

References and Notes

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Supplementary Materials

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Materials and Methods
Figs. S1 to S3
Table S1
References (24–30)

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Delineating Antibody Recognition in Polyclonal Sera from Patterns of HIV-1 Isolate Neutralization

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Serum characterization and antibody isolation are transforming our understanding of the humoral immune response to viral infection. Here, we show that epitope specificities of HIV-1–neutralizing antibodies in serum can be elucidated from the serum pattern of neutralization against a diverse panel of HIV-1 isolates. We determined “neutralization fingerprints” for 30 neutralizing antibodies on a panel of 34 diverse HIV-1 strains and showed that similarity in neutralization fingerprint correlated with similarity in epitope. We used these fingerprints to delineate specificities of polyclonal sera from 24 HIV-1–infected donors and a chimeric simian-human immunodeficiency virus–infected macaque. Delineated specificities matched published specificities and were further confirmed by antibody isolation for two sera. Patterns of virus-isolate neutralization can thus afford a detailed epitope-specific understanding of neutralizing-antibody responses to viral infection.

Upon infection or vaccination, the adaptive immune system typically generates polyclonal antibody responses that recognize multiple epitopes (1–3). The serologic characterization of such polyclonal responses can inform vaccine design by elucidating which epi-

topes on the antigen are immunodominant and/or targets of pathogen-specific neutralizing antibodies. Such serologic analysis can further lead to the isolation of new monoclonal antibodies that may be of therapeutic value. As a result of extensive effort to understand the antibody response to viral infection, recent years have seen a surge in the isolation of monoclonal antibodies against HIV-1, influenza, hepatitis C, and other viruses (4–15). The link between polyclonal sera and component monoclonal antibodies, however, remains complex and difficult to decipher, in part, because of the extraordinary diversity of circulating antibodies. Viral genetic diversity can be an integral mechanism of immune evasion (16–22); this same diversity may, however, also provide a means by which to understand antibody responses (23, 24). Specifically, monoclonal antibodies targeting the same epitope on an antigen are likely to be affected in a similar way by diversity in that epitope region. When presented with a diverse set

of viral isolates, monoclonal antibodies may thus exhibit characteristic neutralization patterns or “neutralization fingerprints” (Fig. 1). Furthermore, neutralization patterns of a polyclonal serum could be viewed as the combined effect of the neutralization fingerprints of component monoclonal antibodies, and, if this relationship could be deconvoluted, then serum neutralization would serve as a predictor of component-antibody specificity.

To test this conjecture, we selected HIV-1 because of its high viral sequence diversity, the availability of well-characterized sera and antibodies, and the limited number of sites of vulnerability targeted by neutralizing antibodies on the HIV-1 spike (Env). These sites encompass the CD4-binding site (CD4bs), a variable loop V1/V2 site, and a glycan-V3 site on glycoprotein gp120, and the membrane-proximal external region (MPER) on gp41 (4–7, 13, 14, 25–35). The same site of vulnerability may encompass multiple epitopes and, as a result, can be targeted by antibodies with diverse specificities. To determine whether the neutralization fingerprints of HIV-1 monoclonal antibodies are a reflection of their epitope specificities, we utilized neutralization data for a panel of 34 diverse HIV-1 isolates (table S1), for 30 monoclonal antibodies recognizing diverse epitopes on HIV-1 Env, and for two variants of the CD4 receptor (table S2). Neutralization fingerprints for antibodies known to target similar epitopes correlated significantly better (Spearman correlation) than fingerprints of antibodies targeting different epitopes (fig. S1). On the basis of the neutralization-correlation values, antibodies were grouped into 10 clusters (Fig. 2A) (36), by using a clustering cutoff chosen to agree with known antibody structures and epitope-mapping (4–6, 13–15, 25–27, 37–40, 41). Two antibodies, 8ANC195 and HJ16, whose precise epitopes are currently unknown, clustered separately (5, 15), whereas all of the other antibody clusters could be mapped to known sites of Env vulnerability.

Overall, neutralization fingerprints appeared to exhibit sufficient specificity to successfully distinguish between antibodies targeting different

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epitopes on the same overall site of vulnerability (42). The MPER site was recognized by two antibody clusters, each consisting of two antibodies (2F5/m66.6 and 10E8/4E10); this agreed with known MPER-antibody epitopes (fig. S2) and demonstrated the dominant contribution of the recognized epitope, rather than particulars of antibody orientation, to the antibody-neutralization fingerprints: 10E8 and 4E10 recognize the same epitope but use substantially different antibody heavy- and light-chain orientations (13, 43). The V1/V2 site was recognized by a single cluster of PG9-like antibodies. The glycan-V3 site was recognized by two clusters of antibodies: PGT128-like antibodies that target a glycopeptide-epitope and antibody 2G12 that targets a cluster of glycans including N332 (44) on the gp120 surface (25, 26, 45). Last, the CD4bs was targeted by at least three clusters, including those of antibody b12, CD4, and the VRC01-like antibodies (27, 38).

