

# Scaling of size, shape and surface roughness in Antarctic krill swarms

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## Abstract

Antarctic krill are obligate swimmers and the size and shape of the swarms they form can have a major influence on trophic interactions and biogeochemical fluxes in the Southern Ocean.

Parameterising variability in size and shape is therefore a useful step towards understanding the operation of the Southern Ocean ecosystem. We analyse the relationships between the length  $L$ , thickness  $T$ , perimeter  $P$  and area  $A$  of 4650 vertical cross-sections of open-ocean krill swarms obtained within the Atlantic sector of the Southern Ocean during a summer survey in 2003. Our data shows that these parameters are tightly interrelated. The thickness  $T$  increases on average as  $L^{0.67}$  and has a log-normal distribution within each length class. The perimeter and area scale with  $L$  and  $T$  as  $P \sim L^{0.77}T$  and  $A \sim L^{0.86}T^{0.48}$ . The swarm aspect ratio,  $T/L$ , decreases approximately as  $L^{-0.31}$ .

The surface roughness (defined as  $P/A$ ) has a weak dependence on swarm length and decreases approximately as  $T^{-0.46}$ , which can be explained only by the appearance of indentations and cavities in the swarm shape that elongate the perimeter but do not change the area. Overall, our study finds that there are distinct limits to the size and shape of swarms that Antarctic krill appear to be capable of forming. Using these limits we have classified swarms into 4 types: *Ellipsoidal*, *Filamentous*, *Needle-*

25 *like* and *Indented*. We consider the potential explanatory factors contributing to these limitations

26 and suggest ways in which they can be further explored.

27 **Keywords:** acoustics, area, perimeter, aspect ratio, *Euphausia superba*, Scotia Sea, Southern Ocean

## 28 Introduction

29 Swarms of Antarctic krill (hereon: krill) vary enormously from lengths of just a few metres to  
30 several kms and vertical thicknesses of over 100 m (Siegel and Kalinowski 1994, Tarling *et al.* 2009,  
31 Krafft *et al.* 2012). These variations are accompanied by corresponding changes in other parameters,  
32 such as perimeter and area in two dimensions, and surface area and volume in three dimensions,  
33 which together define external swarm shape. The shape of a swarm is a function of the summed  
34 individual response to the environment, influenced by the responses of neighbours (Parrish and  
35 Edelstein-Keshet 1999). The scale of the swarm is also influential on the relative balance of  
36 behaviour and physical drivers on ultimate swarms shape (Folt and Burns 1999). Over large scales  
37 (swarms of the order of 100 to 10000 m length), behavioural processes (e.g. migration) are believed  
38 to combine with physical processes (turbulence, currents and eddies) to generate heterogeneity in  
39 swarms shapes (Pinel-Alloul 1995). Overall smaller scales (0.1 to 10 m), individual behaviours and  
40 responses can override physical processes (Zhou *et al.* 1994).

41 Not all potential swarm shapes may be viable and the associated swarm shape parameters may  
42 be constrained within certain limits. Across different scales, the relationships between these  
43 parameters may follow geometric rules and some level of predictability in observed swarm shape  
44 may be apparent. Identifying these scaling rules has value in understanding the mechanisms of  
45 swarm formation (and break-up) since they can reveal patterns of assembly that are followed by  
46 individuals when joining (or leaving) an aggregation. Furthermore, the interaction between size and  
47 shape has wider implications to ecosystem function, particularly with regards a biomass dominant  
48 species such as Antarctic krill. The horizontal and vertical extent of swarms may influence their  
49 relative availability to different predator types, so differentially affecting foraging success (Croxall *et*  
50 *al.* 1999, Brierley and Cox 2015). For instance, horizontally extensive swarms may benefit aerial  
51 predators whereas vertically extended swarms may be more exclusively available to diving  
52 predators. The biogeochemical role of swarms may also be affected by the relationship between size

53 and shape, particularly in the ability of swarms to flux faecal pellet carbon to the ocean interior,  
54 which can be accelerated when swarms are vertically extensive (Tarling and Thorpe 2017).

55 Krill are believed to aggregate actively mainly through social interactions (Weber *et al.* 1986).  
56 These interactions, in turn, are likely to be influenced by physiological factors, such as hunger and  
57 hypoxia, and external conditions, such as the presence of predators, food availability and ambient  
58 temperature. Individual krill within swarms are able to behave collectively to their mutual benefit,  
59 for instance, in allowing them to retain favourable feeding locations (Tarling and Thorpe 2014) or to  
60 obtain some physiological advantage (Swadling *et al.* 2005, Ritz *et al.* 2001). How these drivers  
61 translate to internal swarm structure is nevertheless complex and only limited insights have so far  
62 been gained. Kawaguchi *et al.* (2010) found that shoals of krill in captivity had nearest neighbour  
63 distances of around 2-3 body lengths. Catton *et al.* (2011) found krill to be aligned so that they were  
64 out of the downward wake of neighbours, as predicted from flow analysis on individuals by Wiese  
65 (1996).

66 At the whole swarm level, ultimate swarm shape may be further influenced by both the positive  
67 and negative consequences of group living. There is general consensus that swarming benefits its  
68 members by reducing predation risk through lowered predator encounter rate, collective vigilance,  
69 rapid information transfer and predator confusion (Ritz *et al.* 2011). Nevertheless, if predation were  
70 the only influence, then the optimal swarm shape should be spherical because this combines the  
71 smallest surface for any volume, minimising both the risk of discovery and the numbers of  
72 individuals at the swarm edge (Brierley and Cox 2010, Hemelrijk and Hildenbrandt 2012). That this is  
73 clearly not the case for the majority of swarms indicates that there are other antagonistic influences  
74 on swarm shape. One of the main costs of swarm membership is reduced feeding rates from  
75 exploitative and interference mechanisms (Folt 1987). Furthermore, the reduced levels of diffusion  
76 may lead to waste products concentrating in the swarm interior to the point where they become  
77 toxic (Ritz *et al.* 2011) and oxygen saturation drops to levels that are physiologically limiting (Johnson

78 *et al. 1984*). Such costs place a pressure on the swarm to disperse, so allowing greater diffusion and  
79 reducing the distance of individuals to the swarm edge. Ultimate swarm shape therefore reflects  
80 both the costs and the benefits of swarming.

81 A further influential factor on swarm shape is the scale of the swarm. Smaller swarms may not  
82 be under the same physical and biological influences as larger ones. For instance, levels of  
83 interference between individuals and diffusive flux to the swarm interior may be markedly different  
84 between small and large swarms. Conditions within the swarm must also remain within tolerable  
85 limits for individual swarm members if the swarm is to remain viable. These factors could mean that  
86 changes in swarm size are accompanied by changes in shape that follow certain allometric rules. This  
87 in turn will constrain the relationship between swarm shape parameters.

88 In this study, we examine a large dataset of krill swarms visualised acoustically during a scientific  
89 survey of the southwest Atlantic sector of the Southern Ocean. Our working hypothesis is that  
90 swarm shape, considered in terms of parameters such as area, perimeter, length and vertical  
91 thickness, conforms to geometric scaling functions that limit their variability. We will test this  
92 hypothesis through considering scaling laws between various geometrical parameters and defining  
93 the ranges of their variability.

94 Our analysis considers data collected in 2-dimensions through what are 3-dimensional objects,  
95 meaning that our insights into the true size and dimensions of any one individual swarm are limited.  
96 Nevertheless, the advantage of our dataset is that it consists of swarm cross-sections collected along  
97 preset transects, meaning that the direction passed through each individual swarm is random. Over  
98 a large number of swarms, the fact that each observation is random allows us to build up a picture of  
99 what patterns are common and what geometric relationships are statistically significant. We do not  
100 resolve the temporal evolution of swarm formation or break up of any one swarm but rather obtain  
101 an instantaneous picture of thousands of swarms within which we expect different states, or types,  
102 to exist. The insights we gain into geometric constraint on krill swarms are important in helping to

103 identify the controlling influences on krill swarming behaviour. They are also useful when  
104 considering ecosystem structure, such as predator-prey dynamics and foraging on prey patches as  
105 well as the influence of different swarm formations on the flow of carbon through the system.

