

A physics-based crystallographic modeling framework for describing the thermal creep behavior of Fe-Cr alloys

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Abstract

In this work, a physics-based thermal creep model is developed based on the understanding of the microstructure in Fe-Cr alloys. This model is associated with a transition state theory based framework that considers the distribution of internal stresses at sub-material point level. The thermally activated dislocation glide and climb mechanisms are coupled in the obstacle-bypass processes for both dislocation and precipitate-type barriers. A kinetic law is proposed to track the dislocation densities evolution in the subgrain interior and in the cell wall. The predicted results show that this model, embedded in the visco-plastic self-consistent (VPSC) framework, captures well the creep behaviors for primary and steady-state stages under various loading conditions. The roles of the mechanisms involved are also discussed.

1 Introduction

The development and use of high-performance Cr based steels, with superior high temperature creep behavior, have been instrumental in improving the efficiency of thermal power plants [1–8]. Indeed, operation temperatures above 873K (600°C) have been reached thanks, in particular, to the use of 9-12% Cr steels as boiler tubes and steam pipes. In parallel, other high Cr steel grades such as Fe-Cr-Al and modified Grade 91 (Fe-9Cr-1Mo) additionally exhibit low swelling during irradiation. Naturally, these alloys are candidate material systems for various nuclear energy applications (e.g. cladding). Their advanced high-temperature creep properties could prolong the service life and enhance the accident tolerance of both light water reactors (LWRs) and very-high-temperature reactors (VHTRs) [9–14]. Under such high temperature, stress and irradiation environments, the materials microstructure and part geometry will degrade over time. In particular, both thermal and irradiation creep largely contribute to the degradation process. Focus is placed here on thermal creep.

Over the past two decades, a series of work has focused on the connections between the thermal creep behavior of high Cr steels and the specifics of their microstructures [1–7,13,15,16]. Following thermo-mechanical processing (e.g. tempering, tube extrusion), a polycrystalline sample will typically be textured, with most grains containing subgrain boundaries consisting of both geometrically necessary dislocations and $M_{23}C_6$ carbide ($M=Cr$). The latter also decorate grain boundaries. $M_{23}C_6$ carbide can stabilize the subgrain structure by obstructing the dislocation annihilation in the cell walls and hence decelerate the growth of subgrains [7,17] Finally, the microstructure contains an additional level of complexity as subgrains also contain carbo-nitride precipitates MX ($M=V$ or Nb ; $X=C$ or N). In consequence, precipitation hardening and precipitation-enhanced subgrain boundary hardening have been suggested to be the most important creep strengthening mechanisms in high Cr steels [1].

46 As a consequence of the complex microstructure, the creep rate is controlled by a
47 broad spectrum of simultaneously active deformation mechanisms. Indeed, during
48 thermal creep, plastic strain is likely to result from the activation of both diffusion
49 creep and dislocation motion. The relative contribution of each depends on the
50 imposed stress state, on the internal stress state and on temperature. Vacancy driven
51 diffusion creep processes, such as the Nabarro-Herring creep and Coble creep, tend to
52 play an important role in the high-temperature regime [13,18]. Shrestha et al. [13]
53 show that diffusion creep is dominant in modified 9Cr-1Mo steel at 873K (600°C)
54 with a creep stress lower than 60 MPa. General Ashby's deformation map indicates
55 that dislocation motion becomes the dominant mechanism under lower temperature
56 and higher stress conditions. Clearly in the dislocation creep regime, the interaction
57 between moving dislocations and precipitates, subgrain boundaries, and other
58 dislocations will be dominant. Interestingly and on the basis of one dimensional
59 models applied at the scale of the polycrystal the processes allowing to overcome
60 obstacles (e.g. cross-slip, climb, unzipping) are expected to exhibit distinct
61 temperature and stress dependence [19–22]. This warrants the existence of different
62 creep regimes each controlled by a different process. Finally, as dislocations interact
63 with subgrain boundaries and as different species migrate, both precipitate coarsening
64 and subgrain growth can be also activated [2,6,17,23,24].

65 Polycrystal models can unravel the relative contribution of all dissipative
66 processes. In an early work, Estrin and Mecking [25] developed a constitutive model
67 assuming the average dislocation density is the sole structure factor affecting the
68 mechanical state of the material. This model, which is a unified description for both
69 dynamic loading and creep tests, tracks the dislocation density evolution through the
70 Kocks-Mecking law and a kinetic equation is proposed to determine the flow stress
71 and strain rate. Gottstein and Argon [26] treat the dislocation density evolution in a
72 more sophisticated way. The dislocation glide, climb and cell wall migration are
73 considered in the dislocation storage and dynamic recovery processes. Roters et al.
74 [27] divided the dislocations in the cell-forming materials into three subsets: mobile
75 and immobile dislocations within the subgrain, and immobile dislocations in the cell
76 wall. An evolution law is proposed for each population taking into account the
77 dislocation dipole and lock formation. While the aforementioned models focused
78 mainly on the frameworks to track the evolution of dislocation populations, other
79 body of work focuses on the details of the dislocation/obstacle bypass processes, i.e.
80 [19,21,28,29]. Xiang and Srolovitz [28] performed dislocation dynamic simulations
81 on this subject for both penetrable and impenetrable particles, with dislocation glide,
82 climb and cross-slip mechanisms included. The climb velocity for the edge
83 dislocation was determined through the climb component of Peach-Koehler force. The
84 results show that generally the climb mechanism tends to reduce the stress required
85 for the bypass.

86 The present work proposes a physics-based constitutive model, capable of
87 simultaneously predicting the mechanical response of high Cr steels and of evaluating
88 the contribution of each mechanism during thermal creep. In this crystal plasticity
89 based model, thermally activated dislocation glide and climb mechanisms are coupled.
90 Their activation rates are determined via the use of harmonic transition state theory
91 based framework. Further, we propose to predict the activation of climb and explicit
92 treatment of vacancy flux towards dislocations. The model presented, uses a recently
93 proposed framework, to account for the distribution of internal stresses at a
94 sub-material point scale. This added feature also allows selectively activating distinct

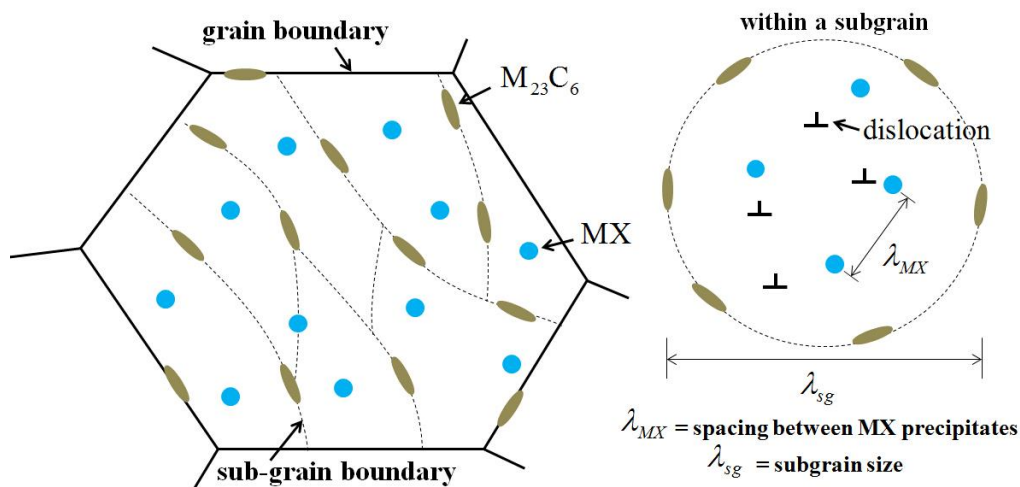
95 dislocation glide and recovery processes (i.e. dislocation annihilation within subgrains
 96 and in subgrain boundaries). The constitutive law is embedded in a mean-field
 97 visco-plastic framework (VPSC) [30,31]. The model is employed to predict the
 98 behavior of the modified 9Cr-1Mo alloy under thermal creep tests at various
 99 temperatures and stresses. The predicted results are in a fairly good agreement with
 100 the experimental data. Among others, it is suggested that dislocation recovery within
 101 the subgrain could play a dominant role in the strain rate evolution observed during
 102 creep tests.

103 The study is structured as follows. A detailed description of the proposed thermal
 104 creep model will be given in Section 2 including the modeling background, the
 105 formulation to determine the creep shear rate on each slip system and a brief
 106 introduction of the VPSC framework. In Section 3, the predicted thermal creep
 107 responses are presented and compared with the experimental data provided by Basirat
 108 et al. [14] for Fe-9Cr-1Mo steel under various temperatures and applied stresses. The
 109 studies on the contributions of the mechanisms and the parameter sensitivities are also
 110 proposed. Section 4 presents a discussion of the role of each dislocation recovery
 111 process on the stress dependence of the creep rate.

112 2 Modeling framework

113 2.1 Microstructure and considered mechanisms

114 A paradigm microstructure, with features characteristic of high Cr alloys
 115 schematically presented in Fig.1, is chosen as the foundation of this model. This is the
 116 typical microstructure for heat treated and thermo-mechanically processed high Cr
 117 steels [1,3,6,13]. As shown, each grain contains a number of elongated subgrains
 118 which boundaries are denoted with dotted lines. Each subgrain contains a high density
 119 of dislocations ($\sim 10^{14} \text{ m}^{-2}$). Within subgrains quasi-spherical MX precipitates are
 120 considered to be randomly dispersed. According to Refs [6,32], the average size of
 121 MX particles is around 20-50 nm, with mean inter-spacing in the order of 300 nm.
 122 Larger rod-like $M_{23}C_6$ precipitates (100-300 nm) are located mainly in the grain and
 123 subgrain boundaries.



