



New environmental and spatial approach to Tiwanaku World Heritage site (Bolivia) using remote sensing (UAV and satellite images)

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Keywords:	Tiwanaku (Bolivia), pre-Hispanic society, UAV, DTM, satellite images
Abstract:	<p>This paper analyses the research carried out in the Tiwanaku World Heritage site in Bolivia, using Unmanned Aerial Vehicles (UAV) and satellite images. The combined use of images with different scales has made it possible to locate many archaeological structures unknown to date (drainage systems, walls, circular crop marks and a possible dock). The Sentinel-2 images, which were processed using principal components analysis and histogram equalization, show the river beds, flood-prone areas and several buried drainage channels surrounding the most important structures.</p> <p>The archaeological evidence obtained with the DTM and natural colour / multispectral images enables us to contrast a new dimension of land and water uses that goes beyond what was known to date. In the same way, these images enable us to understand in detail the environmental characteristics, land use, building distribution and flood defence structures of the Tiwanaku culture throughout its history, within the context of the environmental conditions of the Bolivian altiplano. This investigation allowed collection of new information and posed questions on the relationship of this site with water, as well as a better understanding of the extent and habitat features of this historical population.</p>

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4 **1 New environmental and spatial approach to Tiwanaku World Heritage**
5 **2 site (Bolivia) using remote sensing (UAV and satellite images)**
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23 **9 Abstract**
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25 This paper analyses the research carried out in the Tiwanaku World Heritage site in
26 Bolivia, using Unmanned Aerial Vehicles (UAV) and satellite images. **The combined**
27 **use of images with different scales has made it possible to locate many** archaeological
28 **structures unknown to date (drainage systems, walls, circular crop marks and a possible**
29 **dock). The Sentinel-2 images, which were processed using principal components**
30 **analysis and histogram equalization, show the river beds, flood-prone areas and several**
31 **buried drainage channels surrounding the most important structures.**
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41 The archaeological evidence obtained with the DTM and natural colour/multispectral
42 images enables us to contrast a new dimension of land **and water uses that goes** beyond
43 what was known to date. In the same way, **these images** enable us to understand in
44 detail the environmental characteristics, land use, **building** distribution and flood
45 defence structures of the Tiwanaku culture throughout its history, within the context of
46 the environmental conditions of the Bolivian altiplano.
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55 relationship of this site with water, as well as a better understanding of the extent and
56 habitat features of this historical population.
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New environmental and spatial approach to Tiwanaku World Heritage site (Bolivia) using remote sensing (UAV and satellite images)

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1. INTRODUCTION

16 31 Tiwanaku archaeological complex (Bolivia), designated World Heritage site in 2000 by
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18 32 the United Nations Educational, Scientific and Cultural Organization (UNESCO), is a
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20 33 reference for South American archaeological science. (Bandelier, 1892; Otero, 1943;
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23 34 Posnansky, 1945; Browman, 1978; Kolata, 1993; Gallego & Pérez, 2018). For over a
24
25 35 century, research has been carried out on this site and various international teams have
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27 36 approached its study from multi- disciplinary perspectives, still incomplete to date.
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29 37 Some basic facts such as dates, social structures or physical extension, are debated by
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31 38 many scientists (Couture and Sampeck, 2003; Janusek, 2003; Scarborough, 2008;
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33
34 39 Vranich, 2009, Masini et al, 2017), in relation to a site where the environmental issue is
35
36 40 important. Nevertheless, and besides the efforts to understand the complex structure of
37
38 41 such an important culture as that of the Tiwanaku empire, it seems that we are about to
39
40 42 discover of a really surprising society (Ponce Sanginés, 1976), in terms of basic facts of
41
42 43 the reality of life, in an environment as demanding as the Bolivian Altiplano; a society
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44 44 which spread its roots acrosswide territories over 1000 years. In different periods of its
45
46 45 existence, Tiwanaku appeared as the centre of a huge regional empire, representing one
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48 46 of the most ancient state structures of South America (Browman, 1980; Ponce Sanginés,
49
50 47 1999; Kolata, 1993; Janusek, 2004; Goldstein, 2005). The archaeological discoveries,
51
52 48 especially structures such as the Akapana or Puma Punku **platform mounds (Protzen &**
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54 49 **Nier, 2000: 359)**, the Kalasasaya temple, which conserves an outstanding monolith
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56 50 known as the Gate of the Sun, can be viewed *in situ* in an extensive environment beside

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3 51 Lake Titicaca (Ponce Sanginés, 1995). Indeed, the many archaeological remains being
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5 52 unearthed will certainly complement the currently available information on the life and
6
7 53 culture of Tiwanaku, and also on its environmental relationships.
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10 54 Traditionally, archaeological identification and mapping of buried structures was
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12 55 supported by photointerpretation, with oblique images and orthophotography
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14 56 (Crawford, 1929; Wilson, 1982), and more recently also by satellite imaging (Altaweel,
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16 57 2005; Wiserman and El-Baz, 2007). **During the long hot summer of 2018 in Great**
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18 58 **Britain, archaeologists spotted evidence of ancient sites that became visible due to the**
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20 59 **extreme dryness of the soil, (Pes, 2018).**
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24 60 For several years, satellite images and digital terrain models made it easy to
25
26 61 determine some environmental characteristics of archaeological sites, such as the
27
28 62 spatial-temporal variability of land use or resource management. Multispectral
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30 63 information from satellite images was in this way a main complement for detecting
31
32 64 buried negative structures (**drainage channels and circular crop marks**), invisible *in situ*
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34 65 because of the flatness of the terrain and its different land uses. With the passage of time
35
36 66 and the development of on-board systems, there have been many examples of such use
37
38 67 of aerial, satellite information and Digital Terrain Models (DTMs) worldwide: De Laet
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40 68 et al. (2007) used the Ikonos system to identify archaeological structures in Turkey;
41
42 69 Lasaponara and Masini (2007, 2014) used Aster and QuickBird images in Tiwanaku to
43
44 70 locate several structures, including buried channels; Trier et al. (2009) detected circular
45
46 71 structures in Norway from high-resolution satellite images; Menze and Ur have
47
48 72 mapped patterns of settlements in Northern Mesopotamia, (2011); Figorito and
49
50 73 Tarantino are conducting research in Italy for the detection and extraction of
51
52 74 archaeological traces from high resolution aerial images (2014), and Lasaponara et al.
53
54 75 (2016) explored the use of remote sensing in Hierapolis, Turkey. However, even the
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3 76 highly accurate QuickBird images, cannot properly detect minor yet essential structures,
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5 77 such as domestic buildings, that can be traced by Unmanned Aerial Vehicle (UAV)
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7 78 generated models.
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10 79 Satellite images having almost global coverage, can be complemented with ultra-
11
12 80 high-resolution images, which are obtained by UAVs. These ultra-high resolution
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14 81 images have increased the options for obtaining information based on multidisciplinary
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16 82 approaches, particularly environmental information including basic resource catchment
17
18 83 systems, diachronic processes, social orientation of territorial uses, anthropization and
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20 84 human impact on the environment, (Nikolakopoulos *et al.*, 2016).
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24 85 The wide-ranging stock of satellite images available to research teams, with
25
26 86 different spatial resolutions and spectral resources, allows the evaluation of land use and
27
28 87 adaptation formulas or environmental risk situations that may have been fundamental
29
30 88 for human survival throughout history, and still are nowadays for populations in vast
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32 89 regions of our planet. This multidisciplinary approach is basic to understand ancient
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34 90 cultures.
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38 91 The main aim of this paper is to advance this observation process, establishing
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40 92 bases for an understanding of settlement and land use in a very complex place such as
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42 93 historic Tiwanaku, using remote sensing, with UAV imaging supported by satellite-
43
44 94 based data. A secondary aim is to contrast the micro scale offered by highly detailed
45
46 95 UAV imaging and the macro scale offered by Landsat and Sentinel satellites, which
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48 96 complete the information provided by other authors from images of the Aster and
49
50 97 QuickBird systems (Lasaponara and Masini, 2014). It was considered appropriate to
51
52 98 complement information the topographic, hydrographic, geomorphologic and
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54 99 biogeographic characteristics of the environment of historic Tiwanaku, where so many
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56 100 facets remain unexplored.
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101 2. STUDY AREA