## 106        **Methods**

107        **General survey details.** A survey encompassing 8 transects was carried out by the RRS *James*  
108 *Clark Ross* across the Scotia Sea between 9 January and 16 February 2003 (Fig. 1A). The majority of  
109 transects were transited at 10 knots, although it was necessary to slow to around 5 knots in a small  
110 number of regions where conditions were icy. Acoustic data were collected using a SIMRAD EK60  
111 echosounder connected to hull-mounted split-beam 38 kHz, 120 kHz and 200 kHz transducers. Only  
112 data from the 38 kHz and 120 kHz transducers were analysed to identify krill swarms to a maximum  
113 depth of 300 m. The beam angles, and hence sampling volumes, of both these frequencies were the  
114 same (7°) so allowing direct comparisons to be made for the purposes of swarm identification across  
115 most depths. An offset of the two sounders means that the beams were not overlapping at depths  
116 shallower than 25 m. Although this could lead to the misidentification of some krill swarms, we  
117 worked on the assumption that swarms of that shape, size, and backscattering strength were  
118 unlikely to be anything other than Antarctic krill in this part of the Southern Ocean. The surveys  
119 encompassed both open-ocean and shelf regions, but all shelf data (data < 100 km from nearest  
120 land) were excluded from the present analysis since swarms adopt very different formations in shelf  
121 environments and can be considered a special case worthy of separate investigation (Klevjer *et al.*  
122 2010). Data were collected continuously and subsequent analyses included both daytime and  
123 nighttime periods.

124        *Krill net sampling.* A number of swarms were captured by net sampling to ground-truth the  
125 acoustic records, principally for the purpose of establishing an acoustic target strength for krill. Krill  
126 swarms were located using the Simrad echosounder and then sampled with a rectangular midwater  
127 trawl (RMT8). The RMT8 was rigged with two remotely operated opening/closing nets, which had  
128 the capacity to sample separate swarms in close vicinity to one another. Krill sampling took place  
129 mostly during the hours of darkness. Full details of this analysis are provided in Tarling *et al.* (2009).

130 *Acoustic data and processing.* An acoustic system calibration was undertaken at Stromness Bay  
131 (54°9.44'S, 36°41.99'W) on 17 February 2003 using the standard sphere method (Foote *et al.*, 1987,  
132 1990). Calibration was carried out with a 60 mm copper sphere for the 38 kHz transducers and a 23  
133 mm copper sphere for the 120 kHz transducers (Tarling *et al.*, 2009).

134 Raw acoustic data from the 38 kHz and 120 kHz transducers were processed using Sonardata  
135 Echoview version 4.0 following the protocol of Hewitt *et al.*, (2004) with the aim of excluding all  
136 backscatter not attributable to krill aggregations. Background noise was estimated and subtracted  
137 from the 38 kHz and 120 kHz echograms (Watkins & Brierley, 1996), assuming that background noise  
138 levels follow a  $20\log R + 2\alpha R$  relationship (where  $R$  is depth and  $\alpha$  is the attenuation coefficient),  
139 which is then scaled to the minimum volume backscatter ( $S_v$ ) in each layer during a transect before  
140 subtracting from the respective echograms. This method is particularly effective at decreasing the  
141 distortion with depth that would otherwise occur when identifying targets with a dB differencing  
142 approach (see below). Filters were applied to exclude the upper 13 m of the water column and  
143 depths below the detected bottom (where applicable) and other “bad data” resulting from  
144 interference or stormy weather. Smaller spikes were removed from the data by restricting the final  
145 swarm detection to those regions of the echogram where the  $7 \times 7$  (pings  $\times$  samples where the ping  
146 interval was 1.5 s and sample length, 0.1867 m) convolution filtered data were above a threshold of  
147  $-80$  dB at 120 kHz. A threshold of  $-70$  dB at 120 kHz was used in the subsequent swarm delineation,  
148 as advised by Lawson *et al.*, (2008).

149 *Krill swarm delineation.* A swarm detection algorithm was applied to the processed 120 kHz  
150 echogram data using Sonardata Echoview version 4.0 “School detection module” (Sonardata Pty,  
151 Tasmania, Australia), which employs a SHAPES algorithm (Coetzee, 2000). This algorithm identifies  
152 data points that can be determined as “swarm candidates”, which are groups of cells that meet  
153 minimum criteria for length and thickness. These individual swarm candidates are then linked  
154 together to form a larger swarm candidate if the horizontal and vertical distances between them are  
155 less than the specified maximum linking distances. After all linking has been carried out, swarms are



156 recognised if the final swarm candidates are larger than the defined minimum total swarm length  
157 and thickness. With a ping interval of 1.5 s, and standard cruising speed of 10 knots, the minimum  
158 horizontal resolution was around 7.5 m. We set the minimum total swarm length to 15 m, which is  
159 double the minimum horizontal resolution. Transmit pulse duration was 1024  $\mu$ s, giving an  
160 approximate pulse length of 1.5 m and a minimum vertical distance between 2 resolved targets of  
161  $\sim$ 75 cm. Minimum swarm candidate length and thickness were set to 10 m and 1 m, respectively.  
162 The maximum horizontal linking distance was set to 15 m, following Woodd-Walker *et al.*, (2003),  
163 and the maximum vertical linking distance was set to 5 m. Swarms where the relative school length  
164 image compared to the beam width ( $Nb_i$ ) was less than 1.5 were excluded from the dataset,  
165 following Diner (2001).

166 Swarms were detected from the 120 kHz echograms, and physical and acoustic descriptors  
167 for the detected swarm-regions were exported from both 38 and 120 kHz data. These dimensions  
168 were corrected for known beam geometry according to the system of Diner (1998) within Sonardata  
169 Echoview 4.0. Detected swarms were interrogated to determine whether or not they were krill using  
170 the variable  $\Delta S_{v120-38}$  identification technique (CCAMLR, 2005) following the steps outlined in Tarling  
171 *et al.*, (2009), including the allocation of different krill total lengths to different survey regions in line  
172 with corresponding net catch results. This had a relatively minor influence on estimated krill target  
173 strength (TS) across the survey grid (Tarling *et al* 2018).

174 **Swarm descriptors.** Directions passed through swarms were random in that a swarm cross-  
175 section represents the transit across a swarm as the ship follows its preset route (Fig. 1B). Once  
176 scaled according to distance travelled, we calculated a number of geometrical properties for each  
177 swarm cross-section: (i)  $P$ , the perimeter (m), (ii)  $A$ , the area of this section ( $m^2$ ), (iii)  $L$ , the maximal  
178 horizontal extent (m) and (iv)  $T_{max}$ , maximal vertical extent (m).  $T_{max}$  represents the range between  
179 the uppermost and lowermost part of the swarm, which captures the fact that some parts of a  
180 swarm are located higher or lower in the water column than others. An alternative measure is the  
181 mean thickness averaged along swarm length, which can be defined as (v)  $T_{mean} = A/L$ . This

182 parameter defines the average distance between the upper and lower borders of a swarm and is  
183 always smaller than  $T_{max}$ . For convenience, we also denote the logarithm of swarm thickness as  $\tau =$   
184  $\log_{10} T$ .

185 **Numerical analysis.** We investigated relationships between swarm descriptors through  
186 regression based analyses. All regressions were carried out in the logarithmic domain with the  
187 Matlab routine `fitln` using the relationship  $\log y = b + k \log x$ . In most cases, the results were later  
188 converted into a more convenient power law form,  $y = 10^b x^k$ .

## 189 Results

190 **Scaling of length and thickness.** There was a wide range of swarms sizes detected in the survey,  
 191 with swarm length varying from 1 m to 10,000 m, maximal thickness from 1 m to 100 m, and the  
 192 average thickness from 0.3 m to 10 m. To give an insight into swarm size distributions, consider the  
 193 bivariate histograms showing the distribution of swarms in  $(L, T_{max})$  coordinates and  $(L, T_{mean})$   
 194 coordinates (Figs. 2A and B).

195 The distribution of swarm thicknesses shifts towards greater values as swarm length increases.  
 196 To take into account the dependence of the distribution parameters on swarm length, we fit them  
 197 for each length bin separately. The histogram of logarithmic thickness (both maximal,  $T_{max}$ , and  
 198 average,  $T_{mean}$ ) in each length bin can be closely approximated by a normal distribution truncated at  
 199 the minimum detection limit for swarm thickness of 1.27 m (Fig. S1)

200

$$201 \quad P_L(\tau) \propto \exp\left(-\frac{(\tau - \tau^*(L))^2}{2\sigma^2(L)}\right)\theta(\tau - \tau_{min}) \quad (1)$$

202

203 where both the mean  $\tau^*(L)$  and variance  $\sigma^2(L)$  depend on swarm length,  $\theta(\tau - \tau_{min})$  is the  
 204 Heaviside function, and  $\tau_{min}$  is the logarithmic thickness of the thinnest detected swarm (see  
 205 Appendix A for the fitting details). A Shapiro-Wilk test confirmed the normality of these distributions  
 206 at the significance level  $\alpha = 0.01$  for swarms longer than  $L > 30$  m. The obtained maximal likelihood  
 207 estimates for  $\tau^*(L)$  and  $\sigma(L)$  with error bars indicating 95% confidence interval are shown in Fig. 2.

