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3 **1 Original Article**
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7 **3 Influence of functional rider and horse asymmetries on saddle force distribution during**
8 **4 stance and in sitting trot**
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63 **Abstract**
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65 28 Asymmetric forces exerted on the horse's back during riding are assumed to have a
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67 29 negative effect on rider-horse interaction, athletic performance and health of the horse.
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69 30 Visualized on a saddle pressure mat they are initially blamed on a non-fitting saddle. The
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71 31 contribution of horse and rider to an asymmetric loading pattern, however, is not well
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73 32 understood. The aim of this study was to investigate the effects of horse and rider
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75 33 asymmetries during stance and in sitting trot on the force distribution on the horse's back
76
77 34 using a saddle pressure mat and motion capture analysis simultaneously. Data of 80 horse-
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79 35 rider pairs (HRP) were collected and analyzed using linear (mixed) models to determine the
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81 36 influence of rider and horse variables on asymmetric force distribution. Results showed high
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83 37 variation between HRP. Both, rider and horse variables revealed significant relationships to
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85 38 asymmetric saddle force distribution ($P < 0.001$). During sitting trot, the collapse of the rider
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87 39 in one hip increased the force on the contralateral side and the tilt of the rider's upper body to
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89 40 one side led to more force on the same side of the pressure mat. Analyzing different subsets of
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91 41 data revealed that rider posture as well as horse movements and conformation can cause an
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93 42 asymmetric force distribution.
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95 43 Since neither horse nor rider movement can be assessed independently during riding, the
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97 44 interpretation of an asymmetric force distribution on the saddle pressure mat remains
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99 45 challenging and all contributing factors (horse, rider, saddle) need to be considered.
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106 48 *Keywords:* Horse-Rider Interaction; Collapse; Tilt; Saddle Pressure; Inertial Measurement
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123 **51 1. Introduction**
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125 52 The interpretation of an asymmetric saddle pressure pattern is challenging. The difficulty
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127 53 lies in determining whether an asymmetric loading is related to the saddle, the horse or the
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129 54 rider, and if an asymmetry of the horse or the rider is the causative or contributory factor in
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132 55 the complex horse-rider-saddle interaction.

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134 56 Devices to measure saddle pressure have been validated and are used to visualize the forces
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136 57 exerted onto the horse's back [1]. One of their main applications is to assess saddle fit during
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138 58 riding. Therefore, an uneven saddle pressure distribution is initially blamed on a non-fitting
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140 59 saddle. Nevertheless, it is assumed that horse and rider can also cause an asymmetric saddle
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142 60 pressure pattern regardless of saddle fit [2].

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144 61 Asymmetric forces are suspected of having negative effects on rider-horse interaction through
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146 62 weight aids, on athletic performance and health of the horse [2,3]. Therefore, the awareness in
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148 63 the research community for this topic is increasing. However, little is known about how the
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150 64 movement of the horse and the posture of the rider influence the dynamic force distribution
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152 65 under the saddle. Quantifying these interactions is more challenging than measuring the forces
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154 66 underneath the saddle.

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156 67 Asymmetries related to the horse's back shape and movement have been shown to induce
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158 68 saddle slip [4]. In this study, observed saddle slip defined as a consistent slip to one side, was
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160 69 highly related to hindlimb lameness and associated with thoracolumbar shape and a crooked
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162 70 seat of the rider. The same study reported asymmetrical hair wear in horses with asymmetrical
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164 71 saddle movement, indicating asymmetric forces exerted on the horse's back. However, force
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166 72 distribution underneath the saddle was not measured and the crookedness of the rider was not
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168 73 quantified.

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170 74 To objectively assess the lateral saddle movements in relation to the movements of horse and
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172 75 rider, a recently published study applied optical motion capture and a saddle pressure mat in
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76 non-lame horses ridden on a treadmill [5]. Their findings emphasized that the lateral
77 displacement of the saddle is equally related to horse and rider movement asymmetries.
78 Although they were using a saddle pressure mat they did not investigate the effect of saddle
79 slip and rider position on the loading pattern.
80 Another recent study investigated the effects of saddle roll on rider kinematics, horse
81 locomotion and saddle pressure distribution in sound horses over ground [6]. Results showed
82 that while the saddle rolled to the outside the rider tended to lean inside with his trunk to
83 maintain a straight position. After correction of the saddle's roll instability the rider's centre
84 of mass became more aligned to the midline of the horse, indicating that an asymmetric
85 saddle positioning influences rider kinematics significantly. Using a saddle pressure mat they
86 could show that before correction of saddle roll, saddle pressure was higher in the thoracic
87 region contralateral to the direction of saddle roll. However, the authors emphasised the need
88 for further research to determine if rider asymmetry or horse movements induce saddle roll, or
89 if the rider's posture is a function of saddle roll.
90 In a previous study, asymmetrical loading by the rider was shown to influence the force
91 distribution underneath the saddle in the standing horse and the saddle could not compensate
92 for different positions of the rider [1]. Compared to a centred position of the rider on the horse
93 different rider postures such as leaning forward, backward and tilting to the right increased the
94 force underneath the saddle in the area towards which the rider was leaning. However, how
95 tilting of the rider's upper body to one side affects the force distribution underneath the saddle
96 in motion has not been investigated.
97 Quantifying the rider's movement under field conditions is a challenging task, which has been
98 attempted with different methods. Some studies have applied video analysis and have shown
99 rider asymmetries in axial rotation and range of movement of the shoulders [3], while others
100 have relied on inertial measurement techniques [7–11] to either quantify the dynamics of

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243 101 certain body segments (e.g. pelvis kinematics [7]), or, using full-body inertial measurement
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245 102 suits, to determine the movements of different body segments in relation to each other [10].
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247 103 Therefore, rider asymmetries were quantified by a study as the left-right discrepancies in the
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249 104 angle of external rotation of the hip joint [11] and another study applying a full-body inertial
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251 105 measurement suit, found that the investigated riders' head, trunk, and pelvis showed a slight
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253 106 tilt to the right [10]. Results of all these studies confirmed anecdotal beliefs that most riders
254
255 107 sit and move asymmetrically. This high prevalence of asymmetries in riders emphasises the
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257 108 importance of a better understanding of their effects on saddle pressure.
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259 109 Based on anecdotal knowledge a widespread asymmetric riding posture seems to be the
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261 110 collapse in one hip (also referred to as sitting crookedly) and it was previously defined as a
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263 111 subjectively asymmetric position of the left and right shoulders and/or left and right tuber
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265 112 coxae of the rider [12]. While it is assumed to influence the force distribution underneath the
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267 113 saddle [2], it is unknown if this posture increases the force under the saddle either on the same
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269 114 or the opposite side of the collapsing hip [13].
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271 115 Despite this considerable body of research many of the cited studies were limited by small
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273 116 sample sizes or subjective measurement techniques. The aim of the present study was to
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275 117 quantify functional asymmetries in riders and horses in motion and to investigate the
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277 118 corresponding loading pattern on the horse's back by combining an inertial measurement suit
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279 119 and saddle pressure testing under field conditions in a large number of horse-rider pairs
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281 120 (HRP). The objectives were to determine how saddle pressure is affected by riders collapsing
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283 121 in one hip or tilting with their upper body to one side, and how it is influenced by
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285 122 conformational and movement asymmetries of the horse.
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287 123 It was hypothesised that (1) the rider collapsing in one hip increases the force underneath the
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289 124 saddle on the contralateral side of the saddle pressure mat (Fig. 1) and (2) sideways tilting of
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303 125 the rider's upper body increases the force underneath the saddle on the same side the rider is
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305 126 tilting to (Fig. 2).

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309 128 **2. Materials and Methods**

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312 129 This study has been approved by the Animal and Welfare Commission and the Ethical
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314 130 Commission of the Canton of Zurich, Switzerland. Written informed consent for data
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316 131 collection was obtained from the participants prior to the study.

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320 133 *2.1. Study design*

322 134 HRP were recruited on a voluntary basis. The eligibility requirements were the following:
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324 135 minimal age of eighteen years for riders and five to eighteen years for horses as well as the
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326 136 absence of any medical condition (of rider and horse, rider's perspective) that would limit the
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328 137 current equestrian activity. Horses of any breed and withers height were eligible, but they had
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330 138 to be exercised at least twice a week by the respective rider and used as a leisure horse or in
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332 139 jumping, dressage, eventing or endurance discipline.

