

# Decoupling of economic growth and emissions in China's cities: a case study of the Central Plains urban agglomeration<sup>1</sup>

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## Abstract

Recently, the economy has grown rapidly in China's Central Plains urban agglomeration, with high energy consumption and a huge pressure on reducing CO<sub>2</sub> emissions. Thus, low-carbon development is an important measure to solve economic, energy and environmental problems. To analyse low-emission development, this paper clarifies the evolutionary characteristics of CO<sub>2</sub> emissions and the decoupling relationship between GDP and CO<sub>2</sub> emissions based on the latest available data from 2000 to 2015. The results indicate that CO<sub>2</sub> emissions of Pingdingshan and

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Yuli Shan guided to analyze the data;

Yalin Lei and Yuli Shan designed the research together;

Sanmang Wu and Xiang Yu put forward some advice in the results analysis;

Xiyan Lin and Yupei Chen contributed new analytic ideas in the revised paper.

Changzhi are higher in the same year. The ratios from coal consumption accounting for the total CO<sub>2</sub> emissions are clearly bigger than from other energy types and industrial processes. Changzhi, Luoyang and Pingdingshan have reached their peaks. Five cities have experienced strong decoupling after 2010, 13 cities present weak decoupling, 4 cities present growth connection, and 7 cities show growth negative decoupling. It can be concluded that a relatively smaller proportion of industry and strict policy implementations of coal reduction are the main factors in inhibiting the decoupling. So the proportion of coal purification should be increased firstly. Then, the energy consumption structure should be changed from the traditional coal consumption structure to coal, oil and gas. Lastly, economic means can be used to control CO<sub>2</sub> emissions.

**Keywords:** CO<sub>2</sub> emissions; Emission intensity; Per capita CO<sub>2</sub> emissions; Decoupling analysis

## **1. Introduction**

Climate change is a common challenge and core issue faced by humans in the 21st century. Tackling climate change and promoting green and low-carbon development are worldwide concerns. Compared to other nations, China has the highest carbon dioxide (CO<sub>2</sub>) emissions in the world [1]. As a responsible developing country, China regards low-carbon development not only as an international obligation to actively address climate change but also as an inherent requirement to achieve its sustainable development. In 2009, the government proposed to reduce the per capita CO<sub>2</sub> emissions of the gross domestic product (GDP) by 40% to 50% by 2020 compared with 2005 [2]. The National Development and Reform Commission also proposed to develop low-carbon industries and build low-carbon cities in July 2010 [3]. To address the growing urbanization and urban greenhouse gas emissions, China has launched the Climate Smart/Low-Carbon cities initiative [4]. The Chinese central government also mentioned that China would try to reach its CO<sub>2</sub> emissions peak in 2030 and strive to achieve this goal as soon as possible in the climate change conference in Paris in November 2015 [5]. The Global Carbon Project released the Global Carbon Budget report at the UN Climate Change Conference in Bonn in November 2017, which mentioned that China's CO<sub>2</sub> emissions accounted for 28% of the world's emissions in 2016, more than a quarter of the world's total CO<sub>2</sub> emissions [6].

The urbanization rate in China has changed rapidly from 17.92% in 1978 to 58.52% in 2017 [7]. China's urbanization process will proceed at a high speed in the next decade or more. Cities are places of high energy and resource consumption, and they are also the places of greenhouse gas emissions. They cover only 2% of the earth's surface, but the greenhouse gas emissions from cities account for 80% in the total gas emissions [8, 9]. Cities play important roles in tackling the challenges of global climate change, and they will still be the main source of China's CO<sub>2</sub> emissions growth in the future [10]. Therefore, accurate accounting of urban CO<sub>2</sub> emissions is the first and most important step in implementing emissions reduction policies and measures. The scientific and reasonable accounting of CO<sub>2</sub> emissions is the foundation for formulating emission reduction strategies. Many scholars have calculated CO<sub>2</sub> emissions at the national or provincial scale and at the sector or industries scale. Shan et al. [11] calculate the provincial CO<sub>2</sub> emissions in China from 2000 to 2012 and found that Shandong contributed most to national emissions accumulatively. Shan et al. [12] estimated China's CO<sub>2</sub> emissions from 1997 to 2015 and offered data base for further emission reduction research in China. Mi et al. [13] adopted the input-output model to account CO<sub>2</sub> emissions for 13 China's cities from consumption angle and found substantial differences between production- and consumption-based accounting. Using an integrated model of economy and climate, Mi et al. [14] considered China might reach its peak CO<sub>2</sub> emissions at 11.20 Gt in 2026. Mi et al. [15] compiled China's 2012 multiregional input-output table and structural decomposition analysis to investigate the driving factors embodied in China's CO<sub>2</sub> emissions from 2007 to 2012. And the results indicated that emission flow patterns had changed greatly since the financial crisis. Meng et al. [16] showed the emissions embodied in exports from less-developed regions such as Vietnam and Bangladesh have surged. Shan et al. [17] calculated CO<sub>2</sub> emissions from China's lime production from 2001 to 2012 and found lime production was a big emission source. Using data representing 637 Chinese cities, Ramaswami et al. [18] considered cross-sectoral strategies to be helpful, and they could contribute an additional

15%–36% to CO<sub>2</sub> mitigations. Li et al. [19] comprehensively evaluated CO<sub>2</sub> emissions in Beijing and demonstrated that emission intensity, production input and output structure change contributed to decreasing CO<sub>2</sub> emissions. Shan et al. [20] presented a new, city-level calculation of CO<sub>2</sub> emissions for 182 Chinese cities, and the results demonstrated that more affluent cities had relative lower CO<sub>2</sub> emissions per unit GDP. Many scholars are also using the decoupling method to analyse the relationship between economics and the environment or resources.

