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## Title:

Investigation of Ta-MX/Z-Phase and Laves Phase as Precipitation Hardening Particles in a 12 Pct Cr Heat-Resistant Steel

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26 Abstract

27 A 12%Cr martensitic/ferritic steel was designed and produced to study Laves and Z-phase 28 as precipitation hardening particles under creep conditions at 650°C. To ensure the 29 precipitation of Laves after tempering, additions of W and Cu were selected according to 30 thermodynamic calculations. It is known that Z-phase formation does not follows the 31 classical nucleation theory. Indeed, MX particles are transformed into Z-phase by Cr 32 diffusion from the matrix to the precipitate. Therefore, to promote fast Z-phase formation, 33 Ta, Co and N additions were used to produce Ta-MX which will be transformed into Z-34 phase. As main results, Laves precipitation was successfully achieved after tempering with 35 a particle size of 196nm. Concerning Z-phase, the transformation of Ta-MX into Z-phase 36 after tempering was confirmed by the formation of hybrid nanoparticles of 30nm. 37 Although, W and Ta have a low diffusion in martensitic/ferritic matrix, characterization of 38 the precipitates after isothermal aging revealed that Laves and Z-phase have a fast growth 39 kinetic, reaching 400nm and 143nm respectively at 8760h. As consequence, creep test at 40 650°C showed prematurely fails after few thousand hours. Therefore, investigations 41 focused on the growth and coarsening behavior of Laves and Z-phase, seem to be the next researcher field of martensitic/ferritic steels. 42

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Keywords: TEM characterization, 12%Cr steels, Z-Phase, Laves Phase, Creep, ThermoCalc Modelling

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51 Martensitic/ferritic creep resistant steels are a widely used in the new supercritical power 52 plants (600°C/30 MPa) for key components material such as: steam pipes, turbines and 53 boilers. They combine high creep strength, oxidation resistance, good weldability, thermal 54 fatigue resistance and competitive production costs [1, 2]. Nowadays, grade T/P91 and 55 T/P92 are the most common martensitic/ferritic steels used in fossil fuel power plants due 56 to their high microstructural stability [3, 4]. In general, precipitation hardening considering 57  $M_{23}C_6$  carbides and MX particles is the main creep strengthening mechanism in 9-12% Cr 58 heat resistant steel [5, 6]. A high volume fraction of M<sub>23</sub>C<sub>6</sub> carbides nucleate 59 heterogeneously on sub-boundaries and grain boundaries avoiding the recovery of the 60 martensitic/ferritic matrix during long-term creep [7, 8]. Furthermore, additional creep 61 strength is obtained by precipitation of MX carbonitrides along sub-grain boundaries as in T/P91 steels, which have a creep rupture strength of 94 MPa at 600°C/10<sup>5</sup>h [9, 10]. Also, an 62 63 increment of 20% on rupture strength can be obtained by the addition of W and B, as has 64 been demonstrated in T/P92 steels [7, 11]. Moreover, W and B increase the creep strength 65 by solid solution hardening and reduce the coarsening rate of M<sub>23</sub>C<sub>6</sub> carbides, respectively, 66 avoiding the recovery of the martensitic/ferritic matrix [12, 13]. Nowadays, new 67 environmental regulations in regards of CO<sub>2</sub> emissions have motivated many researching 68 groups to focus their efforts in the development of new 9-12%Cr creep resistant steels 69 under operating condition of 650°C/30MPa, which would lead to a higher efficiency of the 70 steam cycle, therefore decreasing CO<sub>2</sub> emissions of fossil fuel power plants [14, 15]. 71 Consequently, first researches on conventional 9%Cr steel (T/P91 and T/P92) showed that 72 oxidation resistance of these materials are not enough to operate at 650°C [1, 16]. Thus, 73 increasing Cr content to 12% was the first attempt to overcome this barrier, unfortunately

74 with no successful results. Although, superior oxidation resistance was obtained, the long-75 term creep resistance declined drastically due to the transformation of MX particles into 76 detrimental coarse Z phase [17]. The MX particles are carbides, nitrides and/or 77 carbonitrides depending on the chemical composition of the alloy, where M denotes a 78 metallic element such as V, Nb and/or Ta and X denotes C and/or N [11, 18]. However, the 79 MX precipitates are a metastable phase in martensitic/ferritic steels and during service, 80 under certain temperature and time they will be transformed into Z phase, a 81 thermodynamically stable nitride [19, 20]. Time for fully transformation of MX 82 carbonitrides into Z phase can last months, years or decades, depending on the chemical 83 composition of the alloy [21, 22]. Also, due to the beneficial effect of W in solid solution 84 on the ferritic crystal lattice of T/P92 steels, investigation on its effect at higher 85 concentration for the long-term creep was carried out. Researchers found that although W is 86 beneficial to short-term creep, under long-term creep Laves phase (Fe<sub>2</sub>W) precipitates 87 heterogeneously at grain and laths boundaries resulting in solid solution strengthening loss 88 [23, 24]. Currently, the investigations on Z and Laves phase precipitation kinetics have 89 become a very important research field, which has had significant advances aiming to 90 obtain Z phase particles after heat treatment, before service time [21]. Therefore, as design 91 concept, Z and Laves phases could be used as reinforcement particles to promote 92 precipitation hardening, with small particle size and even distribution throughout the 93 matrix, to enhance the creep resistance [25, 26]. The main objective of this paper is to 94 investigate a 12% Cr steel designed to have a high precipitation rate and driving force for Z 95 and Laves phase formation, respectively [27, 28]. Thermodynamic modeling, creep test and 96 characterization of precipitates after isothermal aging at 650°C were carried out.

