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Core Outcome Set for Cardiac Arrest (COSCA) in adults: An Advisory Statement From the International Liaison Committee on Resuscitation

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1 [h1]Abstract

2 Cardiac arrest effectiveness trials have traditionally reported outcomes that focus on survival. 3 A lack of consistency in outcome reporting between trials limits the opportunities to pool 4 results for meta-analysis. The Core Outcome Set for Cardiac Arrest (COSCA) initiative, a partnership between patients, their partners, clinicians, research scientists, and the 5 6 International Liaison Committee on Resuscitation, sought to develop a consensus core 7 outcome set for cardiac arrest for effectiveness trials. Core outcome sets are primarily 8 intended for large, randomized clinical effectiveness trials (sometimes referred to as 9 pragmatic trials, phase III/IV trials) rather than for pilot or efficacy studies. 10 11 A systematic review of the literature combined with qualitative interviews among cardiac 12 arrest survivors was used to generate a list of potential outcome domains. This list was

14 relatives/partners. An international advisory panel narrowed these down to 3 core domains by

prioritized through a Delphi process, which involved clinicians, patients, and their

15 debate leading to consensus. The writing group refined recommendations for when these

16 outcomes should be measured and further characterized relevant measurement tools.

17

13

Consensus emerged that a core outcome set for reporting on effectiveness studies of cardiac arrest (COSCA) in adults should include survival, neurologic function, and health-related quality of life. This should be reported as survival status and modified Rankin Scale score at hospital discharge and / or 30 days. Health-related quality of life should be measured by using 1 or more tools from Health Utilities Index version 3, Short-Form 36-Item Health Survey, EuroQol 5D-5L at 90 days and at periodic intervals up to 1 year after cardiac arrest, if resources allow.

1 [h1]Introduction

Sudden cardiac arrest is one of the leading causes of death in industrialized nations. In the
United States, approximately 360 000 cardiac arrests are attended by emergency services
each year, with only 10.6% of patients surviving to hospital discharge.¹ Similar statistics
apply across Europe and all other industrialized areas worldwide.^{2, 3} However, survival rates
vary widely both globally⁴ and regionally,^{5, 6} with 4-fold or more regional variations reported.
These low and variable survival rates highlight the importance of research that seeks to
improve patient outcomes.

9

Randomized trials are important tools for evaluating the clinical and cost-effectiveness of 10 11 interventions for in- and out-of-hospital cardiac arrest. Two broad types of trials have been 12 described—efficacy and effectiveness. Efficacy (sometimes called *explanatory*) trials aim to test whether an intervention works under optimal situations. Effectiveness (sometimes called 13 *pragmatic*) trials are designed to assess how well an intervention works in routine clinical 14 practice.⁷ Ordinarily, efficacy trials focus on assessing the impact of an intervention on a 15 short-term outcome that is well-correlated with long-term prognosis. Effectiveness trials seek 16 to provide evidence of the longer-term health impact of an intervention.^{8,9} Evaluated 17 outcomes may include clinical, clinician-reported, and patient-reported outcomes and 18 resource use or economic impact. Clinical trials provide essential evidence of the relative 19 20 benefit of an intervention for stakeholders as diverse as clinicians, patients, and policy makers. Outcome selection is, therefore, an important aspect of trial design.^{9, 10} 21

22

Sometimes multiple trials may evaluate the same intervention in different settings.
Reconciling disparate trial results can be challenging if each trial evaluated different
outcomes at different timepoints. A systematic review of cardiac arrest trials published

1 between 2000 and 2012 included 61 publications that identified more than 160 different trial outcomes.¹¹ No single outcome was reported across all trials. The majority of outcomes 2 3 reflected short-term clinical and clinician-reported outcomes, focusing on pathophysiologic 4 manifestations and process-based measures. While survival was the most commonly reported outcome, 39 different definitions of survival were used. Patient-reported outcomes¹² were 5 rarely reported, although more recent trials have included these outcomes.^{13, 14} This suggests 6 7 that essential evidence of the impact of care from the survivors' perspective is currently 8 missing from clinical trials.

9

Adopting a consistent approach to outcome reporting for effectiveness trials has the potential 10 11 to reduce heterogeneity in reporting, improve transparency in outcome selection, reduce 12 reporting bias, and increase information available to pool for meta-analysis. Standardized reporting frameworks have been developed for reporting the findings of observational studies 13 drawn from resuscitation registries.^{15, 16} These recommend 23 core data elements and 30 14 supplementary elements across the 5 domains of system, dispatch, patient, process, and 15 outcome.¹⁷ International guidelines exist for core outcomes to use in effectiveness trials in 16 patients with other conditions.¹⁸ Becker et al considered choices of primary outcomes across 17 18 a range of resuscitation science studies but concluded that no single primary outcome was appropriate for all studies of cardiac arrest.¹⁹ However, no international guidelines exist to 19 define a focused core outcome set (COS) for use in effectiveness trials in patients with 20 21 cardiac arrest.

22

The Core Outcome Measures for Effectiveness Trials (COMET) initiative promotes the
 development and application of agreed standardized sets of outcomes, known as *core outcome sets*.²⁰

A COS is defined as a small, standardized group of outcomes that should be measured and
 reported, *as a minimum*, in all effectiveness trials for a specific health area.^{20, 21} Effectiveness
 trials should aim to capture the COS as part of their *a priori*-defined primary or secondary
 outcomes.

5

6 The COSCA initiative, in collaboration with the International Liaison Committee on Resuscitation (ILCOR), sought to develop a COS for cardiac arrest effectiveness trials 7 8 covering both in- and out-of-hospital cardiac arrest. This consensus paper draws on the views 9 and experiences of patients, the public, clinicians, policy makers, researchers, and the international perspectives represented through the ILCOR collaborative network. The process 10 11 was informed by systematic reviews of the literature, as well as qualitative research involving 12 cardiac arrest survivors. A total of 168 participants used a Delphi process to draft a core cardiac arrest outcome set, and a 2-day meeting was convened to develop consensus 13 14 recommendations.

15 [h1]Methods

The available evidence associated with the development of COSs^{18, 20} and the websites of key
COS development groups (COMET and Outcome Measures in Rheumatoid Arthritis Clinical
Trials [OMERACT], later renamed *Outcome Measures in Rheumatology*) informed our
approach. The project was registered with the COMET initiative (www.cometinitiative.org/studies/details/284). Ethical approval was obtained from the National Health
Service Black Country Research Ethics Committee (13/WM/0464) to enable patients/partners
to participate.

