# Clinical and mycological predictors of cryptococcosis-associated immune reconstitution inflammatory syndrome

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**Objective:** HIV-infected patients with treated cryptococcal meningitis are at risk for further neurological deterioration after commencing combination antiretroviral therapy (cART), mostly because of cryptococcosis-associated immune reconstitution inflammatory syndrome (C-IRIS). Identifying predictors of C-IRIS could enable risk stratification.

Design: Prospective, longitudinal cohort study for 24 weeks.

Setting: Durban, South Africa.

**Participants:** One hundred and thirty HIV-infected patients with first cryptococcal meningitis episode

**Intervention:** Antifungal therapy (amphotericin 1 mg/kg median 14 days, followed by consolidation and maintenance fluconazole) and cART (commenced median of 18 days from cryptococcal meningitis diagnosis).

**Main outcome measure:** Clinical, blood, and cerebrospinal fluid (CSF) markers associated with C-IRIS before and during cART and clinical significance of CSF cryptococcal culture negativity pre-cART commencement.

**Results:** Of 106 patients commencing cART, 27 (25.5%) developed C-IRIS, 16 (15.1%) neurological deterioration-not C-IRIS, and 63 (59.4%) no neurological deterioration. On multivariable analysis, C-IRIS was associated with persistent CSF cryptococcal growth [hazard ratio (HR) 0.27, P = 0.026] and lower CSF protein (HR 0.53, P = 0.059) prior to cART and lower CD4<sup>+</sup> T-cell increases (HR 0.99, P = 0.026) but not change in HIV viral load during cART. Using survival analysis, patients with a negative crypto-coccal culture pre-cART commencement (n = 51; 48.1%) experienced fewer episodes of neurological deterioration, C-IRIS, and cryptococcal relapse/persistence than patients with culture positivity (n = 55; 51.9%, HR 0.33, 0.33, and 0.12 and P = 0.0003, 0.0042, and 0.0004, respectively).

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DOI:10.1097/QAD.0b013e3283614a8d

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**Conclusion:** Persistent CSF cryptococcal growth at cART initiation and poor CD4<sup>+</sup> T-cell increases on cART are strong predictors of C-IRIS. Approaches aimed at achieving CSF culture negativity prior to cART should be evaluated as a strategy to reduce rates of C-IRIS. © 2013 Wolters Kluwer Health | Lippincott Williams & Wilkins

AIDS 2013, 27:2089-2099

Keywords: cryptococcal meningitis, cryptococcosis-associated immune reconstitution inflammatory syndrome, HIV/AIDS, immune restoration disease

### Introduction

Propelled by the HIV epidemic, cryptococcal meningitis is currently the leading cause of adult meningitis in central and southern Africa. Globally, two-thirds of the 1 million patients with cryptococcal meningitis are estimated to die within 3 months of diagnosis [1]. Patients with HIV infection who survive cryptococcal meningitis to commence combination antiretroviral therapy (cART) often experience further neurological deterioration presenting with worsening headaches, seizures, or confusion. This can lead to further invasive procedures and hospital admissions for empirical re-treatment of cryptococcal meningitis and is associated with significant morbidity and mortality. A large proportion of these neurological deterioration events are likely because of paradoxical cryptococcosis-associated immune reconstitution inflammatory syndrome (C-IRIS).

Paradoxical C-IRIS is a form of immune restoration disease, a condition resulting from heightened immune responses against viable and/or nonviable opportunistic pathogens that cause immunopathology at various sites [2] and has been reported in up to 50% of patients following commencement of cART [3]. Diagnosis of immune restoration disease requires careful exclusion of alternative diagnoses, a temporal association to cART commencement, and evidence of a virological response to cART [4–6]. Specific definitions for diagnosis of C-IRIS have been published [7], although diagnosis remains difficult. To date, there are no clear predictive or diagnostic markers for C-IRIS.

Evidence is accruing against very early commencement of cART after diagnosis of cryptococcal meningitis in HIVinfected patients with three randomized studies demonstrating that deferring cART conferred a survival advantage [8-10]. Death following early initiation of cART has been postulated to be linked to C-IRIS. This is in contrast to evidence from tuberculosis (TB)-HIV coinfection studies in which early cART initiation increased survival in patients with advanced immunodeficiency [11,12], although not in tuberculous meningitis [13].

We sought to define the causes of neurological deterioration post-cART commencement and to identify the clinical and mycological predictors of C-IRIS. In particular, we hypothesized that cryptococcal clearance prior to cART commencement would be associated with improved clinical outcomes.

#### **Methods**

#### Study design and participants

Between August 2009 and March 2011, we consecutively enrolled 130 cART-naive, HIV-infected patients experiencing their first episode of cryptococcal meningitis into a prospective, longitudinal cohort study in Durban, South Africa. Patients were 18 years of age or older with a positive cryptococcal antigen (CrAg) or India ink test on cerebrospinal fluid (CSF). Written informed consent was given by the patients or their next-of-kin. Ethics approval was granted by the Biomedical Research and Ethics Committee of University of KwaZulu Natal (BF053/09), Monash University (2009001224), and University of Western Australia (RA/4/1/2541).