208 The most likely logarithmic maximal thickness  $\tau_{max}^*$  (Fig. 2A) and average thickness  $\tau_{mean}^*$  (Fig.  
 209 2B) monotonically increase with swarm length. While  $\tau_{max}^*$  increases proportionally to the logarithm  
 210 of length over the entire range, the average thickness  $\tau_{mean}^*$  remains approximately constant  
 211 (around 1 m) for swarms shorter than 40 m and begins to increase with  $L$  for longer swarms. The

212 linear regression analysis (Table 1) shows that the most likely maximal thickness increases as  $\tau_{max}^*$   
 213 ( $L$ ) =  $-0.62 + 0.69\log_{10} L$  (Fig. 2A) and its standard deviation decreases as  $\sigma(L) = 0.51 - 0.11$   
 214  $\log_{10} L$  (Fig. 2A). For the average thickness, we obtain  $\tau_{mean}^* = 0.058$  ( $T_{mean}^* = 1.14$  m) for  $L < 40$   
 215 and  $\tau_{mean}^* = 0.69 + 0.47\log_{10} L$  for  $L \geq 40$  m and  $\sigma = 0.39 - 0.05\log_{10} L$ . Thus, both maximal and  
 216 average thickness increase slower than swarm length. For instance, for  $L \geq 40$ m, the average  
 217 thickness increases approximately as a square root of length,  $T_{mean}^* = 4.9L^{0.47} \approx 4.9\sqrt{L}$ , meaning  
 218 that a two order of magnitude increase in swarm length (e.g., from 40m to 4000 m) leads only to  
 219 approximately one order of magnitude increase in the average thickness (from 27 m to 240 m for  
 220 the given example).

221 **Scaling of swarms in the vertical realm.** We obtain an additional perspective on the scaling of  
 222 swarm shape from the distribution of  $T_{max}/T_{mean}$ , which is the relative vertical maximum swarm  
 223 extent with respect to mean thickness. This parameter can be interpreted as swarm flatness, as it  
 224 increases when the vertical swarm variation increases without increasing the mean thickness, and it  
 225 approaches 1 for an absolutely flat swarm with no variation along the vertical axis. Swarm flatness  
 226 first increases with length from 2 for  $L = 10$  m to 5 for  $L \approx 400$ m and then stays at a constant level  
 227 or even decreases for extremely long swarms with the average value of  $\langle T_{max}/T_{mean} \rangle \approx 4.8$  in this  
 228 range (Fig. 2C).

229 The swarm aspect ratio,  $T_{max}/L$ , decreases with swarm length (Fig. 3A). Aspect ratio is greatest  
 230 in the smallest swarms but declines dramatically as swarms increase in length. Using the  
 231 dependence of the most likely maximal thickness on the length to estimate the aspect ratio we  
 232 obtain:

$$234 \quad \frac{T_{max}^*}{L} = 0.26L^{-0.32} \quad (2)$$

235

236 i.e., the aspect ratio decreases approximately inversely proportional to the cubic root of  $L$ . As shown  
 237 in Fig. 3A, this equation provides a good approximation to the linear regression through the data  
 238 points. For example, whereas 10 m long swarms exhibit an aspect ratio of around 1 m of thickness  
 239 per 5 m for length, 1000 m long swarms have proportions of 1 m of thickness per 30 m or more of  
 240 length.

241 **Scaling of perimeter to area.** Swarm area and perimeter can be approximated by a power  
 242 function of length and maximal thickness (Fig. 4). The swarm area scales as  $A = 0.8L^{0.77}T_{max}$  and  
 243 perimeter as  $P = 3.5L^{0.86}T_{max}^{0.48}$ . Both functions describe 95% of the variance in swarm area and  
 244 perimeter.

245 The scaling of swarm area allows us to make some conclusions concerning the scaling of the  
 246 average swarm thickness. Using the scaling expression for area, we can calculate the average  
 247 thickness as  $T_{mean} = A/L = 0.8 T_{max}L^{-0.23}$  m. Thus, on average, the mean swarm thickness is  
 248 smaller than the maximal thickness  $T_{max}$  by the factor  $0.8L^{-0.23}$ .

249 The area specific length of perimeter, surface roughness  $R = P/A$  on average equals  $3.17 \text{ m}^{-1}$ .  
 250 Using the scaling laws for area and perimeter, we find:

251

$$252 \quad R = \frac{P}{A} = 4.36 L^{0.09} T_{max}^{-0.52} \text{ m}^{-1} \quad (3)$$

253

254 Thus, surface roughness has an extremely weak dependence on length and mainly depends on  
 255 swarm maximal thickness. Indeed, the factor  $L^{0.09}$  changes from 1 for  $L = 1 \text{ m}$  to 2.29 for  
 256  $L = 10\,000 \text{ m}$ . Thus, the main variations in the surface roughness are related to the changes in  
 257 thickness. Using median value  $L_{median} = 41 \text{ m}$ , we obtain the following approximate equation for  
 258 swarm roughness:

259

$$R_{approx} = 4.36 L_{median}^{0.09} T_{max}^{-0.52} = 6.16 T_{max}^{-0.52} \text{ m}^{-1} \quad (4)$$

261

262 Hence, surface roughness is approximately inversely proportional to the square root of swarm  
 263 thickness. Eq. (4) closely approximates a linear regression of data points (Fig. 3B).

264 It is not surprising that surface roughness decreases with swarm size because perimeter typically  
 265 grows slower than area with increasing size. It is more informative, therefore, to compare surface  
 266 roughness with roughness of a smooth geometrical figure with the same linear dimensions. For this  
 267 purpose, we calculate surface roughness of ellipses with the same length and thickness (Fig. 3B). As  
 268 shown in Appendix B, and confirmed by linear regression of the data, the roughness of an ellipse  
 269 decreases approximately as  $1/T_{max}$ , which is much faster than the decrease of surface roughness we  
 270 observe in krill swarms ( $1/T_{max}^{0.46}$ ). Increasing swarm size appears to be accompanied by the  
 271 appearance of new cavities and indentations in the swarm structure which leads to an increase in  
 272 the perimeter. As a result, the perimeter of thick swarms can be up to 10 times greater than the  
 273 perimeter of an ellipse with the same linear dimensions.

274 **Swarm categorisations.** To address the hypothesis that swarm size constrains swarm shapes to  
 275 certain types, we compare the area and perimeter of swarms with that of dimensionally equivalent  
 276 ellipses. We denote the relative elongation in swarm perimeter with respect to ellipse perimeter as  
 277  $\varepsilon_P = P/P_{ell}$  and ratio of swarm to ellipse area as  $\varepsilon_A = A/A_{ell}$ . For most of swarms,  $\varepsilon_P$  is greater than  
 278 1 and  $\varepsilon_A$  is less than 1. Only in extremely rare situations, e.g. when the swarm shape approaches to,  
 279 for instance, a rectangular or rhombus, are these rules violated (see frequencies of swarms with  
 280 different values of  $\varepsilon_P$  and  $\varepsilon_A$  in Fig. 5B). The greatest concentration of swarms is around the median  
 281 values  $\varepsilon_P^* = 1.9$  and  $\varepsilon_A^* = 0.4$ . Thus the most frequent swarms are approximately 2 times greater in  
 282 perimeter and 2 times smaller in area than the corresponding ellipse. However, these swarms

283 represent less than 10% of the total swarm number because most of the swarms are distributed  
284 within the peripheral areas of the plot.

285 Using the median values as thresholds, we split swarms into the following four equally abundant  
286 classes (Fig. 5A) with distinctly different geometrical properties. (I) *Ellipsoidal* swarms: perimeter and  
287 area are close to the perimeter and area of an ellipse - these swarms are the most compact and their  
288 shape approaches to an ellipse. (II) *Filamentous* swarms: the area approximately equals the area of  
289 an ellipse, but the perimeter is larger due to filaments and fjords in the swarm structure - these  
290 swarms are still compact apart from at the edges; (III) *Needle-like* swarms: the perimeter  
291 approximately equals the ellipse perimeter, but the area is smaller - these swarms are thin and  
292 elongated with a small number of cavities. (IV) *Indented* swarms: the area is smaller than the area of  
293 the corresponding ellipse, but the perimeter is greater - these swarms have the largest perimeter  
294 and smallest area, and therefore, the highest surface roughness for given linear dimensions.

295 How does the swarm class depend on swarm linear dimensions? To answer this question,  
296 consider the change in  $\varepsilon_P$  and  $\varepsilon_A$  with swarm maximal thickness and length (Fig. 6). Although the  
297 pattern is relatively noisy, we observe clear gradients with the perimeter ratio  $\varepsilon_P$  increasing mainly  
298 with swarm thickness and area ratio  $\varepsilon_A$  decreasing with swarm length. The dashed lines show the  
299 isolines where the averaged values of  $\varepsilon_P$  and  $\varepsilon_A$  equal the threshold levels  $\varepsilon_P^*$  and  $\varepsilon_A^*$  (see also  
300 Supplementary Fig. S2). Superpositioning of the threshold levels gives us the swarm class  
301 partitioning in  $(L, T_{max})$  axes and shows what types and sizes of swarms are geometrically  
302 compatible (Fig. 6C).

