



Earthquake-induced landslide scenarios for seismic microzonation: application to the Accumoli area (Rieti, Italy)

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Abstract

Scenarios of earthquake-induced landslides are necessary for seismic microzonation (SM) studies since they must be integrated with the mapping of instability areas. The PARSIFAL (*Probabilistic Approach to provide Scenarios of earthquake-Induced slope Failures*) approach provides extensive analyses, over tens to thousands of square kilometers, and is designed as a fully comprehensive methodology to output expected scenarios which depend on seismic input and saturation conditions. This allows to attribute a rating, in terms of severity level, to the landslide-prone slope areas in view of future engineering studies and designs. PARSIFAL takes into account first-time rock- and earth-slides as well as re-activations of existing landslides performing slope stability analyses of different failure mechanisms. The results consist of mapping earthquake-induced landslide scenarios in terms of exceedance probability of critical threshold values of co-seismic displacements ($P[D \geq D_{cla}(t, a_y)]$). PARSIFAL was applied in the framework of level 3 SM studies over the municipality area of Accumoli (Rieti, Italy), strongly struck by the 2016 seismic sequence of Central Apennines. The use of the PARSIFAL was tested for the first time to screen the Susceptibility Zones (ZS_{FR}) from the Attention Zones (ZA_{FR}) in the category of the unstable areas, according to the guidelines by Italian Civil Protection. The results obtained were in a GIS-based mapping representing the possibility for a landslide to be induced by an earthquake (with a return period of 475 years) in three different saturation scenarios (i.e. dry, average, full). Only 41% of the landslide-prone areas in the Municipality of Accumoli are existing events, while the remaining 59% is characterized by first-time earth- or rock-slides. In dry conditions, unstable conditions or $P[D \geq D_{cla}(t, a_y)] > 0$ were for 54% of existing landslides, 17% of first-time rock-slides and 1% of first-time earth-slides. In full saturation conditions, the findings are much more severe since unstable conditions or $P[D \geq D_{cla}(t, a_y)] > 0$ were found for 58% of the existing landslides and for more than 80% of first-time rock- and earth-slides. Moreover, comparison of the total area of the ZA_{FR} versus ZS_{FR} , resulted in PARSIFAL screening reducing of 22% of the mapped ZA_{FR} .

Keywords Earthquake-induced landslides · Scenario mapping · Seismic microzonation · Italy

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1 Introduction

The reconstruction of landslide scenarios is a milestone for environmental planning in terms of both hazard mapping and strategic and engineering solutions to be adopted in urbanised areas. In particular, the awareness of the spatial distribution of earthquake-induced landslides support the competent local authorities to plan intervention priorities aimed at managing the natural hazard on the basis of the available economic resources. The combined nature of the hazard related to earthquake-induced landslides implies that such processes should be analyzed taking into account both the proneness to failure (landslide susceptibility), and the probability of occurrence of the triggering event (seismic hazard).

However, the predisposing conditions to slope failures vary according to the environmental constraints, among which the water saturation of covers and deposits or the presence of pore water pressures related to groundwater flow, more commonly originated by impulsive events such as heavy rainfall. Nevertheless, for the purposes of spatial planning and its technical products (such as SM maps), the susceptibility mapping or the analysis of the spatial distribution of hazard related to the trigger action is not sufficient. Instead, it is necessary to resort to the reconstruction of scenario maps which have the dual purpose of: (1) providing a spatial distribution of effects to a certain probability of occurrence; and (2) make it possible to take into account their variability as a function of the predisposing environmental conditions, including soil saturation.

Since the late '80s the prefiguration of earthquake-induced landslides scenarios, which means analyzing over large areas the proneness of slopes to fail under seismic loading, was strongly requested by scientific and technical communities for risk mitigation purposes. This goal was initially pursued by analyzing the maximum runout distances for the expected landslide occurrence (Keefer 1984; Rodriguez et al. 1999) on the basis of datasets obtained on a global scale. Afterwards, mapping solutions were proposed based on susceptibility or hazard analyses, whose development in the last few decades—significantly favored by the constant improvement over the years of computing facilities and GIS platforms—represent a well-established topic in the scientific and technical communities dealing with landslide risk reduction.

A first category of methods can be classified as physically-based approaches that are aimed at computing and mapping the safety factors and/or co-seismic displacements (Newmark 1965; Rathje and Bray 2000; Rathje and Antonakos 2011) under specific seismic loading (e.g., Prestininzi and Romeo 2000; Romeo 2000; Jibson et al. 2000; Jibson 2007; Hsieh and Lee 2011; Bozzano et al. 2013; Tsai et al. 2019). Such methods have the great advantage to explicitly consider the interaction slope-seismic waves, but also require a detailed knowledge of physical–mechanical properties of the slopes, which is not easy to achieve as the stability analyses should be extensively performed over wide areas. This issue can be relevant especially for first-time slope failures, while is less significant for landslide reactivation under seismic conditions if the geomechanical model of the slope is sufficiently constrained.

Other approaches strongly rely on indirect susceptibility or hazard assessments, such as those developed and widely used in the last decades. These are extensively described in the review papers by Reichenbach et al. (2018), encompassing a wide range of methods to assess at several scales both qualitatively and quantitatively the proneness of territories to almost each type of landslides. Most of the quantitative approaches are designed to perform susceptibility or hazard assessments on large scales; statistically-based models are commonly used. Due to the implications for earthquake-triggered landslide applications,

it is worth highlighting one of the basic principles of this kind of methods: the assumption that past and present are the key to the future (Varnes and IAEG Commission on Landslides and other Mass-Movements, 1984), which means that these analyses are basically back analyses. Susceptibility models, especially if based on statistic approaches, are in fact trained and validated on already occurred landslides; this implies the availability of reliable landslide inventories. To turn susceptibility analyses in proper hazard analyses, the reference inventory should be referred to a specific triggering event with its temporal probability of occurrence as an earthquake (Harp et al. 2011). As a matter of fact, several earthquake-triggered landslide susceptibility analyses were performed by means of statistically-based methods exploiting event-based inventories (e.g., Xu et al. 2012a; García-Rodríguez and Malpica 2010; Kamp et al. 2008), which is by itself a way to consider, although implicitly, the role of the trigger. In other studies trigger-related factors (such as PGA, shake maps, Arias Intensity) referred to the triggering event have been explicitly considered as variables in the susceptibility function (e.g. Lee et al. 2008; Bai et al. 2012; Xu et al. 2012b; Lee et al. 2002; Tian et al. 2019). Such studies can be formally regarded as hazard analyses but being trained on event-based inventories and event-specific triggers, their results are not easily generalizable to triggering events with different magnitudes.

