

# Numerical and Experimental Investigation of the Interaction of Natural and Propagated Hydraulic Fracture

Fatahi, H. and Hossain, M. M. and Sarmadivaleh, M.

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## Abstract

Hydraulic fracturing is extensively used to develop unconventional reservoirs, such as tight gas, shale gas and shale oil reservoirs. These reservoirs are often naturally fractured. Presence of these natural fractures can have beneficial or detrimental effects on the outcome of hydraulic fracturing operation. A proper study is required to characterize these formations, and design a suitable hydraulic fracturing operation.

This paper investigates the interaction of hydraulic and natural fractures based on numerical and experimental studies. Distinct Element Method (DEM) based numerical model has been used to simulate interaction of hydraulic and natural fractures; and the simulation results are validated through experimental studies. The experimental results are found to be in very good agreement with simulation results. The study demonstrated that the Distinct Element Method based numerical model can be used as an alternative to laboratory experiments to investigate the interaction mechanisms of hydraulic and natural fractures with greater confidence. Both experimental and numerical simulation tests showed that increasing the angle between plane of natural fracture, and direction of maximum horizontal stress increases the chance of hydraulic fracture to cross the natural fractures. At low angles, hydraulic fracture is most likely to be arrested at the plane of natural fracture; and/or cause a shear slippage at the plan of natural fracture. Natural fracture filling materials also have a great effect on the interaction mechanism. Weakly bonded natural fracture surfaces increase the chance of shear slippage to occur, and arrest the propagation of hydraulic fracture even at the high angle of interaction as high as 90°.

## 1. Introduction

Hydraulic fracturing of oil and gas wells gained huge popularity since it was introduced by Stanolind in 1949 [1]. This technology is essentially important for the development of shale oil and shale gas reservoirs. Often these reservoirs are naturally fractured. This characteristic causes to branch out the propagated hydraulic fracture which can be either beneficial or be detrimental to the success of hydraulic fracturing operation depending on hydraulic fracture interacted with existing natural fracture. The branch out fractures that cause more reservoir area

37 to be exposed to hydraulic fracture is generally beneficial. However, branch outs  
38 can cause early fracture slurry dehydration and premature proppant screen out  
39 that is detrimental to success of hydraulic fracturing operation. Proper study of  
40 interaction mechanism is thus essentially important for the efficient as well as  
41 effective design of hydraulic fracturing operation. Among the important  
42 parameters that can affect the interaction mechanism are principal stresses, fluid  
43 viscosity, flow rate, sizes of natural fractures and their orientation with respect to  
44 principal stresses, properties of fracture filling material and so on. Many  
45 researchers tried to solve this mystery either by laboratory experiments [2-12],  
46 field experiments [13-15], analytical methods [4, 5, 7-12, 16-18] or numerical  
47 simulations [6, 17, 19-26]. Analytical studies endeavour to capture the physics of  
48 the problem and try to solve it by applying mathematical models derived using  
49 physical laws. Such mathematical models are often complex, and very challenging  
50 to derive realistic analytical solutions, especially for porous heterogeneous  
51 formation in a dynamic condition. Consequently very often such solutions are  
52 oversimplified, which generally considers homogenous elastic medium in a static  
53 scenario; and assume the hydraulic fractures are already intersected the natural  
54 fracture. However, the propagation of hydraulic fracture is a dynamic process that  
55 changes the state of stress within the rock as the fracture propagates. Fracture re-  
56 initiation may occur beyond the natural fracture or from its tips before hydraulic  
57 fracture intersects natural fracture. If the numerical solutions are based on these  
58 analytical derivations, it will suffer from the same problems. Experimental studies  
59 can be considered to be a better representation of real situation amongst different  
60 solutions. Samples can be prepared in custom mode to study the effect of different  
61 parameters on the interaction mechanism. However, these experiments are not  
62 only expensive but also extremely tedious and time consuming, which hardly  
63 efficient, especially for routine industry application. This puts constraint on the  
64 number of sensitivity analysis that can be conducted and subsequent conclusions  
65 that can be drawn. This shows that none of the aforementioned methods can be  
66 used separately. Normally limited experimental studies are conducted for the  
67 calibration of numerical or analytical models. After that, numerical and analytical  
68 models are used for further sensitivity analysis to capture a wider range of  
69 situations.

70 Raymond et al [13, 14] and Scott et al [15] performed extensive analysis using field  
71 studies to understand fracture propagation in a coal seam gas reservoir in  
72 Queensland, Australia. They used advanced combination of petrophysical analysis  
73 to build the geomechanical model of the field and characterize presence,  
74 properties and orientation of natural fractures. They then used Gohfer software to  
75 design the hydraulic fracturing operation. Afterwards, they used a combination of  
76 radioactive tracers, sonic anisotropy logs, microseismic and tiltmeters to infer

77 fracture initiation and propagation inside coal beds and their adjacent formations.  
78 Different monitoring systems showed consistent fracture height growth although  
79 some discrepancy between design and results as well as between results from  
80 different monitoring systems are observed.

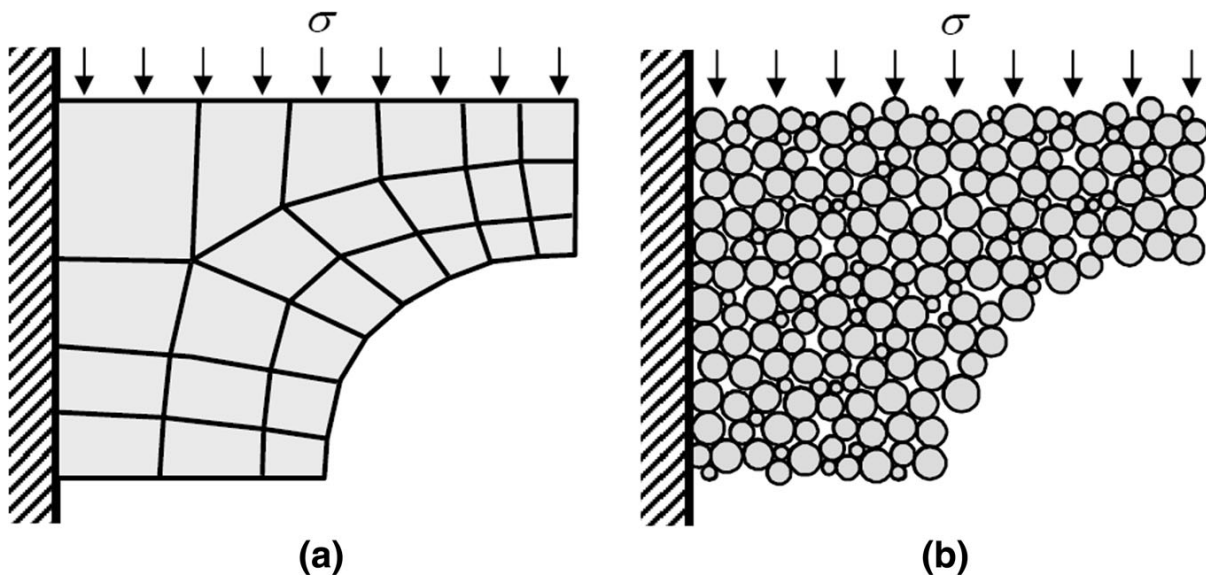
81 Wu et al [24] developed a three dimensional model based on displacement  
82 discontinuity and finite element method. They considered constant fracture  
83 height; and removed the shear stress in the vertical direction. This simplified the  
84 model to be more like a 2D model (i.e. pseudo 3D). The problem of fluid flow and  
85 rock mechanical coupling was solved iteratively using Newton-Raphson and Picard  
86 iterative method. Zhang et al [23] developed a Discrete-Continuum model using  
87 PFC2d and Flac2d to investigate the interaction mechanism. They performed  
88 rigorous sensitivity analysis to study the effect of different parameters such as flow  
89 rate, fluid viscosity, material stiffness etc, on the interaction outcome. However,  
90 model results were not verified by other means such as experimental or analytical  
91 results. Keshavarzi and Jahanbakhshi [27] studied the interaction mechanism using  
92 extended finite element method (XFEM). They used the concept of energy release  
93 rate for fracture propagation and fracture behaviour at intersection point in a 2D  
94 space. Their numerical results showed good agreement with Warpinski and  
95 Teufel's experimental results [8]. Dahi Taleghani and Olson [25] also used XFEM to  
96 investigate the interaction mechanism. Similar to Keshavarzi and jahanbakhshi,  
97 they used the energy release rate for intact rock and natural fracture to determine  
98 the interaction outcome. They used this model to investigate the propagation of  
99 hydraulic fracture in presence of abundant natural fractures under different stress  
100 regimes.

101 Dependence of most of the numerical models on analytical results makes them  
102 prone to same errors that are present in analytical results. Meshing requirement  
103 also makes these models rigid for fracture advancement. Re-meshing requirement  
104 in some of these models such as the ones that are dependent on finite element  
105 model creates another difficulty to use these models. The distinct element method  
106 presented in this paper is found to be unique in nature as it does not depend on  
107 analytical solutions that cover the hydraulic fracturing process. Fracturing occurs as  
108 a result of decoupling between sample particles. Model results have been  
109 validated through comparison with experimental results. These experiments were  
110 conducted in True Tri-axial Stress Cell (TTSC) with the capability to impose three  
111 independent stresses on the sample.

## 112 **2. Numerical Studies**

113 Reservoir rock may contain imperfections such as faults, joints, natural fractures  
114 and so on. Simulating these rocks in Discrete Element Method (DEM) based

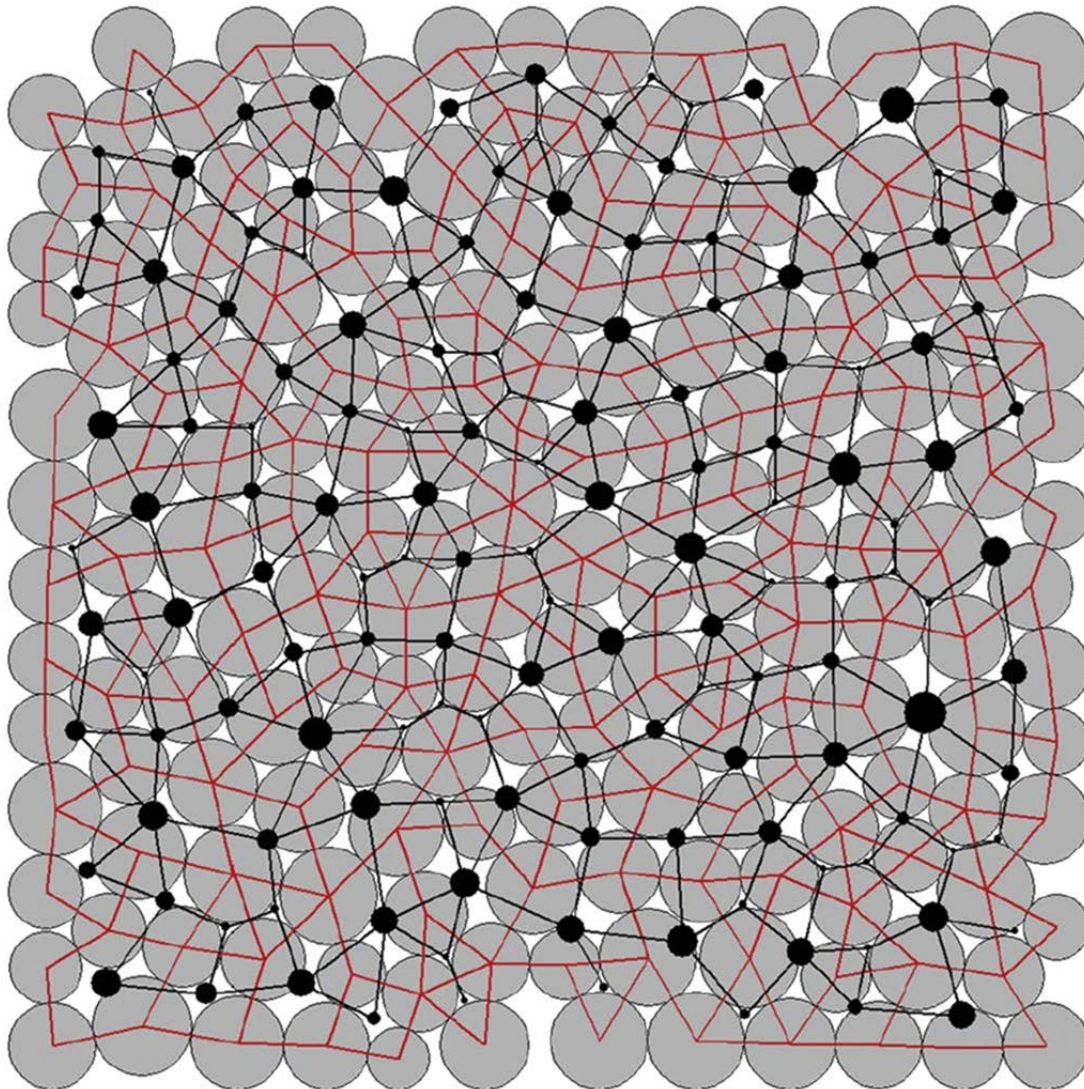
115 numerical model is easier and more accurate than using a Continuum or Finite  
 116 Element based numerical model. In DEM, sample is modelled as a composite of  
 117 individual particles that can move and rotate with respect to each other. This  
 118 eliminates the meshing requirement that is necessary in continuum based models  
 119 such as Finite Element Method (FEM). In continuum based models, the way that  
 120 meshes are defined can affect the accuracy of the model. In the case of fracture  
 121 propagation, re-meshing is often required as the fracture tip advances. Figure 1  
 122 shows a sample that has been simulated using DEM and FEM models; and shows  
 123 that in the case of DEM, no meshes were required.