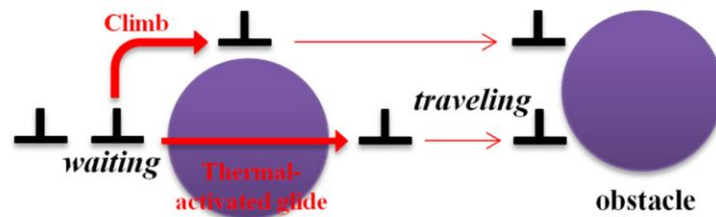
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Fig.1. Schematic view of the microstructure for heat treated high Cr steels.

126 With this microstructure and given the moderate stress range considered in this
 127 study, it is foreseen that dislocation motion is arrested at subgrain boundaries (cell
 128 walls) and that dislocation transmission across the boundary is unlikely. Recall here
 129 that these boundaries contain non-shearable precipitates. In this work, the dislocations
 130 are divided into two subsets: subgrain interior dislocations and cell wall dislocations.
 131 Plastic deformation is controlled by dislocation glide within subgrains. Those
 132 dislocations may be mobilized or immobilized depending on the local stress state and
 133 defect content (see section 2.2). Importantly, one notes that subgrains are expected to
 134 have a complex stress state due to the dislocations and precipitates they contain. One
 135 therefore expects cell walls to exhibit a long-range stress field arising from the
 136 primary dislocation network and rod-like precipitates within the subgrain boundaries.

137 Within subgrains, two types of obstacles to dislocation motion are considered:
 138 MX precipitates and other dislocations within the cell. The effective dislocation
 139 mobility is determined by their waiting time at both types of obstacles. Stored
 140 dislocations can overcome MX precipitates via either a thermally activated glide
 141 (junction unzipping and Orowan bypass mechanism for incoherent precipitates) or a
 142 climb assisted glide process depicted in Fig. 2. The climb process is non-conservative
 143 and therefore is rate limited by the vacancy flux towards or away from the dislocation
 144 [19–22].



145
 146 Fig. 2. Schematic view of the obstacle-bypass mechanisms for moving dislocations.

147 The evolution of the dislocation population within the subgrain is complex as the
 148 following processes are simultaneously active: (i) dislocation generation; (ii) dynamic
 149 recovery resulting from the short range interaction with other dislocations; (iii)
 150 trapping in the subgrain boundaries. The dislocations population in the cell wall can
 151 also reconfigure itself with time. It is postulated here that within cell walls
 152 annihilation due to climb is a dominant feature. Rigorously, the dislocation
 153 annihilation in the cell wall should result in a change in the subgrain size, and hence
 154 affect the mechanical response [33,34]. However, this process is not considered here
 155 due to the lack of related statistical information. In addition, within the temperature
 156 and stress regimes considered (873K (600°C)-973K (700°C), ≥ 80 MPa), diffusion
 157 creep and precipitate coarsening are neglected.

158
 159 **2.2 Constitutive law**

160 The proposed model deals with the mechanical behavior at material point level.
 161 Within the paradigm microstructure, a material point will represent a grain containing
 162 a number of subgrains. The stress distribution within a material point is heterogeneous.

163 Theoretically, each material point can be decomposed into infinite sub-material points.
 164 The stress state is different at each point depending on the local dislocation
 165 arrangement. Some dislocations within the subgrain may be able to overcome the
 166 obstacles and keep gliding, whereas others will be immobilized due to the low stress
 167 state acting on them. However, effective medium models such as the VPSC model
 168 used in this work determine the inclusion-matrix interaction assuming the state inside
 169 of the grain or grain cluster is homogenous. Thus, it is necessary to properly express
 170 the mean mechanical behavior considering the response in all sub-material points.

171 Using a crystal plasticity formalism, the plastic strain rate at the material point
 172 scale can be written as the sum of the shear strain rates on all potentially active slip
 173 systems as follows:

$$174 \quad \dot{\epsilon}_{ij}^p = \sum_s m_{ij}^s \bar{\gamma}^s \quad (1)$$

175 Here $m_{ij}^s = \frac{1}{2}(n_i^s b_j^s + n_j^s b_i^s)$ is the symmetric Schmid tensor associated with slip
 176 system s in a material point p ; \mathbf{n}^s and \mathbf{b}^s are the normal and Burgers vectors of
 177 this system. $\bar{\gamma}^s$ denotes the mean shear rate in one material point. Similarly to the
 178 approach proposed in [35,36] the latter is given by an integral over all the local shear
 179 rates weighted by the volume fraction of the sub-material point. In the calculation, a
 180 probability distribution function P is used to represent the volume fraction
 181 distribution of sub-material points with a resolved shear stress (τ^s). P is referred to
 182 the average resolved shear of the material point ($\bar{\tau}^s$):

$$183 \quad \bar{\gamma}^s = \int_{-\infty}^{\infty} \dot{\gamma}^s(\tau^s) P(\tau^s - \bar{\tau}^s) d\tau^s \quad (2)$$

184 where $\bar{\tau}^s = \boldsymbol{\sigma} : \mathbf{m}$ with $\boldsymbol{\sigma}$ being the deviatoric stress of the material point. $\dot{\gamma}^s$
 185 represents the shear rate of a sub-material point. P is described by the Gaussian
 186 distribution function:

$$187 \quad P(\tau^s - \bar{\tau}^s) = \frac{1}{\sqrt{2\pi V}} \exp\left(-\frac{(\tau^s - \bar{\tau}^s)^2}{2V}\right) \quad (3)$$

188 V is the variance of the resolved shear stress, which is linked to the dislocation density
 189 [35,36]. It should be different for each slip system and vary during the deformation.
 190 However, for the sake of simplicity, we assume V is equal for all systems since the
 191 initial dislocation arrangement is not completely known. Moreover, V is considered as
 192 constant throughout the creep tests. The decrease of dislocation density during creep
 193 will lead to a lower V value, and hence will further reduce the shear rate. However,
 194 this effect is out of the scope of the present work. In the proposed model, the creep
 195 strain is accumulated due to the motion of the dislocations in the interior of the
 196 subgrains. The shear rate at each sub-material point can be expressed by the Orowan's
 197 equation as:

$$198 \quad \dot{\gamma}^s = \rho_{cell}^s b v^s \cdot \text{sign}(\bar{\tau}^s) \quad (4)$$

199 where ρ_{cell}^s is the density of dislocations within the subgrains. b is the magnitude

200 of the Burgers vector, and v^s is the mean velocity of dislocations traveling between
 201 obstacles. The mean dislocation velocity is given by the dislocation mean free path
 202 between obstacles λ^s , divided the time spent in this process. The latter includes the
 203 time traveling between obstacles t_t^s and the average time a dislocation spends
 204 waiting at an obstacle t_w^s [37–39]:

$$205 \quad v^s = \frac{\lambda^s}{t_t^s + t_w^s} \quad (5)$$

206 The presence of multiple types of obstacles leads to a reduction in the mean free path.
 207 Here choice is made to express λ^s as the geometric mean of the interspacing for
 208 individual obstacles:

$$209 \quad \frac{1}{\lambda^s} = \frac{1}{\lambda_{\rho,cell}^s} + \frac{1}{\lambda_{MX}^s} \quad (6)$$

210 with $\lambda_{\rho,cell}^s$ and λ_{MX}^s denote the dislocation mean free path for dislocation obstacles
 211 and MX precipitates, respectively. The obstacle interspacing determination depends
 212 on the nature of the barrier. To first order, $\lambda_{\rho,cell}^s$ is inversely proportional to the
 213 hardening contribution of the dislocations in the cell, as $\tau_{\rho,cell}^s \propto \mu b / \lambda_{\rho,cell}^s$. To
 214 describe the latent hardening associated with dislocation-dislocation interactions
 215 between slip systems, the law proposed by Franciosi and Zaoui [40], and for which
 216 discrete dislocation dynamics simulations have demonstrated the statistical
 217 representativeness [41] is used in this work as:

$$218 \quad \tau_{\rho,cell}^s = \mu b \sqrt{\sum_s \alpha^{ss'} \rho_{cell}^{s'}}$$

219 Also one has:

$$220 \quad \frac{1}{\lambda_{\rho,cell}^s} = \sqrt{\sum_s \alpha^{ss'} \rho_{cell}^{s'}}$$

221 $\alpha^{ss'}$ is the effective latent hardening matrix. The interspacing for MX precipitates is
 222 written in a simple form derived from the geometrical configuration of the obstacles
 223 on the slip plane [22,42,43].

$$224 \quad \frac{1}{\lambda_{MX}^s} = h_{MX} \sqrt{N_{MX} d_{MX}} \quad (9)$$

225 here h_{MX} is the trapping coefficient for MX precipitate. N_{MX} and d_{MX} denote the
 226 number density and size of MX precipitates. This law is appropriate for hard obstacles
 227 [44], such as MX precipitates. Friedel [45] proposed an alternative expression for
 228 attractive obstacles on the glide plane, which is more suitable for weak obstacles.

229 In Eq. 5 the traveling time is given by $t_t^s = \lambda^s / v_t$. Here v_t is the dislocation
 230 traveling velocity which is assumed to be equal to the shear wave velocity C_s
 231 (independent of the driving force) since the traveling time is negligible compared to

232 the waiting time. It can be determined by $v_t \approx C_s = \sqrt{\mu/\rho_0}$ [39,46] where ρ_0 is the
 233 mass density and μ is the shear modulus given by $\mu = 103572 \text{ MPa} - T \cdot 48 \text{ MPa/K}$ [47].