Based on these premises, the PARSIFAL method (Esposito et al. 2016) has been developed trying to put together the benefits of both approaches in a comprehensive, multi-step methodology: a susceptibility assessment under static conditions (i.e., regardless the availability of an event-based inventory) is a screening tool to identify areas actually prone to landslides. The analysis can be carried out with the most reliable method depending on the data availability, i.e. quantitative statistically-based techniques or qualitative heuristic approaches, to provide the “background noise” in terms of landslide proneness of a given area, regardless the triggering factor. Subsequent physically-based analyses can be performed in the so-identified areas to assess landslide scenarios according to “customized” seismic inputs. This kind of integrated approach can be particularly suitable for sites featured by high levels of both seismic and landslide hazards, where no event-specific inventories are available and, thus, neither event-specific scenarios can be assessed by means of susceptibility approaches. Furthermore, the first step (slope analysis, explained further in more detail), which is actually the integration of landslide inventorying and susceptibility assessment for soil slopes and kinematic compatibility analyses for rock slopes, provides a basic and single input for pseudo-static and pseudo-dynamic analyses that can be performed under different seismic scenarios.

The PARSIFAL method considers different landslide typologies (new and existing in rock or soil) and different failure mechanisms. Some test sites of the method have been: (1) a coastal sector of Calabria in Southern Italy (Bozzano et al. 2013) as part of a POR project funded by the Calabria Region, (2) some municipalities of Central Italy (Esposito et al. 2016) as part of pilot studies promoted by the Lazio Region with high seismic hazard and, (3) in Spain (Martino et al. 2018), the Alcoy area in the Community of Valencia, historically hit by strong earthquakes and today intensely urbanized. The recent seismic events that struck the Central Apennines in 2016–2017 have launched an impressive reconstruction program that involves 15 municipalities in the province of Rieti, Lazio region. Thanks to the Ordinance of the Presidency of the Council of Ministers No. 24 of 12/05/2017 (OPCM 24/2017), technical support was provided for the design phase of the reconstruction by means of quantitative studies for SM which, following the guidelines issued in 2008 by the Italian Department of Civil Protection (DPC) (ICMS 2008), have provided the inventory of already unstable and potentially unstable slopes with the

purpose of defining specific areas of attention for landslides ($Z_{A_{FR}}$). More specific landslide guidelines were recently published by the DPC (CTMS, 2017). According to these guidelines the areas of attention for slope failures ($Z_{A_{FR}}$) must be selected among the areas of susceptibility ($Z_{S_{FR}}$) that, compared to the former, constitute the subset of the areas for which: (1) the attitude to earthquake-induced failure can be evaluated quantitatively by means of simplified analytical approaches; (2) an area of invasion by debris accumulation or an area of expansion (AE) (for enlargement, advancement or retraction) should be associated.

In this study the procedure adopted in the Municipality of Accumoli is described. The SM studies followed ODPCM 24/2017) to obtain the perimeter of the $Z_{S_{FR}}$ starting from the inventory of landslide areas (ZF) and potentially unstable slopes (ZPF) which, together, define the zones of attention for landslide ($Z_{A_{FR}}$). This procedure, based on the construction of scenario maps referring to different types of failure mechanisms and to different conditions of saturation of deposits and covers, has been implemented starting from the previously experienced applications to SM studies and to the needs mapping standards of the DPC guidelines.

2 Geological and geomorphological setting

The geological and structural setting of the central Apennines is strongly influenced by the variable geodynamic context that characterized the Mediterranean area from the Triassic to the Neogene (Centamore et al. 2012; Cosentino et al. 2010).

The Accumoli area is characterised by a Meso-Cenozoic geological succession of limestones and marls with a thickness of about 8000 meters. The attitude of strata is strongly controlled by the folding and faulting responsible for the growth of the Apennine chain (Bigi et al. 2009) which evolved through a general northeastward migration towards the Adriatic foreland. This caused the formation of inner basin systems which hosted a thick turbiditic sedimentation during the Miocene (e.g. Laga Formation; Falcini et al. 2009, and references therein).

The present morpho-structural setting of Central Apennine is strongly driven by active extensional tectonics that characterizes this area since the Upper Pliocene. This extensional phase generated NW–SE-trending normal fault systems, which are responsible for the development of intermontane basins and include the main seismogenic sources of this sector of the Apennines (Tondi and Cello 2003; Galadini and Galli 2003; Boncio et al. 2004; Pizzi and Galadini 2009).

The Municipality of Accumoli is located in the piedmont zone of the Central Apennines, between the Sibillini Mountains to the west and the Laga Mountains to the east.

Most of the area is characterised by the outcropping of deposits ascribable to the flysch of the Laga Formation while the western portion is characterized by the outcropping of Meso-Cenozoic limestones and marls.

In general, the lithological variability of the study area accounts for different erosional behaviour along the main slopes (Fig. 1) and represents one of the main predisposing factors for intense mass wasting of slopes due to gravitational process.

Tectonics and climate influenced the long-term landscape evolution of this sector of the Apennines and the drainage network underwent important phases of plio-altimetric re-organization, mainly consisting in stream piracy and cyclic alternation of

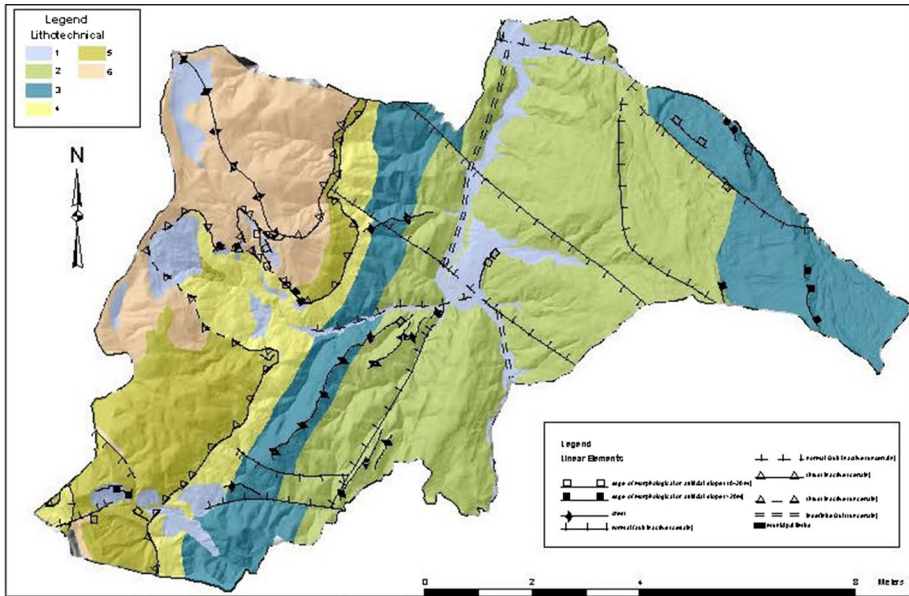


Fig. 1 Lithotechnical map of the Municipality of Accumoli with main morphostructural elements: (1) slope and alluvial deposits, (2) mostly arenaceous flysch, (3) mostly pelitic flysch, (4) marly clay, (5) marly limestone, (6) limestone

erosional and aggradational episodes, as already testified within adjacent river basins in central Apennines (Aringoli et al. 2014; Fubelli et al. 2014).

