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1 **Phosphorus footprint in China over the 1961-2050 period:**

2 **Historical perspective and future prospect**

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11 **Abstract**

12 The phosphorus footprint (PF) is a novel concept to analyze human burdens on
13 phosphorus resources. However, research on PF approach is still limited, and current
14 several PF studies include incomplete phosphorus sources and have limited quantitative
15 interpretation about the drivers of PF changes, which can help understand future trends
16 of PF. This study develops a more comprehensive PF model by considering crop,
17 livestock and aquatic food, and non-food goods, which covers the mainly phosphorus
18 containing products consumed by human. The model is applied to quantify China's PF
19 from 1961 to 2014, and the results of the model are also used to analyze the factors
20 driving the PF changes and explored China's PF scenarios for 2050 using an
21 econometric analysis model (STIRPAT). The result shows that China's PF increased
22 over 11-fold, from 0.9 to 10.6 Tg between 1961 and 2014. The PF of livestock food
23 dominated China's PF, accounting for 57% of the total in 1961 and 45% in 2014. The
24 key factors driving the increase in China's PF are the increase in population and
25 urbanization rate, with contributions of 38% and 33%, respectively. We showed that in
26 the baseline scenario, China's PF would increase by 70% during 2014-2050 and cause
27 the depletion of China's phosphate reserves in 2045. However, in the best case scenario,
28 China's PF would decrease by 15% in 2050 compared with that in 2014, and it would
29 have 50% of current phosphate reserve remaining by 2050. Several mitigation measures
30 are then proposed by considering China's realities from both production and
31 consumption perspective, which can provide valuable policy insights to other rapid
32 developing countries to mitigate the P footprint.

33 Key words: phosphorus footprint; scenario analysis; driving factors; phosphorus
34 demand; China

35 **1 Introduction**

36 Phosphorus (P) plays an increasingly important role in sustaining food production for
37 an expanding population [Simons *et al.*, 2014]. Since World War II, global extraction
38 of phosphate rock increased 15-fold, reaching 223 million tons in 2015 [*The United*
39 *States Geological Survey (USGS)*, 2016]. As global food demand is projected to almost
40 double by 2050 compared with than of 2005 [Tilman *et al.*, 2011], demand for non-
41 renewable phosphate rock will inevitably increase as modern agriculture is more
42 dependent on the availability of chemical P fertilizer [Chen and Graedel, 2016].
43 Therefore, long-term availability of affordable P resources attracts a global concern
44 [van den Berg *et al.*, 2016]. China, regarding P resource availability is of particular
45 interest, because of its important role in the global P resource supply-demand network.
46 In 2015, China mined 49% of global phosphate rock extraction, produced 37% of global
47 chemical P fertilizer and consumed 33% of these fertilizer [USGS, 2016; *Food and*
48 *Agriculture Organization of the United Nations (FAO)*, 2017], because China needs to
49 feed 19% of global population using 7% of the world's arable land [FAO, 2017; *World*
50 *Bank*, 2017]. However, China only accounts for less than 6% of global phosphate rock
51 reserves [USGS, 2016], thus it is facing major P resource pressures. Moreover, large
52 anthropogenic P inputs have caused widespread eutrophication of waterbodies in China
53 [Liu *et al.*, 2016], which impairs water quality and damages aquatic ecosystem [Chau
54 and Jiang, 2002; Wang *et al.*, 2014]. Accordingly, there is a great urgency to assess the
55 burdens on P resource, especially in China.

56 Footprint analyzes is an effective way to quantitatively describe how human activities
57 impose various burdens on environment and resources [Čuček *et al.*, 2012]. In the
58 “family” of footprint tools, ecological footprint, carbon footprint and water footprint
59 are among the most established [Wiedmann and Minx, 2008; Hoekstra, 2009; Galli *et*

60 *al.*, 2012]. These footprint tools have been widely applied to assess sustainability issues,
61 like climate change, water resources and environmental carrying capacity and have
62 garnered a lot of attention [*Tom et al.*, 2016; *Venter et al.*, 2016]. The nitrogen (N)
63 footprint is a more recent extension of footprint concept to measure anthropogenic N
64 losses [*Leach et al.*, 2012]. Gu et al., (2013) adapted the N footprint tool based on the
65 mass balance approach, and applied it to assess China's N footprint of production and
66 consumption of food, energy and industrial products [*Gu et al.*, 2013]. Cui et al., (2016)
67 combined material flow analysis with input-output analysis approach to assess China's
68 N footprint, with a focus on the effects from international trade [*Cui et al.*, 2016]. Oita
69 et al. used a more complicated method, by combining a global emissions database,
70 nitrogen cycle model, and input–output database to assess the effects of international
71 trade on N footprint of 188 countries [*Oita et al.*, 2016].

72 While many publications focus on the N footprint, the P footprint (PF) has still been
73 received little concern. Wang et al. first used the PF concept to measure P demand of
74 China's food chain based on substance flow analysis approach [*Wang et al.*, 2011].
75 Grönman et al. developed a framework to calculate the PF for individual crops from a
76 life cycle perspective [*Grönman et al.*, 2016]. However, existing studies consider the
77 PF of partial human activity like food subsystem, and thus cannot help understand the
78 PF of human activities from a social-economic system perspective. Furthermore, these
79 studies have limited quantitative interpretation about the drivers of PF changes, which
80 can help understand future trends of PF.

81 In this study, we developed a more comprehensive PF model that considers mainly P
82 containing products including crop food, livestock food and aquatic food, and non-food
83 goods. Then, the PF for China from 1961-2014 was quantified based on the developed
84 model to measure holistic P demand in China. To facilitate the analysis of the PF result,

85 we also extended the Stochastic Impacts by Regression on Population, affluence, and
86 Technology (STIRPAT) model to quantitatively evaluate the factors driving the changes
87 in China's PF and examined future scenarios of China's PF by 2050. The contribution
88 of this study is providing a more comprehensive PF model to measure the phosphorus
89 demand of an entire economy, which can help to understand human burden on P
90 resources of other countries worldwide. The case study in China can provide valuable
91 policy insights to other rapid developing countries to reduce the P footprint.