102 The Tiwanaku archaeological complex (16°33'52''S - 68°41'10''W and 16°34'00''S -
103 68°39'51''W) is located in the western La Paz department, Bolivia, 57 km from La Paz
104 and 15 km from Lake Titicaca. Geomorphologically it is on the Central Altiplano
105 (Andean plateau), in the Tiwanaku river basin, at 3860 m asl. This fluvial basin is
106 situated between two interior plateau reliefs: to the N it is bounded by sedimentary hills,
107 320 m higher than the basin, reaching 4165 m asl; and to the S by Sierra Machaca, a
108 great mountain chain that rises 1000 m above the plain to 4825 m. asl. This difference in
109 height between these two interior sierras creates a dissymmetric hydrographic basin,
110 wider on the left margin, and with a minimum development on the right margin (Fig.1).
111 The typical apparent flatness of the plateau, created by relief infill originating in the
112 debris of a tectonic pit from the inner Andes, in this area presents some interesting relief
113 structures, caused by different geological situations (sedimentary, alluvial, structural,
114 etc.) characteristic of terrain where there has been very intense tectonic and volcanic
115 activity. In fact, both sierras are still active nowadays, but their activity is weaker than
116 in the neighbouring *Andean cordilleras*.

117 The whole mid and lower basin of Tiwanaku river, from the southern shore of
118 Lake Titicaca, to the surroundings of Tiwanaku city itself, is characterized by a reduced
119 slope, the frequent presence of wetlands and flood plains. The archaeological site is
120 completely surrounded by these morphological structures, created by the abrupt slope
121 break caused by affluent from nearby mountains crossing the plateau. The historical site
122 rises topographically over a tabular relief, from this plain and flood prone area as shown
123 by the regional DTM, and contrasted by the most precise UAV information. This digital
124 ultra-high spatial resolution representation can be used to define the detail topography
125 and some new local geomorphologic characteristics, unknown to date.

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3 126 The climate in this region of Bolivia is characterized by its altitude, and the
4
5 127 seasonal presence of the Inter-Tropical Convergence Zone (ITCZ). Mean annual
6
7 128 temperature is 7.7 °C (45.8° F), with wide daily oscillation. This can be observed from
8
9 129 mean maximum values of 13 ° - 16° C (55.4° - 60.8° F), and mean minimum
10
11 130 temperatures below 0 °C (32° F) from May to August. The mean annual rainfall (529
12
13 131 mm) is distributed with an evident seasonal contrast: high humidity during the austral
14
15 132 summer (69.1% of annual precipitation between December and March), and very dry
16
17 133 winters, (less than 10% between May and September). Rainfall statistics also show high
18
19 134 interannual irregularity, with 15% of arid years (< 350 mm/yr) and 12 % of wet years (>
20
21 135 750 mm/yr). These interannual rainfall variations cause frequent flooding and drought,
22
23 136 the most common natural disasters in the area, with severe social and economic
24
25 137 implications for this Bolivian region (Latrubesse et al., 2009). Flooding frequently
26
27 138 occurs during the summer months, causing severe variations in wetlands of the lower
28
29 139 Tiwanaku river basin. In addition, the potential evaporation, (1431.5 mm/yr.), far
30
31 140 exceeds annual rainfall, which certainly increases aridity.
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37 141 Soil composition in the Tiwanaku area shows the influence and limitations of
38
39 142 the altitude and extreme climatic conditions, specific to the altiplano, requiring special
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41 143 agricultural techniques to increase production. These techniques are basically designed
42
43 144 to prevent periodic flooding episodes and use of surrounding water for irrigation.
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46 145 Population of the site, from its uncertain beginnings up to its disappearance
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48 146 around 1200 AC, subsisted using these agricultural techniques with extremely
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50 147 interesting infrastructures, especially evident in human adaptation to the possibilities of
51
52 148 the terrain, with raised fields (*sukakollus*), or lake fishing, and camelid rearing,
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54 149 supported by important commerce of goods including copper, wool and pottery (Kolata
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56 & Ortloff, 1989; Kolata, 1991; Lucena, 2005; Knudson et al., 2012). Nowadays,
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3 151 economic activity is still based on the primary sector, with Andean crops such as potato,
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5 152 oca, quinua, barley, beans, and bovine, ovine and camelid farming.
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7 153 Therefore, environmental dependence, flood and drought prevention still plays a basic
8
9 154 role in the life of the local communities.
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13 155 **3. MATERIAL AND METHODS**

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16 156 In this work, images of different detail and scale are combined, from very accurate,
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18 157 obtained from UAV, to regional ones, obtained from environmental satellites (Landsat
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20 158 and Sentinel). The recent use of UAV platforms in archaeological applications is
21
22 159 explained in detail by Fernández-Hernández et al. (2015) and Forte and Campana
23
24 160 (2016) compiling the different remote sensing techniques in archaeology.
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28 161 **3.1 Raster images obtained using drones (UAV)**

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30 162 In this paper two raster images were obtained, with a spatial resolution of 3.78 cm/pixel.
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32 163 The first was displayed in natural colour (RGB), generating a DTM with precision 4 cm
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34 164 (X/Y) and 8cm (Z). Flight operatives were developed by Corimex Ltd., Bolivia,
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36 165 requested by UNESCO office in Quito, during October 2016, using a fixed wing UAV
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38 166 Sensefly Ebee ®, equipped with Canon ® G9X optic (20 megapixels). The second
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40 167 image, using the same flight structures and operatives, was produced by Sequoya ®
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42 168 Noptic (by Sensefly ®), in multispectral colour (G-R-nR-NIR), with spatial resolution 8
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44 169 cm/pixel.
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49 170 Records covered a total useful surface of 411.362 hectares, with three different
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51 171 flights at cruising altitude 137-140 m above take-off point. The flight plan(411 hectares)
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53 172 covered all the area included in the UNESCO 2000 declaration designating it as a
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55 173 World Heritage site. Final imaging was produced from calibration and mosaic
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3 174 composition of 911 individual orthophotos. All images were georeferenced to UTM
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5 175 zone 19S, datum WGS 84.
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8 176 **3.2 Satellite Raster Images**

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11 177 Several satellite images were selected, from different dates and seasons, corresponding
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13 178 to scene 001/71 (path/row), to analyse the geographical characteristics of the
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15 179 archaeological site location. Satellite type, sensor, date, spatial resolution and spectral
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17
18 180 resolution of the images used are shown in Table 1 below.

19
20 181 DTM was obtained from Space Shuttle Endeavour in the Shuttle Radar Topography
21
22 182 Mission (SRTM), with a capture resolution of 3 arc second updated from Global Land
23
24 183 Cover Facility. Landsat and Sentinel images were updated from US Geological Survey
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26
27 184 (2016a and b).

28
29 185 The satellite information was analysed using different visual and digital filters,
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31 186 to improve the environmental characteristics of the area. New details of environmental
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33 187 information of archaeological site of Tiwanaku were obtained from natural and false
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35 188 color satellite images, spatial improvements (convolution, statistic and texture filters),
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38 189 radiometric improvements (histogram equalization, bright and fog reduction) and
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41 190 spectral improvements (principal components and tasseled cap). These different
42
43 191 techniques to highlight information from satellite images are explained in detail in
44
45 192 Chuvieco and Huete, (2009).
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49 193 **4. RESULTS**

