# Thermo-mechanical coupling of a viscoelastic-viscoplastic model for thermoplastic polymers: thermodynamical derivation and experimental assessment

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## Abstract

In this paper, a new constitutive model is proposed for the behavior of thermoplastic polymers under non-isothermal conditions. The model couples linear viscoelasticity and viscoplasticity and thermal effects. It is formulated within the framework of irreversible thermodynamics. The total strain is the sum of viscoelastic, viscoplastic and thermal strains. General hereditary integrals describe the thermo-viscoelastic response. The viscoplastic part accounts for both isotropic and kinematic hardenings. The stress-strain response and the material self-heating are predicted and compared to experimental data on Polyamide 66 (PA66) and Polypropylene (PP). Good agreement between the numerical simulations and experimental data was obtained for the two materials. *Keywords:* Polymeric material, constitutive behavior, rate-dependent material, thermomechanical processes, self-heating

#### 1. Introduction

As their domain of application is in continuous expansion, thermoplastic polymers especially, semi-crystalline ones, are being exposed to more and more
challenging working conditions with complex thermo-mechanical loading. In particular, the variation of temperature and loading rate have important effects

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- on the material response (Zhou and Mallick, 2002; Dasari and Misra, 2003; Krempl and Khan, 2003; Khan and Farrokh, 2006; Farrokh and Khan, 2010; Reis
- et al., 2013). Crystalline and amorphous phases of the material are responsible for its complex behavior, which is characterized by reversible and irreversible
  deformations (Kennedy et al., 1994; Ayoub et al., 2011).

Different approaches were proposed to model semi-crystalline polymers. These

- <sup>12</sup> approaches can be classified in two classes: the first class is physical models inspired from the material micro-structure characterized by crystalline and amor-
- 14 phous phases. The second class is phenomenological models that treat the material as an homogeneous medium which exhibits reversible and/or irreversible
- 16 deformations.

The first class of models is motivated by the difference in response of each phase of the material: amorphous and crystalline phases. Some authors believe

that the crystalline phase has the most important contribution to the material

- <sup>20</sup> behavior, especially at small deformation conditions and the amorphous phase is rather important at large deformation stage, or more specifically in the post-
- <sup>22</sup> yielding regime of the material response (Garcia-Gonzalez et al., 2017). In order to take into account the effect of the material crystallization degree, multi-scale
- approaches were employed in several works such as (Nikolov and Doghri, 2000;
   Nikolov et al., 2002; Van Dommelen et al., 2003; Makradi et al., 2005; Bedoui
- et al., 2006; Gueguen et al., 2008; Ayoub et al., 2011; Uchida and Tada, 2013;
   Alisafaei et al., 2016).

<sup>28</sup> On the other hand, those who believe that the amorphous phase has the most important contribution and focus on the study of polymers with large defor-

- <sup>30</sup> mations, were able to benefit from the important amount of work dedicated to the pure amorphous polymers (see for review Bouvard et al. (2009)). This
- <sup>32</sup> work started since the middle of the twentieth century and mainly two reference models were extensively used and developed through the years: Edwards
- and Vilgis (1986) model and Haward and Thackray (1968) model. The model of Edwards and Vilgis (1986) is also famous as the network model, it is based
- on the work of Ball et al. (1981), that modified the classical rubber elasticity (e.g. hyper-elasticity) by proposing the concept of slip-link to account for the
- entanglement slippage along the network chains. The original work of Edwards

and Viligis was employed and extended by several authors for the amorphous

- 40 glassy polymers such as Sweeney and Ward (1995) and Billon (2012). The theory was also applied and extended for semi-crystalline polymers in several
- <sup>42</sup> works such as Sweeney et al. (2002) and Maurel-Pantel et al. (2015). In the work of Maurel-Pantel et al. (2015), the authors extended the model of Bil-
- 44 lon (2012) to non-isothermal conditions, The original model was developed for time-dependent mechanical behavior of polymers close to the glass transition.
- Haward and Thackray (1968) proposed a 1D model for large deformation of polymers below their glass transition temperature. Boyce, Parks and Argon
- (1988) extended the original model to 3D description famous as BPA model.The model was initially based on the three chain concept, then it was extended
- <sup>50</sup> by Arruda et al. (1995) to the eight chain model. Further development based on the original Haward and Thackray model was carried out by several au-
- thors (e.g. Wu and Van Der Giessen, 1993; Arruda et al., 1995; Govaert et al., 2000), other versions for amorphous polymers were proposed by Buckley and
- 54 co-workers (e.g. Buckley and Jones, 1995; Li and Buckley, 2009) and, Anand and co-workers (e.g. Anand and Gurtin, 2003; Anand et al., 2009; Ames et al.,
- 56 **2009**).

The second class consists of phenomenological models, which focus on the re-

- versible part of the material behavior or the irreversible one or both of them, as the non-linearity is one of the key features of the material behavior. If the ma-
- terial deformation is assumed to be governed by reversible deformations, several authors proposed to model the material as non-linear viscoelastic material (e.g.
- 62 Lai et al., 2005; Khan et al., 2006), based on the theory proposed by Schapery (1969). Other works focus on the rate dependency of the irreversible behavior by
- using viscoplastic models (e.g. Bardenhagen et al., 1997; Colak, 2005; Drozdov and Christiansen, 2007; Ghorbel, 2008; Dusunceli and Colak, 2008; Drozdov,
- 2011; Khan and Yeakle, 2011) mainly employing the over-stress (VBO) model.
   Another set of models couples the viscoelastic (VE) and viscoplastic (VP) be-
- haviors (e.g. Hasan and Boyce, 1995; Frank and Brockman, 2001; Miled et al., 2011; Yu et al., 2016; Gudimetla and Doghri, 2017).
- <sup>70</sup> The progressive material degradation was also modeled by coupling the damage to the elasto-viscoplastic (EVP) behavior (e.g. Zairi et al., 2008; Balieu et al.,

- <sup>72</sup> 2013) or to the viscoelastic and viscoplastic behavior such as the model proposed by two of the authors Krairi and Doghri (2014) based on the work of Miled
- et al. (2011). Praud et al. (2017) also proposed a model which couples VE, VP and ductile damage, mainly with different description of the viscoelastic part
- 76 of the behavior using differential representation instead of integral description in the work of Krairi and Doghri (2014), more differences are given in details
- in Praud et al. (2017). The later model was described as a multi-mechanisms (MM) constitutive model, since several mechanisms are involved to simulate the
- ao overall behavior of the material. Cayzac et al. (2013a) also proposed to model the damage in semi-crystalline polymers using a new version of the MM model
- <sup>82</sup> of Regrain et al. (2009).

The vast majority of the above listed models are isothermal models. In order

- to be used under non-isothermal conditions, their material parameters need to be calibrated for different temperatures. This method is only valid under a
- <sup>86</sup> field of temperature with constant magnitude. However, for coupled thermomechanical analysis with variable temperature field, non-isothermal models are
- more suitable such as the hyperelastic-thermoviscoplastic constitutive model proposed by Garcia-Gonzalez et al. (2017).
- In this work, based on an extension of the model published by Miled et al. (2011), a new model is proposed for thermoplastic polymers within the frame-
- 92 work of irreversible thermodynamics. It couples viscoelasticity and viscoplasticity under non-isothermal loading conditions.
- The paper is organized as follows. Section 2 presents detailed development of the constitutive model based on a thermodynamics framework. In section 3,
- numerical simulations using the model, are compared with experimental tests on
   PA66 and PP under different temperatures and different strain rates. Finally, a
- discussion and possible enhancements of the model are presented in section 4.

In the text, bold symbols designate second or fourth-rank tensors, as indicated by the context. The contracted tensors products are expressed as:

$$\boldsymbol{a}: \boldsymbol{b} = a_{ij}b_{ji}, \ (\mathbb{A}: \boldsymbol{b})_{ij} = \mathbb{A}_{ijkl}b_{lk},$$

where summation over a repeated index is supposed. The symbols  $\mathbb{I}$  and I are respectively the fourth and the second order symmetric identity tensors. The spherical and deviatoric fourth order operators  $\mathbb{I}^{vol}$  and  $\mathbb{I}^{dev}$  are given by:

$$\mathbb{I}^{vol} \equiv \frac{1}{3} \boldsymbol{I} \otimes \boldsymbol{I} \text{ and } \mathbb{I}^{dev} \equiv \mathbb{I} - \mathbb{I}^{vol},$$

# 104 2. Constitutive equations

The model is formulated under the small perturbation hypothesis. The total strain is decomposed into three parts: a viscoelastic (VE) strain  $\varepsilon^{ve}$ , a thermal (TH) strain  $\varepsilon^{th}$  and a viscoplastic (VP) one  $\varepsilon^{vp}$ :

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}^{ve} + \boldsymbol{\varepsilon}^{th} + \boldsymbol{\varepsilon}^{vp} \tag{1}$$

108 The thermal strain is considered to be expressed as:

$$\boldsymbol{\varepsilon}^{th}\left(T\right) = \underbrace{\left(T - T_{0}\right)}_{\boldsymbol{\theta}} \boldsymbol{\alpha} \boldsymbol{I} \tag{2}$$

here T is the absolute temperature at the current time and  $T_0$  is the initial temperature, and  $\alpha$  is the thermal expansion coefficient for an isotropic polymer, which may be temperature dependent for some polymers.