92 **2 Materials and methods**

93 **2.1 Phosphorus footprint method**

94 The PF in this study is defined as the total P demand as a result of population's
95 consumption, including direct P contained in the products consumed by populations
96 and virtual P of the consumed products (P demand in the production stage). The main
97 types of products considered are crop food, livestock food, aquatic food and non-food
98 goods (Figure 1a). Analogous to others footprint methods [Ewing *et al.*, 2010], the PF
99 is calculated by the following equation:

$$100 \quad PF = PF_p + PF_I - PF_E \quad (1)$$

101 where PF is the holistic PF; PF_p is the PF of the 4 types of products, calculated by Eq.
102 2; PF_I and PF_E are the PF in imported and exported products. The PF can be expressed
103 in total units of P, or in unit of P per capita for the ease of comparison.

$$104 \quad PF_p = PF_c + PF_l + PF_a + PF_g \quad (2)$$

105 where PF_c , PF_l , PF_a and PF_g represent PF of crop food, livestock food, aquatic food
106 and non-food goods, respectively.

107 **Figure 1 Schematic of the PF model. (a) Framework of the PF model. (b) Calculation principle**

108 **of the PF model.**

109 The PF of the product type i (c, l, a and g) in a certain year is calculated at the sector
110 level based on the mass balance principle (Figure 1b). As it has been shown in Figure
111 1a, the products of a certain sector i consist of two parts: (1) one is transferred to
112 downstream sector; (2) the other is consumed by population. In this study, the PF of the
113 product type i refers to the part consumed by population, which can be expressed by
114 Eq. 3:

$$115 \quad I_i = O_i + PF_{i_d} + PF_{i_v} \quad (3)$$

116 where I_i is the P inflows to sector i , but excluding the recycled P from wastes, like
117 manure, straws and sludge; O_i is the PF associated with the part transferred to
118 downstream sector; PF_{i_d} is the direct PF of product type i that is consumed by
119 population; PF_{i_v} is the virtual PF (or P loss) in the process of production of product
120 type i , which is calculated by Eq. 4.

$$121 \quad PF_{i_v} = (I - PF_{i_t}) \times \frac{PF_{i_d}}{PF_{i_t}} = \frac{PF_{i_d}}{\varepsilon_i} - PF_{i_d} \quad (4)$$

122 where PF_{i_t} is the P contained in the total products of sector i ; ε_i is the averaged P use
123 efficiency of sector i , calculated by Eq. 5.

$$124 \quad \varepsilon_i = \frac{PF_{i_t}}{I_i} \quad (5)$$

125 The PF_I and PF_E are also calculated for each product type i using Eq. 6.

$$126 \quad PF_{i_I/E} = \frac{PF_{id_I/E}}{\varepsilon_{i_I/E}} \quad (6)$$

127 where $PF_{i_I/E}$ is the PF of imported/exported product type i ; $PF_{id_I/E}$ is the direct P

128 contained in imported/exported product type i ; ε_{i_E} equals to ε_i ; ε_{i_I} is the P use
129 efficiency of the product type i of the country produced it.

130 Based on the developed model above, the PF of the four types of products were
131 calculated for China. The details can be found in Supporting Information (SI).

132 **Indicators of phosphorus footprint and comparisons.** Following the study by Gu et
133 al. (2013), we calculated several indicators as the feature PF results:

134 ● P use efficiency of crop farming (PUE_c), defined as the ratio of direct PF in crop
135 products to inflow of crop farming sector (see Text SI, S2).

136 ● P use efficiency of livestock breeding (PUE_a), defined as the ratio of direct PF of
137 livestock food to the inflow of livestock breeding sector (see Text SI, S3).

138 ● Dietary choice, defined as the ratio of direct PF of animal food ($P_{l_d} + P_{a_d}$) to direct
139 total PF of food ($PF_{c_d} + PF_{l_d} + PF_{a_d}$) (see Text SI, S2-S3).

140 ● Dependence on mineral P, defined as ratio of PF from mineral P to the total PF.

141 **2.2 STIRPAT model**

142 The driving factors can be analyzed by Logarithmic Mean Divisia Index (LMDI)
143 decomposition method and the Impact-Population-Affluence-Technology (IPAT)
144 model [Ehrlich and Holdren, 1971]. LMDI method is usually used to analyze the
145 factors driving the carbon emissions, while the IPAT model can be used to quantitatively
146 evaluate the driving factors of various environmental pressure [Li et al., 2018]. Thus,
147 IPAT model is more suitable in this study regarding to assess driving factors of P
148 demand. However, the IPAT model is unable to deal with non-proportional effects. To
149 overcome the weakness, Dietz and Rosa (1994) developed the STIRPAT model by
150 introducing randomness based on the IPAT model [Dietz and Rosa, 1994]. The

151 STIRPAT model is more flexible as it enables users to add adequate variables, thus it
152 has been successfully used to examine the impact of anthropogenic factors on various
153 material consumption and pollution emission [Longo and York, 2008; Cui et al., 2013;
154 Wang et al., 2013]. Accordingly, this study used the STIRPAT model and extended it to
155 analyze factors driving China's PF.

156 The STIRPAT model can be expressed as:

$$157 \quad I = aP^b A^c T^d e \quad (1)$$

158 where a is the constant; b , c and d are the exponents of P , A and T , respectively; e
159 represents the error term. However, the standard STIRPAT model is a nonlinear
160 multivariate equation, thus it is difficult to calculate the coefficients of a , b , c , d , and e .
161 In the typical application, all the variables in Eq. (1) are often converted to logarithmic
162 form to facilitate the calculation:

$$163 \quad \ln I = \ln a + b \ln P + c \ln A + d \ln T + \ln e \quad (2)$$

164 Following Jiang et al. (2018), we expanded the STIRPAT model by including factors of
165 urbanization rate, dietary choice, technology level of crop farming and animal breeding,
166 dependence on mineral P, resulting in following extended STIRPAT model:

$$167 \quad \ln I = \ln a + b \ln P + c \ln A_u + d \ln A_d + f \ln T_c + g \ln T_a + h \ln D + \ln e \quad (3)$$

168 where P is population; A_u and A_d are urbanization rate and diet choices, which are
169 proxies of affluence; T_c and T_a are PUE_c and PUE_a, which are proxies of technology
170 level; D is the dependence on mineral P.

