

1 **Estimating household air pollution exposures and health impacts from space heating in rural China**

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22 **Abstract**

23 Exposure to and the related burden of diseases caused by pollution from solid fuel cooking, known as household
24 air pollution (HAP), has been incorporated in the assessment of the Global Burden of Diseases (GBD) project.
25 In contrast, HAP from space heating using solid fuels, prevalent in countries at middle or high altitudes, is less
26 studied and missing from the GBD assessment. China is an ideal example to estimate the bias of exposure and
27 burden of diseases assessment when space heating is neglected, considering its remarkably changing demands
28 for heating from the north to the south and a large solid-fuel-dependent rural population. In this study, based on
29 a meta-analysis of 27 field measurement studies in rural China, we derive the indoor PM_{2.5} (fine particulate
30 matter with an aerodynamic diameter smaller than 2.5 micrometers) concentration for both the heating and non-
31 heating seasons. Combining this dataset with time-activity patterns and percentage of households using solid
32 fuels, we assess the population-weighted annual mean exposure to PM_{2.5} (PWE) and the health impacts
33 associated with HAP in mainland rural China by county for the year 2010. We find that ignoring heating impacts
34 leads to an underestimation in PWE estimates by 38 µg/m³ for the nationwide rural population (16 to 40 as
35 interquartile range) with substantial negative bias in northern provinces. Correspondingly, premature deaths and
36 disability-adjusted life years will be underestimated by approximately 30×10³ and 60×10⁴ in 2010, respectively.
37 Our study poses the need for incorporating heating effects into HAP risk assessments in China as well as globally.

38 **Abbreviations**

39 HAP, household air pollution; GBD, Global Burden of Diseases; PM_{2.5}, fine particulate matter with an
40 aerodynamic diameter smaller than 2.5 micrometers; PWE, population-weighted annual mean exposure to PM_{2.5};
41 DALYs, disability-adjusted life years; HD, number of heating days; ALRI, acute lower respiratory infection; LC,
42 lung cancer; COPD, chronic obstructive pulmonary disease; IHD, ischemic heart disease; PAFs, population
43 attributable fractions; IER models, integrated exposure-response models; RR, relative risk; LPG, Liquefied
44 Petroleum Gas; SNG, synthetic natural gas; WHO, World Health Organization;

45 **Keywords:** household air pollution; space heating; rural China; particulate matter exposure; human health

46 **Introduction**

47 Increased evidence suggests that PM_{2.5} (fine particulate matter with an aerodynamic diameter smaller than 2.5
48 micrometers) exposure from household solid-fuel use is associated with an increase in the risk of cardiovascular
49 and pulmonary diseases in rural China (Zhang and Smith, 2007). Household air pollution (HAP) from solid
50 cooking fuel use is ranked by the Global Burden of Disease project as the second most important environmental
51 risk factor for premature deaths in China, leading to 605 thousand premature deaths in 2016 (IHME, 2016).
52 Population-weighted annual mean exposure to PM_{2.5} (PWE, $\mu\text{g}/\text{m}^3$) in this risk assessment is estimated on the
53 basis of cooking fuel types (Forouzanfar et al., 2016), whereas impacts of heating are not considered. This means
54 an undifferentiated exposure level during heating and non-heating seasons.

55 Unlike other major countries relying on solid fuels, including India and sub-Saharan African countries where
56 heating needs are rare, a substantial amount of solid fuels are combusted for heating in rural China, especially
57 the northern parts (Duan et al., 2014). According to a nationwide residential energy consumption survey, space
58 heating accounts for almost 50% of total residential energy use in China (Wei et al., 2016). For rural households
59 without access to district heating, burning solid fuels in heating stoves or “kangs” in winter remains to be the
60 most common home-heating practices, which are known for high emissions of various pollutants and smoke
61 backflow even for the improved types (Chen et al., 2016a; Zhuang et al., 2009). Recent studies have already
62 revealed significant contributions of heating to ambient air pollution in winter, especially in northern China
63 (Archer-Nicholls et al., 2016; Liu et al., 2016).

64 Evidently, space heating in winter will also contribute to indoor air pollution with more solid fuel combustion
65 and worse ventilation (MEP, 2013). In addition, the amount of time people spend indoors, especially during the
66 heating season, makes exposure to indoor pollutants an even more important concern. While several field
67 measurement studies have reported increased indoor PM_{2.5} concentrations and personal exposure levels in rural
68 China in winter (Alnes et al., 2014; Baumgartner et al., 2011; Wu et al., 2015; Zhong et al., 2012), the heating
69 contribution has not been incorporated, so far, into regional or nationwide assessment of indoor air pollution
70 exposure and health impacts. In this study, we re-assess the PWE to HAP and quantify the bias if heating impacts
71 were neglected, by differentiating indoor PM_{2.5} concentration in heating and non-heating seasons. The exposures
72 were calculated with the inclusion of time-activity patterns and an updated indoor PM_{2.5} database with a close

73 examination of the heating impact. Associated health impacts were also estimated and bias resulting from
74 neglecting space heating was quantified.

75 **Method**

76 This study adopted the time-activity pattern method to estimate PWE from space heating in rural China at the
77 provincial level from 1980 to 2012 based on an updated indoor PM_{2.5} database. Premature deaths and disability-
78 adjusted life years (DALYs) were calculated as metrics to assess the burden of diseases from HAP for the years
79 of 1990, 1995, 2000, 2005 and 2010. Detailed methods are described below, and a flowchart of the assessment
80 is attached (**Figure 1**). Specifically, county-level PWE and burden of diseases were estimated for the year 2010
81 to characterize the spatial pattern.

82 **Indoor PM_{2.5} concentration.** An indoor particulate matter level dataset was compiled using air pollution
83 databases published by United Nations Environment Programme and World Health Organization (WHO), as
84 well as updated data from field measurements published between 2009 and 2017. There were 501 publications
85 from 2009 to 2017 that were identified from the Web of Science database relevant to indoor air pollution in
86 China. Nine out of the 501 publications contain field measurements in rural areas and report indoor PM
87 concentrations for households with a dominant fuel type. Measurements taken for dung cake, peat, and biomass
88 pellet were excluded because these fuel types were not recorded in published energy databases (IEA, 2010; Wang
89 et al., 2013), and their total consumption was assumed to be less than 5% of rural residential energy consumption
90 in China. In total, 27 studies were included in this updated dataset, covering 18 out of 33 provinces and
91 municipalities in mainland China from both high and low space heating demanding regions. All the 27 studies
92 were reviewed in depth and sampling details including sampled province, season, household fuel type (coal, crop
93 residue, coal and clean energy), and indoor microenvironment (kitchen, living room, bedroom or not specified)
94 were collected from the literature and statistically analyzed. For studies only reporting sampling periods without
95 indicating if space heating was adopted, periods with monthly averaged local temperature below 5 °C were
96 viewed as the heating season (MOHURD, 2003). All studies reviewed and included in this dataset are listed in
97 **Table S1**. For studies only reporting the average concentration of several measurements, a resample was carried
98 out according to the reported mean, standard deviation (or intervals) and sample size. Means and standard
99 deviations of log-transformed PM_{2.5} concentrations were derived to assess health impacts and uncertainties

100 (**Table S2**). Measurements taken at different years were grouped together because the temporal trend of indoor
101 PM_{2.5} concentration was found to be insignificant ($p>0.05$). This does not, however, incorporate the extra
102 exposure in the near-household but outdoor environments from solid fuels used for space heating, for which we
103 have no systematic measurements.

