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2 Original Article

3 LRH: J. D. DiBattista *et al.*

4 RRH: Colour patterns and phylogeography of *Chaetodon auriga*

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6 **Blinded by the bright: A lack of congruence between colour morphs, phylogeography and**  
7 **taxonomy for a cosmopolitan Indo-Pacific butterflyfish, *Chaetodon auriga***

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26 **ABSTRACT**

27 **Aim** We assess genetic differentiation among biogeographical provinces and colour morphs of the  
28 threadfin butterflyfish, *Chaetodon auriga*. This species is among the most broadly distributed  
29 butterflyfishes in the world, occurring on reefs from the Red Sea and western Indian Ocean to French  
30 Polynesia and Hawai‘i. The Red Sea form lacks a conspicuous “eye-spot” on the dorsal fin, which may  
31 indicate an evolutionary distinction.

32

33 **Location** Red Sea, Indian Ocean and Pacific Ocean.

34

35 **Methods** Specimens were obtained at 17 locations ( $N = 358$ ) spanning the entire range of this species.  
36 Genetic data include 669 base pairs of mitochondrial DNA (mtDNA) cytochrome *b* and allele  
37 frequencies at six microsatellite loci. Analysis of molecular variance, STRUCTURE plots, haplotype  
38 networks and estimates of population expansion time were used to assess phylogeographical patterns.

39

40 **Results** Population structure was low overall, but significant and concordant between molecular markers  
41 (mtDNA:  $\Phi_{ST} = 0.027$ ,  $P < 0.001$ ; microsatellites:  $F_{ST} = 0.023$ ,  $P < 0.001$ ). Significant population-level  
42 partitions were only detected at peripheral locations including the Red Sea and Hawai‘i. Populations in  
43 the Red Sea and Socotra are older (111,940 to 223,881 years) relative to all other sites (16,343 to 87,910  
44 years).

45

46 **Main conclusions** We find little genetic evidence to support an evolutionary partition of a previously

47 proposed Red Sea subspecies. The oldest estimate of population expansion in the Red Sea and adjacent  
48 Gulf of Aden indicates a putative refuge in this region during Pleistocene glacial cycles. The finding of  
49 population separations at the limits of the range, in the Red Sea and Hawai'i, is consistent with  
50 peripheral speciation.

51

## 52 **Keywords**

53 **Coral reef fish, marine biogeography, microsatellite, mitochondrial DNA, population expansion**  
54 **time, subspecies**

55

## 56 **INTRODUCTION**

57 Colouration plays an important role in the taxonomic classification of reef fishes and is frequently the  
58 sole character used to distinguish closely related species. Its evolutionary significance, however, is  
59 uncertain (McMillan *et al.*, 1999; Bernardi *et al.*, 2002), since colour variation can be a result of  
60 phenotypic plasticity rather than reproductive isolation (Grady & Quattro, 1999). In addition,  
61 colouration may evolve faster than morphological and genetic characters (Schultz *et al.*, 2007).

62 Colour polymorphisms within the same species are relatively common (e.g. brown dottyback,  
63 Messmer *et al.*, 2005) and several mechanisms have been proposed to explain their existence. Many reef  
64 fishes are distinguished primarily by colour, yet colouration is not necessarily a species-specific  
65 diagnostic character, particularly for widespread species (flame angelfish, Schultz *et al.*, 2007; King  
66 Demoiselle, Drew *et al.*, 2008). In some cases there is greater concordance between genetics and  
67 geography than between genetics and colouration (McMillan & Palumbi, 1995; DiBattista *et al.*, 2012a).

68 Like many reef fishes, the butterflyfishes (family: Chaetodontidae) have a spectacular variety of  
69 colour patterns, and there appears to be a link between species diversification and colour variation

70 (Blum, 1989). The significance of colour in this group, however, must be interpreted with caution. For  
71 example, McMillan *et al.* (1999) observed colour pattern evolution associated with genetic divergence in  
72 *Chaetodon multicinctus*, a Hawaiian endemic, but not in its two sister species (*C. punctatofasciatus* and  
73 *C. pelewensis*) distributed across the Indo-West Pacific.

74 The threadfin butterflyfish, *Chaetodon auriga* Forsskål, 1775, is among the most widespread reef  
75 fishes on the planet, occurring from Hawai‘i and French Polynesia to the Red Sea. One colour morph  
76 restricted to the Red Sea (and almost exclusively in the northern and central regions) lacks the  
77 conspicuous “eye-spot” or ocellum on the soft dorsal fin (Fig. 1). Consequently, the Red Sea population  
78 was recognized as a subspecies (*C. auriga auriga*; Allen, 1979). All other individuals (those with the  
79 dark spot), including those in Socotra and Oman just outside the Red Sea, are assigned to *C. auriga*  
80 *setifer* (Allen, 1979). This distinction is notable because the threadfin butterfly has a relatively high  
81 dispersal potential, with a pelagic larval duration (PLD) of 40 to 53 days (Leis, 1989). It is also  
82 interesting given that isolated peripheral reef habitats (like the Red Sea) may be sources of evolutionary  
83 novelty and contribute marine biodiversity to the broader Indo-West Pacific (Bowen *et al.*, 2013).

84 Preliminary genetic comparisons between *C. auriga* in the Red Sea and Western Indian Ocean  
85 (WIO) detected mtDNA haplotype frequency differences between these two regions, although they were  
86 only marginally significant (DiBattista *et al.*, 2013). The Red Sea also had low genetic diversity  
87 compared to WIO sites (e.g. Seychelles and Diego Garcia). Based on these findings, our goals are to:

- 88 1) Characterize genetic diversity across the species range to resolve demographic histories, with  
89 particular emphasis on the Red Sea;
- 90 2) Define population genetic structure across the range to resolve the relationship between genetic  
91 partitions and biogeographical provinces.

92

## 93 MATERIALS AND METHODS

### 94 Sample collection

95 We collected 358 *C. auriga* tissue samples (fin clip or gills) at 17 sites while scuba diving or snorkeling  
96 between 2006 and 2011 (Fig. 1). Tissues were preserved in a saturated salt-DMSO solution, total  
97 genomic DNA was extracted using a “HotSHOT” protocol (Meeker *et al.*, 2007) and samples  
98 subsequently stored at -20 °C.