125 Figure 1: Sample modelled using a- Finite Element Method  
 126 (FEM). b- Discrete Element Method (DEM) [28]

127 PFC2D is a numerical tool based on Distinct Element Method. Distinct Element  
 128 method is a family of DEM. Figure 2 shows a sample that has been simulated in  
 129 PFC2D. The sample is composed of grey particles. These particles are connected  
 130 together using either contact or parallel bonds. The strength and stiffness of  
 131 particles and bonds are adjusted to calibrate the mechanical properties of the  
 132 simulated sample against real samples. Comprehensive details regarding  
 133 mechanical properties calibration can be found in author's paper, Fatahi [29] and  
 134 also in the Itasca manual [30]. Red lines in Figure 2 connect the centre of particles  
 135 to their neighbouring particles. These red lines form polygons that are defined as  
 136 domains. These domains have different volumes with respect to each other. Black  
 137 circles show normalized domain volumes. The larger the circle the bigger the  
 138 domain volume. Black lines connect domains to their neighbouring domains. Fluid  
 139 is stored in the domains. Figure 3a shows two domains in blue and yellow colour.  
 140 The red rectangle shows the imaginary parallelepiped between these two domains.  
 141 Figure 3b shows the dimensions of the parallelepiped. Fluid flows between

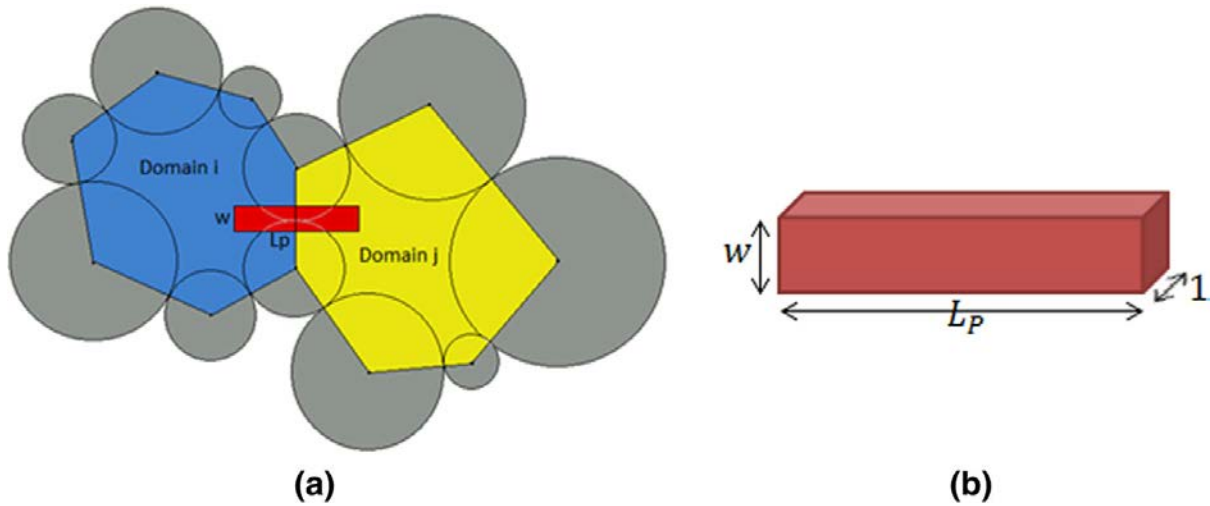
142 domains through this parallelepiped. Further details regarding fluid flow and  
143 calibration can be found in the paper Fatahi and Hossain [31].



144

145 Figure 2: A sample simulated in PFC2D. Grey circles represent  
146 sample particles. Red lines connect centre of sample particles  
147 to their adjacent particles. Polygons created by these red lines  
148 are defined as domains. Black circles show the normalized size  
149 of domains with respect to largest domain size. Black lines  
150 connect domains to their neighbouring domains. [31]

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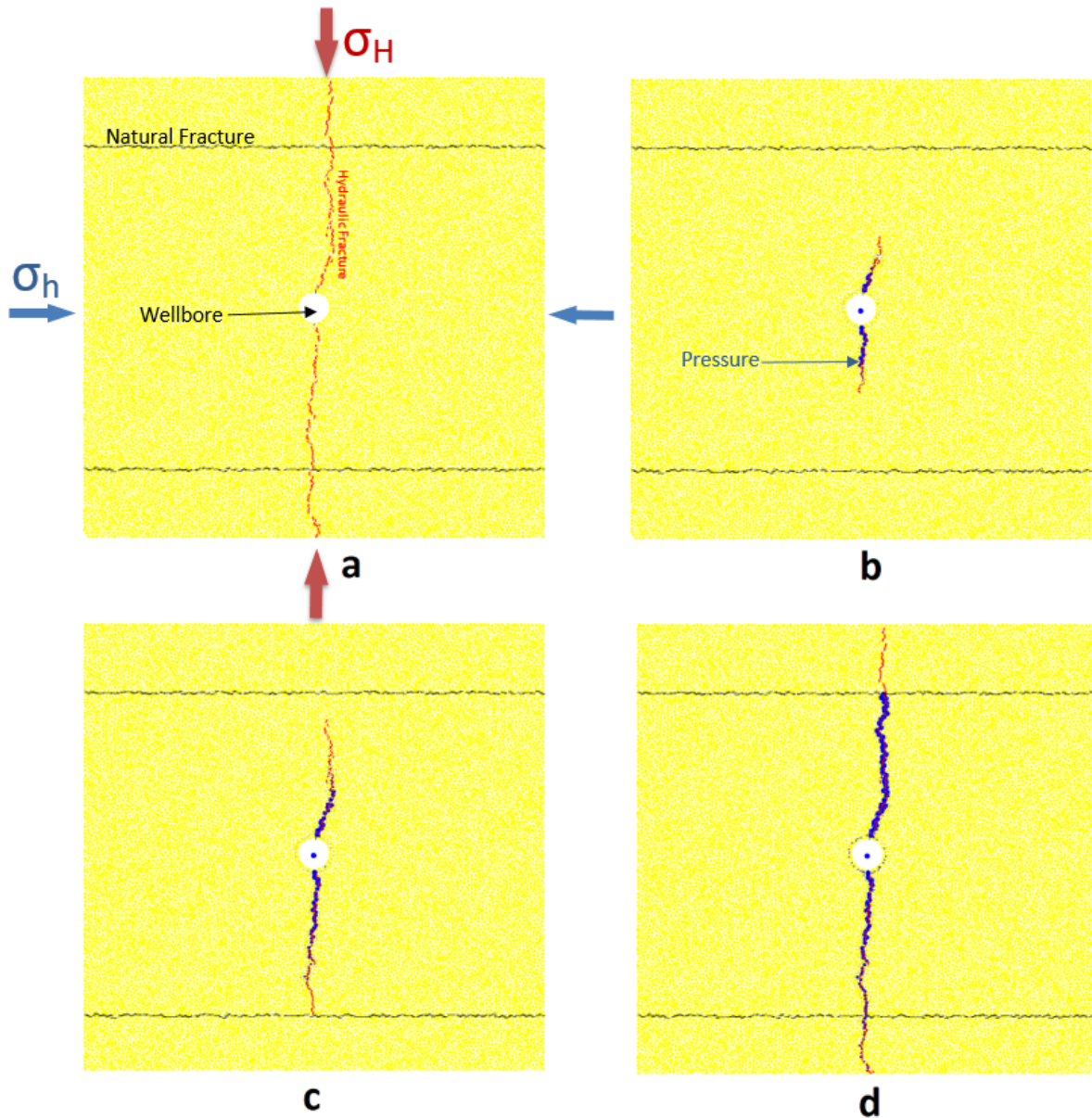
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Figure 3: a- Two domains shown in blue and yellow colour. Fluid flows between them through the red pipe. b- Pipe for fluid flow between domains. Its length is  $L_p$ , height is  $w$  and width is 1. [31]

158 Natural fractures are simulated by replacing contact or parallel bonds by smooth  
 159 joint bonds. Figure 4 shows two natural fractures in black coloured dashed lines in  
 160 a sample that is simulated in PFC2D. Properties of smooth joints can be adjusted to  
 161 calibrate natural fracture properties against real cases. These properties can be  
 162 adjusted to calibrate friction and cohesion of natural fractures. Smooth joints and  
 163 their properties are well explained in Itasca manual [30]. In Figure 4 wellbore is  
 164 shown at the centre of the sample. Two principal stresses are applied to the sides  
 165 of the sample. Hydraulic fracture is shown in red colour. Pressure is shown as blue  
 166 coloured circles with larger circles showing higher pressures. As the fluid pressure  
 167 in a domain increases, it puts the domain particle bonds under tension. If the  
 168 tensile or shear force between the particles reaches the tensile or shear strength  
 169 of the bond that joins them, the bond will break. This bond breakage is replaced by  
 170 a small red line between the particles to show the hydraulic fracture. Bond  
 171 breakage one after each other shows the hydraulic fracture propagation. Fatahi et  
 172 al [32] studied hydraulic fracture initiation and propagation as well as calibration  
 173 of initiation and breakdown pressure against experimental results in PFC2D.

174 The current study investigates the interaction between hydraulic and natural  
 175 fracture under different conditions of smooth natural fracture properties and  
 176 interaction angles. The results of the simulation are compared against  
 177 experimental studies. Results demonstrate excellent match between experimental  
 178 and numerical results.