The geomorphological setting of the western portion of the area is characterized by deeply incised, mainly V-shaped valleys and diffuse erosional landforms such as sub-vertical rocky cliff and ridges, which are particularly prone to landslides.

The remaining part of the area is divided by the Tronto River trunk-valley and presents a morphological asymmetry due to different characteristics of the outcropping bedrock (Fig. 1). The left valley-side, mostly carved into the arenaceous member of the Laga Formation, is featured by steep or sub-vertical slopes, ridges and V-shaped incised valley often interrupted, at various heights, by fluvial terraces and terrace-like morphologies of different origin. The opposite valley-flank, where the mostly pelitic member of the Laga Formation crops out, shows a gentle morphology and a quasi-continuous vegetation cover.

The Municipality of Accumoli is affected by several typologies of landslides mainly including roto-translational slides involving both rock masses as well as soils and rock-falls (sensu Hungri et al. 2014).

The mostly pelitic flysch of the Laga Formation is most affected by instability, since the presence of low shear strength pelitic interlayers, is the main predisposing factor to landslides activation. The strata attitude has great influence on the hillslope erosion, which is characterized by steeper anti-dip slopes with respect to dip slopes. These geological conditions represent a preparatory factor for planar sliding of rock blocks (Cacciuni et al. 1995).

3 Materials and methods

3.1 The PARSIFAL method

The PARSIFAL method deals with the analysis of both re-activation of pre-existing landslides and the occurrence of first-time failures (Martino et al. 2018). First-time failures occur on slopes previously not affected by instabilities, while re-activation refer to already existing landslide that can be affected by further displacements. Both processes are triggered by either external or internal forces, such as seismic action and pore pressures increase related to intense rainfall events, respectively. Specifically, PARSIFAL analyzes re-activations of roto/translational slides and first-time failures that fall into two main categories: rock-slope failures and shallow earth-slides. To properly address the first stage (slope analysis) of the methodology, field surveys are of crucial importance to inventory already existing landslides and characterize rock masses and shallow deposits to assess their proneness to first-time failures.

The main output of PARSIFAL consists in a complete mapping of the probability of exceedance of earthquake-induced landslide displacement in respect to an assumed threshold, for different scenarios (i.e., return times of seismic hazard and saturation conditions).

PARSIFAL is a sequential procedure based on the following three main steps (Fig. 2):

Step 1 Slope Analysis (SA)—The territory is analyzed in terms of landslide susceptibility, regardless the dynamic input. As above mentioned, the methodology aims at analyzing the most common co-seismic landslides: re-activation of already existing roto/

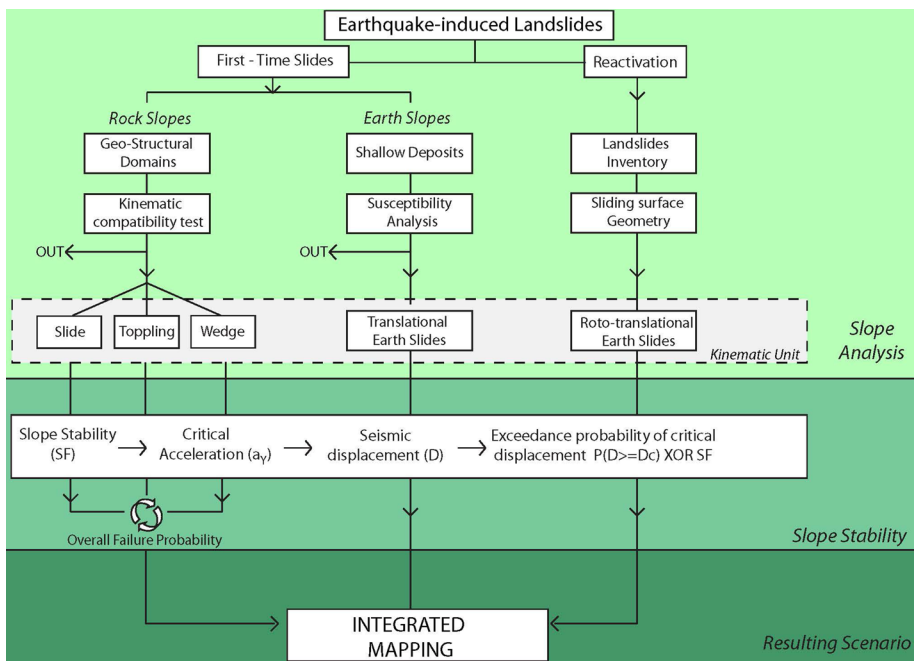


Fig. 2 Flow chart illustrating the multi-step PARSIFAL approach

translational landslides, first-time rock-slope failures and newly generated shallow landslides of soil covers over the bedrock.

The susceptibility to re-activation is assessed by detecting and inventorying the already existing landslides which, in terms of GIS analysis, are mapped as polygons (Fig. 3). For first-time rock failures, the procedure is more articulated. In a first instance the territory is divided by identifying, on the basis of field surveys and related geo-structural and geomechanical measurements, zones that are homogeneous in terms of lithology and structural features (homogeneous geo-structural zones—HGZ). Each zone is then featured by a specific geometric pattern of discontinuity sets, that are in turn characterized in terms of geomechanical properties. The HGZs are then partitioned into a grid of square cells. A test of kinematic compatibility to planar/wedge sliding and toppling is performed by GIS routines on a cell-by-cell basis by comparing dip and dip direction of each discontinuity sets (or trend and plunge of each line of intersection in the case of wedge mechanism) with slope and aspect of the corresponding cell, calculated starting from the available DEM (Digital Elevation Model) of the area. It is worth noting that each cell can be potentially compatible with more than one failure mechanism. With regards to first-time shallow landslides, i.e. the deposits potentially involved in shallow landslides, the proneness to failure is assessed after dividing each