99

### 100 Mitochondrial DNA sequencing

101 A 669 base pair (bp) segment of the mtDNA cytochrome *b* (*cyt b*) gene was resolved using heavy-strand  
102 (5' - GTGACTTGAAAAACCACCGTTG - 3'; Song *et al.*, 1998) and light-strand primers (5' -  
103 AATAGGAAGTATCATTCGGGTTTGATG - 3'; Taberlet *et al.*, 1992). Polymerase chain reaction  
104 (PCR) conditions and product visualization followed protocols described in DiBattista *et al.* (2013). All  
105 samples were sequenced in the forward direction with fluorescent dye terminators (BigDye 3.1, Applied  
106 Biosystems Inc., Foster City, CA, USA) and analyzed using an ABI 3130XL Genetic Analyzer (Applied  
107 Biosystems). The sequences were aligned, edited and trimmed to a common length using Geneious Pro  
108 4.8.4 (Drummond *et al.*, 2009); all *cyt b* sequences were deposited in GenBank (accession numbers:  
109 KM488667 to KM488795). jModelTest 1.0.1 (Posada, 2008) was used with an Akaike information  
110 criterion (*AIC*) test and the TrN model (Tamura & Nei, 1993) was selected for subsequent analyses.

111 ARLEQUIN 3.5.1.2 (Excoffier *et al.*, 2005) was used to calculate haplotype (*h*) and nucleotide  
112 diversity ( $\pi$ ), as well as to test for population structure. Genetic differentiation among sampling sites was  
113 first estimated with analysis of molecular variance (AMOVA) based on pairwise comparisons of sample  
114 groups; deviations from null distributions were tested with non-parametric permutation procedures ( $N =$   
115 99,999). Pairwise  $\Phi_{ST}$  statistics were also generated in ARLEQUIN, significance tested by permutation

116 ( $N = 99,999$ ) and  $P$ -values adjusted according to the modified false discovery rate (FDR) method  
117 (Narum, 2006). Patterns of significant genetic differentiation were congruent between FDR and more  
118 conservative methods of correction (i.e. Bonferonni; data not shown). Multiple sites within French  
119 Polynesia, the Hawaiian Islands and the Red Sea were grouped based on preliminary findings of genetic  
120 homogeneity. We used the Isolation by Distance (IBD) Web Service 3.23 to detect correlations between  
121 geographic and genetic distances (Jensen *et al.*, 2005). To mitigate any false positives, we tested: 1) the  
122 whole range, 2) the range minus Red Sea/Socotra and 3) the range minus Hawai'i.

123 Evolutionary relationships among haplotypes were estimated with an unrooted statistical  
124 parsimony network using NETWORK 4.5.1.0 ([www.fluxus-engineering.com/network\\_terms.htm](http://www.fluxus-engineering.com/network_terms.htm)) with  
125 a median joining algorithm and default settings (Bandelt *et al.*, 1999).

126 Deviations from neutrality were assessed with Fu's  $F_S$  (Fu, 1997) for each group using  
127 ARLEQUIN; significance was tested with 99,999 permutations. Negative (and significant)  $F_S$  values  
128 indicate recent population expansion or selection. Time since most recent population expansion was  
129 estimated using the parameter  $\tau$  for each group (Rogers & Harpending, 1992) by applying the equation  $\tau$   
130  $= 2\mu t$ , where  $t$  is the age of the population in generations and  $\mu$  is the mutation rate per generation for the  
131 sequence ( $\mu = \text{number of bp} \cdot \text{divergence rate within a lineage} \cdot \text{generation time in years}$ ). A range of *cyt*  
132 *b* mutation rates are available from previous fish studies: 2% per Myr between lineages or 1% within  
133 lineages (Bowen *et al.*, 2001) and 1.55% per Myr within lineages or  $1.55 \times 10^{-8}$  mutations per site per  
134 year (Lessios, 2008). While generation time is unknown for our study species, we conservatively used  
135 an estimate of 3 years based on age/size distributions for other butterflyfishes (Berumen, 2005; Craig *et*  
136 *al.*, 2010). Given that our interest lies in rank order time since expansion, rather than the absolute time  
137 values, these approximations should be precise enough to support our conclusions.

138

139 **Microsatellite genotyping and analysis**

140 Six microsatellite loci were chosen from the suite developed by Berumen *et al.* (2009) and Lawton *et al.*  
141 (2010), and validated more broadly in Chaetodontidae (Lawton *et al.*, 2011). PCR conditions and  
142 product visualization followed protocols described by Berumen *et al.* (2009). PCR products labeled with  
143 different fluorescent dyes were pooled for genotyping at equimolar concentrations using an ABI  
144 3130XL Genetic Analyzer (Applied Biosystems) along with a labeled internal size standards (LIZ-500;  
145 Applied Biosystems). Allele sizes were assigned with the Geneious Pro 5.6.7. All markers reliably  
146 amplified and product sizes were consistent with expectations (Berumen *et al.*, 2009; Lawton *et al.*,  
147 2010; Lawton *et al.*, 2011; Montanari *et al.*, 2012). A few sampling sites were not included for  
148 microsatellite analysis because of small sample size (Durban,  $N = 2$ ; Johnston Atoll,  $N = 1$ ; Madagascar,  
149  $N = 8$ ; Zanzibar,  $N = 2$ ) or inconsistent amplification (Socotra,  $N = 15$ ).

150 For each locus the mean number of alleles ( $N_A$ ), observed ( $H_O$ ) and expected ( $H_E$ )  
151 heterozygosities, Hardy-Weinberg equilibrium (HWE) and linkage disequilibrium (LD) were assessed  
152 with GENEPOP 4.2.2 and ARLEQUIN. Allelic richness was determined with FSTAT 2.9.3.2.  
153 Significance levels for multiple comparisons were adjusted using false discovery rate method (Narum,  
154 2006). MICRO-CHECKER 2.2.3 was used to identify genotyping errors including null alleles, allelic  
155 dropouts and stutter peaks (Van Oosterhout *et al.*, 2004); significance levels for multiple comparisons  
156 were adjusted using the sequential Bonferonni correction with default settings. Overall population  
157 structure and pairwise comparisons ( $F_{ST}$  calculations) were estimated with ARLEQUIN. To facilitate  
158 comparisons to other studies, an additional diversity measure, Jost's  $D$  (Jost, 2008), was estimated using  
159 SPADE (Chao *et al.*, 2008). This metric compensates for the downward bias in  $F_{ST}$  produced by within-  
160 population heterozygosity, a recurring problem with microsatellite markers (Bird *et al.*, 2011). IBD tests  
161 were further conducted on the microsatellite dataset as outlined above.