## 98 2. Experimental procedure

# 99 2.1 *Thermodynamic modeling*

100 Thermo-Calc software package based on the CALPHAD method has been successfully 101 employed for alloy design considering multicomponent and multiphase systems [21, 28]. 102 The core of this method is the calculation of the Gibbs energy of a phase as a function of 103 both its composition temperature and pressure. Within this approach, the problem of 104 predicting equilibrium and evaluation of phase stability is essentially mathematical, 105 although far from simple due to the number of variables involved in the minimization 106 process [19]. The software is linked to various databases and interfaces, where all 107 thermodynamic information is stored as Gibbs energy. Upon modeling, time and costs of 108 trial-and-error for conventional alloy development can be reduced. All calculations were 109 carried out based on Thermo-Calc database TCFE8 [13,29].

# 110 2.2 Alloy production

The alloy studied was produced by vacuum induction melting. Its chemical composition is shown in Table 1. The sample was hot forged at 1150°C with an area reduction of 70% and subsequently air-cooled. Heat treatment considers an austenization at 1070°C for 0.5 h and air-cooling, followed by 780°C/2h tempering.

115 2.3 Creep tests and isothermal aging

Tensile creep tests in air at 650°C ( $\pm$ 5K) with constant load between 80 and 250MPa were used to determine the creep rupture times. Standard cylindrical samples according to DIN50125 B 4×20 were used. Additionally, samples of 1x1x1 cm were isothermally aged at 650°C for 1440 h and 8760 h to investigate the evolution of precipitates.

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#### 122 2.4 Characterization

123 Transmission Electron Microscopy (TEM) and High Resolution Transmission Electron 124 Microscopy (HRTEM) were used in order to characterize the microstructure of the alloy. A 125 Jeol JEM-2010F (TEM) and JEM-2100 (HRTEM) microscopes equipped with Energy 126 Dispersive Spectroscopy (EDS) analyzer were used. In order to avoid the effect of the 127 martensitic/ferritic matrix during the characterization, conventional bulk carbon replication 128 was used [9, 30]. The main purpose of carbon replica is to remove the magnetic effect of 129 matrix and to prevent overlaps of EDS and diffraction pattern between precipitates and 130 matrix [25, 31].

131 Chemical composition, crystalline structure and particle size of precipitates were measured 132 by qualitative EDS analysis. Diffraction pattern and Feret's diameter, were indexed using 133 an image software editor. Furthermore, to ensure a good reliability of measurements, more 134 than 100 particles per each precipitates were analyzed (average chemical composition and 135 particle size) [1].

The d-spacing obtained by diffraction pattern was compared to values found in literature. 136 137 Three types of crystalline structures were studied, face centered cubic, hexagonal closed 138 packing and tetragonal. More information on the relation between d-spacing of each 139 crystalline structure with its lattice parameter, refer to references [32, 33]. Table 2 shows 140 the lattice parameters considered for TaC carbide, Ta(C,N) carbonitride, TaN nitride, Z and Laves phase [26, 34]. In the case of Z-phase, lattices parameters "a" and "c" calculated by 141 142 Danielsen and Hald, and Ettmayer were considered [34, 35]. In some cases particles with 143 the chemical composition of Z phase can be indexed as tetragonal with a lattice parameter 144 of a=0.296 nm and c=0.739 nm (Danielsen and Hald) and in other cases particles can be 145 indexed considering the lattice parameters a=0.425 nm and c=0.733 nm (Ettmayer).

146 In order to index a single crystal diffraction pattern, the angle  $\theta$  between two planes, 147 (h<sub>1</sub>k<sub>1</sub>l<sub>1</sub>) and(h<sub>2</sub>k<sub>2</sub>l<sub>2</sub>) in a crystal system must be calculated. As an example for a tetragonal 148 system, the following equation can be used [32]:

149

Tetragonal system 
$$\cos \theta = \frac{\frac{(h_1h_2+k_1k_2)}{a^2} + \frac{l_1l_2}{c^2}}{\sqrt{\left\{\left(\frac{(h_1^2+k_1^2)}{a^2} + \frac{l_1^2}{c^2}\right)\left(\frac{(h_2^2+k_2^2)}{a^2} + \frac{l_2^2}{c^2}\right)\right\}}}$$
(1)

150

151 Depending on the crystalline structure and once the most probable diffracted planes are 152 identified, calculation of the angles between them and the comparison to formula (1) are 153 necessary. The difference between experimental measurements of the angle formed by two 154 planes in the diffraction pattern and angle  $\theta$  (theoretical) cannot exceed 1 degree.