234 To determine the dislocation average waiting time, we define the theoretical
 235 waiting times of thermally-activated glide ($t_{w,g}$) and climb ($t_{w,c}$). These two
 236 mechanisms, however, occur simultaneously, which can effectively reduce the waiting
 237 time. To first order the waiting time at the obstacle type i (other dislocations, $i = \rho$
 238 or MX precipitates, $i = MX$) within a sub-material point can be expressed using the
 239 harmonic mean:

$$240 \quad \frac{1}{t_{w,i}^s} = \frac{1}{t_{w,g,i}^s} + \frac{1}{t_{w,c,i}^s} \quad (10)$$

241 One notes here that a harmonic transition state theory based treatment could yield
 242 more accurate estimates. The mean waiting time of slip system s when both
 243 obstacles are considered is given by the average of $t_{w,\rho}^s$ and $t_{w,MX}^s$, weighted by the
 244 probability that the individual type of obstacle is encountered by the moving
 245 dislocation:

$$246 \quad t_w^s = P_\rho t_{w,\rho}^s + (1 - P_\rho) t_{w,MX}^s \quad (11)$$

247 P_ρ is the probability that a dislocation encounters other dislocation and $1 - P_\rho$ that
 248 it encounters MX precipitates. Statistically, the inverse of mean free path represents
 249 the number of obstacles per unit length along the gliding direction. In this way, the
 250 ratio of dislocation type obstacles in the corresponding section can be determined by
 251 the proportion between $1/\lambda_\rho^s$ and $1/\lambda^s$. Connecting with Eqs. 6-9, we will have:

$$252 \quad P_\rho = \frac{1/\lambda_\rho^s}{1/\lambda^s} = \frac{\sqrt{\sum_s \alpha^{ss'} \rho_{cell}^{s'}}}{\sqrt{\sum_s \alpha^{ss'} \rho_{cell}^{s'} + h_{MX} \sqrt{N_{MX} d_{MX}}}} \quad (12)$$

253 **2.2.1 Thermally-activated glide**

254 The thermally activated glide describes the obstacle bypass processes including
 255 the unzipping of the junctions and the Orowan mechanism for large size particles. The
 256 MX precipitates are incoherent with the matrix and therefore impenetrable. In this
 257 case, the bypass at low-stress states is unlikely. However, under high driving stress,
 258 the dislocation can bow out between the MX precipitates, merge on the other side of
 259 the obstacle and continue to glide. The bypass for both types of obstacles can be
 260 considered as thermally-activated process. Therefore, $t_{w,g,MX}^s$ and $t_{w,g,\rho}^s$ can be
 261 described using the Kocks-type activation enthalpy law [37,39,48] but with different
 262 values for the attempt frequencies and activation energies:

$$263 \quad \frac{1}{t_{w,g,i}^s} = \frac{v_{G,i}^s}{\exp\left(\frac{\Delta G_i^s}{kT}\right)} \quad (i = MX \text{ or } \rho) \quad (13)$$

264 In Eq. 13, i refers to different types of obstacles (dislocations or MX precipitates).

265 $\nu_{G,i}^s$, k and T are the effective attempt frequency, Boltzmann constant and
 266 absolute temperature, respectively. ΔG_i^s denotes the activation energy given by:

$$267 \quad \Delta G_i^s = \begin{cases} \Delta G_{0,i} \left(1 - \left(\frac{|\tau^s|}{\tau_c^s} \right)^p \right)^q & \text{if } |\tau^s| < \tau_c^s \\ 0 & \text{if } |\tau^s| \geq \tau_c^s \end{cases} \quad (14)$$

268 where $\Delta G_{0,i}$ is activation energy without any external stress applied. Its value is
 269 dependent on the nature of the obstacle, such as the dislocation interaction and the
 270 strength and size of precipitates. p ($0 < p \leq 1$) and q ($1 \leq q < 2$) are the
 271 exponent parameters in the phenomenological relation determining the shape of the
 272 obstacles resistance profile [48]. τ_c^s is the critical resolved shear stress (CRSS). The
 273 hardening contributions from the dislocations in the subgrain and MX precipitates, as
 274 well as the $M_{23}C_6$ precipitates and the dislocations in the cell wall due to the long term
 275 stress field. The long-range hardening induced by multiple sources has been studied in
 276 many works i.e. [42,49,50]. A commonly used superposition principle is written as:

$$277 \quad \tau_i^m = \tau_1^m + \tau_2^m \quad (15)$$

278 τ_1 and τ_2 are the hardening due to source 1 and 2, respectively. τ_i denotes the
 279 superimposed hardening. The exponent m varies between 1 and 2 depending on the
 280 hardening mechanisms. A value higher than 2 is reported for irradiation-induced
 281 defects [42]. The long range hardening sources within the microstructure paradigm
 282 include the MX precipitates, $M_{23}C_6$ carbides and the dislocations. Notice that the
 283 dislocations comprise two populations: the ones within the subgrain cell (ρ_{cell}) and
 284 the ones in cell wall (ρ_{cw}). Both of them contribute to the hardening due to the long
 285 range stress field with similar features. Consequently, it is reasonable to consider them
 286 as one individual hardening source. As mentioned above, the hardening due to
 287 dislocation can be obtained using the complex form of the Taylor law:

$$288 \quad \tau_\rho^s = \mu b \sqrt{\sum_s \alpha^{s'} (\rho_{cell}^{s'} + \rho_{cw}^{s'})} \quad (16)$$

289 The precipitate hardening should superimpose with τ_ρ^s using the principle in Eq. 15.
 290 Moreover, the linear superimposition is restricted if one of the hardening sources is
 291 the intrinsic frictional resistance τ_0^s [42,49,50]. Therefore, the total CRSS is given
 292 by:

$$293 \quad \tau_c^s = \tau_0^s + \left((\tau_\rho^s)^m + (\tau_p^s)^m \right)^{1/m} \quad (17)$$

294 τ_p^s is the hardening contributions by both MX and $M_{23}C_6$ precipitates.

295 In this work, the attempt frequency for overcoming an MX precipitate is assumed to
 296 be constant. The one for junction unzipping process $\nu_{G,\rho}$ is suggested to be
 297 dependent on the dislocation traveling velocity, an entropy factor χ (of the order of

298 1) and the average length of the vibrating dislocation segments (represented by the
299 dislocation mean free path λ^s) [36,51].

$$300 \quad v_{G,\rho} = \chi C_s / \lambda^s \quad (18)$$

301 **2.2.2 Dislocation climb**

302 Dislocation climb refers to the process that edge dislocations migrate
303 perpendicular to the slip plane via point defect absorption/emission. This stress- and
304 temperature-dependent mechanism may assist the edge dislocations to bypass the
305 barriers during deformation. The effects of climb are more evident at
306 high-temperature due to the high concentration and diffusivity of point defects
307 [19–22]. In the present work, the concept of climb waiting time (Eq. 10) is introduced
308 to describe this process. Notice that the activation of climb process will affect the
309 mean dislocation mobility, but the sign of shear rate is only governed by the resolved
310 shear stress, which captures the fact that the climb mechanism is assisting the
311 dislocation glide.

312 Several modeling works have focused on the case of dislocation climb
313 [18,22,46,52–55]. From the physics standpoint, the climb velocity depends on the
314 climb driving force and on the flow of point defects into the edge dislocations. The
315 climb component of Peach-Koehler force has been discussed in Refs. [56–60] and is
316 essential to determine the climb rate on each slip system in a crystallographic
317 framework. Notice that climb may be a reaction-rate-controlled process or a
318 diffusion-controlled process [18]. The former usually occurs in irradiated materials,
319 where the current of defects entering and/or leaving the dislocation core are very large
320 and reach the defect-dislocation reaction rate limit. Otherwise, climb is a
321 diffusion-controlled process, such as in the thermal creep case. Some authors
322 [18,46,52–55] determine the flux of vacancies through the gradient of the vacancy
323 concentration in the dislocation control volume. The detailed description of this
324 method is given in the Appendix. The net current of vacancies I_v^s for slip system s
325 can be expressed as:

$$326 \quad I_v^s = \frac{2\pi b D_v \left[C_v^\infty - C_v^0 \exp\left(\frac{-f_c^s \Omega}{kTb}\right) \right]}{\Omega b \ln(r_\infty / r_d)} \quad (19)$$

327 here $\Omega \approx b^3$ is the atomic volume. D_v is the vacancy diffusivity. C_v^0 is the
328 equilibrium vacancy concentration at temperature T in the bulk of the crystal, given
329 by $C_v^0 = \exp(S_f^v/k) \exp(-E_f^v/kT)$ [18]. E_f^v and S_f^v are the vacancy formation
330 energy and entropy, respectively. C_v^∞ represents the vacancy concentration in the
331 material matrix which is assumed to be equal to C_v^0 in the present work. f_c^s is the
332 climb component of Peach-Koehler force [56–60]. r_d and r_∞ denote the radii of the
333 inner and outer boundaries for the cylindrical control volume defined around the
334 dislocation line. Therefore, the climb velocity is given by:

$$335 \quad v_c^s = \frac{I_v^s \Omega}{b} = \frac{2\pi D_v \left[C_v^\infty - C_v^0 \exp\left(\frac{-f_c^s \Omega}{kTb}\right) \right]}{b \ln(r_\infty / r_d)} \quad (20)$$

336 The waiting time for climb can be determined by the ratio between the mean
 337 climb velocity of the edge dislocation and the average distance to climb before the
 338 bypass [22]. In the present work, dislocation climb is assumed to occur for the bypass
 339 of both, dislocation and MX precipitate obstacles. Therefore, the average waiting time
 340 of climb for edge dislocation can be expressed as:

$$341 \quad \frac{1}{t_{w,c,i}^s} = R_e \frac{|v_c^s|}{l_i} \quad (21)$$

342 The absolute value of v_c^s is used here because a dislocation can climb over the
 343 obstacle in both positive and negative directions. l_i represents the average climb
 344 distance to bypass the obstacles. R_e , denoting the proportion of edge dislocations, is
 345 introduced since only edge dislocations contributes to the climb process. In BCC
 346 structures, the nucleation of the double kink structure is frequent. The motion of the
 347 edge (or screw) dislocations will result in the elongation of the screw (or edge)
 348 dislocation kinks [46,61]. Since the edge dislocations glide much faster in BCC
 349 material, the density of edge dislocations is usually limited. In this work,
 350 $R_e = \rho_{edge} / \rho = 10\%$ is estimated.