104 **Population-weighted PM_{2.5} exposure assessment.** Daily exposure to PM_{2.5} from HAP was estimated for
105 residents who choose coal, crop residue, wood, and clean energy as their primary fuel source, respectively,
106 using corresponding indoor PM_{2.5} concentrations and time-activity patterns. EXP_{j,f,h} is the daily exposure of
107 subpopulation j using fuel f as the primary fuel source for heating or non-heating season calculated using the
108 equation below:

$$109 \text{EXP}_{j,f,h} = \sum_k t_{j,k,h} \cdot c_{f,k,h} \quad (1)$$

110 where $t_{j,k,h}$ is the proportion of time a subpopulation j spent in microenvironment k in a heating or non-heating
111 season (h); $c_{f,k,h}$ is the area concentration of PM_{2.5} in microenvironment k in a heating or non-heating season (h)
112 in a household using fuel type f. The microenvironments in rural China were grouped into three categories,
113 including kitchen, living room, and bedroom. To identify time-activity patterns for different subpopulations,
114 rural residents in each province were divided into eight subpopulations based on gender and age, i.e., under 5
115 years old, between 5-15 years old, between 15-65 years old and over 65 years old for both males and females.
116 The time spent outdoors and total time spent indoors were taken from the Exposure Factors Handbook for the
117 Chinese population for different age groups, respectively (MEP, 2013; 2016a; b). The ratios of time spent in
118 different rooms (kitchen, living room, and bedroom and the other unspecified indoor microenvironments) were
119 obtained directly from data compiled in a previous study (Mestl et al., 2007). When the calculated time spent in
120 the kitchen for children and teenagers exceeds that of adult women using the ratios adopted, we assume that the
121 amount of time spent in the kitchen for them is equal to the adult women and the remaining time is spent in the
122 bedroom or the other unspecified indoor microenvironments. The detailed time-activity pattern used for each
123 subpopulation in this study is provided in **Table S3**.

124 Annual average PWE is the average of PWE for heating and non-heating seasons weighted by the number of
125 heating days (HD, defined as days with daily average temperature below 5 °C). Provincial HD from 1980 to
126 2012 and county-level HD for 2010 was calculated based on 2-m temperature from ERA-Interim reanalysis (Dee

127 et al., 2011). The overall PWE for rural residents was calculated as the population-weighted average of EXPs
128 for individual population groups.

$$129 \text{ PWE}_{p,y,h,f} = \frac{1}{P_{p,y}} \sum_j (\text{EXP}_{j,f,h} \cdot P_{p,y,j}) \quad (2)$$

130 where $P_{p,y,j}$ is the size of subpopulation j in the province (or county) p and year y , derived from population
131 censuses and the statistical yearbook (NBS, 1981-2013; 2011).

132 The fractions of households using different types of energy were derived from the population census which
133 involved the major fuel type surveys, the China Energy Statistical Yearbook, and the China Rural Energy
134 Statistical Yearbook (MOA, 1997-2008; NBS, 1986-2013; 2001; 2011). In addition, we downscaled the
135 household fractions to a county level for the year 2010 using a series of multivariate regression models based on
136 socioeconomic and physical indices. Detailed approaches to addressing temporal trends of provincial-level
137 household fractions and spatial downscaling can be found in *SI Text*.

138 Limited by data availability, the time-activity pattern adopted for different subpopulations, especially children
139 and teenagers, was subject to large uncertainty. In addition, potential factors influencing indoor $\text{PM}_{2.5}$
140 concentration including stove types, different heating practices (heating with cooking stoves, separate heating
141 stove, or kang) were left unexplored because of limited number of field measurements (Hu et al., 2014; Stove
142 Summit, 2017). Better characterization of PWE, as well as influencing factors, demands more field
143 measurements with detailed information on the sampling households and more population surveys on indoor
144 time-activity patterns in the future.

145 **Evaluation of PWE estimates against measured personal exposure levels**

146 To evaluate the PWE estimates from our study, we compared the estimates for each subpopulation to the personal
147 exposure measurement results in solid fuel-using households in rural China during heating and non-heating
148 seasons, respectively. Those reporting results from the same field measurement were identified as one study. In
149 total, 10 field measurement studies were identified from the literature review with most studies focusing on adult
150 female in the households. The $\text{PM}_{2.5}$ exposure level and corresponding information including fuel type, sampled
151 subpopulation, province, heating condition (heating, non-heating, and both heating and non-heating) were listed
152 in **Table S4**. By plotting the measured personal exposure level in each study against the PWE estimate for the

153 corresponding subpopulation group, we found that most of the data pairs fall around the 1:1 ratio line within the
154 50%-200% range (**Figure S1**). The exposure level in the heating season is consistently around twice as high as
155 that in the non-heating season for both PWE estimates and measured personal exposure levels. Therefore, our
156 estimated PWE based on indoor PM_{2.5} concentrations and the time-activity patterns can well approximate the
157 personal exposure levels in rural China where direct measurements are limited.

158 **Health impact assessment.** To assess burden of diseases from HAP in rural China, this study considered
159 premature deaths and DALYs for acute lower respiratory infection (ALRI) of children under five years old, lung
160 cancer (LC), stroke, chronic obstructive pulmonary disease (COPD) and ischemic heart disease (IHD) of adults
161 over 25 years old and DALYs for cataracts of female over 25 years old. These metrics are consistent with those
162 considered by GBD 2015 (Forouzanfar et al., 2016).

163 There are, unfortunately, various estimates of population-level health impacts from PM_{2.5} exposures available
164 over different years from the Global Burden of Diseases (GBD) project (Institute for Health Metrics and
165 Evaluation, IHME) and WHO, which will undoubtedly change further in the future. We thus do not attempt to
166 determine the total burden of household fuel use from the additional exposure due to the inclusion of space
167 heating. We do apply our data to the published version of the integrated exposure-response functions (IERs) used
168 in the last full revision of the GBD (Burnett et al., 2014) and newly published IERs (Cohen et al., 2017). The
169 central estimates of premature deaths and DALYs derived by applying the two sets of IERs were reported as a
170 range. The IER models describe the relative risks of certain diseases as a function of PWE (Cohen et al., 2017)
171 and have been widely used to assess the health impacts from household air pollution at global and regional levels
172 including China (Smith et al., 2014). IER only concerns the PM_{2.5} exposure regardless of its source specification
173 and thus should be suitable for both cooking and heating, two major sources of household air pollution, which
174 are both associated with elevated PM_{2.5} exposure.

175 Premature deaths and DALYs attributable to HAP were calculated by multiplying background premature deaths
176 and DALYs for all risk factors with corresponding population attributable fractions (PAFs) of HAP, for the six
177 causes, respectively. Provincial background premature deaths and DALYs from 1990 to 2010 at five-year
178 intervals for the rural population were derived by multiplying reported numbers from GBD (Zhou et al., 2016)
179 with the rural-to-urban ratio (NHFPC, 2015).

180 PAFs were calculated at the provincial level from 1980 to 2012 using the equations below (Lim et al., 2012) for
181 each subpopulation with the province, gender, age and fuel type specific exposure levels. RR denotes relative
182 risk faced by subpopulations.

$$183 \text{ PAF} = \frac{RR-1}{RR} \quad (3)$$

184 For ALRI, LC, IHD, and stroke, two sets of RRs were calculated based on the two sets of integrated exposure-
185 response (IER) models for PM_{2.5} exposure developed by Burnett et al. (2014) and Cohen et al. (2017) and PWEs.
186 RR values for COPD (2.00 and 2.07 for male and female, respectively) and cataracts (2.56 for female) used in
187 this study were derived from the results of a meta-analysis because the COPD IER model developed for ambient
188 PM_{2.5} exposure did not align with indoor air pollution; and the cataract IER model was not available (Smith et
189 al., 2014).