155

## 156 **3. Results and discussion**

#### 157 *3.1 Alloy design*

158 12%Cr heat resistant steel with tailor-made microstructure was designed and produced in 159 order to obtain Z and Laves phase as stables precipitates at 650°C. To achieve this, 160 elements that increase the stability and driving force for the formation of Laves and Z phase 161 were added [27, 28]. It is widely known that in 9-12%Cr heat resistant steel precipitation of 162 Laves phase occurs during service with a low nucleation rate and a higher mobility of the 163 interface Particle/Matrix. Furthermore, the precipitation process usually starts after hundred 164 or thousand hours under service condition depending on the chemical composition as well 165 as on temperature. As an example, in T/P91 steel, precipitation of Fe<sub>2</sub>Mo-Laves phase was 166 observed around 10.000h at 550°C while in T/P92 steel Fe<sub>2</sub>(W, Mo) particles were found

167 after 2.000h at 650°C [5, 23]. In general, there are disagreements on the effect of Mo and W 168 in creep strength of 9-12%Cr steels [28, 36]. On one hand, researchers found that despite W 169 and Mo are beneficial to short term creep, under long term creep these elements segregate 170 at micro-grain boundaries promoting the heterogeneous precipitation of Laves phase, thus 171 losing the solid solution strengthening [3, 37]. On the other hand, the excellent long-term 172 stability of the W-alloyed 9Cr steel (T/P92) seems to contradict this finding. Experimental 173 evidence shows and quantifies that solid solution strengthening mechanism is sparse [2]. In 174 the design of creep resistant materials, size, dispersion, thermodynamic stability, growth 175 rate and coarsening rate of phases determine its contribution to creep resistance [11, 13]. Size and dispersion of phases can be controlled by the nucleation rate, and if a small 176 177 particle size distribution is obtained together with a low inter-particle distance, an increase in the Orowan stress can be achieved [10, 38]. Equation (2) describes the steady state 178 nucleation rate  $(J_s)$ , where Z denotes the Zeldovich factor,  $\beta^*$  is the rate at which atoms are 179 attached to the critical nucleus,  $N_0$  is the number of available nucleation sites per unit 180 volume,  $\Delta G_m^{\alpha \to \beta}$  refers to the maximum driving force for the  $\alpha \to \beta$  phase transformation,  $\sigma$  is 181 the interfacial energy of the  $\beta$  precipitates,  $V_m^{\beta}$  is the molar volume of the  $\beta$  phase, k is the 182 183 Boltzmann's constant, and T means the absolute temperature [4, 21]:

- 184
- 185

$$J_s = Z\beta^* N_0 \exp\left(-\frac{16\pi\sigma^3}{3(\Delta G_m^{\alpha\to\beta}/V_m^{\beta})^2}\frac{1}{kT}\right)$$
(2)

187 To increase the nucleation rate of Laves phase, the focus was to increase the driving force  $(\Delta G_m^{\alpha \to Laves})$  and the number of available nucleation sites per unit volume (N<sub>0</sub>) by the 188 addition of W and Cu, respectively. The addition of W increases the stability of Laves 189 190 phase at high temperature and Cu promotes the precipitation of Cu-rich particles which act 191 as nucleation sites for Laves phase [28, 39]. Thermodynamic information as phase 192 equilibrium diagram and the maximum driving force for Laves phase nucleation were 193 obtained in Thermo-Calc. The maximum driving force can be obtained by finding the 194 parallel tangent lines or surfaces passing through the alloy composition of the matrix. This 195 is a routine calculation in Thermo-Calc [1, 38]. Figure 1A shows the calculation carried out 196 in Thermo-Calc for the driving force of Laves phase in 12CrWTaCo, T/P91 and T/P92 197 steels. Figure 1B shows the effect of adding W or Mo to the driving force of Laves phase in 198 the designed alloy. Analyzing Fig. 1A it is clear that alloy 12CrWTaCo was designed to 199 have a nucleation rate of Laves Phase faster than conventional 9-12%Cr steels at tempering 200 temperature (780°C). Also, a higher driving force for Laves Phase can be achieved by 201 adding W instead of Mo (see Fig. 1B). The thermodynamic modeling predicts that Laves 202 phase stability can be increased to temperatures about 1000°C by adding 3.8% W and 1.0% 203 Cu.



Fig. 1 Maximum driving force variation for the  $F \rightarrow Laves$  phase transformation versus temperature (Kelvin). A) Driving force of Laves phase calculated for 12CrWTaCo, P91 and 206 P92. B) Effect of W and Mo in the driving force of Laves phase for the designed alloy.

210 The precipitation of Z phase was not focused on increasing the nucleation rate, since its formation does not follows the classical nucleation theory. Indeed, the formation of Z phase 211 212 is a diffusion controlled transformation following the sequence described below: i) 213 movement of Cr atoms from matrix to α-Ferrite/MX interface, ii) flux of Cr atoms across 214 the interface, and iii) formation of an alternating structure of Cr-rich and Ta-rich layers 215 inside the MX particle by the diffusion of Cr atoms [26, 27]. This process changes the FCC 216 crystalline structure (NaCl-type) of MX precipitates to a tetragonal Z phase structure [25]. 217 In general, in 9-12%Cr steels there are three types of Z phase precipitates: CrVN, CrNbN 218 and CrTaN, each one with a different precipitation rate. The CrTaN nitride has the fastest 219 kinetics of precipitation and CrVN the slowest one [35]. Hence, 0.8% Ta was added in order 220 to obtain faster precipitation kinetics of the CrTaN-Z phase in the designed alloy. 221 Furthermore, the addition of 4.2% Co was considered in order to stabilize an austenitic 222 phase field at high temperature, thus the martensitic transformation may take place