VRC01-like antibodies represented the largest fraction in the analyzed data set and formed the largest antibody-neutralization cluster; they could be grouped around VRC01, a prototypical broad and potent CD4bs antibody capable of neutralizing 90% of circulating HIV-1 strains (4, 27). The VRC01-like cluster included antibodies known to target similar epitopes on gp120, as confirmed by antibody structures in complex with gp120 (VRC01, VRC03, VRC-PG04, and NIH45-46) and epitope-mapping experiments (4, 5, 14, 27, 40). Although published epitope maps suggest that antibody VRC06 recognizes an epitope composed of both CD4+ and co-receptor-binding sites (46), the cocrystal structure of VRC06 in complex with core gp120 revealed a similar mode of gp120 recognition by VRC06, as compared with both VRC01 and VRC03 (Fig. 2B), consistent with the similarity observed for the neutraliza-

tion fingerprints of these antibodies (47). These results indicate that clustering of antibodies based on neutralization fingerprints can be an accurate delineator of antibody-epitope specificity.

To assess whether the neutralization signal from polyclonal sera could be deconvoluted into component-antibody specificities, we analyzed neutralization data for sera from HIV-infected donors (48). The neutralization fingerprints for all antibodies within a given cluster were used to create a single representative neutralization fingerprint for the cluster, resulting in a reference set of 10 epitope-specific neutralization fingerprints, one for each antibody cluster (fig. S3) (41). The neutralization pattern for each serum was taken to be a linear combination of the reference-set fingerprints, resulting in an estimate of the relative contribution to serum neutralization (i.e., neutralization prevalence) of the respective component-antibody specificities as described in (41) and Fig. 1.

For most sera, multiple specificities were predicted (Fig. 3)—as might be expected from the polyclonal nature of sera (1–3). To evaluate the neutralization-based serum-epitope predictions, we compared them with component-antibody epitopes determined by other experimental means. We first compared predictions for which serum-neutralization specificities were already known through antibody isolation or extensive epitope mapping. The neutralization-based prediction for donor 45, the source of VRC01 and other VRC01-like antibodies (4), indicated a strong signal for VRC01-like antibodies (Fig. 3A) (49). The prediction for donor N152, the source of antibody 10E8 (13), showed a dominant signal for the 10E8-like cluster (Fig. 3A). Furthermore, longitudinally sampled sera from donor CAP256 showed a PG9-like signal at each of four time points, both by extensive experimental epitope mapping (50) and computational predictions

(Fig. 3B). Last, sera from a chimeric simian-human immunodeficiency virus (SHIV)-infected macaque showed a dominant PGT128-like signal at each of four time points (Fig. 3C), in agreement with published epitope-mapping experiments (51). The neutralization-based method for delineating component-antibody epitopes was thus successful in identifying major antibody responses for sera with confirmed specificities.

Next, we applied our method to prospectively delineate specificities for sera from 21 HIV-1-infected donors (52) and compared the results to (standard) experimental serum mapping data (Fig. 3D): Published assays were used to map serum antibody specificity toward the CD4bs, V1/V2, glycan-V3, and MPER regions (41, 53). The degree of correspondence between the neutralization-based prediction method and standard mapping varied for different sera, with discrepancies partly attributable to known limitations in current standard mapping assays (54) (table S4). Nonetheless, the agreement between the two methods was reasonably good, with at least one of the top two neutralization-delineated specificities identified by standard mapping in ~85% of the tested sera (Fig. 3E) (55).

To further validate our predictions, we selected two sera with different predicted specificities and prevalence levels: (i) serum from donor 127/C predicted to have a dominant VRC01-like specificity with a prevalence level of 0.60 and (ii) serum from donor N27 predicted to have a PGT128-like specificity with a prevalence level of 0.33 (Fig. 3D). We cloned CD4bs antibodies from donor 127/C peripheral blood mononuclear cells (PBMCs) by using an antigen-specific protein probe (RSC3), as described previously (4). We identified two somatic variants, VRC23 and VRC23b that showed strong similarity to other VRC01-like antibodies by germline-gene usage,