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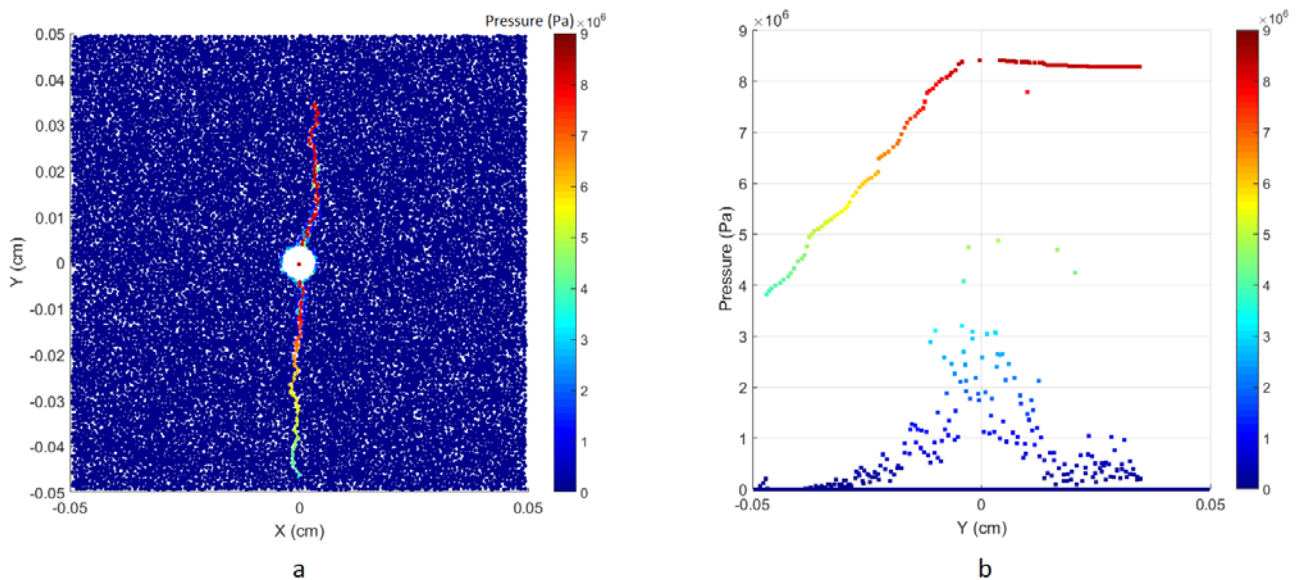
Figure 4: a) Sample in yellow colour. Natural fracture is shown as black dashed lines. Induced hydraulic fracture is shown as red dashed lines. Wellbore is shown as white circle in the middle of the sample. b) Pressure shown as blue circles. The larger the size of the circles the higher the pressure. Hydraulic fracture has not reached the natural fracture yet. c) The lower wing of hydraulic fracture arrived at natural fracture. d) Both wings of hydraulic fracture have crossed the natural fractures. Hydraulic fracture propagated in the direction of maximum horizontal stress.

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192

Figure 5 shows colour coded pressure distribution for the sample state of Figure 4d. Each dot shows the pressure of one domain. Circle colours show domain pressures.

193 It this figure, all circles have same diameter. Figure 5a shows pressure distribution  
 194 versus domain X and Y position. Figure 5b shows pressure versus domain Y  
 195 position. As it can be seen from Figure 4d and Figure 5, pressure in the upper wing  
 196 of fracture is slightly lower than wellbore pressure. The reason is that, although  
 197 hydraulic fracture crossed upper natural fracture and reached the upper boundary,  
 198 but fracture beyond natural fracture didn't become wide enough to allow high  
 199 fluid passage. This could be because of natural fracture slippage at upper natural  
 200 fracture surface near the intersection point that prevented high stress transfer to  
 201 the opposite side of natural fracture to make fracture wide enough for fluid flow.  
 202 On the other hand, the lower wing of hydraulic fracture after crossing natural  
 203 fracture attained some width to allow fluid passage. Also as it can be seen from the  
 204 pressure profile, pressure in the lower wing is not perfectly linear and has a shape  
 205 of uneven line. This is because of non-smooth profile of hydraulic fracture wall.



206

207 Figure 5: Colour coded pressure distribution inside  
 208 sample and fracture. Each circle shows pressure of  
 209 one domain. Its colour represents domain pressure. a:  
 210 Pressure distribution versus domain X and Y position.  
 211 b: Pressure versus domain Y position.

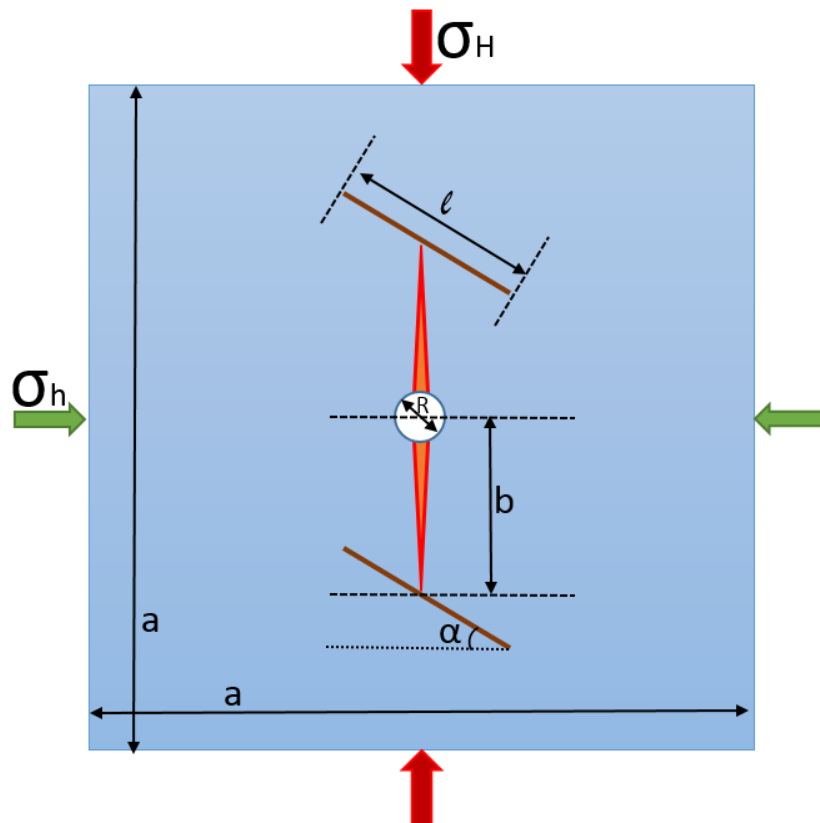
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213 The current study investigates the interaction between hydraulic and natural  
 214 fracture under different conditions of smooth natural fracture properties and  
 215 interaction angles. The results of the simulation are compared against  
 216 experimental studies. Results demonstrate excellent match between experimental  
 217 and numerical results.



218 **3. Simulation of the interaction of Hydraulic and Natural**  
219 **Fractures**

220 Interaction between hydraulic and natural fractures depends on many parameters.  
221 Amongst these parameters are rock mechanical properties (e.g. Young's modulus,  
222 Poisson's ratio, Uni-axial compressive strength etc.), fracturing fluid properties  
223 (e.g. viscosity, leak off properties, bulk modulus etc.), natural fracture properties  
224 (e.g. cohesion, friction, fracture orientations, fracture sizes etc), state of stresses  
225 (e.g. insitu stresses, deviatoric stresses, stress regime etc) and the geometry of the  
226 fracture. Figure 6 shows a cartoon representation of the geometry of a simplified  
227 case that is normally studied in the laboratory. The same geometry is used in this  
228 study. In this figure wellbore is shown as white circle in the middle of the sample  
229 with diameter "R". Two natural fractures are present above and below the  
230 wellbore at a distance of "b" with lengths of "l". Hydraulic fracture is considered to  
231 be bi-wing fracture; and is shown as two red triangles filled with orange colour.  
232 The angle between hydraulic and natural fractures is considered to be  $(\pi/2 - \alpha)$ ,  
233 where  $\alpha$  is the angle of natural fracture with the direction of minimum horizontal  
234 stress. Sample lengths are shown as "a". Maximum and minimum horizontal  
235 stresses are shown respectively as " $\sigma_H$ " and " $\sigma_h$ ".



236  
237 Figure 6: Geometry of a hydraulic and natural fracture  
238 interaction. a: sample dimension, b: Natural fracture distance  
239 from centre of the wellbore, R: wellbore diameter, l: natural

240 fracture size,  $\alpha$ : natural fracture angle,  $\sigma_H$ : Maximum horizontal  
241 stress and  $\sigma_h$ : Minimum horizontal stress

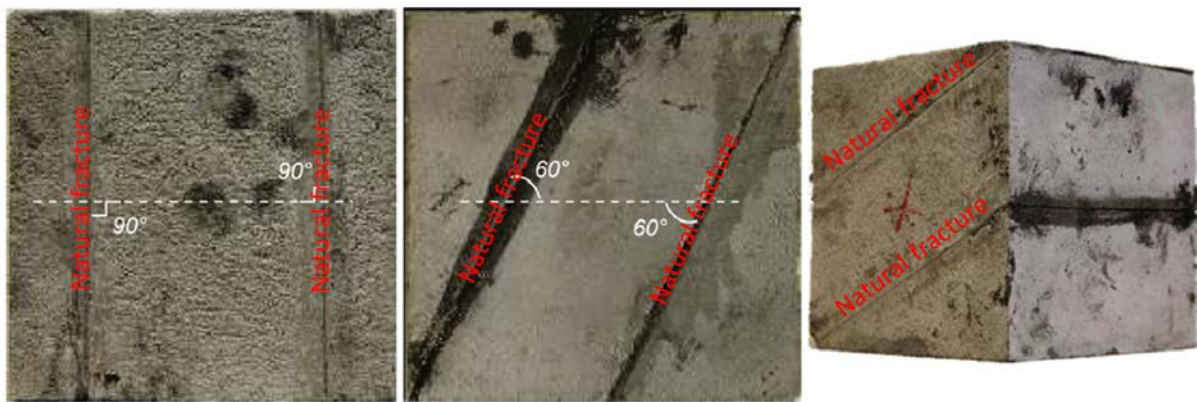
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243 Figure 4 shows a simulation result of the interaction between hydraulic and natural  
244 fractures at  $90^\circ$  angles. Figure 4a shows the final result of simulation without  
245 showing pressure. Wellbore is shown as white colour in the middle of the sample.  
246 Hydraulic fracture is shown as red dashed lines. Natural fracture is shown as black  
247 dashed lines. This figure demonstrates that hydraulic fracture propagated in the  
248 direction of maximum horizontal stress; and crossed the natural fractures. Figure  
249 4b shows the hydraulic fracture before it arrived at natural fractures. Figure 4c  
250 shows that lower wing of hydraulic fracture arrived at natural fracture. Figure 4d  
251 shows the final simulation result with pressure shown as blue circles with different  
252 sizes. The pressure circle sizes are normalized with respect to highest pressure. The  
253 higher the pressure the bigger the circles are.

#### 254 4. Experimental Studies

255 A rigorous experimental study was conducted to study the interaction mechanism  
256 between hydraulic fracture and natural fractures. Synthetic mortar samples were  
257 selected for this study to make sure that the only heterogeneities in the samples  
258 are the synthetic natural fractures. Real samples may have some imperfections  
259 such as hidden natural fractures or different grain diameters that can cause stress  
260 concentration. Stress concentration in one part of the sample can affect hydraulic  
261 fracture propagation and orientation, which consequently can affect test results. A  
262 ratio of one to one was considered for sand and cement weight; and a weight  
263 percentage of 40% for water to cement ratio. Mixture of water, cement and sand  
264 was mixed for 15 minutes and was poured in a Mould that was sitting on a  
265 vibratory table. Vibration intensity was controlled to make sure that there was no  
266 segregation of sand from slurry. Thin oil coated galvanized steel plates were placed  
267 in the slurry that was poured in the mould in the desired location at the desired  
268 angle to create the natural fractures. Slurry was allowed to cure for 12 hours and  
269 then was removed from the mould and placed in a water bath for 28 days. Water  
270 bath temperature was set at  $25^\circ$  C. Afterwards the samples were removed from  
271 the water bath; and were allowed to dry. The plates were then removed from the  
272 sample; and the sections that were separated by the plates were glued together  
273 using one of the two glues (white and brown) or cement slurry. These filling  
274 materials resemble the filling materials in the natural fractures. Figure 7 shows two  
275 samples. The left sample has natural fractures of  $90^\circ$  and the middle and right  
276 samples have natural fractures of  $60^\circ$  with respect to anticipated hydraulic fracture  
277 propagation direction. A borehole is then drilled in the middle of the sample. One  
278 side of the hole was plugged by a solid steel rod. The middle part of the hole was

279 left open; and the other side was cased by gluing a steel pipe. Once the samples  
 280 were ready, they were placed in True Triaxial Stress Cell (TTSC) (one at a time) that  
 281 has the capability to impose three independent stresses on the sample to resemble  
 282 vertical, minimum horizontal and maximum horizontal stresses. Fluid was then  
 283 injected into the sample through still pipe at a controlled rate to pressurize the  
 284 borehole. This caused a fracture initiation and propagation and eventually  
 285 interaction with the pre-existing synthetic natural fractures. To get the mechanical  
 286 properties of the sample, samples with similar composition and similar preparation  
 287 procedures were created. After that cylindrical plugs were removed from them.  
 288 Uniaxial and tri-axial tests were conducted to drive the mechanical properties of  
 289 the samples. Porosity and permeability of the samples were measured on  
 290 cylindrical plugs in the Poro/Permeameter apparatus. Boyle's law was used for  
 291 porosity measurement and Pulse decay method was used for permeability  
 292 measurements. Helium was used as the flowing fluid in these measurements.  
 293 These properties as well as shear properties of glues are shown in Table 1. Shear  
 294 strength of glues were determined by sandblasted aluminium lap shear test by the  
 295 manufacturer; and shear property of cement was determined by direct shear test  
 296 in the laboratory. Table 2 shows the mechanical properties of the synthetic and  
 297 simulated samples. Further details regarding experimental study are elaborated in  
 298 authors earlier studies [32-34].