# 112 2.1. Helmholtz free energy

The proposed Helmholtz's free energy per unit mass  $\psi$  is decomposed into a viscoelastic (VE) part denoted by strain energy function  $\psi^{ve}$  and a hardening energy function  $\psi^h$ .

$$\psi = \psi^{ve} + \psi^h \tag{3}$$

The VE part will be studied in sections 2.2 and 2.3. The hardening part of the energy is an extension of the expression defined in Doghri (1993) as:

$$\rho\psi^{h} = \frac{1}{2}\boldsymbol{\chi}(t,T) : \boldsymbol{\chi}(t,T) + \int_{0}^{p(t)} R(\xi,T)d\xi$$
(4)

In this work,  $(\varepsilon^{vp}, V = \{p, \chi\})$  are internal variables, where the scalar variable p models isotropic hardening and the strain-like tensor  $\chi$  models kine-

matic hardening. Internal variables V are associated to thermodynamic forces  $A = \{R, X\}$ . The scalar variable R measures the radius of the yield surface

- in the space of deviatoric stresses while the tensor variable X measures the translation of the center of that surface in the same space. The Cauchy stress
- 124 is denoted  $\sigma$ .

#### 2.2. Temperature-independent viscoelastic properties

- First we consider the case of temperature-independent viscoelastic properties. The expression of  $\psi^{ve}$  is form-similar to the Helmholtz free energy proposed
- by Christensen and Naghdi (1967) for linear non-isothermal viscoelastic solids, but instead of the total strain in the purely VE latter formulation, we choose
- 130 to write  $\psi^{ve}$  in terms of the thermo-viscoelastic strain:

$$\boldsymbol{\varepsilon}^{tve} = \boldsymbol{\varepsilon}^{th} + \boldsymbol{\varepsilon}^{ve} = \boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}^{vp} \tag{5}$$

The expression of  $\psi^{ve}$  is the following:

$$\rho\psi^{ve} = \rho\psi_0^{ve} + \int_{-\infty}^t \mathbf{D}(t-\tau) : \frac{\partial \boldsymbol{\varepsilon}^{tve}}{\partial \tau} d\tau - \int_{-\infty}^t \beta(t-\tau) \frac{\partial \theta}{\partial \tau} d\tau \\
+ \frac{1}{2} \int_{-\infty}^t \int_{-\infty}^t \left\{ \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} : \mathbb{C}^{ve}(t-\tau,t-\eta) : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\eta)}{\partial \eta} \right\} d\tau d\eta \\
- \int_{-\infty}^t \int_{-\infty}^t \left\{ \boldsymbol{\varphi}\left(t-\tau,t-\eta\right) : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} \frac{\partial \theta\left(\eta\right)}{\partial \eta} \right\} d\tau d\eta \\
- \frac{1}{2} \int_{-\infty}^t \int_{-\infty}^t \left\{ m(t-\tau,t-\eta) \frac{\partial \theta\left(\tau\right)}{\partial \tau} \frac{\partial \theta\left(\eta\right)}{\partial \eta} \right\} d\tau d\eta + O\left(\boldsymbol{\epsilon}^3\right) \quad (6)$$

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where  $\rho[kg/m^3]$  is the mass density,  $\psi_0^{ve}$  is the Holmholtz free energy density of the material in the initial stress-free state. The functions D,  $\beta$ ,  $\mathbb{C}^{ve}$ ,  $\varphi$  and

m are the relaxation mechanical functions, they are assumed to be continuous for arguments  $\tau_i < 0$ ; i.e.,

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$$D_{ij}(\tau_1) = 0, \ \beta(\tau_1) = 0, \ \mathbb{C}_{ijkl}^{ve}(\tau_1, \tau_2) = 0, \ \varphi_{ij}(\tau_1, \tau_2) = 0,$$

 $m(\tau_1, \tau_2) = 0$ , for  $\tau_1 < 0$  and  $\tau_2 < 0$ 

The terms of  $O(\epsilon^3)$  in equation 6 are neglected.

The *Clausius-Duhem* inequality requires the dissipation  $\phi$  to be non-negative and reads as:

$$\phi = \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}} - \rho \left( \dot{\boldsymbol{\psi}} + S\dot{T} \right) - \nabla T \cdot \frac{\boldsymbol{q}}{T} \ge 0 \tag{7}$$

where q is the heat flux vector and S is the entropy per unit mass. After differentiation with respect to time of the free energy expression, using Leibnitz's rule, the *Clausius-Duhem* inequality leads to the following expressions of the

stress and the entropy after canceling D and  $\beta$  terms (cf. AppendixA for details)

$$\boldsymbol{\sigma}(t) = \int_{-\infty}^{t} \mathbb{C}^{ve}(t-\tau) : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} d\tau - \int_{-\infty}^{t} \boldsymbol{\varphi}\left(t-\tau\right) \frac{\partial \boldsymbol{\theta}\left(\tau\right)}{\partial \tau} d\tau \qquad (8)$$

$$\rho S(t) = \int_{-\infty}^{t} m(t-\tau) \frac{\partial \theta(\tau)}{\partial \tau} d\tau + \int_{-\infty}^{t} \varphi(t-\tau) : \frac{\partial \varepsilon^{tve}(\tau)}{\partial \tau} d\tau$$
(9)

The dissipation defined in equation 7 can be re-expressed as follows:

$$\phi = \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}}^{vp} - \rho \dot{\boldsymbol{\psi}}^{h} + \Lambda - \nabla T \cdot \frac{\boldsymbol{q}}{T} \ge 0$$
(10)

The expression of the thermo-VE term  $\Lambda$  is given in AppendixA. Following Christensen (1982), the aim is to develop a first order theory and  $\Lambda$  is a secondorder term which can be neglected in front of the others. Consequently, and

using the expression of  $\psi^h$  (eq.4). The dissipation is rewritten as:

$$\phi = -\nabla T \cdot \frac{\boldsymbol{q}}{T} + \underbrace{\boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}}^{vp} - R\dot{p} - a\boldsymbol{\chi}(t) : \dot{\boldsymbol{\chi}}(t)}_{\phi_{\text{mec}}} \ge 0 \tag{11}$$

- where φ<sub>mec</sub> is the mechanical dissipation transferred to heat. This effect is called self heating. Generally, it is important at high strain rates under monotonic
  loading or at high frequencies under cyclic loading. It can be the origin of important thermal softening that may lead to material failure.
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The first law of thermodynamics can be expressed in the following form [See details in Doghri (2000), Chapter 12]:

$$\rho \dot{\boldsymbol{e}} = \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}} + \rho r_{ext} - \operatorname{div} \boldsymbol{q} \tag{12}$$

where e [J/kg] is an internal energy per unit mass, and r<sub>ext</sub> [W/kg] a mass density of internal heat production due to external sources. Internal and free
energies per unit mass, e and ψ, are related by:

$$\psi = e - TS \tag{13}$$

Using the equations of state (and neglecting the  $\Lambda$  term again) equation 12 can be rewritten as follows:

$$\rho T \dot{S} = \phi_{\rm mec} + \rho r_{ext} - \operatorname{div} \boldsymbol{q} \tag{14}$$

162 This can again be rewritten as follows:

$$\rho c_p \dot{T} = (\phi_{\rm mec} + \rho r_{ext} - \rho T \mathcal{H}) - \operatorname{div} \boldsymbol{q}$$
(15)

where  $c_p[J/kg/K]$  is the specific heat capacity and  $\mathcal{H}$  is the structural heating, given by the following expressions:

$$c_p \equiv T \frac{\partial S}{\partial T}$$
$$\mathcal{H} \equiv \frac{\partial S}{\partial \varepsilon^{tve}} : \varepsilon^{tve}$$
(16)

According to Fourier's law, the heat conduction in an isotropic material can be expressed as:

$$\boldsymbol{q} = -\boldsymbol{k}\nabla T \tag{17}$$

The conductivity tensor  $\boldsymbol{k}$  is assumed to be an isotropic tensor defined as  $\boldsymbol{k} = k\boldsymbol{I}$ .