# Antifungal therapy and combination antiretroviral therapy

Patients were jointly managed by the local treating team and a single study physician who supervised the management of all patients. Each patient was treated with induction therapy using intravenous amphotericin (1 mg/kg) for 14 days and therapeutic lumbar punctures were performed as clinically indicated, as per local guidelines [14]. Patients then received 8-12 weeks of consolidation therapy using fluconazole 400 mg followed by 200 mg as maintenance therapy, with a 50% dose increase for patients receiving rifampicin concurrently. Flucytosine (5-FC) was not available. Standard cART was commenced based on clinical judgment: improvement in conscious state; no further headaches, neck stiffness, confusion, seizures, or vomiting; and improvement in opening pressure (wherever possible, aiming for  $<25 \text{ cmH}_2\text{O}$ ), improvement in renal function, and patient-readiness to initiate cART.

Just prior to cART commencement a lumbar puncture was performed (termed 'clearance lumbar puncture'). The cART regimen was composed of stavudine/ lamivudine/efavirenz until April 2010 when stavudine was replaced with tenofovir in the national guidelines [15]. Patients were prospectively followed at a minimum interval of 4 weeks, for 24 weeks from the time of cART commencement. Patients were also systematically evaluated at each clinical visit and data recorded on case report forms, specifically designed to capture data on neurological deterioration. Phone and short-messaging services were utilized to enhance follow-up.

# Classification of neurological deterioration events

Patients were educated thoroughly on the natural history of cryptococcal meningitis and HIV and were informed of the possibility of further neurological deterioration and the need for urgent assessment should this arise. The study physician was available by phone 24 h a day, 7 days a week for the duration of the study, and patients and families were encouraged to contact the physician if necessary. Symptoms and signs suggestive of neurological deterioration such as worsening headaches, seizures, confusion, neck stiffness, visual change, or limb weakness triggered consideration of a neurological deterioration event. All events were investigated and managed by the study physician in association with local treating teams.

Details of each neurological deterioration episode was retrospectively analyzed by an end-point review committee consisting of the study physician and three experienced HIV physicians and classified as probable-C-IRIS, possible-C-IRIS, neurological deteriorationnot C-IRIS, or indeterminate, based on predefined criteria [7]. Patients with a clear alternative explanation for their neurological deterioration or noncompliance to antifungal therapy with a clear increase in cryptococcal CSF load consistent with cryptococcal persistence/ relapse were classified as neurological deterioration-not C-IRIS. Patients with inadequate information (e.g. sudden death at home) were classified as indeterminate. Definitions of possible-C-IRIS and probable-C-IRIS differed only in the strength of the evidence for C-IRIS and both groups were therefore classified as C-IRIS for analyses of predictors of C-IRIS. Patients who did not experience any neurological deterioration were classified as no neurological deterioration.

# Examination of cerebrospinal fluid samples and classification by clearance lumbar puncture

All CSF samples underwent routine analysis for cell count, Gram stain, and India ink testing and were cultured for 30 days. In addition, CSF samples collected at cryptococcal meningitis diagnosis, at clearance lumbar puncture and at neurological deterioration underwent quantitative cryptococcal culture (QnCC). In brief,  $100 \,\mu$ l of undiluted CSF was cultured, followed by 10-fold serial dilution on five further plates. Remaining CSF was centrifuged and sediment plated on a qualitative plate. CSF and serum CrAg was quantified by latex agglutination (CALAS; Meridian Bioscience, Cincinnati,

Ohio, USA) to a maximum titer of 1:1024. All patients had a clearance lumbar puncture performed. On the basis of the clearance lumbar puncture, patients were classified as 'culture negative', if their qualitative cultures were negative for cryptococcal growth at 30 days, and 'culture positive' if their cultures were positive. This classification was blinded to the treating clinicians.

## Statistical analysis

Categorical variables were summarized using frequency and percentages and were compared using a chi-square test or a Fisher's exact test wherever appropriate. Continuous variables were assessed for skew and summarized using mean and standard error or median and interquartile range as appropriate and analyzed using either a *t*-test or a Wilcoxon rank-sum test. Predictors of time to C-IRIS were analyzed using univariable and multivariable Cox proportional-hazards regression. The number of C-IRIS events limited multivariable modeling to three concurrent predictors in any one model. Hazard proportionality was assessed through the analysis of scaled Schoenfeld residuals.

Predictors of culture negativity in the clearance CSF sample were analyzed using logistic regression. Goodness-of-fit of logistic models was assessed using a Hosmer and Lemeshow test. Clinical outcomes of CSF culture negativity were determined by survival analysis. All reported *P*-values are two-tailed and in all analyses P < 0.05 was considered significant. All analyses were performed using Stata v.12 (StataCorp, College Station, Texas, USA) and GraphPad Prism v5 (La Jolla, California, USA). The funding source took no part in the design or analysis of the study.