299  
 300 Figure 7: Two 10 cm samples with 90° (left) and 60° (middle and  
 301 right) natural fractures with respect to anticipated hydraulic  
 302 fracture direction. [34]

303  
 304 Table 1: Hydro-mechanical properties of synthetic sample and  
 305 natural fracture filling materials. [33]

Hydro-mechanical property	Value		Test method
Uni-axial compressive Strength, UCS psi (MPa)	11,530 ±750 (79.5)		Unconfined compression test
Uni-axial poison's ratio, $\nu$	0.197± 0.02		Unconfined compression test
Young's modulus, E, psi (GPa)	4.018×10 <sup>6</sup> ± 2×10 <sup>5</sup> (27.74)		Unconfined compression test
Internal friction coefficient, $\Phi$ (degree)	44.3		Mohr circle, confined test
Cohesion, C <sub>c</sub> psi (MPa)	2524 (17.3)		Mohr circle, confined test
Tensile strength, T <sub>0</sub> , psi (MPa)	510±200 (3.5)		Brazilian tensile test
Fracture toughness, K <sub>IC</sub> , psi √in (MPa√m)	710±200 (0.78)		CSB
Natural interface shear Strength, $\tau_0$ , psi (MPa)	cement	290 (2)	*sandblasted aluminum lap shear test, Provided by manufacturer
	Brown glue	*70(0.5)	
	Black glue	*145(1)	
	White glue	*3370 (26)	
Natural interface friction, $\mu_f$	0.698±0.006		Direct Shear Test
Porosity, $\phi$ %	14.7±1		Two Boyle's cells
Permeability, K mD	0.018±0.005		Transient gas flood

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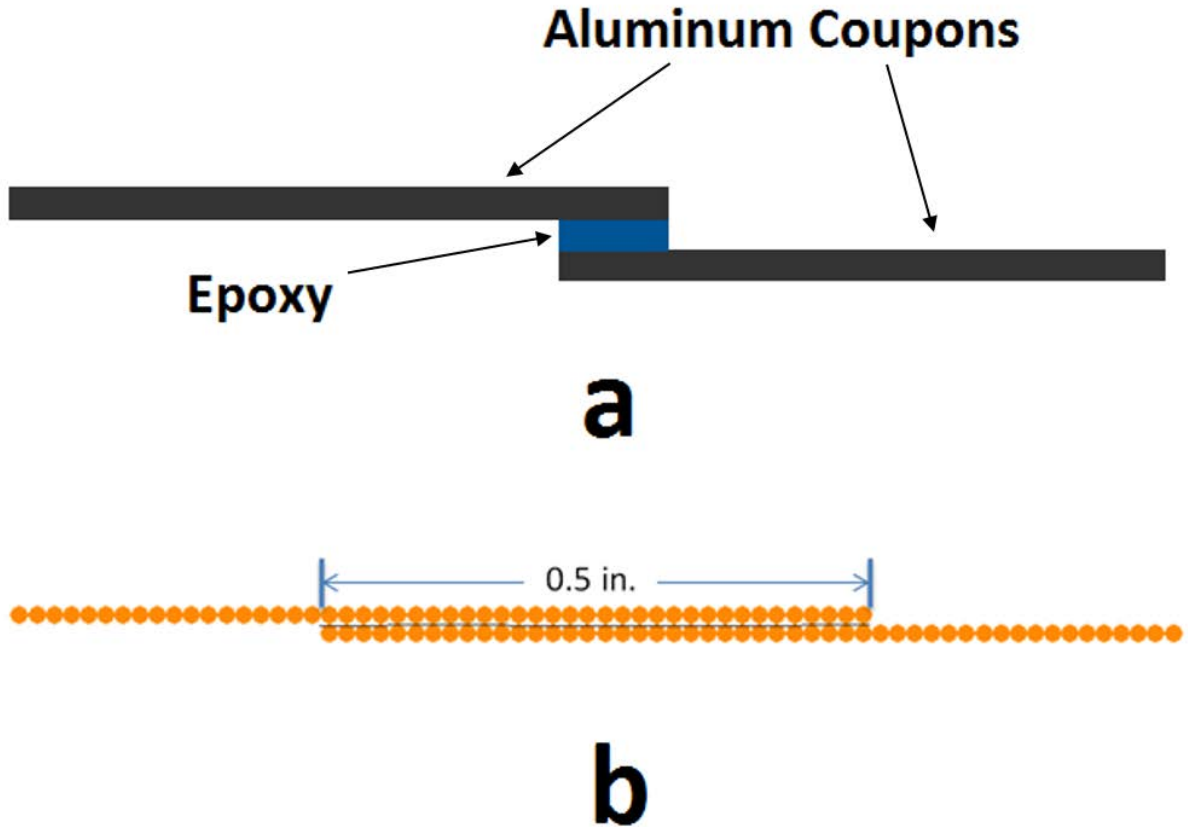
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Table 2: Synthetic and simulated sample mechanical properties

Sample Type, ID	UCS (MPa)	Young's Modulus E (GPa)	Poisson's Ratio	Cohesion (MPa)	Internal Friction coefficient (°)
Mortar	79.50	27.70	0.2	17.4	44.3
Simulated Mortar	79	27.6	0.2	17	46

309

310 To perform aluminium lap shear strength test, two aluminium plates of 2 in. width  
311 are overlapped 0.5 in. on each end of plates; and are epoxied together (Figure 8a).  
312 These two plates are then pulled apart in a direct tension test to evaluate the  
313 maximum shear strength that epoxy can tolerate. By knowing the area of epoxied  
314 surface, shear strength of epoxy is calculated by dividing shear force by shear  
315 surface area. Figure 8a shows the schematic view of the two aluminium plates that  
316 are epoxied together for lap shear test. Figure 8b shows a simulated sample,  
317 prepared to perform aluminium lap shear test. The contact strength between the  
318 particles in each of the top and bottom plates is set very high so that the plates do  
319 not fail under tensile force. The contact type between particles of top and bottom  
320 plates is set as smooth joint model. Smooth joint contact properties are then  
321 adjusted to match its shear strength against shear strength of cement and glues.  
322 For detailed information about smooth joint model and its micro-mechanical  
323 properties, please refer to PFC2D manual [30].



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Figure 8: a) schematic view of the aluminium plates epoxied together [35] b) Simulated sample for aluminium lap shear strength test.

329

Table 3 shows the mechanical properties of the smooth joint contacts that simulate the filling type of material. These properties are the micro mechanical properties of the smooth joints. These micro mechanical properties were derived by trial and error to get the macro mechanical properties of the filling material that are presented in Table 1.

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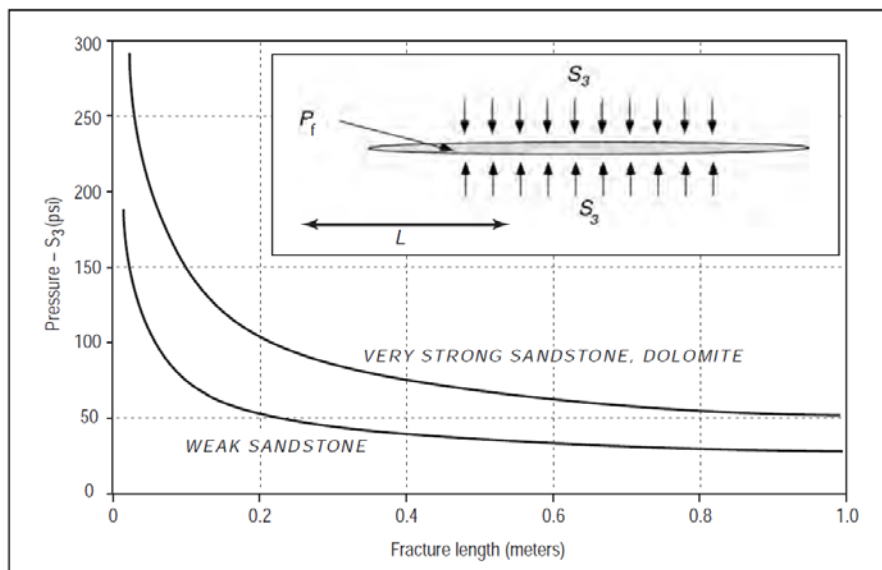
Table 3: Mechanical properties of smooth joint contacts simulating filling material

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Parameter Filling Material	Normal Stiffness	Shear Stiffness	Friction Coefficient	Dilation Angle	Normal strength	cohesion	Friction Angle
Unit	GPa	GPa	---	°	MPa	MPa	°
Brown Glue	1.10E+3	1.10E+3	0.1	0	1000	0.28	0.1
White Glue	1.65E+3	1.65E+3	0.7	0	1000	16	0.1
Cement	1.80E+4	1.80E+4	0.7	0	1000	60	66

### 337 5. Scaling analysis

338 One of the important parameters that need to be considered while doing  
339 laboratory experiments is the scaling of these tests. Tests in laboratory are  
340 performed on samples that are in centimetre scale. Field cases are in the scale of  
341 few hundred meters. As a result a dimensional analysis needs to be performed to  
342 scale down field cases to laboratory conditions. Laboratory experiments in this  
343 study are based on Sarmadivaleh's [33] scaling analysis and is explained briefly  
344 here. Early laboratory experiments didn't take into account this issue and  
345 performed experiments with field parameters. For example they used oil or water  
346 as fracturing fluid [2, 3, 6, 8, 36-45]. Even though oil was used in viscosity range of  
347 up to 3000 cp [43], but this viscosity is very low for laboratory applications. A  
348 viscosity of 3000 cp is very high for field cases and normally is associated with very  
349 viscous cross linked gel fluids. As Zoback [46] mentioned in his book and shown in  
350 Figure 9, once the fractures moves away from wellbore, the toughness or strength  
351 of the rock has minimal effect on hydraulic fracture propagation. In this figure it  
352 can be seen that once the fracture length is 1 meter, the difference in net  
353 pressures between a very strong sandstone and a weak sandstone is only 25 psi.



354

355 Figure 9: Fracture net pressure versus fracture length. [46]

356

357 In the field applications in the early stages hydraulic fracturing is toughness  
358 dominated. But as the fracture grows, it changes to viscous dominated. To be able  
359 to capture this phenomenon, a high viscosity fracturing fluid with low injection rate  
360 needs to be used. Figure 10 shows the scaling analysis that this study is based on.