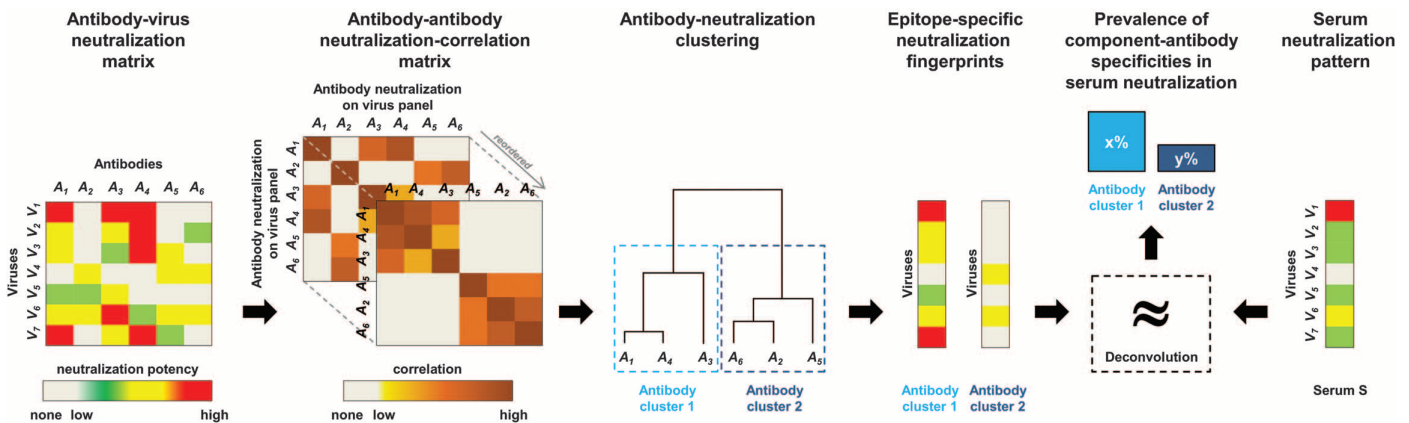


Fig. 1. Definition of antibody neutralization fingerprints and determination of antibody specificities from serum patterns of neutralization. The neutralization fingerprint for an antibody on a panel of diverse viruses may contain sufficient information to define both antibody epitope and molecular specificities of recognition. Here, we show how information from a neutralization matrix can be transformed to delineate epitope specificity. First, a database of neutralization fingerprints for known antibodies can be constructed on the basis of clustering of antibody-neutralization behavior: The neutralization fingerprints for

antibodies (columns: A_1 to A_6) are shown in a neutralization matrix [first (leftmost) panel], with colors representing neutralization potencies against specific viruses (rows: V_1 to V_7); the correlations between antibody neutralization fingerprints (second panel) can help form epitope-specific antibody clusters (third panel). The resultant epitope-specific neutralization fingerprints (fourth panel) can be used to interrogate patterns of neutralization from polyclonal sera. Deconvolution of the serum-neutralization pattern (last panel) into epitope-specific fingerprints identifies epitope specificity of the component antibodies (fifth panel).

sequence signature, binding characteristics, and neutralization (Fig. 4A and figs. S4 to S7) (41). Furthermore, the crystal structure of VRC23 in complex with gp120 revealed substantial similarities with the mode of gp120 recognition by VRC01 and other VRC01-like antibodies (56), confirming the neutralization-based prediction of VRC01-like antibody specificity in serum 127/C. By comparison, standard experimental serum mapping showed borderline evidence for CD4bs activity, with RSC3-based inhibition of 127/C neutralization reaching only 20% (fig. S11). For donor N27, standard serum mapping (Fig. 3D) indicated the presence of glycan-recognized antibodies. We used single B cell culture (5, 13, 41) and recovered one neutralizing antibody, VRC24

(fig. S4). Binding and neutralization assays for VRC24 suggested a glycan-V3 epitope (figs. S12 to S14), with general similarity to (but also some differences from) other antibodies in the PGT128-like cluster. Thus, for both donors 127/C and N27, we successfully confirmed the existence of antibodies with specificities predicted by the neutralization-based method. Furthermore, the neutralization fingerprints for antibodies VRC23 and VRC24 clustered appropriately—within the VRC01-like cluster for VRC23 and most closely to (though not fully intermingled with) the PGT128-like cluster for VRC24 (Fig. 4B)—which demonstrated that, in addition to delineating serum specificity, neutralization fingerprints allow for prospective prediction of monoclonal antibody epitopes.

Analysis of sera from HIV-1–infected donors and, more recently, from SHIV-infected macaques is revolutionizing our understanding of the ability of the humoral immune system to recognize and to neutralize HIV-1 (29–34). Such analysis generally begins with an assessment of serum neutralization on small or moderately sized virus panels (29, 57) and then proceeds with epitope mapping and monoclonal antibody isolation. The ability to delineate component-antibody neutralization specificities directly from serum-neutralization data potentially transforms this process, by providing a computational shortcut for procedures of standard epitope mapping, monoclonal antibody isolation, and crystal structure determination of the epitope (58). Such a