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337 141 *2.2. Horses, riders and saddles*

339 142 Initially, 236 HRP were assessed. The present study comprised only non-gaited horses
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341 143 being ridden with an English saddle type. To prevent any gait-asymmetry associated bias
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343 144 horses were graded with a score from 0 to 3 (0=sound; 1=asymmetric; 2=irregular, but fit to
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345 145 compete; 3=lame, not fit to compete) based on a routine orthopaedic examination on a flat,
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347 146 hard surface. Eight horses were deemed sound, 45 horses showed grade 1 gait-asymmetries in
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349 147 one or more limbs, 41 horses showed irregularities (grade 2) in one or more legs. Horses with
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351 148 grade 3 were excluded. To prevent any saddle-asymmetry associated bias, only HRP with
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353 149 saddles that had subjectively been assessed as symmetrical were included (96 HRP were
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363 150 excluded due to asymmetric attachments of the panels and inhomogeneous flocking).
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365 151 Examination of the horses and manual assessments of the saddles were carried out by two
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367 152 professionals (M.W. and S.L.), both with many years of experience in such assessments.
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369 153 All of these criteria resulted in the inclusion of 80 HRP in this study.
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371 154 To account for conformational asymmetries each horse's shoulders were assessed
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373 155 independently by the abovementioned veterinarians, while the horses were standing still and
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375 156 square. If one shoulder was protruding more (laterally and/or dorsally) than the other, this was
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377 157 recorded as the subjectively more prominent shoulder.
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379 158 The age of the included horses ranged from 5 to 18 years (7.8 ± 2.8 years; mean \pm SD), height
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381 159 at the withers from 146 to 178 cm (166.7 ± 6.0 cm) and body weight estimated by a weight
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383 160 tape "Equimax" from Virbac (Virbac SA, Carros, France) from 407 to 731 kg (567.2 ± 54.5
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385 161 kg). 32 horses were used for jumping, 19 for dressage, 9 for eventing, 2 in endurance and 18
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387 162 as leisure horses. The study group comprised 47 geldings, 31 mares and two stallions. Breeds
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389 163 included Warmbloods (n = 66), Pure Spanish Horses (n = 6), one Franches-Montagne, one
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391 164 Thoroughbred, one pony, one Friesian and some mixed breed horses (n = 4).
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393 165 The riders, 73 females and seven males, were of different skill levels from novice to expert
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395 166 and ranged in age from 18 to 72 years (37.3 ± 11.6 years), in height from 157.5 to 188.5 cm
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397 167 (171.5 ± 0.1 cm), and in body mass (including riding clothing and boots) from 48.7 to 102.1
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399 168 kg (68.5 ± 11.6 kg). To assess for functional laterality in the rider, their handedness was
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401 169 recorded with a survey prior to the examination day. Additionally, to control for laterality in
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403 170 the lower body, a reactivity test was carried out by gently pushing them forwards with their
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405 171 eyes shut. The leg they protracted and landed on (further referred to as take-off-leg; TOL) was
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407 172 recorded. The distributions of these variables can be found in Table 1, line *Sitting Trot*.
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409 173 The saddles included 35 dressage, 33 jumping and 12 eventing saddles. Depending on the
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411 174 preference of the rider, saddle force was measured without (n = 24) or with a saddle pad (n =
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423 175 56: 51 lambskin, four foam rubber, one felt pad). The stirrup length was set by the riders
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425 176 choosing their normal preferred length.
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429 178 *2.3. Kinetic and kinematic data*

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432 179 A pressure measuring saddle mat was used simultaneously with inertial measurement
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434 180 units (IMU) to collect kinetic and kinematic data during a riding test.

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436 181 The saddle force distribution was measured with the commercially available and previously
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438 182 validated Pliance Saddle System, Novel GmbH at a sampling frequency of 50 Hz [1]. The
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440 183 pressure mat consisted of two halves, each with 128 sensors. The halves were bridged in the
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442 184 middle, with a rostral and caudal gap along the spine with no sensors. Additionally, the mat
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444 185 halves were linked in the front and back with two Velcro strips to adjust the distance between
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446 186 halves individually to each horse's back. Care was taken to place the mat symmetrically on
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448 187 the horse's back. Before placing the saddle (with or without a pad) and tightening the girth,
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450 188 the mat was set to zero lying on the horse's back. The riders were instructed to mount from a
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452 189 raised platform while one of the researchers held the stirrup on the opposite side to prevent
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454 190 shifting of the saddle and pressure mat whilst the rider was mounting. Two saddle pressure
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456 191 mats were used to collect kinetic data during this project. Prior to and after every
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458 192 measurement series that comprised two to three consecutive measuring days (up to eight
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460 193 measurements per day) the saddle pressure mats were rechecked and recalibrated in a pressure
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462 194 calibration device.

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464 195 Horses and riders were equipped with the XsensTM MVN motion capture system (Xsens
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466 196 Technologies BV, Enschede, The Netherlands). IMUs combine gyroscopes, accelerometers
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468 197 and magnetometers from which orientation and translation of body segments were determined
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470 198 [14].
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483 199 In total, twenty IMUs (MTw Awinda Wireless Motion Tracker) were attached to horse and
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485 200 rider. During riding, the rider wore a MVN full-body sensor setup as described by Eckardt *et*
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487 201 *al.* (2014), except no IMUs were placed on the hands [10]. Riders were equipped by people
488
489 202 trained to this setup. The tight Xsens Awinda t-shirt included pockets for placement of
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491 203 sternum and shoulder inertial sensors, and the pelvis sensor was secured with a wide belt with
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493 204 Velcro straps to prevent slipping or rotation. The internal error check of Xsens gave a warning
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495 205 if sensors were not placed correctly (e.g. if sacrum and sternum sensor were swapped). Data
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497 206 were collected using the MVN Studio software with a measurement frequency of 60 Hz.
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499 207 Rider variables were calculated using the sternum and pelvis sensor (Fig. 1 & 2). On the horse,
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501 208 one sensor was adhered with double-sided adhesive tape to the horse's sacrum, the others with
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503 209 custom-made Velcro attachments to the horse's poll, sternum and right cannon bone, each
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505 210 sensor with a weight of 16g.
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507 211 The calibration procedure (horse standing still and square and as recommended by XsensTM,
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509 212 N-Pose of the rider, standing on the ground and arms neutral beside the body) was performed
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511 213 prior to data collection. All kinematic data of the rider were measured in relation to the
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513 214 calibrated pose.
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519 216 *2.4. Data collection*

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521 217 The measurements took place in eight different indoor riding arenas, all with a sand-fibre
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523 218 surface and of a size of 20-by-60-meter. The track was groomed prior to every measuring day.
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525 219 To accustom to the facility and the equipment each HRP performed a five to ten minutes self-
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527 220 selected warm-up. The majority of HRP travelled to the locations, only a few horses were
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529 221 familiar with the arenas.
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531 222 Data were collected during stance and while riding a given program consisting of walk and
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533 223 trot in a straight line and canter on a circle at the HRP's preferred speed. For the stance
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224 measurement, riders were instructed to sit straight (based on their own perception) and look
225 ahead. Only stance measurements where the horses stood still and square were included in
226 further analysis.

227 The riding program was first performed on the right rein and subsequently on the left rein.

228 The riders wore their own riding trousers with a tight T-shirt, complemented by the Xsens
229 Awinda Shirt. They used their standard tack consisting of the saddle (with or without saddle
230 pad) and the bridle.

231 For this study only data of sitting trot were included due to the symmetrical gait pattern of trot
232 and the presumably symmetric movement of the rider in the saddle (compared to rising trot;
233 different movements between half-cycles of a stride). The symmetrical riding movements
234 during sitting trot has been shown not to interfere with the horse's vertical movement [15].

235 Data were captured when the HRP was moving along the long side of the arena preventing the
236 measurements from being affected by the turns at the end of the long side. The riding test was
237 documented with a digital camcorder (Sony Europe Limited, Weybridge, United Kingdom)
238 mounted on an automatic tracking robot (Pixio by Move'n See, Brest, France) following the
239 radio emitter fixed to the horse's noseband.

240

241 *2.5. Data processing*

242 Kinetic raw data were exported from Pliance-X (Novel GmbH, Munich, Germany) and
243 kinematic raw data from Xsens MVN Studio (Xsens Technologies BV, Enschede, The
244 Netherland) into MATLAB (The Math Works Inc., Massachusetts, USA) for further
245 processing.