## Results

## Patient recruitment and demographics

One hundred and thirty patients were enrolled (Fig. 1); one was erroneously enrolled (HIV-seronegative) and another commenced and ceased cART outside of protocol. Of the remaining 128 patients, three were lost to follow-up (declined to return for cART) and 19 (14.8%) died during induction antifungal therapy before initiating cART. One hundred and six patients started cART at a median time of 18 days (interquartile range 15–22) after cryptococcal meningitis diagnosis. Post-cART follow-up accrued to 1803 patient-weeks. The median age was 33.5 years; median CD4<sup>+</sup> T-cell count was 35 cells/µl and HIV plasma viral load was 5.2 log<sub>10</sub> copies/ml. All patients were positive for CSF CrAg and 118 (92.2%) had CSF cultureconfirmed cryptococcal infection.

## Neurological deterioration and survival

Forty-three patients had neurological deterioration events (n = 27, 25.5% C-IRIS; and n = 16, 15.1%



Fig. 1. Patient recruitment and disposition flowchart. cART, combination antiretroviral therapy; C-IRIS, cryptococcosisassociated immune reconstitution inflammatory syndrome; ND, neurological deterioration.

neurological deterioration-not C-IRIS), whereas 63 had no neurological deterioration (59.4%; Fig. 1). Eight patients experienced multiple neurological deterioration events. Clinical features of neurological deterioration included headache, nausea/vomiting, neck pain, and confusion, which occurred in 74.6, 58.2, 38.2, and 21.8%, respectively. The neurological deterioration events (n = 55) were classified as C-IRIS (n = 34); 61.8%), neurological deterioration-not C-IRIS (n = 14; 25.5%), and indeterminate (n = 7; 12.7%). The causes of neurological deterioration-not C-IRIS were cryptococcal persistence/relapse (n=6), shunt-related problems (n=2), renal failure (n=2), pulmonary TB (n=2), varicella-zoster infection (n = 1), and migraine (n = 1). Of the seven indeterminate events, three died in hospital without sufficient investigations and four died out of hospital. Twenty-one (19.8%) deaths were recorded after cART commencement. Survival at 6 months post-cART was 80.2% in the cohort who commenced cART.

# Predictors of cryptococcosis-associated immune reconstitution inflammatory syndrome

The C-IRIS group, when compared with the no neurological deterioration group, had lower CD4<sup>+</sup> T-cell counts pre-cART (median 16 vs. 36 cells/ $\mu$ l, P=0.015), but there was no significant difference in age, sex, HIV viral load, or BMI between groups (Table 1). Seizures at cryptococcal meningitis presentation were more common in the C-IRIS group (P=0.028).

On the basis of the first CSF sample collected at cryptococcal meningitis diagnosis, the C-IRIS group compared to the no neurological deterioration group, had a lower CSF protein level (median 0.70 vs. 0.91 g/l, P=0.013); lower CSF neutrophil count (median 0 vs. 6 cells/µl, P=0.018); lower CSF lymphocyte count (median 10 vs. 34 cells/µl, P=0.006); and higher CSF cryptococcal burden as measured by QnCC (median 111 000 vs. 1800 colony forming unit (CFU)/ml, P=0.004; Table 1).

When we assessed the clearance lumbar puncture (median time 15 days from cryptococcal meningitis diagnosis), fewer patients in the C-IRIS group compared to the no neurological deterioration group were culture negative (25.9 vs. 61.9%, P=0.002); and the C-IRIS group had lower CSF protein levels and total leucocyte counts (median 0.57 vs. 0.94 g/l, P=0.003 and 18 vs. 39, P=0.045, respectively; Table 1). QnCCs for clearance lumbar puncture were available for 97.2% of patients and were not significantly different between groups. Lumbar puncture opening pressure, CSF glucose, and CSF and serum CrAg were not significantly different between groups.

 $\text{CD4}^+$  T-cell counts remained lower in the C-IRIS group compared to the no neurological deterioration group at week 04 (P=0.0042) but were similar by week 12, whereas there were no differences in HIV viral load