162 STRUCTURE 2.3.2 was used to assign individuals to genetic clusters (populations) without bias  
163 from geographical locations (Pritchard *et al.*, 2000). STRUCTURE uses a Bayesian approach to assign  
164 individual multi-locus genotypes to clusters ( $K$ ) by minimising deviations from Hardy-Weinberg and  
165 linkage equilibrium. The most likely number of clusters was identified by testing the probability of  $K = 1$   
166 to  $K = 12$ . Analyses were repeated five times and the results averaged. Each run consisted of 1,000,000  
167 MCMC repetitions, a burn-in of 10,000 iterations and correlated allele frequencies and admixed  
168 populations were assumed (as per DiBattista *et al.*, 2012b). STRUCTURE HARVESTER 0.6.94  
169 identified the most likely  $K$  value (genetic groups) (Evanno *et al.*, 2005; Earl & vonHoldt, 2012).

170 A discriminant analysis of principal components (DAPC; Jombart *et al.*, 2010) was also run on  
171 all loci to investigate the relationship between genotype and geographical location. The number of  
172 principal components retained for genotypic variability was equal to the number of individuals divided  
173 by three; the number of DA eigenvectors corresponded to the number of populations minus one.  
174 Although different from the admixture coefficients of STRUCTURE, DAPC can still be interpreted as  
175 proximities of individuals to different clusters based on the retained discriminant functions.

176

## 177 **RESULTS**

### 178 **Molecular characteristics**

179 Cyt *b* sequences from *C. auriga* included 33 haplotypes (4 to 10 within-sites), with haplotype and  
180 nucleotide diversity ranging from  $h = 0.20$  to  $0.86$  and  $\pi = 0.00031$  to  $0.00214$  (Table 2). Haplotype and  
181 nucleotide diversity was almost twice as high at all other sites compared to the Red Sea ( $h = 0.20 \pm 0.08$ ,  
182  $\pi = 0.00031 \pm 0.00043$ ) and French Polynesia ( $h = 0.34 \pm 0.11$ ,  $\pi = 0.00055 \pm 0.00061$ ). One of the sites  
183 with the lowest sample size in this study (Madagascar,  $N = 8$ ) was characterized by the highest genetic  
184 diversity ( $h = 0.86 \pm 0.11$ ,  $\pi = 0.00214 \pm 0.00166$ ), and the site with the largest sample size (Red Sea,  $N$



185 = 47) was characterized by the lowest genetic diversity ( $h = 0.20 \pm 0.08$ ,  $\pi = 0.00031 \pm 0.00043$ ), which  
186 indicates that differences in genetic diversity are not a result of uneven sampling. The most common  
187 haplotype was shared by 267 individuals and detected at every sampling site.

188 The mean number of alleles per microsatellite locus was 18 (range: 13 to 26 alleles), allelic  
189 richness was 4.466 (range: 2.764 to 10.112), and observed heterozygosity ranged from 0.285 (Lun 3) to  
190 0.883 (B11) (Table 1). Few loci deviated from HWE based on within-site comparisons (8 of 72,  $P <$   
191  $0.02$ ), and no loci were consistently out of equilibrium. No LD was detected based on 180 within-site  
192 comparisons after correcting for multiple tests. MICROCHECKER analysis revealed no evidence for  
193 scoring error due to stuttering or large allelic dropout. Evidence of null alleles was detected in only 5 of  
194 72 comparisons (D2 at central Red Sea, KSA; Christmas Island; Cocos-Keeling Islands; Diego Garcia;  
195 French Polynesia). We ran all subsequent analyses excluding or including this locus to mitigate bias; our  
196 findings were no different between datasets, so we retained all six microsatellite loci. Overall, there was  
197 no consistent evidence for departure from HWE, LD or null alleles across all sampled locations,  
198 supporting the decision to retain the entire data set.

199

## 200 **Population structure analysis**

201 Analyses of molecular variance revealed low but significant population structure for *C. auriga* (mtDNA:  
202  $\Phi_{ST} = 0.027$ ,  $P < 0.001$ ; microsatellites:  $F_{ST} = 0.023$ ,  $P < 0.001$  or Jost's  $D = 0.084$ ). Population pairwise  
203 tests revealed that mtDNA haplotype frequencies were significantly different in 37 of 91 comparisons at  
204  $\alpha = 0.05$ , but only 12 of 91 comparisons at a corrected  $\alpha = 0.010$  (Appendix S1). Microsatellite  
205 frequencies were significantly different in 37 of 66 comparisons at  $\alpha = 0.05$ , but only 24 of 66  
206 comparisons at a corrected  $\alpha = 0.010$  (Appendix S1). This pattern was driven by the differentiation of  
207 Red Sea and Hawaiian samples (Appendix S1), which included most of the significant comparisons at  $\alpha$

208 = 0.05 (58% and 51% at mtDNA and microsatellites, respectively) and an even higher proportion at  $\alpha =$   
209 0.010 (100% and 71% at mtDNA and microsatellites, respectively).

210         There were significant but inconsistent patterns of population differentiation elsewhere in the  
211 range of *C. auriga*. Diego Garcia is significantly isolated from the eastern Indian Ocean (Christmas and  
212 Cocos-Keeling Islands) but not from all the sites in the WIO (Socotra, Madagascar and the Seychelles)  
213 in one or both genetic assays (Appendix S1). The two largest samples in the Indian Ocean (Seychelles  
214 and Diego Garcia) are significantly isolated from most sites in the Indo-Polynesian Province, but not  
215 from the equatorial Caroline Islands and French Polynesia in the southern hemisphere. The strongest  
216 inconsistency between genetic assays was observed with the Phoenix Islands sample, which was  
217 significantly different in most microsatellite comparisons but not in the mtDNA comparisons (Appendix  
218 S1). We detected weak but significant IBD for the full mtDNA dataset ( $r = 0.178$ ,  $p = 0.042$ ), but not  
219 for the reduced mtDNA datasets where Hawai'i ( $r = 0.167$ ,  $p = 0.082$ ) or Red Sea and Socotra ( $r =$   
220  $0.129$ ,  $p = 0.146$ ) were removed from the analysis. IBD for microsatellites was not significant (full  
221 dataset:  $r = 0.023$ ,  $p = 0.352$ ; Hawai'i removed:  $r = 0.268$ ,  $p = 0.028$ ; Red Sea removed:  $r = -0.040$ ,  $p =$   
222  $0.540$ ).