## 168 2.3. Temperature-dependent viscoelastic properties

Let us start with purely VE case. When the properties are temperaturedependent, then according to Schapery (1967) a thermodynamically valid constitutive relation is:

$$\boldsymbol{\sigma}(t) = \int_{-\infty}^{t} \mathbb{C}^{ve}(\bar{t} - \bar{\tau}) : \frac{\partial \boldsymbol{\varepsilon}(\tau)}{\partial \tau} d\tau - \int_{-\infty}^{t} \boldsymbol{\varphi}(\bar{t} - \bar{\tau}) \frac{\partial \boldsymbol{\theta}(\tau)}{\partial \tau} d\tau$$
(18)

where  $\bar{t}$  and  $\bar{\tau}$  are reduced times defined by

$$\bar{t} = \int_{0}^{t} \frac{d\xi}{a_T \left(T\left(\xi\right)\right)} \; ; \; \bar{\tau} = \int_{0}^{\tau} \frac{d\xi}{a_T \left(T\left(\xi\right)\right)} \tag{19}$$

with  $a_T(T)$  being a temperature shift function. This formalism has been widely used since the 1960's. As noted also by Schapery 1967, eq 18 can be rewritten equivalently as:

$$\boldsymbol{\sigma}(t) = \int_{-\infty}^{\bar{t}} \mathbb{C}^{ve}(\bar{t} - \bar{\tau}) : \frac{\partial \boldsymbol{\varepsilon}(\bar{\tau})}{\partial \bar{\tau}} d\bar{\tau} - \int_{-\infty}^{\bar{t}} \boldsymbol{\varphi}(\bar{t} - \bar{\tau}) \frac{\partial \boldsymbol{\theta}(\bar{\tau})}{\partial \bar{\tau}} d\bar{\tau}$$
(20)

This relation is form-identical to classical one for temperature-independent properties, provided that time is replaced by reduced time. Going back to
coupled VE-VP, with temperature-dependent VE properties, we assume that the VE part of the free energy remains form-identical to that of equation (6) on
the condition that the following substitutions are made:

$$t \to \bar{t} \; ; \tau \to \bar{\tau} \; ; \eta \to \bar{\eta}$$
 (21)

The time derivative is given by

$$\frac{\partial \bar{t}}{\partial t} = \frac{1}{a_T \left( T \left( t \right) \right)} \tag{22}$$

Using equations 21 and 22, and following the same procedure as in section 2.2 and AppendixA, the following equations of state are found for the stress and

184 the entropy:

$$\boldsymbol{\sigma}(t) = \int_{-\infty}^{t} \mathbb{C}^{ve}(\bar{t} - \bar{\tau}) : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} d\tau - \int_{-\infty}^{t} \boldsymbol{\varphi}\left(\bar{t} - \bar{\tau}\right) \frac{\partial \boldsymbol{\theta}\left(\tau\right)}{\partial \tau} d\tau \qquad (23)$$

$$\rho S(t) = \int_{-\infty}^{t} m(\bar{t} - \bar{\tau}) \frac{\partial \theta(\tau)}{\partial \tau} d\tau + \int_{-\infty}^{t} \varphi(\bar{t} - \bar{\tau}) : \frac{\partial \varepsilon^{tve}(\tau)}{\partial \tau} d\tau$$
(24)

The dissipation equation (11) and the heat equation (15) remain unchanged (after neglecting the  $\Lambda$  term). In the isotropic case,  $\mathbb{C}^{ve}$  could be written as follows:

$$\mathbb{C}^{\text{ve}}(\bar{t}) = 2G(\bar{t})\,\mathbb{I}^{dev} + 3K(\bar{t})\,\mathbb{I}^{vol} \tag{25}$$

where  $G(\bar{t})$  and  $K(\bar{t})$  are shear and bulk relaxation functions, respectively, which can be expressed using the Prony series:

$$G(\bar{t}) = G_{\infty} + \sum_{i=1}^{I} G_i \exp\left(-\frac{\bar{t}}{g_i}\right) \; ; \; K(\bar{t}) = K_{\infty} + \sum_{j=1}^{J} K_j \exp\left(-\frac{\bar{t}}{k_j}\right) \tag{26}$$

- Here,  $g_i(i = 1..I)$  and  $k_j(j = 1..J)$  are the deviatoric and volumetric relaxation times respectively;  $G_i(i = 1..I)$  and  $K_j(j = 1..J)$  are the corresponding moduli
- or weights, and  $G_{\infty}$  and  $K_{\infty}$  are the long-term elastic shear and bulk moduli.
- We recall that for the isotropic case, the thermal expansion is defined by equation 2. After replacing the thermo-viscoelastic strain by its expression and in order to obtain familiar expression for the stress, an assumption was made for
- the function  $\varphi$  to be defined as  $\varphi_{ij}(t) = \varphi(t) \,\delta_{ij} = 3\alpha K(\bar{t}) \,\delta_{ij}$ . The following expressions for stress and entropy are obtained (cf. AppendixA for details). The
- 198 Cauchy stress is divided into deviatoric and hydrostatic parts:

$$\boldsymbol{\sigma}(t) = \boldsymbol{s}(t) + \sigma_H(t) \boldsymbol{1} \tag{27}$$

where

$$\begin{cases} s_{ij}(t) = 2 \int_{-\infty}^{t} G(\bar{t} - \bar{\tau}) \frac{\partial \boldsymbol{\xi}_{ij}^{ve}(\tau)}{\partial \tau} d\tau \\ \sigma_{H}(t) = 3 \int_{-\infty}^{t} K(\bar{t} - \bar{\tau}) \frac{\partial \epsilon_{H}^{ve}(\tau)}{\partial \tau} d\tau \end{cases}$$
(28)

200 with the viscoelastic strain tensor is also divided into deviatoric and dilatational parts:

$$\boldsymbol{\varepsilon}^{ve}(t) = \boldsymbol{\xi}^{ve}(t) + \epsilon_H^{ve}(t)\mathbf{1}$$
(29)

<sup>202</sup> The equation 28 is equivalent to:

$$\boldsymbol{\sigma}(t) = \int_{-\infty}^{t} \mathbb{C}^{ve}(\bar{t} - \bar{\tau}) : \frac{\partial \boldsymbol{\varepsilon}^{ve}(\tau)}{\partial \tau} d\tau$$
(30)

The entropy is then expressed as

$$\rho S(t) = \int_{-\infty}^{t} \left( m \left( \bar{t} - \bar{\tau} \right) + 9\alpha^2 K \left( \bar{t} - \bar{\tau} \right) \right) \frac{\partial \theta(\tau)}{\partial \tau} d\tau$$
(31)

## 204 2.4. Viscoplastic flow rules

Using the generalized normality as in (Krairi and Doghri, 2014; Chaboche, 1997), we have the following evolution laws:

$$\dot{\boldsymbol{\varepsilon}}^{vp} = \dot{\gamma} \frac{\partial F}{\partial \boldsymbol{\sigma}}, \ \dot{\boldsymbol{V}} = \dot{\gamma} \frac{\partial F}{\partial \boldsymbol{A}}, \tag{32}$$

here  $\dot{\gamma}$  is a viscoplastic multiplier and the potential F is the non-isothermal extension of the one proposed by (Lemaitre and Chaboche, 1994):

$$F(\boldsymbol{\sigma}, R, \boldsymbol{X}, T) = f(\boldsymbol{\sigma}, R, \boldsymbol{X}, T) + \frac{b}{2a}\boldsymbol{X} : \boldsymbol{X}$$
(33)

where  $f(\sigma, R, X, T)$  represents the viscoelastic domain if f < 0, and viscoplastic flow if f > 0. The following expression for f is considered:

$$f(\boldsymbol{\sigma}, R, \boldsymbol{X}, T) = (\boldsymbol{\sigma} - \boldsymbol{X})_{eq} - \sigma_Y(T) - R(T, p)$$
(34)

here  $\sigma_Y(T)$  is the viscoelastic limit at a given temperature (T) and  $(\boldsymbol{\sigma} - \boldsymbol{X})_{eq}$ is chosen as the von Mises measure of  $(\boldsymbol{\sigma} - \boldsymbol{X})$ :

$$(\boldsymbol{\sigma} - \boldsymbol{X})_{eq} = \left[\frac{3}{2}(\boldsymbol{s} - \boldsymbol{X}) : (\boldsymbol{s} - \boldsymbol{X})\right]^{1/2}$$
(35)

here s is the deviatoric part of  $\sigma$ . Using (eq. 32), the following evolution equations are found :

$$\begin{aligned} \dot{\boldsymbol{\varepsilon}}^{vp} &= \dot{\boldsymbol{\gamma}} \boldsymbol{N}, \\ \dot{\boldsymbol{p}} &= \dot{\boldsymbol{\gamma}}, \\ \dot{\boldsymbol{\chi}} &= \dot{\boldsymbol{\gamma}} (\boldsymbol{N} - \frac{b}{a} \boldsymbol{X}) \end{aligned} \tag{36}$$

where the following notation was introduced :

$$\mathbf{N} \equiv \frac{\partial f}{\partial \boldsymbol{\sigma}} = \frac{3}{2} \frac{\boldsymbol{s}}{\sigma_{eq}} \tag{37}$$

Since  $\dot{\boldsymbol{\varepsilon}}^{vp}$  and  $\boldsymbol{N}$  are deviatoric and  $(\boldsymbol{N} : \boldsymbol{N} = 3/2)$ , the accumulated viscoplastic strain rate  $\dot{p}$  is related to  $\dot{\boldsymbol{\varepsilon}}^{vp}$  by :

$$\dot{p} = \left(\frac{2}{3}\dot{\epsilon}^{vp} : \dot{\epsilon}^{vp}\right)^{1/2} \tag{38}$$

218 and it is defined by:

$$\begin{cases} \text{if } f \leqslant 0 \quad \dot{p} = 0 \\ \text{if } f > 0 \quad \dot{p} = g_v(\sigma_{eq}, p, T) > 0 \end{cases}$$

$$(39)$$

where  $g_v$  is the viscoplastic function.