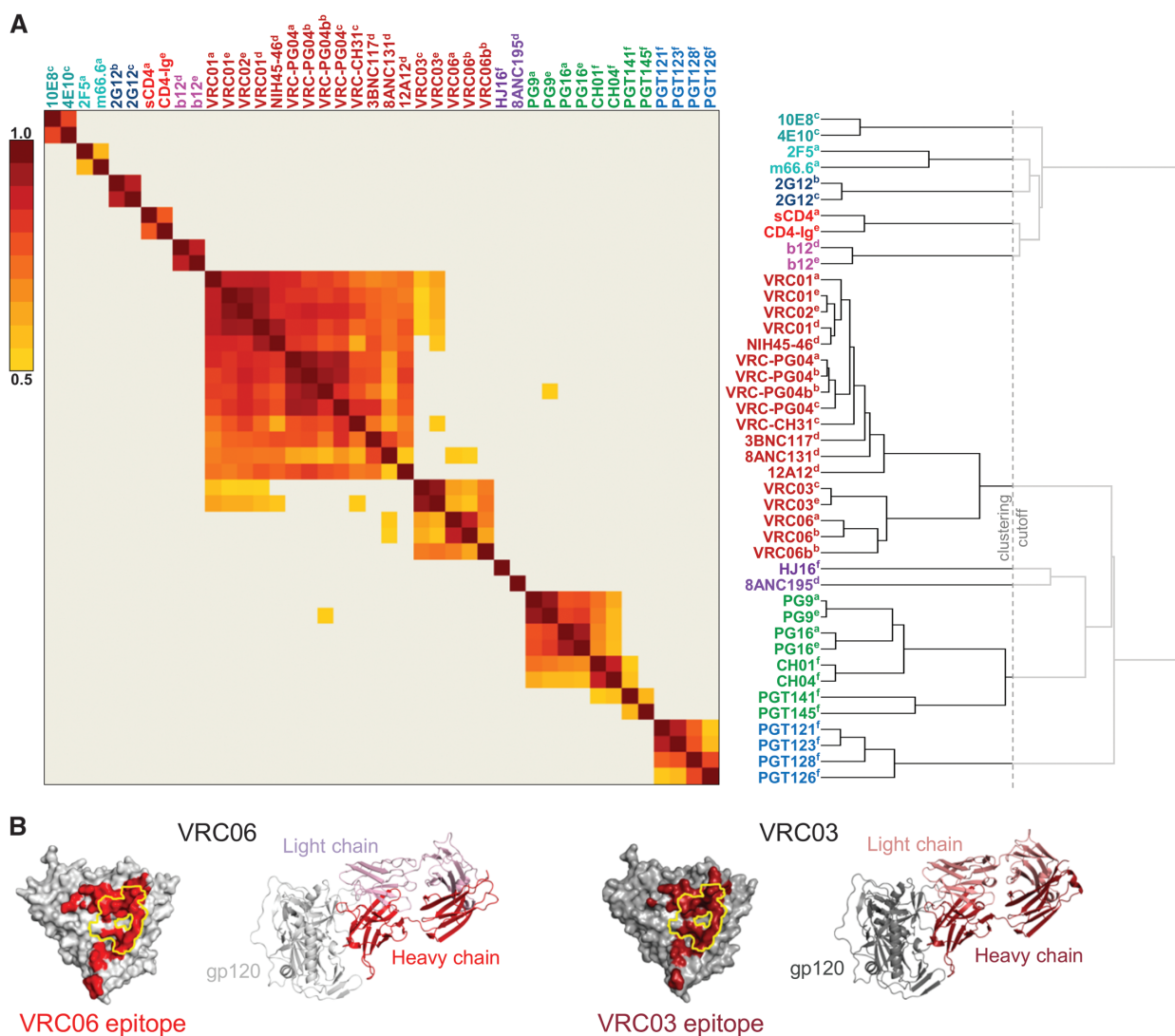


Fig. 2. Neutralization-based clustering of HIV-1 antibodies. (A) Antibody-antibody neutralization-correlation matrix. A set of HIV-1–neutralizing antibodies along with two variants of CD4 were assessed for neutralization on a panel of 34 diverse HIV-1 isolates. Input from six neutralization panels (tables S5 and S6) were used to define this correlation matrix and are indicated by superscripts a through f next to each antibody name, with several antibodies tested on multiple panels. Correlation results are shown in heat-map representation from 0.5 (yellow) to 1.0 (dark brown) and were used as input for antibody clustering. The intersection

of the dotted vertical line with horizontal lines in the clustering tree defines the 10 antibody clusters: VRC01-like (maroon), PG9-like (green), PGT128-like (blue), 2F5-like (light blue), and 10E8-like (aqua), as well as separate clusters for b12, CD4, 2G12, HJ16, and 8ANC195. Antibody order was based on the clustering tree. (B) Comparison of gp120 recognition by antibodies VRC03 and VRC06, shown as epitope and 90 degree–rotated complex. Although VRC03 and VRC06 display substantial phenotypic differences (46), their neutralization fingerprints are highly correlated, and cocrystal structures reveal the same gp120 mode of recognition.

