

BEST POSSIBLE DENSITIES OF DICKSON m -TUPLES, AS A CONSEQUENCE OF ZHANG-MAYNARD-TAO

ANDREW GRANVILLE, DANIEL M. KANE, DIMITRIS KOUKOULOPOULOS,
AND ROBERT J. LEMKE OLIVER

ABSTRACT. We determine for what proportion of integers h one now knows that there are infinitely many prime pairs $p, p + h$ as a consequence of the Zhang-Maynard-Tao theorem. We consider the natural generalization of this to k -tuples of integers, and we determine the limit of what can be deduced assuming only the Zhang-Maynard-Tao theorem.

1. INTRODUCTION AND STATEMENT OF RESULTS

The twin prime conjecture states that there are infinitely many pairs of integers $(n, n + 2)$ which are simultaneously primes. More generally, Hardy and Littlewood conjectured that each entry of the k -tuple $(n + h_1, \dots, n + h_k)$ should be prime infinitely often, unless there is a trivial reason why this cannot happen. This “trivial reason” is about divisibility by small primes p . For example, the triplet $(n + 2, n + 4, n + 6)$ can never all be prime if $n > 1$ because at least one of them must be a multiple of 3. So we call a k -tuple *admissible* if, for each prime p , the reductions of the numbers h_1, \dots, h_k modulo p do not cover all of $\mathbb{Z}/p\mathbb{Z}$. With this definition in hand, the Hardy-Littlewood conjecture states that if (h_1, \dots, h_k) is an admissible k -tuple, then there are infinitely many integers n for which the numbers $n + h_1, \dots, n + h_k$ are all prime.

Even though we are still far from proving the full Hardy-Littlewood conjecture, there has been remarkable progress made towards it recently. Firstly, in May 2013, Yitang Zhang [8] made headlines by proving that there are bounded gaps between primes, and specifically that

$$\liminf_{n \rightarrow \infty} p_{n+1} - p_n < 70,000,000,$$

where p_n denotes the n -th prime. Then, in November 2013, James Maynard [3] and Terence Tao independently showed, using somewhat different techniques, that 70,000,000 can be replaced by 600, and, as it stands right now, the best bound known is 246, due to the Polymath project [6]. Even more impressively, they proved that for any integer $m \geq 1$ there is an integer $k = k_m$ such that if (h_1, \dots, h_k) is an admissible k -tuple, then there are infinitely many integers n for which at least m of the numbers $n + h_1, \dots, n + h_k$ are prime. Obviously, $k_m \geq m$, and in [6] it was shown that one can take $k_2 = 50$ and $k_m \ll e^{3.82m}$.

We call a k -tuple of integers (h_1, \dots, h_k) a *Dickson k -tuple* if there are infinitely many integers n for which $n + h_1, \dots, n + h_k$ are each prime. The Hardy-Littlewood conjecture is equivalent to the statement that “*all admissible k -tuples of integers are Dickson k -tuples*”, and the Maynard-Tao theorem implies that “*every admissible k_m -tuple of integers contains*

Date: October 21, 2015.

The first and third authors are supported by Discovery Grants from the Natural Sciences and Engineering Research Council of Canada. The second and fourth authors were supported by NSF Mathematical Sciences Postdoctoral Research Fellowships.

a Dickson m -tuple". In particular, the Maynard-Tao theorem implies that Dickson m -tuples exist, yet no explicit example of a Dickson m -tuple is known! Nevertheless, a simple counting argument (which also appeared in [2]) yields the following attractive consequence of the Maynard-Tao theorem.

Corollary 1.1. *A positive proportion of m -tuples of integers are Dickson m -tuples.*

Proof. Let $k = k_m$, so that $m \leq k$, and define $R = \prod_{p \leq k} p$ and $x = NR$ for some (very large) integer N . We let

$$\mathcal{N} = \{n \in (-x, x] : (n, R) = 1\},$$

so that $|\mathcal{N}| = 2x\varphi(R)/R$. Any subset of k elements of \mathcal{N} is admissible, since it does not contain any integer $\equiv 0 \pmod{p}$ for any prime $p \leq k$. There are $\binom{|\mathcal{N}|}{k}$ such k -tuples. Each contains a Dickson m -tuple by the Maynard-Tao theorem.