303 Our comparison of the swarm and ellipse surface roughness (Fig. 3B) shows that roughness of  
304 geometrical shapes typically decreases with shape size. This fact hampers a direct comparison of the  
305 roughness of differently sized swarms and produces a false sense that larger swarms should be  
306 smoother whilst, in reality, larger swarms possess a more complex perimeter structure. Generalizing  
307 our approach further, we define the relative swarm roughness as  $\rho = R_{swarm}/R_{ellipse}$ , where  $R_{ellipse}$

308 is the roughness of an ellipse with the same linear dimensions. It is easy to check that the relative  
309 roughness can be also expressed in terms of the relative perimeter and area as  $\rho = \varepsilon_p/\varepsilon_A$ .

310 Combining the estimations of the average swarm roughness, [Eq. 3](#), with ellipse roughness (Appendix  
311 B) we find that the average relative roughness scales as

$$312 \quad \rho = 1.72 L^{0.09} T_{max}^{0.48} \quad (5)$$

313 Thus, the relative surface roughness depends mainly on the changes in the vertical swarm extent,  
314 increasing approximately as the square root of  $T_{max}$ , and is nearly independent of the swarm length.



## 315 Discussion

316 Through examining a large dataset of acoustically visualised krill swarms in the southwest  
317 Atlantic sector of the Southern Ocean, we identified that the shapes adopted by swarms varied  
318 according to their size. We uphold our working hypothesis that the influence of geometric  
319 constraints is strong on relationships between swarm parameters such as area, perimeter, length,  
320 vertical thickness and swarm shape. Not all swarm shapes appeared possible at all scales, and  
321 whereas swarms could be smooth and vertically thick at smaller scales, larger scale swarms either  
322 exhibited relative thinning or increased numbers of indentations and filaments. We discuss further  
323 the nature of these geometric relationships and consider potential environmental and physiological  
324 factors that may influence them.

325 **Scaling of length and thickness.** We found that swarms were more likely to lengthen than to  
326 increase in vertical thickness as the scale of the swarm increases. The effect is most exaggerated in  
327 the largest swarms because thickness grows approximately as the square root of length and a two  
328 order of magnitude increase in length (e.g. from 40 m to 400 m) results in only a one order of  
329 magnitude increase in thickness (from 27 m to 240 m in the given example). From the perspective of  
330 swarm shape, therefore, an increase in swarm size is mostly observed as an increase in length and  
331 not thickness. This is also observed when deriving a scaling of the aspect ratio ( $T/L$ ) which we found  
332 to decrease as the power of swarm length. Whereas 10 m long swarms exhibit an aspect ratio of  
333 around 0.2 (1 m thickness per 5 m length), 1000 m long swarms have a ratio of 0.03 (1 m of thickness  
334 per 30 m or more of length), indicating that increases in length are not accompanied by proportional  
335 increases in thickness.

336 Aspect ratio was also considered in Antarctic krill swarms found in Crystal Sound and Marguerite  
337 Bay as well as Laubeuf fjord by [Zhou and Dorland \(2004\)](#). They found that aspect ratio in the Crystal  
338 Sound and Marguerite Bay population differed considerably from that in the Laubeuf Sound  
339 population, with the former tending towards a ratio of 0.05 and the latter, 0.01 to 0.03. This was

340 attributed to lateral stretching by the greater levels of advection in Laubeuf Sound. Furthermore,  
341 swarms in Laubeuf Sound were longer than those in Crystal Sound and Marguerite Bay, which would  
342 also contribute to the general decrease in aspect ratio, as we found in the present study.

343 The tendency for swarms to lengthen but not thicken vertically with increasing swarm size may  
344 also be influenced by individual swimming behaviour and inter-individual relationships. Antarctic krill  
345 swims through the metachronal beating of its abdominal appendages (pleopods) which, unlike fish,  
346 does not involve any undulation of the body. Comparatively, this means that they do not require as  
347 much lateral space on either side to swim without interference. Packing concentrations of krill in  
348 Scuba and laboratory observations are notably high, with minimal nearest neighbour distances of  
349 between 1.5 and 3 body lengths being reported (O'Brien 1989, Kawaguchi *et al.* 2010, Catton *et al.*  
350 2011). Collectively, krill generate considerable downdrafts (Kils 1981, Catton *et al.* 2011, Tarling and  
351 Thorpe 2017), which are necessary to overcome their negative buoyancy (Kils 1981). From an  
352 individual perspective, it is less energetically expensive for krill to join others through swimming in  
353 front of, behind or to the side of existing swarm members rather than to join from above or below.  
354 In terms of small aggregations, avoidance of any collective downdrafts below the swarm would also  
355 make joining another swarm laterally less costly energetically than from beneath. Scaled up to the  
356 level of the swarm therefore, adding to the length rather than the vertical thickness of a swarm  
357 appears to be a more energetically efficient strategy, which is consistent with our observations.

358 **Scaling of swarms in the vertical realm.** We distinguished between two measures of vertical swarm  
359 thickness,  $T_{max}$ , which represents the maximum distance between the upper and lower extremities  
360 of a swarm, and  $T_{mean}$ , which is the mean vertical thickness across the entire length of a swarm. We  
361 found that the average  $T_{max}$  monotonically increased as a power of swarm length, faster than the  
362 average  $T_{mean}$ , which stayed approximately constant at around 1m for swarms shorter than 50 m  
363 and only then began to increase. The ratio of  $T_{max}$  to  $T_{mean}$  increased for swarms shorter than 400  
364 m length and levelled off at around 5 for longer swarms. The fact that  $T_{mean}$  always remained about

366  $1/5^{\text{th}}$  of  $T_{max}$  in larger swarms indicates that external swarm shape remains relatively conservative  
367 with regards the relationship between vertical extremities and the main body of the swarm.

368 Both biological and physical factors may limit the  $T_{mean}$  and  $T_{max}$  of large swarms. Biologically, the  
369 euphotic zones reaches its maximum depth between 50 and 80 m in the Scotia Sea (Korb *et al.* 2012),  
370 such that primary production is unlikely to occur below such depths. Thus, deeper than 100 m, krill  
371 may have limited possibilities to feed on fresh phytoplankton. Nevertheless, Tarling and Thorpe  
372 (2017) demonstrated that individuals are likely to move vertically within the body of a swarm and  
373 rotate through the main phytoplankton layers as they repeatedly feed and sink. This behaviour has  
374 also been observed in mysids (Buskey 1998). The behaviour allows individuals within vertically thick  
375 swarms access to the food layers, at least for a period of time. Maximum vertical thickness may  
376 accordingly represent the distance over which individuals sink after feeding before returning to the  
377 upper layers to feed once again. This sinking behaviour may also influence the relatively fixed  
378 relationship between the  $T_{max}$  and  $T_{mean}$  in larger swarms.

379 The structure of the water column may also present vertical limits to swarm coherence. In summer,  
380 the upper mixed layer reaches a maximum depth of around 100 m in the Scotia Sea (Venables *et al.*  
381 2012), below which is colder Winter Water (Gordon *et al.* 1977). The pycnocline at the bottom of the  
382 mixed layer may be a physical barrier to further vertical expansion of a swarm. Furthermore, the  
383 strength and direction of currents in the upper mixed layer and the Winter Water may differ  
384 (Murphy *et al.* 2004), potentially presenting a shear that may challenge the ability of deeper  
385 individuals to remain with the rest of the swarm.

386 **Scaling of perimeter to area.** The perimeter of an object will always decrease relative to its area if  
387 the object increases in size while retaining the same shape. We calculated this effect for ellipses with  
388 the same dimensions and showed that if  $L \gg T_{max}$  (which is a valid assumption for most swarms),  
389 the perimeter to area ratio (surface roughness) should decrease as  $1/T_{max}$  (see Appendix B).  
390 However, for krill swarms with increasing  $T_{max}$ , we found that surface roughness in krill swarms

391 decreases less steeply and can be approximated as the inverse square root of  $T_{max}$ . This means that  
392 the perimeter of krill swarms grow with swarm length faster than compared to the perimeter of an  
393 ellipse. To highlight this effect, we introduced the relative roughness parameter,  $\rho$ , as the ratio of  
394 swarm roughness to ellipse roughness. This value increases approximately as the square root of the  
395 swarm maximal thickness and has only a weak positive dependence on swarm length. This measure  
396 of swarm roughness is more consistent with an intuitive expectation of what should happen when  
397 two swarms merge. For instance, joining two similar swarms in the same horizontal layer may  
398 double the swarm length but should have only a weak effect on the swarm thickness and perimeter  
399 structure. This is reflected in the weak dependence of the relative roughness on swarm length. By  
400 contrast, a vertical merging of two swarms can double the swarm thickness and lead to a more  
401 complex and rougher perimeter structure with a larger number of cavities and filaments. We  
402 observe an increase of the relative swarm roughness with increasing thickness.