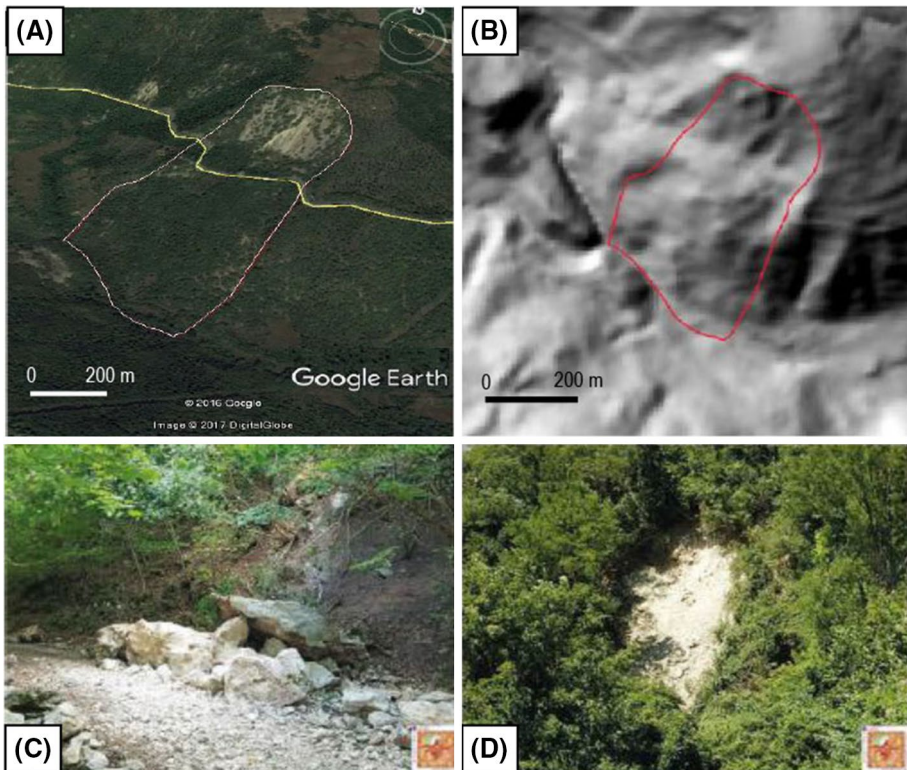


Fig. 3 Examples of landslide inventorying for PARSIFAL approach: **a** contour of landslide mass on satellite image (Google Earth), **b** contour of landslide mass on DEM, **c** picture of an inventoried earthquake-induced rock fall from the on-line CEDIT catalogue, **d** picture of an inventoried earthquake-induced rock slide from the on-line CEDIT catalogue

polygon representing a significant outcrop of soil cover into Unique Condition Units, i.e. sub-areas characterized by specific classes of slope exposure and lithology. The proneness of each UCU to landslides is then assessed by applying heuristic or quantitative susceptibility methods depending on data availability.

The territorial units resulting as susceptible to failure (grid cells in HGZs and UCU) or to co-seismic further displacements (polygons representing the inventoried existing landslides) are analyzed in the next step.

Step 2 Slope Stability (SS)—This step consists in the assessment of the actual potential of failure/re-activation under combined seismic and saturation loading of the areas resulted prone to failure in the previous phase. Specifically, this step aims at the computation of an exceedance probability of co-seismic displacements based on an assumed failure threshold and evaluated by the Newmark (1965) approach, i.e. considering a critical pseudostatic acceleration, a_y , as unelastic displacement threshold. The degree of mobility is expressed by the exceedance probability of a critical displacement ($P[D \geq D_{cla}(t), a_y]$) responsible of the slope collapse, which can be assumed according to literature, as specified in the following. To calculate the degree of stability of landslides under seismic conditions, the input data considered are natural accelerometric records selected considering the seismological characteristics of the most severe seismogenic source among the ones that contribute to the seismic hazard of the site.

In case that the failure displacement threshold (a_y) is not exceeded, a safety factor (SF) is computed as to provide a stability level of the slope. The SF is computed according to Limit Equilibrium Methods, selected on the basis of the landslide shape H/L (where H is the depth and L is the length of the landslide mass). In particular, according to Dewitte and Demoulin (2005): infinite slope method was adopted in case of $H/L < 0.01$, the Janbu (1973) method was applied for slides characterized by $0.01 \leq H/L \leq 0.1$, i.e. with a mainly translational mechanism, the Bishop (1955) method was applied for a roto-translational mechanism (where $H/L > 0.1$). Based on the previous reported definitions, three possible conditions can be expected by the computing: 1) for $a_y = 0$ and $SF \leq 1$ then $P[D \geq D_{cla}(t), a_y] = 1$; 2) for $a_y > PGA$ and $SF > 1$ then $P[D \geq D_{cla}(t), a_y] = 0$; 3) for $a_y \leq PGA$ and $SF \leq 1$ then $0 < P[D \geq D_{cla}(t), a_y] < 1$.

Step 3 Resulting scenario (RS)—This step aims at providing, for each considered scenario of combined seismic and saturation conditions, a synthetic and integrated GIS mapping of the slope stability results. The scenario map shows the different territorial units defined as elementary areas able to represent the slope stability results in terms of either failure exceedance probability or safety factor. To provide a unique value of $P[D \geq D_{cla}(t), a_y]$ into the same cell unit, the following criteria were assumed as reported in Martino et al. (2017): (1) for the same mechanism, the $P[D \geq D_{cla}(t), a_y]$ computed for different block volumes are solved in a unique value by performing a weight averaging; (2) combined probability is computed for each different mechanism (i.e., toppling, wedge sliding, planar sliding) using the probability values computed for each block volume; (3) in case that only SF values are computed for each block volume and landslide mechanism the lowest SF value is attributed to the cell unit, adopting a conservative solution. More in particular, in case of simultaneous presence of n -unstable blocks with $P(D \geq D_c) > 0$ for the same type of instability, the weighted exceedance probability, $P(D \geq D_c)_w$, is computed on the basis of the mobilized block volumes, according to the formula:

$$P(D \geq D_c)_w = \frac{\sum_{i=1}^n P(D \geq D_c)_i \cdot V_i}{\sum_i V_i} \quad (1)$$

where $P(D \geq D_c)_i$ is the probability of exceedance $P[D \geq D_{cla}(t), a_y]$ of the i th rocky block size and V_i its volume.

In case more than one type of instability can occur, the overall failure probability is computed, according to the total probability theorem:

$$P_f = 1 - \prod_j (1 - P(D \geq D_c)_j) \quad (2)$$

where $P(D \geq D_c)_j$ is the exceedance probability $P[D \geq D_{c,i}(t), a_y]$ of the j th type of instability (weighted, if any).

3.2 From seismic inputs to exceedance probability

In order to define a set of natural accelerometric records representative of the local expected ground-motion to be used in the evaluation of co-seismic displacements by Newmark (1965) approach, a constraint imposed by the general activity of level 3 SM in the Central Italy area struck by 2016–17 seismic sequence (ODPCM 24/2017) has been adopted.

In detail, Luzi (2017) defined the seismic hazard level for the municipality area of Accumoli, according to the Italian national seismic map (Montaldo et al. 2007) and the Italian building code (NTC08—CS.LL.PP. 2008), for the selection of 7 natural accelerometric time-histories to be used as seismic input for local seismic response analysis by 1D and 2D engineering-geology numerical models.

The accelerometric records have been selected by the REXELite code (Iervolino et al. 2011) as to obtain time-histories spectrum-compatible with a target response spectrum evaluated for a Return Time of 475 years (i.e. a 10% exceedance probability within a reference time period of 50 years; obtained $PGA = 0.26$ g), a Eurocode 8 site ground type “A” (hard rock/rock or $V_{s30} \geq 800$ m/s) and ground “flat” condition (i.e., slope angle $< 15^\circ$). Further seismological parameters has been set in: (1) magnitude range $5.2\text{--}6.2 M_W$ or M_L ; (2) seismic source-site maximum distance 30 km; focal mechanism “normal”. The selection has been performed on the INGV Italian ACcelerometric Archive (Luzi et al. 2008) increased with the records obtained by the temporary accelerometric network installed during the 2016–2017 Central Italy seismic sequence.