190 To get the central estimates of PAFs for a certain group of population (e.g., solid fuel using population or the
191 total rural population), PAFs were calculated using the equation below, where F_i denotes the fraction of the
192 population in exposure group i. The fraction of different populations has been described in the PWE assessment
193 section above.

$$194 \text{ PAF} = \frac{\sum_{i=1}^n F_i \times (RR_i - 1)}{\sum_{i=1}^n F_i \times (RR_i - 1) + 1} \quad (4)$$

195 Total premature deaths and DALYs attributable to HAP were the sums of premature deaths and DALYs for all
196 subpopulations. The excess burden of diseases attributable to space heating is characterized by the difference in
197 premature deaths and DALYs estimates calculated using PWE from both cooking and space heating and that
198 from cooking only.

199 **Uncertainty analysis.** Two loops of Monte Carlo were applied to evaluate the uncertainties of exposure and
200 health burdens. The first loop was run 1,000 times for each province, gender, age, and fuel-specified
201 subpopulations. As a result, a set of 1,000 simulations of PWE, RRs, PAFs, premature deaths and DALYs was
202 generated for each subpopulation to characterize their distributions. For the uncertainty associated with PWE,
203 variations in PM_{2.5} concentrations, time-activity patterns as well as the proportion of different fuel-using
204 populations were considered. Log-normal distributions were applied for PM_{2.5} concentrations with standard
205 deviations calculated above. Deviations of time-activity data were directly derived from the literature (Mestl et

206 al., 2007). The fractions of the subpopulation were assumed to be uniformly distributed with a coefficient of
207 variation of 10%. For the health burden estimates, only uncertainties in PWE were considered.

208 The second loop of Monte Carlo simulation was run 10,000 times to evaluate the overall uncertainty of the
209 metrics of interest (i.e., PWEs, PAFs and health burdens) of the total rural population. Each subpopulation was
210 sampled using its fraction in the total population as the weight. Medians and interquartile ranges were used to
211 represent the uncertainty in this study.

212 **Sensitivity analysis.** To illustrate the impact of non-linearity of IER functions on health burdens estimates, the
213 sensitivity of disease burden estimates to the central PWE estimates was analyzed based on the IER functions.
214 Corresponding premature deaths and DALYs were calculated while varying PWEs from 2.5% to 97.5%
215 confidence levels at 5% intervals using the distribution generated in the first loop of Monte Carlo simulation and
216 fixing the other influencing factors for the year 2010 (Smith et al., 2014).

217 **Results**

218 **PWE to household air pollution due to solid-fuel use.** Although not included in existing estimates of the
219 burden from household fuels, solid-fuel use for space heating is a major factor elevating household pollution in
220 winter in rural China, where district heating is not provided (Jin et al., 2006; Jin et al., 2005; Zhong et al., 2012).

221 **Figure 2** compares PM_{2.5} concentrations in heating and non-heating seasons in pairs for all three solid fuel types
222 and different indoor microenvironments. PM_{2.5} concentrations measured in households using clean energy,
223 including electricity and Liquefied Petroleum Gas (LPG) were also plotted on the right. Households that utilized
224 solid fuels in their living rooms or kitchens had PM_{2.5} concentrations between 337 – 585 µg/m³ during the heating
225 season, which is over five times greater than those with clean energy. PM_{2.5} concentrations in heating seasons
226 are on average 50% (in kitchen/living rooms of coal-using households) to 200% (in bedrooms of wood-using
227 households) higher than those in non-heating seasons. The differences are significant for various fuel-
228 compartment combinations (P<0.05), except for bedrooms in coal-using households due to the lack of
229 measurement data available for this category (**Table S2**). Compared to coal, households using biomass show
230 greater concentration differences between heating and non-heating seasons possibly because of higher PM_{2.5}
231 emissions in biomass-reliant heating facilities due to a relatively unstable burning condition, as documented in
232 previous studies (Liu et al., 2008; Zhang and Smith 2007).

233 Based on summarized indoor PM_{2.5} concentration data, we calculated PWE using time-activity patterns⁴ and
234 derived PWE for both the total rural population and the rural population using solid fuels on national, provincial
235 as well as county levels, on the basis of EXP levels and fractions of subpopulations (NBS, 1981-2013; 2011).
236 We found that those provinces with large rural populations experienced the highest PM_{2.5} exposure (**Table S5**).
237 **Figure 3 (A)** shows the geographic distribution of PWE with a clear decreasing trend from north to south. A
238 clear positive correlation exists between PWE and HD for provincial PWE estimates from 1980 to 2012 (*See*
239 *Supporting Information*). In comparison, the previous study neglecting space heating derived an increasing PWE
240 trend from north to south China (Mestl et al., 2007). When major fuel type difference is the only spatial difference
241 considered, PWE for rural residents in the south who rely more on biomass was calculated to be higher than
242 PWE for residents in the north because biomass usually corresponds to higher indoor PM_{2.5} concentrations than
243 coal (**Figure 2**). When spatial differences in fuel type and heating need are considered simultaneously, however,
244 the increasing trend of PWE from the south to the north caused by increasing heating need overwhelms the
245 decreasing trend caused by primary fuel type difference. Comparing PWE estimate based on indoor PM_{2.5}
246 concentration from both non-heating and heating seasons and that from non-heating season only, we find that
247 PWE would be substantially underestimated if the heating impact is neglected, especially for the population in
248 the north (**Figure 3 (B)**). PWE for rural residents would be underestimated by 20% for counties close to the
249 boundary of district heating to 50% for counties in northeast China and Tibet with long and cold winters, which
250 means the PWE would be underestimated by 40 to 120 µg/m³. Overall, PWE was estimated to be 163 µg/m³
251 (115-194 µg/m³ interquartile range) for rural residents in China in 2012. In addition, PWE for the solid fuel using
252 population was 182 µg/m³ (160-209 µg/m³). The estimates were comparable to direct personal exposure
253 measurements from rural solid fuel using households (113 to 490 µg/m³), while different direct measurements
254 showed more variation (Baumgartner et al., 2011; Hu et al., 2014; Jiang and Bell 2008). If the effect of elevated
255 PM_{2.5} concentration during the heating season is neglected, PWE in rural China would be 125 µg/m³ (99-154
256 µg/m³) in 2012 on average, 23% lower than the estimate with the heating impact considered. In addition to the
257 spatial difference in PWE to HAP due to heating needs in northern China, it is important to know that we need
258 to bring down the national PWE to HAP from an even worse level than we thought before due to the inclusion

259 of space heating. This highlights the priority of mitigating HAP among various environmental concerns and the
260 importance of targeting space heating for HAP mitigation.

261 In total, approximately 0.67 ~ 0.93 million premature deaths, or 7.6% ~ 10.6% of all deaths, and 14.0 ~ 17.7
262 million DALYs, or 4.2% ~ 5.3% of total DALYs, could be attributed to HAP from cooking and heating in rural
263 China in 2010. The overall population attributable fraction (PAF) was 28% ~ 39%. Cooking-related exposure
264 alone accounted for 0.64 ~ 0.91 and 13.2 ~ 17.1 million estimated deaths and DALYs. Spatial distributions of
265 the relative difference between premature deaths and DALYs estimates with and without space heating only
266 show a small increment of health burdens from the inclusion of space heating with such significant differences
267 in PWE (**Figure S2**). This is because the IER functions are rather insensitive to exposure change at the high
268 exposure end (**Figure S3**). However, the increment is expected to grow since the PWE is expected to decrease
269 in the future with a wide range of projects promoting clean cookstoves and clean cooking fuels (Chen et al.,
270 2016b; Smith et al., 1993) and IER functions are more sensitive to exposure change at the lower exposure end.
271 Again, however, this is not the full impact of exposure due to household heating, since we only account for
272 indoor concentrations and not the near-household exposures due to the emissions. Nor does it account for the
273 portion of general ambient air pollution due to household heating.