223 avoiding the formation of delta ferrite during solidification [1, 13]. Additionally, it has been 224 reported that Co addition accelerate the transformation of MX particles into Z phase [40, 225 41]. They have suggested that Co rises the Cr activity in the matrix, promoting the Z phase 226 formation. Figure 2 shows the phase diagram obtained in Thermo-Calc for the alloy 227 12CrWTaCo. It can be seen that Ferrite, Laves phase, Ta-MX, M<sub>23</sub>C<sub>6</sub> and Cu-rich particles 228 are the main stable phases at tempering (780°C) and service (650°C) temperature [18, 26]. 229 However, this should not be understood as the Z phase not being thermodynamically stable 230 for the alloy; in TCFe8 database, CrTaN-Z phase is not yet defined [29].

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Fig. 2 Thermo-Calc phase diagrams of the investigated alloy 12CrWTaCo (F=ferrite, A=austenite). Heat treatments and creep test temperature were included (1=Austenization temperature, 2=Tempering temperature, 3=Creep test and isothermal annealing temperature).

238 Additionally, thermodynamic calculations were carried out in order to obtain the 239 equilibrium composition of phases at the heat treatments and creep test temperature. the 240 chemical composition of phases at the austenization temperature (1070°C) are shown in 241 table 3 were attached. It is clear that equilibrium phases at this temperature are Austenite 242 and Ta-MX particles. On the other hand, table 4 shows the chemical composition of 243 equilibrium phases at tempering temperature (780°C). Similar results are shown in table 5; 244 however thermodynamic calculations were carried out at the creep test temperature 245 (650°C).

246

# 247 *3.2 Characterization of precipitates after heat treatment (780°C/2h)*

248 In order to check the accuracy of the Thermo-Calc software in comparison to the prediction 249 of the equilibrium phases, identification of precipitates after tempering at 780°C/2h were 250 carried out. The analysis on extraction replica shows that only Laves phase and Ta-MX are 251 present after tempering. Both phases were identified by EDS and diffraction pattern. It is 252 expected that Cu-rich particles are together with Laves phase particles; as a result an extra 253 pick from the Cu should appear in the EDS pattern of the Laves phase. However, the 254 identification was not possible due to the energies associated to the electronic transitions of 255 Cu atoms in the particle overlapping with those of the copper grid. On the other hand, 256  $M_{23}C_6$  carbides were not found in the microstructure, suggesting that this phase is not thermodynamically stable. In fact, metallic atoms composition of M<sub>23</sub>C<sub>6</sub> carbides (79Cr-257 14Fe-5W-2Mn-0.02Co) calculated from table 5 does not match with any of the analyzed 258 259 particles in table 6. This can be explained by the higher affinity of C for Ta compared to Cr 260 and the high content of Ta in the alloy. Consequently, Ta-MX particles consume all the 261 available carbon during their precipitation process [42]. It is probably that for higher carbon

content,  $M_{23}C_6$  carbides can be thermodynamically stabilized in the alloy. Furthermore, in case there is no formation of  $M_{23}C_6$  carbides [13], an increase in the available nucleation sites of Laves phase can be expected. Figure 3 shows an image taken from an extracted replica sample of the alloy 12CrWTaCo which still keeps the distribution of precipitates along prior austenite grain boundary, lath boundary and inside the grain. From this image it can be seen the different nucleation sites of particles depending of its precipitation kinetics.



268

Fig. 3 TEM image of an extracted replica of the alloy after tempering (780°C/2h). Ta(C, N)

271 carbonitrides (white arrows), TaC carbides (gray arrows) and Laves phase (black arrows).

272 The green dotted line represents a prion austenite grain boundary and a lath boundary.

The characterization of Laves phase is shown in fig. 4, EDS analysis identified that forming elements are Fe, Cr and W. Also, the atomic composition of Laves phase was measured and incorporated in table 7. As it can see results are in good agreement with the predicted composition by ThermoCalc. Moreover, indexation of diffraction pattern (Fig. 4 B)

confirms the typical hexagonal crystal structure of this phase and the presence of stacking faults can also be appreciated [35]. As shown in Table 7 a relatively small particle size of Laves phase was reached after tempering (196 nm). This combined with a high volume fraction of the Laves phase is suitable to generate a pinning force for dislocation glide and interfaces migration.

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Fig. 4 TEM image of an extracted replica of the alloy after tempering (780°C/2h). A) Image
of Laves phase. B) Diffraction pattern of Laves phase. C) EDS analysis of Laves phase.