403 In their analysis of the three dimensional structure of Antarctic krill swarms using a multibeam sonar  
404 in inshore locations, [Brierley and Cox \(2010\)](#) found that swarm size and packing density varied  
405 greatly but surface area:volume (3D surface roughness) ratios were distributed around a fixed value  
406 of  $3.3 \text{ m}^{-1}$ . This is not entirely consistent with our own observations of surface roughness, albeit with  
407 our 2 dimensional rather than 3-dimensional perspective, since we found that surface roughness  
408 decreases with increasing swarm size. Nevertheless, we agree with the findings of [Brierley and Cox](#)  
409 [\(2010\)](#) in two regards, firstly in the mean perimeter:area ratio being approximately the same as the  
410 surface area:volume ratio ( $3.2 \text{ m}^{-1}$  versus  $3.3 \text{ m}^{-1}$  respectively) and, secondly, that with increasing  
411 swarm size, surface area (or perimeter in the present case) decreased less in relation to area than it  
412 would for an equivalent isometrically scaled object. The three-dimensional visualisations provided by  
413 [Brierley and Cox \(2010\)](#) provides an unrivalled insight into swarm structure although their krill  
414 surveys were more spatially limited and likely encountered a comparatively smaller spectrum of  
415 swarm types than those considered in the present study. In the future, it would be instructive to  
416 carry out intercomparisons of two- and three dimensional visualisations from data collected

417 simultaneously to consider how respective geometric relationships (e.g. surface area to volume  
418 versus perimeter to area) relate to each other. The wider use of lower-frequency sonar would be  
419 particularly useful in this regard (Makris *et al.* 2006).

420 **Further influences on geometric relationships.** Variance around geometric relationships likely  
421 results from both internal and external factors. Internally, packing concentrations will influence the  
422 external smoothness of swarms. Tighter packing will be generated from the polarisation of  
423 individuals, which potentially is a response to predator avoidance or a product of directional  
424 horizontal migrations (Hamner & Hamner 2000). Predator avoidance behaviour may be particularly  
425 acute in shelf regions close to higher predator colonies which may be responsible for the  
426 considerably different swarming patterns observed in such regions (Klevjer *et al.* 2010). Looser  
427 packing is generated from more individualistic behaviour, as would result from filter feeding when  
428 encountering a patch of phytoplankton (Kawaguchi *et al.* 2010). Externally, day-night differences are  
429 likely to be a major source of variance. Tarling *et al.* (2018) found that levels of dissimilarity between  
430 certain swarm parameters altered according time of day with, for instance, area and perimeter  
431 exhibiting a significant linkage in similarity during the daytime, but no linkage during dusk and  
432 nighttime. The authors concluded that swarm parameters were more variable than depth over the  
433 diel cycle. Distinguishing the individual contributions of these factors to variance in geometric  
434 relationships is nevertheless far from straightforward given that there will also be inter-relationships  
435 between the factors themselves e.g. individualistic behaviour from feeding is more likely to occur  
436 during dusk and nighttime.

437 **Swarm categorisations.** Based on the relationship between perimeter and area, we classified krill  
438 swarms into four shape types, which we termed *Ellipsoidal*, *Filamentous*, *Needle-Like* and *Indented*.  
439 Certain features of the different swarm types suggest the influence of different behaviours. For  
440 instance, swarm types with lower than average perimeters (*Ellipsoidal* and *Needle-like*) are most  
441 compact and retain a relatively smooth periphery, which may reflect that individuals within these

442 swarms are tightly aggregated. At a behavioural level, this could be generated through krill being in a  
443 phase of active horizontal migration, where nearest neighbour distances are reduced and relative  
444 positions become polarised in order to gain maximal hydrodynamic benefit (Wiese 1996, Catton *et*  
445 *al.* 2011). Reduction of the perimeter may also be a response to predators such that the largest  
446 possible proportion of individuals occupy internal sheltered positions away from the edge (Brierley  
447 and Cox 2010).

448 *Indented* swarms have above average perimeter but below average area and are more amorphous in  
449 shape. The shape likely reflects that packing concentrations are not uniformly high, resulting in large  
450 indents in the external shape of swarms and possibly even vacuoles within the body of the swarm.  
451 Such features have also been identified in fish schools (Rieucau *et al.* 2015, Freon *et al.* 1992), taken  
452 to indicate that individuals were exhibiting more individualistic or exploratory behaviour in low  
453 stress situations with greater inter-individual distances and lower polarisation. This would be  
454 consistent with krill swarms that are exploiting patches of food, particularly in terms of using their  
455 feeding baskets to filter food particles where greater inter-individual distances would be required  
456 (Hamner 1984, O'Brien 1988).

457 *Filamentous* swarms may also be a product of more individualistic behaviour although their above  
458 average areas indicate that a larger proportion of individuals are some distance away from the  
459 swarm nucleus. This suggest some heterogeneity in the tendencies of individuals with only local  
460 groups reaching out from the swarm edge to form filaments (Parrish and Edelstein-Keshet 1999).  
461 These local groups may have different physiological states to the larger majority, necessitating  
462 greater levels of feeding. For instance, in Northern krill, Tarling (2003) found that females were  
463 prepared to take greater levels of risk compared to males and sub-adults to meet a greater energetic  
464 demand. Individuals about to moult or mate may also displace themselves from the body of the  
465 swarm to avoid cannibalism of themselves or their offspring, respectively (Tarling *et al.* 1999). The

466 filaments may otherwise reflect a stage prior to the break-up of a swarm, akin to the “stretch and  
467 tear” phases of fish schools described by Freon *et al.* (1992).

468 Besides different behavioural constraints, swarm shape type is also constrained by swarm  
469 size. Thin swarms are mostly either *Ellipsoidal* (when short) or *Needle-like* (when long). By contrast,  
470 most thick swarms are characterised by a complex perimeter and are either *Filamentous* (when  
471 short) or *Indented* (when long). This relationship occurs because the relative swarm area and  
472 perimeter strongly depend on swarm thickness and length. An increase of swarm thickness increases  
473 mainly the relative perimeter  $\varepsilon_P$  but not relative area  $\varepsilon_A$ , which can be associated with an increased  
474 amount of filaments and indentations at the swarm edge. By contrast, increasing swarm length  
475 reduces swarm relative area but not perimeter. In particular, the area of short swarms,  
476 independently of their thickness, is close to the area of an ellipse with the same dimensions but the  
477 area of long swarms tends to be nearly one order of magnitude smaller than the area of an ellipse.  
478 Thus, indentation and relative thinning appear to be a necessary feature for long swarms to remain  
479 coherent.

480 **Influence of swarm shape and size on ecosystem function.** Knowledge of the spectrum of shapes  
481 and sizes of swarms have a utility to considering how ecosystems are structured. For Southern  
482 Ocean ecosystems in particular, interactions between higher predators and their krill prey has a  
483 dominating influence on how the system functions (Murphy *et al.* 2007). Different predators have  
484 widely varying methods of foraging. The ways in which they exploit food patches are an important  
485 aspect of their life-cycle, shaping their response to varying levels of prey availability (Croxall *et al.*  
486 1999). Here we illustrate that horizontally extensive krill swarms are unlikely to be also vertically  
487 extensive. This may therefore differentially favour aerial foragers who have the ability to locate rare  
488 but large patches and exploit them from surface layers (Grünbaum and Veit 2003). Diving predators,  
489 by contrast, may benefit more from exploiting smaller swarms, which are more likely to be thicker  
490 relative to their length and more exclusively available to predators that can forage deeper for their

491 prey (Croxall *et al.* 1985). Our parameterisations can be used to recreate prey fields in models to test  
492 these hypotheses (e.g. Cresswell *et al.* 2007).

493 Antarctic krill are also increasingly recognised as a major conduit for carbon export to the ocean  
494 interior through large scale deposition of sinking faecal pellets (Belcher *et al.* 2017). Not all swarms  
495 may be equally as efficient in this process, and swarm shape may be a major determinant of export  
496 efficiency. Vertically extensive swarms are likely to release faecal pellets towards the bottom of the  
497 mixed layer (Tarling and Thorpe 2017), while pellets released by long and thin swarms may have  
498 further to travel before reaching the ocean interior, increasing their chance of interception and  
499 remineralisation by coprophagous species. We provide a means of accounting for the types and  
500 characteristics of different swarm shapes that may assist in the determination of carbon export  
501 efficiency by krill.