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51 194 The multispectral info and temporal analysis of the Landsat and Sentinel images show
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53 195 all the watercourses (Tiwanaku River and its tributary network) and a number of flood-
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55 196 prone areas around the archaeological site (Fig. 2). As will be shown, such areas were
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58 197 typically characteristic in plateau regions and a critical factor for the purpose of
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3 198 establishing the physical delimitation of the archaeological site. There is a significant
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5 199 concentration of water in terms of annual rainfall, while the flatness of the terrain and
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7 200 the sparse vegetation hardly reduce surface erosion. As a result, the rivers which flow
8
9 201 through the Machaca Mountains to the plains leave many alluvial and colluvial deposits,
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11 202 covering a large part of the *altiplano*. At the edges of these deposits there are
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13 203 accumulations of impermeable and thinner materials, basic for fertile soils and
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15 204 wetlands, visible in winter images through the extension of hydrophilic vegetation and
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17 205 location of cultivated land. The location of these floodplains around Tiwanaku is clearly
18
19 206 shown by the green and light blue shades on the Landsat 5 image, which has undergone
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21 207 histogram equalization (top right image, Fig. 2). The archaeological site at Tiwanaku
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23 208 (although, curiously, the name in Aymara means “dry riverside”) is specifically
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25 209 surrounded by flooded land, connected to what appears to be a drainage system, now
26
27 210 abandoned, that covers all the occupied area and nearby territory. Drainage mechanisms
28
29 211 for rainfall runoff and anthropic control of flood-prone areas around the ancient city
30
31 212 were as necessary in the past as they are nowadays in modern Tiwanaku. As shown in
32
33 213 Fig. 2 (down-right image), the Sentinel image structured in principal components and
34
35 214 with a convolution summary filter 3*3 shows the principal riverbeds in marine blue.
36
37 215 However, the relevant information is observed in another principal components image,
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39 216 which shows several drainage structures surrounding most important structures,
40
41 217 rectangular and connected with drainage channels running NE and SW to nearby rivers
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43 218 (Fig. 3). This figure highlights the contrast between what is observed in a visible image
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45 219 (similar to a vertical photograph), and in another with infrared channels to which the
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47 220 principal components have been applied.

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49 221 In addition to satellite imaging info, UAV images and DTM of the Tiwanaku
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51 222 site show us a wide range of natural forms in very high detail. In order to understand
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3 223 this applied resource, a brief analysis of both natural and anthropic features is advanced
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5 224 below:
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9 225 **4.1 Natural elements analysed by UAV images**
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11 226 The different geomorphological units which, many cases have been used or remodelled
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13
14 227 by man are:
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17 228 **4.1.1 Altiplano surface in Tiwanaku**
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19 229 At 3845–3857 m asl, with irregular micro-topography, slightly banked to the N and with
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21
22 230 small natural depressions and drainage channels. This surface is situated 10-20 m above
23
24 231 the flood plains and wetlands surrounding the Tiwanaku archaeological site (Fig. 4).
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27 232 **4.1.2 Dolines and fractures**
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31 233 Dolines are natural rounded or elliptic depressions, caused by dissolution processes and
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33 234 the collapse of the surface terrain. They are 70-100 m long and 30-40 m wide, flat -
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35 235 bottomed, soil lined and 1–2 m deep (Fig. 5). Evidence of the presence of
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37
38 236 underlaid limestone could explain the tabular relief of the topographic location of
39
40 237 Tiwanaku, with higher erosion resistance capabilities compared with the rest of the
41
42 238 surrounding detrital materials. Both the ancient and modern cities of Tiwanaku are
43
44 239 located in this position, slightly above the plateau. These dolines tend to be seasonally
45
46 240 flooded, especially in December and January, months with maximum summer rainfall.
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48
49 241 These natural water storage features are currently often used for cattle rearing and
50
51 242 agriculture, and judging by the archaeological remains distributed around them, were
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53 243 also used for these purposes in the past. Most of the *dolines* are aligned, with a
54
55 244 predominant NE - SW and EW and NW - SE orientation, which indicates the presence
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58 245 of a fractured substrate, and thus favours the dissolution and collapse of the land
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2
3 246 surface, and its subsequent seasonal flooding (Fig. 5, at the top right).
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5

6 247 **4.1.3 Flood plains**

8 248 These are distributed throughout the surroundings of Tiwanaku archaeological site and
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10 249 are related to the Tiwanaku River and its left bank tributaries. North of the Akapana
11
12 250 **platforms mound**, the river flood plain is found at 3832 – 3833 m asl, just 1-2 meters
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14
15 251 above the present river course, with a maximum transversal axis of 348-393 m. Current
16
17 252 land use of some of these terrains has been recently use for testing traditional techniques
18
19 253 of raised fields (sukakollus) with the aim of reducing plant exposure to soil freezing and
20
21 254 flooding in these severe climatic scenarios (Fig. 6 at the right). On the western bank of
22
23
24 255 the Puma Punku zone, the flood plain is situated at 3833 – 3837 m asl and is mostly
25
26 256 uncultivated because of ground water saturation during the greater part of the year, (Fig.
27
28 257 6 at the left).
29
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33 258 **4.2 UAV analysis of buried anthropic elements**

35 259 Generally speaking, there are two common types of structures in archaeology, known as
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37 260 positive and negative, according to their appearance and location in a stratigraphic
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39 261 context (Crawford, 1929; Wandsnider, 1996). A typical positive structure might be a
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41 262 wall, whereas a typical negative structure could be, for instance, a moat. Depending on
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43 263 the possible anthropic use of these new archaeological locations, the following
44
45 264 differential issues can be considered:
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50 265 **4.2.1 General distribution of the settlement pattern**

53 266 According to all the above information on the site location and neighbourhood and the
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55 267 surrounding flooded areas, the potential **houses** occupation can be clearly affirmed at
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57 268 the maximum point of expansion (Fig. 7). Starting from this information, during the
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59 269 culminating period of Tiwanaku society and culture, there was a complex **settling** plan
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3 270 and structure, with a socially determined space, structured by a large square drainage
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5 271 moat, located in an evidently principal N position. From this preeminent centralnorthern
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7 272 position, the city population expanded to S, E, and W. The monumental structure still
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9
10 273 found nowadays outside this evidently sacred space is the Puma Punku complex,
11
12 274 detailed below.

15 275 **4.2.2 Evidence of buried buildings**

17 276 A wide variety of constructions, differentiated according to their potential use, can be
18
19 277 found throughout the study area. First of all, this shows that the occupied area at the
20
21 278 moment of maximum expansion of the Tiwanaku site exceeds the zone studied using
22
23 279 UAV zone (411 hectares). In fact, satellite information has been basic for this task area
24
25 280 and it is this resource which has shown the real extension of the central nucleus of the
26
27 281 ancient city, slightly over 650 hectares, (Fig. 7). Additionally, some regular features can
28
29 282 be observed in the surrounding areas, presenting obviously anthropic patterns. This side
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31 283 evidence refers to radial locations of archaeological interest, outside the main city
32
33 284 concentration. However, the square moat observed by Lasaponara and Masini (2014),
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35 285 **Vranich and Levine(2013) or Ortloff, and Janusek (2016)** and its exact location within
36
37 286 the Tiwanaku **settlement** plan itself is now confirmed. Its position is clearly marked in
38
39 287 the central – north position into city structure, and contained inside it are the main
40
41 288 religious and political spaces of the city, such as the Akapana **platforms mound**,
42
43 289 Kalasasaya temple, Putuni complex, etc. Its southern trace has been clearly destroyed by
44
45 290 modern train track, although its eastern and western vertices are still perfectly marked
46
47 291 and are visible in the DTM, as well as the directionality of its turn. There is also
48
49 292 interesting new information about this restricted area, with the existence of radial raised
50
51 293 platforms, attached parallel to the moat, that increase the inner height of these new
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53 294 structures **(Fig. 8). This is a 3D image using Global Mapper from the NW corner of the**
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3 295 pit. It shows the presence of the remains of these structures. Ancient rescue excavations
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5 296 in the area indicated a massive accumulation of clay. They give us direct information
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7 297 that is difficult to reflect in the text, but that provides conclusive data about what the
8
9 298 DTM shows. Although we cannot affirm that the purpose of them was defensive, it is
10
11 299 logical to consider that they establish a formal delimitation of the inner area and its
12
13 300 buildings, in contrast to what lay outside. Complex structural volumes can also be seen
14
15 301 in the western Akapana area, as well as what seems to be a massive square structure,
16
17 302 unknown to date, just to the south of the Putuni complex (Fig. 7a), and sharing many
18
19 303 similarities with the so called *sarcophagus palace*, as recently revealed by the test
20
21 304 excavations (Gallego & Pérez, 2018).