361 Horizontal axis shows injection rate and vertical axis shows mode I rock fracture  
 362 toughness based on Equation 1 [47]:

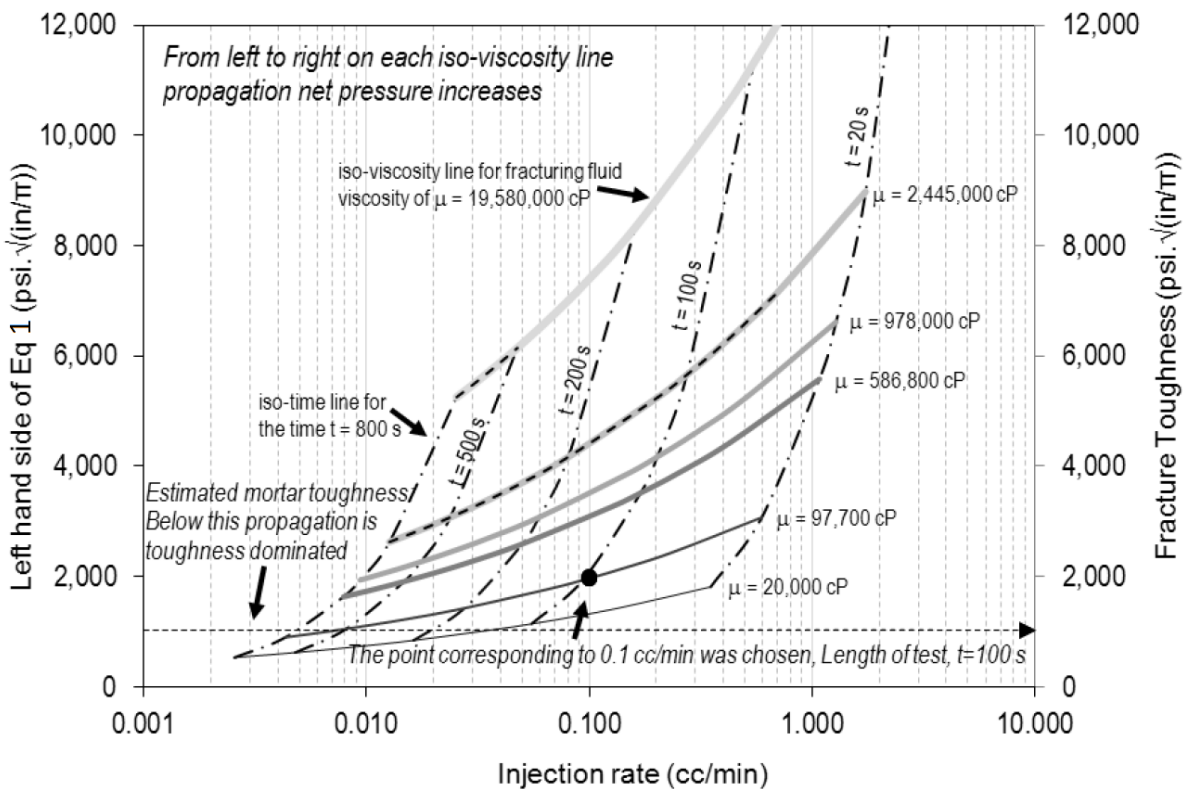
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$$K_{IC} < 2P_n \sqrt{\frac{r_f}{\pi}} \quad \text{Equation 1}$$

364

365 The parameters corresponding to black circle are used for the tests. This  
 366 corresponds to an injection rate of 0.1 cc/min and a viscosity value of 97700 cp.  
 367 This clearly indicates that this viscosity is one to two orders of magnitude larger  
 368 than viscosity ranges that were used in early experimental tests. The high viscosity  
 369 value and low injection rate also ensure that fracturing mechanism is controlled  
 370 and stays within sample boundaries. Once the scaling laws capture the physical  
 371 phenomenon that occurs in the field; field and laboratory experiments should  
 372 generate the same results and laboratory results can replicate what will happen in  
 373 the field.

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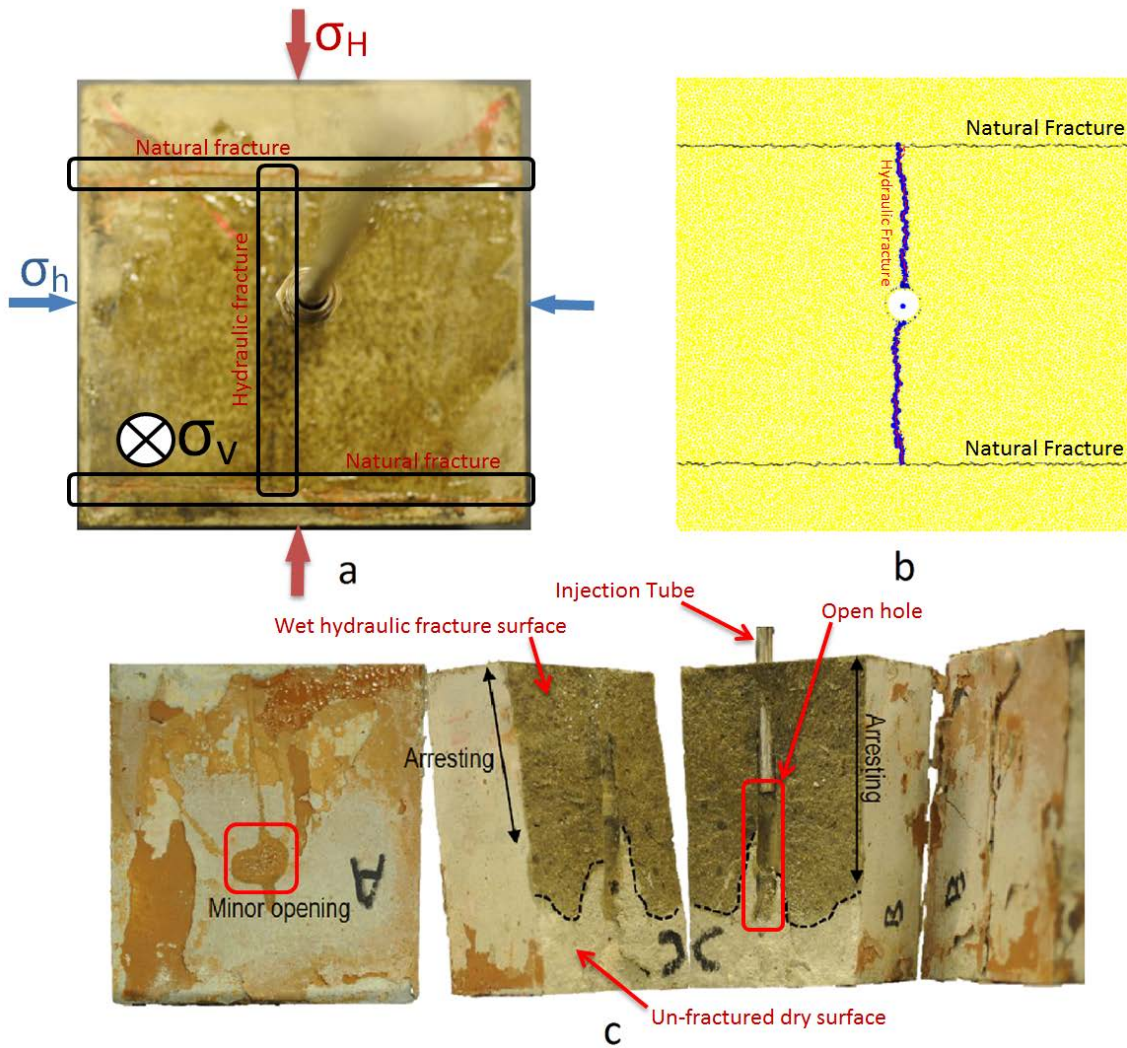
376 Figure 10: Scaling analysis for a 10 cm sample. For this  
 377 study the parameters corresponding to the black circle  
 378 are used. Modified after [33]

379        **6. Results and discussion**

380 This section presents the results of the interaction mechanism between the  
381 induced hydraulic fracture and natural fractures. Simulated results are compared  
382 with experimental results for different interface filling material and different  
383 interaction angles between natural and propagated hydraulic fractures. Note the  
384 term “angle” especially in this section will refer to angle between the propagated  
385 hydraulic fracture and natural fracture.

386 Figure 11 shows the results for the interaction angle of 90°. In this test, the filling  
387 material is Brown Glue. Figure 11a and Figure 11c show the experimental results  
388 and Figure 11b shows the simulated result. Both simulation and experimental  
389 results demonstrated that propagated hydraulic fractures are arrested at  
390 intersection points with natural fractures. Figure 11c shows the sample with slabs  
391 detached from the main section using chisel and hammer. Main section is also split  
392 in half on the hydraulic fracture plane to describe the fracturing surface. Minor  
393 opening at intersection point is observed in slab A, whereas slab “B” shows  
394 complete arrest of hydraulic fracture. This slab was broken during detachment  
395 process. Main section “C” shows a bi-wing hydraulic fracture.





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Figure 11: Brown glue as natural fracture filling material. a) Top view of the sample. Hydraulic fracture arrived at natural fracture at 90°. Hydraulic fracture arrested at natural fractures. b) Simulated sample. It shows that hydraulic fracture is arrested at natural fractures. c) Sample opened to show the created hydraulic fracture. Slab "A" shows mainly arresting of the hydraulic fracture with minor opening. Slab "B" shows complete arresting of hydraulic fracture. The slab was broken while trying to detach it from main section 'C'. Section "C" shows a bi-wing hydraulic fracture with fracture surface shown in dark grey colour as the fracturing fluid caused wetting of the fracture surface. Modified after [33]

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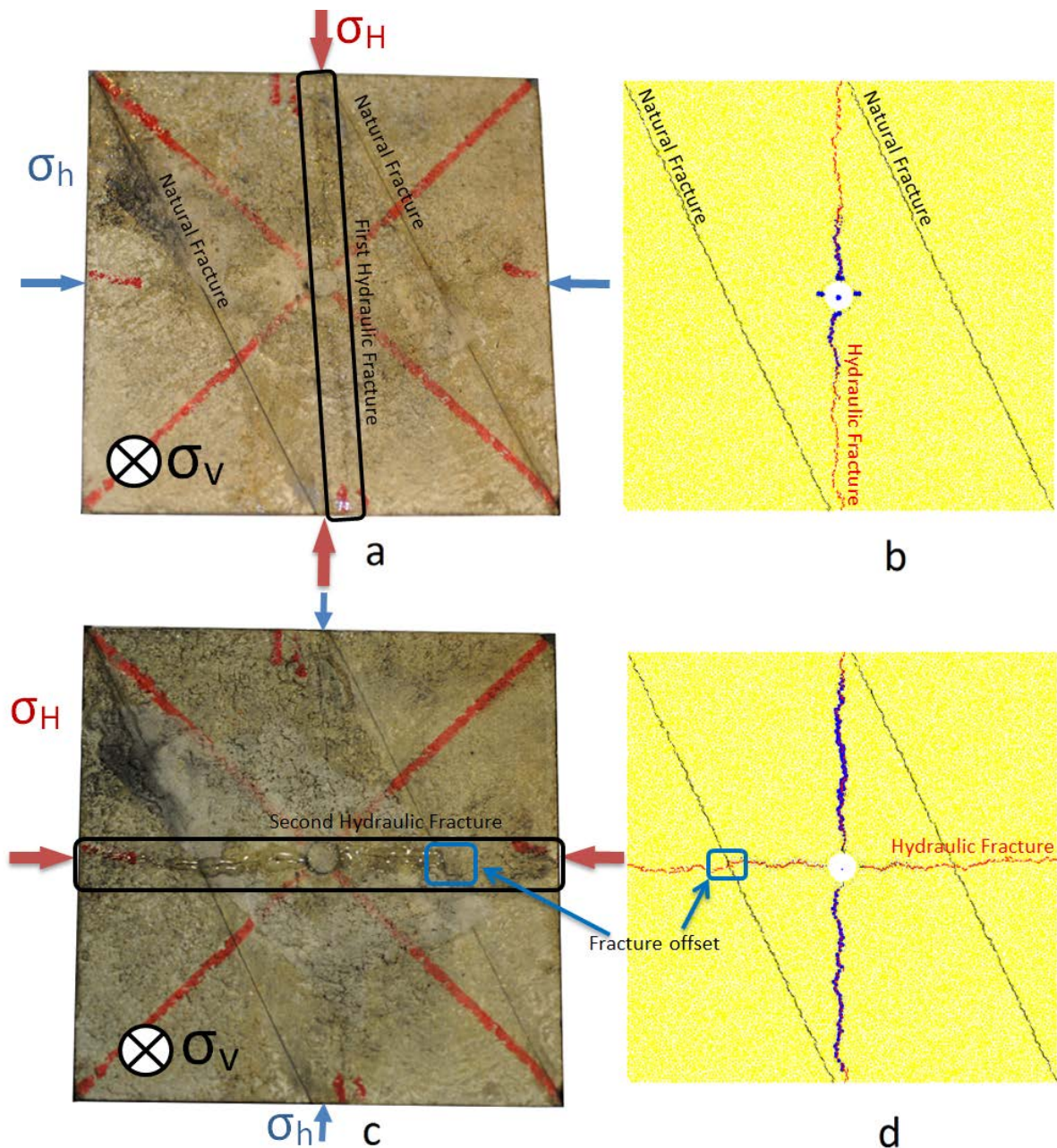
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Figure 12 shows a sample with white glue as natural fracture filling material. Two tests were carried out with this sample. In the first test as shown in Figure 12a, principal stresses were imposed in a way that hydraulic fracture initiated and propagated in a direction of 30° with respect to natural fracture. No interaction was observed between hydraulic fracture and natural fractures. Figure 12b shows