Table 1. Baseline demographics, clinical prior to combination antiretroviral thera	parameters, cerebrospinal fluid analysi apy commencement.	s at cryptococcal meningitis presentatio	m, and clearance lumbar puncture and <b>k</b>	blood investiga	tions performed just
Parameter	Commenced cART ( $N = 106$ )	No-ND $(N = 63)$	C-IRIS ( $N = 27$ )	Р	HR (95% CI)
Age (years)	33.5 (28.0 - 40.0)	33.0 (28.0–40.0)	34.0 (27.0-42.0)	0.704	1.09 (0.70-1.71)
Sex M:F (% male)	60:46 (56.6%)	37:26 (58.7%)	13:14 (48.1%)	0.323	1.46 (0.79-3.11)
New HIV diagnosis	41 (38.7%)	22 (34.9%)	12 (44.4%)	0.550	1.26 (0.59-2.70)
CD4 <sup>+</sup> T-cell count (cells/µl)	35 (11–77)	36 (16-83)	16 (6-53)	0.015	0.71 (0.54-0.94)
HIV VL (log <sub>10</sub> copies/ml)	5.2(4.5-5.7)	5.3(4.9-5.7)	5.1 (4.4–5.6)	0.322	1.00 (1.00-1.00)
BMI	20.5 (18.1–23.4)	20.5 (18.2–23.1)	20.7 (18.1–24.2)	0.162	1.07 (0.97-1.18)
Clinical presentation <sup>a</sup>					
Headache	97 (91.5%)	56 (88.9%)	25 (92.6%)	0.523	1.60 (0.58-6.75)
Confusion	32 (30.2%)	19 (30.2%)	6 (22.2%)	0.727	0.85 (0.34-2.10)
Seizures	13 (12.3%)	4 (6.3%)	5 (18.5%)	0.028	2.98 (1.12-7.87)
Neck stiffness	78 (73.6%)	46 (73.0%)	22 (81.5%)	0.390	1.53 (0.58-4.04)
Glasgow coma score	15 (14–15)	15 (14–15)	15 (15–15)	0.132	1.74 (0.85-3.58)
LP at CM diagnosis					
Opening pressure (cmH <sub>2</sub> O)	40 (26->50)	35(26 - 50)	38 (19->50)	0.273	0.99 (0.95-1.03)
CSF protein (g/l)	0.84 (0.58–1.46)	0.91 (0.61–1.54)	0.70(0.49 - 0.82)	0.013	0.44 (0.24-0.84)
CSF glucose (mmol/l)	2.20 (1.60–2.90)	2.2(1.8-3.0)	2.8 (1.8–3.1)	0.822	0.97 (0.73-1.3)
CSF neutrophils (cells/µl)	2 (0-20)	6 (0-32)	0 (0-2)	0.018	0.53 (0.31-0.90)
CSF lymphocytes (cells/µl)	23 (4–96)	34 (8–144)	10 (0-24)	0.006	0.64 (0.46 - 0.88)
CSF QnCC (CFU/ml)	10800 (400-290000)	1800 (100–91000)	111000(6100 - 111000)	0.004	1.26 (1.08–1.48)
Clearance LP					
Opening pressure (cmH <sub>2</sub> O)	19.5 (14–24)	18 (14–24)	22 (15–24)	0.844	1.00 (0.95-1.04)
CSF protein (g/l)	0.84 (0.57–1.38)	0.94 (0.69 - 1.45)	0.57(0.36 - 0.80)	0.003	0.69 (0.20-0.73)
CSF glucose (mmol/l)	2.3 (1.8–2.7)	2.30 (1.80–2.70)	2.25 (1.95–2.55)	0.913	0.97 (0.59-1.59)
CSF neutrophils (cells/µl)	4 (0-10)	4 (0-10)	4 (0-8)	0.665	0.99 (0.96-1.02)
CSF lymphocytes (cells/µl)	23(8-68)	32 (8–74)	16 (2-26)	0.351	1.00 (1.00-1.00)
CSF total leucocytes (cells/ µl)	33 (14–80)	39 (20-85)	18 (6–36)	0.045	$0.64 \ (0.42 - 0.99)$
CSF QnCC (CFU/ml)	0 (0-40)	0 (0-0)	50 (0-230)	0.397	1.08 (0.91-1.27)
CSF cryptococcal culture negative	51 (48.1%)	39 (61.9%)	7 (25.9%)	0.002	0.26 (0.11-0.62)
CSF CrAg	≥1:1024 (≥1:1024-≥1:1024)	$\geq 1:1024 \ (\geq 1:1024 - \geq 1:1024)$	$\geq 1:1024 \ (\geq 1:1024 - \geq 1:1024)$	0.947	1.00 (1.00-1.00)
Blood investigation <sup>b</sup>					
Serum CrAg	≥1:1024 (≥1:1024–≥1:1024)	≥1:1024 (1:512–≥1:1024)	≥1:1024 (≥1:1024–≥1:1024)	0.756	1.00 (1.00–1.00)
Median (interquartile range or %). C-IRI: confidence interval; CM, cryptococcal me cryptococcal culture; VL, viral load.	IS, cryptococcosis-associated immune r eningitis; CrAg, cryptococcal antigen; C	reconstitution inflammatory syndrome; SF, cerebrospinal fluid; HR, hazard ratio	cART, combination antiretroviral thera ); LP, lumbar puncture; ND, neurologica	tpy; CFU, colo al deterioration;	ny forming unit; Cl, QnCC, quantitative
<sup>a</sup> Other symptoms including photophobia, <sup>b</sup> Other blood investigations including her	1, nausea and vomiting, visual change, l emoglobin, white cells, neutrophils, lym	hearing impairment, weight loss, fever a phocytes, platelets, creatinine, calcium	and limb weakness were not different b and albumin were not statistically diffe	oetween groups erent between	: groups.