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25 305 With reference to the distribution in terms of areas covered, a widespread
26
27 306 dispersion of orthogonal volumes (rectilinear and perpendicular) can be observed across
28
29 307 the whole area of the site, inside and outside the drainage moat. In this regard, the linear
30
31 308 and perpendicular shapes denote anthropic elements (Fig. 7a, 7c, and Fig. 9, 1 to 5). As
32
33 309 we have comparative information from previous archaeological works in Tiwanaku, we
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35 310 are able to identify some of them as areas of domestic occupation, in view of their
36
37 311 common constructive patterns (Janusek, 2003). On the other hand, the multispectral
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39 312 register shows the existence of negative structures, in this case, traces of broad
40
41 313 groupings of potential circular cabins, established in several scattered nuclei in the area,
42
43 314 particularly beside wetlands (Fig. 10).

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46
47 315 With reference to the massive structures located outside the moat, a brief
48
49 316 comment on Puma Punku is appropriate here. At present, analysis of the UAV images
50
51 317 shows that this structure is much more complex than previously thought. The drone
52
53 318 images clearly show the existence of, at least, two overlapping square platforms, and
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55 319 also asquare plaza (Fig. 7c). The structural regularity is evidence of the durable
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3 320 construction materials used. The E-W orientation of the whole complex is clearly
4
5 321 evident, leading straight to the nearby wetlands. In addition, on the south side of the
6
7 322 complex, there is massive evidence of large stone-built structures with possible relation
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9 323 with the Inka reoccupation and transformation of the monument, and two nuclei with
10
11 324 circular traces (Fig. 10). These are also very interesting, as they can establish a sequence
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13
14 325 of occupation of this area, out of the classic Tiwanaku period.

17 326 **4.2.3 Drainage channels and ditches**

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19
20 327 Natural colour image obtained by UAV clearly shows evidence of various drainage
21
22 328 systems in Tiwanaku, complementing information provided by Lasaponara and Masini
23
24 329 (2014). The drainage infrastructure is linked to the Tiwanaku River and related
25
26 330 wetlands, and is composed of a large square moat and several drainage channels. Some
27
28 331 of these are orthogonal and others just use natural structures (Fig. 7, and 7c), draining to
29
30 332 the neighbouring floodplains. As for the presence of dikes (Fig. 7b), both the
31
32 333 information obtained from testing and the archaeological work done during 2017 have
33
34 334 confirmed the use of large clay platforms to establish the perimeter of the **occupation**
35
36 335 area. Possibly the result of massive public works and having a very different use based
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38 336 on the space it occupies, in relation to the floodplain.

44 337 **5. DISCUSSION**

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46 338 Multi-spectral imagery can also enhance the identification of otherwise invisible
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48 339 archaeological sites, particularly in the near-infrared part of the spectrum (Aqduşa
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50 340 et al., 2012). The combined use of satellite and UAV images in the archaeological
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52 341 site of Tiwanaku allows substantially improving the cartography of numerous
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54 342 buried structures and confirming others previously detected, with other satellite
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56 343 images (Lasaponara & Masini, 2014) or through other archaeological techniques
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58 344 (Cothren et al., 2008; Kolata & Ortloff, 1989; Kolata, 2003). In addition, the
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3 345 analysis of the regional and local territory (altitude, landforms, hydrology and
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5 346 land use) provides some indication of the relationship between the Tiwanaku
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7 347 community and the environment, in which the defence and use of water were vital
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9 348 in the Andean Altiplano.

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11
12 349 Although topographic aspects of Tiwanaku had been previously
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14 350 investigated by William et al. (2007), the comparative analysis of the physical
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16 351 environment at different scales better determines its location on a worn and
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18 352 fractured tabular surface, which barely rises 10-20 m above the base level of the
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20 353 Altiplano. Cothren et al. (2008) used photogrammetry on conventional aerial
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22 354 photography, together with different geophysical studies to compose a first model
23
24 355 of topological approach to the site. Years later, Lasaponara (2014) used the
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26 356 regional MDT of the ASTER satellite to locate the Tiwanaku settlement. But in
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28 357 both situations the altimetric accuracy of the DTM obtained from drones
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30 358 substantially improves the identification of both the underlying archaeological
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32 359 elements and the geographic features. This is the case of the numerous natural
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34 360 depressions (dolines) that originate on the surface, which are also aligned to
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36 361 favour fractures of the underlying rock and are seasonally flooded. In the past, this
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38 362 has allowed for the temporary storage of fresh water, being a great help for
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40 363 livestock and the local population.

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42 364 In addition, the RGB and MDT images provided by the UAV system
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44 365 have also been useful for the updating of the topography and characteristics of the
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46 366 floodplains surrounding Tiwanaku and the various land uses, depending on the
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48 367 frequency of the floods. This land, exposed seasonally to heavy rains (hourly,
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50 368 daily and monthly), requires mechanisms for the defense and use of water, being
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52 369 as essential then as it is now. These mechanisms, such as raised field cropping
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3 370 systems, or the creation of dams that effectively control the impact of flooding
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5 371 inside the city, and their implementation in certain areas such as river docks for
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7 372 the management of critical materials for the construction of monumental
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9 373 buildings, are now clearly visible and identifiable from UAV information.
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12 374 The use of natural colour images, plus DTM -generated images, allowed
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14 375 detection of many positive structures, such as fences, terraces or platforms. On the
15
16 376 other hand, multispectral imaging allowed us to detect several negative structures,
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18 377 including circular evidences, foundations, plazas or drainage channels (De Laet et
19
20 378 al. 2007; Lasaponara and Masini, 2007; Aqdusa et al. 2012; Forte and Campana,
21
22 379 2016). In this regard from the archaeological point of view, inspection of ultra-
23
24 380 high spatial resolution images of 411 hectares has allowed to determine the
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26 381 extension of the old nucleus, which seems to exceed this size, reaching about 650
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28 382 hectares, as noted in the preliminary analysis of the results obtained from a second
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30 383 flyby, whose information will be presented shortly and that significantly increases
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32 384 the extension established by the previous findings.
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37 385 Leaving aside the investigation of great monuments, whose presence
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39 386 often obscures other features of great interest for research related to the general
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41 387 structure of a site like Tiwanaku, it is noted that the extensive area it covers is
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43 388 structured in different zones; this has been confirmed by data obtained from
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45 389 various excavations and geophysical investigations. As an example, in the Mollo
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47 390 Kontu area (Williams et al., 2007), south of Akapana platforms mound, the
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49 391 distribution of occupied areas, at least during the Tiwanaku V period, was
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51 392 structured in neighborhoods, districts with massive linear stone and adobe fences.
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53 393 This structuring is confirmed in other regions like *East Akapana or Chii ji Jawira*
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55 394 (Janusek, 1999; 2004), and can also be observed in the DTM image. There is also
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3 395 an evident presence of divergent elements which, *a priori*, are indicative of other
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5 396 occupation phases. One of the most evident is the presence of circular structures,
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7 397 which in theory could be linked to the outer stages of the Tiwanaku culture
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9 398 (Bandelier, 1911; Browman, 1978 and 1980; Williams et al. 2007). It is noted that
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11 399 western bankclay structure that we found besides Puma Punku may have been
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13 400 used as a possible seasonal river dock, linked to a wide flooding area and the
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15 401 fluvial structure of Tiwanaku River. It could have been potentially created for the
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17 402 unloading and initial storage of clay and red sandstone (Gallego and Perez, 2018),
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19 403 this one obtained from the Kaliri quarry (Janusek et al., 2012), about 15
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21 404 kilometres to the south.

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25 405 From our geo-archaeological perspective, there is also evidence of
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27 406 several hydraulic structures, designed to control local soil saturation conditions,
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29 407 mass loss and sediment transport as established by Ortloff and Kolata (1989),
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31 408 Kolata et al. (1991) and Orloff (2016); according to these authors, the Tiwanaku
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33 409 society engaged in an intensive agricultural production, with the creation of an
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35 410 artificial and regional hydrological regime of channels, aqueducts and
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37 411 groundwater regulation. This evidence can currently be traced at several points on
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39 412 the site. UAV images have confirmed these hypotheses, and the topography of the
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41 413 sub-aerial channel network can be mapped with centimeter-level accuracy.