Decoupling means that there is no correlation state. In recent years, China's cities have shown a rapid growth trend, but the pressure of growing CO<sub>2</sub> emissions also comes with economic growth. The Organisation for Economic Co-operation and Development (OECD) first proposed the theory of breaking the decoupling of economic growth from resource consumption or environmental pollution [21], and it also analysed the degree of equilibrium between agricultural policy and markets [22]. Based on the decoupling theory, Vehmas et al [23] compared the ratio of the rate of the change in economic growth and the change in environmental pressure using environmental Kuznets curve hypothesis. The results showed that the EU shows strong de-linking in 1973-1999, Japan, USA and China weak de-linking and India and Brazil expansive re-linking. Shuai et al [24] investigated the global decoupling of GDP from total carbon emission, carbon intensity and carbon emission per capita by using Tapio decoupling index and the data from 2000 to 2014. The results showed the countries whose economic growth having decoupled from carbon intensity, carbon emission per capita, and total carbon emission, account for 74%, 35% and 21% respectively. And higher income-level countries had the larger proportion of countries having reached the decoupling. Juknys et al [25] discussed the relationship between economic growth and resource utilization in New Eastern EU members from 1991 to 2002, and they named the decoupling of economic growth and resources as the primary decoupling and the decoupling of resource and environmental pollution as the secondary decoupling. The results indicated that further decoupling maintained

between environmental impact and economy growth. Tapio [26] calculated the relationship between the development of the European transport industry and economic growth from 1997 to 2001 and introduced the Tapio decoupling elastic method into the accounting of the relationship among economic growth, resources and the environment. The results indicated that weak decoupling lied in the relationship between transport CO<sub>2</sub> emissions and GDP. Enevoldsen et al [27] analyzed the impact of energy prices and taxes on CO<sub>2</sub> emissions in ten industrial sectors in three Scandinavian countries using decoupling from 1991 to 2001. The results found that long-term elasticities for industries were higher than normally assumed. Profillidis et al [28] analyzed the coupling and decoupling relationships between transport-related energy consumption and GDP in the European Union (EU)-28 member countries. The results indicated that the relationship was clearly different before and after the economic crisis of 2008. Halicioglu and Ketenci [29] performed on the relationship between output, renewable and non-renewable energy production, international trade, capital and labour for the EU-15 countries from 1980 to 2015. The results demonstrated the cointegration relationships existed in the seven countries of the EU-15.

Domestic studies on decoupling have mainly focused on the relationships between economic growth and energy consumption, environmental pollution, CO<sub>2</sub> emissions and water resource consumption. Wang and Yang [30] calculated the decoupling relationship between industrial development and economic growth in the Beijing-Tianjin-Hebei region from 1996 to 2010 using Logarithmic Mean Divisia Index and Tapio index. The results indicate that weak decoupling was the main characteristic from 1996 to 2000 and from 2006 to 2010, strong decoupling in 2007, weak coupling in the period of 2001-2005. Xia and Zhong [31] constructed the decoupling elastic coefficient model and Environment Kuznets Curve (EKC) model in 271 China's cities and found that the tipping point of relative decoupling and absolute decoupling was at the turning point of EKC from 2004 to 2013. Qi and Chen [32]

calculated the decoupling relationship between economic growth and energy, resources and pollutant emissions using Tapio index from 1999 to 2010. Taking Chongqing as an example, the results showed high energy consumption sector was weak decoupling. Wu et al [33] discussed the decoupling states between China's transport development and its CO<sub>2</sub> emissions from 1994 to 2012. The results demonstrated that China's transport sector was far from the decoupling state. Existing decoupling studies mainly focus on the measurement and evaluation of decoupling degree (table 1). Decoupling analysis can be carried out on micro level and the planning in the related fields in the future.

**Table 1**

Literature review on decoupling

Author	Period	Research object	Result
Vehmas et al (2003)	1973-1999	The EU	The EU was strong de-linking in the period of 1973-1999, Japan, USA and China weak de-linking and India and Brazil expansive re-linking.
Shuai C, Chen X, Wu Y, et al (2009)	2000-2014	The world	The countries whose economic growth decoupled from carbon intensity, carbon emission per capita, and total carbon emission, account for 74%, 35% and 21% respectively.
Juknys et al (2005)	1991-2002	New Eastern EU members	Further decoupling maintained between environmental impact and economy growth.
Tapio (2005)	1997-2001	the European transport industry	Weak decoupling lied in the relationship between transport CO <sub>2</sub> emissions and GDP.
Enevoldsen et al	1991-2001	three Scandinavian	Long-term elasticities for industries were higher than normally assumed.

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(2007)		countries	
Profillidis et al		the European Union (EU)-28 member countries	The relationship was clearly different before and after the economic crisis of 2008.
(2018)			
Ferda and Natalya	1980-2015	the EU-15 countries	The cointegration relationships existed in the seven countries of the EU-15.
(2018)			
Wang and Yang	1996-2010	Beijing-Tianjin-H ebei region	Weak decoupling was the main characteristic from 1996 to 2000 and from 2006 to 2010, strong decoupling in 2007, weak coupling in the period of 2001-2005
(2015)			
Xia and Zhong	2004-2013	271 China's cities	The tipping point of relative decoupling and absolute decoupling was at the turning point of EKC from 2004 to 2013.
(2016)			
Qi and Chen	1999-2010	Chongqing	High energy consumption sector was weak decoupling.
(2012)			
Wu et al	1994-2012	China's transport industry	China's transport sector was far from the decoupling state.
(2018)			

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From the literature above, it can be seen that not all cities have an estimation of CO<sub>2</sub> emissions. There are currently, few CO<sub>2</sub> emissions accounting for the Central Plains urban agglomeration have been estimated. Meanwhile, the Central Plains urban agglomeration is also under great pressure to reduce CO<sub>2</sub> emissions. Therefore, based on the method developed by the China Emission Accounts and Datasets (CEADs) team [34], this paper estimates the CO<sub>2</sub> emissions from 2000 to 2015, which can

provide the data base for the formulation of emission reduction policies and other relevant studies for the Central Plains urban agglomeration. We have updated the data in China Emission Accounts and Datasets (CEADs) at <http://www.ceads.net>. Those who wish to replicate the results of this study can download the data for free. Meanwhile, the current decoupling theory is mainly applied at the national, regional or sector level, with little attention paid to urban agglomerations, especially the Central Plains urban agglomeration. Therefore, this paper takes the Central Plains urban agglomeration as a case, selecting CO<sub>2</sub> emissions and economic growth as the main indicators to measure the degree of decoupling of urban economic growth from CO<sub>2</sub> emissions, which could provide suggestions for the realization of decoupling in 29 cities in the Central Plains urban agglomeration.

## **2. Method and data**

### **2.1 CO<sub>2</sub> emissions accounting boundary**

The Central Plains urban agglomeration was intended to be a national urban agglomeration with high resource allocation efficiency, strong economic vitality, competitiveness and influence. The Central Plains urban agglomeration is the key to the implementation strategy of promoting the rise of the central region, providing strategic support for the construction of the “The Belt and Road Initiative” and promoting the coordinated development between the East and the West. It is located in central China, which is the largest, most integrated and most densely populated urban agglomeration among the Yangtze River Delta, the Pearl River Delta and the Beijing-Tianjin-Hebei region. It covers 30 cities in Henan, Shanxi, Hebei, Shandong and Anhui [35]. Its energy consumption is great, and its high CO<sub>2</sub> emissions are obvious [36]. In the era of low-carbon economies, it is very urgent to achieve low carbon transformation in the Central Plains urban agglomeration.