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 Table 2. Three-parameter multivariate analysis for cryptococcosisassociated immune reconstitution inflammatory syndrome.

Parameter	Р	HR	95% CI
Clearance LP – culture negative	0.010	0.2691	0.0986-0.7342
CD4 <sup>+</sup> T cell over 24 weeks	0.026	0.9895	0.9804-0.9987
Clearance LP – CSF protein	0.059	0.5282	0.2726-1.0233

CI, confidence interval; CSF, cerebrospinal fluid; HR, hazard ratio; LP, lumbar puncture.

(supplementary Figure 1, http://links.lww.com/QAD/A364). Analyzing time-varying CD4<sup>+</sup> T-cell counts over 24 weeks demonstrated that every 100 CD4<sup>+</sup> T-cell increase on cART was associated with a 62% reduction in the rate of C-IRIS [hazard ratio (HR) 0.28, 95% confidence interval (CI) 0.13–0.59, P=0.001]. Changes in serum CrAg level over 24 weeks were not associated with C-IRIS (P=0.324).

Using multivariable analysis, a negative CSF cryptococcal culture at cART initiation and increasing CD4<sup>+</sup> T cells over 24 weeks of cART were both independently associated with decreased rates of C-IRIS (P=0.010, HR 0.27 and P=0.026, HR 0.99, respectively), whereas lower CSF protein on the clearance lumbar puncture showed a trend toward an association with decreased rates of C-IRIS (P=0.059, HR 0.53) (Table 2).

# Predictors of cerebrospinal fluid cryptococcal culture negativity and its clinical outcomes

On the basis of the clearance lumbar puncture results available for all 106 patients, patients were divided into 'culture-negative' (n=51, 48.1%) or 'culture-positive' (n=55, 51.9%) groups. The number of amphotericin doses (median 14 in both groups) and duration of antifungal therapy prior to the clearance lumbar puncture (14 vs. 15 days) and time to starting cART following cryptococcal meningitis diagnosis (17 vs. 20 days) were similar between groups (P=0.332, 0.255, and 0.180, respectively) (Table 3).

The culture-negative compared to the culture-positive group had higher pre-cART CD4<sup>+</sup> T-cell counts (median 42 vs. 33 cells/ $\mu$ l, P = 0.009), higher neutrophil counts in CSF at cryptococcal meningitis presentation (median 6 vs.  $0 \text{ cells/}\mu$ l, P = 0.031); and higher lymphocyte and total leucocyte counts in CSF from the clearance lumbar puncture (median 34 vs. 16 cells/ $\mu$ l, P = 0.006 and 54 vs. 28 cells/µl, P = 0.012, respectively) (Table 3). Seizures as a presenting feature were less common in the culture-negative group (3.6 vs. 20.0%, P = 0.023). CSF QnCC performed on the first available CSF and the clearance lumbar puncture were both lower in the culture-negative compared to the culture-positive group (P = < 0.001 and 0.006, respectively) as were the CSF and serum CrAg titers (P = 0.015 and 0.002, respectively) (Table 3).

Using multivariable analysis, lower QnCC in CSF from the clearance lumbar puncture and serum CrAg were independent predictors of CSF culture negativity (P=0.007, odds ratio 0.063, 95% CI 0.008-0.468 and P=0.025, odds ratio 0.998, 95% CI 0.996-1.000,respectively). CSF lymphocyte count and blood CD4<sup>+</sup> T-cell count contributed to the model (P=0.408 and 0.610, respectively) (Table 3).

To test the hypothesis that CSF cryptococcal clearance prior to cART commencement is associated with improved clinical outcomes, we specifically compared deterioration, neurological C-IRIS, cryptococcal relapse/persistence and death between these two groups. Using survival analysis, the culture-negative group compared to the culture-positive group had significantly reduced rates of neurological deterioration (23.5 vs. 56.4%, HR 0.33, 95% CI 0.18-0.60, P = 0.0003) and C-IRIS (13.7 vs. 36.4%, HR 0.33, 95% CI 0.15-0.70, P = 0.0042). All neurological deterioration caused by cryptococcal relapse/persistence (n = 11) occurred in the culture-positive group (HR 0.12, 95% CI 0.04-0.38, P = 0.0004) (Fig. 2). There were fewer deaths in the culture-negative group but the difference was not statistically significant (13.7 vs. 25.5%, HR 0.52, 95% CI 0.22-1.22, P=0.13) (Fig. 2).