Two types of Ta-MX particles were identified depending on the nucleation sites and its average size (see fig. 3) [13]. First, TaC carbides were found along prior austenite grain boundaries and block boundaries with a mean particle size of 196 nm, these particles were formed during solidification which did not dissolve during austenization heat treatment [43,

292 44]. On the other hand, Ta(C, N) carbonitrides (30 nm) were observed along sub-grain and 293 lath boundaries, these precipitates were formed during tempering [13]. Also, the average 294 chemical composition of metallic atoms of Ta-MX particles was measured by EDS analysis 295 (about 100 particles were analyzed, see Table 6) finding that Cr and Fe atoms are dissolved 296 in Ta-MX particles. In addition, many hybrid MX/Z particles (see Table 6) were identified 297 by EDS analysis with the relation  $30 \le [Fe] + [Cr] \le 40$  at.% [9]. The high frequency of this 298 hybrid particle after tempering suggests that transformation of MX into Z phase has already 299 started [9, 27]. The Figure 5 show a Ta-MX particle with its EDS analysis and diffraction pattern. The indexation of diffraction pattern with a  $[\overline{1}12]$  zone axis (Fig. 5 B) gives a face 300 301 centered cubic crystal structure consistent with the NaCl-type structure of the MX particles 302 [9, 10]. However, some satellite spots accompanying the principal reflections are also 303 observed in the diffraction pattern (Fig. 5 B) suggesting the existence of compositional 304 modulation associated to the formation of the alternating Cr-rich and Ta-rich layers due to 305 the beginning of the transformation into Z phase [24, 45].





Fig. 5: TEM image of an extracted replica of the alloy after tempering (780°C/2h). A)
Image of hybrid MX/Z particle. B) Diffraction pattern of particle in A. C) EDS analysis of
hybrid MX/Z particle.

3.3 Characterization of precipitates after isothermal aging at 650°C for 1488h and 8760
313 hours

The evolution of the mean size of the Laves particles and the phase transformation of Ta-MX into Z at 650°C was followed-up in isothermal aged samples for 1488 h and 8760 h. Furthermore, the evolution of the chemical composition of Laves phase during annealing it was followed. The measurements of its atomic composition suggest that all Laves phase particles are of the same nature and their evolution is not accompanied by any changes in the chemical composition [5]. On the other hand, as registered in table 7 it can be seen that

321 the initial particle size of the Laves phase obtained after tempering (780°C/2 h) increased 322 rapidly from 196 nm to 300 nm at 1440 h of exposure at 650°C which suggests a high 323 growth rate for the particle. Moreover, the growth of the Laves phase does not stop and 324 reaches a value of 400 nm at 8760 h. An explanation to this behavior lies on Laves phase 325 nucleation process. In order to minimize the activation energy barrier for the nucleation, the 326 Laves phase is formed with an orientation relationship to one of the martensitic/ferritic 327 grains. Therefore the nucleus will have a semicoherent, low mobility interface with one 328 adjacent grain and an incoherent mobile interface with another [13, 37]. Next, stable nuclei 329 grow toward the grain with an incoherent interface until reaching its volume fraction and 330 chemical composition of equilibrium [38]. Once the equilibrium is reached, reduction of the 331 interfacial energy of the system starts by the dissolution of small particles and the 332 redeposition of the dissolved species on the surfaces of larger particles (Ostwald ripenning) 333 [4]. Theoretically, W has a low diffusion coefficient in the martensitic/ferritic matrix 334 promoting a low coarsening rate. This suggests that rapidly increase in the mean particle 335 size of Laves phase is based on growth stage. Probably, the high W content (3.8% wt) 336 excessively increased the matrix supersaturation, promoting a high growth rate [13, 28]. 337 The table 8 show the calculations of the interface velocity between Laves and  $\alpha$ -ferrite  $(v^{Laves/\alpha})$  for the evolution of the mean particle size, according to the registered values in 338 339 table 7. The interface velocity describes how fast the interface moves during the entire precipitation process and it is an indirect measurement of the growth and coarsening rate 340 341 [13, 21]. At the initial conditions (T=650°C, t=0) the interface Laves/ $\alpha$  moves into martensitic/ferritic grains with a high rate of  $1 \times 10^{-3}$  nm/s. After 1440h of isothermal aging 342 (650°C) the interface velocity decay two orders of magnitude  $(1.4 \times 10^{-5} \text{ nm/s})$  probably due 343 344 to a reduction of the growth driving force. Afterward, several thousands hours later (8760

h) the interface velocity decreases to  $3.2 \times 10^{-6}$  nm/s. As the Laves/ $\alpha$  interface moves toward 345 346 the martensitic/ferric grain consumes W in solid solution since the composition of the 347 matrix reach to the equilibrium value [1,3]. This process reduces the supersaturation of the 348 matrix and consequently the interface velocity of Laves phase particles decreases [13, 46]. 349 Finally, when all the available tungsten is consumed from the matrix during the growth of 350 Laves phase and thus the matrix concentration reaches its equilibrium value, the coarsening 351 stage starts. Probably the interface velocity at the coarsening stage should be even slower, 352 therefore, a slow coarsening rate it is expected for Laves phase [46].

353

354 The transformation of Ta-MX into Z phase was investigated by subjecting the chemical 355 composition of particles in extracted replica on the aged samples to EDS-TEM analyses. It 356 was found that Ta-MX, Hybrid MX/Z and Z phase are present in the sample of 1488h with 357 a predominance of Z phase (see Table 6). In contrast, in sample aged for 8760 hours no Ta-358 MX particles were found and the transformation into Z phase is almost completed; some 359 hybrid particles were observed. For the identification of Z phase by EDS analysis the 360 relation [Cr]+[Fe]  $\approx$  1.3[Ta] was considered. In addition to this, indexation of diffraction 361 pattern of some particles has been made [25, 26]. Figure 6A shows a zone of the extracted 362 replica of sample aged for 8760 hours which was used for the identification of Z and Laves 363 phase. In general, most of the Ta-MX reaches the chemical composition and tetragonal 364 crystal structure of Z phase. Analysis of diffraction pattern in Fig. 6B confirms a pure 365 tetragonal Z-phase crystal structure with a lattice parameter a=0.296nm and c=0.739nm, 366 taken from a small Z phase particle probably formed from a previous Ta(C, N) carbonitride 367 [26, 27].