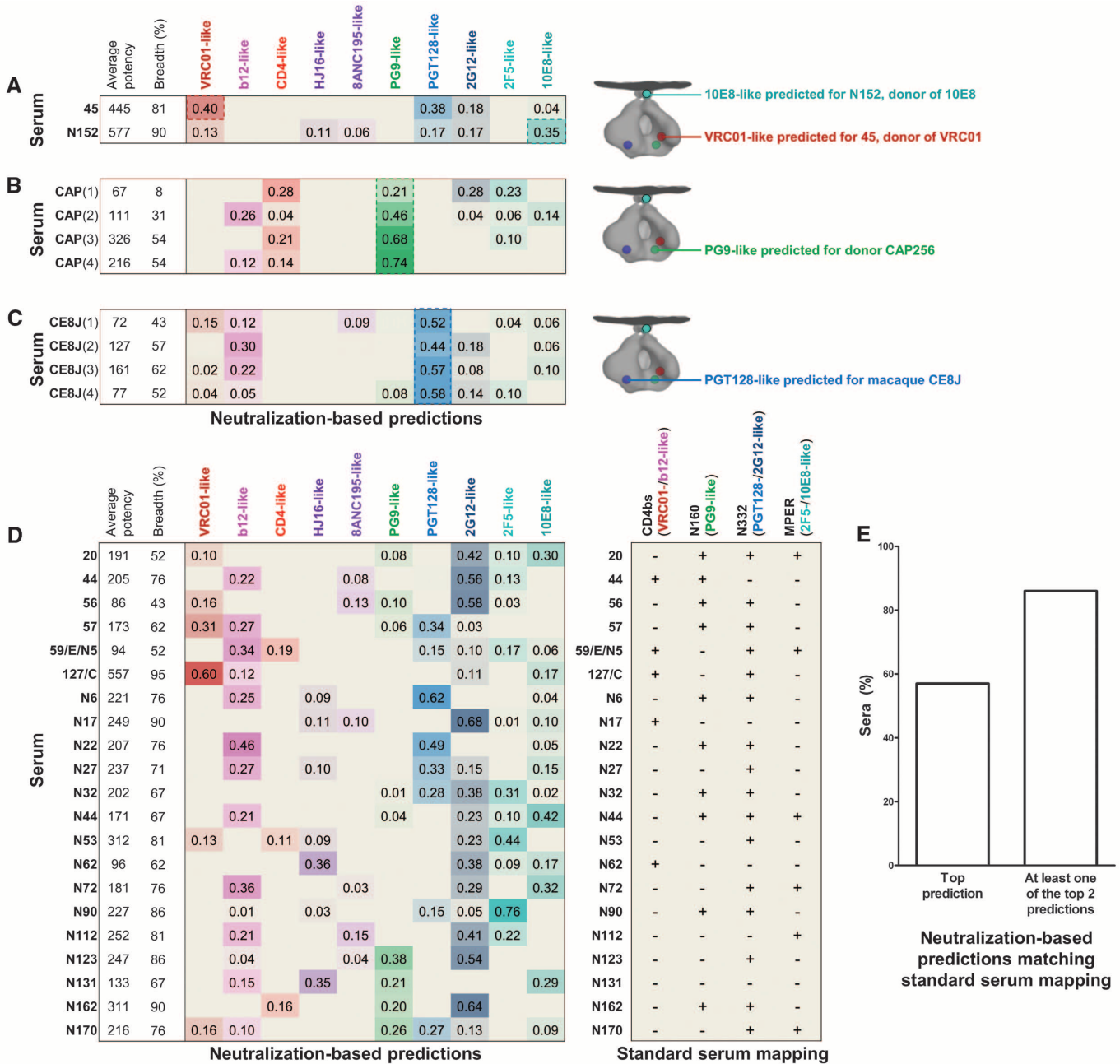


Fig. 3. Delineation of antibody specificities in HIV-1 sera with broadly neutralizing activity. For each serum, the predicted relative prevalence of the different reference-set antibody clusters (colored as in Fig. 2) is shown as a heat map, with darker intensity (higher fractional number) corresponding to a stronger neutralization signal (predicted prevalence level) by the respective antibody cluster. Numbers in each row add up to 1.00, with numbers less than 0.01 not shown. Serum neutralization breadth is shown for the specific virus panels used, and average potency provided as geometric mean inhibitory dilution (ID_{50}). Predictions for (A) serum from donor 45 (the source for antibody VRC01) and from donor N152 (the source for antibody 10E8), (B) sera from four different time points (years 1 to 4) postinfection from donor CAP256, and (C) sera from four different time points (weeks 40,

61, 81, and 100) postinfection from macaque CE8J). To the right of (A) to (C) are schematics of the putative locations on the HIV-1 Env trimer of the epitopes identified in the neutralization-based predictions and previously confirmed to be targeted by antibodies in the sera. (D) Neutralization-based predictions (left) and standard experimental mapping data (right) for an additional set of 21 sera (rows) and 10 epitopes (columns). Epitope groups (bold) for the experimental mapping data were defined on the basis of the assays used; the respective closely matching neutralization-based epitope clusters were grouped accordingly (shown in parentheses). Epitope groups are marked with a plus sign (+) if predicted by the mapping assays to be present in a given serum or with a “-” otherwise. (E) Concordance between neutralization-based and standard serum mapping.