223         STRUCTURE indicated mean probabilities as being highest for *C. auriga* at  $K = 1$ , and  
224 STRUCTURE HARVESTER identified mean probabilities as being highest at  $K = 2$  (Appendix S2 and  
225 S3). Given that the Evanno method is not capable of performing the comparison of  $K = 1$  versus greater  
226 values, we accept  $K = 1$  as the most likely value of  $K$ . As noted by Evanno *et al.* (2005), STRUCTURE  
227 may miss subtle but significant population separations. DAPC analysis confirmed a lack of partitioning  
228 between populations, with the exception of the Red Sea and Hawai'i, which occupied a broader  
229 parameter space (*i.e.*, confidence ellipses) and modest overlap with all other sites (Fig. 2).

230

231 **Historical demography**

232 Negative and significant Fu's  $F_S$  values were detected in 10 of the 14 sites considered for *cyt b* (Fu's  $F_S$   
233 = -7.81 to -1.02; Table 2). The estimates of  $\tau$  resulted in the Red Sea and Socotra being much older  
234 (111,940 to 223,881 years) than all other sites (range: 16,343 to 120,703 years; Table 2). Statistical  
235 parsimony networks are consistent with a scenario of low mtDNA differentiation among sites (Fig. 3)  
236 and a shallow population history with recent expansion.

237

238 **DISCUSSION**

239 The threadfin butterflyfish has an exceptionally broad distribution that coincides with minimal  
240 divergence among sampling sites. Only two peripheral locations, the Red Sea (mtDNA:  $\Phi_{ST} = 0.026$ ,  $P$   
241 = 0.005; microsatellites:  $F_{ST} = 0.010$ ,  $P < 0.045$ ) and Hawai'i (mtDNA:  $\Phi_{ST} = 0.072$ ,  $P = 0.007$ ;  
242 microsatellites:  $F_{ST} = 0.133$ ,  $P < 0.001$ ), were consistently differentiated. Samples from the centre of the  
243 range revealed inconsistent population structure across the vast Indo-Polynesian Province. The region  
244 from French Polynesia to Western Australia (>200 m depth) has no oceanic gap greater than 800 km,  
245 and this almost certainly contributes to genetic cohesiveness (Schultz *et al.*, 2008). Moreover, genetic  
246 surveys of dispersive reef organisms are consistent with the boundaries of the Indo-Polynesian Province  
247 (Briggs & Bowen, 2012 and references therein; but see Kulbicki *et al.*, 2013). A factor that is frequently  
248 invoked to explain high connectivity is the PLD, which is relatively long in butterflyfishes (~40-53 days  
249 in this case). Several recent reviews have evaluated the effect of PLD on population genetic structure,  
250 yielding a correlation of  $r^2 = 0.30$  for a broad spectrum of marine organisms (Selkoe & Toonen, 2011),  
251 and  $r^2 = 0.22$  for reef fishes (Selkoe *et al.*, 2014). Genetic parentage analysis of *Chaetodon vagabundus*  
252 (PLD = 29 to 48 days; Berumen *et al.*, 2012) also found concordance between the level of local retention

253 and PLD. We therefore conclude that the long PLD of *C. auriga* is a factor contributing to minimal  
254 divergence, albeit nested within a suite of other physical and biotic factors.

255         There are numerous instances in *C. auriga* where pairwise comparisons between geographical  
256 locations are significant in one genetic assay but not the other, most notably with the Phoenix Island  
257 sample (Appendix S1). Part of this discrepancy can be attributed to inheritance dynamics of different  
258 markers; each may be more sensitive to restrictions on gene flow depending on a variety of demographic  
259 conditions (Karl *et al.*, 2012). Similar concerns were raised on the relationship between signal and  
260 diversity (Jost, 2008; Faubry & Barber, 2012), which differs between nuclear and mitochondrial  
261 markers. Other discrepancies can be attributed to the significance level of  $P = 0.05$  based on traditional  
262 standards and the Narum (2006) correction. In several pairwise comparisons, one genetic assay is just  
263 below the significance level, and the other is just above. For this reason we have interpreted pairwise  
264 comparisons as significant if one or both assays meet this criterion.

265

## 266 **Patterns of genetic differentiation**

267 The Red Sea and Socotra in the adjacent Gulf of Aden are significantly divergent in 12 of 13 mtDNA  
268 and 9 of 11 microsatellite population comparisons (Appendix S1). This finding is consistent with several  
269 recent surveys that show isolation of the Red Sea populations in broadly distributed reef fishes  
270 (DiBattista *et al.*, 2013). The population-level isolation of the Red Sea is matched by an endemism level  
271 of 12.9% in fishes (DiBattista *et al.*, in review A). These partitions are likely promoted by the isolation  
272 of the Red Sea during Pleistocene glaciations. The only connection with the Indian Ocean at the Strait of  
273 Bab al Mandab is relatively shallow (137 m) and influenced by sea level drops of up to 140 m during  
274 glaciations (Rohling *et al.*, 2014). Additional oceanographic factors that may isolate the Red Sea include

275 elevated temperature and salinity (Siddall *et al.*, 2004), and cold-water upwelling outside the Red Sea  
276 (Kemp, 1998).

277         Population expansion analyses indicates that all *C. auriga* share a common ancestor in the last  
278 few hundred thousand years, and that the Red Sea and Socotra host the oldest expansion time. This  
279 invokes a hypothetical scenario of recent radiation out of the Red Sea or adjacent areas in response to  
280 glacial sea level and climate change, which is consistent with a large proportion of Red Sea endemism  
281 spreading to the Gulf of Aden (DiBattista *et al.*, in review A). This post-glacial expansion hypothesis is  
282 supported by a lack of genetic (or biogeographical) differentiation between the Red Sea and adjacent  
283 Gulf of Aden. In light of this documented isolation, the Red Sea remains an intriguing but understudied  
284 region with great potential to inform evolutionary processes in the broader Indo-West Pacific (Berumen  
285 *et al.*, 2013). Alternatively, the cold and high nutrient water upwelling just west of Socotra might be the  
286 main barrier driving this differentiation (DiBattista *et al.*, in review B).