171 In a multiple regression model, multicollinearity refers to a phenomenon in which one
172 predictor variable can be linearly predicted from the others, causing an irregularly
173 change of the regression coefficients in response to a slight change in variables. The
174 problems can generate an invalid regression result and thus obtain a misleading

175 conclusion. To examine the multicollinearity of the predictor variables, the ordinary
176 least squares (OLS) regression is used to obtain their variance inflation factors (VIFs)
177 [Wang *et al.*, 2013]. Generally, if a VIF exceeds 10, there exists an obvious
178 multicollinearity of the corresponding variable [Marquardt, 1970]. In such situation,
179 ridge regression is usually used to overcome the risk of multicollinearity [Hoerl and
180 Kennard, 1970], which uses a variable coefficient (λ) to improve the stability of
181 regression coefficient estimations (Eq. 4) [Wang *et al.* 2013].

$$182 \quad y = X \beta \rightarrow \beta(\lambda) = (X^T X + \lambda I)^{-1} X^T y \quad (4)$$

183 Here, OLS regression and ridge regression are performed in R using “ridge” package.

184 **2.3 Scenario description**

185 The projection of the PF is based on the Eq. 3, which involves with six independent
186 variables, including population, urbanization rate, diet choices, PUE_c, PUE_a and
187 dependence on mineral P. The projections of the first two variables by 2050 were
188 obtained from population projection by the United Nations (UN) and urbanization rate
189 projection by He and the UN [He, 2014; UN, 2014; 2017a]. To project the other
190 variables, we first constructed the curves describing the relationships between these
191 variables and gross domestic product (GDP) per capita (see Figure 4 and section 3.2).
192 The projection of China’s GDP per capita by 2050 is from Organization for Economic
193 Co-operation and Development [OECD, 2017]. Then, the latter four variables were
194 obtained based on the curves and the projected GDP per capita. In general, five
195 scenarios were constructed showing as follows.

196 We first constructed a baseline scenario (BL) to represent a continuation of the current
197 situation into the future without any policy interventions. In this scenario, the increase
198 in population scale is from the medium-fertility population projection of the United

199 Nations [UN, 2017a]. Urbanization rate is the high projection by He [He, 2014]. The
200 other four variables are based on the curves and the projected GDP per capita mentioned
201 above.

202 The population growth scenario (PC) is inspired by the conduction of universal two-
203 child policy China's in October 2015 [Zeng and Hesketh, 2016]. In this scenario,
204 population scale is the high-fertility population projection of the United Nations [UN,
205 2017a].

206 The economic adaption scenario (EC) is characterized by a moderate urbanization rate
207 and optimal diet choices. The urbanization rate by 2050 is the medium projection by
208 He [He, 2014] and the diet choices are based on the advice optimal diet structure by
209 China Nutrition Society [CNS, 2016].

210 The technology improving scenario (TC) describes a situation of improved P use
211 efficiency of crop farming and animal breeding, which are assumed to reach the current
212 level of the EU27 by 2050 [van Dijk et al., 2016].

213 The sustainability scenario (SC) is characterized by medium-fertility population
214 increase projected by the United Nations [UN, 2017a], moderate urbanization rate and
215 optimal diet choices in EC scenario and improved P use efficiency in TC scenario,
216 accompanied by a decrease in dependence on mineral P to current level of the EU27
217 [van Dijk et al., 2016].

218 **Table 1 Drivers and assumptions for each scenario**

219 **2.4 Data sources**

220 The data and parameters used for calculating the PF in China are presented in Table S1.
221 Phosphate rock, fertilizer and element P production data were mainly from the
222 International Fertilizer Association database [IFA, 2017]. Crops and livestock

223 production data were from the Food and Agricultural Organization of the United
224 Nations database [FAO, 2017]. In this study, we analyzed 8 kinds of staple crops, 7
225 kinds of oil crops, 2 kinds of sugar crop, 17 kinds of vegetables, 22 kinds of fruits, and
226 7 kinds of livestock (Table S1). Aquatic product production data were obtained from
227 China statistical year book [National Bureau of Statistics of China (NBSC), 2017].
228 International trade data were obtained from the UN Comtrade Database [UN, 2017b].
229 All parameters were obtained from published literature (Table S2-S3).

230 **3 Results and discussion**

231 **3.1 Historical changes of phosphorus footprint in China**

232 The PF in China increased 11-fold, from 0.9 Tg (1.4 kg capita⁻¹) in 1961 to 10.6 Tg (7.8
233 kg capita⁻¹) in 2014 (Figure 2a). The virtual PF of livestock food (PF_{l_v}) was the single
234 dominant component, increasing from 0.8 kg capita⁻¹ in 1961 to 3.5 kg capita⁻¹ in 2014
235 (Figure 2b). Along with the increase in the amount, the composition of livestock also
236 changed. The proportion of PF_{l_v} associated with draft animals (draft cattle, horse,
237 donkey, and camel) to total decreased from 61% in 1961 to 16% in 2014. For the same
238 period, the proportion of PF_{l_v} associated with pig increased from 23 to 42%, beef and
239 dairy cattle from 1 to 17%, and poultry from 6 to 14%. This change was driven by rapid
240 developments in China's socio-economy after 1978, when reform and opening up
241 policy was conducted to industrialize. Rapid industrialization and modern machinery
242 has largely replaced draft animals for transportation and agricultural tillage. In China,
243 there was a 10-fold increase in agricultural machinery power, from 1.2 to 11.2 gigawatts
244 between 1978 and 2015 [NBSC, 2017]. The per capita disposable income increased 93-
245 and 80-fold for urban and rural residents between 1978 and 2015 [NBSC, 2017]. The
246 increase in household disposable income made meat, dairy and eggs more affordable,

247 and promoted the expansion of livestock farming. Increase in protein consumption also
248 contributed to the increase in the virtual PF associated with aquatic product (PF_{a_v}),
249 which grew at a rate of 8.2% per year between 1961 and 2014.

250 For crop food PF, the direct part (PF_{c_d}) was 0.4 kg capita⁻¹ in 1961, around 1.5 times
251 the number of virtual part (PF_{c_v}) (Figure 1b). However, the PF_{c_v} increased at a faster
252 rate than the PF_{c_d} , and by the middle of the 1970s, PF_{c_v} exceeded PF_{c_d} , reaching 1.9
253 kg capita⁻¹ by 2014 (4 times the PF_{c_d}). This is a result of expansion in use of chemical
254 P fertilizers (0.05 to 6.6 Tg P yr⁻¹ between 1961 and 2014) [NBSC, 2017], with chemical
255 P fertilizer application in excess of crop demand. There was also an increase in
256 vegetables and fruits as a proportion of total crop production (measured by P), from 19
257 to 30% and 7 to 14% between 1961 and 2014, respectively. The increase in vegetable
258 and fruit production decreased the PUE_c of the crop farming sectors, because P
259 application in China's vegetable and fruit system (111 and 251 kg P ha⁻¹) was 2-5 times
260 more than that of cereals (50-55 kg P ha⁻¹) [Fan *et al.*, 2015], resulting in much lower
261 P use efficiency of the vegetable and fruit production (8-21%) compared with cereals
262 production (42-69%) [Ma *et al.*, 2011; Yan *et al.*, 2013].