### Discussion

Persistent CSF cryptococcal growth at initiation of cART and advanced immunodeficiency are independent predictors of C-IRIS. Importantly, patients who cleared cryptococcus from their CSF prior to commencing cART not only experienced significantly lower rates of C-IRIS, but also had fewer episodes of neurological deterioration and cryptococcal relapse/persistence, suggesting that cryptococcal clearance prior to initiation of cART is a significant determinant of clinical outcome in HIV-infected patients with cryptococcal meningitis, as supported by a recent review [16]. Previous studies show slower rates of cryptococcal clearance are associated with increased early mortality [17].

We demonstrated that a lower blood CD4<sup>+</sup> T-cell count, CSF protein, lymphocyte and neutrophil count, and, in particular, a higher cryptococcal burden are associated with an increased risk of developing C-IRIS. These findings confirm previously identified predictors of C-IRIS including a lower baseline CD4<sup>+</sup> T-cell count [18,19] and reduced CSF inflammation [20]. Others have shown that a higher CSF CrAg and lower CSF white cell count to be associated with worsened cryptococcal meningitis outcomes [21]. We show gains in CD4<sup>+</sup> T-cell count as protective for C-IRIS highlighting immune competence as beneficial. This suggests the immunopathology underlying C-IRIS may not reflect the

			Univ	variate analysis	Four-para	meter multivariate analysis
	Culture positive, $N = 55$ (51.9%)	Culture negative, $N = 51$ (48.1%)	Р	OR (95% CI)	Р	OR (95% CI)
Age (vears)	33.0 (27.0–39.0)	34.0 (29.0–41.0)	0.479	1.18 (0.75-1.87)		
Sex M:F (% male)	32:23 (58.2%)	28:23 (54.9%)	0.734	1.14 (0.53–2.47)		
CD4 <sup>+</sup> T-cell count (cells/µl)	33 (7–58)	42 (16–98)	0.009	1.01 (1.00-1.02)	0.610	1.0030 (0.9916-1.0145)
HIV VL (log <sub>10</sub> copies/ml)	5.1(4.4-5.7)	5.2(4.8-5.7)	0.502	1.00(1.00-1.00)		
Seizures at CM presentation	11 (20.0%)	2 (3.6%)	0.023	0.16 (0.03-0.78)		
Antifungal therapy prior to clearance LP						
Total amphotericin daily doses	14 (14–15)	14 (12–15)	0.332	0.93 (0.81-1.07)		
Duration antifungal therapy prior to	14 (13–16)	15 (13–21)	0.255	1.03 (0.98-1.09)		
clearance LP (days)						
Duration antitungal therapy prior to cART commencement (dave)	17 (14-22)	20 (15–25)	0.180	1.03 (0.99–1.08)		
LP at CM diagnosis						
Opening pressure (cmH <sub>2</sub> O)	48 (26->50)	35(26-50)	0.206	0.42 (1.11–1.62)		
CSF protein (g/l)	0.70(0.52 - 0.99)	0.98 (0.73-1.65)	0.096	1.63 (0.92-2.91)		
CSF glucose (mmol/l)	2.0 (1.4–2.8)	2.3(1.8-3.0)	0.182	1.24 (0.90-1.70)		
CSF neutrophils (cells/µl)	0 (0-6)	6 (0-32)	0.031	1.53 (1.04-2.26)		
CSF lymphocytes (cells/µl)	14 (0-70)	34 (6–144)	0.224	1.00 (1.00-1.00)		
CSF QnCC (CFU/ml)	111500 (10850-725000)	520 (10-293)	0.000	0.70(0.57 - 0.85)		
Clearance LP						
Opening pressure (cmH <sub>2</sub> O)	19 (13–24)	20 (15–24)	0.936	1.00 (0.96–1.03)		
CSF protein (g/l)	0.80(0.48 - 1.35)	1.00(0.68 - 1.44)	0.627	1.06 (0.83-1.35)		
CSF glucose (mmol/l)	2.2 (1.8–2.6)	2.4(1.8-2.8)	0.490	1.19 (0.73–1.94)		
CSF neutrophils (cells/µl)	4 (0-10)	4 (0-10)	0.539	1.00 (0.98–1.01)		
CSF lymphocytes (cells/µl)	16(6-36)	34 (10-86)	0.006	1.01 (1.00-1.02)	0.408	1.0045 (0.9938-1.0154)
CSF total leucocytes (cells/µl)	28 (12–68)	54(18-104)	0.012	1.72 (1.13-2.64)		
CSF QnCC (CFU/ml)	30 (0-150)	0 (0-0)	0.006	0.36 (0.00-0.39)	0.007	0.0639 (0.0084-0.4678)
CSF CrAg	$\geq 1:1024 (\geq 1:1024 - \geq 1:1024)$	$\geq 1:1024 \ (\geq 1:1024 - \geq 1:1024)$	0.015	1.00(1.00-1.00)		
Serum CrÄg	$\geq 1:1024 \ (\geq 1:1024 - \geq 1:1024)$	≥1:1024 (1:256-≥1:1024)	0.002	1.00 (1.00–1.00)	0.025	0.9976 (0.9956-0.9997)