short-cut should afford a more rapid and less arduous delineation of serum component–antibody epitopes and may be especially useful for studies in which sample volumes are limited. Two developments have been key to the success of the

method and are likely to work synergistically to improve such neutralization-based delineation. First, application of robotic technology to neutralization assessment has made data from HIV-1–neutralization panels easier to obtain

(14, 57, 59, 60). Second, advances in monoclonal antibody identification have allowed for the recent isolation of many effective HIV-neutralizing antibodies, and structural analysis has delineated their sites of recognition (20, 35, 61); although

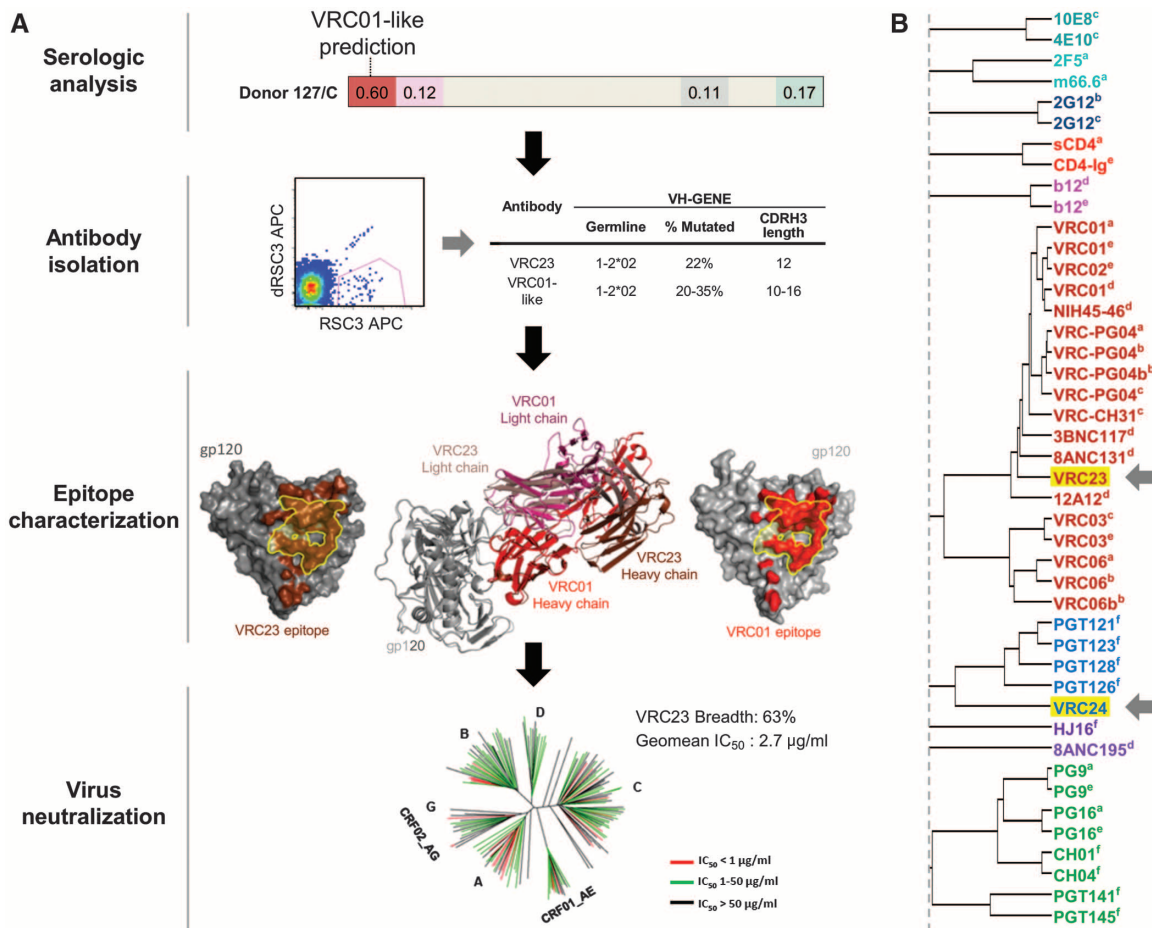


Fig. 4. Isolation and characterization of monoclonal antibodies isolated from sera identified by neutralization-based predictions. (A) Isolation and characterization of antibody VRC23 from donor 127/C. (Top row) Donor 127/C was predicted to have VRC01-like antibodies. (Row 2) Immunoglobulin G–positive (IgG+) B cells from donor 127/C were stained with the CD4bs-specific probe RSC3 and the CD4bs mutant dRSC3 and sorted. IgG variable genes were recovered by reverse transcription polymerase chain reaction, sequenced, and subcloned into heavy- and light-chain expression vectors. Like VRC01, the VRC23 heavy chain derives from the VH1-2*02 germline gene. The percent mutation from the germline VH gene is shown, as is the amino acid length (from the Kabat database) of the CDRH3 loop. (Row 3) VRC23-gp120 crystal structure shows gp120 recognition similar to that of VRC01. Polypeptide chains are depicted in ribbon representation