# 220 2.5. Summary of constitutive equations

In summary, the main constitutive equations are the following:

$$\begin{cases} \boldsymbol{\varepsilon} &= \boldsymbol{\varepsilon}^{th} + \boldsymbol{\varepsilon}^{ve} + \boldsymbol{\varepsilon}^{vp} \\ \boldsymbol{\varepsilon}^{ve} &= \boldsymbol{\xi}^{ve} + \boldsymbol{\epsilon}^{ve}_{H} \mathbf{1} \\ s_{ij}\left(t\right) &= 2 \int_{-\infty}^{t} G(\bar{t} - \bar{\tau}) \frac{\partial \boldsymbol{\xi}^{ve}_{ij}(\tau)}{\partial \tau} d\tau \\ \sigma_{H}(t) &= 3 \int_{-\infty}^{t} K(\bar{t} - \bar{\tau}) \frac{\partial \boldsymbol{\epsilon}^{ve}_{H}(\tau)}{\partial \tau} d\tau \\ \boldsymbol{\dot{\varepsilon}}^{vp} &= \frac{3}{2} \frac{(\boldsymbol{s} - \boldsymbol{X})}{(\boldsymbol{\sigma} - \boldsymbol{X})_{eq}} \dot{p}, \\ f(\boldsymbol{\sigma}, R, \boldsymbol{X}, T) = (\boldsymbol{\sigma} - \boldsymbol{X})_{eq} - \sigma_{Y}(T) - R(T, p) \\ \text{if } f > 0 \ \dot{p} = g_{v}(\sigma_{eq}, p, T) > 0 \text{ otherwise } \dot{p} = 0 \\ \boldsymbol{\dot{X}} = (a \dot{\boldsymbol{\varepsilon}}^{vp} - b \boldsymbol{X} \dot{p}) \\ \rho S\left(t\right) &= \int_{-\infty}^{t} \left(m\left(\bar{t} - \bar{\tau}\right) + 9\alpha^{2}K\left(\bar{t} - \bar{\tau}\right)\right) \frac{\partial \theta\left(\tau\right)}{\partial \tau} d\tau \end{cases}$$

$$(40)$$

The dissipation is expressed by equation 11 and the heat equation is given by equation 15. It should be noted that using the von Mises yield criterion makes

- the viscoplastic behavior insensitive to change in hydrostatic pressure. However the thermo-viscoelastic response should be influenced. This assumption is
- $_{226}$  further discussed in section 4.

In the above equations the concept of reduced time is employed as in Schapery (1969), however we are using a generalized shift function  $A_{Sh}$  which allows to take into account the aging and the moisture effect, such that the reduced time  $(\bar{t})$  is related to the real time (t) by the following expression:

$$\bar{t} = \int_{0}^{t} \frac{dt'}{A_{Sh}\left(t'\right)} \tag{41}$$

with  $A_{Sh}$  defined as follows:

$$A_{Sh} = a_T a_m a_a \tag{42}$$

where  $a_T$  is representing the effect of temperature,  $a_m$  is representing the effect of moisture and  $a_a$  is representing the time shift due to material aging.

## 230 3. Experimental validation

In order to validate the proposed thermo-mechanical model against experimental tests, it was implemented into the commercial finite element code ABAQUS by combining user subroutines UMAT and UMATHT. The subroutine UMAT allows to define the stress-strain response and the heat production from

- mechanical dissipation at each integration point, based on an implicit numerical algorithm following the methods in (Simo and Hughes, 1998; Doghri, 2000). At
- each time increment, the subroutine UMAT compute heat generated due to dissipation through variable RPL. The temperature field is computed by ABAQUS
- as part of an iterative solution where UMATHT provides the heat flux vector and the thermal constitutive behavior. In the following, the thermo-mechanical
- behavior of Polyamide 66 and Polyproylene were studied. FE models for the
- <sup>242</sup> specimens used to perform the experimental characterization were created and the numerical simulation results are compared to experimental data. Two type
- of specimens are modeled and simulated by finite elements: tensile samples and shear samples. For the tensile samples which have rectangular and circular cross

- 246 sections, based on the symmetry of the geometry and loading symmetry only fourth of the sample is modeled and meshed. Symmetry boundary conditions
- 248 are applied on the 3 symmetry surfaces. A controlled displacement is applied on the clamps specimen shoulders contact surface. However, for the shear sample
- the whole geometry sample according to the standard ASTM D 5379. The initial testing temperature is defined as a predefined field throughout the model.
- <sup>252</sup> The convection heat transfer is not taken into account since the external environmental conditions (i.e ambient air temperature and convection coefficient)
- <sup>254</sup> are not known. The FE models are meshed using element C3D20T, a 20 node triquadratic displacement and trilinear temperature brick element.
- <sup>256</sup> The selected models and functions for the numerical simulations are the following: The kinematic hardening is assumed to be negligible in the studied
- cases. For the shift function  $(A_{sh})$ ,  $a_m$  and  $a_a$  are equal to 1, since constant relative humidity and no effect of aging are assumed. The function  $a_T$  is the
- $_{\tt 260}$  Williams–Landel–Ferry (WLF) equation defined as:

$$\log(a_T) = -\frac{C_1 (T - T_{ref})}{C_2 + (T - T_{ref})}$$
(43)

For the viscoplastic behavior,

$$\begin{cases} \sigma_y(T) = \Gamma(T) \, \sigma_{y,ref} \\ R(T, p) = \Gamma(T) \, R(T_{ref}, p) \end{cases}$$
(44)

with  $\sigma_{y,ref}$  is a yield stress at a reference temperature  $T_{ref}$ , it represents the limit of linear viscoelastic response of the material in term of stress.  $R(T_{ref}, p)$ 

corresponds to the isotropic hardening with material parameters identified at the reference temperature.  $\Gamma(T)$  is a temperature sensitivity function expressed as:

$$\Gamma(T) = \Gamma(\beta, T) = \exp\left(-\beta\left(T - T_{ref}\right)\right) \tag{45}$$

 $\beta$  is a material parameter,  $\Gamma(T_{ref}) = 1$ , this function is inspired from the observation made by Zhou and Mallick (2002) on polypropylene (PP) and talcfilled polypropylene under different strain rates and different temperatures. The 270 power law is selected for the viscoplastic function:

$$g_v = \frac{\sigma_y}{\eta} \left(\frac{f}{\sigma_y}\right)^m \tag{46}$$

 $\eta$  is a variable that is taken constant for PP, since the temperature sensitivity of  $\sigma_y$  is enough to capture the temperature sensitivity of the viscoplastic behavior. For PA66,  $\eta$  is considered to be temperature dependent.

- For each material PA66 and PP, the needed material parameters are the viscoelastic parameters (Table 1 for PA66 and Table 3 for PP), the viscoplastic
- 276 parameters (Table 2 for PA66 and Table 4 for PP) and the WLF parameters, in addition to thermal properties which are given in the text in each corresponding
- 278 section.

#### 3.1. Case of Polyamide 66 (PA66)

Baquet (2011) and Maurel-Pantel et al. (2015) reported the results of thermomechanical uniaxial tensile and shear experimental tests on PA66 under differ-

- ent strain rates and temperatures. Digital image correlation (DIC) technique was used by the authors in order to analyze the deformation field on the front
- surface of the samples. The authors also presented measurements of the self heating at the specimen's external surface. They employed an infrared camera
- 286 (see Maurel-Pantel et al. (2011) for more details about the employed procedure). The material was supplied by Solvay Performance Polyamides, it was
- conditioned until equilibrium was reached with an air containing 50% of relative humidity during the experiment, because of the high influence of relative
  humidity on the Polyamide material.
- The proposed model is employed to simulate the material thermo-mechanical response and its self heating. In order to identify the viscoelastic properties, DTMA is employed. It was performed at temperatures between  $-100^{\circ}C$  and
- <sup>294</sup> 210°C. For the current study, the selected range was  $0^{\circ}C$  to  $100^{\circ}C$ . Master curves for the storage and loss moduli were built in order to take into account
- the effect of time and temperature simultaneously (cf. figure 1). These curves were used in order to identify the Prony series coefficients. Several numbers of
- terms in the Prony series were tested and a minimum number of 20 terms was found to be needed in order to fit the master curve correctly. The instanta-
- neous modulus  $E_0$  is not given for confidentiality reasons, the Poisson's ratio

is assumed to be constant and equal to  $\nu=0.42$  and the identified viscoelastic

302 parameters are listed in table 1. It should be noted that the Poisson's ratio is generally not constant for thermoplastic polymers and that the use of shear

- and bulk time functions, expressed using Prony series determined directly from experiments is more appropriate to model the multiaxial aspects of material be-
- 306 havior. However, if the only available experimental data are uniaxial tests, then an estimate of the shear and bulk moduli may be found, assuming a constant
- Poisson's ratio. With the latter assumption, parameters  $G_i$ ,  $K_i$ ,  $g_i$  and  $k_j$  are obtained by the following relations :

$$\begin{cases} G_{\infty} = \frac{E_{\infty}}{2(1+\nu)} \; ; \; G_i = \frac{E_i}{2(1+\nu)} \; ; \; g_i = \frac{\tau_i E_i}{G_i} \\ K_{\infty} = \frac{E_{\infty}}{3(1-2\nu)}; \; K_i = \frac{E_i}{3(1-2\nu)} \; ; \; k_i = \frac{\tau_i E_i}{K_i} \end{cases} (\text{no sum}) \tag{47}$$

- More details about the viscoelastic material parameter identification can be found in Krairi and Doghri (2014). The parameters for the WLF equation are
- identified using the shift factors at each temperature employed to construct the master curves (cf. figure 2),  $T_{ref} = 25^{\circ}C$ ,  $C_1 = 26.21$  and  $C_2 = 153.16^{\circ}C$ . The
- material density, the thermal expansion coefficient, the thermal conductivity and the specific heat capacity are  $\rho = 1140 \, kg \, m^{-3}$ ,  $\alpha = 70.10^{-6} K^{-1}$ ,  $k = 0.27 \, W/(mK)$  and  $c = 1670 \, J \, K^{-1} \, kg^{-1}$ , respectively.