351 Arzt et al. [19,20] studied the attractive interaction between the climbing
 352 dislocation and particles, as a results of which, the edge dislocations may still be
 353 attached to the hard particles after the climb-over process. An extra detachment
 354 process is required before it can continue to glide. However, this is not included in the
 355 proposed model since for the Fe-Cr alloy this process has not been studied in detail.
 356 Consequently, the climb rate for the precipitate obstacles may be overestimated in this
 357 work.

358 **2.3 Dislocation density law**

359 The dislocation density evolution plays a key role in the present thermal creep
 360 model. The variance of strain rate for the modified 9Cr-1Mo steel is mainly controlled
 361 by the evolution of the dislocation density in the subgrain [4]. The dislocation density
 362 evolution processes considered in this model for $\dot{\rho}_{cell}^s$ are dislocation generation
 363 ($\dot{\rho}_{cell,g}^{s,+}$), dynamic recovery due to multiple mechanisms ($\dot{\rho}_{cell,a}^{s,-}$) and trapping at the
 364 cell walls ($\dot{\rho}_{cell,trap}^{s,-}$):

$$365 \quad \dot{\rho}_{cell}^s = \dot{\rho}_{cell,g}^{s,+} - \dot{\rho}_{cell,a}^{s,-} - \dot{\rho}_{cell,trap}^{s,-} \quad (22)$$

366 The dislocation generation process concerns the expansion of the pinned dislocation
 367 segments. The generation rate is related to the area swept by the moving dislocations.
 368 The term $\dot{\rho}_{cell}^{s,+}$ is determined by a commonly used expression [62–64]:

$$369 \quad \dot{\rho}_{cell,g}^{s,+} = \frac{k_1}{b\lambda^s} |\bar{\gamma}^s| \quad (23)$$

370 The dynamic recovery process involves many mechanisms. The most important ones
 371 are suggested to be cross-slip and climb [24,65]. The moving dislocation can
 372 cross-slip and annihilate if it encounters a dislocation with opposite Burger vector. In
 373 the classic Kocks-Mecking law [65–68], the dynamic recovery term can be written as:

$$374 \quad \dot{\rho}_{cell,a}^{s,-} = f \rho_{cell}^s |\dot{\gamma}| \quad (24)$$

375 here f is the recovery parameter. It is suggested to be a function of temperature and
 376 strain rate [65–68]. In many works addressing plastic deformation with high applied
 377 stress, i.e. [64,68], this parameter is considered as weakly dependent on the strain rate
 378 (or completely insensitive). Estrin [65] indicated that the strain rate sensitivity of f
 379 is in fact associated with the dominant mechanism. Compared to cross-slip, the f
 380 parameter should be more sensitive to strain rate in the climb governed process. Estrin
 381 [65] also proposed a general expression for f as:

$$382 \quad f = k_2 \left(\frac{\dot{\epsilon}_0}{\dot{\epsilon}} \right)^{\frac{1}{n_0}} \quad (25)$$

383 where $\dot{\epsilon}_0$ is a reference strain rate and n_0 is related to the strain rate sensitivity.
 384 The value of n_0 should be around 3-5 for high temperature cases (climb dominated
 385 recovery), or higher in low temperature regime where recovery is mainly controlled
 386 by cross-slip [65]. Using a geometric reasoning, moving dislocation may be
 387 immobilized after it swept a certain area [22,39,69]. Therefore, the trapping term in
 388 the present model is given by:

$$389 \quad \dot{\rho}_{cell,trap}^{s,-} = \frac{k_3}{\lambda_{sg}} |\dot{\gamma}| \quad (26)$$

390 where the λ_{sg} represents the sub-grain size. As mentioned in section 2.1, λ_{sg} is
 391 assumed to be constant throughout the creep test in this work. In Eqs. 23-26, k_1 , k_2
 392 and k_3 are material constants.

393 The evolution of the dislocation density in the cell wall is determined through the
 394 trapping of the moving dislocations and the annihilation process, written as:

$$395 \quad \dot{\rho}_{cw}^s = \dot{\rho}_{cell,trap}^{s,-} - \dot{\rho}_{cw,a}^{s,-} \quad (27)$$

396 Different from the dynamic recovery in Eqs. 24 and 25, the annihilation in the cell
 397 wall is only controlled by climb since the trapped dislocations cannot glide [27]. Nes
 398 [24] suggested that the climb-only annihilation rate is proportional to the dislocation
 399 climb velocity and current dislocation density, and inversely proportional to the
 400 average dipole separation (l_g) as $\dot{\rho}_{climb}^{s,-} \propto \rho^s |v_c^s| / l_g$. l_g scales with $1/\sqrt{\rho_{cw}^s}$.
 401 Therefore:

$$402 \quad \dot{\rho}_{cw,a}^{s,-} = k_c |v_c^s| (\rho_{cw}^s)^{\frac{3}{2}} \quad (28)$$

403 k_c is a material constant and the climb velocity v_c^s is given in Eq. 20.

404 2.4 Brief description of VPSC model

405 The detailed description of VPSC model can be found in Refs. [31,70]. In this
 406 work, the VPSC framework is used as a platform for calculating the interaction
 407 between the effective medium representing the macroscopic polycrystal and the
 408 individual grains. The self-consistent model treats each grain as an inhomogeneous
 409 visco-plastic inclusion embedded in the “homogeneous effective medium” (HEM).
 410 Deformation takes place either by enforcing a macroscopic deformation rate or
 411 imposing a stress for prescribed time increment. The latter case corresponds to creep.
 412 The total strain rate in one grain is given by the sum of the shear rates of all systems
 413 (Eq. 1). Its linearized form is written as:

$$414 \quad \dot{\varepsilon}_{ij}^g = M_{ijkl}^g \sigma_{kl} + \dot{\varepsilon}_{ij}^{0,g} \quad (29)$$

415 where M_{ijkl}^g and $\dot{\varepsilon}_{ij}^{0,g}$ are the visco-plastic compliance and the back-extrapolated
 416 rate of grain g , respectively. M_{ijkl}^g should be calculated as [35]:

$$417 \quad M_{ijkl}^g = \frac{\partial \dot{\varepsilon}_{ij}^g}{\partial \sigma_{kl}} = \sum_s \frac{\partial \bar{\gamma}}{\partial \bar{\tau}^s} \frac{\partial \bar{\tau}^s}{\partial \sigma_{kl}} m_{ij} = \sum_s \frac{\partial \bar{\gamma}}{\partial \bar{\tau}^s} m_{ij} m_{kl} \quad (30)$$

418 Similar to Eq. 29, the relationship between the strain rate and stress for the aggregate
 419 is expressed as a linearized form:

$$420 \quad \bar{\varepsilon}_{ij} = \bar{M}_{ijkl} \bar{\sigma}_{kl} + \bar{\varepsilon}_{ij}^0 \quad (31)$$

421 with $\bar{\varepsilon}_{ij}$, $\bar{\sigma}_{kl}$, \bar{M}_{ijkl} , and $\bar{\varepsilon}_{ij}^0$ denoting the macroscopic strain rate, stress,
 422 visco-plastic compliance tensor and back-extrapolated rate, respectively. The
 423 interaction between the single crystal and the surrounding effective medium in the
 424 VPSC model is expressed in the interaction law:

$$425 \quad \dot{\varepsilon}_{ij}^g - \bar{\varepsilon}_{ij} = -\tilde{M}_{ijkl} (\sigma_{kl}^g - \bar{\sigma}_{kl}) \quad (32)$$

426 The interaction tensor \tilde{M}_{ijkl} takes into account the grain shape effect via the Eshelby
 427 tensor S as:

$$428 \quad \tilde{M}_{ijkl} = (I - S)_{ijmn}^{-1} S_{mnpq} \bar{M}_{pqkl} \quad (33)$$

429 3 Simulation results and discussion

430 The experimental data used to evaluate the proposed model is provided by Basirat
 431 et al. [14] for the modified Fe-9Cr-1Mo alloy. Prior to the creep tests, this material has

432 been normalized at 1311K (1038°C) for 4h and tempered at 1061K (788°C) for 43min.
 433 The resulting microstructure (initial status for the tests) is consistent with the chosen
 434 paradigm (see section 2.1). The detailed description can be found in Ref [13] from the
 435 same group.

436 **3.1 Parameter calibration and simulation conditions**

437 The parameters involved in the simulations are discussed in this section. The
 438 affine interaction in the VPSC framework is used in this work. The average size of
 439 MX precipitates reported in Ref [13] is around 37 nm. The precipitate number density
 440 and trapping parameter are chosen to be $3 \times 10^{20} \text{ m}^{-3}$ and 1, respectively. This leads to
 441 the mean spacing $\lambda_{MX}^s = 1/h_{MX} \sqrt{N_{MX} d_{MX}} \approx 300 \text{ nm}$. This value is in the reasonable range
 442 according to Ref [6]. In the hardening law, the dislocation-dislocation interaction
 443 parameters $\alpha^{ss'}$ are chosen based on the data in Ref. [71]. The hardening
 444 superposition factor m is set to 2 as given in Refs [42,49,50]. In the Kocks type law
 445 (Eqs. 13 and 14), the parameter $\Delta G_{0,\rho}$, $\Delta G_{0,MX}$, $\nu_{G,MX}$, p and q are obtained by
 446 back fitting the experimental data within reasonable ranges ($\nu_{G,i} \approx 10^{10} - 10^{11} \text{ s}^{-1}$ [22];
 447 $0 < p \leq 1$ and $1 \leq p < 2$ [48]). The lattice friction stress τ_0^s is in general a function
 448 of temperature. However, according to Gilbert et al. [72], in Fe this stress decreases
 449 with increasing temperature and vanishes at 700K (427°C). Therefore, for the
 450 temperature interval studied in this work, which is above 873K (600°C), τ_0^s is set to
 451 be 0.