414 a simulation of this test with same result of no interaction. To facilitate the  
415 creation of hydraulic fractures, two sets of small notches on borehole wall were  
416 created for both experimental and simulation tests. These two sets were  
417 orthogonal. Hydraulic fracture initiated and propagated in the direction of  
418 maximum horizontal principal stress as one would anticipate. Figure 12c shows the  
419 result of second test. In this test, the principal horizontal stresses were rotated  $90^\circ$   
420 with respect to test in Figure 12a (i.e. hydraulic fracture propagated in the  
421 direction of maximum horizontal stress), and minimum horizontal stress was  
422 halved. It is observed that the hydraulic fracture propagated in the direction of  
423 maximum horizontal stress and intersected the natural fracture at about  $60^\circ$ . Both  
424 wings of hydraulic fracture crossed natural fractures. The right wing shows a small  
425 offsetting at intersection point. Figure 12d shows the simulated test condition with  
426 same interaction results. Figure 12d shows that blue circles which represent  
427 pressure are higher in the first created fracture (vertical fracture) away from  
428 wellbore. This implies that pressure inside vertical fracture is higher than both  
429 wellbore pressure and pressure inside horizontal fracture. The reason is that after  
430 vertical fracture wings hit the top and bottom boundaries, the direction of  
431 principal stresses were changed. The new higher stress acted on vertical fracture  
432 walls and caused the fracture to close down. Fluid that was trapped inside fracture,  
433 experienced higher stresses from fracture walls and its pressure started to increase  
434 because of reduction in fracture volume and low compressibility of fracturing fluid.  
435 As it can be seen that the fracture wings were pinched out from both boundaries  
436 and wellbore sides. It should be noted that the size of circles that show fluid  
437 pressure are normalized based on highest pressure during each time step. Initially  
438 during fracture initiation and propagation, wellbore pressure might be the highest  
439 pressure. But if multiple fractures are present in the system, one of them may  
440 pinches out/closes and traps fluid, while other fracture/fractures propagate and  
441 cause the reduction of wellbore pressure. In this instance wellbore pressure may  
442 reduce below fluid pressure that is trapped in the pinched out fracture.



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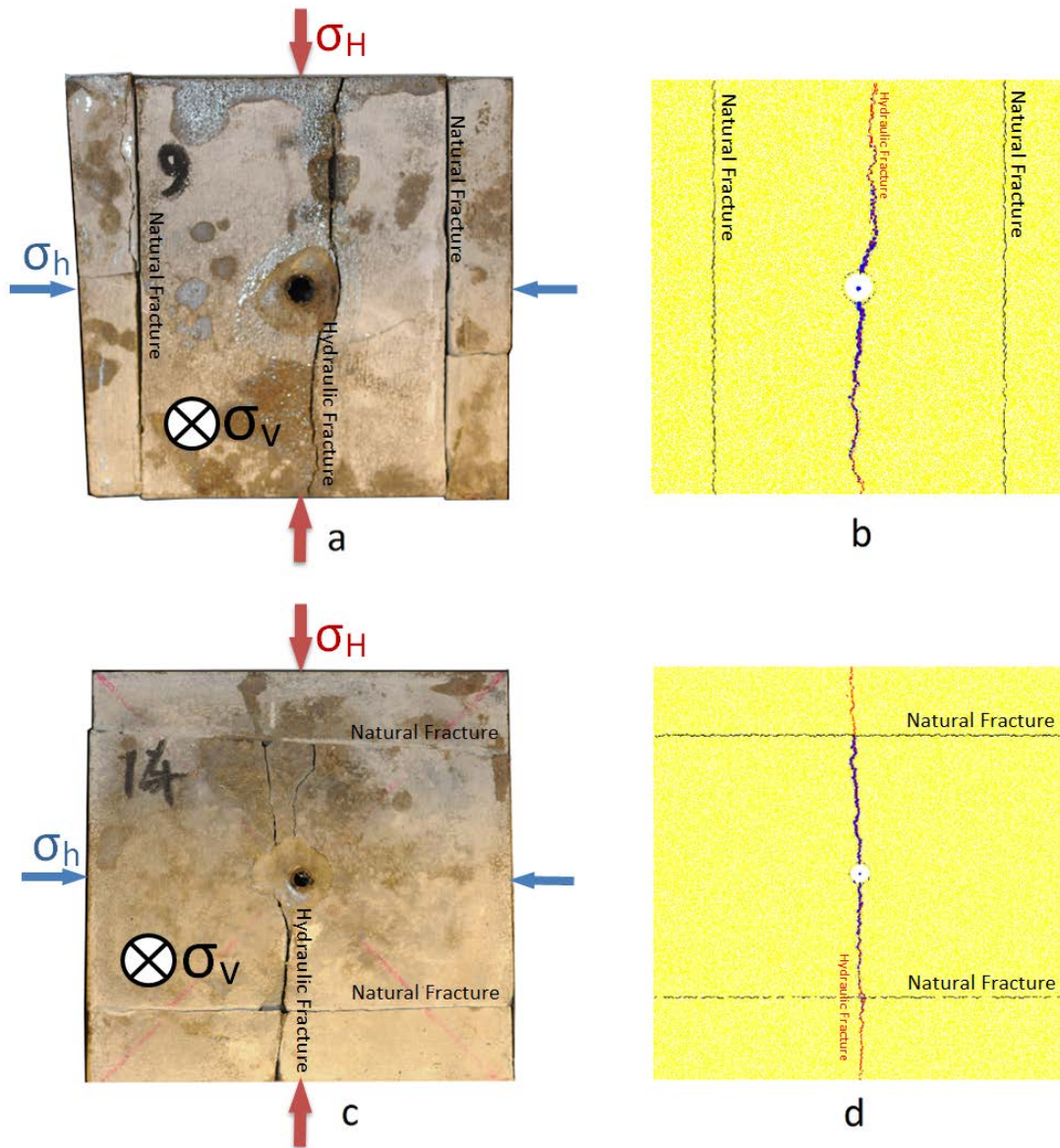
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Figure 12: White Glue as natural fracture filling material. a) Experimental result for natural fracture at 30° with respect to hydraulic fracture. No interaction between hydraulic fracture and natural fracture occurred. b) Simulated fracturing test for the natural fracture at 30° with respect to hydraulic fracture. No interaction between hydraulic fracture and natural fracture occurred. c) Experimental result for natural interface at 60° with respect to hydraulic fracture. Hydraulic fracture crossed natural fracture with small offset at right wing. d) Simulated fracturing test for the natural fracture at 60° with respect to hydraulic fracture. Hydraulic fracture crossed natural fracture with small offset at left wing.

456 Figure 13 shows the simulation and experimental results for two cases of  $0^\circ$  and  
457  $90^\circ$  orientation of natural fractures with respect to hydraulic fracture. Cement is  
458 natural fracture filling material for both samples. Figure 13a shows the  
459 experimental result for the case that hydraulic fracture was initiated and  
460 propagated parallel to natural fracture. Figure 13b shows the simulation with same  
461 interaction result. Both simulation and experimental results show that created  
462 hydraulic fracture is bi-wing in the direction of maximum horizontal stress. Figure  
463 13c shows the experimental result for the case of  $90^\circ$  interaction angle. The top  
464 wing is arrested at intersection point and bottom wing crossed the natural  
465 fracture. Figure 13d shows the simulation result for  $90^\circ$  interaction angle.  
466 Simulation shows that hydraulic fracture has crossed both top and bottom natural  
467 fractures. The discrepancy between experimental and simulation results at top  
468 natural fracture is due to the fact that in the experimental case, after hydraulic  
469 fracture crossed the bottom boundary, it also intersected the boundary  
470 perpendicular to vertical stress direction. Fluid has leaked off at three boundaries  
471 and caused rapid depressurization of fracture fluid as well as loss of pressure  
472 energy. Consequently available remaining energy of fracturing fluid was not good  
473 enough to cross the top boundary. In the simulated sample, no leak off is  
474 considered in the out of plane dimension, which is considered to be a better  
475 representation of field condition. In this case that target formation is considered to  
476 be bounded within two impermeable formations on top and bottom. It is very  
477 unlikely to fracture if the top and bottom formations have higher stresses contrast  
478 with respect to target formation. The chance of splitting at interface between  
479 formations in the horizontal plane is also very slim as overburden stress will clamp  
480 it down. The net result is that fracture would be bounded in the target formation

481 and fracturing fluid would not lose its energy readily as it did in the experimental  
482 test.



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484 Figure 13: Cement as natural fracture filling material. a) Experimental result for natural fracture at  $0^\circ$  with respect to  
485 hydraulic fracture. No interaction between hydraulic fracture and natural fractures occurred. b) Simulated fracturing test for  
486 the natural fracture at  $0^\circ$  with respect to hydraulic fracture. No interaction between hydraulic fracture and natural fractures  
487 occurred. c) Experimental result for natural fracture at  $90^\circ$  with respect to hydraulic fracture. Top wing arrested at intersection  
488 point. Bottom wing crossed natural fracture. d) Simulated result for natural fracture at  $90^\circ$  with respect to hydraulic fracture.  
489 Both wings crossed natural fractures.