Table 3. Baseline demographics at cryptococcal meningitis diagnosis comparing those who achieved cerebrospinal fluid culture negativity prior to combination antiretroviral therapy



Fig. 2. Kaplan–Meier curves on the impact of cerebrospinal fluid (CSF) cryptococcal culture negativity pre-combination antiretroviral therapy (cART) on neurological deterioration (ND), cryptococcosis-associated immune reconstitution inflammatory syndrome (C-IRIS), cryptococcal relapse/persistence and mortality. Solid line (culture negative) and broken line (culture positive). Patients who were able to achieve CSF cryptococcal culture negativity prior to cART commencement had significantly lower episodes of ND, C-IRIS, and cryptococcal relapse/persistence compared to those who remained cryptococcal culture positive. Survival was improved in the culture-negative group but this was not statistically significant. CI, confidence interval; HR, hazard ratio.

numerical gain of  $CD4^+$  T cells but rather the restoration of a dysfunctional immune response.

Further, to our knowledge, we demonstrate for the first time that a persistent high cryptococcal burden in the CSF prior to initiation of cART is also a risk factor for C-IRIS. Despite a higher fungal burden, the more immunodeficient C-IRIS group seemed less able to mount an adequate cellular response, possibly explained by the immunosuppressive effects of the polysaccharide capsule [22]. Early reports of cryptococcal meningitis demonstrated an acellular CSF profile to be associated with early death and treatment failure [23]; we show this is also associated with an increased risk for C-IRIS.

Our finding that a gain in  $CD4^+$  T cells is protective for C-IRIS may seem counterintuitive and is in contrast to a previous study in C-IRIS often quoted as evidence for a rapid rise in  $CD4^+$  T cells as a risk factor for IRIS [24]. However, this study reported the absolute difference in  $CD4^+$  T cells from baseline to 6 months and four of the 11 patients in their C-IRIS group died within 6 months; hence, the small remaining number of patients may have

skewed the findings of the study. In contrast, our study measured  $CD4^+$  T-cell counts repeatedly at weeks 4, 12, and 24 in a much larger number of patients and used a time-to-event analysis. We have demonstrated in this cohort of patients that C-IRIS is not associated with an increase in circulating  $CD4^+$  T cells reactive with cryptococcal mannoprotein [25]. Although, compartmentalization of T-cell responses to the CNS is possible, a role for innate immune responses should be considered, as suggested in TB-IRIS [26,27].

CSF protein is usually elevated in patients with cryptococcal meningitis and lower levels have been associated with higher cryptococcal meningitis early mortality [28]. Patients with C-IRIS had a significantly lower CSF protein at cryptococcal meningitis presentation than the no neurological deterioration group, as previously reported [20], and this difference was further exaggerated during induction antifungal therapy. An explanation for this is not immediately apparent. CSF proteins are derived from passage of proteins across the blood-brain barrier; from inflammatory cells [22], damage to and necrosis of the meninges and neuronal cells [22] and from cryptococcal cell wall breakdown. Exploratory proteomic analysis may be instructive as more than 2630 proteins have been found in normal CSF [29].

Early cryptococcal clearance has been used in treatment studies as a measure of mycological success [30-32] but to date, this has not been accepted as a surrogate marker of clinical success. We clearly show that achieving a negative CSF culture prior to starting cART conferred significantly improved clinical outcomes. The relatively low number of deaths in our study group may not have conferred adequate power to demonstrate a statistically significant difference in mortality during the defined follow-up period. Cryptococcal meningitis management guidelines [14,33,34] in HIV-infected patients offer a rank order of recommended antifungal agents with varying durations, based predominantly on fungal clearance efficacy. Studies have shown that early mortality in cryptococcal meningitis correlates strongly with the baseline CSF QnCC [31]. We show that in patients receiving similar doses of amphotericin, those with a higher CD4<sup>+</sup> T-cell count, lower QnCC, lower CSF and serum CrAg, and a more cellular CSF are more likely to achieve CSF culture negativity. We propose that patients could be potentially stratified by fungal burden at cryptococcal meningitis diagnosis, degree of immunodeficiency and CSF profile into two-tier or three-tier risk strata with the goal of achieving CSF culture negativity, prior to cART commencement. Those with poor predictive markers could be targeted for enhanced antifungal therapy or adjunctive therapy including interferon- $\gamma$  therapy [35].

No patients in the culture-negative group developed cryptococcal persistence/relapse, suggesting that empirical re-induction therapy in this group is not always necessary. QnCCs, although more labor-intensive, may serve as a baseline measure of fungal burden to be compared to future neurological deterioration events; an increase in QnCC compared to baseline may suggest cryptococcal relapse necessitating intensification of antifungal therapy.