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45 414 In this regard, it should be noted that the land to the west of the Puma
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47 415 Punku **complex** has a high recurrence of floods and offers scarce agricultural use.
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49 416 Nevertheless, flood intensity is lower in the floodplain of the Tiwanaku River,
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51 417 where farming systems in raised fields have been archaeologically identified
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53 418 (Kolata and Ortloff, 1989, Kolata et al. 1991 and Janusek & Kolata, 2004). Today,
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3 419 this technique is still one of the best resources against frost, so common in the
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5 420 Altiplano, at an altitude of more than 3840 m a.s.l.
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8 421 **6 CONCLUSION**

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10 422 The geographical and archaeological study of Tiwanaku (Bolivia) using remote
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12 423 sensing has given us a new perspective regarding the location of this site in two
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14 424 essential areas: the analysis of the territory and the distribution of ancient urban spaces.
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17 425 Images obtained with spectral improvement to the principal components and
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19 426 radiometric improvement of histogram equalization have made it possible to create high
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21 427 resolution maps of all of the bodies of water and determine the overall shape of the
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23 428 structures and formations that made up the water network.
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26 429 Methodologically, the combination of images at different scales has been
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28 430 fundamental: medium (satellite and MDT), and small (obtained from a drone). Their
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30 431 joint interpretation has permitted several discoveries to be made regarding the
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32 432 archaeological site of Tiwanaku:
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35 433 - The site is located on a nearly horizontal geological structure, which is highly
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37 434 worn but slightly elevated above the base level of the plateau. In addition, this residual
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39 435 relief is extremely fractured and has many forms caused by dissolution and collapse.
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41 436 These areas are seasonally flooded, so they are a good reserve of fresh water.
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44 437 - It is surrounded by flood plains formed to the north with the sediment
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46 438 transported by the river of the same name (Tiwanaku), and to the east, south and west
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48 439 by the streams that descend from the *Sierra de Machaca* to the high plateau. In these
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50 440 floodplains, which are the most fertile, traditional farming practices were performed
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52 441 in raised fields to minimize frost damage, a technique that presumably was inherited
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54 442 from the ancient Tiwanaku. These practices have today been mainly lost.
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3 443 - All of the floodplains closer to Tiwanaku, such as those found between Lake
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5 444 Titicaca and the archaeological site, can be accurately mapped thanks to the radiometric
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7 445 improvements in principal components and histogram equalization of Landsat and
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9 446 Sentinel images. In addition, these mesoscale images have also made it possible to
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11 447 clearly observe the large rectangular drainage structure that encloses the main
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13 448 observable monuments, as well as the connections to the discharge areas.

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16
17 449 - Other smaller buried elements have been precisely located using the DTM
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19 450 obtained by the drone. The level of detail is 4 cm, which is better than the visible or
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21 451 infrared images with the same resolution. Other smaller drainage channels, circular
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23 452 marks and numerous buried walls have been identified in the vicinity not only of
24
25 453 Akapana, but also of Puma Punku and other lesser-known areas. This indicates that the
26
27 454 built-up area of the Tiwanaku archaeological site was much larger than previously
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29 455 believed, and its extension may be as large as 650 ha. This last figure is based on
30
31 456 additional information that is provided below.

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35 457 - Finally, in front of the elevated platform of Puma Punku, the very high spatial
36
37 458 resolution DTM reveals the existence of a perimeter enclosure structure. Its presence
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39 459 can be detected intermittently at different points along the urban boundary, along with a
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41 460 possible fluvial dock, which is linked to a very powerful flood plain. If these two
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43 461 structures were confirmed, it would be necessary to recognize that floods in this region
44
45 462 of the *altiplano* were much higher during the diffuse period between the beginning of
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47 463 this population (first or second millennium BP) and its disappearance around 1200 AC.
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49 464 In this context, the renowned Aymara words *thia* (riverside) and *wañaku* (dry), which
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51 465 apparently gave rise to Tiwanaku, would make sense. Even though these discoveries are
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53 466 remarkable, they currently do not allow anything else to be ventured, since this new line
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55 467 of research requires additional data and new fieldwork.
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4 632 Table 1. Selection of satellite images of Tiwanaku archaeological complex used in this
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6 633 study.
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8 634 Figure 1. Study area and detail of general DTM (**exaggerationx 8**). Source, USGS
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10 635 (2004)
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12 636 Figure 2. Top: Landsat image and histogram equalization detail (1987); down: Sentinel 2
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14 637 image and principal components detail (2016). Source: U.S. Geological Survey
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17 638 Figure 3. Principal components image (4-2-3, R-G-B), Sentinel 2 27 April 2016, and
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19 639 buried drainage structures
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21 640 Figure 4. Detail of Bolivian Altiplano topography obtained from DTM. 1: from the
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23 641 Tiwanaku River to the western floodplain; 2: from the river Tiwanaku to the south of
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25 642 Akapana **raised platform**
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28 643 Figure 5. Natural structures in Tiwanaku, Bolivia: dissolution forms and fractures in
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30 644 MDT image and detail of flooded *dolines* in Google Earth© image (December 2003)
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33 645 Figure 6. Left: Flood plains surrounding the Tiwanaku archaeological complex,
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35 646 obtained by UAV, November 2016. Right: detail of raised fields system, in northern
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37 647 Akapana territory
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40 648 Figure 7. Detail of MDT: The archaeological site location, the neighbourhood and the
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42 649 surrounding flooded areas. (a): positive structures near of Akapana **raised platform**; (b):
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44 650 negative structures, channels and (c): positive structures near the Puma Punku **raised**
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46 651 **platform**
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49 652 **Figure 8. 3D rendering of NW corner of Tiwanaku's moat, by 16 cm resolution DTM.**
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51 653 **Marked with red arrows, position of remains of raised platforms attached to inner**
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53 654 **border of the moat.**
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56 655 **Figure 9. Location in Google Earth (up) and 3D Model (down):** Puma Punku complex
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58 656 area view, obtained by DTM. Several structures can be observed linked to previously
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3 657 identified **raised platform**: 1. Western complex buildings; 2. Puma Punku **raised**
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5 658 **platform**; 3. Eastern Platforms; 4. Southern buildings; 5. Eastern plaza
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7 659 Figure 10. Multispectral imaging of southern Puma Punku area, with **circular crop**
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9 660 **marks, compared with Khonkho Wankane site's domestic information (Marsh, 2016)**
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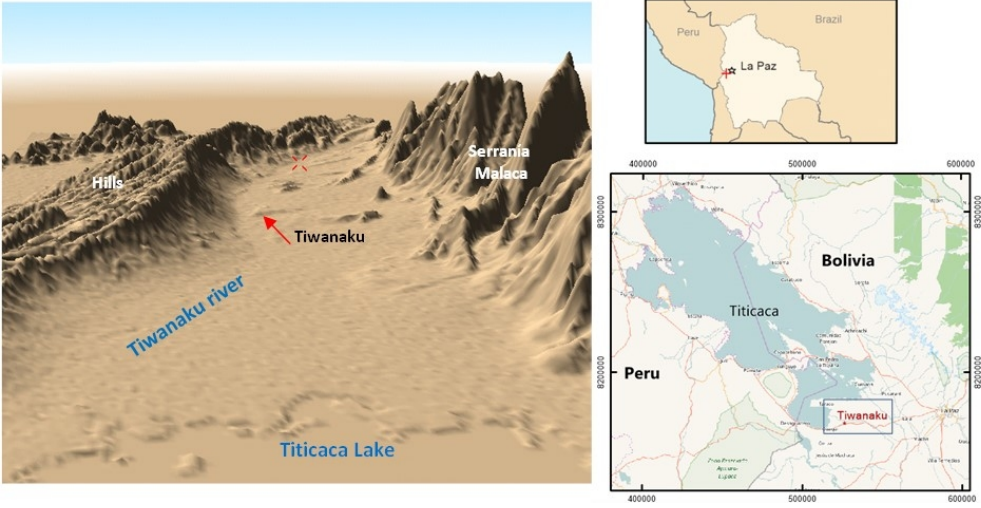