369

Fig. 6 TEM image of an extracted replica of the alloy after isothermal aging at 650°C after
8760 h. A) Z phase (white arrows) and Laves phase (black arrows). B) Diffraction pattern
of CrTaN-Z phase.

374 On the other hand, in Fig. 7B the diffraction pattern taken from the encircled particle in Fig. 375 7A shows a tetragonal Z phase crystal structure. The indexation was carried out considering 376 the lattice parameter found by P. Ettmayer, a=0.425 nm and c=0.733 nm. Furthermore, a strong spot (overlapping) of the plane  $(\overline{1}\overline{1}\overline{1})$  can be observed [25-27]. This may be related 377 378 to a residual trace of the MX cubic structure since some region in the particle is not 379 transformed. In fact, family planes {111} from the TaC/FCC crystal structure have a 380 similar d-spacing compared to family planes {111} of tetragonal structure reported by P. 381 Ettmayer [34], 0.263nm and 0.278 nm, respectively. This suggests two things; i) particle 382 size of Ta-MX can affect the Z phase transformation [25] and ii) TaC carbides have a 383 kinetics of transformation which is different than Ta(C, N) carbonitrides.



384

Fig. 7 A) Image of CrTaN-Z phase particle in an extraction replica of the alloy after 8760 h
of isothermal aging at 650°C. B) Diffraction pattern of the encircled CrTaN particle in A.
C) EDS analysis from particle indicated in A.

389 As mentioned above it possible that TaC carbides could transform into Z-phase, however 390 its kinetic differs quite from Ta(C, N) and TaN particles. Probably out-diffusion of C 391 control the transformation rate from TaC to Z-phase [47, 48]. This scenario it is suggested 392 from analysis of figure 8, a coarse particle was chemically identified as Z-phase [25, 26]. 393 However, the diffraction pattern of the encircled particle in fig. 8A may not be indexed as 394 tetragonal (see fig. 8B) [25]. Indeed, the particle showed a fcc-like diffraction pattern with 395 a lattice parameter of about 0.45 nm, corresponding to a TaC carbide. Although Cr diffuses 396 into TaC particles, it is probably that remaining C in interstitial sites delay the transition 397 from the NaCl-type crystalline structure (fcc) to a tetragonal system [9, 25]. It is expected that this behavior is maximized in the center of the particle and then continuously decreases as it is moved towards the particle/matrix interface. Perhaps, the out-diffusion of C atoms is easier near to the surface of the particle, thus N atoms can diffuse into the particle and occupy interstitial sites [19, 27]. Consequently, Cr, C and N gradients inside the particle may create the required condition to generate a core-shell structure between TaC/Z-phase particles [9, 48].





406 Fig. 8 A) Image of CrTaN-Z phase particle in an extraction replica of the alloy after 8760 h
407 of isothermal aging at 650°C, at the top right is the atomic composition of metallic atoms.
408 B) Diffraction pattern of the encircled CrTaN particle in A.

Furthermore, hybrid particles found at 8760 h suggest that several TaC carbides could not complete the transformation into Z-phase but an Cr enrichment occurs. Also, it is expected that hybrid particles showed a smooth transition from Cr-rich regions, with chemical composition close to Z-phase, towards Cr-poor regions, with compositions close to Ta-rich MX [9, 48]. Finally, analyzed particles with a chemical composition similar to the

415 described for CrTaN-Z phase have two types of crystalline structure depending of its size 416 and composition of preexistent Ta-MX: i) Tetragonal and ii) NaCl-type crystalline 417 structures. The indexation of diffraction pattern taken from several Z-phase particles as 418 tetragonal confirms the fully transformation of Ta-MX in tetragonal Z phase similar to the 419 CrNbN-Z phase of Austenitic Stainless Steels [25, 41].

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421 *3.4 Creep test* 

422 The results of the creep tests for the designed alloy (12CrWTaCo) are shown in figure 9. 423 Also, creep data of P91 and P92 steel under similar conditions are input for comparison 424 purposes [13, ;Error! Marcador no definido.]. Creep tests at 650°C show higher creep 425 strength for alloy 12CrWTaCo compared to alloy P91 and P92 steels in the initial stage. 426 However, around 3650 h under creep exposure the rupture occurs with lower creep strength 427 than P91 and P92 steels. Although, a good dispersion of Ta-MX after tempering and high 428 precipitation kinetics of Z phase at 650°C were achieved, a high growth rate of Z phase 429 was observed at 650°C (see Table 7). H. K. Danielsen et al. [49] investigated the interface 430 between the ferrous matrix and nitride precipitates in 12%Cr steels by high resolution 431 transmission electron microscopy finding that TaN and CrTaN are enveloped in an 432 amorphous shell of a few nm thick. This amorphous shell is considered to have a high 433 interfacial energy and it probably promotes a fast precipitation rate and high growth rate of 434 the Z-phase in the designed alloy. On the other hand, the presence of large particle can 435 accelerate the Ostwald ripening mechanism during the coarsening stage when the volume 436 fraction of Z-phase reaches the equilibrium value [21, 43].