Now suppose that there are $T(x)$ Dickson m -tuples within \mathcal{N} . Any such m -tuple is a subset of exactly $\binom{|\mathcal{N}|-m}{k-m}$ of the k -subsets of \mathcal{N} , and hence

$$T(x) \cdot \binom{|\mathcal{N}| - m}{k - m} \geq \binom{|\mathcal{N}|}{k},$$

and therefore

$$T(x) \geq \binom{|\mathcal{N}|}{k} / \binom{|\mathcal{N}| - m}{k - m} \geq (|\mathcal{N}|/k)^m = \left(\frac{\varphi(R)}{kR}\right)^m \cdot (2x)^m,$$

as desired. \square

The main goal of this paper is to provide better lower bounds on the proportion of m -tuples that are Dickson m -tuples. If $\Delta(m)$ is the proportion of such m -tuples, then Corollary 1.1 implies that $\Delta(m) > 0$. We are interested in determining the best possible lower bound on $\Delta(m)$ assuming only the results of Zhang, Maynard, and Tao and treating them as "black boxes": If \mathcal{D}_m is the set of Dickson m -tuples, then there is an integer $k = k_m$ for which:

- \mathcal{D}_m is translation-invariant, that is to say, if $(h_1, \dots, h_m) \in \mathcal{D}_m$ and $t \in \mathbb{Z}$, then $(h_1 + t, \dots, h_m + t) \in \mathcal{D}_m$;
- \mathcal{D}_m is permutation-invariant, that is to say, if $(h_1, \dots, h_m) \in \mathcal{D}_m$ and $\sigma \in S_m$, then $(h_{\sigma(1)}, \dots, h_{\sigma(m)}) \in \mathcal{D}_m$;
- for any admissible k -tuple, (x_1, \dots, x_k) , there exist distinct $h_1, \dots, h_m \in \{x_1, \dots, x_k\}$ such that $(h_1, \dots, h_m) \in \mathcal{D}_m$.

We call any set $A \subset \mathbb{Z}^m$ that has the above properties (m, k) -plausible. Then we define

$$(1.1) \quad \delta(m, k) = \min \left\{ \liminf_{N \rightarrow \infty} \frac{|A \cap [-N, N]^m|}{(2N)^m} : A \subset \mathbb{Z}^m, A \text{ is } (m, k) \text{-plausible} \right\};$$

and, for any $m \geq 1$, we have that

$$\Delta(m) \geq \delta(m, k_m)$$

by the Zhang-Maynard-Tao theorem. Our main result is the following.

Theorem 1.2. *For $k \geq m \geq 1$, we have, uniformly*

$$\delta(m, k) = \frac{(\log 2m)^{O(m)}}{\left(\frac{k}{m} \log \log \frac{3k}{m}\right)^{m-1}}.$$

In the Maynard-Tao Theorem we know that one can obtain $k_m \leq e^{cm}$ for some constant $c > 0$. The best value known for c is a little smaller than 3.82, and Tao [7, 2] showed that the Maynard-Tao technique cannot be (directly) used to obtain a constant smaller than 2. So we deduce that

$$\Delta(m) \geq \delta(m, k_m) \geq e^{-(c+o(1))m^2} \gg e^{-4m^2},$$

and that this can, at most, be improved to $\gg e^{-2m^2}$ using the currently available methods.

A *de Polignac number* is an integer h for which there are infinitely many pairs of primes p and $p + h$ (the twin prime conjecture is the special case $h = 2$). A positive proportion of integers are de Polignac numbers, as follows from both results above, but we wish to determine the best explicit lower bounds possible. In Section 2, using quite elementary arguments, we will show that

$$(1.2) \quad \Delta(2) \geq \delta(2, k_2) \geq \frac{1}{49} \prod_{p \leq 50} \left(1 - \frac{1}{p}\right) \approx 0.002830695767 \dots > \frac{1}{354},$$

and that this cannot be improved dramatically without improving the value of $k_2 = 50$. We remark that this bound is surprisingly good in light of the fact that we can only deduce that there is some $h \leq 246$ for which there are infinitely many prime pairs $p, p + h$.