1 Development of a COS involved 2 key steps: development of a core domain set (ie, what to 2 measure) followed by identification of appropriate measurement tools (ie, how to measure).^{18,} ²⁰ A *core domain set* was defined as referring to the minimum number of health domains 3 4 (outcomes or aspects of health) that must be assessed. That is, it specifies what should be 5 measured. Importantly, this stage was driven by what is important and not how an outcome is 6 assessed. The second stage involved the establishment of a core outcome measurement set, that is, the specific methods of assessment (ie, how to measure) for the domains identified in 7 8 step 1. The selection of measurement tools was informed by an appraisal of measurement 9 quality, relevance, and feasibility. 10 11 The OMERACT initiative suggests that a COS should seek to include at least 1 health 12 domain across each of 4 core areas of health (Figure 1): 3 core areas consider the impact of a health condition (ie, survival, life impact, economic impact/resource use), and the fourth core 13 area reflects any pathophysiologic manifestations associated with the condition.¹⁸ Several 14 reviews^{11, 22, 23} suggest that these domains are relevant and encompass the large number of 15 16 outcomes assessed in cardiac arrest trials. 17 To develop the consensus outcome criteria, a 4-stage approach was used, which consisted of 18 the following steps, which are each explained in detail: 19 20 Stage 1: Generation of an extensive list of potential outcomes across 4 core areas of • health 21 22 Stage 2: International Delphi to refine and prioritize a list of potential outcomes • Stage 3: International expert panel meeting 23 • Stage 4: Synthesis of findings and recommendations for measurement tools 24 • 25

[h2]Stage 1: Generation of an Extensive List of Potential Outcomes Across 4 Core Areas of Health

3 This stage was informed by a systematic review of the literature and qualitative interviews 4 with cardiac arrest survivors and their partners. The systematic review focused on the identification of outcomes reported from randomized controlled trials that enrolled adults 5 who had sustained a cardiac arrest.¹¹ The findings from the systematic review were 6 supplemented by conducting semi-structured interviews with adult cardiac arrest survivors 7 8 (and, if available, their partners) between 3 and 12 months after discharge from hospital 9 following their cardiac arrest. Interviews were conducted, recorded, and transcribed by using NVivo (QSR International 2012) by L.W. Data were analyzed by using Interpretative 10 11 Phenomenological Analysis, which seeks to capture the individuals' experience of a phenomenon and how they understand their experiences.²⁴ Findings from the systematic 12 review and qualitative research were synthesized to produce an extensive list of potential 13 14 outcomes. These were grouped under the OMERACT core area headings of survival, life 15 impact, resource use/economic, and pathophysiologic manifestations of cardiac arrest for consideration in stage 2. 16

17

18 [h2]Stage 2: International Delphi to Refine and Prioritize List of Potential Outcomes

The list of potential outcomes identified during stage 1 were placed into an online survey tool (SurveyMonkey, Dublin, Ireland). Separate surveys were developed for healthcare professionals and patients/patient advocates. The ILCOR network of 7 regional resuscitation councils was used to solicit the views of healthcare professionals and patient and public advocates. Each ILCOR member (n=27) was asked to invite 6 healthcare professionals and 3 patients to participate in the relevant surveys by email. The outcomes were prioritized in 2 rounds. Questions were structured to allow participants to rate the importance of each

1 outcome at 5 different time points across the patient journey: during cardiopulmonary 2 resuscitation (CPR), immediately after CPR, during hospitalization, at hospital discharge, and 3 within the first year after the cardiac arrest. In the first round, survey participants were also 4 given the opportunity to suggest additional outcomes they considered important if they were not currently included in the survey. At the end of each round, outcomes rated as critical 5 6 importance by greater than 70% of respondents and rated as limited importance by less than 15% of respondents were advanced for additional consideration by the expert panel in stage 7 8 3. Similarly, those outcomes rated of limited importance by greater than 70% of respondents 9 and of critical importance by less than 15% of respondents were discarded. The findings from the first round were summarized and presented for a second round of prioritization. Any 10 11 new suggestions were included in the second round. The second round of prioritization 12 differed by asking participants to rank outcomes according to importance. Outcomes that received strong support (more than 70% agreement) were also advanced for consideration by 13 the expert panel in stage 3. Outcomes that received moderate support (60%-69% agreement)14 15 were also presented to the expert panel in stage 3.

16

17 [h2]Stage 3: International Expert Panel Meeting

The aim of the international expert panel was to consider the shortlist of outcomes identified during stage 2 and select a COS comprising 4 to 8 outcomes and make recommendations of measurement tools to capture those outcomes. A 2-day consensus meeting was convened in Prague, Czech Republic, in October 2015. A group of experts uninvolved in previous stages was purposefully selected to capture those involved in clinical research (clinicians, clinical trialists, methodologists), experts in the use of measurement tools for cardiac arrest, healthcare providers involved in treating patients with cardiac arrest (physicians, nurses,

- paramedics, allied health professionals), and survivors of cardiac arrests and patient
 advocates.
- 3

4 Before the meeting, the participants were sent a written summary of the outcome selection process described above. At the start of the meeting, an overview of steps undertaken and 5 6 findings from stages 1 and 2 were presented. The shortlisted outcomes were presented in a matrix that covered the OMERACT core area headings of survival, life impact, resource 7 8 use/economic, and pathophysiologic manifestations of cardiac arrest during CPR, 9 immediately after CPR, during hospitalization, at hospital discharge, and within the first year after the cardiac arrest. Initial presentations were followed by semi-structured, small-group 10 11 discussions that covered the 4 core areas. Each core area was assigned a facilitator who 12 supported 4 rounds of discussions on that topic. Each discussion group included a survivor of cardiac arrest or patient advocate, as well as several researchers and clinicians who 13 14 participated in small-group discussion across each core area. Each group nominated a 15 recorder. The groups were tasked to consider the importance, relevance, acceptability, and 16 feasibility of the short-listed outcomes as potential core outcomes for cardiac arrest effectiveness trials. The facilitator encouraged all group members to participate in 17 discussions and shared key findings from each group with the next. This enabled 18 consideration of and building upon what other participants discussed, facilitated the 19 20 identification of issues of agreement and disagreement, and supported a flow of new ideas or 21 key issues between groups. Participants, thereafter, reconvened in a whole-group discussion session: facilitators and group recorders summarized feedback from the group discussion, 22 23 including areas of agreement and disagreement. The large-group discussion sought to 24 collectively explore agreement and refine issues or concerns raised within each core area. At the end of the first day, expert panel members were invited to reflect on the day's discussions 25

and then vote for up to 7 outcomes they felt should be included as core outcomes. Secure
electronic votes were submitted by using Turningpoint Software and Responseware keypads
(Turning Technologies, Youngstown, Ohio, USA). The second day followed a similar model
of large- and small-group discussions designed to allow further discussion and reflection on
the optimal outcomes. A second round of voting was used to identify the final list of core
outcomes. Proceedings were captured in the form of detailed written records from discussion
groups, plenary sessions, and the outcome of voting.