Timing of cART initiation in the presence of concurrent opportunistic infections is contentious. In cryptococcal meningitis-HIV co-infection, one small randomized controlled study (RCT) showed excess mortality in the early arm compared to the delayed arm ( $\leq$ 72-h vs.  $\geq 10$  weeks after cryptococcal meningitis diagnosis) [10], whereas a smaller RCT demonstrated higher C-IRIS in the early arm vs. the delayed arm (within 7-10 days vs. after 28 days of antifungal therapy commencement) [8]. Fluconazole was used as induction therapy in the former study and limited therapeutic lumbar punctures were performed [10], two important factors that differed from our study. In the latter study, 0.7 mg/kg of amphotericin was used [8] and the authors previously reported the benefits of inpatient cART commencement in reducing patient mortality in HIV-cryptococcal meningitis-TB coinfected men [36]. The large 'cryptococcal meningitis optimal ART timing' study was terminated at 177 persons (35% of target enrolment), for reasons of unacceptable mortality in the early arm compared to the deferred arm (day 9–13 vs.  $\geq$ 5 weeks after cryptococcal meningitis diagnosis) [9]. Patients with low CSF white blood cell counts and altered mental state, in particular, did better in the deferred arm [9]. We speculate patients in early arms of these three studies may have had larger residual fungal burden at cART initiation. Adjunctive management may not have been optimally addressed.

Other prospective non-randomized cryptococcal meningitis studies commenced cART at a median of 5 weeks (range 1–8) [37] to as late as after 7 weeks [38] after cryptococcal meningitis diagnosis. We commenced cART at a median time of 18 days after cryptococcal meningitis diagnosis and achieved a remarkable overall survival of 80% at 24 weeks post-cART initiation (higher than either of the cryptococcal meningitis optimal ART timing study arms). Delaying cART to conform to current RCT findings in resource-limited settings may risk further comorbidities, hospital-acquired infections, unmonitored deterioration, losses to follow-up and death as illustrated by a 29% loss between completion of induction therapy and cART initiation in a Ugandan study [28].

We acknowledge that our study has limitations. Until a firm diagnostic marker for C-IRIS is developed, studies of C-IRIS are open to criticism. Our experienced end-point review committee actively excluded indeterminate neurological deterioration events and the 100% follow-up rate enhanced the validity of our comparator no neurological deterioration group. We focused solely on the central nervous system manifestations and may have underestimated the true incidence of C-IRIS. We had limited histopathological confirmation.

In summary, persistent cryptococcal burden and advanced immunodeficiency prior to commencing cART may drive aberrant immune responses against *Cryptococci* leading to C-IRIS. Our study demonstrated that CSF cryptococcal clearance prior to initiation of cART is associated with improved clinical outcomes. Where assessing this is not possible, a combination of markers (lower CD4<sup>+</sup> T-cell count, higher CSF and serum CrAg, and lower CSF neutrophil and lymphocyte counts precART) might be used to predict a higher cryptococcal burden. The benefits of attaining CSF cryptococcal culture negativity prior to cART commencement should be further evaluated in future clinical studies.

### Acknowledgements

The authors would like to acknowledge the patients and their families, the medical, nursing, laboratory and

support staff at King Edward VIII Hospital, Durban and Department of Microbiology, Inkosi Albert Luthuli Central Hospital, Durban. This work was supported by REACH Initiative (Research and Education in HIV/ AIDS for Resource Poor Countries).

Authors' contribution: M.A.F., C.C.C., S.R.L., M.-Y.S.M. and J.H.E. designed the study; C.C.C., M.A.F., S.R.L., T.S. and V.N. analyzed the data and wrote the manuscript. C.C.C., B.I.G. and S.O. conducted the clinical study; M.A.F., C.C.C., J.H.E., M.-Y.S.M. formed the end-point review committee. A.A.D. performed the cryptococcal laboratory work under the guidance of Y.C. and C.C.C. Authors M.A.F., S.R.L., C.C.C., J.H.E., T.N. and M.-Y.S.M. were members of the protocol steering committee. All read and approved the final manuscript.

C.C.C. was supported by the Australian Commonwealth Government for the Australian Postgraduate Award 2009 and Australian NHMRC (National Health and Medical Research Council) Postgraduate Scholarship 2010–2012. T.N. was supported in part by an international Early Career Scientist grant from the Howard Hughes Medical Institute and by the South African Department of Science and Technology/National Research Foundation Research Chairs Initiative. S.R.L. is an NHMRC practitioner fellow. M.A.F. was supported by NHMRC grant 510448.

#### **Conflicts of interest**

All authors have no commercial or other association that might pose a conflict of interest.

Findings in this manuscript have in part been presented at the 8th International Conference of Cryptococcus and Cryptococcosis (Charleston, 2011), Australasian HIV/AIDS conference (Canberra, 2011), Conference on Retroviruses and Opportunistic Infections (Seattle, 2012) and World AIDS Conference (Washington, DC, 2012).

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