To increase the set of accelerograms to be used for the computation of the probability distributions of co-seismic Newmark’s displacements, a further selection was performed (Martino et al. 2018) by querying additional accelerometric databases like ESM-Engineering Strong-Motion database release 1.0 (Luzi et al. 2016) or Japanese NIED strong-motion seismograph networks (website: <http://www.kyoshin.bosai.go.jp/>). The previously mentioned selection criteria are extended to: (1) a Eurocode 8 site ground type “A” and “B”, which are the most representative for the thickness as well as for the geotechnical and geophysical parameters of the deposits outcropping in the study area; (2) “free-field” or “ground” recording-sites; iii) Focal mechanism “normal” or “normal-oblique”, i.e. in the rake interval $-20^\circ\text{--}70^\circ$ (normal left-lateral oblique); $-70^\circ\text{--}110^\circ$ (normal); $-110^\circ\text{--}160^\circ$ (normal right-lateral oblique); iv) hypocentral depth $h \leq 30$ km. Additional constraints involved the selected time-histories in term of: PGA which must fulfill the condition $0.67 \leq PGA \leq 1.5$ of local seismic hazard; Arias intensities (in base-10 logarithm values) lying inside the 95% confidence interval of that computed from the selected records; shape similarity of response spectra compared to the target one, evaluated for a Return Time of 475 years, for different period intervals. Finally, the resulting time-histories are scaled to the local seismic hazard PGA , namely 0.26 g.

Considering both the selection criteria, a set of 25 natural accelerometric records is collected (Fig. 4a) and used for the evaluation of Newmark (1965) co-seismic displacements.

Assuming a critical displacement threshold of 10 cm for earth-slides and 5 cm for rock-slides, according to Romeo (2000), the probability of exceedance $P[D \geq D_{cl}(t, a_y)]$ for each landslide or instability area can be evaluated

In detail, for every local ground instability, the pseudo-static critical acceleration (a_y) evaluated at different saturation conditions allow the identification of accelerations which can induce a co-seismic displacement. By a double-integration of time-history accelerations overcoming the a_y acceleration, a cumulative co-seismic displacement is calculated (Fig. 4b). In this way, a set of 25 maximum cumulative co-seismic displacement values is obtained: Fig. 4c shows the distribution of relative frequencies of obtained maximum displacements, in terms of base-10 logarithm, compared as example with the x-axis position of the critical displacement threshold for rock-slides.

It is therefore assumed that the co-seismic displacements obtained by applying the Newmark’s method to the selected time-histories are distributed according to a normal distribution characterized by an average (μ):

$$\mu = \frac{\sum_{i=1}^{Nth} \log_{10}(Sp_i)}{N} \tag{3}$$

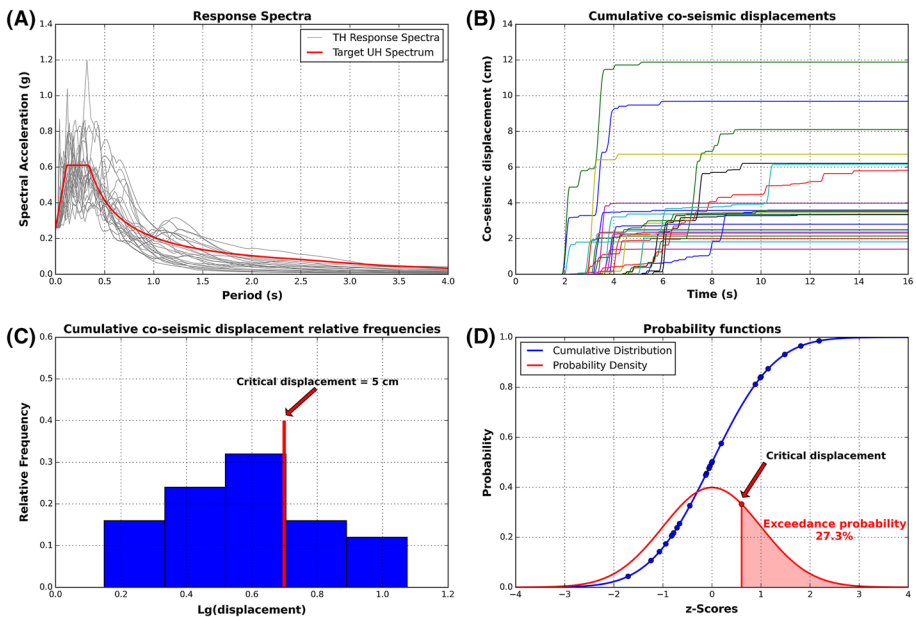


Fig. 4 Procedure and data used for the assessment of exceedance probability in an example instability (herein rock-slide): **a** response spectra of selected input time-histories (thin grey lines) compared with the target response spectrum (bold red line), **b** cumulative co-seismic displacements evaluated by Newmark (1965) by an example a_y pseudo-static critical acceleration, **c** distribution of relative frequencies of maximum displacements, in terms of base-10 logarithm, compared with the x-axis position of the critical displacement threshold (5 cm for rock-slide), **d** probability functions from maximum cumulative displacements distribution: in blue the Cumulative Distribution Function with overlapped the probability of each maximum co-seismic displacement, in red the Probability Density Function of obtained standard normal distribution compared with the probability of the critical displacement threshold expressed in standard unit (z-Score) and (light red area) the resulting exceedance probability

and a standard deviation (σ):

$$\sigma = \sqrt{\frac{\sum_{i=1}^{Nth} [\log_{10}(Sp_i) - \mu]^2}{N(N - 1)}} \tag{4}$$

where N is the number of selected time-histories and $\log_{10}(Sp_i)$ is the base-10 logarithm of i -th maximum cumulative co-seismic displacement.