274 **Temporal trends.** The national PWE for the rural population was estimated to decrease by 19 $\mu\text{g}/\text{m}^3$ from 1980
275 to 2012 (182 to 163 $\mu\text{g}/\text{m}^3$) with the decrease of solid fuel users. Without including exposure from space heating,
276 PWE would only decrease by 9.0 $\mu\text{g}/\text{m}^3$ (134 to 125 $\mu\text{g}/\text{m}^3$) because the exposure reduction would be smaller
277 when solid fuel users switch to clean energy. **Figure 4** depicts the interannual change in PWE as well as the
278 fraction of solid fuels and clean energy users for the total rural population in the past three decades. Temporal
279 trends of residential fuel and electricity consumptions in rural China from 1980 to 2012 are shown in **Figure S4**.
280 Solid fuels dominated the rural energy use over the entire study period and accounted for over 70% of the total
281 consumption for all years. However, their relative contribution has decreased at an exponential rate over the last
282 three decades from 99% to 70%. Since the early 1980s, China has experienced a rapid socioeconomic transition
283 (Zhu, 2012). Consequently, residential energy profiles have shifted from being primarily solid fuel dominated to
284 now being occupied by clean energy in the forms of LPG and electricity (Duan et al., 2014; Zhang et al., 2009),
285 especially after 2005 when the latter began to be widely marketed (Higashi 2009; Ngan 2010). Further transition

286 from solid fuels to clean energy, especially electricity, as the primary cooking fuel in rural households in recent
287 years has also been confirmed by a nationwide follow-up survey (Chen et al., 2016b). As a result, 14 out of 19
288 $\mu\text{g}/\text{m}^3$ PWE reduction occurred after 2005. The pace of PWE decrease varied among different provinces. More
289 developed coastal provinces, including Shanghai, Zhejiang, and Guangdong, saw over 20% reduction in PWE.
290 In contrast, less-developed western provinces, including Xizang, Qinghai, and Gansu, saw less than 6% decrease
291 in PWE from 1980 to 2012, and the provincial PWE was still over 200 $\mu\text{g}/\text{m}^3$.

292 **Figure 5** provides corresponding health burden changes calculated based on IERs from Cohen et al. (2017) from
293 1990 to 2010 at five-year intervals. To distinguish the impact of the change in background disease rate and
294 switching from solid fuels to clean energy, the burden of diseases avoided by switching to clean energy was also
295 calculated and pictured as hollow stacks. The burden of diseases avoided was defined as the difference between
296 actual burden of diseases and the counterfactual loss if the fraction of solid fuel using households remained at
297 1990 level. Change in death and DALY rates are also depicted. In total, 0.30 million premature deaths and 15
298 million DALYs attributable to HAP were avoided over the last two decades. Among them, the decrease of the
299 fraction of solid fuel users contributed to 0.11 and 2.0 million avoided premature deaths and DALYs from HAP
300 in rural China in 2010, respectively. The premature death and DALYs in 2015, would be 0.78 and 16 million,
301 respectively, if the fraction of households relying on solid fuel in rural China stays the same as that of 1990, 30%
302 higher than the deaths and DALYs loss estimates from household air pollution in 2016 from GBD estimates
303 (IHME 2016). DALY rates from solid fuel use decreased steadily for rural residents from 35×10^2 to 21×10^2 per
304 100000, mainly because switching from solid fuels to clean energy effectively reduce the DALYs from ALRI
305 for children under five years old. In contrast, death rates stayed constantly from 1990 to 2005 when the fraction
306 of solid fuel using households only decreased by 8.2% during this period. The death rate dropped from 112 to
307 100 per 100000 from 2005 to 2010, when the transition from solid fuel to clean energy accelerated by increased
308 accessibility to and affordability of clean energy. In addition, a spatial imbalance in terms of health burden change
309 exists between more developed east coastal area and other parts of China (right panel). The decreasing rate of
310 burden of diseases attributable to HAP is slower in central and northeastern China, indicating the needs for more
311 targeted policies to accelerate household clean energy adoption for those areas.

312 **Discussion**

313 Comparing the indoor PM_{2.5} concentration reported for heating and non-heating seasons in rural China, this
314 study revealed that the PM_{2.5} level in the heating season is significantly higher than that in the non-heating season
315 for almost all fuel and indoor microenvironment categories, which is consistent with the tendency found by
316 personal exposure measurements (Baumgartner et al., 2011; Ni et al., 2016; Zhong et al., 2012). We estimated
317 indoor PWE from HAP to be 163 µg/m³ (115-194 µg/m³). Corresponding burden of diseases to be 0.67 (0.58-
318 0.75) million premature deaths and 14.0 (12.1-15.7) million DALYs in 2010, approximately 23% and 8.0%
319 higher, respectively, than the estimates without considering additional exposure from heating. Although the total
320 health burden estimates are comparable to the GBD15 estimates (IHME, 2016), partly due to the nonlinearity of
321 the IER functions, we found an obvious spatial variation in health burdens attributable to HAP. For instance,
322 corresponding PAFs in 10 out of 16 northern and western provinces, where district heating is provided in cities
323 were higher than the average for the total rural population in 2010. Therefore, neglecting the seasonal variation
324 in exposure would not only lead to underestimation of total burden of diseases from HAP but also significant
325 spatial biases. For example, PWE estimates are higher in southern provinces in a previous study focusing only
326 on indoor PM concentration difference between biomass and coal (Mestl et al., 2007). Temporally, we found that
327 the health burden estimates would be underestimated by approximately 7.4% from 1990 to 2010 if neglecting
328 the heating impact. The bias persisted while the fraction of solid fuel using households decreased by 30%. In
329 addition to China, there are many countries (such as Kazakhstan and Mongolia) where coal combustion for
330 heating is poorly controlled and is prevalent (Kerimray et al., 2017). It is expected that health impacts of HAP
331 in these regions have been significantly underestimated because space heating is not taken into account.

332 It is observed that as space heating becomes more affordable with economic development in China, the heating
333 demands for rural residents will continue growing (World Bank, 2013). The trend is expected to continue in the
334 future with further economic development. The Chinese government recently launched an ambitious five-year
335 clean heating plan to convert heating with coal to natural gas by the year 2021 (Judy and Benjamin, 2017). The
336 effectiveness of this plan would be significantly under-evaluated if impacts of solid-fuel heating are neglected
337 in a risk assessment.

338 To mitigate population exposure to pollution from solid fuel cooking and heating, particularly, for provinces in
339 western, central and northeastern China, where most rural residents live and clean energy technologies have been

340 slow to penetrate, both short-term interventions, such as cleaner coal and improved stoves, and long-term policies
341 to replace solid fuels with LPG, electricity, or synthetic natural gas (SNG) will be needed (Shen, 2016).
342 Considering the substantially higher emission factors of household stoves compared to coal consumption by
343 power plants, such interventions will also provide China with a great opportunity to meet the “Action Plan for
344 Air Pollution Prevention and Control” (Qin, 2017; Sheehan et al., 2014).

345 **Contributions:**

346 Y.C. and S. T. conceived and designed the study. Y.C. performed the analysis and prepared the initial draft of
347 the paper. H. S., K. R. S., D. G., Y. C., G. S. contributed to results interpretation. All authors (Y.C., H.S., K.
348 R.S., D.G., Y.C., G.S., J.L., H. C., E. Y. Z. and S.T.) participated in the writing of the manuscript. S.T.
349 coordinated and supervised the project.

350

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475

476 **Figures**

477 **Figure 1** Flowchart of health burdens from indoor exposure to HAP assessment

478 **Figure 2** Boxplot of modeled indoor PM_{2.5} concentrations (log value) for the kitchen/living rooms (K/L)
479 and bedrooms during both the heating (H) and non-heating (N) seasons for households using different fuel
480 types. “*” means there is no measurement reported for these categories. The means were assumed to be
481 proportional to the concentration in K/L for the same fuel type, and the PM_{2.5} concentration ratio between
482 K/L and B were assumed to be equal in both heating and non-heating seasons. “#” means standard
483 deviations were adjusted from the corresponding K/L category with measurement number (assuming n
484 equals 2).