8

9 [h2]Stage 4: Synthesis of Findings and Recommendations for Measurement Tools

A writing group was appointed by ILCOR and endorsed by the American Heart Association
Manuscript Oversight Committee after review for conflicts of interest. The charge to the
group was to draw together and summarize the findings from stages 1 through 3. The group
met by teleconference on 8 occasions and face-to-face on 1 occasion.

14

The writing group reviewed and summarized the findings from stages 1 through 3 presented in this scientific statement. The group undertook further work with the intention of making recommendations on relevant measurement tools for the outcome domains selected in stage 3. This was informed by considering existing measurement tools in cardiac arrest and other relevant diseases or injuries and discussing their quality, acceptability, and feasibility for application in clinical trials. Final recommendations were reached through discussion and consensus among the writing group members.

22

1 [h1]Results

2 [h2]Stage 1: Generation of an Extensive List of Potential Outcomes Across 4 Core Areas

3 (OMERACT Framework)

4 The systematic review identified 61 randomized trials that reported 164 unique outcomes on 278 occasions.¹¹ The most frequently reported outcome was survival (85% of trials). This 5 6 included return of spontaneous circulation (ROSC) before hospital admission, in the 7 emergency department, or at any point during the resuscitation attempt. Survival was 8 reported at various time points from emergency department admission, hospital discharge, 9 and through to 3 years. There was a lack of consistency in definition and the time points at which survival was assessed, although most studies (90%) reported survival up to, and 10 11 including, hospital discharge. Pathophysiologic outcomes (eg, coronary perfusion pressure, 12 arterial blood gas results) and life impact were frequently reported, although there was a lack of consistency in outcomes, measurement tools, and the timings of assessments. Process of 13 14 care (eg, event timings), response to treatment (eg, temperature achieved in targeted 15 temperature management trials), quality of CPR, intervention success rates (eg, vascular access) and adverse outcomes were reported in a quarter of studies. Writing group members 16 identified trials published more recently that reported outcomes in the domain of life 17 impact.13, 14, 25, 26 18

19

Eleven interviews (8 patients, 3 partners) were conducted to provide a detailed understanding
of the lived experience of those surviving cardiac arrest. Five key themes were identified by
patients reflecting the disruption to normality caused by cardiac arrest (survival, physical
activities, emotional well-being, social well-being, and the impact on others; Table 1).

The findings from the systematic review and patient/partner interviews were used to produce
 an extensive list of 53 potential outcomes, encompassing survival (5), life impact (24),
 economic impact and resource use (10), and pathophysiologic manifestations (14), which
 were used in the stage 2 Delphi process.

5

6 [h2]Stage 2: International Delphi to Refine and Prioritize Long List of Potential Outcomes

Ninety-nine healthcare professionals, 62 cardiac arrest survivors and 7 relatives of cardiac
arrest victims from 15 countries participated in the Delphi survey. The clinician group
included: 46 physicians, 12 nurses, 20 allied health professionals and 6 academics. By the
end of the 2 Delphi rounds, 25 outcome domains were prioritized (Figure 2).

11

12 [h2]Stage 3: International Expert Panel Meeting

A total of 23 expert panel members (including 2 survivors, 1 partner, and 1 patient advocate)
participated from 11 countries (UK, the Netherlands, Finland, Germany, Belgium, Sweden,
United States, Canada, Singapore, Australia, and New Zealand). The core outcome
discussions and recommendations are summarized below.

17

18 [h3]Pathophysiologic Manifestations

The expert panel considered circulatory function, respiratory function, and brain function as potential core outcomes. There was general agreement that the assessment of these outcomes is of high importance during and immediately after cardiac arrest. They become less important once ROSC has been achieved. Consideration was given to the potential for pathophysiologic measures to act as surrogate assessments for longer-term functional outcomes. For example, specific neuroimaging/electrophysiologic tests might be a useful surrogate to reflect the impact of a cardiac arrest on brain function.²⁷ The panel considered

these outcomes may be valuable during the validation of new interventions and advancing
discovery, for example, in efficacy trials. However, there was general agreement that the
assessment of specific pathophysiologic manifestations as core outcomes across the wide
range of effectiveness trials in this field is of limited value.

5

6 The importance of reporting adverse events was discussed at length. There was general
7 agreement that the reporting of adverse events should occur in accordance with Good Clinical
8 Practice guidelines, which are relevant to all clinical trials, rather than as a core outcome
9 specific for cardiac arrest.

10

11 Although not introduced during the Delphi survey, participants discussed the importance of 12 the quality of CPR (ie, CPR process) and its potential use as a core outcome. Such measures may include compression rate, pre-shock pause duration, compression depth, or time to 13 14 intervention. There was unanimous consensus that the processes of CPR are important 15 contributors to outcome after cardiac arrest. Participants recognized that CPR may be initiated or completed before a study intervention is applied. While CPR process may be an 16 indicator of the quality of a resuscitation system of care or as a potential modifier of the 17 effect of a study intervention, it was concluded that CPR process should not be a core 18 19 outcome for effectiveness trials. This should not limit researchers from reporting CPR 20 quality matrices to enable the assessment of associations between CPR performance and Core Outcome Set categories. Where such data are reported, use of standardised definitions ²⁸ and 21 time intervals may reduce variation in reporting.²⁹ 22

23

24 [h3]Survival

1	The expert panel discussed the relative importance of short-term survival, such as ROSC. The
2	outcome was thought to be important in efficacy studies, which seek to advance discovery in
3	this field, but contributed less toward understanding longer-term aspects of survival.
4	
5	Hospital-free survival (number of days alive and permanently outside a hospital in the first 30
6	days after cardiac arrest) was introduced during discussions. It was recently used in a large
7	pragmatic cardiac arrest trial ³⁰ and offers potential statistical efficiencies over dichotomous
8	outcomes. ^{31, 32} Challenges can exist around the interpretation of a composite outcome, which
9	combines survival with length of hospital stay.
10	
11	The panel concluded that longer-term survival (alive/dead) should be the core survival
12	outcome.
13	
14	[h3]Life Impact
15	Patient/partner participants voiced a number of potentially overlapping domains that may be
16	affected after a cardiac arrest, which included cognition and consciousness, physical
17	symptoms, activities of daily living, health-related quality of life (HRQoL), emotional well-
18	being, family impact, participation, and fatigue. It was agreed that one of the most common
19	and significant impacts of cardiac arrest are potential changes to cognition and neurologic
20	functioning. Other contributors to daily life such as physical, social, and emotional changes
21	after returning home were discussed and considered important. To capture these important
22	domains of health, a multi-domain approach, including assessing an individual's HRQoL
23	after arrest, was favored.