Figure 1. Study area and detail of general DTM (exaggeration x 8). Source, USGS (2004)

160x81mm (150 x 150 DPI)

Satellite/Sensor	Date (dd/mm/yyyy)	Spectral resolution (m per pixel)	Spectral resolution (Bands)
Landsat 5	08/02/1987	30 m 120 m	6 bands (VIS, NIR, SWIR) 1 band (TIR)
Sentinel 2 A	27/04/2016	10 m 20 m 60 m	4 bands (VIS, NIR) 6 bands (NIR, SWIR) 3 bands (aerosols, water vapor and cirrus)
Space Shuttle Endeavor C&X bands	Feb of 2000	90 m	1 C-band and X-band

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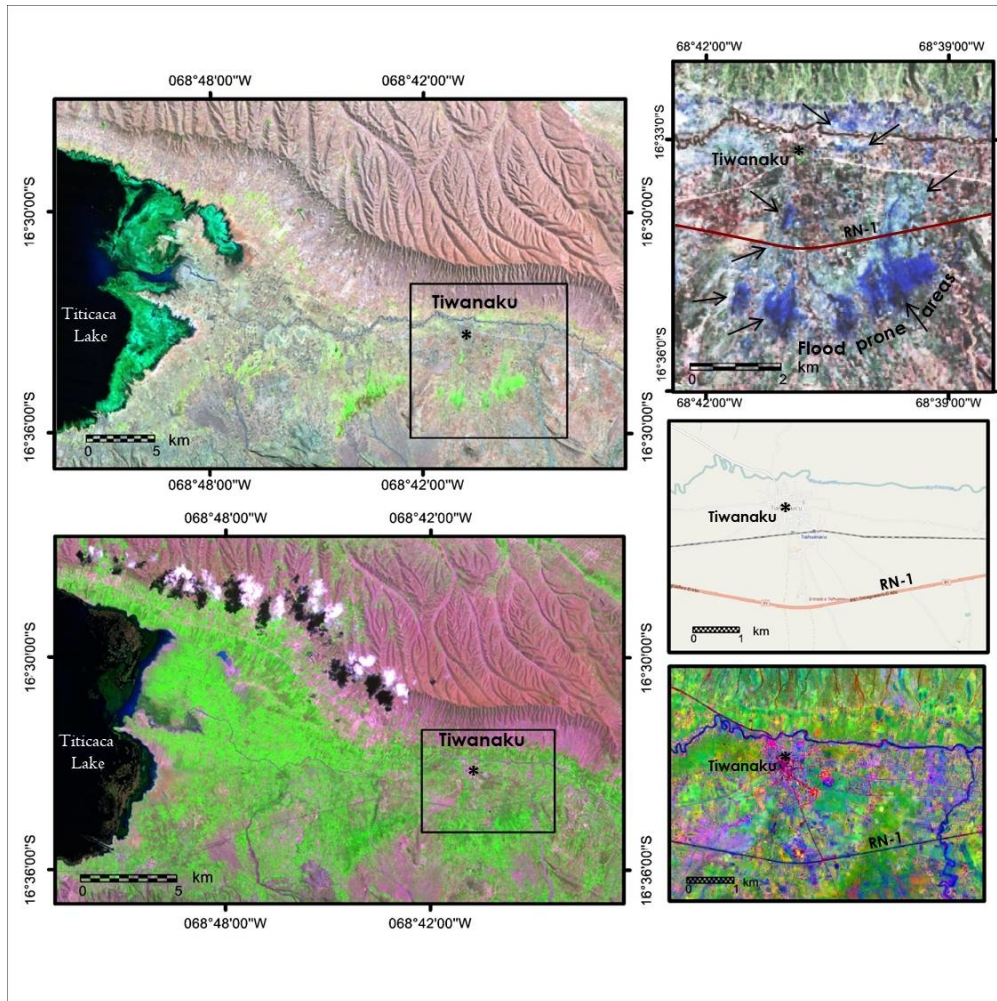


Figure 2. Top: Landsat image and histogram equalization detail (1987); down: Sentinel 2 image and principal components detail (2016). Source: U.S.Geological Survey

190x190mm (150 x 150 DPI)

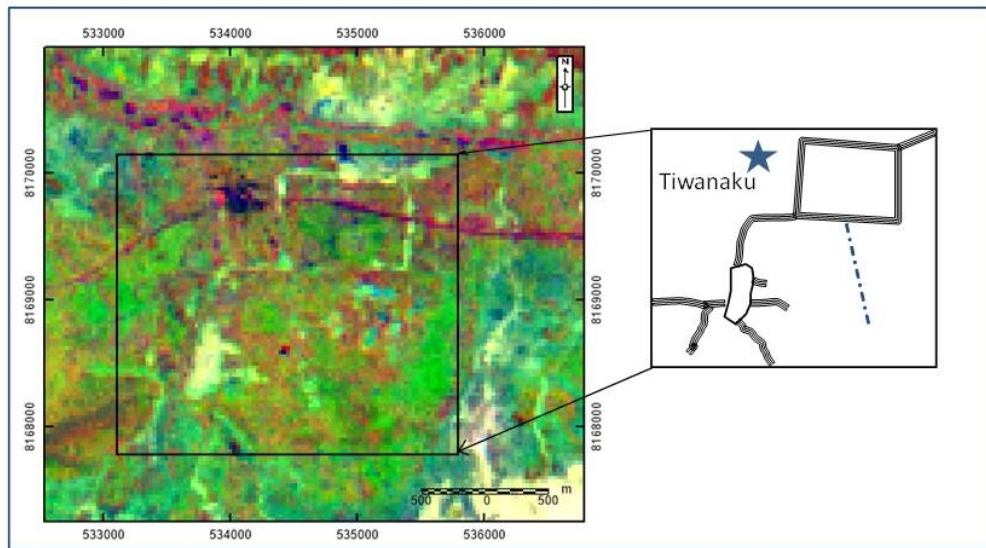


Figure 3. Principal components image (4-2-3, R-G-B), Sentinel 2 27 April 2016, and buried drainage structures

140x142mm (150 x 150 DPI)

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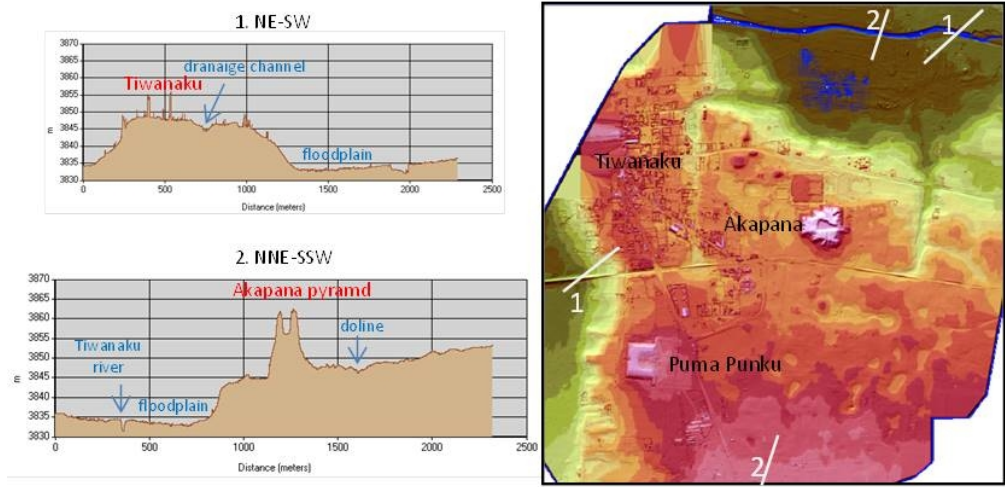


Figure 4. Detail of Bolivian Altiplano topography obtained from DTM. 1: from the Tiwanaku River to the western floodplain; 2: from the river Tiwanaku to the south of Akapana raised platform

140x71mm (150 x 150 DPI)