485 **Figure 3** Geographical distribution of PWE from the use of household solid fuels in mainland rural China
486 in 2010 (A). Relative difference of PWE estimates in 2010 with and without considering heating impacts
487 (B).

488 **Figure 4** Temporal trend of the fraction of different fuel users (left) and national PWE level (right) for the
489 rural population from 1980 to 2012. The solid line and dash line represents PWE estimates with and without
490 considering additional exposure from heating. The shaded area represents the interquartile range of PWE
491 estimates including heating impacts.

492 **Figure 5** The change in premature death (A) and DALYs (C) attributable to indoor exposure to HAP from
493 solid fuel use in rural China from 1990 to 2010 and the contribution of relevant diseases. The error bars
494 indicate the uncertainty range (interquartile range) for total death and DALYs from two-loop Monte Carlo
495 simulation; the dashed line represents the change in death and DALYs rate.

496

Supplementary Materials for:

Estimating household air pollution exposures and health impacts from space heating in rural China

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- S2. The relative difference in premature deaths and DALYs attributable to indoor exposure to HAP in rural China in 2010 calculated with and without considering additional exposure from heating.
- S3. The sensitivity of disease burden attributable to PWE in rural China in 2010 for ALRI, IHD, LC and stroke based on IER models.
- S4. Temporal trends in residential fuel, electricity consumptions and rural population size in rural China from 1980 to 2012.

Literature review of indoor particulate matter concentrations measurements in rural China

The metadata including primary fuel type, type of particulate matter (PM) measured, sampled provinces, sampled microenvironment (kitchen, K; living room, L; bedroom, B; Indoor, I) and heating condition (heating, H; non-heating, N; both heating and non-heating, B) for each field measurement study were listed below. Raw measurements data points were collected if published. For studies which only report average concentration, resampling was performed according to their sample size. Conversion ratio of 0.54, 0.61 and 1.4 was adopted for PM_{10}/TSP , $PM_{2.5}/PM_{10}$ and PM_{10}/PM_4 , respectively (Ho and Nielsen, 2007).

Table. S1 Field measurement studies of indoor particulate matter in rural China

Fuel Type	Measured PM Type	Sampled Provinces	Sampled microenvironment	Heating Condition	Reference
Coal, Wood	TSP	Yunnan	K, L	H	He et al., 1986*
Coal	TSP	Beijing, Shandong, Shanxi, Yunnan	K, L	H	Zhao et al., 1986*
Coal	PM_{10}	Jiangsu	I	N	Cai, 1987*
Coal	PM_{10}	Neimenggu	I	B	Chang and Zhi, 1990*
Coal	TSP	Jilin	L	H	Du et al., 1987*
Coal	TSP	Hebei	K, L	H	Shi et al., 1987*
Wood	TSP	Yunan	L	N	Yang et al., 1988*
Coal	PM_{10}	Beijing	I	B	Hu and Liu, 1989*
Coal	PM_{10}	Jilin	L	H	Li et al., 1987*
Coal	TSP	Neimenggu	K, L, B	H	Zhang et al., 1990*
Coal	PM_{10}	Sichuan	I	N	Zhao and Long, 1990*
Biogas	TSP	Henan	I	N	Yan et al., 1990*
Wood	PM_{10}	Hunan	I	N	Gao et al., 1993*
Wood	PM_{10}	Anhui	L	N	Venners et al., 2001 [#]
Coal	PM_{10}	Yunnan	I	N	Lan et al., 2002 [#]
Coal, Crop residues	PM_4	Gansu, Neimenggu, Shaanxi, Guizhou	K, L, B	H	Jin et al., 2005 [#]

Coal, Crop residues, Wood	PM ₄	Zhejiang, Hubei, Shaanxi	K, L	B	Edwards et al., 2007 [#]
Crop residues	PM ₁₀	Liaoning	L	N	Jiang and Bell, 2008 [#]
Coal	PM ₁₀	Yunnan	K, L	N	Tian et al., 2009
Wood	PM _{2.5}	Tibet	K	H	Gao et al., 2009 [#]
Coal, Wood	PM _{2.5}	Guizhou	K, L	H	Wang et al., 2010
Crop residues, Wood	PM _{2.5}	Hebei	K, B	B	Zhong et al., 2012
Crop residues	PM _{2.5}	Shaanxi	L	B	Zhang et al., 2014
Crop residues	PM _{2.5}	Yunnan	K, L	N	Hu et al., 2014
Electricity, LPG, Coal, Wood	PM _{2.5}	Guizhou	K, L	B	Alnes et al., 2014
Electricity, LPG, Crop residues, Coal	PM _{2.5}	Henan	K, L	B	Wu et al., 2015
Electricity, LPG, Wood, Coal	PM _{2.5}	Shanxi	K, L	N	Huang et al., 2017)
Electricity, Wood	PM _{2.5}	Guizhou	K, L	N	Du et al., 2017

* Adopted from Sinton et al. (1995)

Adopted from Balakrishnan et al. (2011)

The geometric mean and log-transformed standard deviation of indoor PM_{2.5} concentrations for each solid fuel type and microenvironment (K/L for kitchen and living room and B for bedroom) were listed in **Table S2** for both heating and non-heating seasons. For households using clean energy, there is no significant difference between heating and non-heating season. The number of studies (N) were also listed for each category.

Table. S2 Mean and standard deviation (log-transformed) of indoor PM_{2.5} concentrations (μg/m³)

Heating Season	fuel type	microenvironment	indoor PM _{2.5} concentration		
			mean	std (log-transformed)	N
	coal	K/L	283	0.02	31
	coal	B	211	0.03	6
	crop residues	K/L	434	0.01	13
	crop residues	B	267	0.09	0 ^{*#}
	wood	K/L	547	0.06	3

	wood	B	359	0.01	2
<hr/>					
Non-heating Season					
<hr/>					
	coal	K/L	133	0.04	27
	coal	B	99	0.30	0*#
	crop residues	K/L	213	0.08	6
	crop residues	B	99	0.06	2
	wood	K/L	239	0.03	15
	wood	B	104	0.17	1#
<hr/>					
Annual					
<hr/>					
	clean	K/L	112	0.08	5
	clean	B	89	0.18	3
<hr/>					

* There is no measurement reported for these categories. The means were assumed to be proportional to the concentration in K/L for the same fuel type, and the PM_{2.5} concentration ratio between K/L and B were assumed to be equal in both heating and non-heating seasons.