The panel reached consensus that neurologic function and HRQoL should be included as core
 outcomes.

3

4 [h3]Economic Evaluation

5

6 Although domains reflective of this core area were not prioritized by participants in the Delphi survey, the importance attributed to this core area in the OMERACT initiative 7 8 suggested that further discussion of the relative importance of this core area and possible 9 domains was required. Group discussion highlighted the complexities of capturing sufficient information to allow for a full economic analysis of costs related to cardiac arrest. While 10 11 economic evaluation was judged to be important, it was agreed that there was insufficient 12 evidence to inform categorization currently. As a result, economic measures are not being 13 suggested as a core outcome.

14

[h2]Stage 4: Recommendations for Measurement Tools and Timing of Measurement [h3]Survival

17 Survival to discharge and survival to 30 days were considered to be better indicators of patient recovery than shorter-term survival, such as survival to admission or 4 to 6 hours after 18 19 emergency department arrival. Discussion highlighted international variation in the feasibility 20 of collecting survival at discharge and survival at 30 days. Both time points have limitations: 21 survival to discharge is limited by cultural differences (whether patients are discharged home to die or die predominantly in hospital) and health system differences (efficiency of discharge 22 23 processes; whether long-term care is provided in hospital or home care settings). This can 24 limit comparisons across different health systems. Survival to specific intervals (eg, 30 days)

- after arrest can avoid some of these limitations, but in some settings requires consent, which,
 as noted elsewhere, may introduce bias through higher rates of loss to follow-up.
- 3

The writing group concluded that neither time point is perfect, and, for consistency with the Utstein recommendations,¹⁷ it was agreed either survival to hospital discharge or survival to 30 days would be acceptable to report as core outcomes. Researchers are encouraged to report both measures if feasible, but should avoid reporting these as a composite outcome (survival to discharge or survival to 30 days) because this impairs pooling results in a metaanalysis.

10

11 [h3]Neurologic Function

Five clinician-completed measures—the Cerebral Performance Category (CPC),³³ Structured 12 CPC (assessment by semi-structured interview),³⁴ CPC-Extended,³⁵ the Glasgow Outcome 13 Scale–Extended (GOS-E),³⁶ and modified Rankin Scale (mRS)³⁷—were considered. 14 Moderate associations between the tools suggest that they measure related, but not identical, 15 constructs.^{13, 34, 38-41} The CPC was not highly endorsed because of the lack of discrimination 16 between scores and the potential for ceiling effects and overestimation of function.^{14, 42-45} The 17 CPC-Extended was considered to show good evidence of content validity, reliability, 18 acceptability, and feasibility, although its use in cardiac arrest survivors was limited at this 19 time.³⁵ The mRS and GOS-E appear to provide improved granularity.^{40, 42} The mRS has been 20 used more extensively in cardiac arrest survivors^{13, 40, 46-54} than the GOS-E^{43, 55} or CPC-21 Extended have.³⁶ 22

23

24 The writing group reached unanimous agreement that the mRS should be the outcome

25 measurement tool of choice for neurologic function. The mRS is a brief, clinician-completed,

ordinal hierarchical rating scale used to determine a summary score of global disability^{56, 57}
after a neurologic event or condition. The mRS captures impairment of physical and
cognitive abilities. Questions primarily focus on limitations in basic, instrumental, and more
advanced daily activities and restrictions in ability to participate in normal social roles.^{57, 58}
There is evidence that it can discriminate between levels of mild and moderate disability.⁵⁷ It
does not, however, provide detailed information of residual impairments and is unable to
differentiate between whether effects are due to neurologic or other sources of disability.^{57, 59}

8

9 [h3]How to Complete (Table 2)

mRS completion is preferably measured by direct interview with the patient and any relevant 10 caregiver-face-to-face or, optionally, by telephone.⁵⁶ Non-standardized interview 11 administration requires approximately 5 minutes.⁵⁶ Where patients are unable to participate in 12 interviews because of physical, language, or cognitive impairment, proxy completion-that 13 is, completion by informants, such as family members, caregivers, or health professionals 14 who know the patient well—may be considered. However, proxy completion without 15 involving the patient is associated with suboptimal levels of reliability and validity.^{56, 60} 16 Although some studies suggest that indirect mRS completion from hospital records is less 17 accurate,⁶¹ others suggest acceptable reliability following chart review by trained health 18 professionals.35,38 19

20

Substantial inter-rater reliability of the mRS has been described,⁶² although this can be
improved through digital training,⁶² use of a structured interview,^{58, 63} or use of a Web-based
tool with 9 questions (mRS-9Q) and an mRS calculator.⁶⁴ Use of trained raters as well as a
structured approach to calculating the mRS score are recommended. Raters should optionally
also be familiar with problems common after cardiac arrest.

1

2 [h3]Timing

3 The advantages and disadvantages outlined above for reporting survival status at discharge or 4 at 30 days apply similarly to the reporting of favorable neurologic function. Additional limitations of measuring neurologic function at discharge are that the patient will not have 5 6 been exposed to normal/their previous activities to allow accurate determination of the relevant mRS category. The time of discharge is also likely to be influenced by the degree 7 8 and speed of recovery, with those having the greatest disabilities remaining in hospital for 9 longer. Additional challenges imposed by assessing neurologic function at 30 days is the 10 requirement for the research team to specifically follow up with the patient because, unlike 11 mortality, these data are not usually tracked routinely. Incomplete follow-up risks introducing 12 attrition bias. Whichever time-point is selected, the outcome should be reported as measured 13 on the day of the assessment and not the best ever achieved.

14

15

The writing group accepted that there were advantages and disadvantages to both time points, and similar to our suggestion for assessing survival status, mRS score at discharge or 30 days is considered acceptable for reporting as a core outcome. Researchers may report both time points if feasible but should avoid reporting as a composite outcome (mRS score at discharge or 30 days) because this impairs pooling results in a meta-analysis.