438

Fig. 9 Tensile creep test showing time to rupture values as a function of applied stress for
alloys 12CrTaWCo at 650°C. Creep tests results of P91 and P92 steels under similar
conditions are shown as reference data.

443 Figure 10A and 10B show the growth of Z phase in the aged samples at 650°C for 8760 h. 444 It has been reported that this type of growth is related to the presence of  $Cr_2N$  particles [50]. 445 In regards of Laves phase, a similar behavior was observed; although it precipitates with 446 relatively small particle size after tempering (192 nm) at 650°C/8760 h Laves phase reaches 447 a mean radius of 401 nm. Investigation on the growth kinetics of Laves phase in 12%Cr 448 creep resistant steels carried out by O. Prat et al. [51] suggested that Laves phase has an interfacial energy of 0.6  $J/m^2$  due to its incoherent interface with the matrix. Furthermore, 449 450 3.8% W can also affect the growth driving force, hence higher growth rate is expected for 451 Laves phase. Also, agglomerations of Laves phase in grain and lath boundaries were 452 observed during TEM analysis.



Fig. 10: Growth of Z phase at 8760 h of isothermal aging at 650°C. Image of an extracted
replica, A) Z phase (white arrows) and Laves phase (black arrows). B) Z phase (white
arrows).

454

459 In conclusion, the precipitation of Laves phase after tempering can be reached by the 460 addition of W and Cu while a high kinetics of precipitation of Z phase at 650°C can be 461 achieved by the addition of Ta and avoiding the formation of  $M_{23}C_6$  carbides [13, 26]. 462 However, it was not possible to achieve the same behavior for P91 and P92 steel since 463 Laves and Z phases showed high growth rates. Thus, the degradation of the microstructure 464 during creep exposure at 650°C cannot be avoided due to the loss of the precipitation 465 strengthening caused by the rapid increase of the particle size. In P92 steel the stabilized 466 M<sub>23</sub>(C,B)<sub>6</sub> carbides and V-MX particles avoid the recovery of subgrain, lath and block 467 structure in the long-term creep due to the slow coarsening rate of these particles associated 468 to lower interface energies [27, 43]. To the authors point of view, the  $M_{23}(C,B)_6$  carbides 469 assure the primary creep strength to the heat resistant steels. Consequently, the  $M_{23}(C,B)_6$ 470 carbides should be included as precipitation hardening particles to ensure long term creep 471 strength. Finally, further investigations are needed regarding the growth and coarsening472 stage of Laves and Z phase.

473

475

474 **4.** Conclusions

A 12%Cr heat resistant steel was designed and produced to contain Laves phase and TaMX as stable phases. Thermodynamic modeling and a microstructural study by
transmission electron microscopy were carried out in order to investigate the precipitation
of Laves phase. Also, the transformation of Ta-MX into Z phase at 650°C was investigated.
The conclusions of this study are summarized as follows:

481

The precipitation of Laves phase can be treated as a nucleation process, where by
increasing the driving force and the number of available nucleation sites per unit
volume the formation of Laves phase at high temperatures can be achieved. Indeed,
by adding 3.8%W the stability of Laves Phase rises up to temperatures around
1000°C and 1%Cu increases the available nucleation sites. Indeed, the precipitation
of Laves phase after tempering (780°C/2h) was achieved with a mean particle size
of 196 nm.

489

The identification of Laves phase was carried out by TEM diffraction pattern and
 EDS-analysis. Diffraction pattern shows a hexagonal close packed crystal structure
 and the EDS identified the main elements of Laves phase as Fe, Cr and W.

493

Measurements of the particle size of Laves phase showed a rapid increment from
 196 nm (after tempering 780°C/2h) to 401 nm (isothermal aging 650°C/8740h). This

496 suggests a fast growth rate for Laves phase due to a high supersaturation and the 497 formation of an incoherent interface with the matrix (highly mobile interface). 498 499 The phase transformation of Ta-MX into Z phase was studied by analyzing the 500 chemical composition of MX particles (only metal atoms were considered) in the 501 alloy after tempering (780°C/2 h) and isothermal aged (1440h and 8760h). Ta-MX 502 and hybrid Ta-MX/Z phase particles were identified confirming that precipitation of 503 Z phase starts during tempering at  $780^{\circ}C/2$  h by the formation of hybrid Ta-MX/Z 504 phase particles. No Z phase was found after tempering. 505 506 After isothermal aging at 650°C for 1488 hours, EDS analysis of the [Cr]+[Fe]/[Ta] 507 ratio of particles confirms the transformation of around 70% of Ta-MX into Z 508 phase. The relation  $[Cr]+[Fe]/[Ta]\approx 1.3$  was considered in order to identified Z 509 phase. 510 511 At 8760 h of isothermal aging at 650°C, Ta-MX particles are fully transformed into • 512 Z phase and no Ta-MX particles were found. Analysis of TEM diffraction pattern of 513 particles confirms a tetragonal crystal structure similar to the CrNbN-Z phase in 514 austenitic steels. However, some diffraction pattern taken from coarse Z-phase can 515 be indexed as NaCl-type crystalline structure suggesting that TaC carbides has a 516 different kinetic of transformation. The mean particle size at this stage of Z and 517 Laves phase is 143 nm and 401 nm, respectively.