Standard deviations were adjusted from the corresponding K/L category with measurement number

Time-activity data used for different subpopulations

Table. S3 Estimated time (hours) spent in different micro-environments by different age, gender and region groups in rural China

North China								
Gender	M				F			
Age	<5	5~14	15~65	>65	<5	5~14	15~65	>65
Non-heating								
Outdoor	2.3	1.6	4.6	4.7	2.2	1.6	4.2	3.9
Kitchen	1.1	0.5	0.8	0.9	1.0	1.1	2.7	5.2
Living Room	4.9	1.0	1.4	1.4	5.3	0.8	2.0	1.8
Bedroom	15.7	20.9	17.2	17.1	15.5	20.5	15.1	13.1
Heating								
Outdoor	2.3	1.3	3.0	3.1	2.3	1.3	2.5	2.4
Kitchen	5.7	0.8	1.5	1.7	5.8	1.0	2.5	5.8
Living Room	3.0	3.0	6.3	5.4	3.1	2.4	5.4	3.1
Bedroom	13.0	18.9	13.2	13.7	12.8	19.3	13.6	12.6

Table. S3 Continued

East China								
Gender	M				F			
Age	<5	5~14	15~65	>65	<5	5~14	15~65	>65
Non-heating								
Outdoor	2.1	2.2	4.0	4.0	2.1	2.1	3.5	3.4
Kitchen	1.2	0.5	1.1	1.2	1.0	1.1	2.7	5.4
Living Room	5.0	1.0	1.7	1.4	5.4	0.9	2.0	1.7
Bedroom	15.7	20.3	17.3	17.3	15.5	19.9	15.8	13.5
Heating								
Outdoor	2.1	2.1	3.2	2.8	2.1	2.0	2.5	2.3
Kitchen	3.1	0.8	1.1	1.3	3.1	1.0	2.9	5.7
Living Room	3.1	2.9	1.7	1.5	3.1	2.4	2.1	1.8
Bedroom	15.7	18.2	17.9	18.3	15.7	18.6	16.5	14.2

Table. S3 Continued

South China								
Gender	M				F			
Age	<5	5~14	15~65	>65	<5	5~14	15~65	>65
Non-heating								
Outdoor	2.8	2.0	4.3	4.0	2.9	1.9	3.9	3.8
Kitchen	1.2	0.5	1.1	1.3	1.0	1.1	2.7	5.3

Living Room	4.9	1.0	1.6	1.4	5.3	0.8	1.9	1.7
Bedroom	15.1	20.5	17.0	17.4	14.8	20.2	15.5	13.2
Heating								
Outdoor	2.8	1.4	3.5	3.1	2.8	1.3	3.1	2.9
Kitchen	3.0	0.8	1.1	1.3	3.0	1.0	2.8	5.5
Living Room	3.0	3.1	1.7	1.5	3.0	2.4	2.0	1.8
Bedroom	15.2	18.7	17.7	18.1	15.2	19.3	16.1	13.8

Table. S3 Continued

Northwest China								
Gender	M				F			
Age	<5	5~14	15~65	>65	<5	5~14	15~65	>65
Non-heating								
Outdoor	2.7	2.8	4.7	4.2	2.3	2.6	4.3	3.2
Kitchen	1.2	0.5	0.8	0.9	1.0	1.1	2.7	5.4
Living Room	5.0	1.0	1.4	1.4	5.5	0.8	2.0	1.9
Bedroom	15.1	19.7	17.2	17.5	15.2	19.5	15.0	13.5
Heating								
Outdoor	2.7	1.7	2.7	2.4	2.7	1.5	2.4	1.8
Kitchen	5.9	0.8	1.5	1.8	5.9	1.0	2.5	6.0
Living Room	3.1	3.2	6.4	5.6	3.1	2.5	5.4	3.2
Bedroom	12.3	18.3	13.4	14.2	12.3	19.0	13.7	13.0

Table. S3 Continued

Northeast China								
Gender	M				F			
Age	<5	5~14	15~65	>65	<5	5~14	15~65	>65
Non-heating								
Outdoor	1.0	2.1	4.4	3.4	0.8	2.0	3.9	3.0
Kitchen	1.2	0.5	0.8	0.9	1.1	1.1	2.8	5.4
Living Room	5.1	1.1	1.4	1.5	5.5	0.9	2.0	1.9
Bedroom	16.7	20.3	17.4	18.2	16.6	20.0	15.3	13.7
Heating								
Outdoor	1.0	1.6	1.4	1.0	1.0	1.5	1.2	1.0
Kitchen	6.2	0.9	1.6	1.9	6.1	1.0	2.7	6.2
Living Room	3.3	3.0	6.8	6.0	3.3	2.6	5.7	3.4
Bedroom	13.5	18.5	14.2	15.2	13.6	18.9	14.4	13.4

Table. S3 Continued

Southwest China								
Gender	M				F			
Age	<5	5~14	15~65	>65	<5	5~14	15~65	>65
Non-heating								
Outdoor	2.3	2.3	4.5	3.6	2.0	2.2	4.2	3.1
Kitchen	1.2	0.5	1.1	1.3	1.0	1.1	2.6	5.5
Living Room	5.1	1.0	1.6	1.4	5.5	0.9	1.9	1.7
Bedroom	15.4	20.2	16.9	17.7	15.5	19.8	15.3	13.7
Heating								
Outdoor	2.3	1.8	3.6	2.8	2.3	1.7	3.3	2.4
Kitchen	3.1	0.8	1.1	1.3	3.1	1.0	2.8	5.7
Living Room	3.1	3.0	1.7	1.5	3.1	2.4	2.0	1.8
Bedroom	15.5	18.4	17.6	18.4	15.5	18.9	16.0	14.2

Comparison between measured personal exposure and PWE estimates

The exposure level and the metadata including fuel type, sampled subpopulation, sampled provinces, and heating condition (heating, non-heating, and both heating and non-heating) for each field measurement study were listed below. Papers reporting results from the same field measurement were identified as one study. The uncertainty information was also collected for each study. For studies reporting standard deviations or geometric standard deviations, 95% confidential intervals (95% CI) were calculated based on the corresponding distributions (normal or log-normal distribution).

Figure S1 shows the comparison between measured personal exposure levels in each study and the PWE estimates in this study for the corresponding gender and age group during heating or non-heating season. Most data pairs scattered around the 1:1 ratio line and lied within the area between the 1:2 and 2:1 ratio lines, indicating that PWE is a good approximate for personal exposure level. Given the large uncertainty ranges bound with both measured personal exposure and the PWE estimates, the two variables correlate well with each other except large variations as expected. It is also worth noticing that the exposure level in the heating season is consistently around twice as high as that in the non-heating season for both PWE estimates and measured personal exposure levels.

Table. S4 Field measurement studies of personal exposure to PM_{2.5} in solid fuel-using households in rural China

Fuel Type	Sampled subpopulation	Sampled Provinces	Heating Condition	exposure level (µg/m ³)	Reference
Biomass	female	Liaoning	non-heating	202	Jiang and Bell, 2008
Biomass	male	Liaoning	non-heating	56	Jiang and Bell, 2008
Biomass	female	Sichuan	non-heating	61	Shan et al., 2014
Coal and Biomass	female	Hubei	heating	177	Liu et al., 2018
Biomass	female	Hebei	heating	590	Zhong et al., 2012
Biomass	male	Hebei	heating	250	Zhong et al., 2012
Biomass	female	Hebei	non-heating	180	Zhong et al., 2012
Biomass	male	Hebei	non-heating	130	Zhong et al., 2012
Biomass	female	Yunnan	heating	55	Baumgartner et al. 2011a; Baumgartner et al., 2011b; Baumgartner et al., 2014
Biomass	female	Yunnan	non-heating	117	Baumgartner et al. 2011a; Baumgartner et al., 2011b; Baumgartner et al., 2014
Biomass	teenager	Yunnan	non-heating	53	Baumgartner et al., 2011a

Coal with clean energy	female	Shanxi	non-heating	98	Huang et al., 2017
Coal and Biomass	female	Yunnan	both	156	Hu et al., 2014; Wong et al., 2017
Biomass	female	Sichuan	heating	169	Ni et al., 2016
Biomass	female	Sichuan	non-heating	80	Ni et al., 2016
Coal and biomass	female	Neimenggu	heating	249	Secrest et al., 2016
Biomass	female	Sichuan	non-heating	84	Secrest et al., 2016