452 In this work, the initial values of ρ_{cell}^s and ρ_{cw}^s for each system are chosen to be
 453 $4 \cdot 10^{12} \text{ m}^{-2}$ and $1 \cdot 10^{13} \text{ m}^{-2}$, respectively. Hence the densities in the cell and in the cell
 454 wall start at $9.6 \cdot 10^{13} \text{ m}^{-2}$ and $2.4 \cdot 10^{14} \text{ m}^{-2}$, respectively. In this way, the total
 455 dislocation density is of the order 10^{14} m^{-2} and the one in the cell wall is higher than
 456 that in the cell, which agrees with the experimental observations [14,73–75]. The
 457 evolution related parameters k_1 , k_2 , k_3 and k_c are calibrated according to the
 458 experimental data. The strain rate sensitivity parameter n_0 in the dislocation dynamic
 459 recovery term depends on the annihilation mechanisms [65]. Its value is chosen to be
 460 3.5 in this work and the rationality will be discussed in the following sections.

461 The vacancy diffusivity and the equilibrium concentration of vacancies are
 462 important parameters affecting the climb process. They are determined using
 463 molecular dynamics simulation data reported by Mendelev and Mishin [76] for BCC
 464 Fe. The diffusivity is calculated by:

465 $D_v = D_v^0 \exp(-E_m^v/kT)$ (34)

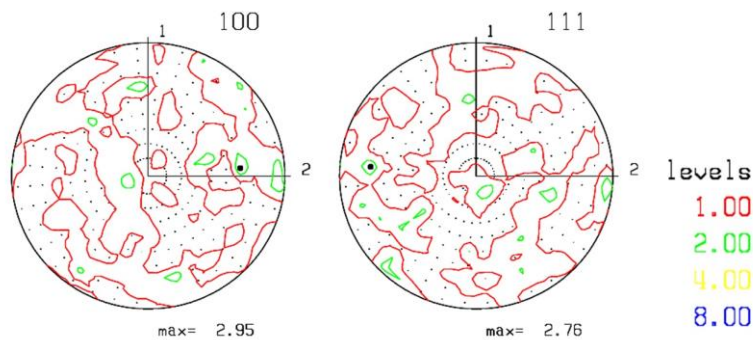
466 where the vacancy migration energy E_m^v is 0.6 eV and the diffusion constant D_v^0 is
 467 $7.87 \times 10^{-7} \text{ m}^2/\text{s}$. The vacancy formation energy and entropy are given as function of
 468 temperature:

469 $E_f^v = g_0 - g_2 T^2 - 2g_3 T^3$
 $S_f^v = -g_1 - 2g_2 T - 3g_3 T^2$ (35)

470 The g_x coefficients and the other parameters involved in the calculation of
 471 dislocation waiting time are listed in Table 1.

472 Since the cladding material exhibits a weak texture, an initial texture consisting of
 473 100 random orientations (Fig. 4) is utilized as input. The $\{110\}\langle 111 \rangle$ and $\{112\}\langle 111 \rangle$
 474 slip modes are assumed to be active in BCC Fe-Cr-Mo steel. The tensile creep tests
 475 are simulated under stress-controlled boundary conditions: stress along axis 3 (Σ_{33}) is
 476 imposed and the rest of the stress components Σ_{ij} are enforced to be zero.

477 The experimental data used to adjust and benchmark the proposed model is taken
 478 from available literature [14]. The same temperatures and creep stresses will be
 479 applied in the simulations. The results will be presented in section 3.3. Notice that
 480 only the primary creep stage and steady-state stage of thermal creep will be simulated.
 481 The third stage, where the creep rate shows an evident increase, is usually attributed
 482 to void nucleation and crack formation [41,77] and is out of the scope of the present
 483 modeling framework.



484

485

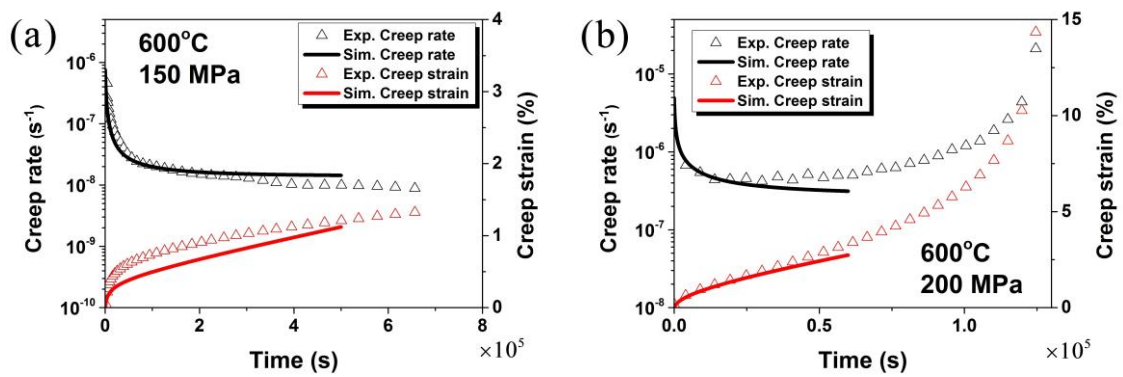
Fig. 3. Pole figures for the initial random texture with 100 grains.

486 3.2 Simulation results

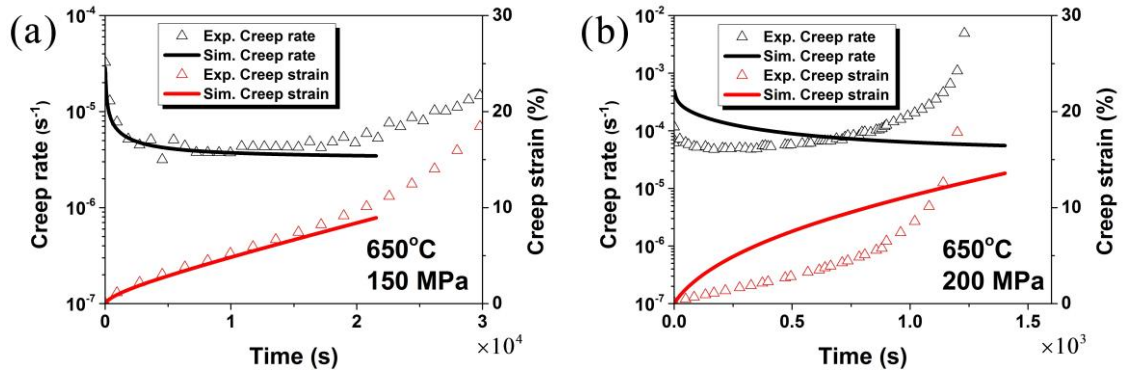
487 The creep rate and the creep strain in Basirat et al. [14] are measured under the

488 following conditions: 873K (600°C) with 150 and 200 MPa; 923K (650°C) with 150
 489 and 200 MPa; 973K (700°C) with 80, 100, 150 and 200 MPa. Figs. 4-7 show the
 490 comparison of the predicted results with experiments as a function of stress and
 491 temperature. The most obvious feature in these experiments is the strong dependence
 492 of the creep rate with applied stress. Differences of 50 MPa or even 20 MPa impact
 493 strongly on the creep rates observed. Despite such demanding experimental conditions,
 494 reasonable agreement is obtained for both. Notice that the experimental data in Basirat
 495 et al. show an obvious power-law regime behavior [13,14]. Therefore, the diffusion
 496 creep, which is excluded from this model, will not evidently affect the prediction in
 497 this work.

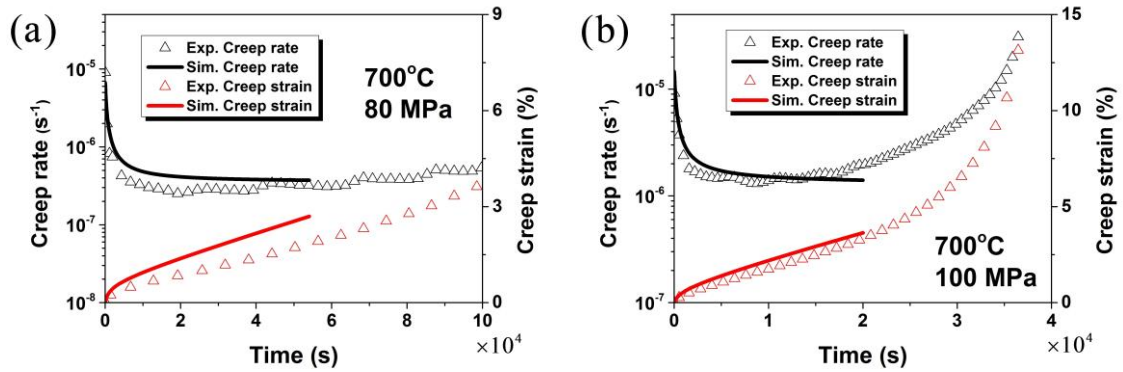
498 It can be seen that the simulation results capture the evolution for both the creep
 499 rate and creep strain curves over a wide range of orders of magnitudes. Still, some
 500 discrepancies are apparent in Figs. 4-7, the possible causes for which are discussed in
 501 what follows. First, a random texture is used in this work due to the lack of
 502 experimental texture data. Another possible source of error could be the initial
 503 dislocation densities used, which are the same for all tests in this work. However, they
 504 are likely to be different depending on the temperature, which will induce some
 505 annealing. The parameters controlling the waiting time of the thermal-activated glide
 506 and climb, could also affect the predicted results. These parameters can be better
 507 calibrated by using the data from more systematic experiments or low scale
 508 dislocation dynamic simulations.