According to the norms from the Intergovernmental Panel on Climate Change



(IPCC), the administrative territorial scope for every city's CO<sub>2</sub> emissions accounting should be considered in this paper. The emissions that occur in administered territories and offshore areas in which one region has jurisdiction are contained in administrative territorial emissions [37], which include the emissions generated by the socioeconomic sectors and residence activities directly within the region boundary [38]. The CO<sub>2</sub> emissions inventory contains two parts, which are from fossil fuel consumption and industrial processes. The territorial scope for CO<sub>2</sub> emissions accounting of every city here was made according to the IPCC guidelines (as shown in appendix table 1). Removing non-combustion energy consumption, such as energy loss, non-energy use and processing conversion, the CO<sub>2</sub> emissions inventory of 17 fossil energy sources, 47 socioeconomic sectors and 9 industrial processes (appendix table 2, 3) were compiled according to Shan et al [34]. Due to Luohe's lack of energy-related data, the accounting of the CO<sub>2</sub> emissions of the Central Plains urban agglomeration in the following only covers 29 cities (excluding Luohe in Henan).

## **2.2 Emissions accounts**

The inventory of CO<sub>2</sub> emissions is the basis for the establishment of climate models and the formulation of national emission reduction policies and international negotiations. Current national and global CO<sub>2</sub> emissions are estimated based on IPCC methods and energy statistics data by international agencies. The parameters and methods of estimating CO<sub>2</sub> emissions mainly come from the statistics of developed countries and may misestimate China's CO<sub>2</sub> emissions. Based on the theory of matter conservation and the energy balance sheet, the CEADs team has developed a CO<sub>2</sub> emission inventory model for multiple scales in China (national, provincial, and prefecture-level city) and adopted actual measured emission factors [39] which are more consistent with China's energy quality. Systematically compiling China's multi-scale CO<sub>2</sub> emissions inventory can accurately measure regional CO<sub>2</sub> emissions in order to analyse the characteristics of urban CO<sub>2</sub> emissions in China. CO<sub>2</sub> emission

inventory model needs a number of relevant data, while not each city has the data needed.

In general, the accounting for CO<sub>2</sub> emissions consists of the emissions from energy-related ( $CE_{energy}$ ) and industrial processes ( $CE_{process}$ ). According to the IPCC method [37], CO<sub>2</sub> emissions are equal to the multipliers of the activity data (AD) and the emission factors of energy (EF).

Formula 1 is used to calculate the CO<sub>2</sub> emissions related to energy.  $CE_{ij}$  denotes the CO<sub>2</sub> emissions derived from the i-th energy in sector j.  $AD_{ij}$  represents the consumption of the i-th fossil fuel in sector j, and  $EF_i$  refers to the emission factors of the i-th energy.

$$\begin{aligned}
 CE_{energy} &= \sum_j \sum_i CE_{ij} \\
 &= \sum_j \sum_i (AD_{ij} \times NCV_i \times EF_i \times O_{ij}) \\
 &\quad i \in [1,17], j \in [1,47]
 \end{aligned} \tag{1}$$

where i indicates 17 kinds of energy (appendix table 2), and j manifests as 47 sectors (appendix table 3). The emissions factors of fossil fuel combustion are shown in appendix table 2.

Formula 2 is used to estimate the CO<sub>2</sub> emissions related to industrial processes.  $CE_t$  is the CO<sub>2</sub> emissions from the t-th industrial process,  $AD_t$  indicates the production of the t-th industrial process,  $EF_t$  represents the corresponding emission factor, and t represents the industrial process in appendix table 4.

$$CE_{process} = \sum_t CE_t = \sum_t (AD_t \times EF_t), \quad t \in [1,9] \tag{2}$$

Accordingly, the activity data contain  $AD_{ij}$  and  $AD_t$ . The energy balance table covers the total different kinds of fossil fuel consumption ( $AD_t$ ). Using the energy consumption data of different industries, the total amount can be divided into 47 sectors and  $AD_{ij}$  can be obtained. Therefore, the core data needed to compile an emission inventory include the energy balance table (EBT) and the energy consumption data from different sectors in the industry (IS) and  $AD_t$ .

### **2.3 Decoupling**

Decoupling theory is widely used to evaluate environmental resource use. There are some measurement methods to do decoupling include the comprehensive change analysis method, OECD decoupling index method, Tapio elastic analysis method, decoupling analysis method based on complete decomposition technology, IPAT model, descriptive statistical analysis method, econometric analysis, differential regression coefficient method and so on. Here, Tapio elastic analysis method is used to evaluate the decoupling relationship between CO<sub>2</sub> emissions and GDP in the equation (3) [26]. As Tapio elastic analysis calculates the decoupling degree with the elasticity value of pressure variable and economic factor, which can make the decoupling index more flexible in time scale and make the final results analysis more comprehensive. The decoupling index ( $\varepsilon$ ) is utilized to describe the degree and direction of decoupling (as shown in equation (3)), which generally takes the changing value of GDP as the independent variable and views the changing value of energy consumption or CO<sub>2</sub> emissions as the dependent variable. The shortcomings of the decoupling index is that it is an elastic value and it is difficult to explain the decoupling relationship between energy or CO<sub>2</sub> emissions and economic growth by using a single decoupling index. Moreover, the decoupling model should adopt long-term data to calculate the decoupling index, which is not applicable to relative short-term data. Therefore, it needs to be interpreted with indicators such as energy

intensity and carbon emission intensity together. In order to avoid the defect of such a single decoupling index to explain the relationship between CO<sub>2</sub> emission and economic growth, this paper uses CO<sub>2</sub> emission intensity and the decoupling index to explain the relationship together. Moreover, the decoupling model should adopt long-term data to calculate the decoupling index, which is not applicable to relative short-term data.

$$\varepsilon = \frac{\Delta CO_2 / CO_2}{\Delta GDP / GDP} \quad (3)$$

The types of decoupling (as shown in table 2) are often divided into the connection (including recession connection and expansive connection), decoupling (including recession decoupling, strong decoupling and weak decoupling), and negative decoupling (including expansive negative decoupling, strong negative decoupling and weak negative decoupling).