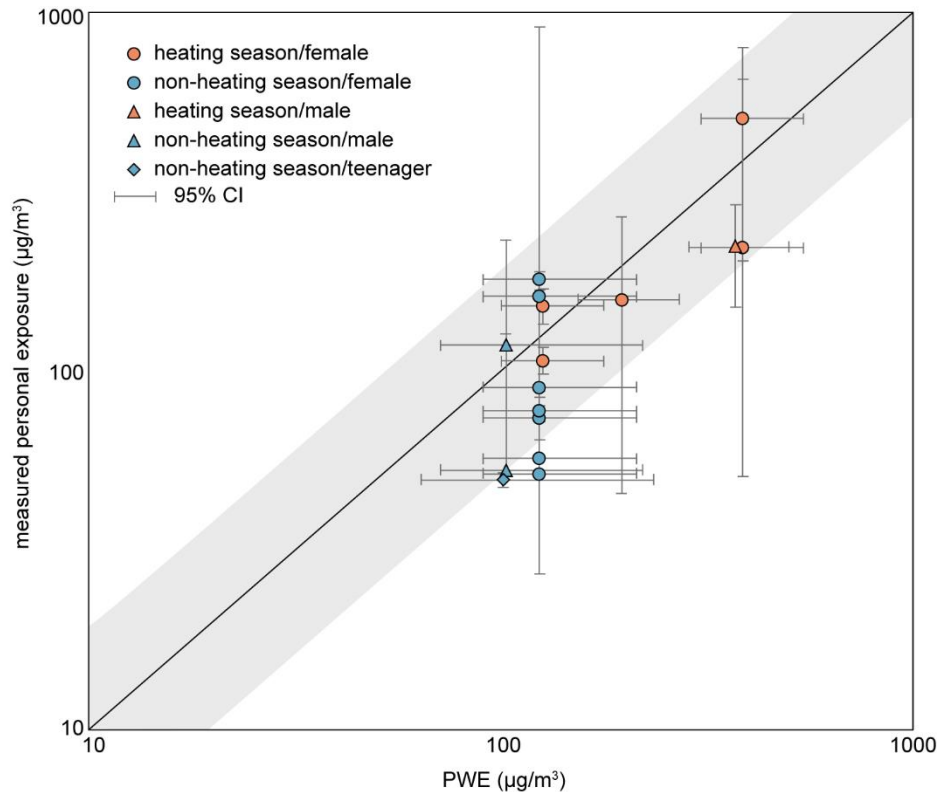


Figure S1 Comparison between PWE estimates for adult female, male and teenager subpopulations and measured personal exposure in solid fuel-using households in rural China during heating and non-heating season, respectively. The error bars represent 95% confidential intervals (95% CI) for both PWE estimates in this study (horizontal bars) and measurement uncertainties reported in each study (vertical bars). The 1:1 line and area between 1:2 and 2:1 lines are shown as the black line with grey shadow area.

Results of exposure assessment

Table S5 Provincial population weighted PM_{2.5} exposure concentrations (PWE) calculated for rural residents in mainland China, 2012[#]

	rural population (million)	Fraction of rural population	PWE (µg/m ³)
Anhui	32	0.54	174
Beijing	2.9	0.14	196
Fujian	15.1	0.40	126
Gansu	15.8	0.61	218
Guangdong	35	0.33	115
Guangxi	26.4	0.56	117
Guizhou	22.1	0.64	153
Hainan	4.3	0.48	124
Hebei	39	0.53	186
Henan	54	0.58	161
Heilongjiang	16.5	0.43	236
Hubei	26.9	0.47	159
Hunan	35	0.53	141
Jilin	12.7	0.46	229
Jiangxi	23.6	0.52	145
Jiangsu	29.3	0.37	159
Liaoning	15.1	0.34	173
Inner Mongolia	10.5	0.42	224
Ningxia	3.2	0.49	203
Qinghai	3.0	0.53	212
Sichuan	46	0.56	134
Shaanxi	18.8	0.50	196
Shandong	46	0.48	160
Shanghai	2.55	0.11	93
Shanxi	17.6	0.49	199
Tianjin	2.61	0.18	191
Taiwan	3.2	0.14	94
Xinjiang	12.5	0.56	213
Tibet	2.38	0.77	248
Yunnan	28.3	0.61	127
Zhejiang	20.2	0.37	97
Chongqing	12.7	0.43	140

* total number of rural population

Hongkong and Macau were not included in the table because there were no rural residents.

Based on the calculated PWE, a series of physical and socioeconomic parameters were examined to assess their associations with exposure. Heating day (HD, day), per capita income (I_{cap} , RMB), and forest coverage (A_{forest} , %) were found to account for approximately 90% of the spatial variation in population-weighted exposure (PWE) of $PM_{2.5}$ on the provincial level. The regression based on the county level data was presented in equation 2. Per capita GDP (GDP_{cap}, RMB) is used instead of I_{cap} because there is no income data on the county level.

$$PWE = 0.45 HD - 0.002 I_{cap} + 17A_{forest} + 124 \quad R^2 = 0.89 \quad (1)$$

$$PWE = 0.44 HD - 0.004 GDP_{cap} + 154 \quad R^2 = 0.90 \quad (2)$$

Heating needs are clearly the most important factor affecting air pollution exposure in northern China and in the highland western regions, where relatively low temperatures occur. Living conditions have been well documented as a critical factor governing energy choice, and it is well recognized that rural residents gradually replace solid fuel with clean ones as their living conditions improve. Consequently, the affordability of clean energy has improved (Bonjour et al., 2013).

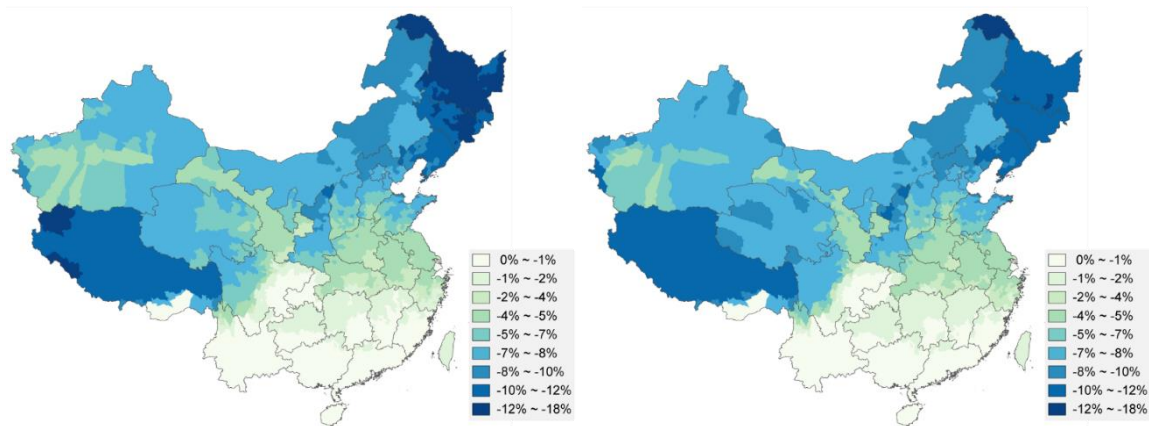


Figure S2 The relative difference in premature deaths (left) and disability-adjusted life years (DALYs, right) attributable to indoor exposure to HAP in rural China in 2010 calculated with and without considering additional exposure from heating.

Results of sensitivity analysis

The sensitivity of disease burden estimates to PWE uncertainty was calculated for the four IER models based diseases, i.e. ALRI, LC, IHD, and strokes by varying PWE input from 2.5% to 97.5% confidence intervals. For simplicity, IERs for all ages for IHD and stroke were adopted for the sensitivity analysis, instead of age-specific IERs applied in the other calculations. And total death was the sum of all the four diseases. Overall, death estimates are not sensitive to PWE change around the exposure level of rural China, though the percentage varies among different diseases because of different shapes of corresponding IER models.