246 Saddle pressure data were linearly up-sampled by a factor 4 to get higher spatial resolution.

247 The region of interest underneath the saddle was bounded by creating a symmetric mask with
248 respect to the medial plane. A 10% threshold of the 20 highest mean pressure values of the

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603 249 up-sampled data was used to exclude non-relevant cells outside the saddle area. The masks
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605 250 were created for each saddle pressure measurement individually. The pressure was multiplied
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607 251 by the loaded area to calculate mean forces for each half of the mat. For each stride the mean
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609 252 force of the left side was subtracted from the mean force of the right side. This variable was
610
611 253 named as saddle force difference (SFD).
612

613 254 Kinematic data of the horses were double-integrated and filtered from acceleration to
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615 255 displacement according to calculations of a previous study [16].
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618 256 The kinetic and kinematic data were synchronized analytically using cross correlation in
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620 257 MATLAB (xcorr). The IMU signal was cropped at the beginning and end by 16 % in order to
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622 258 assure a complete overlap with regards to the saddle pressure signal. To match sample
623
624 259 frequency both raw signals were linearly interpolated (up-sampled) to 1000 Hz. Based on the
625
626 260 stride peak acceleration and orientation signal of the right forelimb IMU, continuous kinetic
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628 261 and kinematic data were split with custom-written MATLAB scripts into individual strides
629
630 262 starting with stance-on of the left forelimb and time-normalised to 100% stride.
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633 263 As horse movement symmetry variables the minimal and maximal differences in vertical
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635 264 displacement between left and right stride half-cycles of the head (HDmin, HDmax), sternum
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637 265 (SDmin, SDmax) and pelvis (PDmin, PDmax) sensors were used and calculated as previously
638
639 266 described for head and pelvis [17]. A positive value in HDmin or SDmin indicates less
640
641 267 downwards movement of the head or sternum during stance of the right front limb (for the
642
643 268 head would this be considered a horse with a right forelimb lameness in extreme cases), while
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645 269 a negative HDmin or SDmin would indicate less downwards movement during left front
646
647 270 stance. For PDmin, positive values indicate less downwards movement of the tuber sacrale
648
649 271 during stance of the right hindlimb (in extreme cases this would be considered a horse with a
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651 272 right hindlimb lameness), negative values indicate less downwards movement of the tuber
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653 273 sacrale during left hind stance.
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662
663 274 Rider symmetry variables were calculated as following, using position and orientation of the
664
665 275 sternum and pelvis sensor of the rider:

- 667 276 - The collapsing of the rider in one hip (termed collapse index; CI): difference of roll
668 277 rotation (around the longitudinal axis [18]) between sternum and pelvis sensor of the
669
670 278 rider (see Fig. 1)
671
672 279 - The sideways tilting of the rider's upper body to one side (termed tilt index; TI): angle
673
674 280 between a virtual line from sternum sensor to pelvis sensor of the rider and the sagittal
675
676 281 plane (regardless of the orientation of the sensors; see Fig. 2)
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679
680 282 SFD, horse, and rider symmetry variables were calculated as stride mean values during sitting
681
682 283 trot. For the stance measurement, SFD and rider symmetry variables were calculated as a
683
684 284 mean value over the whole measurement (due to no movement the horse symmetry variables
685
686 285 could not be calculated during stance).
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690 287 *2.6. Data analysis and statistics*

691 288 The influence of the following predictors on SFD were investigated with linear (mixed)
692
693 289 models. Rider variables included CI, TI, TOL and handedness; horse variables included
694
695 290 HDmin, HDmax, SDmin, SDmax, PDmin, PDmax (during sitting trot) and side of the more
696
697 291 prominent shoulder. In all sitting trot datasets, where data were analysed on stride basis, HRP
698
699 292 was included as a random factor to the mixed model. To determine the best fitting mixed
700
701 293 model, stepwise exclusion of non-significant predictors was done based on Kuznetsova et al.
702
703 294 (2017) [19]. The best fitting linear model was deemed as having the least number of
704
705 295 predictors and the highest R^2 . The initial model was fitted to different datasets, which were
706
707 296 created as outlined below, and the best model was determined for each dataset. Residuals of
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709 297 all reported models were scrutinised for heteroscedasticity and normal distribution.
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298 Significance levels were set to 0.05. All statistical analyses were carried out in R Studio
299 (version 3.4.4, packages stats and lme4).

300
301 *2.6.1. Stance*

302 A total of 60 HRP were included in the stance analysis. The selection was based on horses
303 standing still and square during the stance measurement. For each HRP, this dataset included
304 only the mean values of CI and TI over the length of the stance measurement, TOL,
305 handedness of the rider and the prominent shoulder of the horse. Relationships between SFD
306 and predictors were investigated using a linear model.

307
308 *2.6.2. Sitting trot*

309 From all 80 HRP in this study the influence of the predictors mentioned above (including
310 horse movement variables) on SFD was investigated by the aid of linear mixed models. This
311 dataset included in total 2323 strides (on average 29.0 strides per HRP).

312
313 *2.6.3. Most symmetric strides – horse*

314 To minimise the influence of asymmetric movements of the horse, a dataset was created
315 including 25% of the most symmetric strides based on the vector sum of the sternum (SDmin,
316 SDmax) and pelvis (PDmin, PDmax) of the horse (n = 581 strides from 67 HRP, on average
317 8.7 strides per HRP). These parameters were chosen as it has been shown that saddle position
318 is influenced by the protracting forelimb and the thoracolumbar movement of the back [20].

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320 *2.6.4. Most symmetric strides – rider*

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782
783 321 To minimise the influence of rider asymmetry, a dataset was created including 25% of the
784
785 322 most symmetric strides based on the vector sum of CI and TI of the rider (n = 581 strides
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787 323 from 53 HRP, on average 11.1 strides per HRP).
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792 325 *2.6.5. Most symmetric SFD during stance – sitting trot data*
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794 326 A third dataset was created to investigate what induces an asymmetric saddle force
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796 327 distribution in sitting trot when the initial situation during stance is symmetrical (and not
797
798 328 already biased by a left shift). For this purpose, we made a selection of the 25% of HRP
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800 329 (n=15) with the most symmetric saddle force measurements during stance, based on the
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802 330 lowest SFD values. We then created a dataset based on the sitting trot measurements of these
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804 331 15 HRP (n = 473 strides, on average 31.5 strides per HRP).
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808
809 333 **3. Results**

810 334 Arithmetic mean values and standard deviations of the investigated variables in the
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812 335 different datasets are shown in Table 1. Averages, stated in relation to main effects in the
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814 336 models, refer to least square means and standard deviations.
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819 338 *3.1. Stance*

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821 339 Overall, the mean force on the saddle pressure mat showed a slight shift to the left. On
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823 340 average SFD was -28.1 N, indicating more force on the left side of the saddle mat, which
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825 341 corresponds to a mean force difference of approximately 4.2% of the rider's bodyweight.
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828 342 The best fitting model ($R^2 = 0.16$, $P = 0.012$) revealed two predictors:

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830 343 - Horses with a prominent shoulder showed increased force underneath the saddle in the
831
832 344 respective area (left shoulder: -82.7 ± 17.9 N, n = 27; no prominent shoulder: -44.2
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834 345 18.9 , n= 19; right shoulder: -27.7 ± 23.3 N, n = 14) ($P = 0.018$).
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843 346 - A trend for a higher shift of force to the left side of the mat was shown in riders with a
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845 347 left TOL (-33.1 ± 25.8 N; $n = 13$) compared to riders with a right TOL (2.6 ± 18.6 N;
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847 348 $n = 44$) ($P = 0.10$)
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851 852 350 *3.2. Sitting trot*

853
854 351 Overall, HRP was a significant random factor in all linear mixed models ($P < 0.001$),
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856 352 indicating a high level of variation in SFD between individual pairs.
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858 353 Interestingly, SFD differed significantly between measurements on the left and on the right
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860 354 rein ($P < 0.001$), showing an even stronger shift to the left on the right rein, when compared to
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862 355 the left rein (right rein: -29.1 ± 46.5 N vs. left rein: -19.2 ± 51.8 N).
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864 356 The rider symmetry value CI showed a significant negative correlation with SFD ($P < 0.001$;
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866 357 Fig. 3), indicating that riders collapsing in one hip showed more force on the opposite half of
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868 358 the saddle pressure mat (Fig. 1). Based on the model, per degree of collapsing in one hip
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870 359 saddle force increased by 1.5 N on the contralateral half.
871
872 360 TI was significantly positive correlated with SFD ($P < 0.001$; Fig. 4), indicating that riders
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874 361 tilting with their upper body to one side led to more force on the same half of the saddle
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876 362 pressure mat (Fig. 2). With every degree of tilting of the upper body to one side the saddle
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878 363 force increased by 1.4 N in the direction the rider was tilting to.
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880 364 Further, SFD showed significant positive relationships with the head values of the horse
881
882 365 HDmin and HDmax ($P < 0.01$). Significant negative relationships were found with SDmin (P
883
884 366 $= 0.018$) (Fig. 5), SDmax, PDmin (Fig. 6) and PDmax (each $P < 0.001$). To illustrate, in a
885
886 367 horse with 1 mm more vertical displacement of the sternum during right front stance
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888 368 (compared to left front stance), SFD would have been increased by 0.2 N on the left side
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890 369 underneath the saddle. Correspondingly, in a horse dropping its pelvis 1 mm less in right hind
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892 370 stance (compared to left hind stance), SFD would be 0.5 N higher on the left side.
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903 371 SFD of riders with a left TOL showed increased force on the left side compared to riders with
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905 372 a right TOL (left: -36.7 ± 9.5 N, $n = 23$; right: -15.7 ± 6.2 N, $n = 54$; $P = 0.067$).
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910 374 *3.3. Most symmetric strides – horse*