263 The non-food goods PF ($PF_{g_d} + PF_{g_v}$) was the fastest growing category, with an 80-
264 fold increase during 1961-2014 (Figure 1b), reaching 0.8 kg capita⁻¹ in 2014. Since the
265 mid-1960s, mineral nonfood goods, including pesticides, detergent, bonderite, flame
266 retardants and various additives had become dominant component of P_G , which
267 accounted for 92% of the total in 2014, increasing from 33% in 1961. Since the early
268 21st century, China has started to prohibit the use of P-rich detergent, thus P in detergent
269 currently only accounted for 30% of mineral nonfood goods [Liu *et al.*, 2016].

270 **Figure 2 Phosphorus footprint in China between 1961 and 2014. (a) Total PF. (b) Composition**
271 **of PF per capita. PF_g = PF of non-food goods; PF_{c_d} = direct PF of crop food; PF_{c_v} =virtual PF**

272 of crop food; $PF_{l,d}$ =direct PF of livestock food; $PF_{l,v}$ =virtual PF of livestock food; $PF_{a,d}$ =direct
273 PF of aquatic food; $PF_{a,v}$ =virtual PF of aquatic food.

274 3.2 Features of phosphorus footprint in China and comparison with other 275 countries

276 In China, the PUE_c decreased from over 100% before 1986 to 47% in 2014, as the
277 cheaper chemical fertilizers and a lack of guidance for fertilization application resulted
278 in widespread over-fertilization in China. For example, it has been estimated that
279 China's producers are overusing P fertilizer by up to 51%, 27% and 25% for cultivation
280 of corn, wheat and rice, respectively [Shi *et al.*, 2016]. The growth of chemical fertilizer
281 use increased in the PF dependence on mineral P, from less than 10% in 1961 to 78%
282 in 2014. In contrast to the PUE_c , the PUE_a grew significantly from 1.4% in 1961 to 6.2%
283 in 2014, as a result of the expansion of industrial-scale livestock operations, which have
284 shorter feeding periods and improved breeding technologies than small-scale backyard
285 breeding systems [Bai *et al.*, 2014]. The expansion of modern livestock breeding
286 industry benefitted from projects to improve people's livelihood, like the Food Basket
287 Project and the reformation of the economic system in Chinese countryside, which
288 created a favorable market environment and policy support [Zhao, 2010]. This
289 facilitated a change of diet choice toward more animal protein, and an increase in
290 proportion of P from animal-based food increased from less than 5% in 1961 to 23% in
291 2014.

292 We tested the relationship between these indicators and economic development (GDP
293 per capita) and compared China's results with other countries, including Austria, EU27,
294 Finland, France, Germany, India, Japan, Malaysia, Netherlands, New Zealand, South
295 Korea, Sweden, Switzerland, Thailand, Turkey, Uganda, the United Kingdom and the
296 United States [Antikainen *et al.*, 2005; Jeong *et al.*, 2009; Matsubae-Yokoyama *et al.*,

297 2009; Seyhan, 2009; Ghani and Mahmood, 2011; Matsubae et al., 2011; Suh and Yee,
298 2011; Linderholm et al., 2012; MacDonald et al., 2012; Senthilkumar et al., 2012;
299 Cooper and Carliell-Marquet, 2013; Cordell et al., 2013; Jedelhauser and Binder, 2015;
300 Lederer et al., 2015; Li et al., 2015; Smit et al., 2015; Liu et al., 2016; Prathumchai et
301 al., 2016; Keil et al., 2017]. The results show that national PFs range from 3.5 kg capita⁻¹
302 ¹ in India to 11.1 kg capita⁻¹ in the USA, while China has a middle ranking (6.4 kg
303 capita⁻¹), lying between EU27 and Switzerland. The PF has minor correlation with level
304 of economy development (Figure 3). Similar to China, the PF in most countries was
305 dominated by animal production. We found that PUE_a and the ratio of P from animal
306 food to total food increased significantly ($p < 0.001$) with economic development
307 (Figure 4b, 4c). The PUE_c decreases up to 18 000 dollars per capita and increases
308 thereafter, while an opposite trend was observed for the curve of dependence on mineral
309 P (Figure 4a, 4d). The curves of the two indicators fit the the Environmental Kuznets
310 Curve hypothesis, which postulates an inverted-U-shaped relationship between
311 different pollutants and per capita income [Dinda, 2004].

312 **Figure 3 Comparison PF by countries. USA=the United States of America; FR=France;**
313 **FI=Finland; NZ=New Zealand; GER=Germany; AT=Austria; EU=EU27; CHA=China;**
314 **CH=Switzerland; SWE=Sweden; KO=South Korea; JA=Japan; UK=the United Kingdom;**
315 **NE=Netherlands; TRL=Turkey; IN=India. PF of China is the averaged data from 2000-2015.**

316 **Figure 4 Relationship between GDP per capita and the PF related indicators.**

317 **3.3 Driving forces of changes in China's phosphorus footprint**

318 The correlation test shows that high correlations exist in the variables (Table S5), which
319 indicates that there may be multicollinearity among them. Further, the results of OLS
320 show that the VIFs of predictor variables are generally larger than 10 (Table S6), thus
321 we judged that OLS regression is not suitable for our study because of the obvious

322 multicollinearity among the variables. Performing equation (3) using “ridge” package
323 in R, we obtained the ridge regression result when $\lambda=0.00052$ (Table 1). We found that
324 the overall fit is very good ($R^2=0.998$, $p<0.001$) and the predicted value is fitting well
325 with the true value (Figure 5a). The fitted regression equation is:

$$326 \quad \ln I = 1.293 \ln P + 0.672 \ln A_u + 0.15 \ln A_d - 0.637 \ln T_c - 0.201 T_a + 0.067 \ln D - 1.644 \quad (5)$$