Finally, we note that it would perhaps be more natural to consider the proportion $\Delta^{\text{ad}}(m)$ of *admissible* m -tuples that are Dickson m -tuples, rather than the proportion of all m -tuples. To this end, in Section 4, we determine the proportion $\rho_{\text{ad}}(m)$ of all m -tuples that are admissible. Surprisingly, this question has seemingly not been addressed in the literature, and we prove that

$$(1.3) \quad \rho_{\text{ad}}(m) = \frac{e^{o(m)}}{(e^\gamma \log m)^m}$$

as $m \rightarrow \infty$.

2. THE DENSITY OF DE POLIGNAC NUMBERS

To prove a lower bound on the density of de Polignac numbers, we consider admissible sets B of integers that do not contain a Dickson pair. If the prime k -tuplets conjecture is true, then necessarily $|B| = 1$, while Zhang's theorem implies that $|B| \leq k_2 - 1$. Because of this upper bound, there must be maximal such sets, in that, for any $t \notin B$ such that $B \cup \{t\}$ is admissible, $B \cup \{t\}$ contains a Dickson pair. This condition implies that $t - B$ contains a de Polignac number, and we will obtain a lower bound on $\delta(2, k_2)$ by varying t . To this end, for an admissible set B , define $\eta(B)$ to be the minimal lower density of sets A with the property that $t - B$ contains an element of A whenever $B \cup \{t\}$ is admissible. Moreover, for any integer ℓ , set $\eta(\ell)$ to be the infimum of $\eta(B)$ as B runs over admissible sets of size ℓ . We thus have that

$$\Delta(2) \geq \delta(2, k_2) \geq \min_{1 \leq \ell \leq k_2 - 1} \eta(\ell),$$

and we will prove the following.

Proposition 2.1. *For any integer ℓ , we have that $\eta(\ell) \sim e^{-\gamma}/\ell \log \ell$, and explicitly that*

$$\frac{1}{\ell} \prod_{p \leq \ell + 1} \left(1 - \frac{1}{p}\right) \leq \eta(\ell) \leq \frac{1}{\ell - y} \prod_{p \leq y} \left(1 - \frac{1}{p}\right)$$

for any positive integer $y \leq \ell - 1$.

For the particular application to $\Delta(2)$, we take $\ell \in \{1, \dots, 49\}$ in Proposition 2.1 to find that

$$\delta(2, k_2) \geq \min_{1 \leq \ell \leq 49} \eta(\ell) > 0.002830695767 > \frac{1}{354},$$

while taking $y = 13$ yields that $\eta(49) < 0.005328005328 < \frac{1}{187}$, so that, using our techniques, we can hope for an improvement in our lower bound for $\delta(2, k_2)$ by a factor of at most about two. However, we can do better: the explicit upper bound given in Lemma 3.1 below shows that $\delta(2, 50) \leq \frac{1}{210} < 0.0048$.

Proof of Proposition 2.1. We begin with the lower bound for $\eta(\ell)$. Suppose that B is admissible of size ℓ , so that for each prime $p \leq \ell + 1$ there exists a residue class $n_p \pmod{p}$ such that $p \nmid n_p + b$ for each $b \in B$. If $t \not\equiv -n_p \pmod{p}$ for all $p \leq \ell + 1$ then $B \cup \{t\}$ is admissible and so contains a Dickson pair, and, as remarked above, t must be one of that pair, else B would have contained a Dickson pair. If x is large then the number of such integers $t \leq x$ is

$$\sim \prod_{p \leq \ell + 1} \left(1 - \frac{1}{p}\right) x$$

and we know that, for some $b \in B$, $t - b$ is a de Polignac number. Given $t \in \mathbb{Z}$, an integer can be written in at most ℓ ways as $t - b$ for $b \in B$, since $|B| = \ell$. Hence,

$$\eta(B) \geq \frac{1}{\ell} \prod_{p \leq \ell + 1} \left(1 - \frac{1}{p}\right) \sim \frac{e^{-\gamma}}{\ell \log \ell}$$

as $\ell \rightarrow \infty$.

We now turn to the upper bound. We will construct sets A and B with the properties that B is admissible and that if t is such that $B \cup \{t\}$ is admissible, then there exists $b = b_t \in B$ such that $t - b_t \in A$.