Figure 1: Master curve for PA66 conditioned at 50%

i	1	2	3	4	5	6	7	8	9	10
$\log(\tau_i)$	-6.59	-5.80	-5.01	-4.22	-3.42	-2.63	-1.84	-1.05	-0.26	0.53
$E_i/E_0$	0.07	0.073	0.076	0.078	0.077	0.073	0.068	0.062	0.056	0.050
i	11	12	13	14	15	16	17	18	19	20
$\log(\tau_i)$	1.32	2.12	2.91	3.70	4.49	5.28	6.07	6.87	7.66	8.45
$E_i/E_0$	0.027	0.023	0.019	0.016	0.014	0.014	0.015	0.018	0.024	0.034

Table 1: Identified VE parameters for PA66 at  $T{=}23^{\circ}\mathrm{C}$ 



Figure 2: Shift factors for PA66 conditioned at 50%, employed to calibrate the WLF shift function

- The experimental stress strain curves at different strain rates at temperatures  $T = 21.5^{\circ}C$  and  $T = 26^{\circ}C$  (See figures 6 and 3) are used to identify the param-
- eters for the yield stress, the isotropic hardening and the viscoplastic function, and to calibrate the function  $\Gamma(T)$ . The identified viscoplastic material param-
- eters are listed in table 2. In the following, the stress-strain curves for PA66 are plotted in terms of normalized stress (true stress divided by a constant stress
- 324  $\sigma_0$ ) for confidentiality reasons.

The predicted stress strain curves at temperatures  $T = 40^{\circ}C$  and  $T = 60^{\circ}C$  are

- compared to the experimental ones in figures 7 and 8. An acceptable agreement can be seen between the experimental data and numerical predictions.
- The average self-heating at the specimens surface is predicted at temperatures  $T = 21.5^{\circ}C$  and  $T = 26^{\circ}C$ . From figure 3, we can see that the self heating is well
- predicted at  $T = 26^{\circ}C$  and a strain rate  $\dot{\varepsilon} = 2.4 \, 10^{-1} s^{-1}$ , the field of temperature is plotted in figure 4 at a strain of 25%. For lower strain rate  $\dot{\varepsilon} = 2.4 \, 10^{-3} s^{-1}$  at
- the same temperature a slight over-estimation of predicted rise of temperature can be seen in figure 5, but it is still within the measurement noise. It seems
- that at small strain rates, the increase of the temperature is small, therefore it is difficult to obtain accurate experimental measurements. In figure 6, for
- the case of the temperature  $T = 21.5^{\circ}C$  and strain rate  $\dot{\varepsilon} = 1.8 \, 10^{-2} s^{-1}$ , a drop of temperature occurred mainly when the material response is linear, but
- when the nonlinear regime is dominant, an increase of the temperature can be seen. The model is able to predict the increase and temperature with a small
- $_{340}$  overestimation for this case.

Yield stress: $\sigma_{y}(T) = \Gamma(\beta_{1}, T) \sigma_{y, ref}$
$T_{ref} = 25 \ ^{\circ}C, \ \beta_1 = 0.011, \ \sigma_{y,ref} = 15.5 \ \text{MPa}$
Isotropic hardening: $R(T, p) = \Gamma(\beta_1, T) k p^n$
$k=103\mathrm{MPa},n=0.32$
Viscoplastic function: $g_v = \frac{\sigma_y}{\eta} \left(\frac{f}{\sigma_y}\right)^m$ with $\eta = \eta_0 \Gamma(\beta_2, T)$
$\eta_0 = 74 \text{ MPa.s}, \ m = 2, \ \beta_2 = 0.07$

Table 2: Viscoplastic parameters for PA66



Figure 3: Tensile tests under a strain rate  $\dot{\varepsilon} = 2.4 \, 10^{-1} s^{-1}$  at the temperature  $T = 26^{\circ}C$ 



Figure 4: An example of the self-heating field within the dogbone specimen of PA66 under uniaxial test at  $T = 26^{\circ}C$  and a strain rate  $\dot{\varepsilon} = 2.4 \, 10^{-1} s^{-1}$ . The average strain is equal to 0.25. The values of temperature are in  $^{\circ}K$ .



Figure 5: Tensile test under a strain rate  $\dot{\varepsilon}=2.4\,10^{-3}s^{-1}$  at the temperature  $T=26\,^\circ\!C$ 



Figure 6: Tensile test under a strain rate  $\dot{\varepsilon} = 1.8 \, 10^{-2} s^{-1}$  at the temperature  $T = 21.5^{\circ}C$ 



Figure 7: Tensile tests under different strain rates at the temperature  $T=40^\circ\!C$ 



Figure 8: Tensile tests under different strain rates at the temperature  $T = 60^{\circ}C$ 

The proposed model is also employed to predict the behavior of PA66 under shear loading using Iosipescu configuration (described in standard "ASTM D 5379"), with different strain rates at temperature T = 29°C. The model predictions are compared to experimental data and numerical simulations of

Maurel-Pantel et al. (2015) in figures 9, 10, 11 and 12. It is seen that the proposed model better captures the material response under shear loading as
compared to Maurel-Pantel et al. (2015) model.



Figure 9: Shear test under a strain rate  $\dot{\varepsilon} = 4.0 \, 10^{-1} s^{-1}$  at temperature  $T = 29^{\circ}C$ 



Figure 10: Shear test under a strain rate  $\dot{\varepsilon} = 3.7 \, 10^{-2} s^{-1}$  at temperature  $T = 29^{\circ}C$ 

350



Figure 11: Shear tests under a strain rate  $\dot{\varepsilon} = 1.38 \, 10^{-2} s^{-1}$  at temperature  $T = 29^\circ C$ 

35 2



Figure 12: Shear tests under a strain rate  $\dot{\varepsilon} = 3.64 \, 10^{-3} s^{-1}$  at temperature  $T = 29^{\circ}C$ 

#### 3.2. Case of polypropylene (PP)

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In this section, experimental uniaxial tests were performed on injected polypropylene (PP 575P from Sabic) at different temperatures and strain rates to calibrate

and validate the proposed constitutive material model. The digital image correlation (DIC) technique was employed in order to measure the local field of

deformation during the loading. The strain measurement was verified with an extensometer at room temperature. The temperature was controlled by a ther-

<sup>360</sup> mocouple inside the heat chamber. A second measurement was performed with a K-type thermocouple directly next to the sample. Dogbone-shaped samples

- according to DIN EN ISO 527-2, type 1A were tested on an Instron 5800 tensile machine. The true strain was calculated with the correlation software VIC3D,
- using the logarithmic Hencky tensor.

Similar to the case of PA66, DMA was used in order to identify the viscoelastic properties (i.e. Prony series coefficients). Injected PP was grinded

and polished with water cooling to a thickness of 3 mm and dried afterwards in

- a desiccator until constant weight. According to DSC results, the crystallinity remained unchanged. On a TA Q800 frequency sweeps of 0.1% strain were
- performed using a dual cantilever clamp at frequencies between 1 Hz and 50 Hz. In steps of 5°C with an isothermal step length of 15min to ensure uni-
- form temperature distribution, the temperature was increased from 23°C to 60°C. From the results, master curves for loss and storage moduli were con-
- structed (cf. figure 13). The instantaneous modulus is  $E_0 = 2000$  MPa, the Poisson's ratio is assumed to be constant and equal to  $\nu = 0.42$  and the identi-
- fied viscoelastic parameters are listed in Table 3. The parameters for the WLF equation are  $T_{ref} = 23^{\circ}C$ ,  $C_1 = 61.22$  and  $C_2 = 178.5^{\circ}C$ , identified using the
- experimental shift factors (cf figure 14). The material density, the thermal expansion coefficient, the thermal conductivity and the specific heat capacity are
- 380  $\rho = 943 \, kg \, m^{-3}$ ,  $\alpha = 90.10^{-6} K^{-1}$ ,  $k = 0.2 \, W/(mK)$  and  $c_p = 1920 \, J \, K^{-1} \, kg^{-1}$ , respectively.