502 **Concluding remarks.** We identified rules that govern the comparative external dimensions of open  
503 ocean krill swarms. We identify clear geometric relationships between swarm parameters and  
504 uphold the hypothesis that swarm shape is bound by geometric functions across scales. These rules  
505 are likely to reflect a combination of physical and biological influences that are external to the  
506 swarm and behavioural interactions between individuals within the swarm itself. As swarms increase  
507 in size, they tend to lengthen horizontally far more than thicken vertically and this likely reflects both  
508 biological and physical constraints placed on swarm dimensions. We also identified characteristic  
509 relationships between the perimeter and area of swarms, variance in which may reflect different  
510 stages in the time course of swarms, particularly phases of feeding and horizontal migration. Certain  
511 shapes may also indicate heterogeneity between swarm members with regards physiological state.  
512 Although the shapes of krill swarms are highly variable, we demonstrate that there is some  
513 predictability in their dimensions. These findings will have utility both to considering the size and  
514 shape of food patches for krill predators and in accounting for the biogeochemical role of different  
515 swarms types.



516

517 

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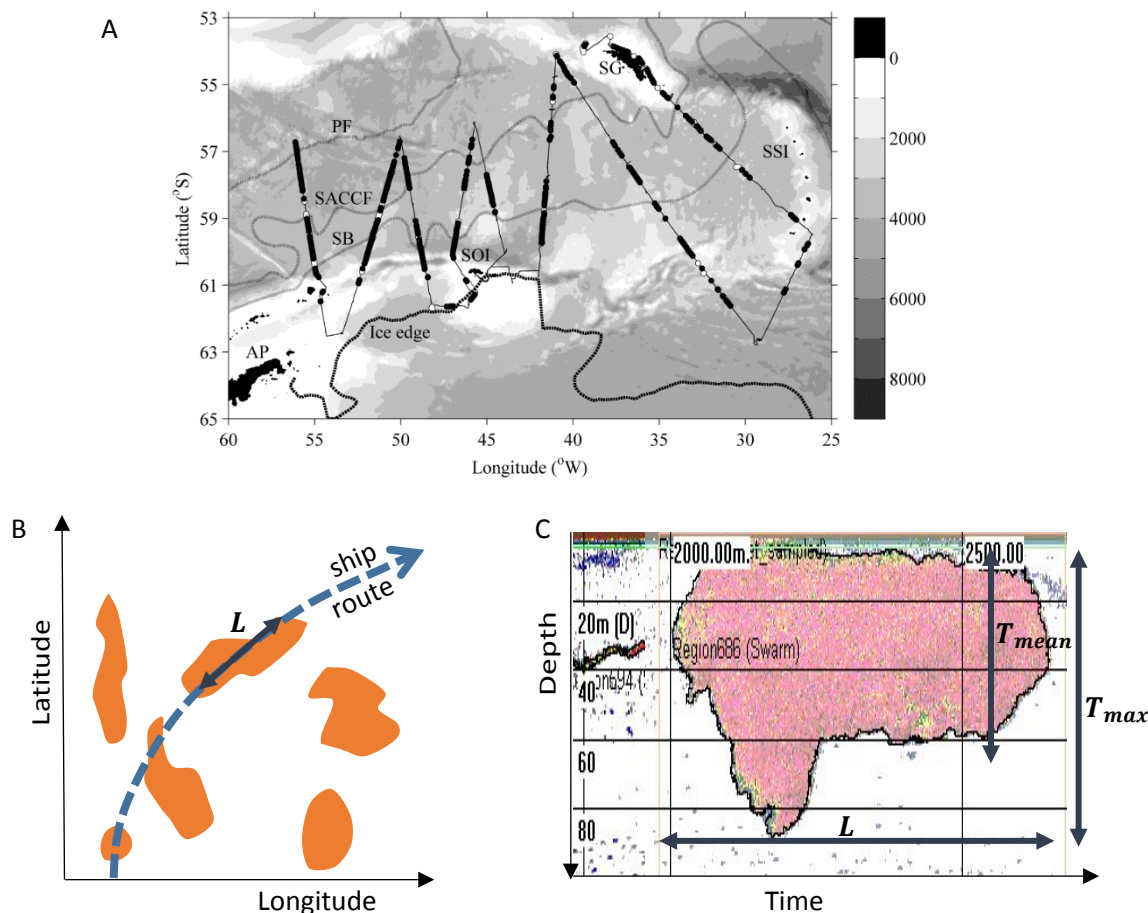
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678 **Figures**

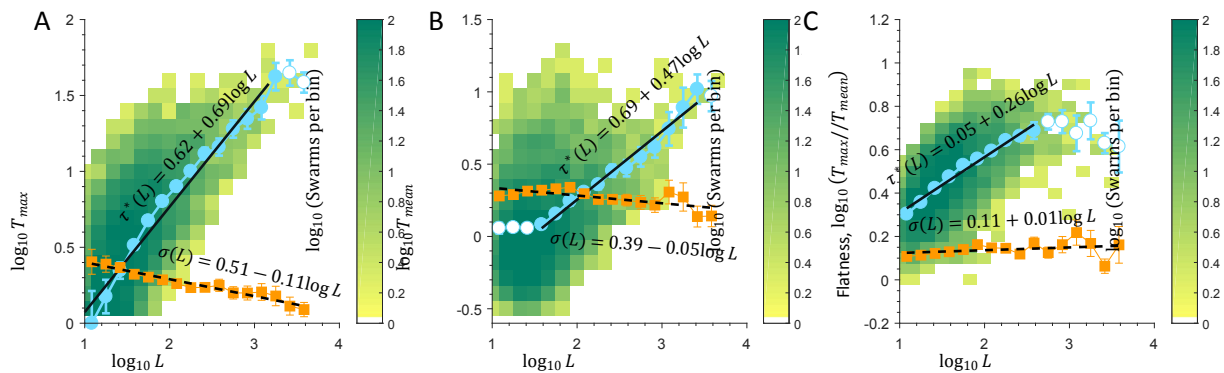


679

680 **Fig. 1: Swarms of Antarctic krill. (A)** The ship route in the Atlantic sector of the Southern Ocean  
 681 during the survey in 2003 highlighting in black circles the location of intercepted krill swarms. **(B)** A  
 682 schematic representation of a ship route with respect to krill swarms (view from above). The ship  
 683 route can intersect krill swarms at various angles. **(C)** Sampled echogram of a krill swarm obtained  
 684 along the ship route (side view). The measured parameters: swarm length  $L$  is the maximal linear  
 685 extent of the swarm along the ship route; maximal thickness  $T_{max}$  is the distance between the  
 686 uppermost and downmost parts of the swarm; area  $A$  is the filled area within the swarm; perimeter  
 687  $P$  is the total linear distance around the swarm edge. Additionally, we calculate the mean thickness  
 688 as  $T_{mean} = A/L$ .

689





690

691 **Fig. 2: Length and thickness distribution of krill swarms in the south-west Atlantic sector.**

692 Bivariate swarm distribution (colour coded) of logarithms of swarm length (horizontal axis) vs. **(A)**

693 maximal thickness,  $T_{max}$ , **(B)** mean thickness,  $T_{mean}$ , and **(C)** flatness,  $T_{max}/T_{mean}$  (vertical axes).

694 The grid is logarithmically binned with step  $1/6$  for  $\log_{10} L$  and  $0.1$  for vertical axes. Within each

695 length class (vertical grid columns), the distribution closely follows a normal distribution truncated at

696 the detection limit,  $T_{min} = 1.27$  m (see Fig. S1 for some examples). The maximal likelihood estimates

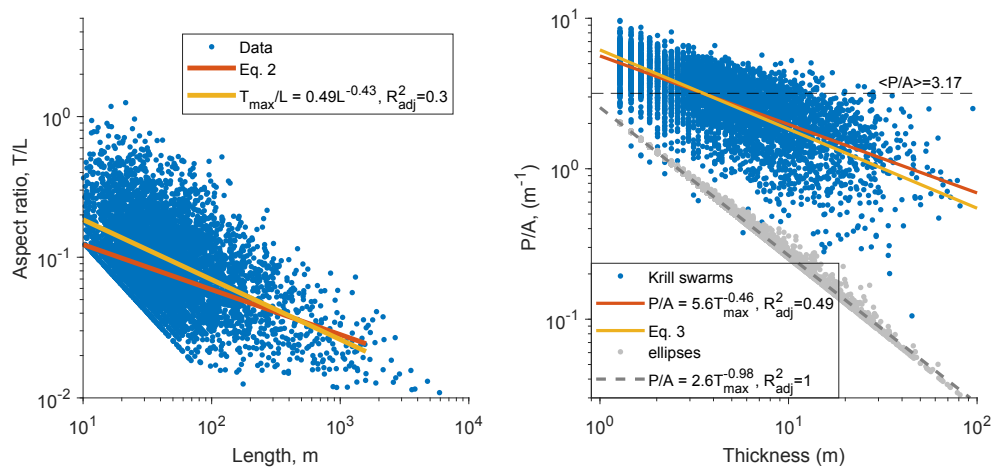
697 for the parameters of the distribution: most likely logarithmic thickness  $\tau^*(L)$  (open and closed

698 blue circles) and variance  $\sigma(L)$  (orange circles), the error bars show standard error. The linear

699 regression (excluding outlier points marked as blue open circles) of the mean values (black solid line)

700 and the variance (black dashed line). See Table 1 for the regression parameters.