Let $y \in \{1, \dots, \ell - 1\}$ and set $v = \ell - y$. Moreover, define $r = \prod_{p \leq y} p$ and $m = \prod_{p \leq \ell} p$, and select h large so that $q := hv + 1$ is a prime $> \ell$. We define A to be the set of all integers a for which $(a + 1, r) = 1$ and $a \equiv 0, 1, 2, \dots, \text{ or } h - 1 \pmod{q}$. The density $\delta(A)$ of A is

$$\frac{h}{q} \prod_{p \leq y} \left(1 - \frac{1}{p}\right) \leq \frac{1}{\ell - y} \prod_{p \leq y} \left(1 - \frac{1}{p}\right).$$

Our set B will be constructed as the union of two sets, B_1 and B_2 . For B_1 , we take $B_1 := \{b_0, b_1, \dots, b_v\}$ where each b_i is chosen to satisfy $b_i \equiv ih \pmod{q}$ and $b_i \equiv 1 \pmod{m}$, and we choose B_2 to be a set of $y - 1$ integers covering the residue classes $2, \dots, p - 1 \pmod{p}$ for each prime $p \leq y$. We note that $B := B_1 \cup B_2$ has ℓ elements and is admissible: it occupies the congruence classes $1, \dots, p - 1 \pmod{p}$ for all $p \leq y$ and covers at most y classes modulo p for $p > y$.

If t is such that $B \cup \{t\}$ is admissible, then $t \not\equiv 0 \pmod{p}$ for all primes $p \leq y$. We can write $t \equiv ih + j \pmod{q}$ for some i and j satisfying $0 \leq i \leq v$ and $0 \leq j \leq h - 1$. Consider $t - b_i$. This is $\equiv j \pmod{q}$ and $\not\equiv -1 \pmod{p}$ for all primes $p \leq y$. Hence $t - b_i \in A$, so that $\eta(\ell) \geq \delta(A)$, and the result follows. \square

Remark. Pintz [5] showed that there is an ineffective constant C such that every interval of length C contains a de Polignac number. The proof of the lower bound above furnishes a different proof of this result: the values of t that appear are periodic modulo $r := \prod_{p \leq k_2} p$, so, given a maximal set B , we obtain Pintz's result with $C = r + \max_{b \in B} b - \min_{b \in B} b$.

3. PROOF OF THEOREM 1.2

We begin with a strong version of the upper bound of Theorem 1.2.

Lemma 3.1. *If $k \geq m \geq 2$ with $k/m \rightarrow \infty$, then*

$$\delta(m, k) \leq \left(\frac{e^{-\gamma} + o(1)}{\frac{k}{m-1} \log \log \frac{k}{m-1}} \right)^{m-1}.$$

Proof. Pick q as large as possible so that $(m-1)\varphi(q) < k$. We claim that

$$A = \{(x_1, \dots, x_m) : x_1 \equiv x_2 \equiv \dots \equiv x_m \pmod{q}\}$$

is (m, k) -plausible. This is because any admissible set with k elements may take at most $\varphi(q)$ distinct values modulo q , so by the pigeonhole principle, at least m of these values must be congruent modulo q , giving an m -tuple contained in A . On the other hand, q is of size $\geq (e^\gamma + o(1)) \frac{k}{m-1} \log \log \frac{k}{m-1}$ as $k/m \rightarrow \infty$, and A has density $1/q^{m-1}$. This completes the proof. \square

The proof of the lower bound is somewhat more involved. A key ingredient is the Lovász Local Lemma:

Lovász Local Lemma. *Suppose that E_1, \dots, E_n are events, each of which occurs with probability $\leq p$ and depends on no more than d of the others. If $d \leq 1/(4p)$ then the probability that no E_j occurs is at least e^{-2pn} .*

The input for the Lovász Local Lemma will come from the following technical result.

Lemma 3.2. *Let $k \geq m \geq 1$. Consider a translation and permutation invariant set $A \subset ((-2x, 2x] \cap \mathbb{Z})^m$, and set $\mathcal{N} = \{n \in (-x, x] \cap \mathbb{Z} : (n, \prod_{p \leq k} p) = 1\}$. If*

$$\frac{|A \cap \mathcal{N}^m|}{|\mathcal{N}^m|} > \frac{1}{8m \binom{k-1}{m-1}},$$

and x is large enough in terms of m and k , then

$$|A| \geq \frac{(\log 3m)^{O(m)}}{\left(\frac{k}{m} \log \log \frac{k}{m}\right)^{m-1}} \cdot x^m.$$

Before we prove Lemma 3.2, we use it to deduce the lower bound in Theorem 1.2.