(middle). The footprints of VRC23 (left, brown) and VRC01 (right, red) on the surface of gp120 showed similar targeting of the CD4-defined initial site of vulnerability (yellow trace). (Bottom row) VRC23-neutralization dendrogram. VRC23 was tested against 178 genetically diverse Env-pseudoviruses representing the major HIV-1 clades. Neighbor-joining tree displays the protein distance of the respective gp160 sequences. Tree branches are colored by the neutralization potency of VRC23 against each particular isolate. The geometric mean IC₅₀ of all neutralized viruses is shown. (B) Neutralization-based antibody clustering shows VRC23 (highlighted) clustering with the VRC01-like antibodies and VRC24 (highlighted) clustering with the glycan-dependent PGT128-like antibodies, in agreement with epitope determination by atomic-level structure (VRC23) and mapping by mutagenesis (VRC23 and VRC24).

currently identified sites are likely to dominate the neutralizing antibody response, highly effective antibodies against unknown and/or less-well-characterized epitopes continue to be identified (53, 62). Generally, epitope delineation based on neutralization fingerprints may provide a transformative strategy for screening sera or characterizing antibody specificities induced upon infection or vaccination against HIV-1 as well as other viruses.

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36. The 10 clusters included 9 epitope-specific clusters for the monoclonal antibodies and 1 cluster for CD4. Antibody clustering was not solely a function of neutralization breadth: Antibodies within a given cluster could have substantially different neutralization breadth, whereas antibodies in different clusters could have similar neutralization breadth (table S2).
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42. One of the features of our approach is its ability to distinguish between antibodies targeting overlapping epitopes in a substantially different ways: There is a significant difference in the correlation coefficients for antibodies targeting a similar epitope versus the correlation coefficients for antibodies targeting different epitopes on the same site of vulnerability. Similarly, there is a significant difference for similar epitopes versus different sites of vulnerability; however, there is no significant difference for different epitopes on the same site of vulnerability versus different sites of vulnerability (fig. S1).
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47. To resolve the discrepancy between epitope mapping and neutralization-based predictions, we determined the cocrystal structure of VRC06 in complex with the gp120 core and compared it with the structure of the VRC01-like antibody VRC03: The antibody variable-domain root mean square deviation upon alignment of the respective VRC06- and VRC03-bound gp120 cores was 1.45 Å, confirming the similarity in the modes of gp120 recognition by these two antibodies (Fig. 2B and table S3). Although VRC03 and VRC06 exhibit extraordinary similarity in their mode of recognition of gp120 when compared with VRC01, unlike VRC01, antibodies VRC03 and VRC06 contain a long insertion in the heavy-chain framework 3 region that falls in the vicinity of the gp120 bridging sheet. In the crystallized complexes of these antibodies and monomeric gp120 core (which lacks the full V1/V2 region), the insertions do not make substantial contact with gp120. On the functional trimer spike, however, these insertions likely make additional contacts with the antigen, extending the antibody epitopes as compared with VRC01. The result is that VRC03 and VRC06 cluster in the periphery of the VRC01 cluster.
48. The division into monoclonal antibody clusters was based on the clustering results from the larger 34-strain panel (Fig. 2A), whereas serum delineation was performed on an available 21-strain panel (because of serum volume constraints, we used a 21-strain subset of the main panel). Although antibody clustering is sensitive to the size of the viral panel (appendix S1), predictions with smaller panels (such as the 21-strain panel used in the serum analysis) could nonetheless be sufficient (fig. S3); delineation of component-antibody specificities for the CAP256 sera was based on a smaller 13-strain panel (41).
49. A signal for PGT128-like antibodies in donor 45 was also observed. However, only part of the neutralization activity of the donor 45 serum could be attributed to VRC01-like antibodies (fig. S11), which indicated that other antibody specificities may also exist in that serum. It is thus not surprising to observe other neutralization signals in the predictions.
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54. For example, standard serum mapping for glycan-V3 antibodies uses N332 mutants and, thus, may not be as sensitive for some antibodies in the PGT128-like group that are more affected by glycan-301 (table S4).
55. The neutralization-based delineation showed relatively strong signals (>0.2) for 2G12- or b12-like antibodies with many of the sera, and potential VRC01-, PG9-, PGT128-, and 10E8-like specificities were predicted for specific subsets of the sera. In the case of 2G12-like activity, many serum samples were also found to be positive by experimental mapping assays, which indicated that this epitope might be a more frequent

target compared with other major epitopes, although not necessarily by antibodies having the specific domain-exchanged nature of 2G12 (Fig. 3D). A clear threshold for the presence or absence of a particular specificity in a given serum was not apparent: A level of 0.35 positively identified 10E8-like antibodies in donor N152, but sporadic signals of up to ~0.3 were observed in longitudinal samples (Fig. 3B).

