# Effects of canagliflozin on heart failure outcomes associated with preserved and reduced ejection fraction in type 2 diabetes: results from the CANVAS Program

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#### **Clinical Trial Registration**

URL: https://clinicaltrials.gov/. Unique identifiers: NCT01032629, NCT01989754.

### Keywords

Canagliflozin, type 2 diabetes, heart failure, randomized trial, SGLT2 inhibitor

Patients with type 2 diabetes mellitus are at high risk of developing heart failure (HF).<sup>1</sup> Sodium
glucose co-transporter 2 (SGLT2) inhibitors have been demonstrated, in large scale trials, to reduce
the risk of HF events in patients with type 2 diabetes deemed to be at high risk based on established
cardiovascular disease, or multiple risk factors.<sup>2-4</sup> However, it is unclear whether benefits are
experienced across the broad spectrum of HF patients that includes those with preserved (HFpEF) as
well as reduced ejection fraction (HFrEF).

7 The goal of the current analyses was to define the potentially distinct effects on HF events with 8 preserved versus reduced EF in the CANagliflozin cardioVascular Assessment Study (CANVAS) 9 Program. Participants with type 2 diabetes aged  $\geq$ 30 years with a history of symptomatic 10 atherosclerotic cardiovascular disease, or ≥50 years with two or more risk factors for cardiovascular 11 disease were randomized to receive canagliflozin or placebo and followed as previously described. 12 Patients with New York Association Class IV HF were excluded. Use of other background therapy for 13 glycemic management, treatment of HF, and other risk factor control was according to best practice. 14 The primary outcome for the main study was the composite of non-fatal stroke, non-fatal 15 myocardial infarction or cardiovascular death. HF events were initially assessed by an Endpoint 16 Adjudication Committee using a pre-specified set of criteria. The assignments of each event as being 17 in the context of preserved (pEF) or reduced (rEF) EF were done by a retrospective secondary review 18 of the medical record data by one of the members of the original Adjudication Committee who was 19 blinded to individual participant treatment assignment (GF). Echocardiography or left 20 ventriculography done as part of routine clinical care was used to make the determination of EF. HFpEF was defined as a HF event for which EF of  $\geq$ 50% was documented during the event period. 21 22 HFrEF was defined as a HF event for which EF was documented as <50% during the event admission, 23 or there was a prior report of rEF with no documented evidence of recovery. All other events were defined as HF with unknown EF. 24 25 There were 10,142 patients in the CANVAS Program with a mean follow-up time of 188.2 weeks.

26 Mean age was 63.3 years, 35.8% of participants were women, and 65.6% had a history of

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cardiovascular disease, including 1461 (14.4%) with a history of HF at baseline (with no requirement
for pEF or rEF classification). 276 of the 10,142 participants had a fatal or hospitalized HF event
during follow-up and 61 of these participants had more than one HF event. In total there were 101
participants that had a first HF event pEF; 122 that had a first HF event with rEF; and 61 that had a
first HF event with unknown EF. The number of first HF events adds to >276 participants due to 8
patients experiencing a first HF event of more than one type (e.g. unknown EF event in year 1
followed by reduced EF event in year 2).

8 Participants who had a HFpEF event were more likely to be female (37.6 vs 16.4%; p <0.001) than

9 those with HFrEF events, and were more likely to have a history of hypertension (96.0 vs 86.9%; p =

10 0.014). Those who had HFpEF events also had a higher mean systolic blood pressure at baseline than

11 those who had an HFrEF event (142.8 vs 134.4 mmHg; p <0.001), a higher prevalence of

12 microvascular disease (65.4 vs 51.6%; p = 0.041), a lower prevalence of macrovascular disease (65.4

13 vs 77.9%; p = 0.038) and a higher body mass index (37.2 vs 33.7 kg/m<sup>2</sup>; p < 0.001).

14 Overall, as previously reported, canagliflozin reduced fatal or hospitalized HF events compared to

15 placebo (HR 0.70; 95% CI 0.55 - 0.89).<sup>2</sup> As shown in Figure 1, the HR for HFrEF events was 0.69 (95%

16 CI 0.48 - 1.00), for HFpEF events was 0.83 (95% CI 0.55 - 1.25) and for HFuEF events was 0.54 (95% CI

17 0.32 - 0.89). In the sensitivity analysis where HFuEF events were assumed to be HFpEF, the updated

18 HR for HFpEF events was 0.71 (95% CI 0.52-0.97) and if HFuEF events were assumed to be HFrEF

events, the updated HR for HFrEF events was 0.64 (95% CI 0.48-0.86).

In summary, canagliflozin reduced the overall risk of HF events in patients with type 2 diabetes and high cardiovascular risk, with no clear difference in effects on HFrEF versus HFpEF events. This may provide some hope for diabetic patients with HFpEF, where no prior intervention has been shown to have clear clinical benefits. However, this study was limited by relying on EF measurements at the time of the event and not at baseline, and additional data from dedicated HFpEF trials are required.

