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Comment on "Do Earthquakes Exhibit Self-Organized Criticality?"

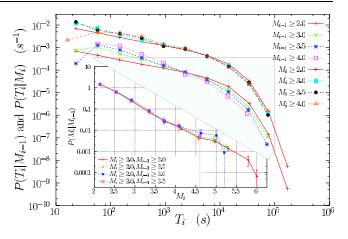
HISICAL KEVIEW

In a recent Letter, Yang *et al.* [1] study the interesting problem of the temporal structure of seismicity and its relation with self-organized criticality (SOC), finding that the reshuffling of earthquake magnitudes changes the shape of the earthquake recurrence time (or first-return-time) distribution when the low-magnitude bound, M_c , is raised. Subsequently, they conclude that it is not true that *an earthquake cannot "know" how large it will become.* First, we show that this implication is unjustified.

Yang et al. have in mind a fully uncorrelated temporal point process with independent magnitudes as a picture of SOC systems. It is obvious, by construction, that this model is invariant under random rearrangements of the data; as Yang et al. do not find this invariance in Southern California, they claim that "earthquakes do not happen with completely random magnitudes" and therefore they are not a SOC phenomenon. In fact, the only conclusion that can be drawn from this is that the seismicity time series is not uncorrelated, and there exists some dependence between magnitudes and recurrence times. [This conclusion can be obtained directly, from the fact that a scaling law exists for the recurrence-time distributions corresponding to different low-magnitude bounds, with a scaling function that is not a decreasing exponential (characteristic of a Poisson process, the only uncorrelated process that verifies a scaling law) [2,3].]

The existence of correlations means that, for a given event *i*, its magnitude M_i may depend on the magnitude of the immediate previous event, M_{i-1} , as well as on the backwards recurrence time, $T_i = t_i - t_{i-1}$, with t_i and t_{i-1} the time of occurrence of both events. This dependence can be extended as well to T_{i-1} , M_{i-2} , T_{i-2} , etc. But further, the recurrence time to the next event, T_{i+1} , may depend on the previous magnitudes M_j and recurrence times T_j , $j \leq i$. The reshuffling of magnitudes performed in Ref. [1] breaks (if they exist) the possible correlations of M_i with the previous magnitudes and recurrence times, and the correlations of T_{i+1} with the previous magnitudes (but not with the previous recurrence times). Therefore, any of the influences $M_{i-1} \rightarrow M_i$, $T_i \rightarrow M_i$, or $M_i \rightarrow T_{i+1}$ may be responsible for the results of Yang *et al.*.

The most direct way to test the dependence of a given variable, in this case M_i , with another variable X, is to measure the probability density of X conditioned to different values of M_i , $P(X|M_i)$, and compare with the unconditioned probability density of X, P(X). This is what Fig. 1 displays, using $X = T_i$ and $X = T_{i+1}$ [note that $P(T_{i+1}|M_i) \equiv P(T_i|M_{i-1})$], for Southern California [1], but restricted to periods of stationary seismicity (otherwise, strong aftershock sequences are more sensitive to catalog incompleteness). As $P(T_i|M_i)$ remains practically unchanged for different sets of values of M_i , temporal causality leads to the conclusion that M_i is independent of T_i .



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FIG. 1 (color online). (a) Probability densities $P(T_i|M_{i-1})$ (with $M_i \ge 2$) and $P(T_i|M_i)$ (with $M_{i-1} \ge 2$, shifted one decade upwards), compared to $P(T_i)$ (given by $M_i \ge 2$ and $M_{i-1} \ge 2$), for the period May 1994–July 1999. Inset: Probability densities $P(M_i|M_{i-1})$, with $M_i \ge 2$ and $T_i > 1800$ s, compared to $P(M_i)$ (given by $M_{i-1} \ge 2$) for several stationary periods.

In contrast, T_{i+1} clearly depends on M_i , as $P(T_{i+1}|M_i)$ changes for different sets of values of M_i . In other words, the larger the magnitude M_i , the shorter the time to the next event T_{i+1} , but the value of this time has no influence on the magnitude of the event, M_{i+1} . On the other hand, the inset of Fig. 1 shows that $P(M_i|M_{i-1})$ turns out to be not significantly different from $P(M_i)$, ensuring the independence of M_i and M_{i-1} , $\forall i$, if the T_i 's are restricted to be larger than 30 min (shorter periods of time are not reliable, due to data incompleteness). So, when an earthquake starts, its magnitude is undetermined (from the information available at the catalogs).

A second point to clarify is the identification of SOC with the total absence of correlations. Indeed, the Bak-Tang-Wiesenfeld model displays an exponential distribution of recurrence times, but SOC is much more diverse than this model; other models have different recurrence-time distributions. Finally, the concept of SOC (as it happens with chaos) does not exclude the possibility of some degree of prediction, as some references in Yang *et al.* [1] show. So, nothing in Ref. [1] is against the SOC picture of earthquakes.

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