287         Hawai'i is significantly different in 10 of 13 mtDNA and 11 of 11 microsatellite comparisons  
288 (Appendix S1). Hawai'i is one of the most isolated archipelagos in the world with the highest level of  
289 endemism in the Pacific (~25%; Randall, 2007). The recurrent trend of genetic distinctness in this region  
290 can be attributed to three factors: (1) geographical isolation coupled with oceanographic features that  
291 enhance this isolation (Kobayashi, 2006), (2) life history characteristics of the reef biota, including  
292 dispersal capabilities (Luiz *et al.*, 2012) and (3) adaptation to environmental conditions in Hawai'i (Bird  
293 *et al.*, 2012).

294         More subtle patterns of isolation were detected in other locations. Two samples in the Indian  
295 Ocean (Seychelles and Diego Garcia) were significantly isolated from most locations in the Indo-  
296 Polynesian Province in one or both genetic assays. This is likely a product of the episodic closure of the  
297 Indo-Pacific Barrier, a partial land bridge that forms between the Indian and Pacific Oceans during low

308 sea level stands associated with glaciations (Gaither & Rocha, 2013). It is notable that highly dispersive  
309 species (as inferred from population genetic comparisons) have little or no structure across this barrier  
310 (Craig *et al.*, 2007; Horne *et al.*, 2008; Reece *et al.*, 2011). In contrast, less dispersive species show  
311 evolutionary genetic partitions (DiBattista *et al.*, 2012b; Gaither & Rocha, 2013). The Threadfin  
312 Butterflyfish belongs in the first category.

313         The finding of population structure at the endpoints of the range, and a lack of divergence in the  
314 middle, is consistent with other genetic surveys of Indo-Pacific reef fishes. Winters *et al.* (2010)  
315 observed genetic homogeneity through most of the Indo-Polynesian Province in the parrotfish *Scarus*  
316 *psittacus*, but found isolated populations at Hawai‘i, the Marquesas and the Seychelles. Notably, these  
317 regions are also isolated biogeographical provinces as defined by the criteria of >10% endemism (Briggs  
318 & Bowen, 2012). Gaither *et al.* (2010) observed a similar pattern in the snapper *Lutjanus kasmira*,  
319 reporting that the only isolated populations were in peripheral locations of the WIO and the Marquesas  
320 Islands. DiBattista *et al.* (2011) reported genetic homogeneity across the Pacific in the surgeonfish  
321 *Acanthurus nigroris*, but an ancient genetic partition at Hawai‘i. Szabo *et al.* (2014) surveyed the  
322 goatfish *Parupeneus multifasciatus* across the Pacific, and found a cryptic species at the Marquesas. The  
323 threadfin butterflyfish at the Marquesas might also be unique, but our sample size is too small to make  
324 this determination. Peripheral isolation and speciation is not the only evolutionary pathway observed in  
325 the tropical Indo-Pacific (Cowman & Bellwood, 2013; Gaither & Rocha, 2013), however, it seems to be  
326 one of the predominant pathways to speciation (Rocha & Bowen, 2008; Drew & Barber, 2009; Bowen *et*  
327 *al.*, 2013; Hodge *et al.*, 2014). Tests for IBD were weak or inconclusive, invoking the possibility that  
328 divergence of Hawaiian and Red Sea populations is based on founder effects, or that the lack of  
329 differentiation across the center of the species range is weakening the IBD signal. While one axis of  
330 discrimination for the DAPC analysis separates populations from west to east (Fig. 3), and a nearly

321 perpendicular eigenvector differentiates Hawai'i from other populations, our estimates of population  
322 expansion and genetic diversity do not support founder effects for Hawai'i.

323

### 324 **Taxonomic distinction**

325 Reef fishes include many cases of taxonomy based on colouration, especially in butterflyfishes and  
326 angelfishes (families Chaetodontidae and Pomacanthidae). Over the past 20 years, several taxonomic  
327 distinctions based on colouration have been evaluated with mtDNA and nuclear DNA sequence data.  
328 The results have been equivocal, with some genetic lineages aligning with colouration (Drew *et al.*,  
329 2010), others showing discordance (Gaither *et al.*, 2014) and some groups showing both (McMillan &  
330 Palumbi, 1999; Rocha, 2004). As noted by DiBattista *et al.* (2012a), when colour-based taxonomy  
331 disagrees with genetic partitions, the latter usually aligns with biogeography.

332         The threadfin butterflyfish has a Red Sea colour morph and proposed subspecies (*C. auriga*  
333 *auriga*; Allen, 1979). Randall (1998) recommends a return to subspecies designations in reef fish when  
334 morphological and genetic differentiation fall below that observed among congeners, or when  
335 interbreeding is likely to be successful. We observed low and inconsistent population genetic  
336 differentiation between putative subspecies of *C. auriga*: the genetic partition that included *C. auriga*  
337 *auriga* also included individuals identified as *C. auriga setifer* from the central Red Sea and Socotra.  
338 Therefore, subspecies designation might not be appropriate in this case, and while we agree with  
339 Randall (1998) that evolutionary partitions below the species level are valuable, that criterion does not  
340 apply here. Some colour variants may be related to strong sexual selection (*e.g.*, egg spots on cichlids;  
341 Santos *et al.*, 2014), or predator avoidance, but there is little evidence linking colour variants to  
342 ecological differences in butterflyfishes (Kelley *et al.*, 2013). The bright and stark differences in

343 colouration, while obvious to the human eye, may reflect evolutionarily labile traits. This does not,  
344 however, preclude the possibility that this could be a starting point for diversification.

345

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## 589 **SUPPORTING INFORMATION**

590 Additional Supporting Information may be found in the online version of this article:

591 **Appendix S1** Population pairwise  $\Phi_{ST}$ ,  $F_{ST}$  or Jost's  $D$  values for *Chaetodon auriga*.

592 **Appendix S2** Ln P[D] and Delta K for *Chaetodon auriga* from STRUCTURE HARVESTER.

593 **Appendix S3** STRUCTURE bar plot for *Chaetodon auriga*.

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**BIOSKETCH**

The authors' interests are focused on illuminating the evolutionary processes that generate marine biodiversity. They have carried out phylogeographical surveys of over 20 reef fish species in the Red Sea, Arabian Sea, and greater Indo-Pacific to test existing evolutionary models, to resolve the life history traits that influence dispersal and population separations in reef organisms and to inform marine conservation (*e.g.*, defining the boundaries of marine protected areas).