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#### ACKNOWLEDGMENTS

This study was supported by Janssen Research & Development, LLC. The authors thank all investigators, study teams, and patients for participating in these studies. The authors thank the following people for their contributions to the statistical monitoring/analyses and the protocol development, safety monitoring, and operational implementation over the duration of both studies: Lyndal Hones, Lucy Perry, Sharon Dunkley, Qiang Li, Severine Bompoint, Laurent Billot, Mary Lee, Joan Lind, Roger Simpson, Mary Kavalam, Frank Vercruysse, Elisa Fabbrini, Richard Oh, Ngozi Erondu, Mehul Desai, and Norm Rosenthal. Medical writing support was provided by Kimberly Dittmar, PhD, of MedErgy, and was funded by Janssen Global Services, LLC. Canagliflozin has been developed by Janssen Research & Development, LLC, in collaboration with Mitsubishi Tanabe Pharma Corporation.

#### FUNDING AND REGISTRATION

Supported by Janssen Research & Development, LLC; ClinicalTrials.gov Identifiers, NCT01032629, NCT01989754.

#### DISCLOSURES

Dr. Figtree reports receiving research support from the co-funded National Health and Medical Research Council and Heart Foundation (Australia) Fellowship and from Heart Research Australia, and compensation from Janssen for serving on the Adjudication Panel of the CANVAS Program. Dr. Rådholm reports receiving funding from the Rolf Luft Foundation for Diabetes Research, Fellowship in Memory of Jeanette Bonnier. Drs. Barrett and Shaw report being full-time employees of Janssen Research & Development, LLC. Dr. Perkovic reports receiving research support from the Australian National Health and Medical Research Council (Senior Research Fellowship and Program Grant); serving on Steering Committees for AbbVie, Boehringer Ingelheim, GlaxoSmithKline, Janssen, Novartis and Pfizer; and serving on advisory boards and/or speaking at scientific meetings for AbbVie, Astellas, AstraZeneca, Bayer, Baxter, Bristol-Myers Squibb, Boehringer Ingelheim, Durect, Eli Lilly, Gilead, GlaxoSmithKline, Janssen, Merck, Novartis, Novo Nordisk, Pfizer, Pharmalink, Relypsa, Retrophin, Roche, Sanofi, Servier, and Vitae. Dr. Mahaffey's financial disclosures can be viewed at http://med.stanford.edu/profiles/kenneth-mahaffey. Dr. de Zeeuw reports serving on advisory boards and/or speaker for Boehringer Ingelheim, Fresenius, Mitsubishi-Tanabe, and on Steering Committees and/or speaker for AbbVie and Janssen, and on Data Safety and Monitoring Committees for Bayer. Dr. Fulcher reports receiving research support from Novo Nordisk and serving on advisory boards and as a consultant for Janssen, Novo Nordisk, Boehringer Ingelheim, and Merck Sharp and Dohme. Dr. Matthews reports receiving research support from Janssen; serving on advisory boards and as a consultant for Novo Nordisk, Novartis, Eli Lilly, Sanofi-Aventis, Janssen, and Servier; and giving lectures for Novo Nordisk, Servier, Sanofi-Aventis, Eli Lilly, Novartis, Janssen, Mitsubishi Tanabe, and Aché Laboratories. He currently serves as President of the European Association for the Study of Diabetes (EASD). Dr. Neal reports receiving research support from the Australian National Health and Medical Research Council Principal Research Fellowship and from Janssen; and serving on advisory boards and/or involvement in CME programs for Janssen, with any consultancy, honoraria, or travel support paid to his institution. He notes institutional relationships with AbbVie, Actelion, and Janssen.

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Figure 1 Effects of canagliflozin versus placebo on all fatal or hospitalized heart failure, heart failure with preserved ejection fraction, reduced ejection fraction and unknown ejection fraction.

|                              | Patients with an event per 1000 patient-years |         |              |                  |
|------------------------------|---|---------|--------------|------------------|
|                              | Canagliflozin                                 | Placebo |              | HR (95% CI)      |
| HFpEF                        | 2.4   | 3.1     | <b>⊢</b>     | 0.83 (0.55-1.25) |
| HFuEF                        | 1.1   | 2.5     | ·            | 0.54 (0.32-0.89) |
| HFrEF                        | 2.7   | 4.1     | <b>⊢</b>     | 0.69 (0.48-1.00) |
|                              |   |         |              |                  |
| HFpEF or HFuEF*              | 3.5   | 5.6     | ⊢ <b>⊢</b> I | 0.71 (0.52-0.97) |
| HFrEF or HFuEF*              | 3.8   | 6.4     | <b>⊢</b>     | 0.64 (0.48-0.86) |
|                              |   |         |              |                  |
| All fatal or hospitalized HF | 6.4   | 9.7     | <b>⊢</b>     | 0.70 (0.55-0.89) |
|                              |   |         |              |                  |
|                              |   | 0.25    | 0.5 1.0 2.0  | 4.0              |
|                              | Favors Canagliflozin Favors Placebo           |         |              |                  |

HR, hazard ratio; CI, confidence interval; HF, heart failure; rEF, reduced ejection fraction; pEF, preserved ejection fraction; uEF, unknown ejection fraction. \*Sensitivity analyses were calculated using the first HF event for participants during follow up (n = 276).