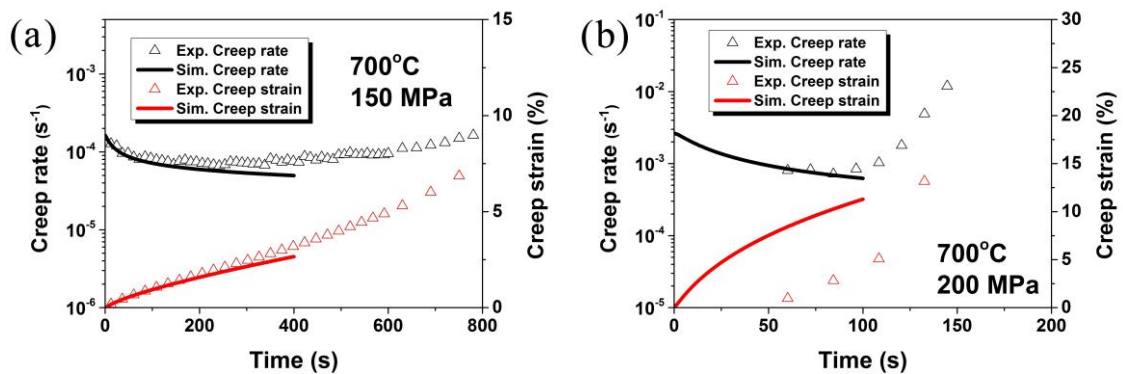
509 Fig. 4. Predicted creep rate and creep strain for Fe-Cr-Mo steel at 873K (600°C) with
 510 applied stress of 150 (a) and 200 MPa (b). Experimental data from Ref. [14].



511 Fig. 5. Predicted creep rate and creep strain for Fe-Cr-Mo steel at 923K (650°C) with
 512 applied stress of 150 (a) and 200 MPa (b). Experimental data from Ref. [14].



513 Fig. 6. Predicted creep rate and creep strain for Fe-Cr-Mo steel at 973K (700°C) with
 514 applied stress of 80 (a) and 100 MPa (b). Experimental data from Ref. [14].



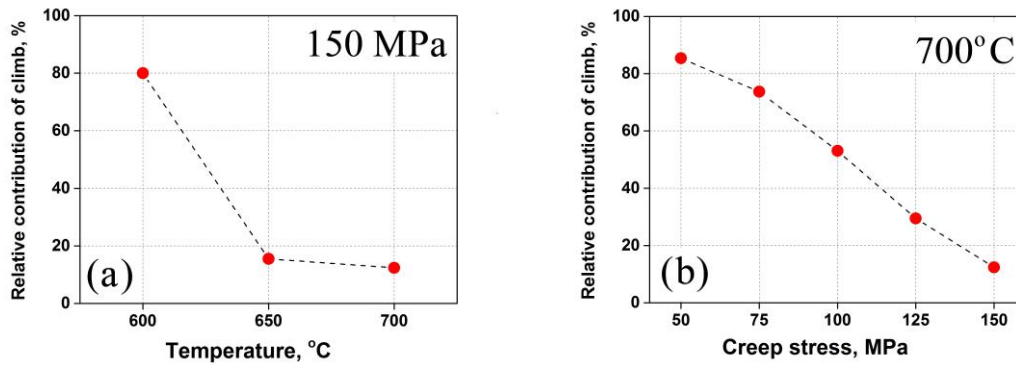
515 Fig. 7. Predicted creep rate and creep strain for Fe-Cr-Mo steel at 973K (700°C) with
 516 applied stress of 150 (a) and 200 MPa (a). Experimental data from Ref. [14].

517 3.3 Relative contribution of glide and climb mechanisms

518 The proposed modeling framework is able to consider the contribution of both the
 519 thermally-activated glide and the dislocation climb mechanisms in the deformation

520 process. In order to study their relative activities, we define $P_c = 1 - \varepsilon_{w/o}^i / \varepsilon_w^i$ to
 521 describe the percentage of the climb contribution. ε_w^i and $\varepsilon_{w/o}^i$ denote the creep rates
 522 at the initial step of the simulations with and without considering the climb mechanism
 523 (using the parameters of Fe-Cr-Mo steel given in section 3.1).

524 Fig. 8 exhibits the predicted relative contribution of climb under various
 525 temperature and stress. As shown in Fig. 8a, the contribution of climb is relatively
 526 larger at lower temperature. In this model, the climb process is controlled by the
 527 temperature-dependent equilibrium vacancy concentration, vacancy diffusivity and the
 528 chemical force (see Appendix). Thermally-activated glide is also strongly dependent
 529 on temperature. The results in Fig. 8a indicate that the thermally-activated glide is
 530 relatively more sensitive to temperature than climb. Fig. 8b demonstrates that the
 531 relative activity of climb is inversely proportional to the creep stress. It can be
 532 explained as that the activity of thermally-activated glide shows an exponential growth
 533 with the stress (Eq.13). On the other hand, the value of $f_c^s \Omega / kTb$ in Eqs. 19 and 20 is
 534 low (close to zero). This mathematically leads to a relatively more linear relationship
 535 between the climb velocity and the applied stress [54].

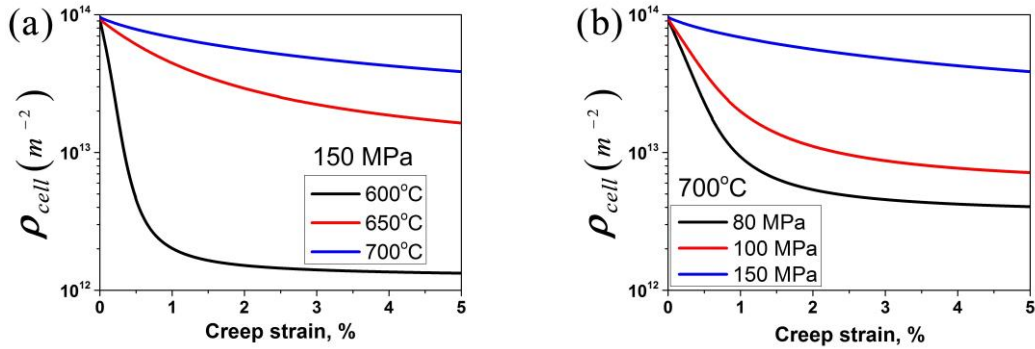


536 Fig. 8. Relative contribution of dislocation climb mechanism as a function of (a)
 537 temperature and (b) creep stress.

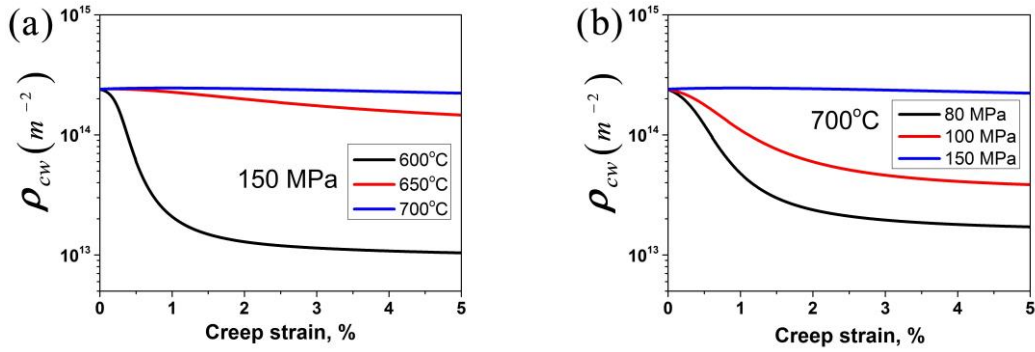
538 3.4 Dislocation density evolution

539 The predicted dislocation density evolutions in the subgrain are presented in Fig.
 540 9. The results are compared at various loading condition. It shows that ρ_{cell} tends to
 541 decrease more for lower temperature and/or stress. On the other hand, the evolution of
 542 ρ_{cw} , given in Fig. 10, shows the same tendency. Notice that the subgrain size, which is
 543 considered constant in this work, is actually dependent on ρ_{cw} . It has been reported

544 that the saturation subgrain size, which scales with $1/\sqrt{\rho_{cw}}$, is inversely proportional
 545 to the applied stress [6]. This is in agreement with the present simulations.