701



703

704 **Fig. 3: Scaling of swarm aspect ratio and surface roughness. (A)** Aspect ratio (Thickness/Length)

705 of krill swarms as a function of length (blue dots), linear regression of data (yellow line) in

706 comparison with an approximation provided by Eq. 2 (red line). **(B)** Surface roughness

707 (Perimeter/Area) of krill swarms as a function of swarm thickness (blue dots) compared to the

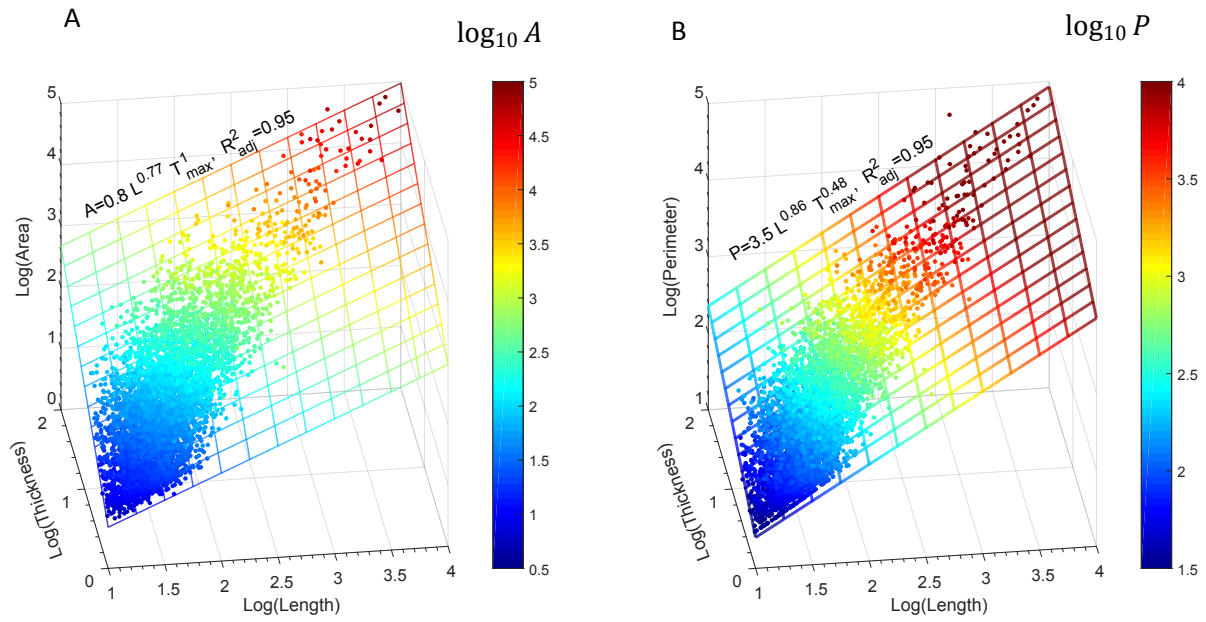
708 surface roughness of ellipses with the same linear dimensions (grey dots) with the average level of

709  $3.17 m^{-1}$  (black dashed line). Linear regression of surface roughness of krill swarms (red line) in

710 comparison with an approximation provided by Eq. 3 (yellow line). Linear regression of the surface

711 roughness of ellipses (grey dashed line).

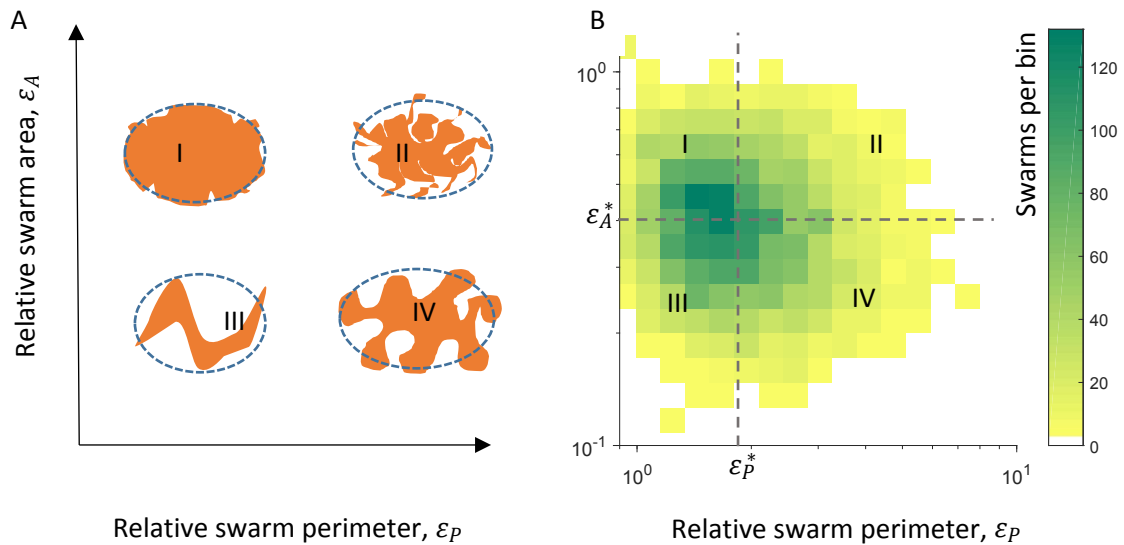
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713

714 **Fig. 4: Scaling rules for swarm area (A) and perimeter (B).** Swarm area and perimeters (dots)  
 715 fitted with planes (grid lines) in log-log space. The colour coding of the grid lines on the planes and  
 716 data points matches the vertical coordinates ( $\log_{10} A$  and  $\log_{10} P$ ) to highlight the closeness of data  
 717 points and fitted planes. Both fittings explain 95% of variance in the data.

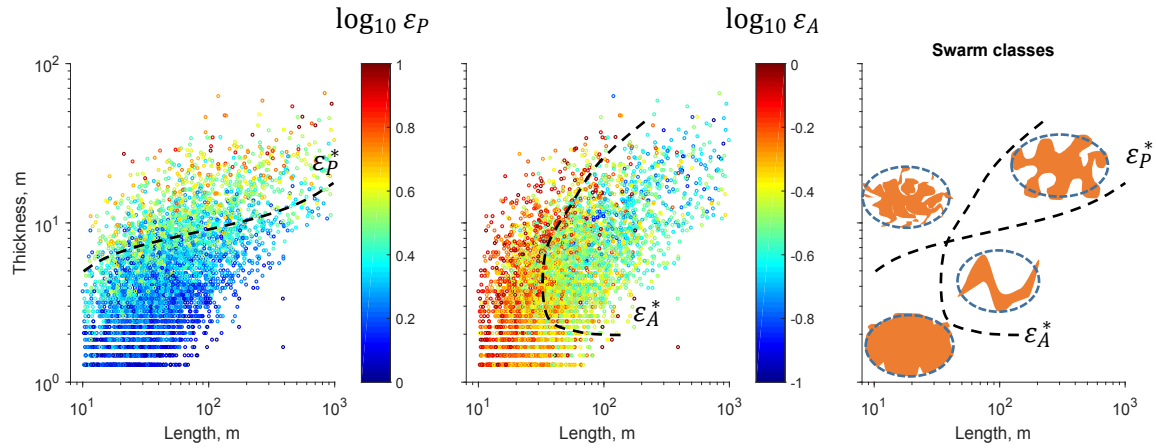
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719

720 **Fig. 5: Classification of krill swarms. (A)** A schematic representation of different classes of  
 721 swarm shapes. The shape of swarms (orange) is compared to an ellipse (blue dashed line) with the  
 722 same linear dimensions. As coordinates, we use the relative swarm area,  $\epsilon_A$ , and relative perimeter,  
 723  $\epsilon_P$ , with respect to the area and perimeter of the corresponding ellipse. We introduce the following  
 724 classes (I) *Ellipsoidal* swarms: perimeter and area are close to the perimeter and area of an ellipse.  
 725 (II) *Filamentous* swarms: the area approximately equals to the ellipse area, but the perimeter is  
 726 larger. (III) *Needle-like* swarms: The perimeter is approximately equal to the ellipse perimeter, but  
 727 the area is smaller than the ellipse area. (IV) *Indented* swarms. The area is smaller than the area of  
 728 an ellipse, but the perimeter is greater than the ellipse perimeter. **(B)** The bivariate plot of swarm  
 729 distribution as a function of relative swarm area,  $\epsilon_A$ , and relative perimeter,  $\epsilon_P$ . The number of  
 730 swarms as a function of the relative area and perimeter (logarithmically binned, colour coded). The  
 731 border between different classes (dashed lines).