**Table 2**

Decoupling indices and types

Decoupling types		$\Delta CO_2$	$\Delta GDP$	$\varepsilon$
The connection	Recession connection	<0	<0	(0.8, 1.2)
	Expansive connection	>0	>0	(0.8, 1.2)
Decoupling	Recession decoupling	<0	<0	(1.2, +∞)
	Strong decoupling	<0	>0	(-∞, 0)
	Weak decoupling	>0	>0	(0, 0.8)
Negative decoupling	Expansive negative decoupling	>0	>0	(1.2, +∞)
	Strong negative decoupling	>0	>0	(-∞, 0)
	Weak negative decoupling	<0	<0	(0, 0.8)

(source: Sun [40])

## **2.4 Uncertainty analysis**

There are many uncertain factors affecting the estimation of urban CO<sub>2</sub> emissions, such as the impacts of basic data of energy consumption statistics and the activity level, the statistical methods, the numbers selection of emission factors in the calculation process, etc. This can have impacts on the estimation of CO<sub>2</sub> emissions at the city level. Furthermore, it is difficult to obtain some data for activity levels directly, such as the amount of urban solid waste production. These are the differences with the actual situation of the cities, which will affect the reliability of the calculation results [41]. The Monte Carlo analysis can address a wide range of uncertainties, which can be combined uncertainties with any probability distribution range. The uncertainties analysis offers intervals with lower and upper bounds of a certain confidence interval (CI) around the estimates. The Monte Carlo analysis is set up with the input parameters of activity data and emission factors. The activity data and emission factors are presumed to be normally distributed. The coefficients of variations (CV, standard deviation divided by the mean) are from previous research [34, 42]. According to the Monte Carlo analysis, the main steps are (1) determining the probability distribution of the activity level in different sectors, emission factors and other estimation parameters; (2) calculating the CO<sub>2</sub> emissions; and (3) simulating again and again to obtain the probability distribution of CO<sub>2</sub> emissions [43]. The simulation procedures are repeated 20,000 times in the Monte Carlo analysis. After the simulation, the uncertainty analysis for the CO<sub>2</sub> emissions in 29 cities ranged from -5.8% to 3.9%.

## **2.5 Data source**

The latest available data from 2000 to 2015 were collected from the cities' statistical yearbook, and all of the data used and the results were uploaded to CEADs.net and supporting information for free academic use. The indicators cover

GDP (the primary, secondary and tertiary industries), the population of prefecture-level cities and provinces (urban population and rural population), the output of industrial products,  $AD_{ij}$ , the total industrial output value above a designated scale and the total industrial output value. When the statistical yearbooks of the 29 cities had available data, they were utilized directly in the calculation. If not, they were handled according to the methods from Shan et al. [34]. The GDP data here were standardized to the currency values for the year 2000, which are the constant GDP data. Constant GDP is equal to the data of the current GDP value divided by the GDP deflator.

### **3. Results and discussion**

#### **3.1 Characteristics of CO<sub>2</sub> emissions in 29 cities**

From the total CO<sub>2</sub> emissions according to the different trends of CO<sub>2</sub> emissions from 2000 to 2015, the 29 cities are roughly divided into 4 categories. From the results, the CO<sub>2</sub> emissions trends of 7 cities appeared to have abrupt fluctuations, and 9 cities trended steadily upward. The CO<sub>2</sub> emissions in 10 cities increased initially and then decreased, and the emissions were relatively stable in 3 cities (Appendix figure 1). From appendix figure 1, of the 7 cities with the trend of abrupt fluctuation, Handan had the highest CO<sub>2</sub> emissions in each year, and the gap with the other 6 cities grew larger year after year. In the 9 cities with the steady upward trend, Shangqiu had the highest CO<sub>2</sub> emissions from 2004 to 2010 due to its higher coal consumption than the other 8 cities. There were trends of initially increasing and then decreasing CO<sub>2</sub> emissions in 10 cities. The CO<sub>2</sub> emissions of Pingdingshan and Changzhi were higher than those of the other cities in the same year. In the 3 cities with a trend of relative stability, the CO<sub>2</sub> emissions showed a steady tendency as a whole, as they had a very stable industrial structure, energy consumption and urbanization rate over that period.

The emission intensity indicates the amount of CO<sub>2</sub> emissions emitted per capita

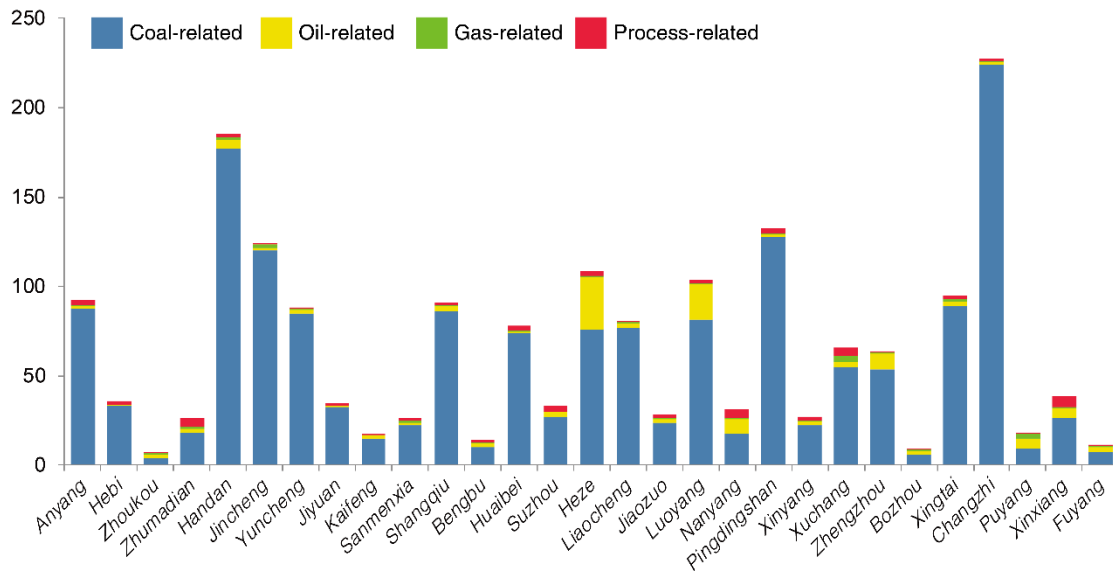
GDP. It is mainly used to measure the relationship between the urban economy and CO<sub>2</sub> emissions. For the 7 cities with the trend of abrupt fluctuation, except for an individual year, the emission intensity of Handan, Zhoukou and Zhumadian had roughly downward trends. The CO<sub>2</sub> emission intensity trends of the other 4 cities were the same as the trend of their CO<sub>2</sub> emissions, showing an unsteady trend of alternate declines and increases. For the 9 cities with the steady upward trend, the changes in the emission intensity of Kaifeng, Handan, Suzhou, Liaocheng, and Heze were all relatively stable within the period, indicating that the changes in CO<sub>2</sub> emissions were synchronized with the changes in their GDP. For the 10 cities with the trend of increasing initially and then decreasing, the emission intensity in Changzhi, Pingdingshan, and Xingtai were relatively higher between 2000 and 2015. For the 3 cities with the trend of relative stability, the emission intensity basically fell in the period, indicating that emission reduction policies were useful in 3 cities.