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912 375 As observed previously, this dataset also showed an overall shift of SFD to the left (-16.0
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914 376 ± 44.5 N). This shift was more pronounced on the right rein compared to the left rein (right
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916 377 rein: -21.2 ± 5.1 N, left rein: 14.1 ± 5.1 N; $P < 0.001$). In this dataset, SFD revealed a
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918 378 significant negative relationship with CI ($P < 0.001$). However, there was no significant
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920 379 relationship between SFD and TI.

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922 380 Despite the selection of this dataset based on minimal pelvis and sternum movement
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924 381 asymmetry of the horse, SFD showed slight negative relationships with PDmin and PDmax (P
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926 382 $= 0.024$ and $P = 0.015$).
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931 384 *3.4. Most symmetric strides – rider*

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933 385 The overall SFD of this dataset was -34.4 ± 53.5 N, indicating a stronger shift of the force
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935 386 to the left compared to the complete dataset. In this dataset, no significant difference in SFD
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937 387 between left and right rein was found. SFD showed significant negative relationships with
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939 388 SDmax, PDmax (each $P < 0.01$) and PDmin ($P < 0.001$). This indicates, that after minimising
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941 389 the asymmetry of the rider's upper body, the horse as initiator of the movement influenced the
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943 390 saddle force pattern. As observed in the previous dataset, riders with a left TOL induced more
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945 391 force on the respective side of the saddle compared to riders with a right TOL (left: $-37.3 \pm$
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947 392 13.2 N; $n = 12$; right: -0.8 ± 12.1 N; $n = 38$; $P = 0.028$). Horses with a left prominent shoulder
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949 393 showed a stronger shift of force to the left side of the saddle mat (-67.7 ± 10.9 N; $n = 25$)
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951 394 compared to horses without a prominent shoulder (37.2 ± 11.9 N; $n = 14$; $P = 0.032$).
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963 395 Interestingly, there was no significant difference between horses with a left and those with a
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965 396 right prominent shoulder (-70.2 ± 13.6 N; $n= 14$; $P = 0.86$).
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969 970 398 *3.5. Most symmetric SFD during stance – sitting trot data*

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972 399 The initial SFD of these HRP in stance was $2.9 + 16.7$ N. Despite starting out relatively
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974 400 symmetric, in sitting trot the SFD developed a shift to the left ($-14.5 + 36.9$ N). SFD showed a
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976 401 significant negative relationship with CI and a positive relationship with TI of the rider ($P <$
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978 402 0.001), analogue to the outcome of the initial model of the complete sitting trot dataset. SFD
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980 403 also showed significant negative relationships with PDmax ($P = 0.001$), SDmin ($P = 0.007$),
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982 404 SDmax ($P = 0.041$) and strongest with PDmin ($P < 0.001$), as well as a significant positive
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984 405 relationship with HDmax ($P < 0.01$).
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988 989 407 **4. Discussion**

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991 408 In general, the results confirmed our hypotheses: (1) a collapse of the rider in one hip
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993 409 increased the force on the contralateral side on the saddle pressure mat during sitting trot and
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995 410 (2) a tilt of the rider's upper body to one side increased the force on the same side of the
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997 411 saddle pressure mat. Nevertheless, our results also showed that the horse plays a role of a
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999 412 similar importance when investigating saddle pressure asymmetry in motion. Despite the high
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1001 413 significance levels of the relationships between asymmetries, correlations were low and the
1002
1003 414 variability of the data was high between individual pairs due to the variable population of
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1005 415 HRP. Data analysis revealed that the saddle force pattern is influenced by various factors of
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1007 416 functional and anatomical asymmetry of rider and horse.

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1010 417 In all datasets there was a shift of the force distribution to the left. This finding is in
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1012 418 agreement with observations by Fruehwirth *et al.* (2004) [21], who found a trend for a higher
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1014 419 loading on the left and suggested this could be caused by an uneven distribution of the rider's
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420 weight or by asymmetrical musculature of the horse. We assumed that the left shift in our data
421 could also be an artefact of the riders mounting from the left side. However, special care was
422 taken to prevent slipping of the pressure mat with careful symmetrical placement of the pad
423 and saddle, and girthing was done from both sides. Riders then mounted into the saddle from
424 a raised platform while someone was holding the stirrup on the opposite side. Results of a
425 previous study showed that mounting from the ground or from a raised platform using the left
426 stirrup led to a slip of the saddle towards the mounting side and to a consistent pressure
427 profile with increased pressure on the left [22]. This pressure pattern persisted even after the
428 rider tried to adjust the position of the saddle by stepping heavily into the right stirrup. This
429 study proposed that asymmetrical development of the horse's musculature (as a result of
430 mounting habitually from the left side) could contribute to higher pressure on the left. In
431 accordance with another study [23], this indicates that human interaction with the horse,
432 mainly from the left, may affect a left sidedness of the horse which could also be a possible
433 cause of a left shift of the force. Further studies are required to confirm that mounting from
434 the right side or without using the stirrups result in a more even loading pattern. Nevertheless,
435 the results of this study are contributing evidence that the equestrian community should
436 critically question the traditional habit of tacking up, leading and mounting a horse
437 exclusively from the left.

438 Another explanation for the left shift could be anatomical asymmetries of the horse related to
439 laterality. The abovementioned study investigated laterality in horses by observing different
440 grazing positions and found that the majority of horses consistently protract the left front limb
441 to graze [23]. Van Heel *et al.* (2006) could further show that the hoof that was protracted
442 during grazing became the hoof with the lower hoof angle [24]. Future studies should
443 investigate how different hoof angles affect the angulation of proximal limb joints and how
444 this can induce further anatomical asymmetries and influencing the pressure pattern

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445 underneath the saddle (e.g. muscular development and angulation of the shoulders).
446 Our data suggest that the left shift in saddle force was to some extent caused by movement.
447 The HRP showing the most symmetric force distribution during stance still revealed a
448 considerable shift to the left while trotting, indicating that the movement of horse and rider
449 contribute to asymmetric forces beneath the saddle.
450 In some datasets the left shift was even stronger on the right rein compared to the left rein.
451 This could be explained by the riders performing the riding test first on the right rein,
452 including a circle in canter after sitting trot. Canter on the right rein might have induced
453 saddle roll to the left and thereby increased the force on the inside right panel of the saddle as
454 observed in a recent published study [6]. Therefore, cantering on the right rein might have
455 counteracted the existing left shift of forces (possibly caused by mounting from the left)
456 underneath the saddle that was lower in the subsequent sitting trot on the left rein.
457 In our data, the force distribution was significantly related to several horse parameters. During
458 stance, saddle force asymmetry could be explained with the more prominent shoulder as one
459 influencing factor. In accordance to other studies [22,25] the majority of horses in the present
460 study had a left prominent shoulder (Table 1). The relationship between SFD and the
461 prominent shoulder is not surprising as an important issue to assess saddle fit is to account for
462 free rotation of the scapulae. The saddle, especially jumping saddles with forward cut flaps
463 interfere with the horse's scapula [25] as well as saddles positioned too far forward when
464 lying over the dorso-caudal edge of the shoulder blade [26]. During movement it has been
465 observed that the saddle tends to stop at the prominent shoulder and then slides towards the
466 smaller shoulder if there is a large discrepancy in shoulder anatomy [26]. Interference of the
467 front part of the saddle with the shoulder during the protraction phase of the leg has been
468 shown to provoke localised high forces [21,27]. In the study of Fruehwirth *et al.* (2004) [21]
469 the horses reacted with reducing the forward swing of the leg which resulted in shorter stride

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470 lengths. According to our results the prominent shoulder is therefore likely to cause increased
471 force on the same side of the saddle pressure mat, as found during stance and in the dataset
472 where rider asymmetry was minimised. Furthermore, the muscle around the scapula (*M.*
473 *trapezius pars caudalis*), responsible for retraction and protraction of the forelimb, is assumed
474 to be a potential cause of an asymmetric force distribution, because the force in the front of
475 the saddle pressure mat is closely related with forelimb movements [27]. Unevenness of the
476 back muscles in the shoulder region are a common asymmetry of the horse's back shape as
477 recognized by Greve and Dyson (2014) [4]. Interestingly, the more prominent shoulder
478 showed only significant influence on SFD during stance and in the dataset with minimised
479 rider asymmetry. It can therefore be assumed that this anatomical asymmetry plays a little role
480 compared to movement asymmetries of horse and rider.