732



733

734 Fig. 6: **Swarm classification as a function of length and thickness. (A)** Swarm relative perimeter  
 735  $\varepsilon_P$  (colour coded) as a function of swarm length and maximal thickness. **(B)** The same but for swarm  
 736 relative area  $\varepsilon_A$ . **(C)** Location of the swarm classes in  $(L, T_{max})$  coordinates. Threshold levels  $\varepsilon_P^*$  and  
 737  $\varepsilon_A^*$  (black dashed lines).

738 **Tables**

739 Table 1. Linear regression parameters for  $\tau^* = b + k\log_{10} L$  and  $\sigma(L) = b + k\log_{10} L$  for Fig.

740 2.

	$b \pm$ Stand err	$k \pm$ Stand err	$R_{adj}^2$
Fig. 2A			
$\tau_{max}^*(L)$	-0.62±0.07	0.69±0.03	0.98
$\sigma(L)$	0.51±0.02	-0.112±0.007	0.95
Fig. 2B			
$\tau_{mean}^*(L)$	-0.69±0.07	0.47±0.03	0.97
$\sigma(L)$	0.39±0.03	-0.05±0.01	0.47
Fig. 2C			
$\tau^*(L)$	0.05±0.02	0.26±0.01	0.98
$\sigma(L)$	0.11±0.03	0.01±0.01	0.02

741

## 1 Appendix A. Truncated Gaussian distribution

2 Typically fitting a Gaussian distribution requires finding the mean and variance of the data. This  
 3 approach gives a correct result only if the data distribution is symmetrical and the mean value  
 4 coincides with the most likely value where the Gaussian distribution reaches a maximum. If the data  
 5 is truncated (as for instance in Fig. S1A), then this algorithm does not work, as the mean value will lie  
 6 in the middle of the histogram and will be greater than the most likely value. To determine the  
 7 parameters correctly in this case we need to fit a truncated Gaussian distribution defined only on the  
 8 range where the data is available. This function can be defined as

$$9 \quad P_L(\tau) = \frac{\theta(\tau - \tau_{min})}{\text{erf}(-\tau_{min} - \tau^*, \sigma)} e^{-\frac{(\tau - \tau^*(L))^2}{2\sigma^2(L)}} \quad (\text{eqS1})$$

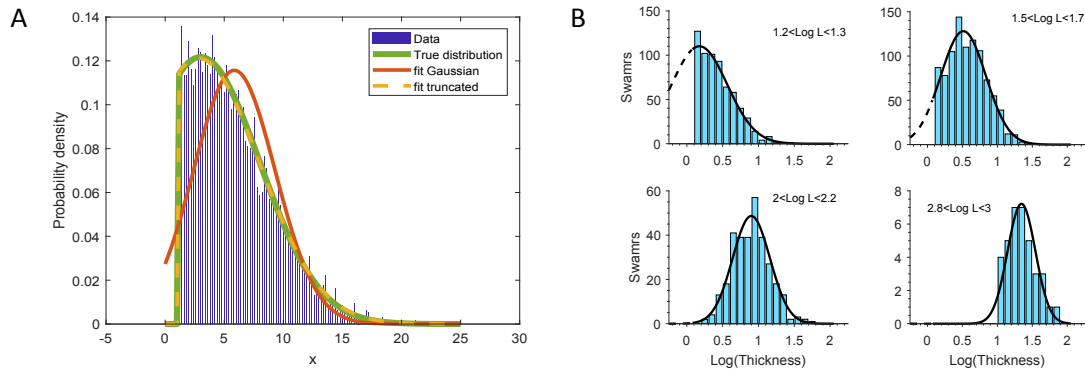
10 where  $\theta(\tau - \tau_{min}) = \begin{cases} 0, & \tau \leq \tau_{min} \\ 1, & \tau > \tau_{min} \end{cases}$  is the Heaviside function and the normalizing factor is given by the  
 11 normal cumulative distribution function

$$12 \quad \text{erf}(-\tau_{min} - \tau^*, \sigma) = \frac{1}{\sigma\sqrt{2\pi}} \int_{\tau_{min}}^{\infty} e^{-\frac{(\tau - \tau^*)^2}{2\sigma^2}}$$

13 To fit this distribution we used the function `fitdist_ntrunc`

14 ([https://mathworks.com/matlabcentral/fileexchange/64040-fitting-a-truncated-normal-gaussian-](https://mathworks.com/matlabcentral/fileexchange/64040-fitting-a-truncated-normal-gaussian-distribution)  
 15 [distribution](https://mathworks.com/matlabcentral/fileexchange/64040-fitting-a-truncated-normal-gaussian-distribution)).

16



17

18 **Fig. S1: Fitting truncated density distribution. (A)** Comparison of the probability density functions  
 19 fitted to normally distributed random values truncated at  $x = 1$ . Histogram of data points (blue  
 20 bars) drawn from a truncated Gaussian distribution (green line). Fitting a simple Gaussian  
 21 distribution to the histogram results in a function shifted to the right (red line) because the mean  
 22 value of the truncated distribution does not coincide with the peak of the distribution. By contrast,  
 23 fitting a truncated Gaussian distribution (yellow dashed line) provides a correct result that matches  
 24 the initial distribution function. **(B)** Sample histograms of logarithm of maximal thickness for  
 25 different length classes fitted with a truncated normal distribution (solid line in the range where data  
 26 is available and dashed in the truncated range).



## 27 Appendix B. Surface roughness of an ellipse

28 The area of an ellipse with the semi-axes  $a = L/2$  and  $b = T_{max}/2$  equals

29 
$$A_{ell} = \pi L T_{max} / 4$$

30 The ellipse perimeter can be approximately calculated using Ramanujan formula

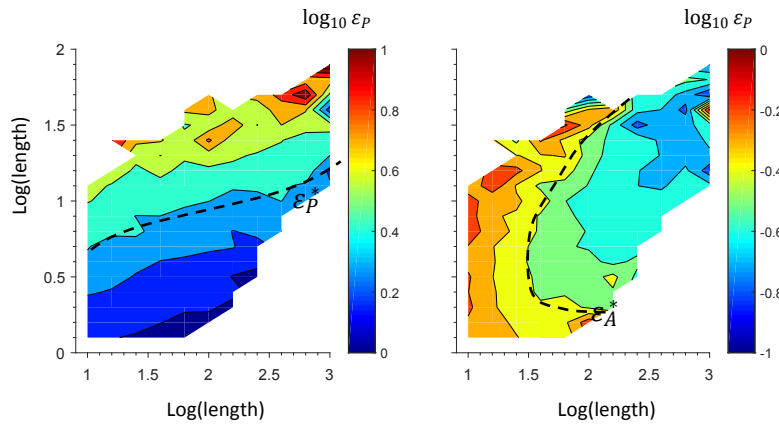
31 
$$P_{ell} = \pi \left( \frac{3L}{2} + \frac{3T_{max}}{2} - \sqrt{\left( \frac{3L}{2} + \frac{T_{max}}{2} \right) \left( \frac{L}{2} + \frac{3T_{max}}{2} \right)} \right)$$

32 Calculating their ratio under the assumption that  $L \gg T_{max}$  (which happens when  $L$  is large) we find

33 
$$R_{ell} = \frac{P_{ell}}{A_{ell}} = \frac{2(3 - \sqrt{3})}{T_{max}} = \frac{2.54}{T_{max}}$$

34 The obtained analytical formula is very close the linear regression result  $R_{ell} = \frac{10^{0.41}}{T_{max}^{0.98}} = \frac{2.57}{T_{max}^{0.98}}$  (Fig. 3B,

35 grey dashed line).



36

37 Fig. S2: Average level of the shape factors  $\varepsilon_P$  and  $\varepsilon_A$  as a function of swarm length and thickness

38 (colour coded). The isolines where the average values equal the median values  $\varepsilon_P^*$  and  $\varepsilon_A^*$  (black

39 dashed lines).

40