Proof of the lower bound in Theorem 1.2. Fix a large positive x and suppose that $A \subset [-2x, 2x]^m$ is an (m, k) -plausible set, yet $|A| \leq (\log 2m)^{-cm} x^m / \left(\frac{k}{m} \log \log \frac{k}{m}\right)^{m-1}$ for some $c > 0$. If c is large enough, then Lemma 3.2 implies that

$$(3.1) \quad \frac{|A \cap \mathcal{N}^m|}{|\mathcal{N}^m|} \leq \frac{1}{8m \binom{k-1}{m-1}},$$

where $\mathcal{N} := \{n \in (-x, x] \cap \mathbb{Z} : (n, \prod_{p \leq k} p) = 1\}$. Now select integers n_1, \dots, n_k uniformly at random from \mathcal{N} . We claim that there is a positive probability that the n_i are distinct and that there is no m -element subset of $\{n_1, \dots, n_k\}$ in A , which implies that A is not (m, k) -plausible. We use the Lovász Local Lemma, in which we wish to avoid the events $n_i = n_j$ for $1 \leq i < j \leq k$ and $(x_1, \dots, x_m) \in A$ for any choice of m elements x_1, \dots, x_m among the integers n_1, \dots, n_k . There are $\binom{k}{2} + \binom{k}{m}$ such events. Each event depends on no more than m of the n_i , and so depends on no more than $m \binom{k-1}{1} + m \binom{k-1}{m-1} \leq 2m \binom{k-1}{m-1}$

other events. If x_1, \dots, x_m are m out of the k random variables, then the probability that $(x_1, \dots, x_m) \in A$ is $\leq 1/(8m \binom{k-1}{m-1})$ by (3.1). We finally note that the probability that $n_i = n_j$ is $1/|\mathcal{N}| \sim R/(2x\varphi(R))$ as $x \rightarrow \infty$, which is certainly $\leq 1/(8m \binom{k-1}{m-1})$ for x sufficiently large.

The Lovász Local Lemma now implies that there exists a subset n_1, \dots, n_k of distinct elements of \mathcal{N} for which $(x_1, \dots, x_m) \notin A$ for all subsets $\{x_1, \dots, x_m\}$ of $\{n_1, \dots, n_k\}$. (In fact, the Lovász Local Lemma implies that this is true for a proportion $\geq e^{-k/2m^2}$ of the k -subsets of \mathcal{N} .) Hence, A is not an (m, k) -plausible set, a contradiction. \square

To prove Lemma 3.2, we first need another result. Given an m -tuple $\mathbf{h} = (h_1, \dots, h_m)$, we denote by $n_p(\mathbf{h})$ the number of congruence classes mod p covered by h_1, \dots, h_m . Note that $1 \leq n_p(\mathbf{h}) \leq \min\{p, m\}$ and that both upper and lower bounds are easily obtained for some m -tuple \mathbf{h} .

Lemma 3.3. *Let $k \geq m \geq 1$. There is an absolute constant $c > 0$ such that if \mathbf{h} is a randomly selected m -tuple from $\mathcal{N} = \{n \in (-x, x] \cap \mathbb{Z} : (n, \prod_{p \leq k} p) = 1\}$ and x is large enough in terms of m and k , then the probability that*

$$\prod_{p \leq k} \left(1 - \frac{n_p(\mathbf{h})}{p}\right) \left(1 - \frac{1}{p}\right)^{-m} > (\log 3m)^{cm} (\log \log k)^{m-1}$$

is

$$\leq \frac{1}{16m \binom{k-1}{m-1}}.$$

Proof. The result is trivial if $k \leq m^2$, so we will assume that $k > m^2$. Similarly, we may assume that k is large enough. Moreover, note that there are $\ll_k |\mathcal{N}|^{m-1} = o_{x \rightarrow \infty}(|\mathcal{N}|^m)$ m -tuples (h_1, \dots, h_m) with non-distinct elements. So it suffices to show that if we randomly select a subset $B = \{b_1, \dots, b_m\}$ of distinct elements of \mathcal{N} , then the probability that

$$\prod_{p \leq k} \left(1 - \frac{n_p(B)}{p}\right) \left(1 - \frac{1}{p}\right)^{-m} > (\log 3m)^{cm} (\log \log k)^{m-1}$$