481 Our data revealed a negative relationship between the difference in vertical displacement of
482 the pelvis of the horse and saddle force asymmetry (Fig. 6). In a previous study, hindlimb
483 lameness (or asymmetry) was shown to induce saddle slip: the saddle slipped visually towards
484 the lame(r) hindlimb [28]. Saddle roll to one side appears to increase pressure in the cranial
485 region of the opposite side of the saddle pressure mat [6]. These observations would explain
486 the negative correlation between SFD and PDmin found in the present study, as in a horse
487 asymmetric (or lame) in the left hindlimb, the saddle would slip to the left, causing increased
488 pressures in the cranial region on the right side of the saddle pressure mat (due to the saddle
489 being pulled against the withers).

490 A similar negative relationship between SFD and SDmin was found, but it was less
491 pronounced (Fig. 5). We assume that the vertical movement of the sternum can be influenced
492 by both, hind- and forelimbs. It has been shown that asymmetric movement of the pelvis
493 translates to asymmetric movement of the wither on the contralateral side due to
494 compensatory mechanisms [29]. On the other hand, SDmin could also reflect asymmetric

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495 vertical loading of the forelimbs. It has recently been shown that asymmetric vertical
496 movements of the wither can be caused by different stride lengths of the forelimbs due to
497 asymmetric pro- and retraction angles [30]. The resulting asymmetric caudal rotation of the
498 scapula during protraction could induce asymmetric pressures under the cranial part of the
499 saddle. Buchner *et al.* (1996) [31] already showed that the vertical displacement of the trunk
500 adapts to forelimb lameness in order to reduce loading of the lame limb. Asymmetric vertical
501 displacement of the sternum could therefore be the result of a variety of underlying causes:
502 compensation of hindlimb asymmetry, asymmetric stride lengths or shoulder rotation. These
503 causes could have influenced SFD in different ways, what would explain why the correlation
504 with SDmin is low.

505 The present study quantified the crookedness of the rider as collapsing in one hip (Fig. 1) and
506 tilting to one side (Fig. 2). The results were in agreement with our hypotheses regarding force
507 distribution on the saddle pressure mat in relation to both riding postures. While collapsing in
508 one hip remained the main influencing factor of the saddle force asymmetry when minimising
509 asymmetry in the horse, the tilt of the rider's upper body lost its statistical significance ($P =$
510 0.74), despite the fact that the range of tilting was similar to the range measured in the
511 complete sitting trot dataset. We therefore assume that the way the rider is tilting sideways
512 with his upper body is influenced by the vertical and horizontal acceleration of the horse's
513 trunk, which was shown to be responsible for kinematic, kinetic and muscular activation
514 pattern of the rider [18]. Therefore, an asymmetric horse could directly influence the rider's
515 way of tilting sideways. The ability of the rider is crucial to counteract or absorb these
516 asymmetrical movements, especially in sitting trot. As shown in a previous study more
517 experienced riders moved in closer phase relationship with the horse compared to novice
518 riders [32] and another study showed that more experienced riders were able to maintain a
519 straighter posture [4]. In the present study most riders were rather less experienced (only 6 out

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520 of 80 HRP competed on a high national level) and had therefore probably more difficulties to
521 adjust to the horse's movements.

522 A previous kinematic study found that the movement of the rider during sitting trot occurs
523 mainly in the head, lumbar back, the legs and feet and that the legs in sitting trot are used to
524 control the vertical movement of the horse's trunk [20]. In accordance to this study, our
525 results revealed that the TOL had an impact on saddle force asymmetry during stance and
526 after minimising the asymmetry of the rider's upper body in sitting trot. During midstance in
527 trot, the rider is pressed into the saddle and the leg joints have to flex, while during swing
528 phase the rider is pushed out of the saddle and the legs extend. It seems likely that the left and
529 right leg do not have the same capacity to absorb these impacts and thus lead to an
530 asymmetric loading. As suggested by another study different knee angles could also
531 contribute to rider asymmetry [10]. We can confirm that the rider's lower body, particularly
532 the TOL, influences the saddle force distribution, potentially because it is the more reactive or
533 stronger leg. A detailed examination of the rider's leg at different gaits and its effect on rider
534 asymmetry and saddle force distribution is still required.

536 **5. Conclusion**

537 Collapsing of the rider in one hip and tilting of the rider's upper body to one side, as well as
538 asymmetric movements of the horse were correlated with saddle force asymmetry. However,
539 these correlations were weak due to the high variation between HRP, indicating that horse and
540 rider compensate, react and rebalance individually to asymmetries of the counterpart.

541 After minimising the asymmetry of the horse or the rider the other remained the main
542 influencing factor concerning saddle force asymmetry in sitting trot, showing that the
543 relationship between horse, rider and saddle is complex since they inevitably influence each
544 other. To assess functional rider asymmetry isolated during riding seems to be an impossible

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545 task as the horse dictates the rider’s movements and it cannot be determined conclusively if
546 the asymmetries of the horse influence the rider or vice versa.
547 The findings of the present study emphasise that the force distribution underneath the saddle
548 needs a careful interpretation by considering all components before an asymmetric loading
549 pattern is blamed on a non-fitting, asymmetric saddle.

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Table 1

Mean ± standard deviation and frequency of the different predictors in the different datasets included in the statistical analysis.

Dataset	n HRP	n Strides	SFD (N)	SFD (% BW)	CI (°)	TI (°)	HDmin (mm)	HDmax (mm)	SDmin (mm)	SDmax (mm)	PDmin (mm)	PDmax (mm)	More prominent shoulder horse	TOL Rider	Handedness Rider
Stance	60	NA	-28.06 ± 73.67	-4.2 ± 9.8	1.50 ± 6.53	0.74 ± 2.68	NA	NA	NA	NA	NA	NA	L 27 R 14 Neither 19 *	L 13 R 44 NA 3 *	L 6 R 51 NA 3
Sitting Trot	80	2323	-24.12 ± 49.42	-3.6 ± 7.3	0.60 ± 6.56 ***	-0.31 ± 3.08 ***	2.94 ± 34.64 **	-2.91 ± 39.31 **	0.57 ± 9.50 *	2.36 ± 13.76 ***	1.44 ± 10.43 ***	-1.65 ± 13.48 ***	L 34 R 20 Neither 26	L 23 R 54 NA 3 **	L 11 R 66 NA 3
Most symmetric strides - horse	67	581	-16.0 ± 44.46	-2.6 ± 7.0	-0.52 ± 6.99 ***	-0.07 ± 2.82	-1.05 ± 33.81	-9.60 ± 35.12	0.06 ± 4.89	1.00 ± 5.35	0.81 ± 5.46 *	-0.76 ± 5.75 *	L 29 R 15 Neither 23	L 19 R 46 NA 2	L 10 R 55 NA 2
Most symmetric strides - rider	53	581	-34.43 ± 53.48	-5.0 ± 6.8	-0.23 ± 1.95	0.02 ± 1.68	2.51 ± 34.81	4.34 ± 43.93	1.39 ± 10.04	3.94 ± 12.83 **	1.82 ± 9.26 ***	-1.61 ± 13.58 **	L 25 R 14 Neither 14 *	L 12 R 38 NA 3 ***	L 6 R 55 NA 3
Sitting trot of most symmetric SFD during stance	15	Stance NA	-2.85 ± 16.70	-0.5 ± 2.8	1.48 ± 7.07	0.27 ± 1.84	NA	NA	NA	NA	NA	NA	L 6 R 5 Neither 4	L 3 R 12 NA 0	L 1 R 14 NA 0
		Moving 473	-14.54 ± 36.86	-3.4 ± 7.6	0.12 ± 8.30 ***	-0.26 ± 2.99 ***	5.12 ± 27.57	-4.45 ± 35.56 **	-1.30 ± 9.07 **	0.46 ± 12.86 *	3.77 ± 8.91 ***	-1.05 ± 13.01 **			

Abbreviations: HRP, horse-rider pair; SFD, saddle force difference; CI, collapse index; TI, tilt index; TOL, take-off leg rider;

SFD was calculated based on the mean force for each stride in newton (N) or % BW, percentage of the rider's bodyweight. Negative values indicate higher mean forces on the left side of the saddle pressure mat, positive values indicate higher mean forces on the right side.