327 According to the regression coefficient in Eq. (5), population scale (P), diet choice (A_d),
328 urban rate (A_u), and dependence on mineral P (D) had positive effects on the changes
329 of the PF in China, while PUE_c (T_c) and PUE_a (T_a) had negative effects (Table 1).
330 Among these factors, population had the largest contribution to the changes of PF in
331 China, while every 1% increase in population was linked to a 1.293% increase in PF.
332 Annual average population growth in China was 1.36%, which contributed 38% to the
333 changes in PF during 1961-2014. This result emphasizes the importance of population
334 growth to the PF in China. Following population, the factors, including urbanization
335 rate and diet choices had another important positive effects, with contributions of 33%
336 and 11%, respectively. In fact, the increasing urbanization rate and changing diet
337 choices toward higher animal protein intake is reflection of economic development,
338 which make various products more affordable to ordinary people and thus increase
339 individual's PF. The PUE_c and PUE_a correlated negatively with the changes of the PF,
340 illustrating the importance of improving P use efficiency in restraining the increase in
341 the PF. However, the negative annual growth rate of the PUE_c (-1.39%) resulted in a
342 positive contribution (19%) to the increase in PF, while the PUE_a , with an increasing
343 trend (2.77%), had a negative contribution of -12%. In spite of the fastest annual growth
344 rate of 3.97%, the dependence on mineral P had the weakest relationship with the PF
345 (0.067), resulting in a minimal contribution (6%) to increase in PF.

346 As a whole, population growth, diet choices, urbanization rate, PUE_c and dependence
347 on mineral were the key factors driving the increase of the PF in China, together
348 accounting an increase of 5.14% in PF per year. Combined with the restraining factor
349 of PUE_a, these factors have resulted in an annual growth of 4.59% in the PF in China.

350 **Table 2 Contributions of factors influencing the change in the PF in China.**

351 **3.4 Phosphorus footprint for 2050 in China**

352 The PF in China was projected to increase for the baseline scenario (BL), the population
353 growth scenario (PC), and the economic adaption scenario (EC), increasing by 7.4 Tg
354 P (+69%), 9.8 Tg P (+92%) and 5.5 Tg P (+51%) between 2014 and 2050, with a peak
355 in 2042, 2045 and 2040 respectively. In the technology improving scenario (TC),
356 China's PF remained unchanged by 2050 compared with 2014. However, the
357 sustainability scenario (RC) is the only situation, in which China's PF was reduced by
358 2050 compared with 2014, decreasing by 1.6 Tg P yr⁻¹ (-15%), and peaking at 11.4 Tg
359 P yr⁻¹ in 2025.

360 As the only source of P is non-renewable phosphate rock, the phosphate reserve base
361 in China is 477 Tg P according to USGS data [USGS, 2016]. To assess the availability
362 of P resources in China by 2050, we calculated annual phosphate rock demand by
363 multiplying the PF and the dependence on mineral P, and plotted the depletion rate of
364 total phosphate reserves for all five scenarios (Figure 5b). In the BL, PC and EC
365 scenario, all of China's current phosphate reserves (not considering future potential
366 reserves) will be depleted by the middle 2040s, while only 18% will remain by 2050
367 under the TC scenario. In the SC scenario, 50% of current phosphate reserves will
368 remain by 2050 in China.

369 **Figure 5 (a) Phosphorus footprint scenarios for 2050. (b) Projected depletion of phosphate**

370 reserves. **BL: the baseline scenario; PC: moderate population scenario; EC: the economic**
371 **adaption scenario; TI: the technology improving scenario; SC: the sustainability scenario.**

372 **3.5 Implications for mitigating phosphorus footprint**

373 The results show that China's PF increased dramatically during the study period, which
374 was dominated by the livestock PF. Although all the scenarios for the PF show the
375 inverted U curve, the PF of China in 2050 would only be lower than that in 2014 under
376 the SC scenario. If our projection is reasonable, it indicates that even in the SC scenario,
377 50% of current phosphate reserves would be depleted by 2050 in China. Thus, it is
378 urgent for China to take actions immediately to slow down depletion of phosphate rock
379 reserves.

380 However, not all of the mitigating options are accessible to China. For example, China
381 has replaced the one-child policy by a universal two-child policy to maintain a constant
382 population in October 2015 [Zeng and Hesketh, 2016], making it hard to mitigate the
383 PF by population control. Besides, urbanization is an inevitable result of
384 industrialization, thus it is also an unchangeable trend for future China. Thus, the
385 remaining options are improving the PUE_c and PUE_a, guiding reasonable diets and
386 reducing dependence on minerals based on RC scenario. In this section, we provided a
387 discussion about the feasibility of these options.

388 **Improving P use efficiency of crop farming.** The results show that the improvement
389 of PUE_c will be necessary, as it is still trending downward in China (Figure 4a) and is
390 identified as a key factor driving the changes in PF (Table 1). To achieve an increase in
391 PUE_c, policy measures including removing fertilizer subsidies, introducing fertilizer
392 taxes and recommending precision fertilization, may be feasible to reduce applications
393 of chemical P fertilizer. Cultivation techniques, like conservation tillage, optimized

394 fertilization, gypsum application, crop rotation and intercropping can also be effective
395 in improving P uptake. For example, a previous study in China found that conservation
396 tillage and optimized fertilization can reduce P losses by 23~30% [Wang *et al.*, 2014].

397 **Increasing animal production efficiency.** As we mentioned above, current livestock
398 systems in China are characterized by large-scale modern feeding operations, which
399 have contributed to an increase in P use efficiency in animal breeding, but still have not
400 reached the same level as developed countries (Figure 4b). To achieve further
401 improvements, measures like phase feeding, adding enzymes, genomic selection
402 technology and modern reproductive technology are highly recommended [Kebreab *et*
403 *al.*, 2012; Hayes *et al.*, 2013]. However, these measures are technology-intensive and
404 costly and thus may not be easily attained in the near future.

405 **Guiding reasonable diet choices.** Measures involving prompting a lower-P diet by
406 encouraging reductions in meat consumption, may offer another way of reducing the
407 PF. The first step is to educate people about the impact of diet choices on the
408 environment, through multimedia broadcasting tool, like China's popular community
409 platforms of "Sina Weibo" and "Wechat". Economic means, including taxes on meat
410 and subsidy on soybean protein, are also helpful to reduce meat consumption. However,
411 the economic means have high uncertainty in their practical effects [Röös *et al.*, 2017],
412 thus the education means are more feasible for current China because of its low costs.