As seen from the per capita CO<sub>2</sub> emissions (appendix figure 3), in the 7 cities with the trend of abrupt fluctuation, the per capita CO<sub>2</sub> emissions in Anyang, Handan and Yuncheng had not changed much mainly because their ratios of CO<sub>2</sub> emissions to population had not changed significantly. In the 9 cities with the steady upward trend, the per capita CO<sub>2</sub> emissions in Jiyuan were relatively larger and trended upward, possibly relating to its small population. In the 10 cities with the trend of increasing initially and then decreasing, similar to Pingdingshan, Luoyang also achieved its highest point in 2011. It can also be considered to have peaked. In the 3 cities with the trend of relative stability, the change trends of the per capita CO<sub>2</sub> emissions appeared steady. The per capita CO<sub>2</sub> emissions in Fuyang remained basically unchanged.

### **3.2 CO<sub>2</sub> emissions by energy types, industrial process and different sectors in 2015**

The total emissions are divided into coal-related, oil-related, gas-related, and process-related emissions in figure 1. This paper uses the more complete and latest

data in 2015 to explore the CO<sub>2</sub> emissions from three kinds of energy and industrial processes. As some cities did not have energy consumption data in 2015, this paper estimated their CO<sub>2</sub> emissions in 2015 according to the data in prior years. The ratios from coal consumption accounting for the total CO<sub>2</sub> emissions in 29 cities were clearly bigger than from other energy types and industrial processes, and they ranged from 52.3% in Puyang to 98.51% in Changzhi, the latter being a typical coal-based city. For oil consumption, the ratios accounting for the total CO<sub>2</sub> emissions ranged from 0.76% in Changzhi to 3% in Puyang. As Puyang owns the Central Plains oil field, the ratio was relatively higher there than for other cities. With regards to gas consumption, the ratios ranged from 0.19% in Anyang to 13.72% in Puyang, which was also because Puyang controls the Central Plains oil field. The ratios from industrial processes accounting for the total CO<sub>2</sub> emissions in 29 cities ranged from 0.51% in Changzhi to 17.92% in Zhumadian.

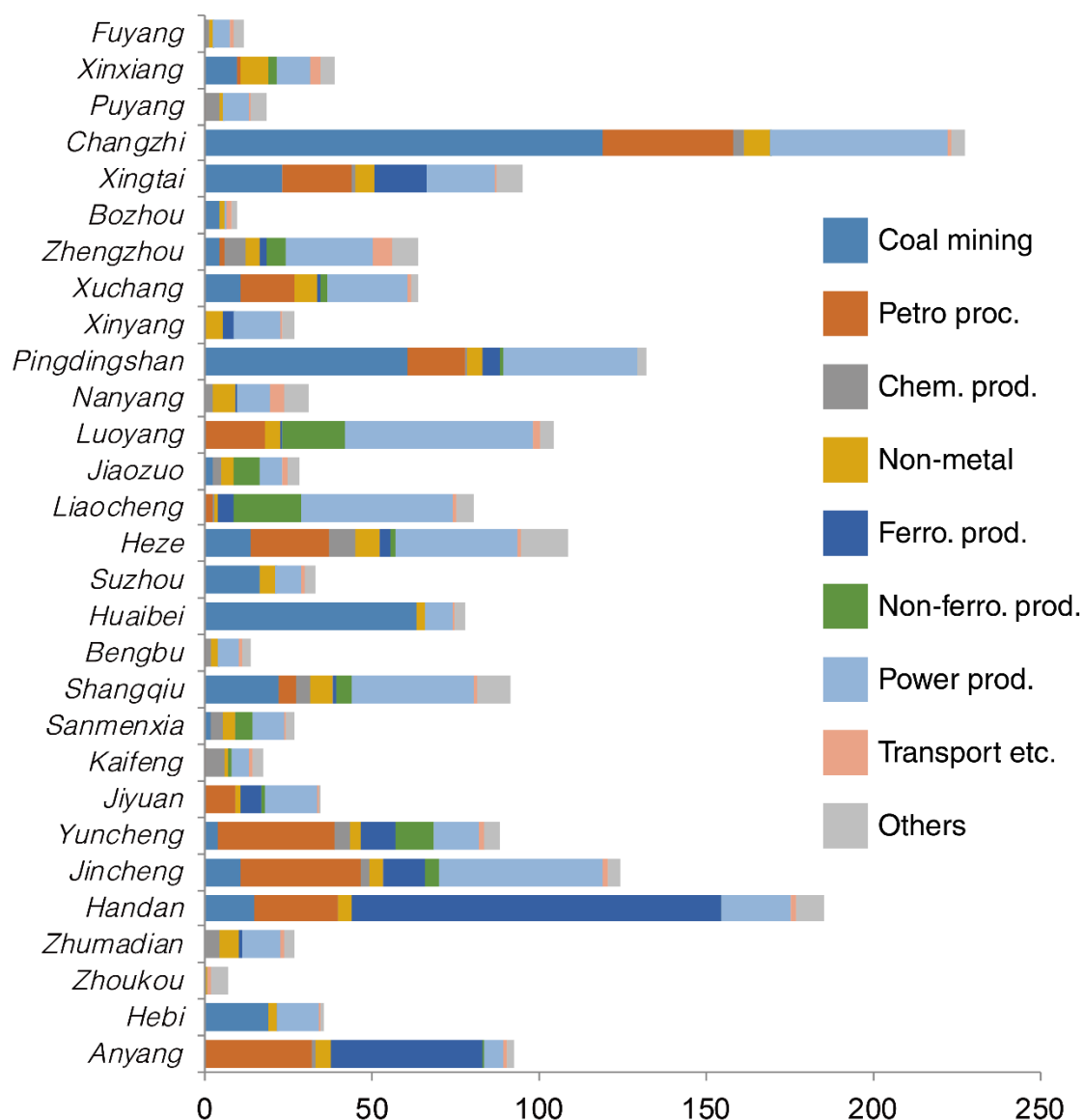


**Fig. 1.** CO<sub>2</sub> emissions from different kinds of energy and industrial processes in 2015 (Mt)

The CO<sub>2</sub> emissions produced by different sectors in the 29 cities were significantly different in 2015 (figure 2). To analyse the effects of the development of some key industries on CO<sub>2</sub> emissions, this paper merged 45 sectors into 9 sectors. The ratios of CO<sub>2</sub> emissions from the coal mining industry accounting for the total



emissions ranged from 0% in 12 cities to 81% in Huaibei. For petroleum processing and coking, the ratio in Yuncheng was the largest (40%). In the production and supply of electric power, steam and hot water, the ratios were bigger than other sectors in most cities, and the biggest ratio was 56% in Liaocheng. Regarding transportation, storage, post and telecommunication services, Zhengzhou had the largest ratio of CO<sub>2</sub> emissions of the 29 cities. Because Zhengzhou is the only core city in the Central Plains urban agglomeration, it experiences heavy traffic.