21

22 [h3] What to report

23

Historically cardiac arrest trials have dichotomized neurological outcomes into favorable or unfavorable categories based on a mRS cut off of $\leq 3.^{65-67}$ However in stroke trials a mRS of

≤1⁶⁸ or ≤ 2⁶⁹ has been used to represent the cut off between favorable and unfavorable
 outcomes.

3

4 To enable consistent reporting and comparisons between papers, the writing group advised 5 that the core outcome is presented as the number and percentages of patients in each of the 6 6 categories rather than solely categorizing into favorable and unfavorable neurological 7 outcome groups. This approach also provides greater granularity on clinically relevant outcomes.70 8 9 To facilitate the transition to mRS as the core outcome measurement tool and to support 10 11 backward comparability, the writing group was also supportive of continued reporting of 12 CPC score over the next 5 years, in addition to mRS score. 13 Useful information for calculating the mRS score can be found at www.modifiedrankin.com. 14 15 The COSCA writing group suggested the use of the mRS version, where category 4 16 (moderate severe disability) is scored when the patient is either unable to attend to own 17 bodily needs without assistance and/or unable to walk unassisted. This better captures the 18 19 level of disability for a patient with severe cognitive impairment, but still able to walk. 20 Outcome after cardiac arrest is less influenced by locomotor problems when compared with stroke, and this version will be more sensitive to identify extensive dependency related 21 to severe cognitive impairment in a patient still able to walk. This version is available at 22 23 www.modifiedrankin.com.

• 0 =No symptoms

1	•	1 = No significant disability. Able to carry out all usual activities, despite some
2		symptoms

- 2 = Slight disability. Able to look after own affairs without assistance but unable to
 carry out all previous activities
- 3 = Moderate disability. Requires some help but able to walk unassisted
- 4 = Moderately severe disability. Unable to attend to own bodily needs without
 assistance and/or unable to walk unassisted
- 5 = Severe disability. Requires constant nursing care and attention, bedridden,
 incontinent

10 ● 6 = Dead

11

12 [h3]Health-Related Quality of Life

The writing group spent considerable time deliberating which tools should be used to capture 13 14 HRQoL after cardiac arrest. Key considerations were the relevance or acceptability to cardiac 15 arrest survivors, feasibility (eg, ease of use, information collection methods), the measurement properties and their previous use in the cardiac arrest patient population, and 16 17 cost. The writing group prioritized 6 generic measures of HRQoL for detailed consideration: 2 multi-item profile measures (the Short-Form 36-Item Health Survey [SF-36]⁷¹ and Short 18 Form 12-Item Health Survey [SF-12]^{72, 73}) and 4 preference-based, multi-attribute utility 19 measures (the 15-dimension Ouality of Life questionnaire [15-D].⁷⁴ the Health Utilities Index 20 version 3 [HUI3],⁷⁵ and both the original and revised versions of the EuroQol [EQ-5D-3L⁷⁶ 21 and EO-5D-5L,⁷⁷ respectively]). All preference-based measures include both descriptive 22 systems and a utility index, and hence, could be used in cost-utility evaluations.⁷⁸ 23

1 The group was unable to reach consensus and recommend a single tool among these 2 measures. Patient and public partners highlighted that none of the tools comprehensively 3 captured their experiences of the aftermath of a cardiac arrest. In online voting, the HUI3, 4 followed by the SF-36 and EQ-5D-5L, received the most support (Table 3). The briefest measures are the EQ-5D-5L (5 items) and HUI3 (8 items); the longest is the SF-36 (v2) (36 5 6 items). While all measures are intended to be measures of health status or HRQoL, the number of items and HRQoL coverage is varied (Table 3). The HUI3 and EQ-5D-5L have a 7 8 preponderance of items that relate to physical health, whereas items within the SF-36(v2) are equally distributed between physical and mental health.⁷⁸ However, only the HUI3 includes 9 items that measure cognition, speech, and dexterity, which are concerns relevant to cardiac 10 11 arrest survivors. Only the SF-36(v2) includes an assessment of fatigue. 12 Preference-based utility scores can be calculated for HUI3, EQ-5D-5L, and SF-36(v2) (in the 13 form of the SF-6D⁷⁹), supporting their use in cost-utility evaluation. The SF-36(v2) provides 14 15 the most detailed profile score—that is, separate scores are calculated across the 8 health domains, providing a more detailed assessment of health status than is otherwise afforded by 16 the 2 summary scores. More limited descriptive profile scores can also be reported for both 17 the HUI3 and EQ-5D across their 8 and 5 attributes, respectively. Normative population data 18 19 are available for all measures, supporting data interpretation, and between-group 20 comparisons. Estimates of meaningful change have been calculated for all measures 21 following completion by the general population and specific patient groups, further supporting data interpretation. License requests are required for all measures, but only the 22 23 EQ-5D-5L is free to use.

A review of published evidence on the reliability and validity of these measures following
completion by survivors of cardiac arrest demonstrated that the strongest evidence was
available for the HUI3, followed by the SF-36(v2).⁸⁰ The EQ-5D-5L has not been evaluated
in this population; however, evaluations in comparable populations suggest improved data
quality and psychometric performance when compared with the original EQ-5D-3L.⁷⁷

In summary, multiple measures of HRQoL, including the SF-12(v2), SF-36(v2), EQ-5D-5L,
and HUI3, are acceptable for measurement of outcomes in trials enrolling patients with
cardiac arrest. Each of these has strengths and weaknesses compared with other measures
available. HUI3 has been applied frequently to patients with cardiac arrest and directly
measures cognition. The other measures are also acceptable.