413 **Reducing dependence on minerals.** China's soil has accumulated 52 Tg P over the
414 last century, meaning a large surplus of new P input via fertilizer application [Liu *et al.*,
415 2016]. Thus, it is feasible to reduce dependence on utilization of mineral P to reduce
416 China's PF. Three measures are likely to contribute to this reduction: (1) raising farmers'
417 awareness for fertilizer control; (2) taxing mineral P products; and (3) improving P

418 recycling from livestock manure and human excreta, municipal sludge, crop and food
419 residues, to diversify P sources. In China, around 1.2 Tg P in animal manure (equivalent
420 to 20% of P fertilizer application) is emitted in the form of wastewater in 2012 [*Fang*
421 *et al.*, 2015], making recovering P from waste not only significant to save P resources,
422 but also beneficial to reduce P losses to water and water-quality impairment.

423 **3.6 Limitations discussion**

424 The uncertainties may come from two main sources: assumptions for simplifying
425 calculation and uncertainties from data used in calculation [*Taormina and Chau*, 2015;
426 *Wu and Chau*, 2011]. There are three major assumptions in calculation. Firstly, due to
427 many categories of crops and livestock, this study only considered the main categories
428 of them. Accordingly, the result of PF of crops and livestock may be slightly smaller
429 than the actual values. Besides, the virtual P is calculated based on the averaged P use
430 efficiency of sector i (Eq. 5) following the study by Jiang and Yuan (2015) and
431 Matsubae et al. (2011), because it is difficult to get P use efficiency for different crops
432 or animal during different time period. Furthermore, the P demand of livestock are
433 calculated by the sum of P contained in live animal and that contained in excretions
434 following Jiang et al. (2018), because feed consumption data were not available for
435 China.

436 There is inevitably various degrees of uncertainties from the data used in the study. For
437 one thing, there is inherent uncertainties in the activity data of production of crops and
438 animals and consumption of fertilizer from statistics system. The other parts of the data
439 uncertainties come from parameters used. For example, the P content in crops and
440 animals and the daily P excretion of livestock used in this study (Table S2 and Table
441 S3) are averaged values from literature, which may vary among different varieties of

442 the same crops and animals and the producing areas. Moreover, the P loss rates used to
443 production of fish, shrimp and shell used in this study are the mean value from a meta-
444 analysis study in China (Text S3), which may vary quite different among different
445 regions. To assess the sensitivities of the parameters used on the calculation of PF in
446 China, we first assigned a prior CV of 10% to all the parameters used in this study.
447 Then, a Monte Carlo simulation was performed with 10 000 iterations to quantitatively
448 assess the uncertainties. As is shown in Table 3, the most sensitivity parameters are
449 daily P excretion of pig and cattle. However, the contribution of daily P excretion of
450 cattle to total variance showed declining trend, while that of pig and poultry showed
451 the reverse trends. The reason is related to the higher increase rate in the breeding
452 numbers of pig and poultry than that of cattle. In general, the daily P excretion rate is
453 the most sensitive parameters to final result in the PF model.

454 **Table 3 Results of sensitivity analysis of the parameters (%)**

455 **4 Conclusion**

456 This study constructed a holistic PF model to examine the P demand caused by human
457 consumption in China, and applied the STIRPAT model to analyze the drivers of the PF
458 changes and to examine future scenarios of China's PF. China's PF was estimated to
459 increase from 0.9 to 10.6 Tg between 1961 and 2014, with an annual growth rate of
460 4.6%. Livestock food accounted for more than half of China's PF. The key factors
461 driving the intensification in China's PF were increasing population, rapid urbanization,
462 decreasing PUE_c and changing diet choice, with a combined contribution of 101%.

463 In the baseline scenario, China's PF would increase by 70% from 10.6 Tg in 2014 to
464 18.0 Tg in 2050 and cause the depletion of China's phosphate reserves in 2045.
465 However, in the best case scenario (SC), China's PF would show decreasing trend, and

466 50% of phosphate reserves will remain by 2050. The results show that it is urgent but
467 also possible for China to reduce the P footprint to mitigate the P resource crisis. Several
468 mitigation measures are proposed by considering China's realities such as conservation
469 tillage, optimized fertilization, phase feeding, adding enzymes, recommending
470 vegetable protein for diet, etc.

471 In general, this study provides a novel method to measure the PF of an entire economy,
472 which is easy to apply to assess PF of countries worldwide and the case study in China
473 can provide valuable policy insights to other rapid developing countries to the
474 sustainable management of P resources. As the parameters used in this study are mainly
475 from literature conducted in China, it is important to adjust some key parameters based
476 on local conditions, especially for the key sensitive parameter like daily P excretion of
477 pig and cattle.

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486 **Appendix A. Abbreviation list**

- 487 1. PF = Phosphorus footprint
- 488 2. PF_P = PF of crop food, livestock food, aquatic food and non-food goods
- 489 3. PF_I = PF of imported products
- 490 4. PF_E = PF of exported products.
- 491 5. PF_c = PF of crop food
- 492 6. PF_l = PF of livestock food
- 493 7. PF_a = PF of aquatic food
- 494 8. PF_g = PF of non-food goods
- 495 9. BL = Baseline scenario
- 496 10. PC = Moderate population scenario
- 497 11. EC = Economic adaption scenario
- 498 12. TI = Technology improving scenario
- 499 13. SC = Sustainability scenario
- 500 14. P = Population
- 501 15. A_u = Urbanization rate
- 502 16. A_d = Diet choices
- 503 17. T_c = Phosphorus us efficiency of crop farming
- 504 18. T_a = Phosphorus us efficiency of animal breeding
- 505 19. D = Dependence on mineral phosphorus

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Table 4 Drivers and assumptions for each scenario

Drivers	Baseline (BL)	Population growth (PC)	Economic adaption (EC)	Technology improving (TC)	Sustainability (SC)
Population (billion)	Medium 2020: 1.425 2030: 1.441 2050: 1.364	High 2020: 1.437 2030: 1.491 2050: 1.506	As in BL	As in BL	Low 2020: 1.412 2030: 1.391 2050: 1.231
Urbanization rate (%)	High 2020: 60.2 2030: 69.6 2050: 80.8	As in BL	Low 2020: 60.0 2030: 68.5 2050: 76.0	As in BL	As in EC
Diet choices (%)	High 2020: 30.2 2030: 33.4 2050: 38.4	As in BL	Low 2020: 23.4 2030: 23.6 2050: 24.0	As in BL	As in EC
PUEc	Low 2020: 41.6 2030: 34.9 2050: 33.1	As in BL	As in BL	High 2020: 50.6 2030: 57.2 2050: 73.0	As in TC
PUEa	Low 2020: 6.7 2030: 7.1 2050: 7.9	As in BL	As in BL	High 2020: 6.8 2030: 7.3 2050: 9.0	As in TC
Dependence on mineral P (%)	High 2020: 87.1 2030: 95.5 2050: 88.5	As in BL	As in BL	As in BL	Low 2020: 71.5 2030: 61.6 2050: 45.6
GDP (thousand USD capita ⁻¹)			2020: 8.3 2030: 12.2 2050: 22.4		

Table 5 Contributions of factors influencing the change in the PF in China.