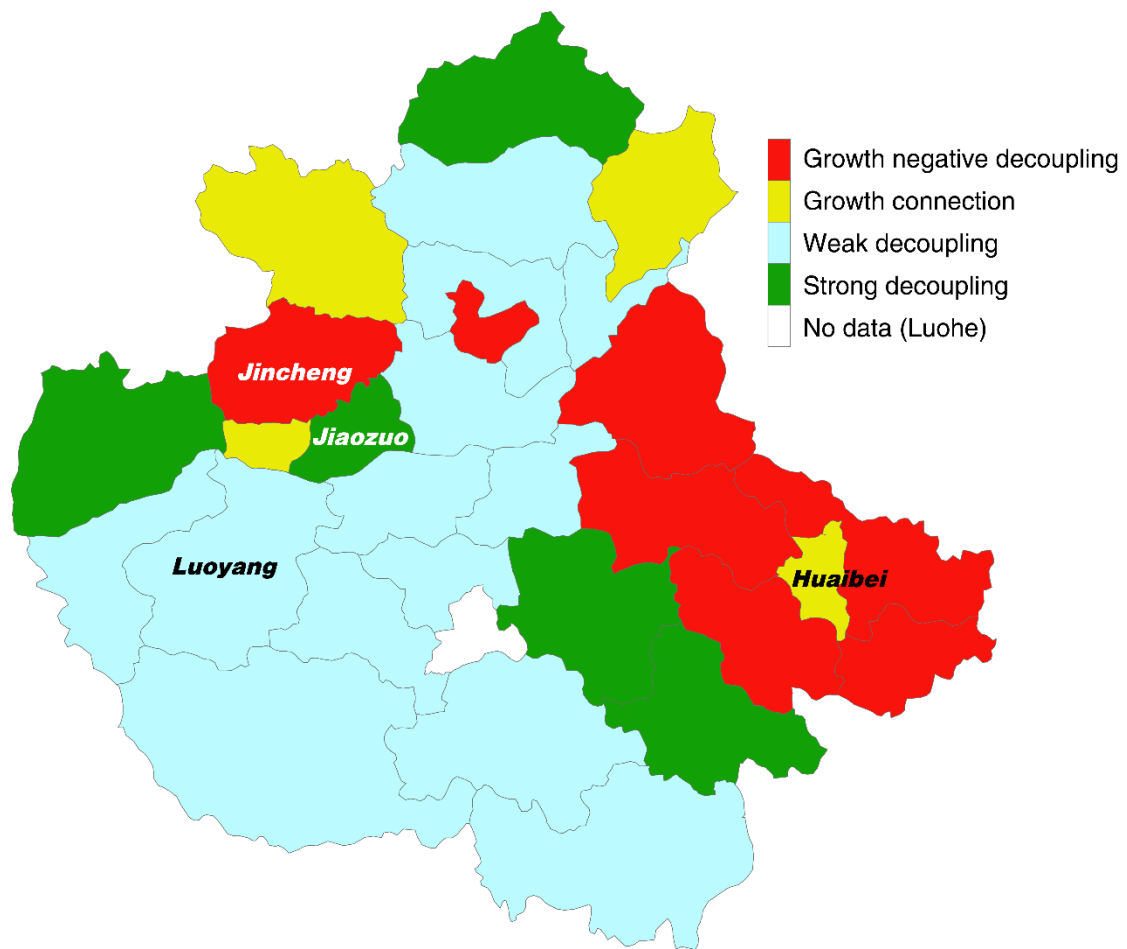
**Fig. 2.** CO<sub>2</sub> emissions produced by different sectors in 2015 (Mt).

Notes: Coal mining and Dressing (Coal mining), Petroleum Processing and Coking (Petroleum proc.), Raw Chemical Materials and Chemical Products (Chemical prod.), Nonmetal Mineral

Products (Non-metal), Smelting and Pressing of Ferrous Metals (Ferro prod.), Smelting and Pressing of Nonferrous Metals (Non-ferro prod.), Production and Supply of Electric Power, Steam and Hot Water (Power prod.), Transportation, Storage, Post and Telecommunication Services (Transport etc.), Other Industries (Others)

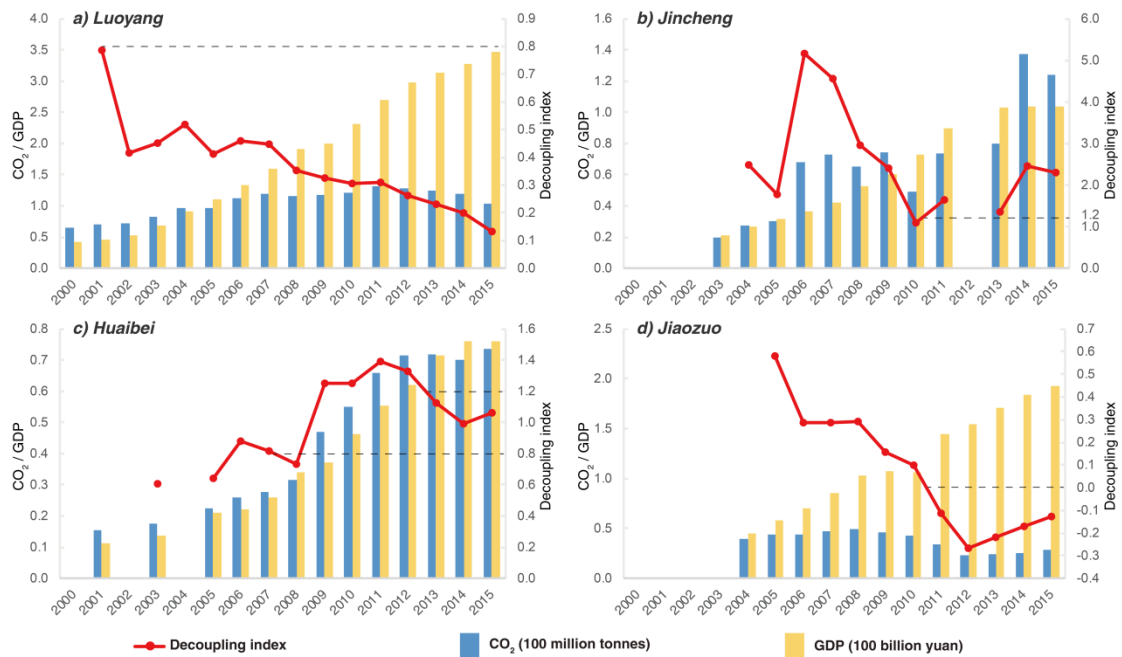
### **3.3 Decoupling analysis between GDP and CO<sub>2</sub> emissions**