Figure 13: Master curves for PP

i	1	2	3	4	5	6	7	8	9	10
$\log(\tau_i)$	-1.70	-0.55	0.59	1.73	2.88	4.02	5.17	6.31	7.46	8.60
$E_i$ [MPa]	163	122	126	129	126	119	112	105	99	93.5
i	11	12	13	14	15	16	17	18	19	20
$\log(\tau_i)$	9.74	10.9	12.0	13.1	14.3	15.5	16.6	17.8	18.9	20.0
$E_i$ [MPa]	88.8	84.6	81.4	79.7	79.8	80.4	77.4	64.1	51.1	91.7

Table 3: Identified viscoelastic parameters for PP at  $T{=}23^{\circ}\mathrm{C}$ 

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Figure 14: Shift factors for PP, employed to calibrated the WLF shift function

The experimental stress strain curves at different strain rates at the reference temperature  $T_{ref} = 23^{\circ}C$  (See figure 15) are used to identify the parameters for the yield stress, the isotropic hardening and the viscoplastic function, and

- only one stress-strain curve at different temperature than  $T = 23^{\circ}C$ , is used to calibrate the function  $\Gamma(T)$ , which is the one at the temperature  $T = 45^{\circ}C$  and
- strain rate  $\dot{\varepsilon} = 9.3 \, 10^{-6} \, s^{-1}$ . The identified viscoplastic material parameters are listed in table 4. The predicted stress strain curves at the temperatures
- 390 T = 45°C and T = 60°C are compared to the experimental ones in figures 16 and 17. Generally a good agreement can be seen between the experimental data
  392 and numerical predictions.

Yield stress: 
$$\sigma_y (T) = \Gamma (\beta_1, T) \sigma_{y,ref}$$
  
 $T_{ref} = 23 \,^{\circ}C, \ \beta_1 = 0.018, \ \sigma_{y,ref} = 3.5 \text{ MPa}$   
Isotropic hardening:  $R(T, p) = \Gamma (\beta_1, T) k p^{n_1} (1 - \exp (-n_2 p))$   
 $k = 24 \text{ MPa}, \ n_1 = 0.18, \ n_2 = 230$   
Viscoplastic function:  $g_v = \frac{\sigma_y}{\eta} \left(\frac{f}{\sigma_y}\right)^m$   
 $\eta = 1.29 \, 10^6 \text{ MPa.s}, \ m = 4.75$ 

Table 4: Viscoplastic parameters for PP



Figure 15: Tensile tests under different strain rates at temperature  $T=23\,{}^\circ\!C$ 



Figure 16: Tensile tests under different strain rates at temperature  $T = 45^{\circ}C$ 



Figure 17: Tensile tests under different strain rates at temperature  $T=60^\circ C$ 

Similar to the case of PA66, the self heating of PP was estimated. The Figure 18 shows an example of the self-heating field within the dogbone specimen of PP under uniaxial test at  $T = 23^{\circ}C$  and a strain rate  $\dot{\varepsilon} = 1.8 \, 10^{-2} s^{-1}$ . The predictions of the average surface temperature caused by self heating under different strain rates at initial temperature of  $T = 23^{\circ}C$  are plotted in figure 19.



Figure 18: An example of the self-heating field within the dogbone specimen of PP under uniaxial test at  $T = 23^{\circ}C$  and a strain rate  $\dot{\varepsilon} = 1.8 \, 10^{-2} s^{-1}$ . For the strain equal to 0.1. The temperature values are in K.



Figure 19: The predictions of the average surface temperature caused by self heating under different strain rates at initial temperature of T = 23°C.

The proposed model was also employed to simulate the behavior of PP under low cyclic fatigue loading. The results are compared to the experimental data of Shukla et al. (2014). The materials parameters already identified (for
PP 575P from Sabic) were used in the following simulations. The performed fatigue tests by Shukla et al. (2014) are strain controlled tests. Specimens were

- injected following the standard ASTM D368 Geometry type I. The specimens are subjected to displacement controlled sinusoidal loading at 10 HZ with and
- amplitude of 0.2 mm or 0.35 mm and a mean displacement of 1 mm. The tests are performed at room temperature (RT = 28°C). The force needed to keep the
- level of controlled strains, in the case of the amplitude equal to 0.2 mm, during the loading is plotted in figure 20. The temperature at the surface of sample was
- <sup>410</sup> measured experimentally using a non-contact type Raytek MI (REYMID10LT) temperature sensor. The simulated and measured temperatures are plotted in
- figure 21. The temperature predictions are acceptable in the case of an amplitude equal to 0.2 mm, however they are underestimated in the case of 0.35
- 414 mm. Possible improvements of the model's predictive capabilities are discussed in section 4.
- 416



Figure 20: The average force variation with number of cycles for PP at initial temperature of  $T = 28^{\circ}C$ .



Figure 21: The surface temperature for PP specimens during the loading.

# 418 4. Discussion

We presented model a fully coupled thermo-viscoelastic and thermo-viscoplastic
model which allows to capture the effects of both loading rate and temperature on the behavior of thermoplastic polymers. The thermodynamical derivation allows to obtain an estimation of the material self heating under different loading conditions. This effect may be the origin of material failure under specific load-

- <sup>424</sup> ing conditions. The model was validated against available experimental data on Nylon 66 (PA66) and PP under different loading conditions (i.e. tensile and
- shear loadings, monotonic and cyclic). The comparison between the predicted self heating and the experimental measurements showed an acceptable agree-
- <sup>428</sup> ment in the case of PA66 and PP. Some improvements discussed hereafter can only enhance the predictive capabilities of the proposed model.
- 430 This work is restricted to the regime of small perturbations (small strains, displacements and rotations). An extension to the large deformation regime
- 432 can be developed following the work of Gudimetla and Doghri (2017) who extended the small strain viscoelastic-viscoplastic model of Miled et al. (2011).
- 434 The authors proposed an expression for the Helmholtz free energy defined as

the sum of four contributions: viscoelastic, viscoplastic, softening and hyperelastic re-hardening. The viscoelastic part is defined by an extension of the work of Christensen and Freund (1971) to large deformations. For the other
contributions, Gudimetla and Doghri (2017) took advantage of employed the

438 contributions, Gudimetla and Doghri (2017) took advantage of employed the huge knowledge about finite strain elasto(visco)plasticity which was developed

<sup>440</sup> mainly for metals, with special choices in term of strain and stress measures. In the experimental validation section, The Poisson's ratio (PR) is assumed

- to be constant. However, this is a simplifying assumption because the PR for thermoplastic polymers, is proven to be time, stress and thermal expansion de-
- 444 pendent, which makes PR values determined from a uniaxial test not applicable to other uniaxial loadings with different time histories or to multi-axial load-
- ings and thermal expansions. Rigorously, PR is not a material parameter for thermoplastics as already stated in Krairi and Doghri (2014). The use of shear
- and bulk time functions, expressed using Prony series determined directly from experiments is more appropriate to model the multi-axial aspects of material be-
- havior. However, if the only available experimental data are uniaxial tests, thenan estimate of the shear and bulk moduli may be found, assuming a constant
- <sup>452</sup> Poisson's ratio using expressions given in eq. (47).

Thermal properties mainly specific heating capacities, thermal conductivity and thermal expansion are proved to be temperature dependent experimentally. In the presented simulations those parameters are assumed to be con-

- 456 stant. In the studied temperature range (lower than the melting temperature) small changes are expected. However further experimental investigations of the
- studied materials are needed to confirm this assumption.From modeling point of view, sophisticated evolution laws needs to be developed,
- based on the material caloric behavior using accurate representation of material micro-structure characterized by its degree of crystallinity. Wunderlich (2003)
- 462 showed that semi-crystalline polymers micro-structure consists of three phases: crystalline phase, mobile amorphous phase and rigid amorphous phase. Those
- <sup>464</sup> phases exhibit changes in their densities and concentrations because thermomechanical histories of loading.
- 466 As already mentioned in the introduction taking into account the material micro-structure may be performed using micro-mechanical models such as Nikolov

- and Doghri (2000), or by phenomenological approach (e.g. Lion and Johlitz, 2016; Lion et al., 2017). In the presented approach the effect of these micro-
- 470 structural changes are modeled in a macroscopic phenomenological way by using thermo-viscoelasticity employing the TTS principle (via WLF function) and

472 thermo-viscoplasticity with temperature sensitivity function.