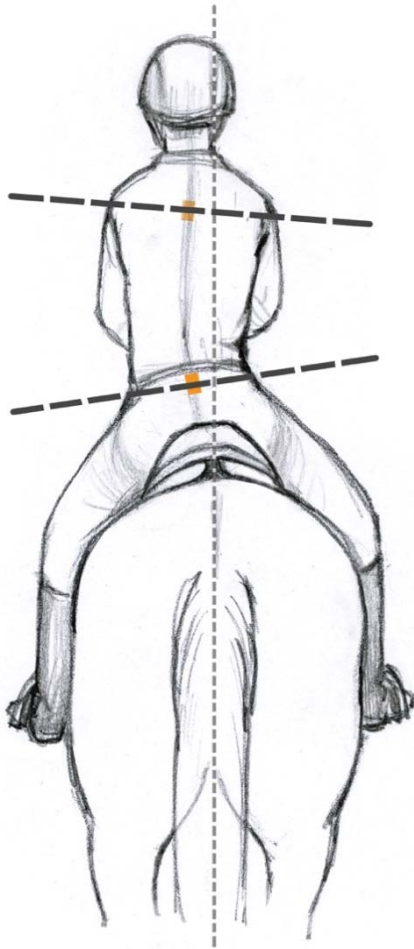
TI, tilt of the rider's upper body: negative values indicate tilting to the left, positive values to the right; CI, rider collapsing in one hip: negative values indicate collapsing in the left hip, positive values in the right hip.

(H/S/P)Dmin/max: Difference in minimal/ maximal vertical displacement of the horse's head (H), sternum (S), or pelvis (P) between left and right stride half-cycles.

Predictors that showed a significant relationship with SFD in the best fitting model of the respective dataset are indicated *** (P < 0.001), ** (P < 0.01), or * (P < 0.05).

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1604 **Figures**
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1636 **Fig. 1.** Illustration of a rider collapsing in the right hip. Sternum and pelvis sensors are highlighted orange, bold
1637 dashed lines indicate the lines that were used to define the angle of pelvis to sternum for the rider variable
1638 collapse index (CI).
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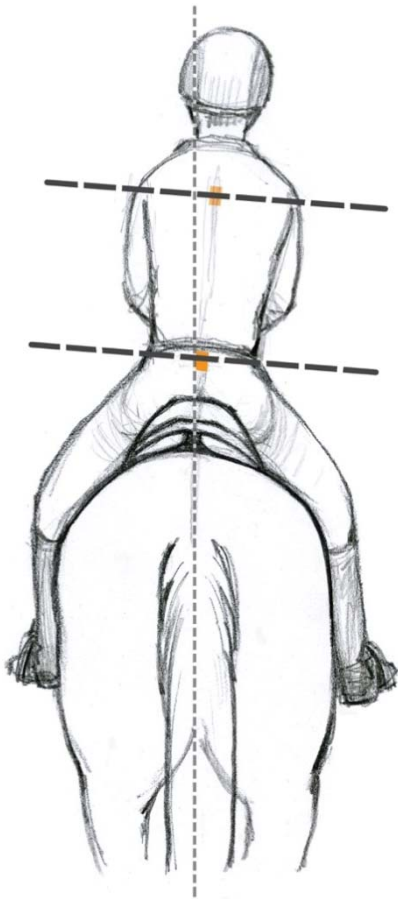


Fig. 2. Illustration of a rider tilting with his upper body to the right (without collapsing in one hip). Highlighted in orange are sternum and pelvis sensors. Tilt index (TI) was defined as the angle between the vertical dashed line and a straight line connecting sternum and pelvis sensor.

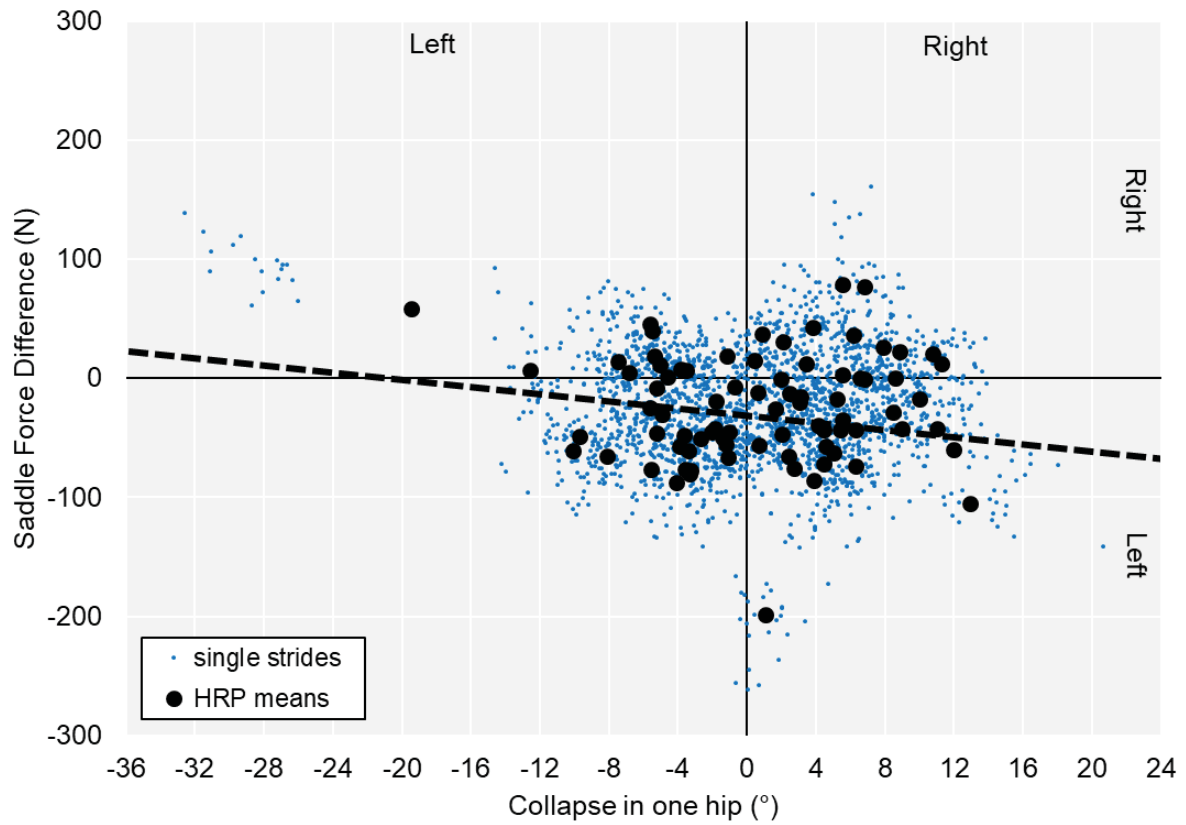


Fig. 3. Relation between SFD and rider variable collapse index (CI) in the sitting trot dataset (n=80). Blue dots indicate values of single strides and black dots indicate mean values of a horse-rider pair (HRP). Regression line showing negative relationship between CI and SFD based on the best fitting mixed model.