The probability of occurrence of a critical displacement calculated by means of the standard normal distribution (i.e. with mean $\mu=0$ and standard deviation $\sigma=1$) is given by the equation:

$$Prob(x) = 1 - \left(\int_{-\infty}^{SpCr} \frac{1}{\sqrt{2\pi}} e^{-\frac{1}{2}z^2} dz \right) \tag{5}$$

where x is the base-10 logarithm of critical displacement, $\log_{10}(SpCr)$, and z is the critical displacement expressed in standard unit (z-Score):

$$z = \frac{[\log_{10}(SpCr) - \mu]}{\sigma} \tag{6}$$

Therefore, for a given local ground instability and pseudo-static critical acceleration (a_y) evaluated at different saturation conditions, the exceedance probability of a critical displacement (Fig. 4d) is obtained from the equation:

$$P[D \geq Dc | a(t), a_y] = 1 - Prob(x) \tag{7}$$

4 Results

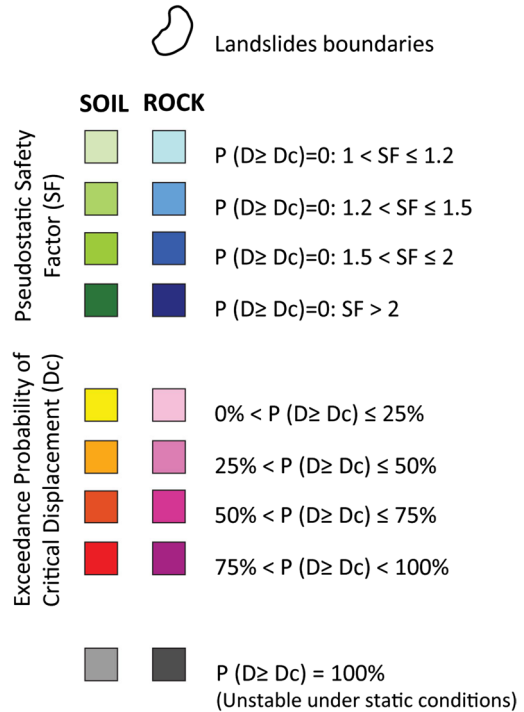
For both first-time failures and re-activations the Newmark (1965) co-seismic displacements were computed by using the selected time histories and by considering the previously derived a_y values for each typical rock block and earth-slide mass at different saturation conditions. Following this approach, the landslide mass is considered like a rigid block sliding on an inclined plane (in case of planar sliding) or on a curved surface (in case of roto-translational slides) as well as on an intersection line (in case of wedge sliding). For the rock blocks, only toppling mechanism was also accounted for and driving forces are considered to produce an overturning moment. Each block has a_y values which represents the threshold seismic acceleration required for triggering the landslide failure. Thematic maps were obtained through GIS by synthetically reporting the slope stability conditions under dynamic action as well as the probability of exceedance of the critical displacement referred to scenarios of different saturation conditions.

Each territorial unit corresponding to a landslide mass or to a landslide prone area was classified with a color scale corresponding to the computed probability of exceedance (Fig. 5).

In case of null probability (i.e. no statistic of Newmark displacement computed) the resulting safety factor was attributed to the corresponding territorial unit. As it resulted by the PARSIFAL application to Accumoli area, up to 2% of the entire municipal territory correspond to already unstable or landslide-prone areas. Within this area, 59% is

Fig. 5 Legend to the PAR-SIFAL output: the colour scales correspond to different $P[D \geq D_{cla}(t), a_y]$ values for soil and rock landslides respectively

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represented by first-time landslides (33% rock mass failures and 26% shallow debris covers) and 41% correspond to re-activation of already existing landslides.

In the dry condition RS (Fig. 6) for the first-time landslides in rock mass, 17% are unstable and in no case has a probability of exceedance been obtained ($0 < P[D \geq D_{cla}(t), a_y] < 1$). The first-time landslides in shallow debris cover are stable for the 99% while for the 1% a ($0 < P[D \geq D_{cla}(t), a_y] < 1$) was computed. Among the already existing landslides, the 46% have a ($0 < P[D \geq D_{cla}(t), a_y] < 1$) and the 8% are unstable ($P[D \geq D_{cla}(t), a_y] = 1$).

In the middle saturation RS (Fig. 7) for the first-time landslides in rock mass, 83% are unstable and in no case has a probability of exceedance been obtained ($0 < P[D \geq D_{cla}(t), a_y] < 1$). Among the first-time landslides, in shallow debris cover it was obtained a probability of exceedance ($0 < P[D \geq D_{cla}(t), a_y] < 1$) for the 41%. For already existing landslides, 29% are unstable ($P[D \geq D_{cla}(t), a_y] = 1$) and it was obtained a probability of exceedance ($0 < P[D \geq D_{cla}(t), a_y] < 1$) for the 42%.

In the full saturation scenario (Fig. 8), due to the severity of the assumed condition, for all the shallow debris cover susceptible for first-time landslides was obtained a probability of exceedance ($0 < P[D \geq D_{cla}(t), a_y] < 1$) while the results for first-time landslides in rock mass do not change respect to the middle saturation scenario. Among the already existing landslides the 58% became unstable ($P[D \geq D_{cla}(t), a_y] = 1$) and for the 17% probability of exceedance ($0 < P[D \geq D_{cla}(t), a_y] < 1$) have been computed.

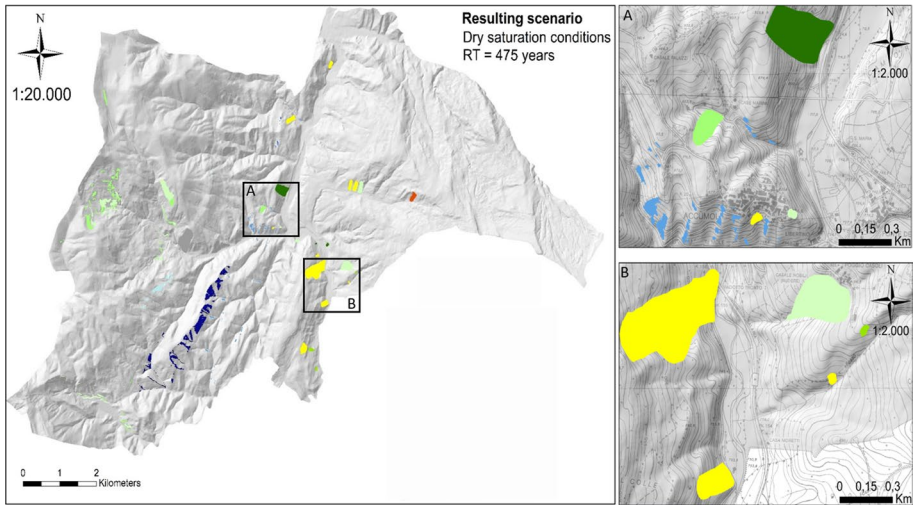


Fig. 6 Resulting scenario from PARSIFAL referred to dry conditions (see Fig. 6 for legend), the zoomed excerpts are details of the mapping