For some thermoplastic polymers, it is important to take into account the hydrostatic pressure sensitivity. This sensitivity may be characterized by several methods, for example by comparing the material response in terms of absolute

- values of true stress and true strain under uniaxial tension and uniaxial compression. Maurel-Pantel et al. (2015) assumed that PA66 is incompressible since the
- volume variation remains close to zero under uniaxial tensile loading. However, the uniaxial tensile tests are not enough to judge about the pressure sensitivity
- 480 of the material. A complete multi-axial testing campaign is needed in order to check this sensitivity, an example of this study is the work of Farrokh and Khan
- (2010) who studied the multi-axial behavior of Nylon 101, under several loading conditions: tension, compression, tension-torsion, torsion, biaxial compression
- and reverse torsion. The authors were able to construct an experimental yield surfaces at different strain rates, whose shape should show the pressure sensi-
- 486 tivity of the material. If the von Mises yield criterion is enough to capture the multi-axial behavior of the studied polymer, the proposed model may be used.
- 488 Otherwise, plastic deformation may occur due to hydrostatic pressure which is not captured by the proposed model. In fact, using von Mises yield crite-
- <sup>490</sup> rion makes the viscoplastic behavior insensitive to hydrostatic pressure, but the thermo-viscoelastic response should be influenced. Possible enhancement may
- be achieved by using a pressure sensitive yield criterion such as Drucker-Prager (e.g. Gudimetla and Doghri, 2017).
- As an experimental method to better capture the influence of hydrostatic pressure, several authors proposed the use of a notched bar (e.g. Cayzac et al.,
- <sup>496</sup> 2013b; Laiarinandrasana et al., 2016; Ognedal et al., 2014). According to these authors a triaxial stress sate dominated by hydrostatic pressure is expected near
- the notch. A so called plastic delation is observed by the authors for HDPE and PVC (Ognedal et al., 2014), and for PA6 (Laiarinandrasana et al., 2016).
- 500 Microscopic investigations showed that this deformation is found to be related

to the creation of voids inside the materials during loading and it is related to material softening and damage. From a modeling point of view, mechanical damage (or softening) may be taken into account by two different approaches.

- The first approach is based on micromechanics, e.g. Gurson's model (Gurson, 1975, 1977). The second one is continuum damage mechanics (CDM) (e.g.
- Lemaitre and Chaboche, 1978; Lemaitre, 1992); (a list of works employing the different approaches may be found in (Krairi and Doghri, 2014). Using CDM
- <sup>508</sup> may allow to capture the effect of hydrostatic pressure implicitly on the material behavior, through the damage evolution laws. The present model can be
- extended in order to take the damage effect following the approach proposed in Krairi and Doghri (2014).
- Actually, it is expected that better predictions of self-heating under cyclic loadings can be achieved by making the viscoelastic response nonlinear instead of
- linear in the present model. Indeed, in the isothermal case, Krairi and Doghri (2014) rendered the viscoelastic response nonlinear by coupling it to a damage
- 516 model, and obtained better predictions of hysteresis loops under cyclic loadings than with other models. Other nonlinear viscoelastic formulations exist (e.g.
- <sup>518</sup> Schapery, 1967).

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The proposed approach allows to model thermal softening. In the following, a notched round bar is studied under uniaxial tensile loading with different dis-

placement rates. The presence of hydrostatic pressure is expected to increase self heating compared to our model's predicted temperature increase. Experi-

mental investigations are needed to check this assumption. The bar geometry is

- taken from Laiarinandrasana et al. (2016) cf. figure 22-(a). In order to show the effect of the notch on notched bar response, the case of unnotched sample (the
- same geometry without a notch) is also studied. Based on the axi-symmetry of the sample, one fourth was used in the FEM simulation (cf. figure 22-(b) and
- (c)). The bars are assumed to be made with PA66 and the material parameters identified in the previous section are used. Controlled displacement tensile tests
- at different displacement rates of the unnotched and notched bars are simulated. The figure 23 shows the triaxiality ratio in the case of notched and unnotched
- bars at their mid-sections. The triaxiality ratio is defined as the ratio between the hydrostatic pressure and the von Mises equivalent stress  $(\sigma_H/\sigma_{eq})$ . It allows

- to quantify the contribution of hydrostatic pressure to the stress state. It can be seen from figure 23 that for the unnotched bar the triaxiality is constant
- and rate independent, and it value is lower than the triaxiality in the case of notched bar. For the notched bar the triaxiality near the center of the bar mid-
- section is higher than the one near the notch and its value is increasing with the increase of applied displacement. In contrast to the case of unnotched bar,
- the triaxiality for notched bar is rate dependent. The presence of the notch caused a stress concentration and a hydrostatic pressure dominated stress state,
- which influences the overall response of the notched samples in terms of reaction forces and generated temperature under increasing applied displacement with
- different rates. The figures 24 and 25 show the reactions forces versus the applied displacement, respectively for unnotched and notched bars. A drop of the
- force can be noticed for the case of notched bar, which is explained by thermal softening due to high self heating near the notch as showed in figure 26. The
- values of self-heating in the case of unnotched bars at their external surface are plotter in figure 27. Compared to notched bar case, these values are small and
- are not influencing the bar response as in the case of notched bars.



Figure 22: (a) The dimensions of the notched bar from Laiarinandrasana et al. (2016), (b) The employed mesh for the FE simulations on Notched bar, (c) The employed mesh for the FE simulations on Unnotched bar



Figure 23: Unnotched and Notched PA66 bar. Triaxiality versus applied displacement curves with different displacement rates.



Figure 24: Unnotched PA66 bar. Predicted force versus applied displacement curves with different displacement rates.



Figure 25: Notched PA66 bar. Predicted force versus applied displacement curves with different displacement rates.



Figure 26: Notched PA66 bar. Predicted temperature near the notch versus applied displacement, for different displacement rates.



Figure 27: Unotched PA66 bar. Predicted temperature near the notch versus applied displacement, for different displacement rates.

### 5. Conclusions

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- The proposed constitutive behavior model is formulated within the framework of thermodynamics of irreversible processes, as an extension of the isothermal viscoelastic and viscoplastic model proposed by Miled et al. (2011). Based on the work of Christensen and Naghdi (1967); Christensen and Freund (1971)
- on linear viscoelasticity, the time temperature superposition principle (Schapery, 1969) is employed to extend the linear viscoelastic behavior to the non-isothermal
- case. The model couples viscoelasticity to viscoplasticity which is also nonisothermal. The separation between the viscoelastic and the viscoplastic trans-
- formations is made using a yield function based on the von Mises equivalent stress.
- The experimental validation using uniaxial and shear tests at different strain rates and different temperature on Polyamide 66 (PA66) and Polypropylene
- <sup>570</sup> (PP) showed that the model captures successfully the rate dependency and temperature sensitivity of the polymer materials. Possible enhancement and
- <sup>572</sup> improvement of the proposed approach are presented in the discussion section.

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#### 580 AppendixA. Thermodynamical derivation of constitutive relations

We make a unified presentation for the two cases when viscoelastic properties are temperature independent or dependent. In the former case, it suffices to take  $\bar{t} = t$  and  $a_T = 1$  in the following equations, while in the latter,  $\bar{t}$  and  $a_T$  do

represent the reduced time and the time shift function, respectively. The proposed Helmholtz free energy function  $\psi$  is defined as:

$$\psi = \psi^{ve} + \psi^h \tag{A.1}$$

 $\psi^{ve}$  and  $\psi^{h}$  are defined by the expressions 6 and 4, respectively. We also recall the following decomposition  $\varepsilon = \varepsilon^{ve} + \varepsilon^{th} + \varepsilon^{vp}$  where  $\varepsilon$  is the total strain,  $\varepsilon^{vp}$ the viscoplastic strain and the thermo-viscoelastic strain ( $\varepsilon^{tve} = \varepsilon^{th} + \varepsilon^{ve}$ ). In

the following, due to the hypothesis of small perturbation, we have  $\frac{d}{dt} = \frac{\partial}{\partial t}$ and similarly to Christensen (1982) in pure viscoelasticity, the expressions of

the Cauchy stress and the entropy are found using the inequality of Clausius-Duhem which requires the dissipation to be non-negative

$$\phi = \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}} - \rho \left( \dot{\boldsymbol{\psi}} + S\dot{T} \right) - \nabla T \cdot \frac{\boldsymbol{q}}{T} \ge 0 \tag{A.2}$$

Applying the Leibnitz rule to time derivative of the free energy equations (3) to

 $({\bf 6})$  . the Clausius-Duhem inequality can be re-expressed as:

$$\begin{cases} \boldsymbol{\sigma}\left(t\right) - \boldsymbol{D}\left(0\right) - \int_{-\infty}^{t} \mathbb{C}^{ve}(\bar{t} - \bar{\tau}, 0) : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} d\tau + \int_{-\infty}^{t} \boldsymbol{\varphi}\left(0, \bar{t} - \bar{\tau}\right) \frac{\partial \boldsymbol{\theta}\left(\tau\right)}{\partial \tau} d\tau \end{cases} \right\} : \dot{\boldsymbol{\varepsilon}}^{tve}\left(t\right) \\ + \left\{ -\rho S\left(t\right) + \beta\left(0\right) + \int_{-\infty}^{t} m\left(\bar{t} - \bar{\tau}, 0\right) \frac{\partial \boldsymbol{\theta}\left(\tau\right)}{\partial \tau} d\tau + \int_{-\infty}^{t} \boldsymbol{\varphi}\left(\bar{t} - \bar{\tau}, 0\right) : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} d\tau \right\} \dot{\boldsymbol{\theta}}\left(t\right) \\ - \int_{-\infty}^{t} \frac{\partial}{\partial t} D\left(\bar{t} - \bar{\tau}\right) : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} d\tau + \int_{-\infty}^{t} \frac{\partial}{\partial t} \beta\left(\bar{t} - \bar{\tau}\right) \frac{\partial \boldsymbol{\theta}\left(\tau\right)}{\partial \tau} d\tau + \Lambda \\ + \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}}^{vp} - R(r) \frac{dp(t)}{dt} - a\boldsymbol{\chi}(t) : \frac{d\boldsymbol{\chi}(t)}{dt} - \nabla T \cdot \frac{\boldsymbol{q}}{T} \ge 0 \qquad (A.3) \end{cases}$$