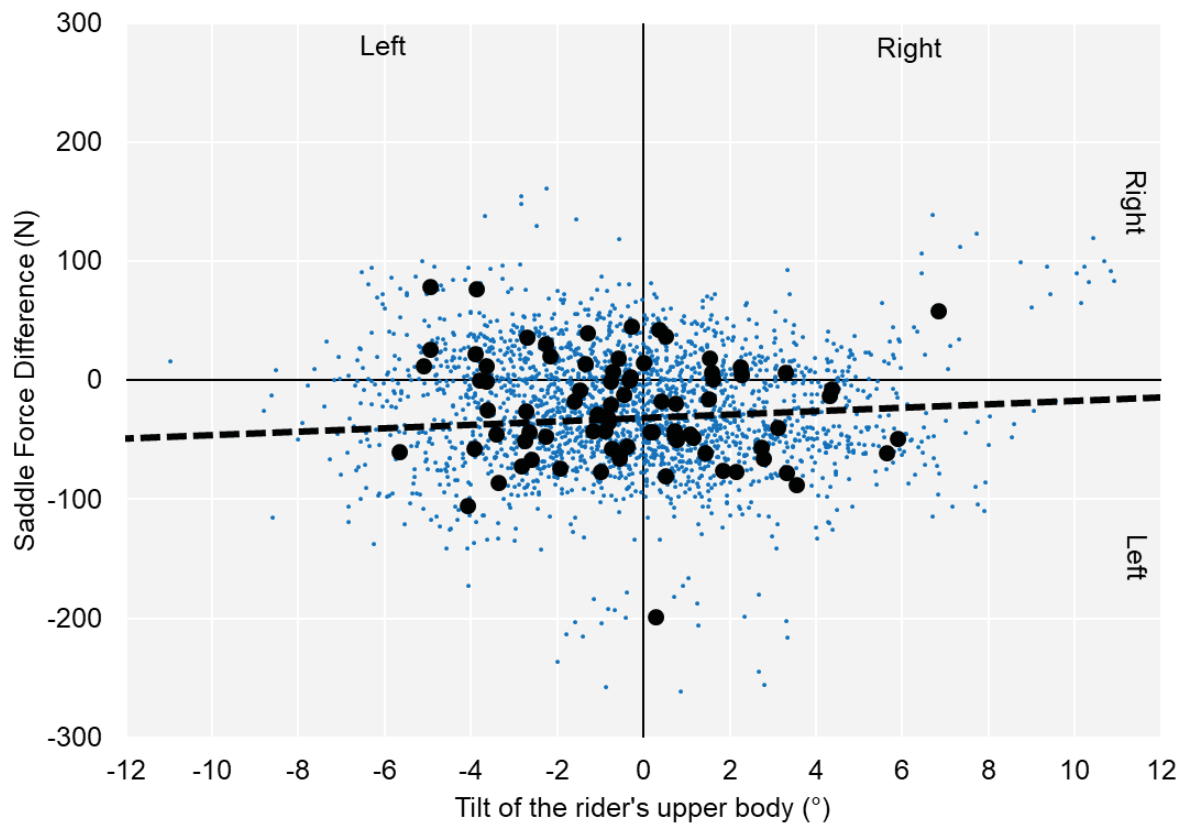


Fig. 4. Relation between SFD and rider variable tilt index (TI) in the sitting trot dataset (n=80). Blue dots indicate values of single strides and black dots indicate mean values of a horse-rider pair (HRP). Regression line showing negative relationship between TI and SFD based on the best fitting mixed model.



Fig. 5. Relation between SFD and horse variable SDmin in the sitting trot dataset (n=80). Blue dots indicate values of single strides and black dots indicate mean values of a horse-rider pair (HRP). Regression line showing negative relationship between SDmin and SFD based on the best fitting mixed model.

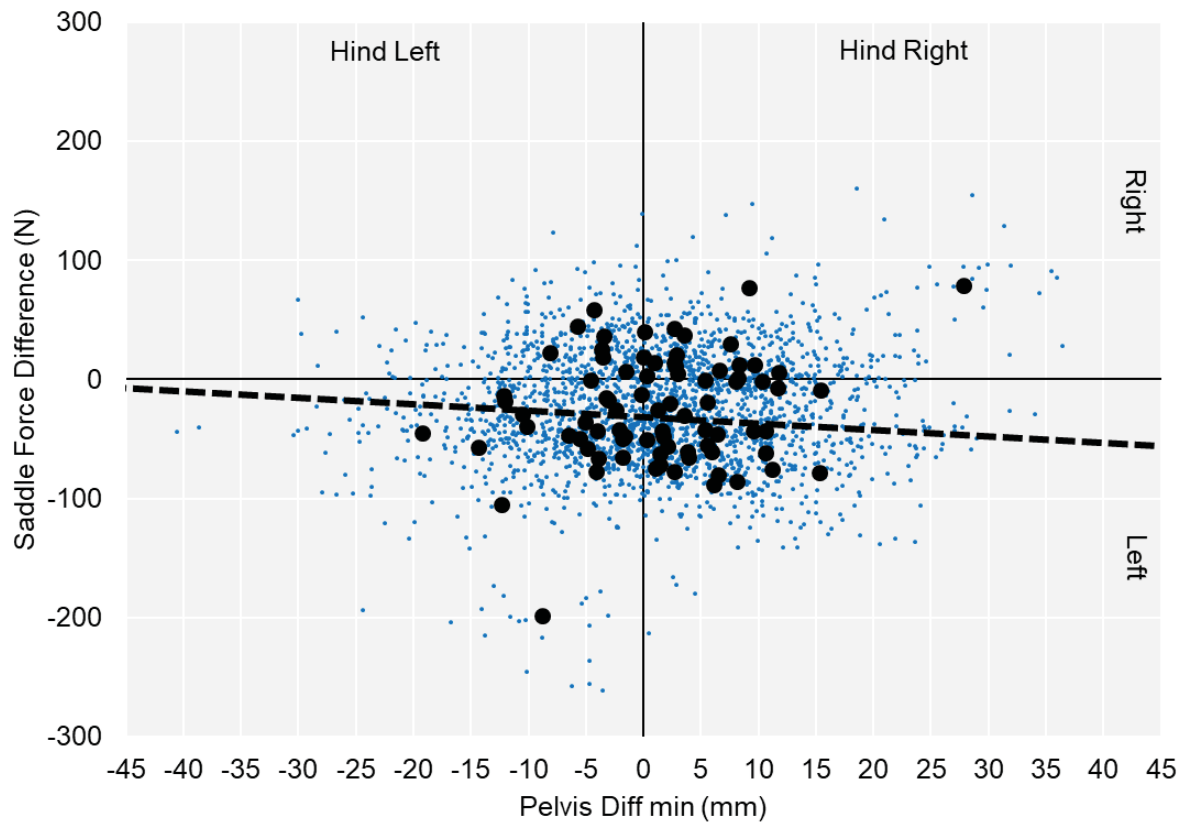


Fig. 6. Relation between SFD and horse variable PDmin in the sitting trot dataset (n=80). Blue dots indicate values of single strides and black dots indicate mean values of a horse-rider pair (HRP). Regression line showing negative relationship between PDmin and SFD based on the best fitting mixed model.