Factors	Unit	Growth rate (%)	Regression coefficient	t-Statistic	Effect on change of PF ^a	Contribution to PF change (%) ^b
PF	Tg yr ⁻¹	4.59				
P	10 ⁸	1.36	1.293***	11.096	1.76	38
A _u	%	2.22	0.672***	11.739	1.50	33
A _d	%	3.30	0.150*	2.376	0.49	11
T _c	%	-1.39	-0.637***	11.124	0.88	19

T_a	%	2.77	-0.201**	2.694	-0.56	-12
D	%	3.97	0.067**	3.282	0.27	6
Others			-1.644		0.24	5
λ	0.00052					
R-square	0.998					

^a Effect on changes of input=average annual growth rate \times regression coefficient

^b Contribution to changes =effect on changes of input \times average annual growth rate

*** Significance is at the 0.0001 level; ** Significance is at the 0.001 level; * Significance is at the 0.01 level.

Table 6 Results of sensitivity analysis of the parameters (%)

	1961	1980	2000	2014
Cattle daily P excretion	82.1	32.8	39.4	33.4
Pig daily P excretion	12.3	60.9	44.6	45.5
Goat and sheep daily P excretion	2.8	2.5	3.1	3.2
Poultry daily P excretion	0.1	0.3	3.3	3.1
P content in Pig	0.2	1.7	2.5	3.2
P loss rate of freshwater fish	<0.1	<0.1	1.7	3.9
Others	2.5	1.8	5.4	7.7
Total	100	100	100	100

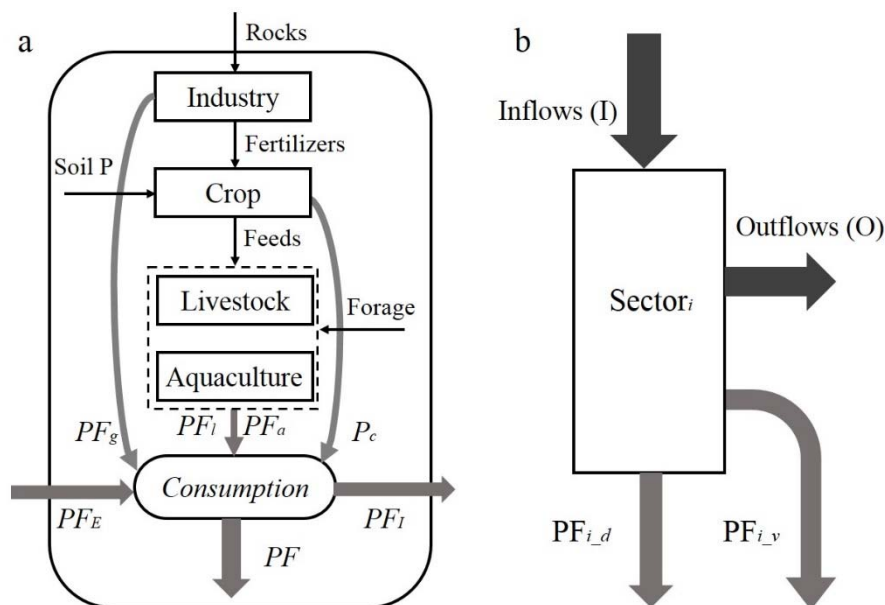


Figure 6 Schematic of the PF model. (a) Framework of the PF model. (b) Calculation principle of the PF model.

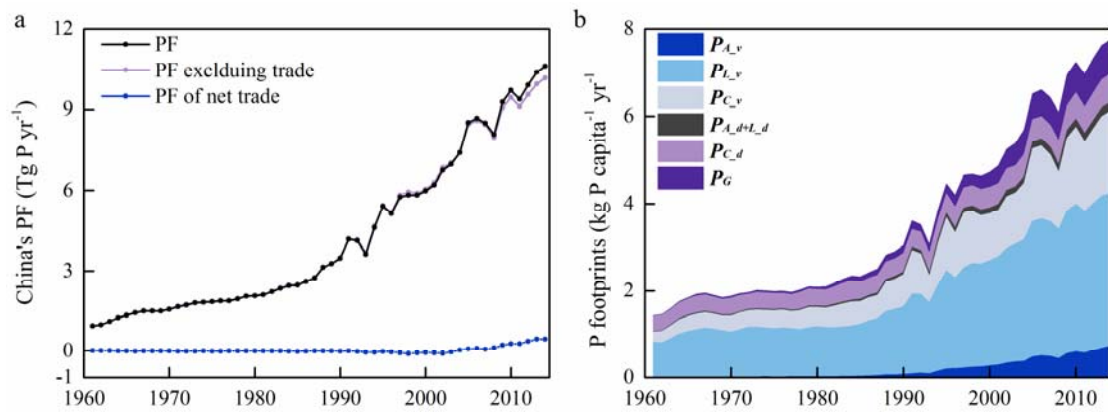


Figure 7 Phosphorus footprint in China between 1961 and 2014. (a) Total PF. (b) Composition of PF per capita. PF_g = PF of non-food goods; $PF_{c,d}$ = direct PF of crop food; $PF_{c,v}$ =virtual PF of crop food; $PF_{l,d}$ =direct PF of livestock food; $PF_{l,v}$ =virtual PF of livestock food; $PF_{a,d}$ =direct PF of aquatic food; $PF_{a,v}$ =virtual PF of aquatic food.