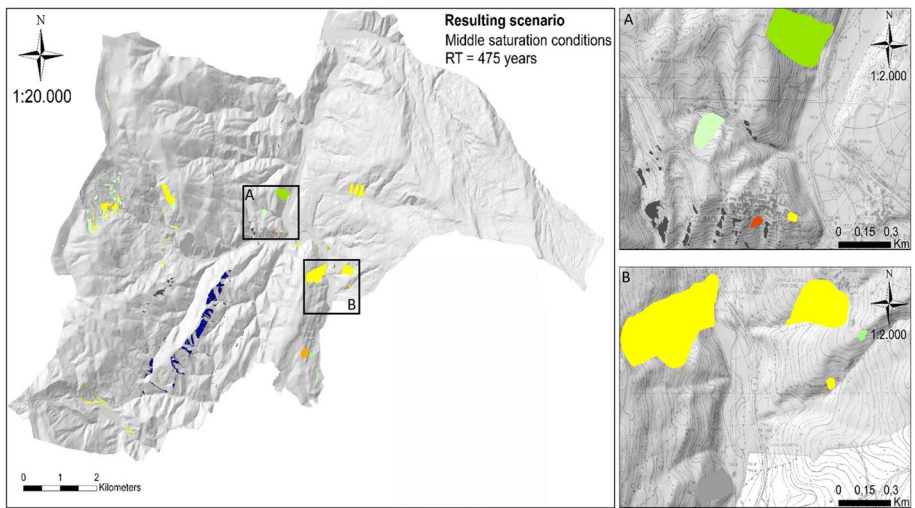


Fig. 7 Resulting scenario from PARSIFAL referred to middle-saturation conditions (see Fig. 6 for legend), the zoomed excerpts are details of the mapping

5 Discussion

Following the DPC guidelines (ICMS 2008; CTMS, 2017) referred to landslides, the Zones of Attention (ZA_{FR}) are areas in which the available data indicate the presence of conditions predisposing the slope failures but are not sufficient to define if instability can actually occur in case of earthquake; nevertheless, through the consultation of inventories like the on-line CEDIT catalogue (Martino et al. 2014; Martino 2017—www.ceri.it).

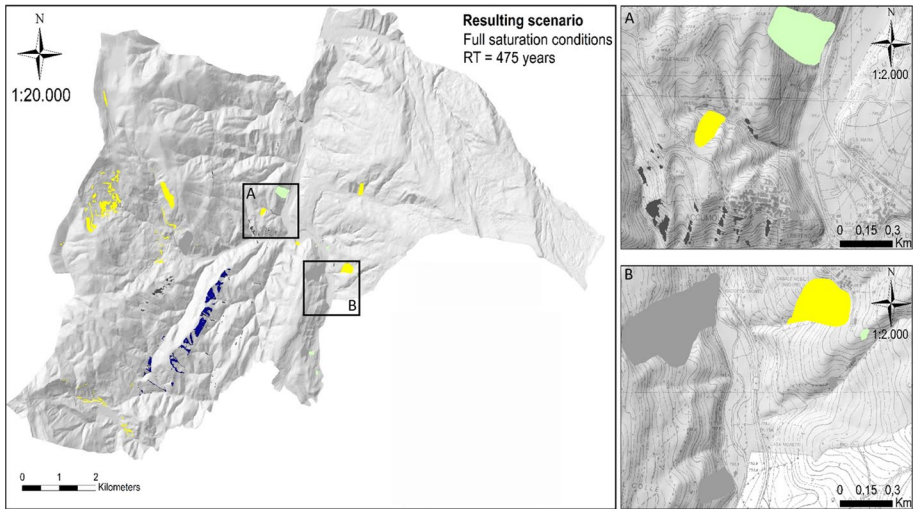


Fig. 8 Resulting scenario from PARSIFAL referred to full saturation conditions (see Fig. 6 for legend), the zoomed excerpts are details of the mapping

uniroma1.it/index.php/web-gis/cedit), it is possible to document the occurrence of landslide events during historical earthquakes.

Susceptibility Zones (ZS_{FR}) are areas to which it is possible to attribute a non-null earthquake-induced hazard through simplified methods of analysis.

Respect Zones (ZR_{FR}) are areas in which it is possible to quantify the caused danger as well as the involvement area due to an earthquake-induced landslide through quantitative approaches. The identification of the ZA_{FR} allows to perform, in a subsequent level of analysis, a “screening” operation that output from the mapped areas (including the already unstable and the potentially unstable ones) those that can be activated in case of earthquake by the use of simplified methods (pseudo-static). The landslide areas that should be unstable in case of earthquake occurrence will constitute the ZS_{FR} dataset and its related mapping. Only more specific and in-depth studies will lead to verify the specific stability conditions of the landslide slopes leading to obtain the ZR_{FR} map starting from the ZS_{FR} one. The application of the PARSIFAL methodology has made it possible to define scenario maps referring to different conditions of debris and cover saturation. In particular, the methodology aimed at deducting the ZS_{FR} map starting from the scenario maps initially obtained; they were taken into account all the results obtained in the three considered scenarios reconstructed by PARSIFAL, therefore as the saturation conditions range from zero to the admissible maximum, the final susceptibility was attributed through a weighting of the probabilities of exceedance resulting from all the reconstructed scenarios.

If in two of the three considered saturation scenarios the probability of exceedance was non-zero, i.e. a statistic for the earthquake-induced displacements was calculated, the ZA_{FR} was converted into a ZS_{FR} and deemed to be subject to further in-depth studies for evaluating its admissibility as ZR_{FR} , i.e. for the purposes of planning engineering designs to be provided.

Expansion areas (AE) with a buffer of 10 meters around the landslide, following the DPC recommendations (ICMS 2008; CTMS 2017), have been defined only for already

existing landslides, since in case of first-time debris slides the distribution of activity (sensu Varnes 1978) cannot be attributed.

In case of rock slides and toppling, the AE were defined according to the shadow cone approach (as suggested by ICMS 2008), involving the maximum distances that could be reached by the detached blocks (runout distance). The shadow cones were defined through the Conefall 1.0 software (<http://conefall.software.informer.com/1.0/>), which allows to obtain an estimate approximate area of invasion of an unstable block from the local DEM.

Through the performed application PARSIFAL proved to be a valid methodological approach to make the screening between ZA_{FR} and ZS_{FR} (Fig. 9), as required by the DPC guidelines with the advantages:

- (1) to return the set of ZF (already existing landslides) and ZPF (first generation landslides) that can be integrated with the landslide bodies already registered by the IFFI (Inventario dei Fenomeni Franosi in Italia—<http://www.isprambiente.gov.it/it/progetti/suolo-e-territorio-1/iffi-inventario-dei-fenomeni-franosi-in-italia>) and PAI (Piani di Assetto Idrogeologico - http://www.regione.lazio.it/prl_ambiente) catalogues, assigning to each identified unstable area a level of hazard, expressed as the exceedance probability with respect to a trigger threshold;