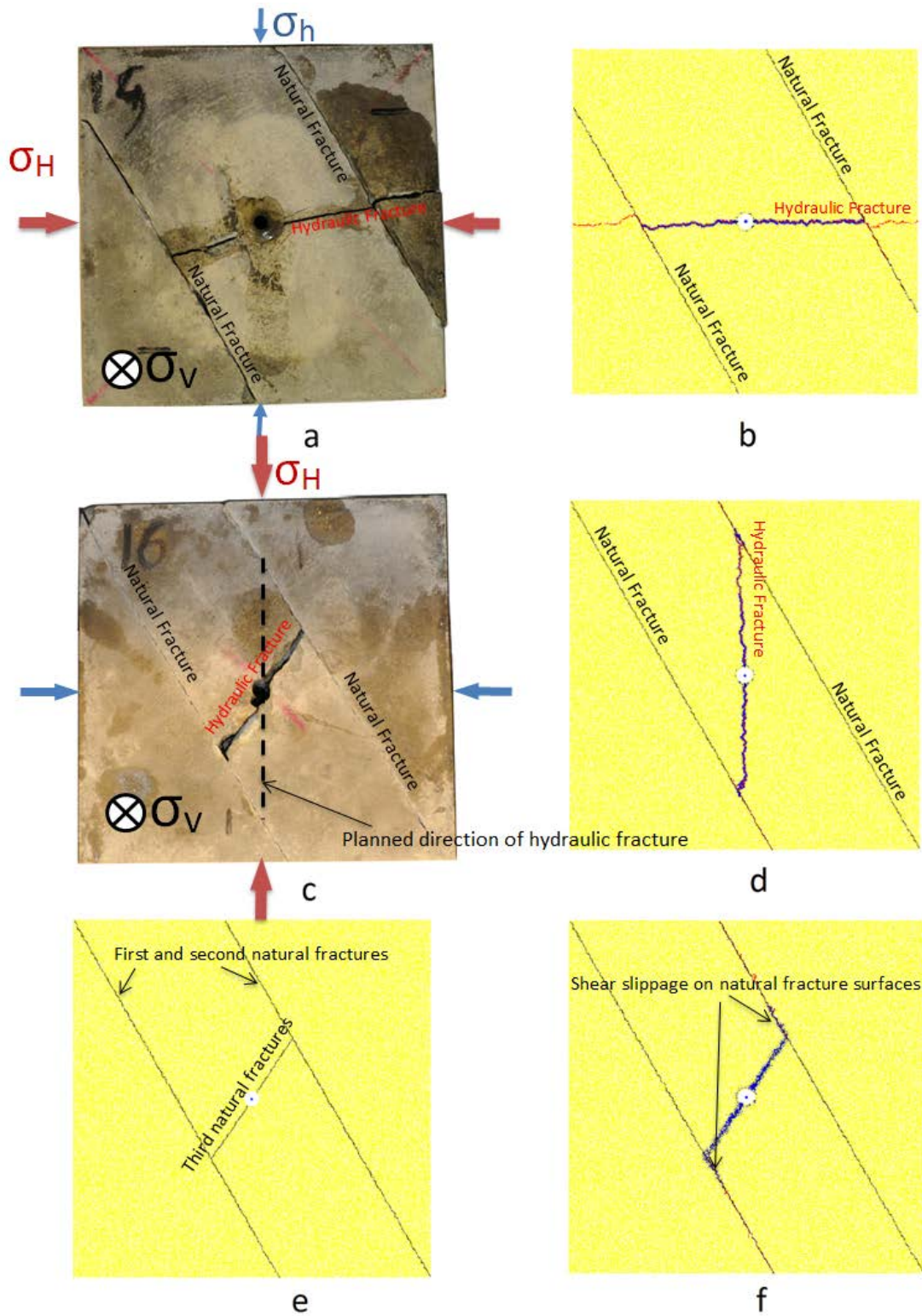
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497 Figure 14 shows two tests for anticipated interaction angles of  $60^\circ$  and  $30^\circ$ . Figure  
498 14a shows the experimental result for the anticipated  $60^\circ$  interaction angle. As  
499 predicted fracture has arrived at natural fracture at  $60^\circ$ . The left wing got arrested  
500 and the right wing crossed the natural fracture. Same as previous case, excessive  
501 leak off at three boundaries caused early depressurization of fracturing fluid. This  
502 caused left wing to be arrested. Figure 14b shows the simulation result for  $60^\circ$   
503 interaction angle. It shows that both wings crossed the natural fractures with some  
504 degree of offsetting. Offset is larger at left wing. Figure 14c shows the  
505 experimental result for the planned interaction angle of  $30^\circ$ . However, hydraulic  
506 fracture did not propagate in the planned direction. Few parameters could cause  
507 this deviation of hydraulic fracture from the planned direction such as improper  
508 stress installation, defects in the sample and misalignment of side slabs. The main  
509 reason could be that opposite sample sides were not totally parallel. When the  
510 side slabs were cemented to the centre piece, small misalignment could cause  
511 stress to be imposed more on the side slabs. This could cause stress rotation in the  
512 centre piece, which can impact fracture initiation point and its propagation path. It  
513 then arrested at natural fractures and caused shear slippage on natural fractures.  
514 Figure 14d shows the simulation result. From this figure it can be seen that fracture  
515 initiated and propagated in the planned direction parallel to maximum horizontal  
516 stress and  $30^\circ$  with respect to natural fractures. Hydraulic fracture arrested at  
517 natural fractures. It caused some shear slippage on natural fractures surfaces. To  
518 be able to benefit from the experimental result even though the whole physics of  
519 the problem could not be captured, a simulation was prepared with three natural  
520 fractures as shown in Figure 14e. Two natural fractures were positioned at  $30^\circ$   
521 with respect to maximum horizontal stress and the third one was positioned in the  
522 direction of experimental hydraulic fracture. In this way, third natural fracture  
523 allowed the fracturing fluid to arrive at the interaction points similar to  
524 experimental result. The aim was to observe whether the fluid pressurization will  
525 cause initiation of fracture at intersection point on the opposite side of natural  
526 fracture or it causes shear slippage at natural fractures. Fluid caused shear slippage  
527 on  $30^\circ$  natural fractures similar to what was observed in the experimental case.

528 This test further confirmed the consistency between simulation and experimental  
529 results.

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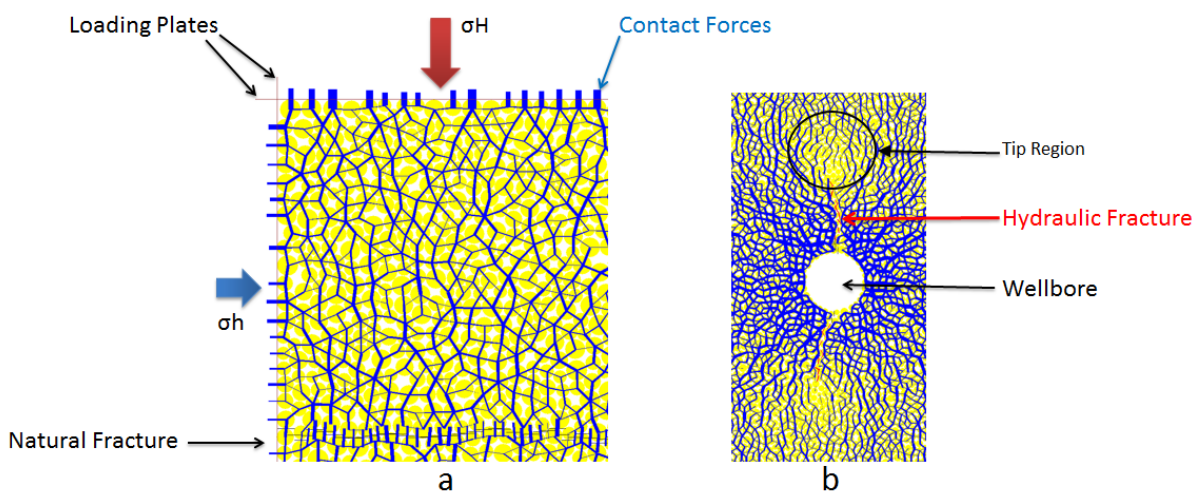
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533 Figure 14: Cement as natural fracture filling material. a) Experimental result for natural fracture at 60° with respect to hydraulic fracture. Left wing of hydraulic fracture arrested at intersection point and right wing crossed natural fracture. b) Simulated fracturing test for the natural fracture at 60° with respect to hydraulic fracture. Both wings crossed natural fractures with small offsetting. Offset is larger at left wing. c) Experimental result for natural fractures at anticipated 30° with respect to hydraulic fracture. Hydraulic fracture didn't propagate in the desired direction. Both wings were arrested by natural fractures. d) Simulated result for anticipated natural fracture at 30° with respect to hydraulic fracture. Both wings arrested at natural fractures. Shear slippage occurred at some interval over natural fracture surfaces. e) Two natural fractures at 30° and third one in the direction of experimental hydraulic fracture. f) Shear slippage at natural fracture surfaces.

549

550 Figure 15a shows contact forces between particles at top left hand corner of  
 551 sample with a natural fracture at 90° with respect to the direction of " $\sigma_H$ ". Blue  
 552 lines show compressive forces between particles with thicker lines showing higher  
 553 stresses. This figure shows that thicker lines are aligned in the direction of " $\sigma_H$ " as  
 554 is expected. Figure 15b shows compressive forces between particles around  
 555 wellbore when the hydraulic fracture moved a small distance away from wellbore.  
 556 As it can be seen in the tip region compressive forces are vanished or are very  
 557 small. On the sides of hydraulic fracture, compressive forces are higher and the  
 558 lines showing compressive forces are radiating away from this region.

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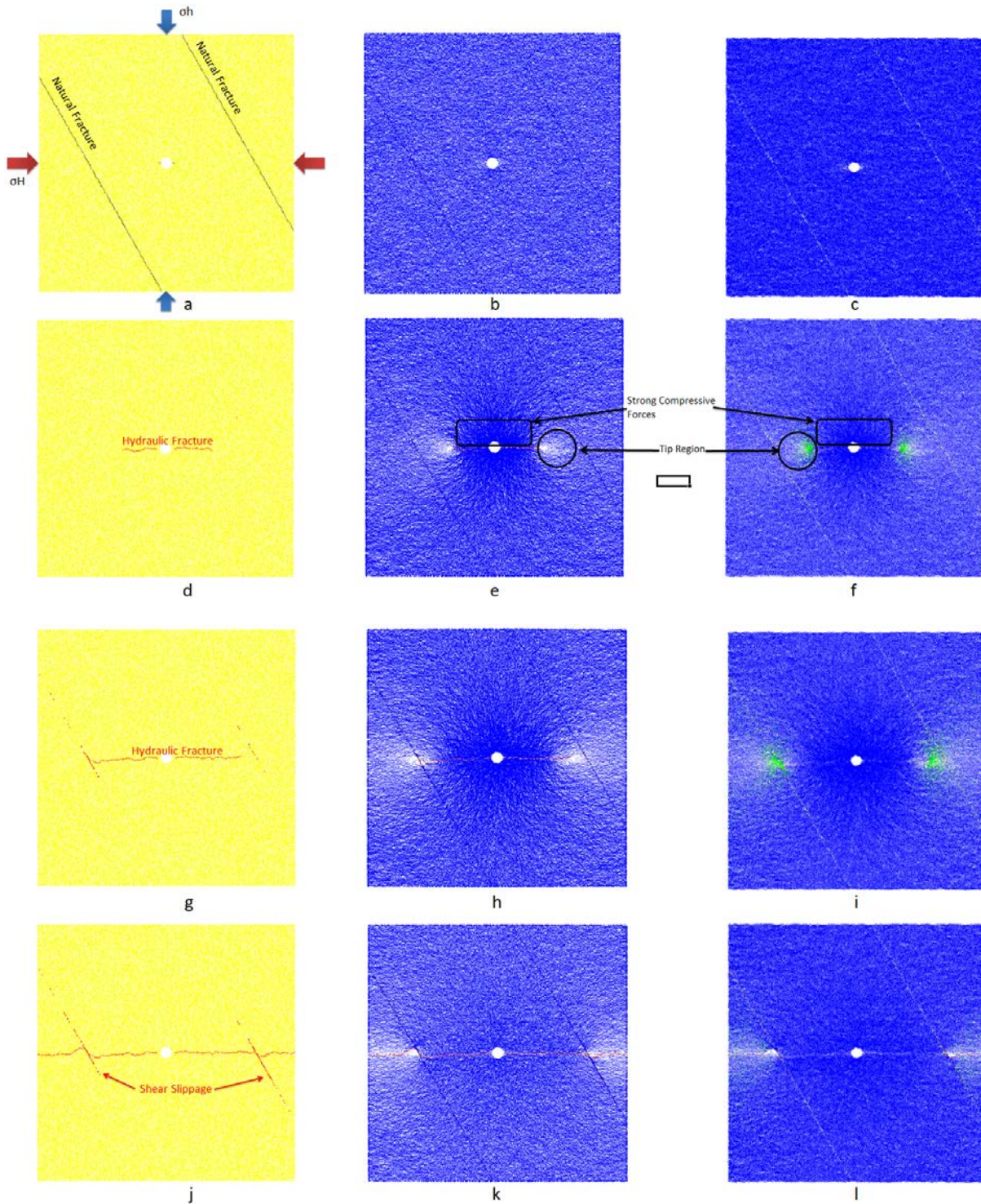
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561 Figure 15: Compressive forces between particles. Blue  
 562 lines represent contact forces. The thicker the lines,



563 the higher the contact force. (a) Upper left hand  
564 corner of sample. (b) Contact forces around wellbore  
565 after hydraulic fracture propagated some distance  
566 away from wellbore. At distances just beyond the tip  
567 of the fracture, compressive forces are either  
568 vanished or are very minor.