56. To confirm the predicted similarity to VRC01, we crystallized and determined the structure of the antigen-binding fragment (Fab) of VRC23 in complex with an HIV-1 core gp120. The epitope footprints on gp120 for VRC23 and VRC01 were similar, with buried gp120 surface areas correlating significantly (Spearman $R = 0.76$, $P < 0.0001$) (Fig. 4A and figs. S8 to S10).
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58. The current analysis is validated primarily for a single major component-antibody specificity in serum, with predictions on other specificities yet to be ascertained; however, the ability to extract major specificities from polyclonal sera will likely be of considerable utility. Nevertheless, the observation that antibody neutralization fingerprints can capture sufficient information to allow, at least in some cases, the deconvolution of the polyclonal serum-based pattern of HIV-1 neutralization into neutralization from component antibodies is subject to a number of assumptions and limitations (41).
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Supplementary Materials

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Emergence of Individuality in Genetically Identical Mice

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Brain plasticity as a neurobiological reflection of individuality is difficult to capture in animal models. Inspired by behavioral-genetic investigations of human monozygotic twins reared together, we obtained dense longitudinal activity data on 40 inbred mice living in one large enriched environment. The exploratory activity of the mice diverged over time, resulting in increasing individual differences with advancing age. Individual differences in cumulative roaming entropy, indicating the active coverage of territory, correlated positively with individual differences in adult hippocampal neurogenesis. Our results show that factors unfolding or emerging during development contribute to individual differences in structural brain plasticity and behavior. The paradigm introduced here serves as an animal model for identifying mechanisms of plasticity underlying nonshared environmental contributions to individual differences in behavior.

Plasticity, or the reciprocal interaction between brain structure and function, draws on genetic and nongenetic sources of variation and forms the neurobiological basis of individuality. Behavioral-genetic studies with humans provide statistical tools for estimating the additive and interactive contributions of genetic and environmental variations to individual differences in behavioral development (1). In the case of monozygotic twins reared together, sibling differences reflect the influence of individual responses, based on the same genetic makeup, to a nominally identical environment. Somewhat paradoxically, this source of variation is generally

referred to as the "nonshared environment" in behavior genetics. As Turkheimer has noted, "exactly what the nonshared environment consists of has been a matter of mystery and controversy for some time" [(2) p. 826]. We developed an animal model for studying the nonshared environment and examined its effects on behavioral and neural development.

In rodents, enriched environments are among the tools of choice for addressing the influence of a given environment on individuals with identical genetic background (3, 4). However, with some exceptions [(5), see also (3, 6)] the emergence of experience-based individual differences within groups of genetically identical animals exposed

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Delineating Antibody Recognition in Polyclonal Sera from Patterns of HIV-1 Isolate Neutralization

Ivelin S. Georgiev, Nicole A. Doria-Rose, Tongqing Zhou, Young Do Kwon, Ryan P. Staube, Stephanie Moquin, Gwo-Yu Chuang, Mark K. Louder, Stephen D. Schmidt, Han R. Altae-Tran, Robert T. Bailer, Krisha McKee, Martha Nason, Sijy O'Dell, Gilad Ofek, Marie Pancera, Sanjay Srivatsan, Lawrence Shapiro, Mark Connors, Stephen A. Migueles, Lynn Morris, Yoshiaki Nishimura, Malcolm A. Martin, John R. Mascola and Peter D. Kwong (May 9, 2013) *Science* **340** (6133), 751-756. [doi: 10.1126/science.1233989]

Editor's Summary

Building Better Vaccines

In the past few years, several highly potent, broadly neutralizing antibodies (bNAbs) specific for the gp120 envelope protein of HIV-1 have been discovered. The goal of this work is to use this information to inform the design of vaccines that are able to induce such antibodies (see the Perspective by **Crowe**). However, because of extensive somatic hypermutation, the epitope bound by these antibodies often does not bind to the germline sequence. **Jardine *et al.*** (p. 711, published online 28 March; see the cover) used computational analysis and *in vitro* screening to design an immunogen that could bind to VRC01-class bNAbs and to their germline precursors. **Georgiev *et al.*** (p. 751) took advantage of the fact that only four sites on the HIV viral envelope protein seem to bind bNAbs, and sera that contain particular bNAbs show characteristic patterns of neutralization. An algorithm was developed that could successfully delineate the neutralization specificity of antibodies present in polyclonal sera from HIV-infected patients.

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