Author contributions: J.D.D. produced DNA sequences, analysed these data and led the writing. B.W.B. conceived the design of this study, collected tissue samples and contributed to writing. L.A.R., M.T.C., and M.L.B. contributed to study design, collected tissue samples and contributed to writing. E.W. produced microsatellite data, as well as contributed to the analysis and writing.

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614 **Table 1** Summary characteristics ( $N_A$ , number of alleles;  $A$ , allelic richness;  $H_O$  and  $H_E$ , observed and expected heterozygosity;  $H_{WE}$ ,  
615 Hardy-Weinberg equilibrium) for six microsatellite loci based on 344 *Chaetodon auriga* specimens collected throughout the Indo-  
616 Pacific region. These markers were developed by Berumen *et al.* (2009) and Lawton *et al.* (2010) and later validated for use in  
617 Chaetodontidae (Lawton *et al.*, 2011).

<b>Locus</b>	<b>Annealing temperature (°C)</b>	<b>Allelic range (bp)</b>	$N_A$	$A$	$H_O$	$H_E$	$H_{WE}$
<i>Lun 3</i>	58	152-242	22	3.401	0.285	0.303	$P = 0.987$
<i>D117</i>	56	231-303	13	2.764	0.362	0.364	$P = 0.999$
<i>D118</i>	56	149-204	14	3.029	0.428	0.422	$P = 0.310$
<i>D2</i>	56	136-208	19	3.803	0.520	0.623	$P < 0.001$
<i>B11</i>	58	146-218	26	10.112	0.883	0.882	$P = 0.019$
<i>D120</i>	62	218-279	15	3.688	0.517	0.551	$P = 0.551$
Average (SEM)			18.167	4.466	0.499	0.524	

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624 **Table 2** Sample size and molecular diversity indices for *Chaetodon auriga* based on mitochondrial DNA (cytochrome *b*) sequence  
625 data. Time since the last population expansion event was calculated using a range of mutation rates (1 to 2% per Myr, Bowen *et al.*,  
626 2001; Lessios, 2008) and a generation time of 3 years (see Materials and Methods).  
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Collection locality	$N^b$	$H_N$	Expansion time (yrs)	Haplotype diversity ( $h \pm SD$ )	Nucleotide diversity ( $\pi \pm SD$ )	Fu's $F_S$
Central Red Sea, KSA (RDS)	47	4	111940-223881	0.20 $\pm$ 0.08	0.00031 $\pm$ 0.00043	<b>-2.95<sup>a</sup></b>
Socotra, Yemen (SOC)	15	3	111940-223881	0.26 $\pm$ 0.14	0.00040 $\pm$ 0.00052	<b>-1.55</b>
Zanzibar (ZAN)	2	n/a	n/a	n/a	n/a	n/a
Durban, South Africa (DUR)	2	n/a	n/a	n/a	n/a	n/a
Madagascar (MAD)	8	5	60351-120703	0.86 $\pm$ 0.11	0.00214 $\pm$ 0.00166	-1.92
Republic of Seychelles (SEY)	30	7	23394-46791	0.46 $\pm$ 0.11	0.00089 $\pm$ 0.00081	<b>-4.83</b>
Diego Garcia (DIG)	33	10	32948-65896	0.60 $\pm$ 0.10	0.00124 $\pm$ 0.00101	<b>-7.81</b>
Cocos-Keeling Islands, Aus. (COC)	35	8	32052-64104	0.58 $\pm$ 0.09	0.00114 $\pm$ 0.00096	<b>-4.90</b>
Christmas Island, Aus. (XMA)	36	9	35410-70821	0.62 $\pm$ 0.09	0.00133 $\pm$ 0.00106	<b>-5.53</b>
Republic of Palau (PAU)	28	8	43955-87910	0.62 $\pm$ 0.10	0.00147 $\pm$ 0.00114	<b>-4.21</b>
Pohnpei, Caroline Islands (CAR)	35	8	22463-44925	0.45 $\pm$ 0.10	0.00085 $\pm$ 0.00079	<b>-6.38</b>
Kanton Atoll, Phoenix Islands (PHO)	16	5	33507-67015	0.61 $\pm$ 0.13	0.00108 $\pm$ 0.00095	<b>-2.30</b>
Hawaiian Islands (HAW)	16	4	33782-67564	0.62 $\pm$ 0.10	0.00106 $\pm$ 0.00094	-1.02
Johnston Atoll (JON)	1	n/a	n/a	n/a	n/a	n/a
Palmyra Atoll, Line Islands (PAL)	26	6	29813-59627	0.55 $\pm$ 0.10	0.00117 $\pm$ 0.00098	<b>-2.63</b>
Christmas Island, Line Islands (KIR)	31	7	38172-76343	0.62 $\pm$ 0.10	0.00142 $\pm$ 0.00111	-2.89
French Polynesia (FRP)	26	4	16343-32687	0.34 $\pm$ 0.11	0.00055 $\pm$ 0.00061	-2.04
All samples	387	37	27093-54185	0.51 $\pm$ 0.03	0.00104 $\pm$ 0.00087	<b>-32.66</b>

628 <sup>a</sup>Numbers in bold are significant,  $P < 0.02$  (Fu, 1997).

629 <sup>b</sup>Abbreviations are as follows: Aus., Australia; KSA, Kingdom of Saudi Arabia;  $N$ , sample size;  $H_N$ , number of haplotypes.

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633 **FIGURE LEGENDS**

634 **Figure 1** Scaled map indicating collection sites and samples sizes for *Chaetodon auriga* in the Indo-  
635 Pacific. Note that several sites were sampled in French Polynesia (Moorea, Society Islands [ $N = 19$ ];  
636 Fakarava, Tuamotu Archipelago [ $N = 4$ ]; Nuku Hiva, Marquesas Archipelago [ $N = 3$ ]), the Hawaiian  
637 Islands (Big Island [ $N = 2$ ]; Maui [ $N = 1$ ]; Oahu [ $N = 8$ ]; Kauai [ $N = 2$ ]; Laysan [ $N = 1$ ]; Lisianski [ $N =$   
638 2]), and the Red Sea (Al Lith [ $N = 27$ ]; Thuwal [ $N = 20$ ], Kingdom of Saudi Arabia) but were grouped  
639 for analysis owing to genetic homogeneity within each region (see Methods). Site abbreviations are  
640 described in Table 2. Inset photos show the Red Sea morph (*bottom*) and the more widespread morph  
641 (*top*) of *C. auriga* (photo credit: L.A.R.).