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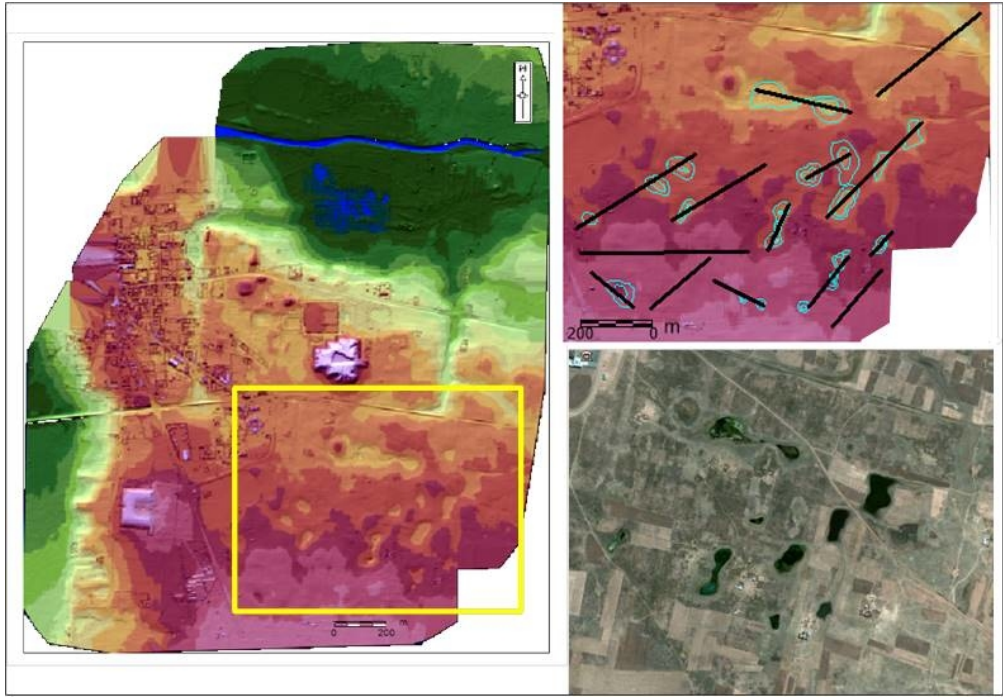


Figure 5. Natural structures in Tiwanaku, Bolivia: dissolution forms and fractures in MDT image and detail of flooded dolines in Google Earth© image (December 2003)

133x92mm (150 x 150 DPI)

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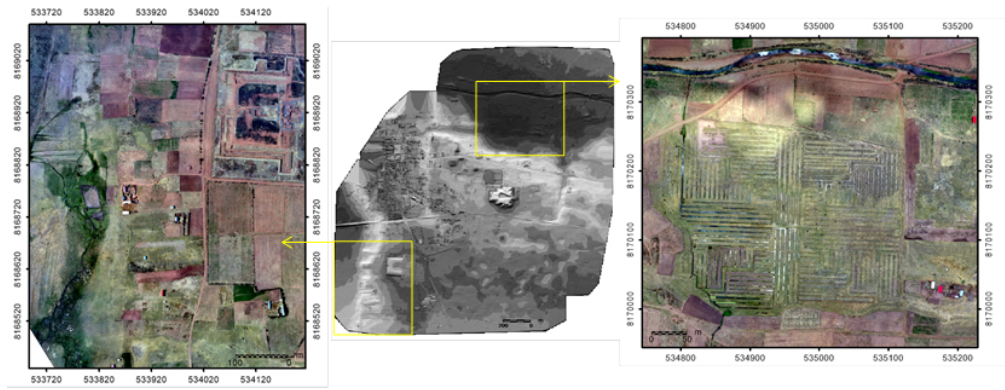


Figure 6. Left: Flood plains surrounding the Tiwanaku archaeological complex, obtained by UAV, November 2016. Right: detail of raised fields system, in northern Akapana territory

140x53mm (150 x 150 DPI)

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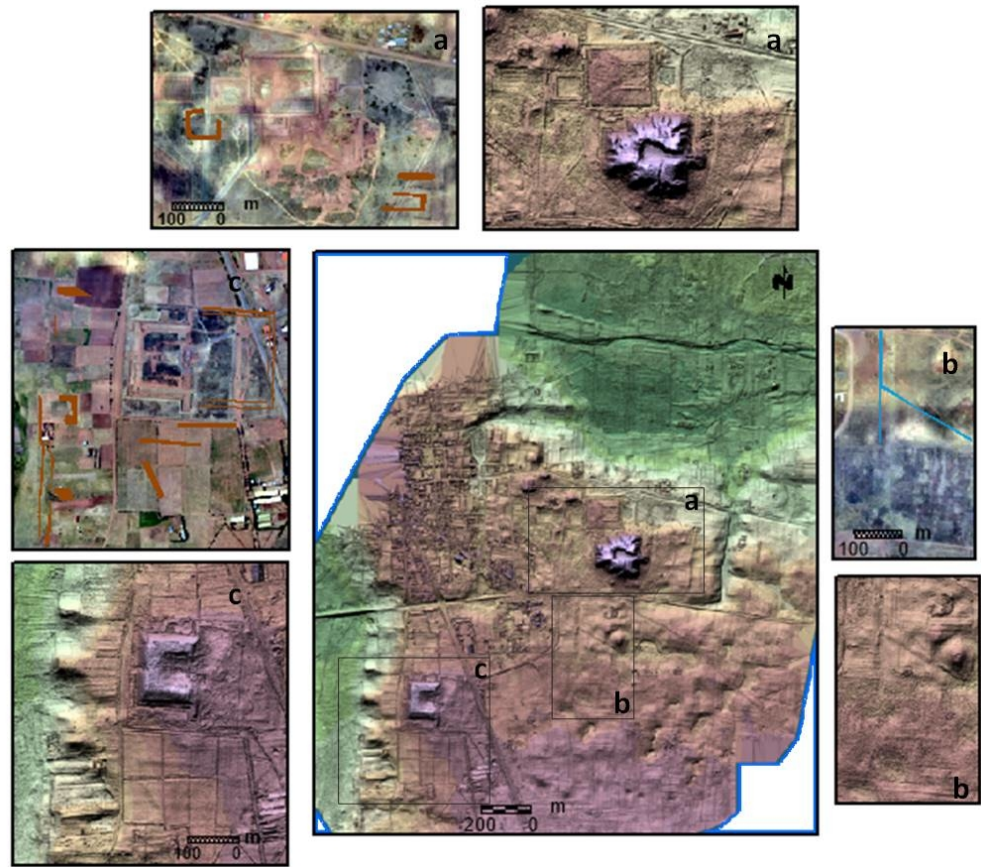


Figure 7. Detail of MDT: The archaeological site location, the neighbourhood and the surrounding flooded areas. (a): positive structures near of Akapana raised platform; (b): negative structures, channels and (c): positive structures near the Puma Punku raised platform

170x150mm (150 x 150 DPI)

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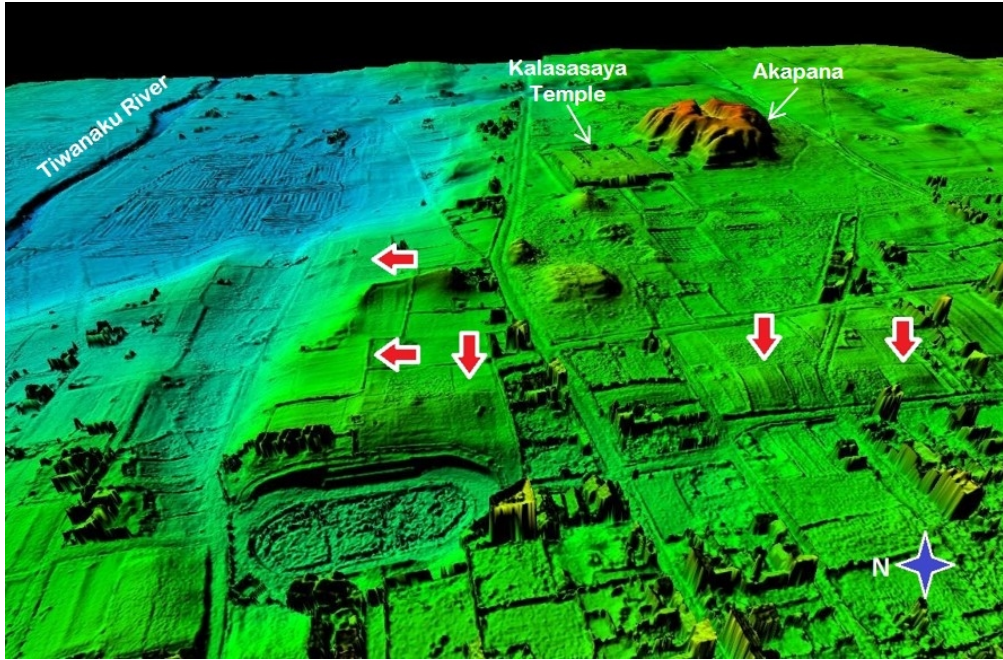