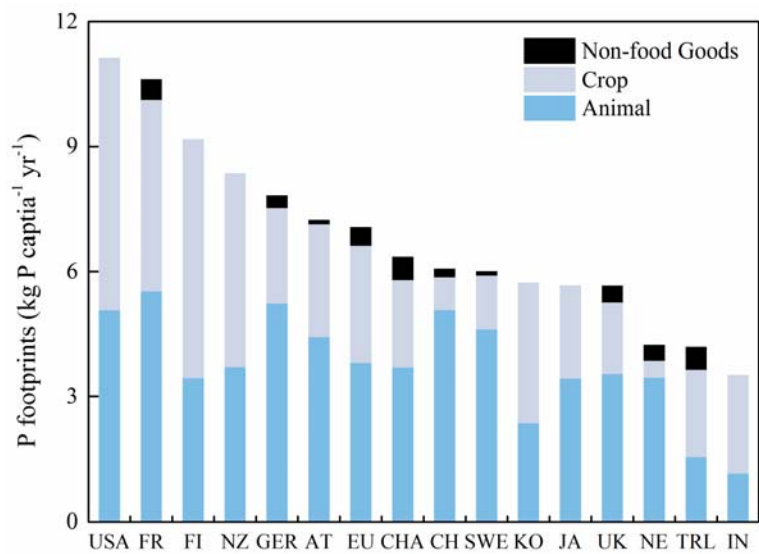


Figure 8 Comparison PF by countries. USA=the United States of America; FR=France; FI=Finland; NZ=New Zealand; GER=Germany; AT=Austria; EU=EU27; CHA=China; CH=Switzerland; SWE=Sweden; KO=South Korea; JA=Japan; UK=the United Kingdom; NE=Netherlands; TRL=Turkey; IN=India. PF of China is the averaged data from 2000-2015.

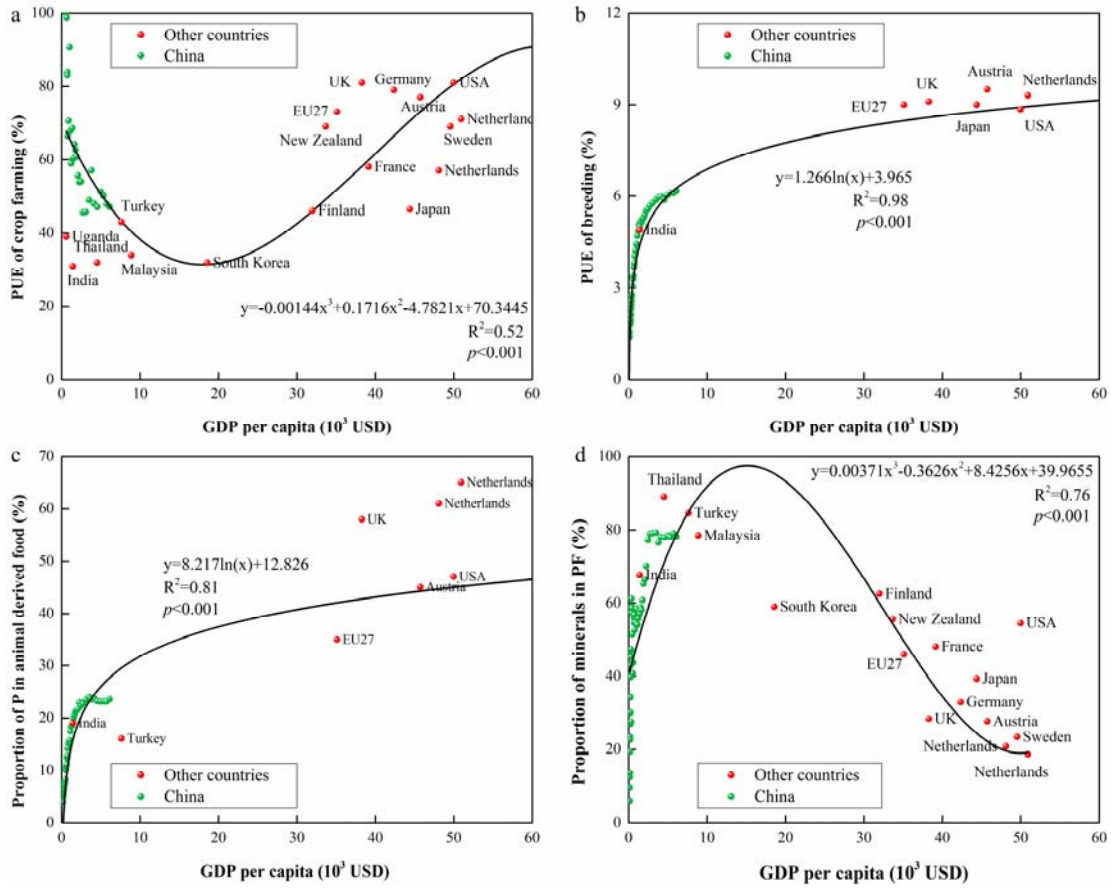


Figure 9 Relationship between GDP per capita and the PF related indicators.

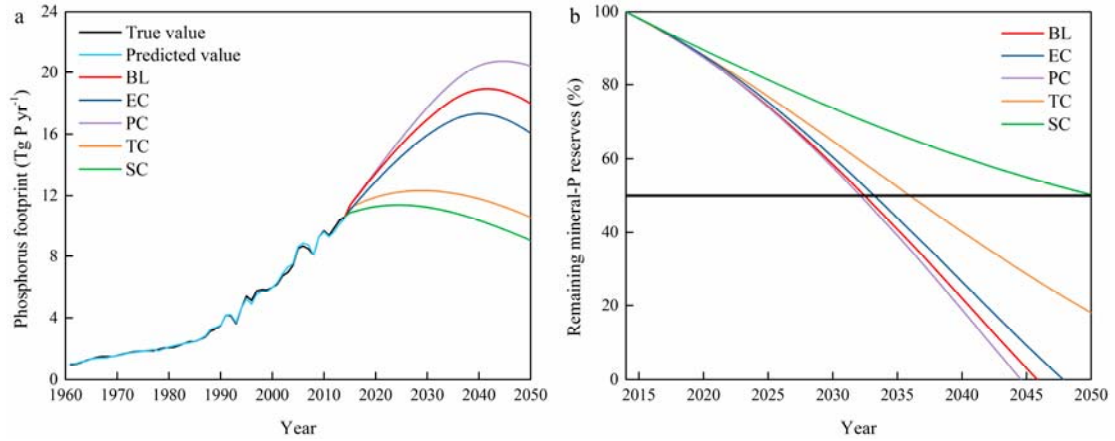


Figure 10 (a) Phosphorus footprint scenarios for 2050. (b) Projected depletion of phosphate reserves. BL: the baseline scenario; PC: moderate population scenario; EC: the economic adaption scenario; TI: the technology improving scenario; SC: the sustainability scenario.