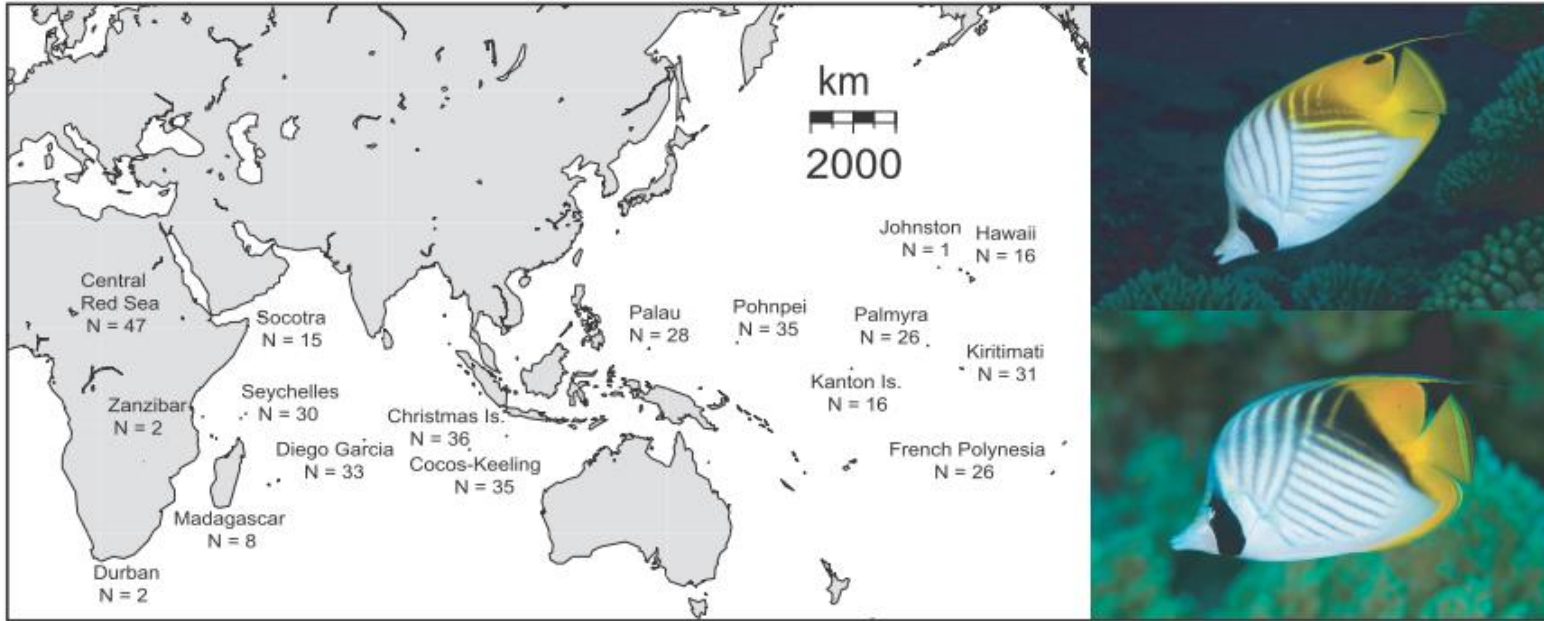
642  
643 **Figure 2** Scatterplot of DAPC performed on six microsatellite loci for 12 populations of *Chaetodon*  
644 *auriga*. Populations are shown by colours, numbers (1= Central Red Sea; 2 = Seychelles; 3 = Diego  
645 Garcia; 4 = Cocos-Keeling; 5 = Christmas Island, Australia; 6 = Palau; 7 = Pohnpei; 8 = Kanton Atoll; 9  
646 = Hawaiian Islands; 10 = Palmyra Atoll; 11 = Line Islands; 12 = French Polynesia) and 95% inertia  
647 ellipses. Diamond symbols represent individual genotypes and axes show the first two discriminant  
648 functions.

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650 **Figure 3** Median-joining statistical parsimony networks based on 669 bp of mitochondrial cytochrome *b*  
651 sequence data from *Chaetodon auriga* ( $N = 382$ ). Each circle represents a haplotype and its size is  
652 proportional to its total frequency. Branches and black crossbars represent a single nucleotide change;  
653 colours denote collection location as indicated by the embedded key (as per Fig. 2).