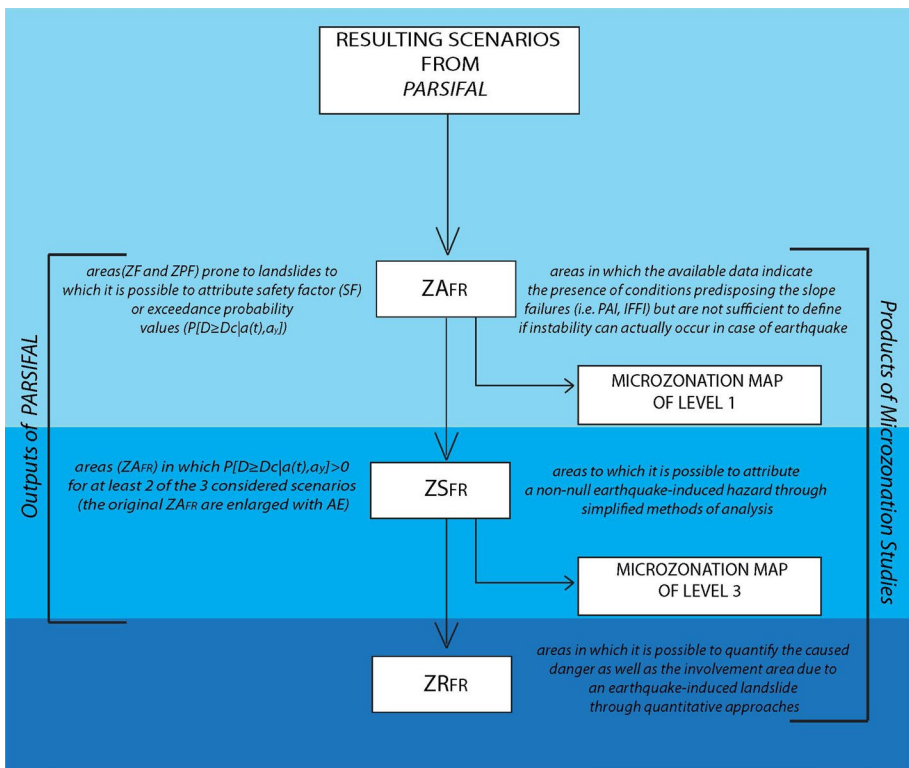


Fig. 9 Flow chart illustrating the link between the outputs from PARSIFAL and the products of level 3 SM (following ICMS 2008)

- (2) to allow a screening between $Z_{A_{FR}}$ and $Z_{S_{FR}}$ based on a comparison among different analyses of scenario that take into account the variability of environmental predisposing conditions (specifically the saturation of land and cover);
- (3) to be flexible with respect to specific needs related to the SM activities envisaged by ODPCM 24/2017, including in particular: i) the preservation of areas already bound by the PAI among the $Z_{S_{FR}}$ (regardless of scenario solutions); ii) the pre-selection of ZPF areas by means of dimensional threshold spatial filters, commensurate with the size of the building and therefore of the vulnerable elements; iii) the epistemic error due to the variability/uncertainty of mechanical parameters values that could be taken into account through parametric analyses.

More in detail, for the Municipality of Accumoli, based on the results obtained by the screening performed through PARSIFAL, the $Z_{S_{FR}}$ result as sub-dataset of the $Z_{A_{FR}}$ (Fig. 10). By comparing the total area of the $Z_{A_{FR}}$ with the total area of the $Z_{S_{FR}}$, it results that the PARSIFAL screening led to a reduction of 22% of the mapped $Z_{A_{FR}}$.

A limitation to the current application of PARSIFAL consists in not being able to take into account the anthropic slopes coinciding with the cuts of the road trenches both for lack of resolution of the DEM used, and for the necessity to verify the suitability of the slope-to-road system to different types of failure mechanisms: (1) shallow sliding of debris covers existing upstream of the cut; (2) sliding of the road plan; (3) sliding of the slope immediately downstream of the roadway.

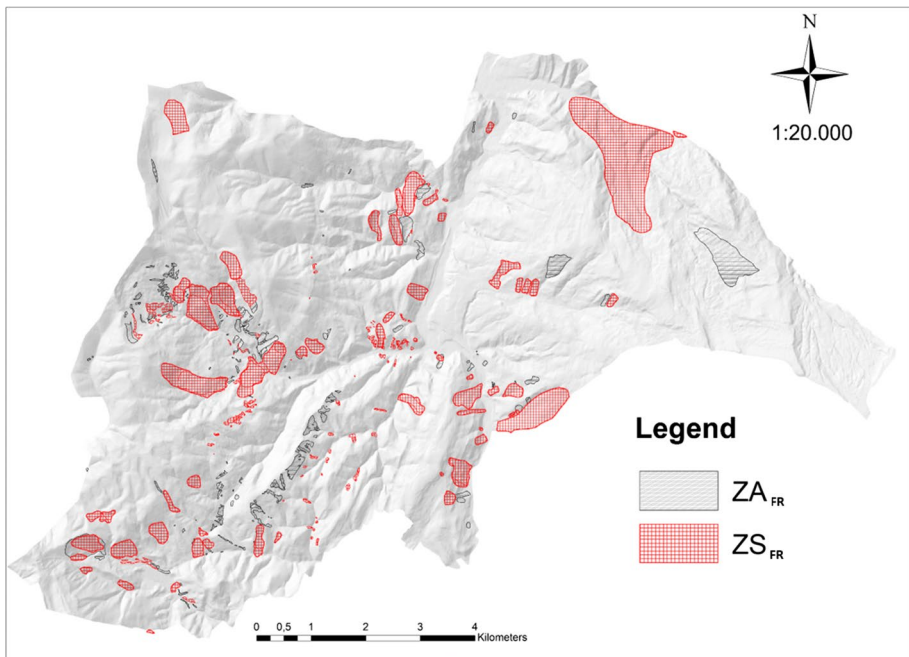


Fig. 10 Maps of $Z_{A_{FR}}$ and $Z_{S_{FR}}$. The $Z_{S_{FR}}$ map was derived after the PARSIFAL screening adding the AE to the screened $Z_{A_{FR}}$

6 Conclusions

Quantitative studies of SM provided by ODPCM 24/2017 have made it possible to test the PARSIFAL approach for the screening of landslide areas (ZA_{FR}), including the already existing landslides and potentially unstable areas, for the purpose of selecting zones susceptible to landslide (ZS_{FR}), according to the provisions of the DPC guidelines for SM studies.

To this aim, PARSIFAL has been used to compare different scenarios of earthquake-induced landslides in the Municipality of Accumoli, thus being able to weigh the predisposition to the mobilization of already unstable or prone to failure slopes (the latter both in soil and in rock) in relation to predisposing environmental conditions, in particular the saturation from zero to the maximum admissible.

Therefore, all the ZA_{FR} were considered susceptible to landslide (ZS_{FR}) if in two of the three scenarios reconstructed by the saturation conditions it was possible to calculate a non-zero probability of exceedance with respect to the assumed threshold of co-seismic displacement considered for the failure. The ZS_{FR} also included all the areas already subject to hydrogeological restrictions by the current technical legislation (PAI).

In perspective, PARSIFAL can be considered a valid screening tool between ZA_{FR} and ZS_{FR} with the advantage of being able to be applied to extended territorial scales and with the possibility to be implemented in the future for taking into account anthropic cuts, i.e. in correspondence with the road trenches where, based on the evidence gathered during the seismic crisis of 2016–2017 in the Central Apennines, the highest percentage of landslide rock falls occurred.

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