12

13 [h3]How to Complete

14 Although all the above HRQoL measures were developed to be self-completed, all have been successfully interview-administered in person,^{39, 41} via the telephone,^{13, 55, 81, 82} or both¹⁴ in the 15 cardiac arrest population. Postal self-completion, although possible has been only used 16 infrequently. However, the ability to self-complete a questionnaire after a cardiac arrest can 17 be severely impaired by cognitive impairment (which may result in an overestimation of 18 ability),⁸³ fatigue, or general poor health. Although proxy ratings of non-observable 19 constructs such as emotional well-being and cognition may underestimate limitations.^{84, 85} 20 agreement is generally greater for more physical attributes.^{84, 86, 87} Cronberg et al described 21 interview-based proxy completion of the SF-36(v2) with 8% of survivors at 6-month follow-22 up.¹⁴ Where possible, proxy completion by appropriate, well-informed assessors is suggested 23 24 to ensure that the views of survivors who are unable to self-report are included in trials and the results do not underestimate the impact of cardiac arrest on HRQoL.⁸⁷ 25

1

2 [h3]Timing

3 There was consensus that HRQoL should be measured after the patient's discharge from the 4 hospital. Patient recovery often continues to 6 months and beyond. Three-quarters of patients of a working age return to work after cardiac arrest at a median interval of 4 months.⁸⁸ The 5 6 optimal time points and frequency of follow-up need to be considered in the context of study resources and overall study design. If sufficient resources are available to measure post-7 8 discharge outcomes, the group recommends—as a minimum—assessment at 90 days. The 9 group considered that this best balanced the trade-off between costs and other implications associated with longer-term follow-up with the positive effect of the value and stability of the 10 data and is consistent with the review of primary outcomes by Becker et al.¹⁹ However, it is 11 12 recognized that health status may continue to change in the subsequent months and that capturing this change is important.^{40, 88, 89} Therefore, the group agreed that HRQoL could also 13 14 be assessed at 180 days and/or 1 year. However, the longer duration of follow-up would be 15 associated with increased logistic challenges and may be influenced by factors external to surviving a cardiac arrest. 16

17

18 [h1]Discussion

The COSCA Writing Group identified that survival, neurologic function, and HRQoL should be reported as core outcomes in cardiac arrest effectiveness trials. Survival status should be reported at hospital discharge and / or at 30 days. Neurologic function (measured by using the mRS) should be reported at hospital discharge and / or 30 days. HRQoL should be measured by using 1 or more tools from the HUI3, SF-36(v2), or EQ-5D-5L at 90 days and at periodic intervals up to 1 year after cardiac arrest, if resources allow.

1 Core outcome sets are intended to enhance standardization of the outcomes, which are 2 reported for effectiveness trials. As such, future cardiac arrest effectiveness trials should include the core outcomes identified by COSCA as part of the *a priori*-designated primary or 3 4 secondary trial outcomes. The COSs are intended to be complimentary to other outcome measures relevant to the particular intervention under evaluation. The COS recommendations 5 6 sit alongside, rather than replace, tools designed to enhance the quality and transparency of health research, such as the Standard Protocol Items: Recommendations for Interventional 7 Trials (SPIRIT)⁹⁰ and Consolidated Standards of Reporting Trials⁹¹ (Figure 3). Earlier phase 8 9 trials will typically focus primarily on measures of efficacy, such as biomarkers, ROSC, or immediate survival, although selected core outcomes could also be considered. 10 11 12 Traditionally, outcome assessment of patients experiencing cardiac arrest has focused on survival rates and clinician-based assessments of outcome.¹¹ However, the growth in patient-13 centered care and recognition of the importance of seeking to understand the impact of 14 15 cardiac arrest from the perspective of the survivor demand a shift in the way in which outcomes-in particular, over the longer-term-are assessed in clinical trials. The use of 16 well-developed questionnaires, which provide an assessment of how patients feel, function, 17 and live their lives because of their health and health care, can provide essential patient-18 derived information to enhance outcome reporting in clinical trials.⁹² Such questionnaires or 19 patient-reported outcome measures may be simply categorized as generic or specific (to a 20 21 condition [eg, diabetes], a problem [eg, cognition], a function [eg, activities of daily life], or a population [eg, children]). 22

23

Generic measure of HRQoL, such as those short-listed in the COSCA recommendations
(HUI3, SF-36(v2), EQ-5D-5L), includes multidimensional concepts (physical, social,

1 emotional, and mental functioning) that provide a general assessment of HRQoL of relevance 2 to patients and the general population, facilitating between-group comparisons and ensuring 3 that the patient perspective is captured in clinical trials. Although the generic measures 4 supported by COSCA start to move the focus toward patient-centered outcomes, the current tools still fail to comprehensively capture the breadth of outcomes and experiences that 5 matter most to cardiac arrest survivors.93-95 As consequence, the impact of cardiac arrest and 6 associated healthcare may be incompletely assessed. Although a condition-specific measure 7 8 for survivors of cardiac arrest does not currently exist, measures specific to problems of relevance to cardiac arrest survivors (eg, cognition, fatigue, anxiety, social participation) are 9 available and have been increasingly used in this population.^{13, 14, 25, 26, 96-98} Even though the 10 11 COSCA recommendations do not currently include guidance for 1 or more problems or function-specific measures, per good practice guidance for outcome assessment,^{84, 85} where 12 possible, we encourage their inclusion. Although not yet evaluated in the cardiac arrest 13 population, the PROMIS initiative (Patient Reported Outcome Measures Information System 14 15 http://www.healthmeasures.net/explore-measurement-systems/promis/intro-to-promis) describes a range of fixed or dynamic (computer adaptive tests) self-report measures of 16 physical, mental and social health appropriate for use with the general population and those 17 with chronic conditions, and hence suitable for comparing the burden of illness and treatment 18 impact. The paucity of evidence to suggest which tools are best suited highlights the need for 19 20 further research in this area.

21

Collecting health-related quality-of-life measures as an outcome of a clinical trial can be
 challenging and expensive. Sometimes, such data are missing from patients with the poorest
 outcomes, which may result in systematic bias, which cannot be ignored.^{99, 100} To maximize
 the quality and timeliness of quality-of-life measures and reduce the risk of systematic bias

due to missing data, standardized administration and routine screening for avoidable missing
 data are advised.¹⁰⁰⁻¹⁰² The approaches used and handling of missing data should be detailed
 in the study protocol and standard operating procedures.^{99, 101}

4

The writing group was cognizant of the balance that needs to be struck between the 5 6 requirements of collecting the core outcomes identified by the COSCA initiative at a time of 7 constrained research resources and the need to accelerate the pace of evidence-based change 8 in resuscitation practices. The overall efficiency of the research pathway may be improved 9 through a better understanding of the pathophysiology and effects of therapeutic interventions from animal and laboratory studies. By establishing proof of concept with evidence from 10 early efficacy trials, internal pilots may reduce redundancy in effectiveness trials.¹⁰³⁻¹⁰⁵ 11 Improving the efficiency of the conduct of trials¹⁰⁶ and making use, where possible, of 12 registry data¹⁰⁷ may reduce costs and shorten the time to complete trials. The use of fixed 13 14 dichotomous analysis of ordered categorical outcomes is rarely the most statistically efficient 15 approach and usually requires a larger sample size to demonstrate efficacy than other approaches.⁶⁸ Alternative analytical approaches such as shift analysis, ordinal logistic 16 regression, used widely in stroke research,^{68, 70} require further evaluation in the cardiac arrest 17 population. A better understanding of measurement properties of continuous outcomes, such 18 as hospital-free survival,³¹ may also aid reductions in sample size and trial costs. 19