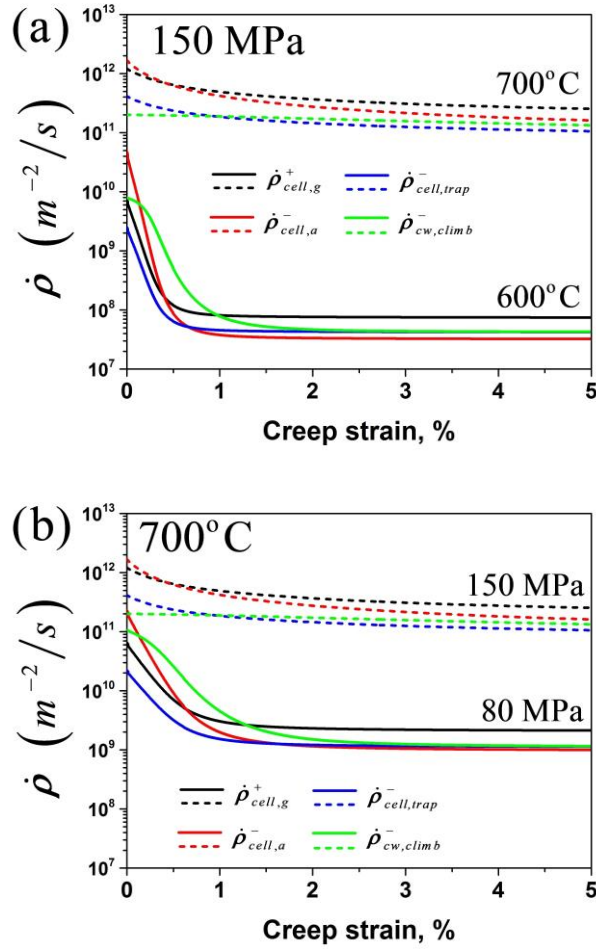


546 Fig. 9. Predicted evolution of dislocation density within subgrains under different
 547 temperatures (a) and stresses (b)



548 Fig. 10. Predicted evolutions of dislocation density in the cell walls under different
 549 temperatures (a) and stresses (b)

551 In Fig. 11 the roles of each dislocation density evolution term ($\dot{\rho}_{cell,g}^{s,+}$, $\dot{\rho}_{cell,a}^{s,-}$,
 552 $\dot{\rho}_{cell,trap}^{s,-}$ and $\dot{\rho}_{cw,climb}^{s,-}$) are analyzed. By summing the components from individual slip
 553 systems and then averaging these values across all grains, the macroscopic $\dot{\rho}_{cell,g}^{s,+}$,
 554 $\dot{\rho}_{cell,a}^{s,-}$, $\dot{\rho}_{cell,trap}^{s,-}$ and $\dot{\rho}_{cw,climb}^{s,-}$ are calculated for the polycrystal. For lower stress and
 555 temperature cases, we can see that the value for $\dot{\rho}_{cell,a}^{s,-}$ is relatively high at the
 556 beginning of the tests. This indicates that the dynamic recovery process dominates the
 557 drop of ρ_{cell} (and hence the creep rate) in the primary creep regime. This term later
 558 becomes very weak in the steady state due to the large decrease of ρ_{cell} and creep
 559 rate.

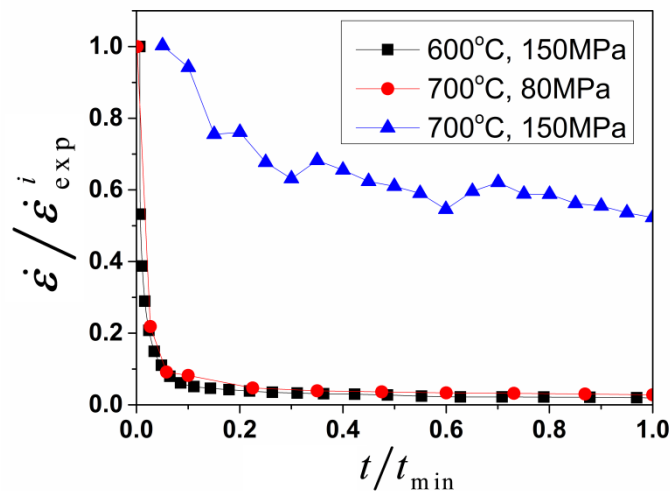


560 Fig.11. Contribution for the dislocation density evolution related mechanisms for
 561 different temperatures (a) and stresses (b).

562 The experimental data used in this work [14] show an important dependence of
 563 the creep rate evolution with stress and temperature. In Fig. 12, some of the
 564 experimental creep rate curves are presented for different stresses and temperatures.
 565 The strain rate data are normalized by the initial strain rate in the experimental data
 566 $\dot{\epsilon}_{exp}$, whereas the time is normalized by t_{min} , the time where the experimental
 567 minimum creep rate appears. Although the initial creep rate is not accurately indicated
 568 by experiments, we can see that $\dot{\epsilon}$ tends to decrease by a larger fraction when a
 569 lower stress or temperature is applied. As presented in Fig. 13a, such behavior is
 570 reproduced by the proposed model. Here the predicted strain curves (using the
 571 parameters listed in Table 1) are normalized by the strain rate at the first step of the
 572 simulations.

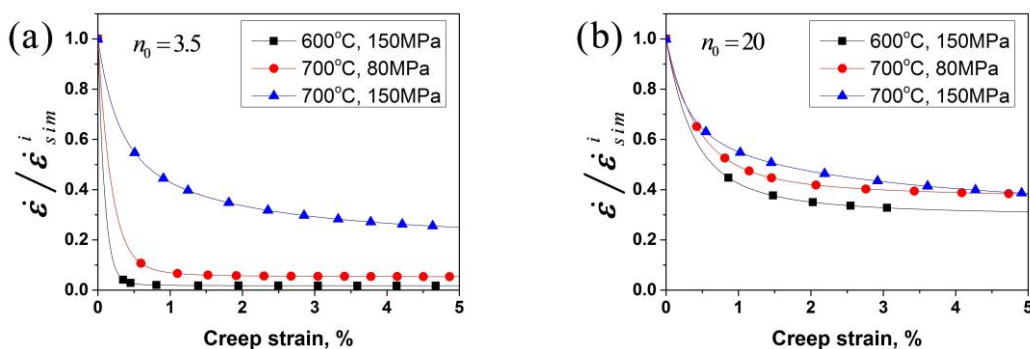
573 In the proposed model, the dynamic recovery process plays a key role in the
 574 evolution of dislocation population in the subgrain, which is responsible for capturing
 575 the tendency in experiments. Eq. 25 shows that dynamic recovery is a function of

576 strain rate with sensitivity is governed by n_0 . According to Estrin [65], n_0 should be
577 a constant (around 3-5) for high temperature cases where climb is the dominant
578 mechanism in dynamic recovery. For low temperature cases (cross-slip controlled
579 process), its value should be much higher (of order 20 as in Ref. [78]). The boundary
580 between the two temperature regimes is not clear and is supposed to vary depending
581 on the material. In this work, $n_0 = 3.5$ is used in the simulations. Otherwise, the strain
582 rate evolution in cannot be captured accordingly with experimental data. In Fig. 13b,
583 the simulation is carried out using $n_0 = 20$ and the parameter k_2 is set to 600 to fit
584 the reference experimental results (973K (700°C) and 150 MPa). It shows that the
585 predicted strain rate does not vary evidently under different loading conditions. This
586 result implies dislocation climb is the dominant mechanisms for dynamic recovery
587 process in the conducted creep tests.



588

589 Fig. 12. Experimental creep rate evolution under different stresses and temperatures.
590 Creep rate and time normalized by the initial creep rate and the time that the minimum
591 creep rate presents respectively. Experimental data from Ref. [14].



592 Fig. 13. Predicted creep rate evolution under different stresses and temperatures using

593 (a) $n_0 = 3.5$ and (b) $n_0 = 20$. Creep rate normalized by the initial creep rate.

594 As mentioned in section 2.1, the growth of MX and $M_{23}C_6$ precipitates is
595 neglected in this model, as well as the precipitation of Laves-phase and Z-phase. We
596 believe this should not affect the results in the present simulations. The experimental
597 results in Basirat et al. [14] correspond to short term creep tests with a total creep time
598 less than 200h. A rough estimate from the data in Ref. [7] indicates that the size of
599 MX and $M_{23}C_6$ precipitates will grow less than 0.1% within this time range. Moreover,
600 the study of Hayakawa et al. [4] shows that the dislocation mobility in modified
601 9Cr-1Mo steel is not significantly changed during creep tests up to around 7% creep
602 strain. This proves indirectly that the microstructure of this material is relatively stable
603 for short-term tests.

604

605 **4 Conclusions and perspectives**

606 In this work, a crystallographic thermal creep model is proposed for Fe-Cr alloy.
607 The thermal-activated glide and climb mechanisms are coupled in the formulation to
608 determine the mean dislocation waiting time at different types of the obstacle (other
609 dislocations and MX precipitates). This model, embedded in the VPSC framework,
610 captures well the thermal creep behavior for modified 9Cr-1Mo steel under various
611 stresses and temperatures. The relative contribution of thermally-activated glide and
612 climb mechanisms is evaluated for different creep conditions. The results show that
613 thermally-activated glide is strongly suppressed for creep at lower temperature, but
614 makes a relatively higher contribution on the dislocation mobility in high-stress
615 regime.

616 The dislocation density evolution law, considering multiple mechanisms in the
617 annihilation process, is also essential to predict correctly the creep behavior for the
618 initial and steady-state stages. The strain rate sensitive dynamic recovery is the
619 dominant factor to capture the strain rate variance under various loading conditions.
620 The dislocation recovery is a sophisticated phenomenon. The physics process is not
621 completely known. The simulation data in this work imply that dislocation climb could
622 be the governing mechanism for the dynamic recovery in modified 9Cr-1Mo steel
623 (within the corresponding stress and temperature intervals). However, more studies are
624 necessary to unravel its specifics in future.

625

626 **Acknowledgment**

627 This work was funded by the US Department of Energy's Nuclear Energy Advanced
628 Modeling and Simulation (NEAMS). Special thanks go to Prof. G.P. Potirniche for
629 providing us with the detailed experimental data.