569 Figure 16 shows hydraulic fracture propagation, contact forces between particles  
570 and parallel bond forces for the case of 60° hydraulic and natural fracture  
571 interaction with cement as filling material. Compressive parallel bond forces are  
572 shown in blue colour and tensile parallel bond forces are shown in green colour.  
573 Figure 16a shows sample setup before borehole pressurization. Contact forces  
574 between particles and parallel bond forces are shown in Figure 16b and Figure 16c  
575 corresponding to sample state in Figure 16a. Figure 16b shows stress  
576 concentration around wellbore parallel to maximum horizontal stress and on the  
577 natural fractures. Figure 16d, Figure 16g and Figure 16j show hydraulic fracture  
578 propagation. In these three figures, natural fractures are not shown to be able to  
579 better observe shear slippage on natural fractures. Shear slippage is shown by red  
580 dashed lines on natural fractures. Figure 16(d, e and f) show hydraulic fracture half  
581 way between wellbore and natural fractures. Figure 16d shows that there is no  
582 shear slippage on natural fractures at this stage. Figure 16e shows that  
583 compressive forces are reduced to some extent around natural fractures. Figure  
584 16f shows that parallel bond tensile forces didn't reach natural fractures. Figure  
585 16(g, h and i) show that hydraulic fracture didn't intersect natural fractures but is  
586 very close to them. At this stage, shear slippage occurred on natural fractures.  
587 Figure 16h show that particle compressive forces are vanished on natural fractures  
588 ahead of hydraulic fracture tip. Figure 16i shows parallel bond tensile forces  
589 reached natural fractures. These tensile forces and particle movements caused  
590 shear slippage on the natural fracture. Figure 16(j, k and l) show the state of  
591 sample and forces after hydraulic fracture crossed natural fractures and hit the  
592 boundaries. Figure 16 showed the dynamic nature of hydraulic fracture  
593 propagation and its corresponding dynamic stress changes. Shear slippage on  
594 natural fractures occurred before hydraulic fracture intersects natural fractures.  
595 This doesn't mean that shear slippage will always occur. It depends on the  
596 conditions of sample, natural fracture properties, stress state and fluid properties  
597 and flow rate. This shows that hydraulic fracturing is a dynamic process and should  
598 be simulated in this way. Trying to capture the physics of the problem and solving  
599 it in the static or pseudo-static manner can cause misleading results.



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Figure 16: Hydraulic fracture propagation, contact and parallel bond forces and shear slippage on natural fractures for 60° fracture interaction angle with cement as filling material. (a) Sample set up before borehole pressurization. (b) Contact forces for sample in (a). (c) Parallel bond forces for sample in (a). (d) Hydraulic fracture propagated away from wellbore and half way to natural fractures. Natural fractures are

610 not shown in this figure. (e) Contact forces at the tip  
 611 of hydraulic fracture are either vanished or are very  
 612 small. These forces on the sides of hydraulic fracture  
 613 are very strong. (f) Parallel bond forces at the tip of  
 614 hydraulic fracture are tensile shown in green colour.  
 615 Parallel bond forces on the sides of hydraulic fracture  
 616 are very compressive. (g) Hydraulic fracture tip is very  
 617 close to hit natural fractures but didn't intersect them  
 618 yet. Hydraulic fracture caused shear slippage on the  
 619 natural fracture surfaces. (h) Compressive forces  
 620 ahead of Hydraulic fracture tip on the natural fracture  
 621 are vanished. (i) Parallel bond force ahead of fracture  
 622 tip and around natural fracture are tensile. (j, k, l)  
 623 Hydraulic fracture crossed natural fractures.

624

625 Table 4 summarises the test parameters and results. This table also contains the  
 626 test results predicted based on analytical criteria developed by Blanton (1986).  
 627 Based on Blanton's theory, hydraulic fracture propagation stops momentarily once  
 628 it interacts with natural fracture. Fracture pressurization inside wellbore, hydraulic  
 629 fracture and intersection point will lead either to opening or crossing of natural  
 630 fracture. If the condition of Equation 2 is satisfied, "crossing" will occur. Otherwise,  
 631 "opening" will be the interaction result. In this equation " $\sigma_H$ " and " $\sigma_h$ " are  
 632 maximum and minimum horizontal stresses respectively. " $T$ " is the tensile  
 633 strength of the rock. " $\theta$ " is the interaction angle and " $b$ " depend on the properties  
 634 of natural fracture. More details about this analytical criteria can be found in  
 635 Blanton's paper [7]. Using the properties of natural fracture and rock and the  
 636 values of principal stresses in this study, test result were calculated and presented  
 637 in Table 4.

$$\frac{\sigma_H - \sigma_h}{T} > \frac{-1}{\cos 2\theta - b \sin 2\theta} \quad \text{Equation 2}$$

638

639 Table 4 as well as the discussion above clearly indicate that simulation results can  
 640 replicate the experimental condition; and be capable of producing the similar  
 641 results. Results also indicate that simulation results match experimental results  
 642 better than analytical results. The major difference between simulation and  
 643 experiments is the 2D characteristic of the simulation. However, as discussed  
 644 above, simulation is a better representation of field condition than experiments.  
 645 The reason is that, in the simulation, there is no fluid leak off out of the sample  
 646 from the plane perpendicular to vertical stress. This is similar to a reservoir  
 647 formation that is sandwiched between two impermeable formations with higher

648 stress contrast. Matrix permeability in the vertical direction doesn't allow  
 649 excessive leak off to barrier formations contrary to what was observed in the  
 650 experiments. In this case the fracture would be contained in the reservoir  
 651 formation and the probability of fluid leak at formation interfaces would be very  
 652 low. In the experiments, if the fracture arrives at top and bottom surfaces that are  
 653 perpendicular to vertical stress, excessive fluid leak off could depressurize fluid  
 654 causing excessive fluid energy loss which can significantly influence the outcome of  
 655 the results. A remedy to this problem would be using samples with larger side  
 656 lengths for experimental studies. But larger samples can introduce new problems.  
 657 Creating synthetic homogenous large samples is very difficult. Handling and  
 658 placement in the equipment and proper stress installation are involved with  
 659 complex and tedious processes. If the opposing surfaces are not totally parallel,  
 660 stress rotation and localization can jeopardize the results. Another difficulty with  
 661 experimental studies is that these tests are extremely time consuming and require  
 662 very expensive experimental setup. This puts constraint on the number of tests  
 663 that can be done. As a result a strong conclusion that covers wide range of test  
 664 conditions can be hardly possible to be drawn. Simulation studies can overcome  
 665 these limitations to a large extent. Large scale simulated samples can easily be  
 666 developed without affecting the homogeneity nature of the sample. Principal  
 667 stresses can be controlled easily to make sure that there is no unwilling stress  
 668 rotation. Tests can also be performed at a large frequency for a wide range of test  
 669 conditions.

670

671

Table 4: Summary of test parameters and test results

			Interaction Results		
Test #	Natural fracture filling material	Interaction angle (°)	Simulation interaction result	Experimental Interaction Result	Analytical Criteria Blanton (1986)
1	Brown Glue	90	Arrest	Arrest	Cross
2	White Glue	30	Didn't intersect	Didn't intersect	Open
3	White Glue	60	Cross	Cross	Cross
4	Cement	0	Didn't intersect	Didn't intersect	Doesn't Interact
5	Cement	90	Cross	Cross-Arrest	Cross
6	Cement	60	Cross	Cross-Arrest	Cross
7	Cement	30	Arrest-Shear Slippage	Arrest-Shear Slippage	Open
Principal stresses					

Test #	$\sigma_v$ (psi)	$\sigma_H$ (psi)	$\sigma_h$ (psi)	Sample side length (cm)	Fluid Viscosity (cp)	Injection Rate (cc/min)
1	3000	2000	1000	10	97700	0.1
2	3000	2000	1000	10	97700	0.1
3	3000	2000	500	10	97700	0.1
4	3000	2000	1000	10	97700	0.1
5	3000	2000	1000	15	97700	0.1
6	3000	2000	1000	15	97700	0.1
7	3000	2000	1000	15	97700	0.1

672

673 It can be summarized based on previous discussion and Table 4 that the outcome  
674 of propagated hydraulic fracture and natural fracture interaction significantly  
675 depends on the orientation of natural fractures with respect to principal stresses  
676 and natural fractures filling material. Increasing the angle of natural fractures with  
677 respect to maximum horizontal stress increases the chance of crossing the natural  
678 fracture. Low angles between hydraulic fracture and natural fractures can cause  
679 shear slippage on the natural fracture planes. Filling material also plays a vital role.  
680 Weak planes increase the chance of shear slippage and arresting even at 90°  
681 orientation of natural fractures with respect to maximum horizontal stress as was  
682 observed in the case of brown glue filling material. If the filling material has strong  
683 cohesion strength such as the case of cement and white glue, they can resist shear  
684 slippage and aid the crossing behaviour at even lower angles of approach. In this  
685 study it was seen that at 60° angle of approach, hydraulic fracture crossed natural  
686 fractures when cement and white glue were used as filling materials. However,  
687 there are more parameters to be considered such as deviatoric stress (i.e. the  
688 difference of the magnitude of maximum and minimum horizontal stress), friction  
689 coefficient of natural fracture, fluid injection flow rate, fluid properties, as well as  
690 rock mechanical properties. In these tests, natural fractures were assumed to have  
691 large lengths and cross the sample boundaries. This was because of the difficulty to  
692 embed natural fractures in samples with desired surface properties to not cross  
693 the boundaries. In field cases, natural fracture lengths can be divided into three  
694 groups based on Daneshy's classification [16]. Based on his classification, natural  
695 flaws are small, medium or large. Small flaws have no effect on the propagation  
696 path. Medium flaws can cause small changes in propagation path but has no effect  
697 on the overall path. Large fractures can have significant effect on the propagation  
698 path depending on conditions such as being open or close, rock and fluid  
699 properties and stress condition. In the tests conducted in this study natural  
700 fractures belong to the third group.

## 7. Conclusion

701  
702 This paper presented the results of experimental and numerical studies on the  
703 interaction of propagated hydraulic fracture with natural fractures. Three types of  
704 natural fracture filling materials have been tested. Experimental studies were  
705 performed on 10 and 15 cm prismatic synthetic mortar samples. Samples were  
706 tested in a True Triaxial Stress Cell, which has the capacity to facilitate application  
707 of three independent principal stresses on the test sample. The numerical  
708 simulation is carried out using Distinct Element Method (DEM) based numerical  
709 simulator tool, PFC2D. The purpose of the study was to validate simulation results  
710 by comparing them against experimental results. It was shown that simulation  
711 results were very similar to experimental results.

712 Both experimental and numerical results demonstrated that increasing the angle  
713 between the direction of maximum horizontal stress and natural fracture planes,  
714 increases the chance of propagated hydraulic fracture to cross natural fractures.  
715 The results also showed that natural fractures filling material plays a vital role on  
716 the interaction outcome. Weak filling materials increase the chance of shear  
717 slippage on natural fracture planes while increasing the strength of filling material  
718 increases the chance of crossing natural fractures.

719 Experimental results showed that if hydraulic fracture crosses the boundary that is  
720 orthogonal to vertical stress, it can cause a rapid depressurization of fracturing  
721 fluid resulting in rapid loss of fluid energy. This can affect the hydraulic and natural  
722 fractures interaction outcome, and cause arresting of hydraulic fracture at natural  
723 fracture planes. Numerical simulation was shown to handle this situation better  
724 and generate more accurate results.

725 Misaligned gluing of slabs to centre piece during preparation of testing sample can  
726 cause misleading interaction behaviour of natural and propagated hydraulic  
727 fracture, which warrant the importance of serious attention to careful preparation  
728 of testing sample. The chance of such error is relatively thin for numerical  
729 modelling. The simulation model was found to be better representation of the test  
730 scenario, which can generate more accurate results to interpret the interaction  
731 behaviour of natural and propagated hydraulic fractures.

732 Simulation model presented in this paper can be used to conduct more sensitivity  
733 analysis to study the effect of other parameters such as deviatoric stress, natural  
734 fracture size and orientation, and natural fracture permeability.

735 One of the limitations of the simulation model is the 2D characteristic of the  
736 model. However, this model still can help to better understand the interaction  
737 mechanism of propagated hydraulic and natural fracture. Further study can be

738 pursued to extend the model to PFC3D to capture more accurately the 3D physics  
739 of the problem.

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