20

21 [h1]Conclusion

Through a partnership between patients, partners, clinicians, and researchers and endorsed by
ILCOR, consensus emerged that a core outcome set for reporting on effectiveness studies of
cardiac arrest (COSCA) should include survival, neurologic function, and health-related
quality of life (HRQoL). To facilitate meaningful comparisons across studies over time,

1	survival status and modified Rankin scale at hospital discharge and / or 30 days should be
2	reported. HRQoL should be measured by using 1 or more tools from the HUI3, SF-36(v2), or
3	EQ-5D-5L at 90 days and at periodic intervals up to 1 year after cardiac arrest, if resources
4	allow.
_	

1 Acknowledgments

2 This scientific statement is dedicated to the memory of Dr Ian Jacobs, who inspired,

3 supported, and contributed to the COSCA initiative. Dr Jacobs was a lifelong volunteer of the

- 4 Australian Resuscitation Council and co-chair of ILCOR at the time of his death. His legacy
- 5 and contributions to the science of resuscitation and compassionate cardiac arrest care will
- 6 live on through COSCA's focus on patient-centered outcomes.
- 7
- 8 We acknowledge the support and guidance from ILCOR and its member councils, including
- 9 American Heart Association, European Resuscitation Council, Heart and Stroke Foundation
- 10 of Canada, Australia and New Zealand Committee on Resuscitation, Resuscitation Council of
- 11 Southern Africa, Inter-American Heart Foundation, and Resuscitation Council of Asia.
- 12
- 13 Sources of Funding
- 14 None
- 15
- 16 Disclosures

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8 9

1 Table 1. Themes From Patient and Partner Interviews Relating to Disruption to

2 Normality

Theme	Examples
Survival	Closeness to death
	Gratitude to be alive
Impairment and impact to	Fatigue
activities	Breathlessness
	Vision
	Muscle weakness
	Pain (eg, fractured ribs)
	Activities of daily living/increased
	dependence
	Cognitive function
Emotional well-being	Anxiety
	Confidence
	Depression
	Self-esteem
	Personality changes
	Frustration
Social well-being and	Participation (role: job, voluntary, career)
participation	Participation (leisure: hobbies, sports)
	Participation (social activities)
	Participation (family: relationships, intimacy)
Impact on others	Increased work/care
	Impact to participation—hobbies, work

	Strain o	n relationships
	Worry	
Ĺ		

3 4

Outcome	Time-point Preferred method		Alternative method		
Survival	30 days and / or discharge	Ambulance / Hospital records Death registry			
Neurological function (mRS)	c		Informant interview Telephone assessment Review of hospital records		
Quality of Life	90 days	Face-to-face (proxy completion where respondents are unable to participate)	Telephone interviews Postal questionnaire		

Table 2. Core outcomes, time-point and preferred methods for collection

PROM	em Content of Short-fisted Generic	HRQoL Domains (Ferrans et al, 2005)						
	Conceptual Focus,	(Items Per Domain)					How to Score	
Developer	Response Options/Recall Period, Completion	Symptom		Func	ctional Status		General	
Website	Format,	Status	Physical	Cognitive	Psychological	Social/Role	Health	
Cost (License)	Language Versions						Perception	
Completion Time		Symptoms						
Preferences based (2)								
Health Utilities Index 3	Preference-based, comprehensive system for	Pain—severity	Ambulation:	Cognition:	Emotion:			2 ways of
	measuring health status and HRQoL and for	(1)	Ability to	ability to	happiness and			presenting the
(HUI3)	producing utility scores. Applicable for all persons		walk	solve day-	interest in life			data:
	aged 5 years and older.		(distances)	to-day	(1)			
www.healthutilities.com				problems				1. HUI3 utility
	HUI3 classification system: describes the		Dexterity:	(1)				index: scored
License for use per project;	comprehensive health state of an individual across 8		Ability to					by using
minimum fee \$3000 (US) [Horsman,	attributes of general health (6/8 items reflect		use hands					single- and
2003]	physical functional status)		and fingers					multiattribute
								utility
Completion time:	Response options: Between 4 and 6 descriptive		Senses:					functions
Approximately 8 minutes self-	response options (ability/disability)		Vision					
completion								HUI-specific
								coding

 Table 3. Summary and Item Content of Short-listed Generic HRQoL Measures (n=3)

Approximately 3 minutes interview	Recall period: "Current" or "Usual" — "Usual"	Senses:			algorithms to
completion	recommended for clinical studies. Choice of 1-	Hearing			support
(not reported in cardiac arrest	week, 2-week, or 4-week recall available. (Horsman				calculation of
population)	et al, 2003)	Speech:			single-
		Ability to be			attribute
	Completion: Self, interview (in person; telephone),	understood			Utility Score
User guide: Available once HUI3 is	or proxy (proxy version available) supported				(Index)
purchased		(5)			
	Language: 16 versions, including English, Chinese,				Index range –
Country of origin: Canada	Dutch, French, German, Italian, Japanese,				0.36 to 1.00,
	Portuguese, Russian, Spanish, Swedish				where 1.00 is
					perfect health,
					0 is dead, and
					<0 is a health
					state worse
					than death
					Population-
					based norms
					available
					2.
					Multiattribute
					descriptive

								system—
								"Classification
								system"—
								reflects
								individual
								item scores
EuroQol EQ-5D-5L	Standardized, preference-based measure of health	Pain/discomfort	Mobility	-	Anxiety/depression	Usual	-	2 ways of
	status for use in clinical and economic appraisal	(1)			(1)	activities		presenting the
(EQ-5D-5L)			Self-care			(including		data:
	EQ-5D descriptive system: 5 items across "5					work,		
www.euroqol.org/home.html	domains" (2/5 reflects physical functional status)		(2)			study,		1. EQ-5D-5L
						housework,		Index value
	(EQ VAS: self-rated health on a 20 cm vertical					and family		EuroQol-
License: For use per project; free,	visual analogue scale)					or leisure		specific
but use must be registered on						activities)		coding
EuroQol website:	Response options: 5-level categorical response					(1)		algorithms to
www.euroqol.org/register-to-use-eq-	options per item (no problems [1] to extreme							support
<u>5d.html</u>	problems [5])							calculation of
								Utility Score
	Completion of all items will produce a 5-digit							(Index):
Completion time:	number describing the respondent's health state (but							
Less than 5 minutes	the numerals 1-5 have no inherent arithmetic							Crosswalk
(not reported in cardiac arrest	properties and should not be used as a cardinal							value sets
population)	score)							from EQ-5D-