Where  $\Lambda$  is the dissipation term due to the time dependence of the relaxation functions and is given by:

$$\Lambda = -\frac{1}{2} \int_{-\infty}^{t} \int_{-\infty}^{t} \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} : \frac{\partial \mathbb{C}^{ve}(\bar{t} - \bar{\tau}, \bar{t} - \bar{\eta})}{\partial t} : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\eta)}{\partial \eta} d\tau d\eta + \int_{-\infty}^{t} \int_{-\infty}^{t} \frac{\partial \boldsymbol{\varphi}(\bar{t} - \bar{\tau}, \bar{t} - \bar{\eta})}{\partial t} : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\eta)}{\partial \eta} \frac{\partial \boldsymbol{\theta}(\tau)}{\partial \tau} d\eta d\tau + \frac{1}{2} \int_{-\infty}^{t} \int_{-\infty}^{t} \frac{\partial m(\bar{t} - \bar{\tau}, \bar{t} - \bar{\eta})}{\partial t} \frac{\partial \boldsymbol{\theta}(\eta)}{\partial \eta} \frac{\partial \boldsymbol{\theta}(\tau)}{\partial \tau} d\eta d\tau$$
(A.4)

The following symmetry properties (Christensen and Freund, 1971; Christensen, 1982) and particular forms are used

$$C_{ijkl}^{ve}(\tau,\eta) = C_{klij}^{ve}(\eta,\tau) = C_{klij}^{ve}(\eta+\tau)$$

$$\varphi_{ij}(\tau,\eta) = \varphi_{ji}(\eta,\tau) = \varphi_{ij}(\eta+\tau)$$

$$m(\tau,\eta) = m(\eta,\tau) = m(\eta+\tau)$$
(A.5)

The inequality A.3 must hold for all arbitrary values of the derivatives  $\dot{\boldsymbol{\varepsilon}}^{tve}(t)$  and  $\dot{\boldsymbol{\theta}}(t)$ . Therefore their coefficients should vanish. Hence,

$$\boldsymbol{\sigma}(t) = \boldsymbol{D}(0) + \int_{-\infty}^{t} \mathbb{C}^{ve}(\bar{t} - \bar{\tau}) : \frac{\partial \boldsymbol{\varepsilon}^{tve}(\tau)}{\partial \tau} d\tau - \int_{-\infty}^{t} \boldsymbol{\varphi}(\bar{t} - \bar{\tau}) \frac{\partial \boldsymbol{\theta}(\tau)}{\partial \tau} d\tau \quad (A.6)$$

$$\rho S(t) = \beta(0) + \int_{-\infty}^{t} m(\bar{t} - \bar{\tau}) \frac{\partial \theta(\tau)}{\partial \tau} d\tau + \int_{-\infty}^{t} \varphi(\bar{t} - \bar{\tau}) : \frac{\partial \varepsilon^{tve}(\tau)}{\partial \tau} d\tau \quad (A.7)$$

The dissipation is then expressed as

$$\phi = -\int_{-\infty}^{t} \frac{\partial}{\partial t} D\left(\bar{t} - \bar{\tau}\right) : \frac{\partial \varepsilon^{tve}(\tau)}{\partial \tau} d\tau + \int_{-\infty}^{t} \frac{\partial}{\partial t} \beta\left(\bar{t} - \bar{\tau}\right) \frac{\partial \theta\left(\tau\right)}{\partial \tau} d\tau + \Lambda - \nabla T \cdot \frac{\boldsymbol{q}}{T} + \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}}^{vp} - R\dot{\boldsymbol{p}} - a\boldsymbol{\chi}(t) : \dot{\boldsymbol{\chi}}(t) \ge 0$$
(A.8)

D(0) and  $\beta(0)$  are the initial stress and initial entropy, respectively, and they are assumed to be null. According to Christensen (1982) in order to satisfy the inequality A.8 for all processes, it is necessary that:

$$\frac{\partial}{\partial t}D\left(\bar{t}\right) = 0, \quad \frac{\partial}{\partial t}\beta\left(\bar{t}\right) = 0 \tag{A.9}$$

consequently

$$\phi = -\nabla T \cdot \frac{\boldsymbol{q}}{T} + \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}}^{vp} - R\dot{p} - a\boldsymbol{\chi}(t) : \dot{\boldsymbol{\chi}}(t) \ge 0$$
 (A.10)

where  $\Lambda$  being a second order term, it must be neglected. In equation A.6, if we replace  $\varepsilon^{tve}$  by its expression ( $\varepsilon^{tve} = \varepsilon^{th} + \varepsilon^{ve}$ ) then

$$\boldsymbol{\sigma}(t) = \int_{-\infty}^{t} \left\{ \mathbb{C}^{ve}(\bar{t} - \bar{\tau}) : \left( \frac{\partial \boldsymbol{\varepsilon}^{ve}(\tau)}{\partial \tau} + \frac{\partial \left( \boldsymbol{\alpha} \boldsymbol{\theta} \left( \tau \right) \right)}{\partial \tau} \right) - \boldsymbol{\varphi}\left( \bar{t} - \bar{\tau} \right) \frac{\partial \boldsymbol{\theta}\left( \tau \right)}{\partial \tau} \right\} d\tau$$
(A.11)

In the isotropic case,  $\varphi$  can be expressed as  $\varphi_{ij} = \varphi(t) \delta_{ij}$ . After replacing the relaxation tensor by its expression (cf. equation 25). The Cauchy stress may be divided into deviatoric and hydrostatic stresses:

$$\boldsymbol{\sigma}(t) = \boldsymbol{s}(t) + \sigma_H(t) \boldsymbol{1} \tag{A.12}$$

The viscoelastic strain tensor may be also divided into deviatoric and dilatational parts:

$$\boldsymbol{\varepsilon}^{ve}(t) = \boldsymbol{\xi}^{ve}(t) + \epsilon_H^{ve}(t)\mathbf{1}$$
 (A.13)

Consequently,

$$\begin{cases} s_{ij}(t) &= \int_{-\infty}^{t} 2G(\bar{t} - \bar{\tau}) \frac{\partial \boldsymbol{\xi}_{ij}^{ve}(\tau)}{\partial \tau} d\tau \\ \sigma_{H}(t) &= \int_{-\infty}^{t} \left\{ 3K(\bar{t} - \bar{\tau}) \left( \frac{\partial \epsilon_{H}^{ve}(\tau)}{\partial \tau} + \alpha\left(\tau\right) \frac{\partial \theta\left(\tau\right)}{\partial \tau} + \frac{\partial \alpha\left(\tau\right)}{\partial \tau} \theta\left(\tau\right) \right) \right. \\ \left. -\varphi\left(\bar{t} - \bar{\tau}\right) \frac{\partial \theta\left(\tau\right)}{\partial \tau} \right\} d\tau \end{cases}$$
(A.14)

We assume that the absolute value of  $\frac{\partial \alpha(\tau)}{\partial \tau} \theta(\tau)$  is negligible in front of the absolute value of  $\alpha(\tau) \frac{\partial \theta(\tau)}{\partial \tau}$ . In order to retrieve familiar expressions by choosing  $\varphi(t) = 3\alpha K(\bar{t})$ , the final expressions of the stress is

$$\begin{cases} s_{ij}(t) = 2 \int_{-\infty}^{t} G(\bar{t} - \bar{\tau}) \frac{\partial \boldsymbol{\xi}_{ij}^{ve}(\tau)}{\partial \tau} d\tau \\ \sigma_{H}(t) = 3 \int_{-\infty}^{t} K(\bar{t} - \bar{\tau}) \frac{\partial \epsilon_{H}^{ve}(\tau)}{\partial \tau} d\tau \end{cases}$$
(A.15)

These expressions are equivalent to:

$$\boldsymbol{\sigma}(t) = \int_{-\infty}^{t} \mathbb{C}^{ve}(\bar{t} - \bar{\tau}) : \frac{\partial \boldsymbol{\varepsilon}^{ve}(\tau)}{\partial \tau} d\tau$$
(A.16)

612 The final expression of the entropy is

$$\rho S(t) = \int_{-\infty}^{t} \left( m \left( \bar{t} - \bar{\tau} \right) + 9\alpha^2 K \left( \bar{t} - \bar{\tau} \right) \right) \frac{\partial \theta(\tau)}{\partial \tau} d\tau$$
(A.17)

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