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656 **Figure 1**

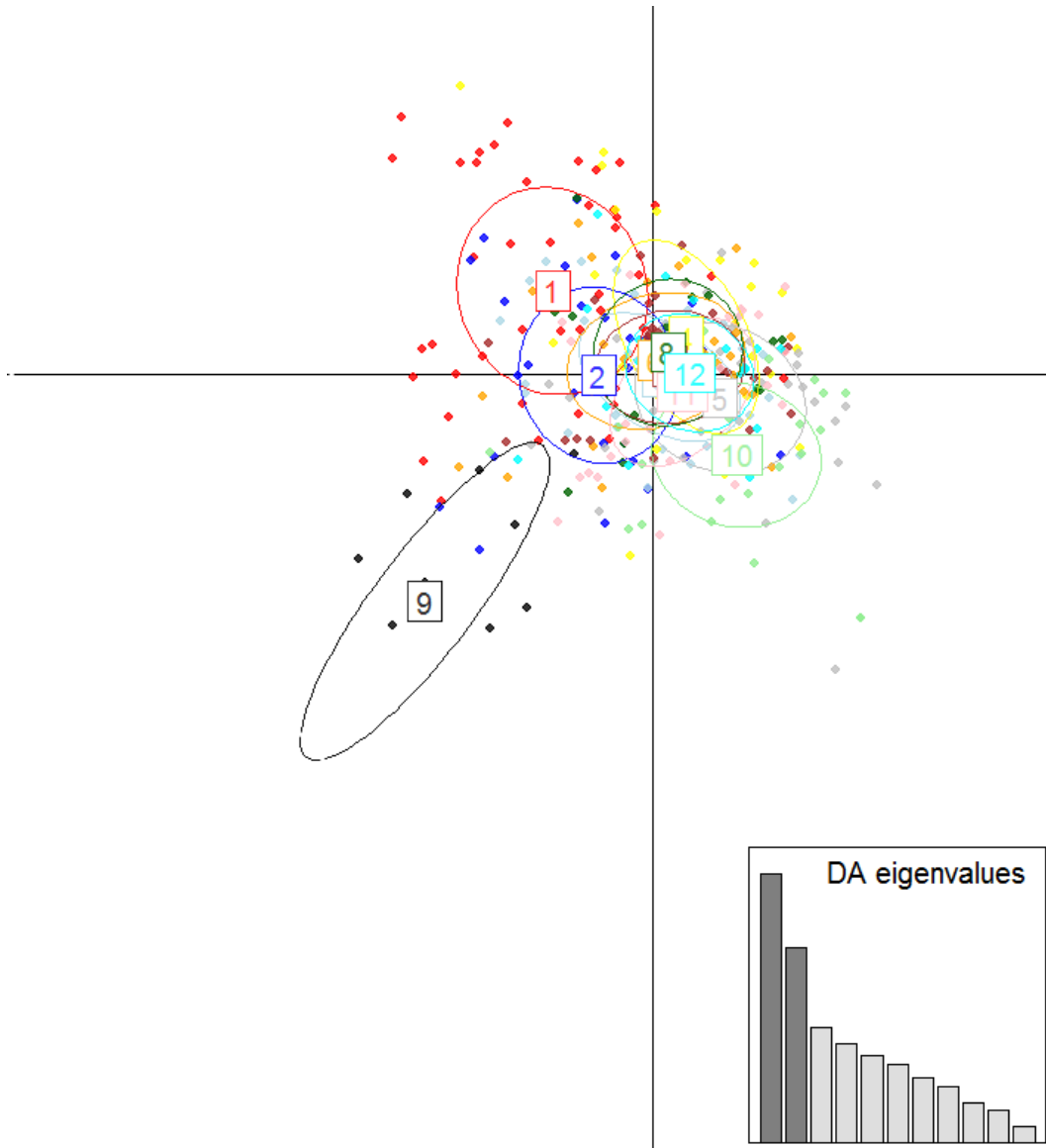


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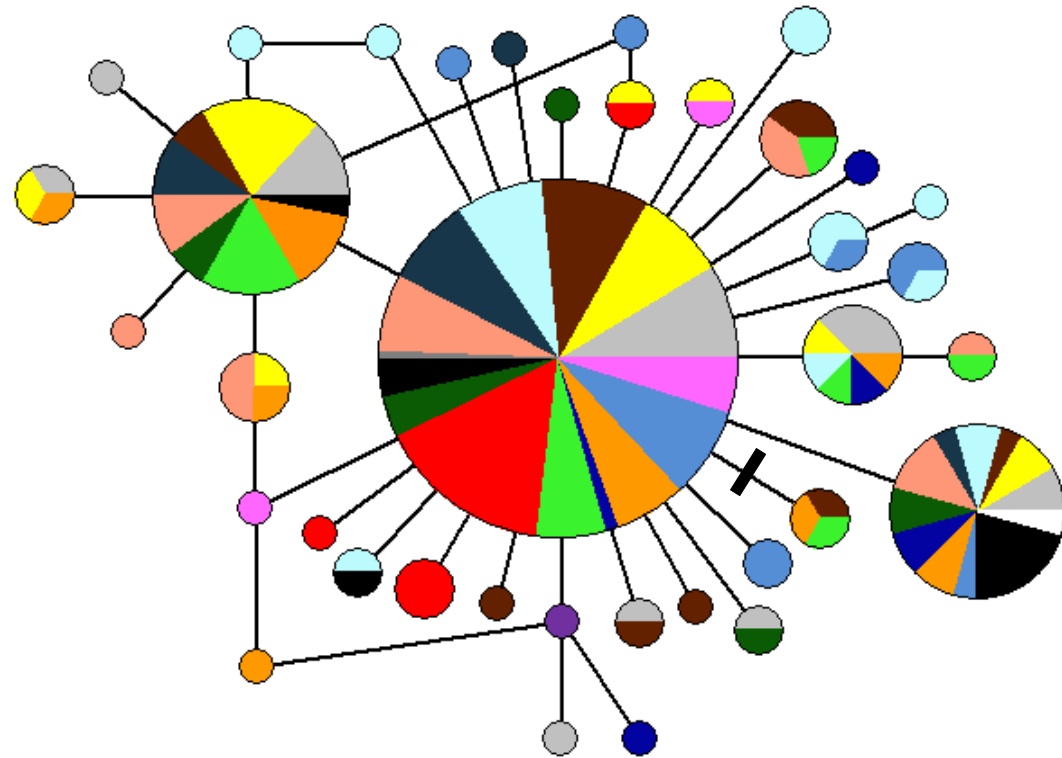
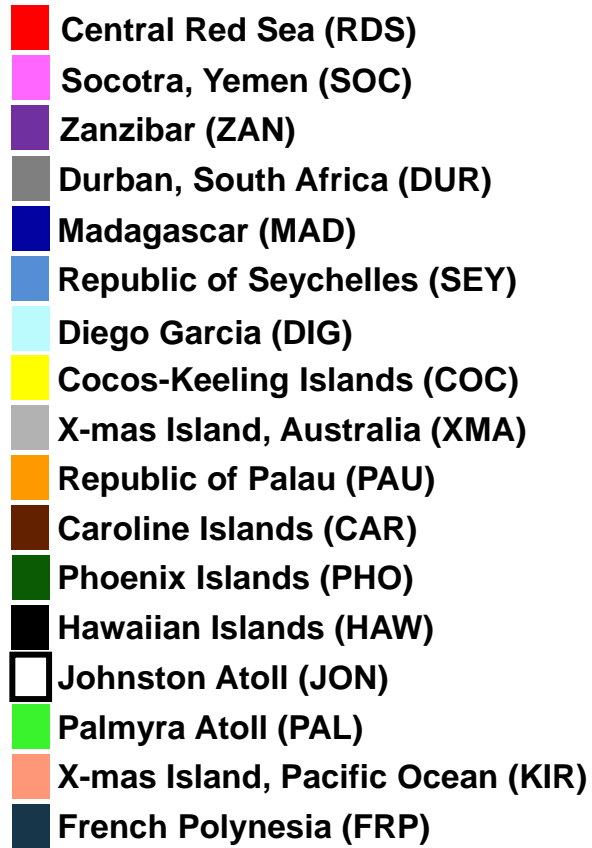
660 **Figure 2**



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662 **Figure 3**



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