa et al., "An LSI chip set for DSP hardware implementation," in *Proc. ICASSP '81*, pp. 644-647, Apr. 1981.
- [17] Y. Kawakami et al., "A single chip digital signal processor for voiceband application," in *Proc. ISSCC '80*, pp. 40-41, Feb. 1980.
- [18] Y. Kuraishi, T. Makabe, and K. Nakayama, "A single-chip NMOS analog front end LSI for MODEMs," *IEEE J. Solid-State Circuits*, vol. SC-17, pp. 1039-1044, Dec. 1982.



Kenji Nakayama (M'82-SM'84) received the B.E. and Dr. degrees in electronics engineering from the Tokyo Institute of Technology (TIT), Tokyo, Japan, in 1971 and 1983, respectively.

From 1971 to 1972 he was engaged in the research of classical network theory at the TIT. Since he joined Nippon Electric Co., Ltd. (renamed NEC Corporation from April 1983) 1972, he has worked on the research and development of filter design techniques for LC, digital and switched-capacitor filters, and computationally efficient algorithms in digital signal processing. He is now supervisor of the Devices Department, Transmission Division.

Dr. Nakayama is a regular member of the Institute of Electronics and Communication Engineers (IECE) of Japan.