519	• A high growth rate is observed for Z phase suggesting that the effect of an
520	amorphous interface is similar to an incoherent interface with the matrix. Also, the
521	presence of larges Z phase particles and Cr <sub>2</sub> N may accelerate the growth rate.
522	
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531	

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# Tables

Table 1: Chemical composition of the 12CrWTaCo alloy (wt%).

Fe	Cr	Mn	Та	W	Cu	С	В	Ν	Si	Со
Balance	12.9	0.6	0.85	3.8	1.0	0.06	0.001	0.05	0.5	4.2

Table 2: Lattice parameters considerer for TaC, Ta(C,N), TaN, Z and Laves phase.

Phase	Crystal structure	a (nm)	c (nm)
TaC	FCC	0.455	-
Ta(C,N)	FCC	0.437	-
TaN	FCC	0.434	

CrTaN-Z phase	Tetragonal (Danielsen and Hald)	0.296	0.739
	Tetragonal (Ettmayer)	0.425	0.733
Laves phase	НСР	0.474	0.673

Table 3: Chemical composition (at.%) of the equilibrium phases at Austenization temperature (1070°C).

Phase	Fe	Cr	Co	W	Si	Mn	Cu	Та	С	Ν
Austenite	77.79	14.17	4.07	1.18	1.02	0.62	0.9	0.018	0.171	0.062
Ta-MX	-	2.52	-	0.076	-	-	-	47.75	41.33	8.32

Table 4: Chemical composition (at.%) of the equilibrium phases at tempering temperature

(780°C).

Phase	Fe	Cr	Co	W	Si	Mn	Cu	Та	С	Ν
Ferrite	79.47	13.76	4.22	0.28	1.05	0.63	0.59	-	-	-
Laves	42.53	12.48	0.44	32.87	0.125	0.22	1.13	0.015	-	-
$M_{23}C_{6}$	15.81	57.22	0.059	5.15	-	1.07	-	-	20.69	-
Ta-MX	-	1.48	-	0.038	-	-	-	47.36	47.43	2.54
Cu-rich	0.53	0.19	0.028	2.04	-	0.88	96.50	-	-	-

Table 5: Chemical composition (at.%) of the equilibrium phases at creep test and isothermal aging temperature (650°C).

Phase	Fe	Cr	Co	W	Si	Mn	Cu	Та	С	Ν
Ferrite	79.94	13.69	4.25	0.14	0.16	0.62	0.30	-	-	-
Laves	42.40	14.16	0.28	32.95	0.16	0.27	9.78	0.032	-	-
$M_{23}C_{6}$	11.45	62.3	0.02	3.75	-	1.83	-	-	20.69	-
Ta-MX	-	2.086	-	0.013	-	-	-	47.94	48.41	1.55
Cu-rich	0.29	-	0.013	1.53	-	1.08	97.00	-	-	-

Table 6: Average composition of Laves phase, Ta-MX and Z phase in the alloy after tempering and isothermal aging. The chemical composition was measured by EDS analysis and only metal atoms were considered.

Sample	Sample Particles Particle Type		Frequency	Avera	Average chemical				
Measured					composition (at. %)				
				Cr	Та	Fe	W		
	100	TaC or	58	15	78	7	-		
		Ta(C,N)							
As-Treated		Hybrid MX/Z	42	31	61	8	-		
		Z phase	Not found	-	-	-	-		
	40	Laves	100	16	-	46	38		
	100	TaC or	13	12	82	4	-		
		Ta(C,N)							
650°C 1488 h		Hybrid MX/Z	17	29	63	7	-		
		Z phase	70	55	37	8	-		
_	40	Laves	100	15	-	47	38		
	100	TaC or	Not found	-	-	-	-		
		Ta(C,N)							
650°C 8760 h		Hybrid MX/Z	7	36	58	6	-		
		Z phase	93	54	41	5	-		
-	40	Laves	100	16	-	48	37		

Table 7: Average particle size of precipitates after isothermal aging at 650°C (time in hours and size in nanometers), error =  $d\pm k_1 \times S$  where  $k_1 = 1.96/\sqrt{n}$  and n is the number of measured precipitates.

Phase	0 h	1488 h	8760 h
Ta(C, N)	$30 \pm 2$	-	-
TaC	$137 \pm 15$	$138 \pm 15$	-
Laves	$196 \pm 35$	$300 \pm 34$	$401 \pm 41$
Ζ	-	$80 \pm 15$	$143 \pm 15$

Table 8: Interface velocity of Laves phase during its precipitation at 650°C in the alloy 12CrWTaCo. The calculi were carried out with the formula  $(v^{Laves/\alpha} = \frac{x}{2t})$  where "x" is the mean radius of the particle at the time "t". The interface velocity at t=0 was calculated by the set conditions during tempering (780°C/2h).

Time (h)	Interface velocity (nm/s)
0	$1.0 \times 10^{-3}$
1440	$1.4 \mathrm{x} 10^{-5}$
8760	$3.2 \times 10^{-6}$