For the period from 2000 to 2015, the decoupling analysis between GDP and CO<sub>2</sub> emissions in 29 cities is shown in figure 3. In 13 cities including Anyang, Zhumadian, Handan, Kaifeng, Sanmenxia, Luoyang, Nanyang, Pingdingshan, Xinyang, Xuchang, Zhengzhou, Puyang and Xinxiang a weak decoupling appeared between GDP and CO<sub>2</sub> emissions, indicating that the CO<sub>2</sub> emissions increased with the GDP while the change rate of CO<sub>2</sub> emissions appeared slower than that of GDP. Five cities (Zhoukou, Yuncheng, Jiaozuo, Xingtai and Fuyang) experienced a weak decoupling to strong decoupling after 2010, which was the end of the golden decade for the coal industry; the change rate of CO<sub>2</sub> emissions appeared slower than that of GDP, and CO<sub>2</sub> emissions decreased, creating the ideal state for low-carbon cities. Seven cities, including Hebi, Jincheng, Shangqiu, Bengbu, Suzhou, Heze and Bozhou, showed expansive negative decoupling, meaning that the CO<sub>2</sub> emissions increased with the GDP while the change rate of CO<sub>2</sub> emissions was faster than that of GDP. Four cities, including Jiyuan, Changzhi, Huaibei and Liaocheng, showed expansive connection, indicating that the change rates of CO<sub>2</sub> emissions and GDP appeared synchronous with growth, which was not an ideal development state.



**Fig. 3.** Decoupling analysis between GDP and CO<sub>2</sub> emissions in 29 cities from 2000 to 2015

To analyse the low-carbon development paths in different types of cities in more detail, this paper selected four typical cities as cases to analyse four different decoupling states (figure 4).



**Fig. 4.** GDP, CO<sub>2</sub> emissions and decoupling index in 4 cities from 2000 to 2015

### (1) Expansive negative decoupling cities

Expansive negative decoupling means that the CO<sub>2</sub> emissions increase with the GDP, and the CO<sub>2</sub> emissions grow faster than the GDP. Jincheng, Shangqiu and Hebi are coal resource cities that have the energy structure of typical highly carbonized cities, which is the most important factor for the rapid growth of CO<sub>2</sub> emissions. Heze, Bozhou, Suzhou and Bengbu are all four cities in northern Anhui and western Shandong in the early or middle stage of industrialization and that selected the extensive development road of "pollution first, treatment afterwards". Economic growth is characterized as high input, high energy consumption and high emissions. At the same time, they may also be affected by the measures to stimulate economic development. These cities implemented the industrial agglomeration development strategy and increased the investment and production of high-energy projects, leading to a large increase in energy consumption and CO<sub>2</sub> emissions.

Taking Jincheng as an example to discuss expansive negative decoupling (figure 4a). The CO<sub>2</sub> emissions in Jincheng increased with an annual growth of as high as

15.3%. However, they appeared in a mixed state. Although Jincheng issued its low-carbon development plan in 2014 to require industrial restructuring, energy restructuring and carbon reduction projects in key areas [44], its higher urbanization rate and higher ratio of secondary industry accounting for the three industries still had important impacts on increasing CO<sub>2</sub> emissions, and the highest ratio was 65.5% in 2011. The emission intensity in Jincheng also presented an unstable state. The total CO<sub>2</sub> emissions in Jincheng were not the highest, but due to its smaller population its per capita CO<sub>2</sub> emissions were the largest compared with the other cities in the same year. Subsequently, it fell slightly to 56.65 tons/per capita in 2015. The CO<sub>2</sub> emissions from coal consumption accounted for the highest proportion in Jincheng (as high as 96.64%) in 2015, and they were related to the coal resources-based background of the city. As for petroleum processing and coking, the proportion of CO<sub>2</sub> emissions from petroleum processing and coking was 29%, indicating that close attention needs to be paid to petroleum processing and coking for emissions reduction. The production and supply of electric power, steam and hot water in Jincheng had a higher ratio of CO<sub>2</sub> emissions.

## **(2) Expansive connection cities**

Expansive connection means that when the economy is growing, energy consumption and CO<sub>2</sub> emissions increase at the same time; this is not an ideal state. The economic growth pattern changes from extensive expansion to intensive development, but the change of economic growth mode can only gradually reduce a small part of the CO<sub>2</sub> emissions. The economic growth has not eliminated the growth of energy consumption and CO<sub>2</sub> emissions, and it has not achieved the transition to a decoupling state.

Here, Huaibei is utilized to analyse the expansive connection state (figure 4b). The CO<sub>2</sub> emissions were higher than in the other 8 cities (except Shangqiu, without data) in its group after 2010. As an old coal-based industrial city, Huaibei has a

relatively higher per capita GDP, one of the highest ratios of coal consumption in the energy structure (accounting for more than 94% of CO<sub>2</sub> emissions), and a higher ratio of secondary industry in the industry structure (basically more than 60%) than the other 8 cities. With the transformation of the non-coal industry in Huaibei, CO<sub>2</sub> emissions will decrease in the future. The emission intensity of Huaibei was bigger than that of the other 8 cities, which varied between 11.38 to 17.16 tons per ten thousand yuan. When the CO<sub>2</sub> emissions in Huaibei were similar to those in some other cities, the emission intensity was relatively larger due to its relatively smaller GDP, the same as Jiyuan. The per capita CO<sub>2</sub> emissions in Huaibei generally increased from 7.76 to 33.83 tons per capita in 2001-2015, and the overall trend was upward except for a slight declining in some years. That is mainly because of its relatively larger CO<sub>2</sub> emissions and lower population. The highest proportions of CO<sub>2</sub> emissions from coal consumption accounted for more than 94%. Huaibei is a coal-based city, and coal plays an important role in the local economy. The coal industry is still in transition while the local service industry has not yet formed. Huaibei has issued a low-carbon city pilot action plan (2017-2020), and it was successfully selected for the third batch of national pilot low-carbon cities [45], laying the foundation for achieving low-carbon development. Huaibei can transform its single coal mining industry into a coal, electricity, coke, chemical, and building materials industry, including the whole industrial chain development pattern in the future.

### **(3) Weak decoupling analysis**

Weak decoupling means that economic growth changes from relying on the increase in energy consumption to the improvement of its use efficiency, and the influence of energy consumption on economic growth gradually weakens. When this influence weakens to a certain extent, economic growth can be decoupled from CO<sub>2</sub> emissions. It may be because powerful measures have been taken to constantly

optimize the industrial structure and eliminate and close a number of enterprises with low production efficiency and high energy consumption, which improve the efficiency of energy utilization. However, as the impact of energy consumption on economic growth is limited, 13 cities presented a weak decoupling state.