				3L support
User guide: Free at following link:	Recall period: Today			calculation of
www.euroqol.org/about-eq-				EQ-5D-5L
5d/publications/user-guide.html	Completion: Self, interview (in person, telephone),			utility score
	or proxy (2 proxy versions) supported:			
Country of origin: Multiple	www.euroqol.org/about-eq-5d/modes-of-			Index range –
	administration.html			0.59 to 1.00,
				where 1.00 is
	Formats: PDA, pen and paper, proxy paper, tablet,			perfect quality
	telephone, Web:			of life, 0 is
	www.euroqol.org/eq-5d-products/eq-5d-51.html			death, and <0
				is a health
	Language: >120 language versions (see			state worse
	www.euroqol.org)			than death
				Country-
				specific value
				sets and
				population-
				based norms
				available
				Report both
				measure of

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				central
				tendency and
				a measure of
				dispersion, eg,
				mean and SD;
				median and
				percentiles
				2. EQ-5D-5L
				descriptive
				system as a
				health profile:
				reflects
				individual
				item scores.
				2.1 Report as
				the frequency
				or proportion
				of reported
				problems for
				each level for
				each
				dimension

								2.2
								Dichotomize
								into "No
								problems" (1)
								and
								"Problems"
								(2–5), report
								frequencies of
								reported
								problems
Profile measures (1)								
Short Form 36-Item Health	Functional health and well-being from the patient's	Bodily pain	Physical	-	Mental health	Social	General	2 ways of
Survey, version 2	perspective—underpinned by 8 health domains	(BP) (2)	functioning		(MH) (5)	functioning	health	presenting the
	across both physical (4) and mental (4) aspects of		(PF) (10)			(SF) (2)	(GH) (5):	data:
(SF-36v2)	health	Vitality (VT):			Role limitation		perceived	
		fatigue/tiredness	Role		(RE) (3)		well-being	2.1 Eight-
https://campaign.optum.com/optum-	Total 35 items plus 1 health transition item	(2)	limitation					domain profile
outcomes/what-we-do/health-			(RP) (4)					
surveys/sf-36v2-health-survey.html	Response options: Between 3- and 6-level							2.2 Two
	categorical response options per item							component
		1	1		1	1		1

License For use per project;	Recall period: Standard recall 4 weeks; acute recall				summary
minimum fee \$US	1 week				scales:
					PCS,
Survey license request: via above	Completion: Self, interview (in person; telephone),				MCS
URL	or proxy supported				
					Scoring
Completion time:	Language: >170 language versions:				requires SF-
Range 5 to 30 minutes	See website				36–specific
(not reported in cardiac arrest					algorithm.
population)	The IQOLA project supported the development of				
population	conceptually equivalent and culturally appropriate				Norm-based
	translations (see <u>www.iqola.org</u>)				scoring: score
User guide: Available once SF-36v2					transformed to
is purchased	Note: utility values				0-100 (mean
	A preference-based utility index, the SF-6D can be				50 [SD 10])
Country of origin: United States	calculated after completion of the SF-36 to inform				
	economic analyses:				Population-
					based norms
	https://www.shef.ac.uk/scharr/sections/heds/mvh/sf-				available
	<u>6d</u>				

EQ VAS indicates EuroQol visual analogue scale; HRQoL, health-related quality of life; IQOLA, International Quality of Life Assessment; MCS, mental component summary; PCS, physical component summary; PROM, patient-reported outcome measure; SD, standard deviation; VAS, visual analogue scale.

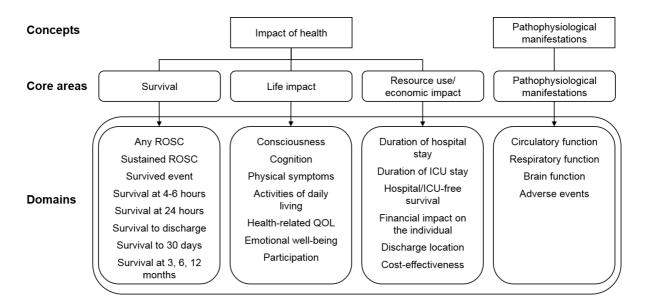


Figure 1. OMERACT framework 2.0 modified for cardiac arrest.

ICU indicates intensive care unit; QoL, quality of life; and ROSC, return of spontaneous

circulation.

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Core Area	ore Area Outcome Domain			Timing of Measurement						
		During CPR	Immediately after CPR	During hospital stay	At hospital discharge	Within I year				
Pathophysiologic	Circulatory function	0	•							
manifestations	Respiratory function									
	Renal function									
	Brain function (neurologic markers)		0	0▲						
	Adverse events									
	CPR process measures*									
Survival	Survival	•	•	• ▲	• ▲	•				
Life impact	Consciousness and cognition		0	0▲	•▲	•▲				
	Physical symptoms				•	• •				
	Activities of daily living				•	• ▲				
	Health-related quality of life				0					
	Emotional well-being									
	Family impact									
	Participation				Δ	• •				

	Fatigue			
Economic impact and	Cost-effectiveness	-		
resource use	Hospital-free survival*			

Figure 2: Outcome domains presented for discussion at COSCA meeting.

Symbol key: Circles indicate healthcare professionals and researchers. Triangles indicate patients and partners. Gray fill indicates strong consensus (<70%); white fill indicates moderate support. Gray boxes were not rated or ranked on their importance.

*Hospital-free survival and CPR process measures were introduced during expert panel meeting.

	Good Clinical Practi	ice						
SPIRIT		CONSORT						
Standard Protoco Recommendations for Inte		Consolidated Standards of Reporting Trials						
	COSC	9						
Core Out	come Set for Cardiac Arre	st Clinical Trials						
Survival Discharge or 30 days	Neurologic function mRS Discharge or 30 days	Health-related QoL HUI3, SF-36, EQ-5D-5L at 90 days						
Trial-specific outcomes								

Figure 3. Clinical trials are conducted within the overall framework of good clinical practice, which supports clear and transparent reporting. Core outcome sets are suggested for inclusion as part of the *a priori*–designated primary or secondary end points of effectiveness trials. They enhance the quality and transparency of health research promoted by SPIRIT and CONSORT.

QoL indicates quality of life.