Figure 8. 3D rendering of NW corner of Tiwanaku's moat, by 16 cm resolution DTM. Marked with red arrows, position of remains of raised platforms attached to inner border of the moat

150x98mm (150 x 150 DPI)

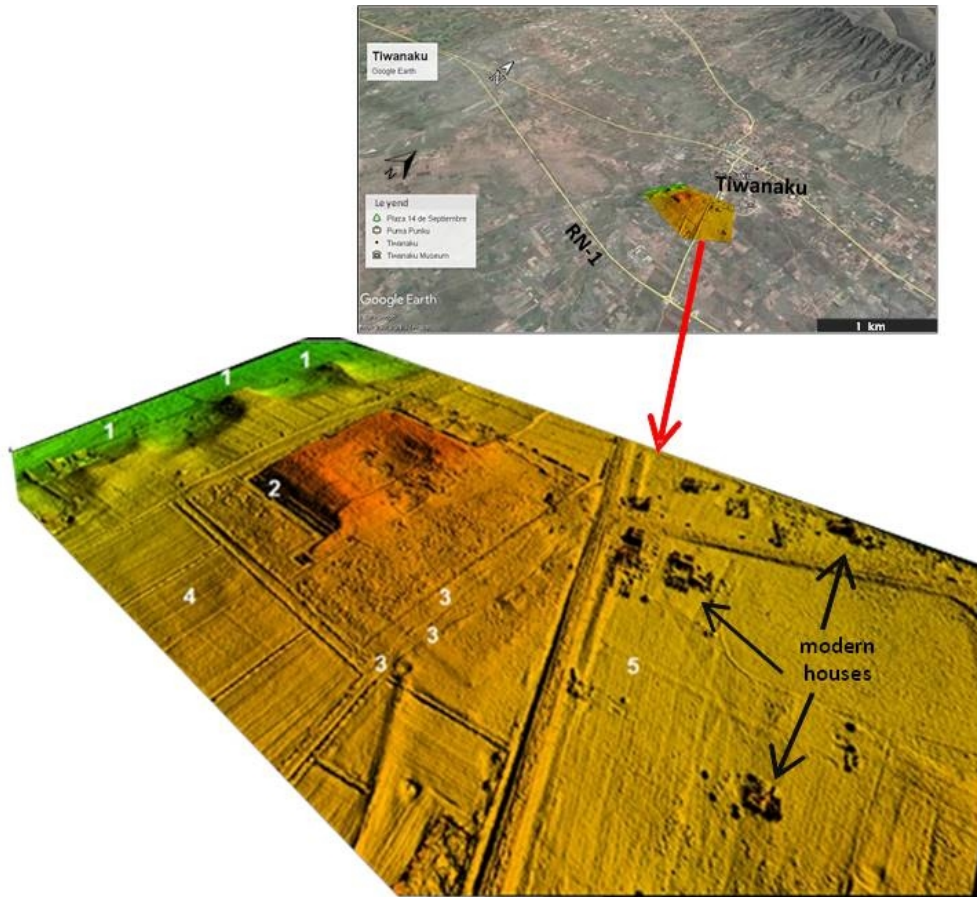


Figure 9. Location in Google Earth (up) and 3D Model (down): Puma Punku complex area view, obtained by DTM. Several structures can be observed linked to previously identified raised platform: 1. Western complex buildings; 2. Puma Punku raised platform; 3. Eastern Platforms; 4. Southern buildings; 5. Eastern plaza

122x109mm (150 x 150 DPI)

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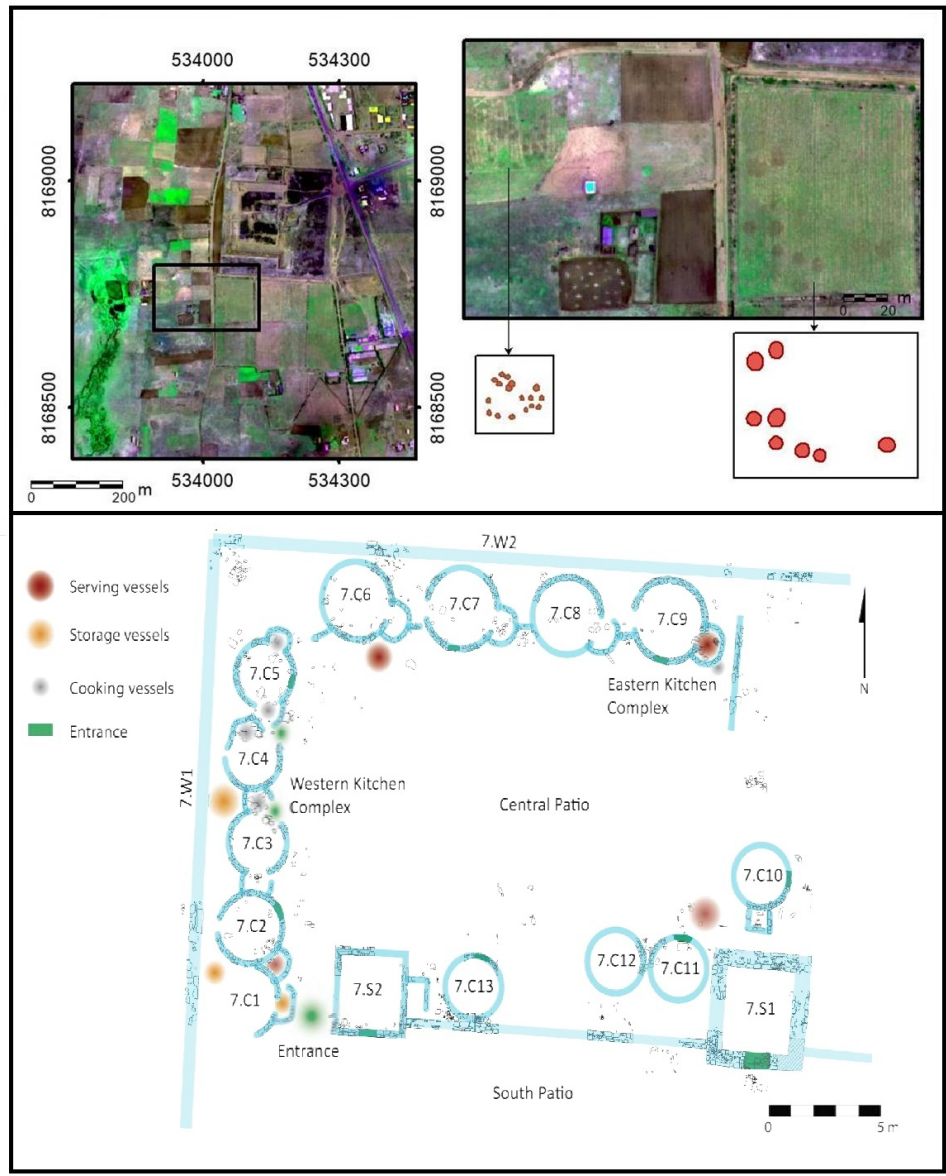


Figure 10. Multispectral imaging of southern Puma Punku area, with circular crop marks, compared with Khonkho Wankane site's domestic information (Marsh, 2016)

199x245mm (150 x 150 DPI)