is

$$\leq \frac{1}{17m \binom{k-1}{m-1}}.$$

By Mertens' Theorem, the contribution of the small primes is

$$\prod_{p \leq m^2} \left(1 - \frac{n_p(B)}{p}\right) \left(1 - \frac{1}{p}\right)^{-m} \leq \prod_{p \leq m^2} \left(1 - \frac{1}{p}\right)^{-m} \leq (\log 3m)^{c_1 m}$$

for some absolute constant $c_1 > 0$. If $c \geq 2c_1$ and we set

$$f(B) = \prod_{m^2 < p \leq k} \left(1 - \frac{n_p(B)}{p}\right) \left(1 - \frac{1}{p}\right)^{-m},$$

then we are left to show that

$$\mathbb{P}\left(f(B) > (\log 3m)^{cm/2} (\log \log k)^{m-1}\right) \leq \frac{1}{17m \binom{k-1}{m-1}}.$$

Taking logarithms, we have

$$\begin{aligned} \log f(B) &\leq \sum_{m^2 < p \leq k} \left(\frac{m - n_p}{p} + O\left(\frac{m^2}{p^2}\right) \right) \leq \sum_{m^2 < p \leq k} \frac{m - n_p}{p} + O\left(\frac{1}{\log m}\right) \\ &\leq (m-1) \sum_{m^2 < p \leq k} \frac{X_p(B)}{p} + O\left(\frac{1}{\log m}\right), \end{aligned}$$

where $X_p(B) = 1$ if $n_p(B) < m$, and $X_p(B) = 0$ if $n_p(B) = m$. Therefore, if we set $X(B) = \sum_{m^2 < p \leq k} X_p(B)/p$ and we assume that c is large enough, then it suffices to show that

$$\mathbb{P}\left(X(B) > \frac{c}{3} \log \log(3m) + \log \log \log k\right) \leq \frac{1}{17m \binom{k-1}{m-1}}.$$

In turn, the above inequality is a consequence of the weaker estimate

$$(3.2) \quad \mathbb{P}\left(X(B) > \log \log(\max\{m^2, m \log k\}) + c'\right) \leq \frac{1}{17m \binom{k-1}{m-1}},$$

where c' is a sufficiently large constant.

The X_p can be viewed as independent random variables as we run over all possible sets B . As in the birthday paradox, the probability that $X_p = 0$ is

$$\left(1 - \frac{1}{p-1}\right) \left(1 - \frac{2}{p-1}\right) \cdots \left(1 - \frac{m-1}{p-1}\right) = \exp\left(-\frac{m^2 + O(m)}{2p}\right) = 1 + O\left(\frac{m^2}{p}\right).$$

For any r , if $p \leq r$, then we trivially have that $\mathbb{E}[e^{rX_p/p}] \leq e^{r/p}$, and otherwise

$$\begin{aligned} \mathbb{E}[e^{rX_p/p}] &= \mathbb{P}(X_p = 0) + \mathbb{P}(X_p = 1)e^{r/p} = 1 + \mathbb{P}(X_p = 1)(e^{r/p} - 1) \\ &\leq \exp\left(O\left(\frac{m^2 r}{p^2}\right)\right). \end{aligned}$$

Therefore, for any values of s and r , we have that

$$\begin{aligned} e^{rs} \mathbb{P}(X \geq s) &\leq \mathbb{E}[e^{rX}] = \prod_{p \leq k} \mathbb{E}[e^{rX_p/p}] \leq \prod_{p \leq r} e^{r/p} \prod_{p \geq r} e^{O(m^2 r/p^2)} \\ &\leq \exp\left(r \log \log r + O(r) + O(m^2/\log r)\right). \end{aligned}$$

Thus, if $r \geq m^2$, then setting $s = \log \log r + c'$ for c' sufficiently large, we find that

$$\mathbb{P}(X \geq \log \log r + c') \leq e^{-r}.$$

Substituting $r = \max\{m^2, m \log k\}$ establishes (3.2) for k large enough, thus completing the proof of the lemma. \square

Proof of Lemma 3.2. We partition the elements of $[-2x, 2x]^m$ into *translation classes*, putting two elements in the same class if and only if they differ by $(\ell, \ell, \dots, \ell)$ for some integer ℓ . Each translation class T intersecting $[-x, x]^m$ contains at least $2x$ elements of $[-2x, 2x]^m$ (and at most $6x$). The main idea of the proof is that if we can find at least U elements of $A \cap \mathcal{N}^m$ whose translation class has at most M elements inside \mathcal{N}^m , then $|A| \geq 2x \cdot U/M$.