Luoyang was selected as a case to analyse weak decoupling (figure 4c). The CO<sub>2</sub> emissions and per capita CO<sub>2</sub> emissions of Luoyang both peaked in 2011 and showed a downward trend after. The emission intensity in Luoyang showed roughly declining trends. It was remarkable in the characteristics of CO<sub>2</sub> emissions driven by industries. The industrial structure was mainly based on heavy industry and high energy consumption industries. The CO<sub>2</sub> emissions from the high material consumption and high energy consumption industries such as Production and Supply of Electric Power, Steam and Hot Water, Smelting and Pressing of Nonferrous Metals and Petroleum Processing and Coking accounted for 90% of the total emissions. Economic growth belonged to the “high carbon” type. Luoyang launched the China-Europe low-carbon eco-city cooperation project in 2015 [46] which provides a reference for the construction of low-carbon cities by learning from the experience of the green and low-carbon development of European cities.

#### **(4) Strong decoupling analysis**

Strong decoupling shows that economic growth has shifted from relying on increasing energy consumption to more efficient use of energy and strict implementation of policies. The economic growth has eliminated the dependence on energy consumption and CO<sub>2</sub> emissions growth, and energy consumption has greatly reduced its influence on economic growth. At this time, energy consumption and CO<sub>2</sub> emissions have both decreased, achieving an ideal state of less energy consumption and more economic growth.

Jiaozuo is a typical strong decoupling city (figure 4d), and it reached an ideal low-carbon development state in 2010, which was the end of the golden decade for

the coal industry. It can be deduced that Jiaozuo has reached a low-carbon path. Jiaozuo is a coal-resource-based city, and secondary industry accounted for a large proportion of CO<sub>2</sub> emissions. Jiaozuo produced more coal resources, which had a greater influence on CO<sub>2</sub> emissions. The added values in the resource-based industrial enterprises accounted for more than three-quarters of the city's industrial added values at one time. However, with the exhaustion of coal resources and the simplification of the industrial structure, the average annual growth rate in Jiaozuo was only 3.5% during the Ninth Five-year Plan. In March 2008, with the approval of the State Council, Jiaozuo was listed as one of the first 12 resource-depleted cities in China as a representative of a typical resource-depleted city in central China, which brought many opportunities for sustainable development [47]. Since then, Jiaozuo has successfully weathered the economic recession and dependence on coal resources, and it has achieved GDP growth and the reduction of CO<sub>2</sub> emissions.

## **4. Conclusions**

This paper estimates the CO<sub>2</sub> emissions, emission intensity, and per capita CO<sub>2</sub> emissions and discusses the decoupling states in 29 cities from 2000 to 2015. The paper offers data base for CO<sub>2</sub> emissions accounting, emission reduction and low-carbon paths. Each city can make CO<sub>2</sub> emission standard policies according to its GDP, energy consumption structure, industrial structure, urbanization process and other aspects [48, 49]. The main conclusions are as follows.

There are large differences in the total CO<sub>2</sub> emissions and the shares of various sectors in different cities. On the whole, the CO<sub>2</sub> emissions and emission intensity in the north western part are relatively larger than in the southeast, and the overall low carbon level is relatively lower. We therefore conclude that the CO<sub>2</sub> emissions, emission intensity and per capita CO<sub>2</sub> emissions in coal-based cities, heavy industry cities and cities with smaller populations were relatively higher while the CO<sub>2</sub> emissions, emission intensity and per capita CO<sub>2</sub> emissions were relatively lower in



more agricultural, less developed and more populous cities. Examining different kinds of energy and industrial processes, the CO<sub>2</sub> emissions from coal consumption accounted for a majority of the total emissions (more than 50%), which are the same with Guangdong-Hong Kong-Macao Greater Bay Area cities [50]. The proportion of CO<sub>2</sub> emissions from coal consumption even exceeded 94% in some coal-based cities and industrial cities such as Anyang, Handan, Jincheng, Yuncheng, Shangqiu, Huaibei, Pingdingshan, and Changzhi. The CO<sub>2</sub> emissions from gas were lower in all 29 cities. We therefore conclude that the industrial structure and energy consumption structure of these cities were mainly based on coal resources and relatively slower in evolution. The industrial structure, energy structure and CO<sub>2</sub> emissions had significant correlation characteristics, which meant the CO<sub>2</sub> emissions growth rate did not significantly slow down. Seen from different sectors, the CO<sub>2</sub> emissions reduction potential was great, but the CO<sub>2</sub> emissions reduction was also difficult. CO<sub>2</sub> emissions from production and supply of electric power, steam and hot water, coal mining and dressing were higher, which are consistent with those from 18 central Chinese cities [51]. When it comes to decoupling, only 5 cities (Zhoukou, Yuncheng, Jiaozuo, Xingtai and Fuyang) showed strong decoupling since 2010, reaching the ideal low-carbon development state. It can be deduced that the relatively smaller proportion of industrial structures in Zhoukou and Puyang and the end of the golden decade for the coal industry made Yuncheng, Jiaozuo and Xingtai reach their low-carbon paths. For the 13 cities that had weak decoupling and the other 11 cities, strong decoupling has been limited because the change rate of CO<sub>2</sub> emissions appears much slower than that of GDP. The decoupling between CO<sub>2</sub> emissions and GDP in 29 cities can provide data base for the realization of decoupling and low-carbon paths in 29 cities. After understanding the decoupling status of each city, the government can take concrete measures to help each city to achieve the decoupling of CO<sub>2</sub> emissions and GDP as soon as possible.

For the low-carbon paths of the cities, the paper proposes that the proportion of coal purification to achieve low carbon energy should be increased firstly. The current energy structure in the Central Plains urban agglomeration is mainly based on coal resources. The proportion of coal accounting for the current energy structure in some cities is higher than the national average. Therefore, it is difficult to significantly reduce the proportion of coal in the short term. Vigorously developing coal purification technologies is a practical strategy for construction in low-carbon cities in the Central Plains urban agglomeration. Then, the energy consumption structure should be changed. The energy consumption structure can be shifted from the traditional coal consumption structure to coal, oil and gas. These 29 cities can well utilize the “West-East Gas Transmission” project to guide them to restructure their energy consumption structure. Meanwhile, the cities can actively develop and utilize new and clean energy resources according to their development plans. Lastly, economic means can be used to control CO<sub>2</sub> emissions. Economic instruments include CO<sub>2</sub> emissions trading rights and taxes. The establishment and start-up of the carbon market will affect the production of some industries and also the economic development of the cities to a certain extent, which is conducive to reducing emissions.

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