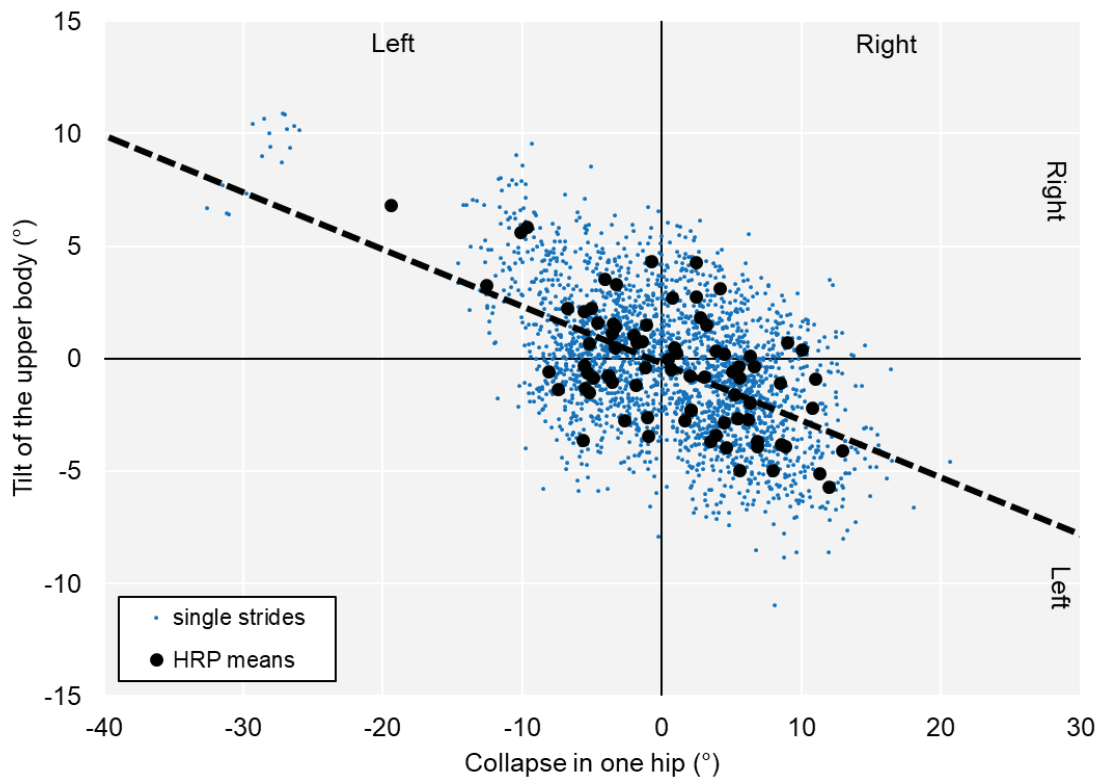
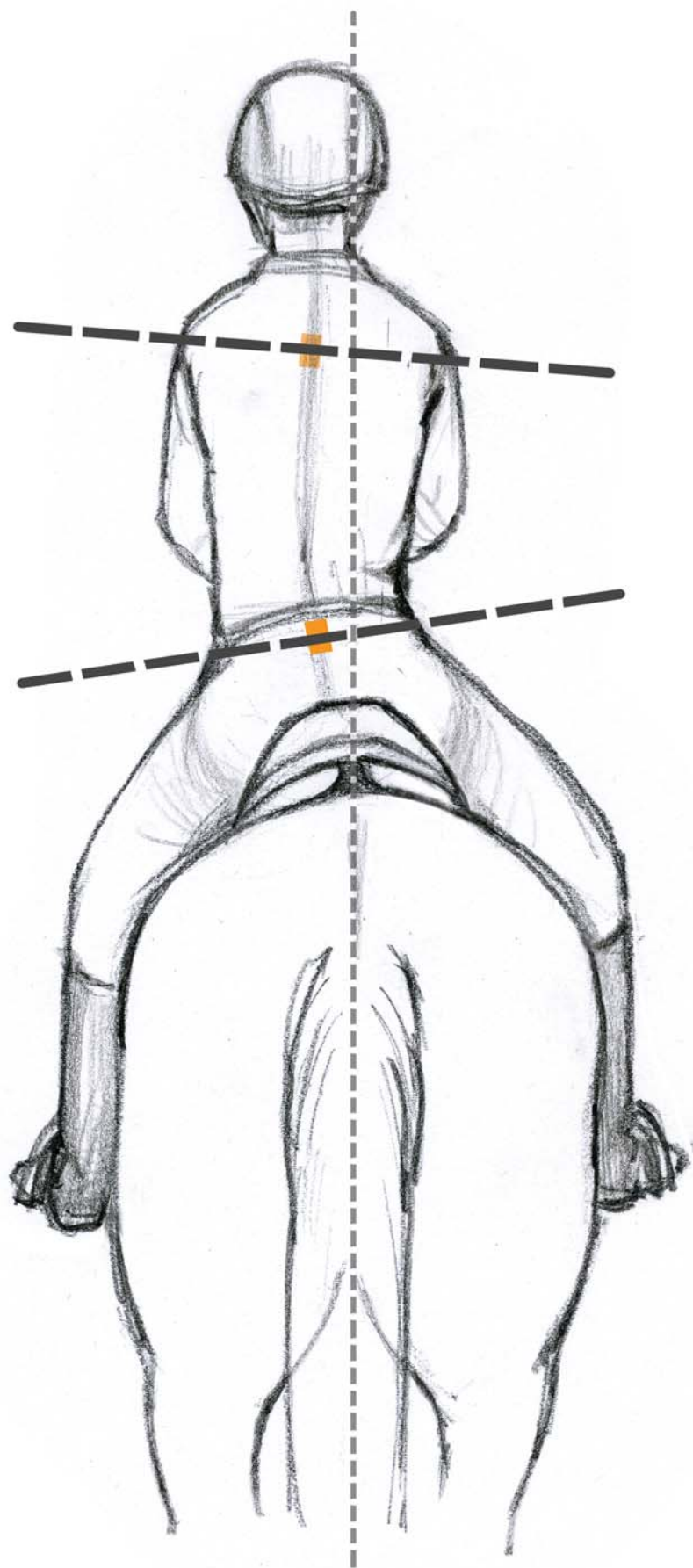


Fig. 7. Relation between the tilt index (TI, tilt of the upper body to one side in °) and the collapse index (CI, collapse in one hip in °) of the rider in the sitting trot dataset (n=80). Blue dots indicate values of single strides and black dots indicate mean values of a horse-rider pair (HRP). Regression line showing negative relationship between TI and CI, based on a linear correlation.



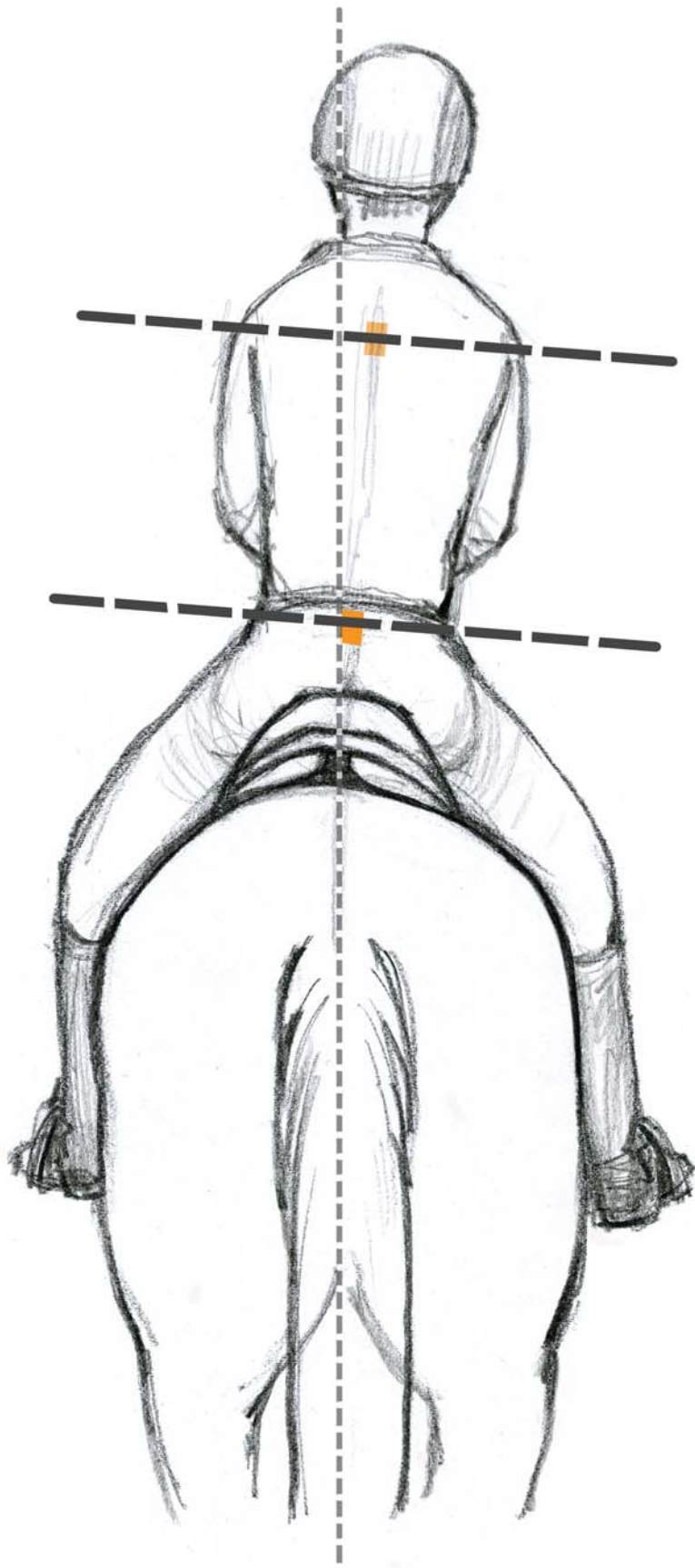


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		Moving 473	-14.54 \pm 36.86	-3.4 \pm 7.6	0.12 \pm 8.30 ***	-0.26 \pm 2.99 ***	5.12 \pm 27.57	-4.45 \pm 35.56 **	-1.30 \pm 9.07 **	0.46 \pm 12.86 *	3.77 \pm 8.91 ***	-1.05 \pm 13.01 **			

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3 *Conflict of interest statement:*

4 The authors declare no potential conflicts of interest. None of the authors has any financial or
5 personal relationships that could inappropriately influence or bias the content of the paper.
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Animal welfare/Ethical statement:

The experimental protocol was approved by the Animal Health and Welfare Commission and the Ethical Commission of the Canton of Zurich, Switzerland (TVB-Nr. ZH003/17-28698; BASEC-Nr. 2017-00188).