Note that $n_p(\mathbf{h})$ is fixed over all $\mathbf{h} \in T$, so we denote it by $n_p(T)$. The number of integers ℓ for which $\mathbf{h} + (\ell, \ell, \dots, \ell) \in \mathcal{N}^m$ is $\leq 3x \prod_{p \leq k} \left(1 - \frac{n_p(T)}{p}\right)$ for x large enough. If we set

$R = \prod_{p \leq k} p$, then Lemma 3.3 implies that the proportion of elements of \mathcal{N}^m for which

$$(3.3) \quad \prod_{p \leq k} \left(1 - \frac{n_p(B)}{p}\right) \leq \left(\frac{\varphi(R)}{R}\right)^m (\log 3m)^{cm} (\log \log k)^{m-1}$$

is $\leq 1/16m \binom{k-1}{m-1}$, provided that x is large enough, with c being an absolute constant. Since A contains at least $|\mathcal{N}^m|/8m \binom{k-1}{m-1}$ elements in \mathcal{N}^m by assumption, we find that A contains at least $|\mathcal{N}^m|/16m \binom{k-1}{m-1}$ for which (3.3) holds. Therefore, we conclude that

$$|A| \geq \frac{|\mathcal{N}^m|}{24m \binom{k-1}{m-1}} \bigg/ \left\{ \left(\frac{\varphi(R)}{R}\right)^m (\log 3m)^{cm} (\log \log k)^{m-1} \right\} > \frac{x^m (\log 3m)^{O(m)}}{\left(\frac{k}{m} \log \log \frac{k}{m}\right)^{m-1}}$$

for x large enough, and the result follows. \square

4. THE NUMBER OF ADMISSIBLE k -TUPLES

Our goal in this section is to show relation (1.3). Given a prime p , we say that an m -tuple is *admissible mod p* if its elements do not occupy all of the residue classes mod p , so an m -tuple is admissible if and only if it is admissible mod p for every prime p . By the pigeonhole principle, any set of m integers is admissible mod p if $p > m$, so to test for admissibility we need only work with the primes $p \leq m$. This implies, using the Chinese Remainder Theorem, that

$$\rho_{\text{ad}}(m) = \prod_{p \leq m} \rho_{\text{ad}}(m, p),$$

where $\rho_{\text{ad}}(m, p)$ denotes the proportion of m -tuples that are admissible mod p .

If $m/\log m < p \leq m$, then we note the trivial bounds $(1 - 1/p)^m \leq \rho_{\text{ad}}(m, p) \leq 1$, with the lower bound coming from counting m -tuples whose elements are not $0 \pmod{p}$. Therefore

$$1 \geq \prod_{m/\log m < p \leq m} \rho_{\text{ad}}(m, p) \geq \prod_{m/\log m < p \leq m} \left(1 - \frac{1}{p}\right)^m = e^{O\left(\frac{m \log \log m}{\log m}\right)}.$$

It remains to compute the contribution of primes $p \leq m/\log m$. It is not difficult to determine an exact expression for $\rho_{\text{ad}}(m, p)$ using an inclusion-exclusion argument: the probability that the elements of an m -tuple \mathbf{h} belong to a given subset of $p - 1$ residue classes is $(1 - \frac{1}{p})^m$. There are $\binom{p}{1}$ choices of the $p - 1$ residue classes. If the elements of \mathbf{h} belong to exactly $p - 2$ residue classes mod p then \mathbf{h} was just counted twice and so we need to subtract the probability of this happening. That probability is $(1 - \frac{2}{p})^m$, and there are $\binom{p}{2}$ choices of the $p - 2$ residue classes. Continuing in the way, we find that

$$\rho_{\text{ad}}(m, p) = \sum_{j=1}^{m-1} \binom{p}{j} (-1)^{j-1} \left(1 - \frac{j}{p}\right)^m = p \left(1 - \frac{1}{p}\right)^m - \binom{p}{2} \left(1 - \frac{2}{p}\right)^m + \dots$$