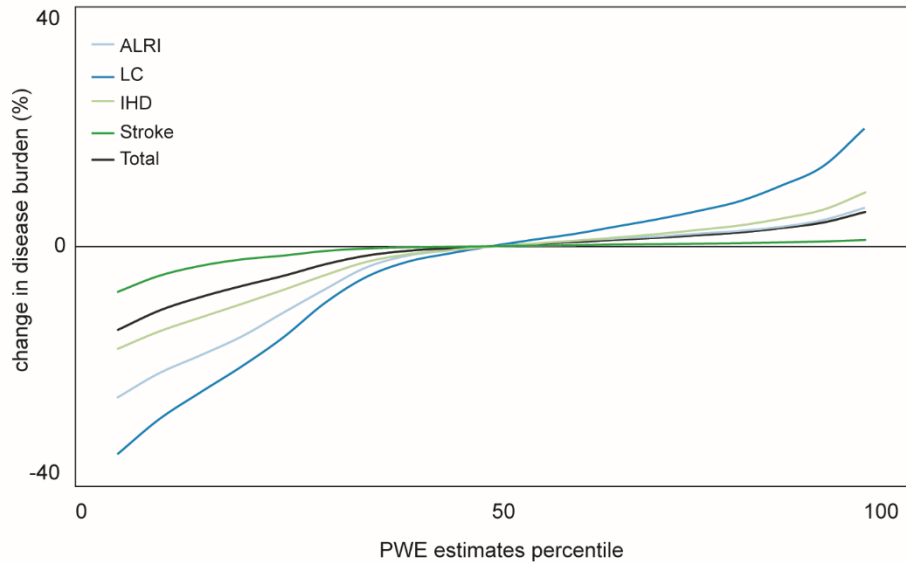


Figure S3 The sensitivity of disease burden attributable to PWE in rural China in 2010 for ALRI, IHD, LC and stroke based on IER models.

Residential energy consumption and fraction of households using different fuels

Since the early 1980s, China has experienced a rapid socioeconomic transition (Zhu, 2012). Consequently, residential energy profiles have shifted from being primarily solid fuel dominated to now being occupied by more liquefied petroleum gas (LPG), biogas, and electricity (Zhang et al., 2009). To quantify the change in rural residential energy sources, we collected annual provincial consumption data for residential coal (including raw coal, washed coal and coal briquettes), liquefied petroleum gas (LPG), natural gas, biogas and electricity from 1985 to 2012, as well as biomass (wood and crop residues) from 1991 to 2008 in rural mainland China using the China Energy Statistical Yearbook and China Rural Energy Statistical Yearbook (MOA, 1997-2008; NBS, 1986-2013). Fuel consumption for the remaining years between 1980 and 2012 was extrapolated using a previously developed regression model (Zhu et al., 2013).

To quantify the historical trend in health burden attributable to residential solid fuel use, we also estimated the fraction of households living on different types of energy using the major fuel type survey from the 2000 and 2010 population census (NBS, 2001; 2011). Averaged per household annual consumption of energy for crop residue, wood, coal, gas, and electricity were calculated by dividing energy consumption data with corresponding household numbers in 2000 and 2010. For the rest years without major fuel type survey, the number of households using each fuel can be derived by dividing provincial energy consumption with per household energy consumption. And fractions of households using each fuel type were calculated by normalizing the number of households using each fuel with the total number of households.

Temporal trends in residential fuel and electricity consumptions in rural China from 1980 to 2012 are shown in **Figure S4** (left figure). The fraction of households using different fuels was also shown in the middle figure. For the first 10 years, total energy consumption steadily increased at a similar pace as the rural population increased. The trend was reversed after 1996 driven by the declining rural populations. Although rural populations have continued to decrease steadily, a rapid increase in energy use occurred again after 2000. This second increase was mainly due to the increased availability and affordability of modern energy beginning in 2000. As incomes increase, a greater number of rural households can afford LPG and electricity (Pachauri and Jiang, 2008).

Solid fuels dominate the rural energy use over the entire study period and accounted for over 70% of the total consumption for all years. However, their relative contribution has decreased at an exponential rate over the last three decades from 99% to 70%. This is particularly true after 2005. On the other hand, use of LPG and electricity has become popular in recent years, including remote areas, thanks to upgraded supply channels and reduced prices since 1998 (SDPC, 1998). As a result, clean energy accounts for 30% of total rural residential energy use in 2012. This trend is likely to continue as the supply of clean energy continues to expand and living conditions in rural China continue to improve.

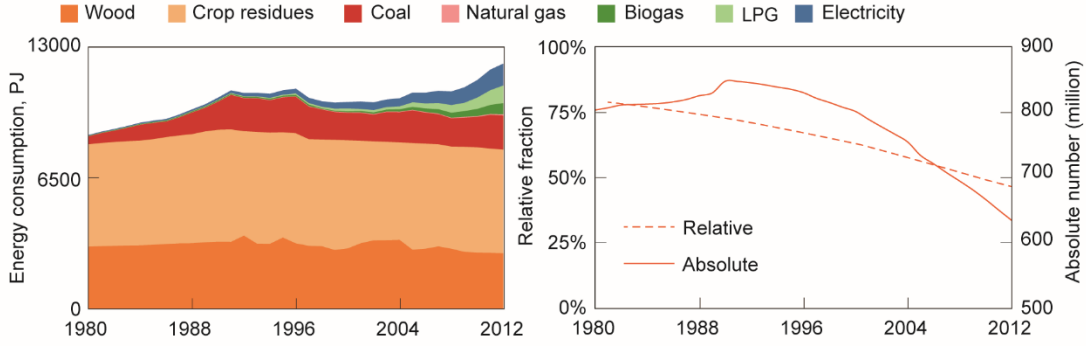


Figure S4 Temporal trends in residential fuel and electricity consumptions in rural China from 1980 to 2012. Energy consumption is in PJ, converted from standard coal equivalent for biomass, and fossil fuels and kWh for electricity. Change of percentage (dash line) and absolute size of the rural population (solid line) is also shown (right).

To downscale the household fractions of major fuel types, we first calculated county-level per capita energy consumption (E_{cap}) of electricity and solid fuels by rural residents in 2010. County-level E_{cap} was derived from a previous study downscaling E_{cap} with empirical models using per-capita GDP (GDP_{cap}) as an indicator (Shen et al., 2017). The models were provided as below.

$$\text{electricity: } y = 0.597 - 3.76 \times \left[1 - \exp\left(-6.82 \times 10^{-33} \times |x - 26.8|^{23.36}\right) \right] - 0.0510 \times hs - 0.000361 \times den \quad (3)$$

$$\text{solid fuels: } y = -0.445 - 9321.52 \times \left[1 - \exp\left(-1.55 \times 10^{-5} \times |x - 3.3|^{1.983}\right) \right] - 0.00033 \times den - 0.026 \times hs - 0.0546 \times \left[1 - \exp\left(0.785 \times hdd^{0.1252}\right) \right] \quad (4)$$

where y is $\log(E_{cap})$ in $\log(\text{tce/person/year})$ and x is $\log(GDP_{cap})$ in $\log(\text{dollar/person/year})$. hs , den and hdd represent household size (person/household), spatial average population density (person/ km^2) and heating degree day ($^{\circ}\text{C}\cdot\text{day}$) as adjusted factors.

The E_{cap} was then adjusted using the equation below to match provincial E_{cap} for electricity and solid fuels, respectively.

$$\text{adjusted } E_{cap}^c = E_{cap}^c \div \frac{\sum E_{cap}^c \times pop^c}{E_{cap}^p \times pop^p} \quad (5)$$

where E_{cap}^c and pop^c are E_{cap} and population on county level and E_{cap}^p and pop^p are E_{cap} and population for the corresponding province.

Finally, county level fraction of clean energy using households was downscaled using the ratio between adjusted E_{cap}^c and E_{cap}^p for electricity. Fractions of crop residue, wood, and coal using households were downscaled using the ratio the ratio of E_{cap} for solid fuels.

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