630

631

632 **Appendix**

633 Previous studies [18,46,52–54] show the climb velocity can be expressed as:

$$634 \quad v_c^s = \frac{I_v^s \Omega}{b} \quad (\text{A-1})$$

635 To calculate the vacancy current I_v^s , one needs to analyze the stress and vacancy
 636 concentration status around the climbing edge dislocation. A cylindrical control
 637 volume around the dislocation line with the radius r is defined. The zone with
 638 $r \leq r_d$ is considered as the dislocation core region. Therefore the chemical force
 639 (Osmotic force) applied on the unit length of edge dislocation segment can be
 640 obtained as [46,79]:

$$641 \quad f_{os}^s = -\frac{kTb}{\Omega} \ln\left(\frac{C_v^s(r_d)}{C_v^0}\right) \quad (\text{A-2})$$

642 where $C_v^s(r_d)$ represents the vacancy concentration at $r = r_d$. C_v^0 is the equilibrium
 643 vacancy concentration at a given temperature.

644 Meanwhile, climb is also affected by the climb component of Peach-Koehler force.
 645 The full Peach-Koehler force is defined as $\mathbf{f} = (\boldsymbol{\sigma} \cdot \mathbf{b}^s) \times \mathbf{t}^s$ where \mathbf{t}^s is the
 646 normalized tangent to the dislocation line [56,57]. The climb component of \mathbf{f} for
 647 the edge dislocation can be expressed as [58–60]:

$$648 \quad f_c^s = \mathbf{f}^s \cdot \mathbf{n}^s = [(\boldsymbol{\sigma} \cdot \mathbf{b}^s) \times \mathbf{t}^s] \cdot \mathbf{n}^s = -|\mathbf{b}^s| \boldsymbol{\sigma} : (\mathbf{b}^s \otimes \mathbf{b}^s) \quad (\text{A-3})$$

649 When the dislocation is locally in equilibrium state, the total force $\mathbf{f}^s = \mathbf{f}_{os}^s + \mathbf{f}_c^s$
 650 should be equal to 0. Therefore we get from Eqs. A-2 and A-3:

$$651 \quad C_v^s(r_d) = C_{v,eq}^s = C_v^0 \exp\left(\frac{-f_c^s \Omega}{kTb}\right) \quad (\text{A-4})$$

652 Notice that the vacancy concentration in the material matrix is assumed to be equal to
 653 the equilibrium concentration, $C_v(r \geq r_\infty) = C_v^\infty = C_v^0$, where r_∞ denotes the radius of
 654 the outer boundary for the control volume. Therefore a vacancy concentration
 655 gradient along the radius appears in the control volume which leads to a diffusive
 656 flow of vacancies. The dislocation needs to absorb or emit vacancies (climb) to retain
 657 the local equilibrium status.

658 At steady-state the divergence of vacancy diffusion flux J is null in the absence of
 659 defect creation. The associated Laplace equation in the cylindrical coordinate system
 660 is:

$$661 \quad \nabla^2 C_v^s = \frac{1}{r} \frac{\partial}{\partial r} r \frac{\partial C_v^s}{\partial r} = 0 \quad (\text{A-5})$$

662 with the inner and outer boundary conditions:

$$\begin{aligned} 663 \quad C_v^s(r=r_\infty) &= C_v^\infty = C_v^0 \\ C_v^s(r=r_d) &= C_{v,eq}^s \end{aligned} \quad (\text{A-6})$$

664 By solving Eq. A-5, we obtain:

$$665 \quad C_v^s(r) = C_{v,eq}^s + (C_v^\infty - C_{v,eq}^s) \frac{\ln(r/r_\infty)}{\ln(r_\infty/r_d)} \quad (\text{A-7})$$

666 Therefore, the net current absorbed or emitted by unit length of dislocation segment is
667 given by:

$$668 \quad I_v^s = 2\pi r \cdot J = 2\pi r \frac{D_v}{\Omega} \frac{\partial C_v^s(r)}{\partial r} = \frac{2\pi D_v \left[C_v^\infty - C_v^0 \exp\left(\frac{-f_c^s \Omega}{kTb}\right) \right]}{\Omega \ln(r_\infty/r_d)} \quad (\text{A-8})$$

669 where D_v is the vacancy diffusivity. Then the climb velocity can be expressed as:

$$670 \quad v_c^s = \frac{I_v^s \Omega}{b} = \frac{2\pi D_v \left[C_v^\infty - C_v^0 \exp\left(\frac{-f_c^s \Omega}{kTb}\right) \right]}{b \ln(r_\infty/r_d)} \quad (\text{A-9})$$

671

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790 **Figure captions:**

791 Fig. 1. Schematic view of the microstructure for heat treated high Cr steels.

792 Fig. 2. Schematic view of the obstacle-bypass mechanisms for moving dislocations.

793 Fig. 3. Pole figures for the initial random texture with 100 grains.

794 Fig. 4. Predicted creep rate and creep strain for Fe-Cr-Mo steel at 873K (600°C) with
795 applied stress of 150 (a) and 200 MPa (b). Experimental data from Ref. [14].

796 Fig. 5. Predicted creep rate and creep strain for Fe-Cr-Mo steel at 923K (650°C) with
797 applied stress of 150 (a) and 200 MPa (b). Experimental data from Ref. [14].

798 Fig. 6. Predicted creep rate and creep strain for Fe-Cr-Mo steel at 973K (700°C) with
799 applied stress of 80 (a) and 100 MPa (b). Experimental data from Ref. [14].

800 Fig. 7. Predicted creep rate and creep strain for Fe-Cr-Mo steel at 973K (700°C) with
801 applied stress of 150 (a) and 200 MPa (b). Experimental data from Ref. [14].

802 Fig. 8. Relative contribution of dislocation climb mechanism as a function of (a)
803 temperature and (b) creep stress.

804 Fig. 9. Predicted evolution of dislocation density within subgrains under different
805 temperatures (a) and stresses (b)

806 Fig. 10. Predicted evolutions of dislocation density in the cell walls under different
807 temperatures (a) and stresses (b)

808 Fig.11. Contribution for the dislocation density evolution related mechanisms for
809 different temperatures (a) and stresses (b).

810 Fig. 12. Experimental creep rate evolution under different stresses and temperatures.
811 Creep rate and time normalized by the initial creep rate and the time that the minimum
812 creep rate presents respectively. Experimental data from Ref. [14].

813 Fig. 13. Predicted creep rate evolution under different stresses and temperatures using
814 (a) $n_0 = 3.5$ and (b) $n_0 = 20$. Creep rate normalized by the initial creep rate.

815 **Tables:**

816 Table 1. Parameters used for the Fe-Cr-Mo alloy in this work

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Table 1. Parameters used for the Fe-Cr-Mo alloy in this work

Parameters	Fe-Cr-Mo	
ρ_0 (mass density)	8000 Kg/m ³	
b (magnitude of Burgers vector)	$2.48 \cdot 10^{-10}$ m	
μ (shear modulus)	$103572 \text{ MPa} - T \cdot 48 \text{ MPa/K}$	[47]
N_{MX} (number density of MX precipitate)	$3 \cdot 10^{20} \text{ m}^{-3}$	[6]*
D_{MX} (average diameter of MX precipitate)	37 nm	[13]
h_{MX} (trapping coefficient for MX precipitates)	1	[6]*
τ_0 (friction stress)	0 MPa	[72]
τ_p^s (hardening contribution of MX precipitates)	365 Mpa for 873K (600°C) 325 Mpa for 923K (650°C) 315 Mpa for 973K (700°C)	
m (exponent factor)	2	[42,49,50]
$\alpha_0^{ss'}$ (saturation dislocation-dislocation interaction)	$0.7 (s = s')$; $0.05 (s \neq s')$	[71]*
V (resolved shear stress variance)	1000 MPa^2	
$\Delta G_{0,\rho}$ (zero-stress activation energy for dislocations)	2.8 eV	
$\Delta G_{0,MX}$ (zero-stress activation energy for MX precipitates)	7 eV	
p (exponent parameter)	0.7	[48]*
q (exponent parameter)	1.4	[48]*
$\nu_{G,MX}$ (attack frequency for MX precipitate obstacle)	$1.2 \cdot 10^{10} \text{ s}^{-1}$	[22]*
R_e (proportion of edge dislocations)	10%	
χ (entropy factor)	1	[36]
r_d (inner radius of dislocation control volume)	$4b$	[52]
r_∞ (inner radius of dislocation control volume)	$200b$	
$\rho_{cell,0}^s$ (initial dislocation density in the cell)	$4 \cdot 10^{12} \text{ m}^{-2}$	[14,73–75]*
$\rho_{cw,0}^s$ (initial dislocation density in the cell wall)	$1 \cdot 10^{13} \text{ m}^{-2}$	[14,73–75]*
l_ρ (average distance to climb for dislocation obstacle)	$100b$	
k_1 (material constant)	0.12	
k_2 (material constant)	85	
k_3 (material constant)	$0.5 \cdot 10^9$	
k_c (material constant)	0.1	
λ_{sg} (sub-grain size)	$0.5 \cdot 10^{-6}$	[73]
n_0 (annihilation strain rate sensitivity)	3.5	
D_v^0 (diffusion constant)	$7.87 \cdot 10^{-7} \text{ m}^2/\text{s}$	[76]
E_m^v (vacancy migration energy)	0.6 eV	[76]
g_0 (coefficient used in Eq. 35)	1.724 eV	[76]
g_1 (coefficient used in Eq. 35)	$-1.2 \cdot 10^{-4} \text{ eV/K}$	[76]
g_2 (coefficient used in Eq. 35)	$-2.79 \cdot 10^{-8} \text{ eV/K}^2$	[76]
g_3 (coefficient used in Eq. 35)	$-5.93 \cdot 10^{-11} \text{ eV/K}^3$	[76]

* Parameter estimated or back-fitted from experimental data within the range given in the listed references.