We note that ratio of two consecutive summands in absolute value is

$$\begin{aligned} \frac{\binom{p}{j} \left(1 - \frac{j}{p}\right)^m}{\binom{p}{j+1} \left(1 - \frac{j+1}{p}\right)^m} &= \left(1 + \frac{1}{p-j-1}\right)^m \frac{j+1}{p-j} \geq \left(1 + \frac{1}{p-2}\right)^m \frac{1}{p-1} \\ &\geq 2 \exp \left\{ \frac{m}{p-1} - \log(p-1) \right\} \geq 2 \log m \end{aligned}$$

for all $p \leq m/\log m$. Therefore, we deduce that

$$\rho_{\text{ad}}(m, p) = p \left(1 - \frac{1}{p}\right)^m \left(1 + O\left(\frac{1}{\log m}\right)\right),$$

which implies that

$$\rho_{\text{ad}}(m) = e^{O\left(\frac{m \log \log m}{\log m}\right)} \prod_{p \leq m/\log m} p \left(1 - \frac{1}{p}\right)^m = \frac{e^{O\left(\frac{m \log \log m}{\log m}\right)}}{(e^\gamma \log m)^m},$$

which proves (a quantitative version of) relation (1.3).

5. A BETTER DENSITY IN THE CONTINUOUS CASE

Analogous to the discrete question considered here, one can also ask about the continuous version, i.e. the set of limit points \mathcal{L} of the set of values of $(p_{n+1} - p_n)/\log p_n$, where p_n is the n th prime. One can deduce from a uniform version of Zhang's Theorem that for any $0 \leq \beta_1 \leq \beta_2 \leq \dots \leq \beta_k$ with $k = k_2$ there exists $1 \leq i < j \leq k$ such that $\beta_j - \beta_i \in \mathcal{L}$; in fact, this was done by Banks, Freiberg, and Maynard [1]. By a small modification of the argument used to prove Corollary 1.1, one can then show that $\mathcal{L} \cap [0, T]$ has Lebesgue measure $\gtrsim T/(k-1)$. Somewhat remarkably, Banks, Freiberg, and Maynard were able to go beyond this by showing that, given a sufficiently large k -tuple partitioned into 9 equal parts, at least two of these parts must simultaneously represent a prime. From this, they deduce that $\mathcal{L} \cap [0, T]$ has Lebesgue measure $\gtrsim T/8$.

REFERENCES

- [1] W. D. Banks, T. Freiberg, J. Maynard, *On limit points of the sequence of normalized prime gaps*, preprint.
- [2] A. Granville, *Primes in intervals of bounded length*, Bull. Amer. Math. Soc., to appear
- [3] J. Maynard, *Small gaps between primes*, Annals of Mathematics, to appear.
- [4] J. Maynard, *Dense clusters of primes in subsets*, preprint.
- [5] J. Pintz, *Polignac Numbers, Conjectures of Erdős on Gaps between Primes, Arithmetic Progressions in Primes, and the Bounded Gap Conjecture*, preprint.
- [6] D.H.J. Polymath, *Variants of the Selberg sieve, and bounded intervals containing many primes*, Research in the Mathematical Sciences, to appear.
- [7] T. Tao, *Public communication*, <http://terrytao.wordpress.com/>
- [8] Y. Zhang, *Bounded gaps between primes*, Annals of Mathematics, 179 (no. 3), pp. 1121-1174.

AG: DÉPARTEMENT DE MATHÉMATIQUES ET DE STATISTIQUE, UNIVERSITÉ DE MONTRÉAL, CP 6128 SUCC. CENTRE-VILLE, MONTRÉAL, QC H3C 3J7, CANADA

E-mail address: andrew@dms.umontreal.ca

DMK: DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA-SAN DIEGO, 9500 GILMAN DRIVE #0404, LA JOLLA, CA 92093, USA

E-mail address: dakane@math.ucsd.edu

DK: DÉPARTEMENT DE MATHÉMATIQUES ET DE STATISTIQUE, UNIVERSITÉ DE MONTRÉAL, CP 6128 SUCC. CENTRE-VILLE, MONTRÉAL, QC H3C 3J7, CANADA

E-mail address: koukoulou@dms.umontreal.ca

RJLO: DEPARTMENT OF MATHEMATICS, STANFORD UNIVERSITY, BUILDING 380, STANFORD, CA 94305, USA

E-mail address: rjlo@stanford.edu