

1 Molecular Phylogenetics and Evolution

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3 Surgeons and suture zones: hybridization among four surgeonfish species in the Indo-Pacific  
4 with variable evolutionary outcomes

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23 **Abstract**

24 Closely related species can provide valuable insights into evolutionary processes through  
25 comparison of their ecology, geographic distribution and the history recorded in their genomes.  
26 In the Indo-Pacific, many reef fishes are divided into sister species that come into secondary  
27 contact at biogeographic borders, most prominently where Indian Ocean and Pacific Ocean  
28 faunas meet. It is unclear whether hybridization in this contact zone represents incomplete  
29 speciation, secondary contact, an evolutionary dead-end (for hybrids) or some combination of the  
30 above. To address these issues, we conducted comprehensive surveys of two widely-distributed  
31 surgeonfish species, *Acanthurus leucosternon* ( $N = 141$ ) and *A. nigricans* ( $N = 412$ ), with  
32 mtDNA cytochrome *b* sequences and ten microsatellite loci. These surgeonfishes are found  
33 primarily in the Indian and Pacific Oceans, respectively, but overlap at the Christmas and Cocos-  
34 Keeling Islands hybrid zone in the eastern Indian Ocean. We also sampled the two other Pacific  
35 members of this species complex, *A. achilles* ( $N = 54$ ) and *A. japonicus* ( $N = 49$ ), which are  
36 known to hybridize with *A. nigricans* where their ranges overlap. Our results indicate separation  
37 between the four species that range from the recent Pleistocene to late Pliocene (235,000 to 2.25  
38 million years ago). The Pacific *A. achilles* is the most divergent (and possibly ancestral) species  
39 with mtDNA  $d_{corr} \approx 0.04$ , whereas the other two Pacific species (*A. japonicus* and *A. nigricans*)  
40 are distinguishable only at a population or subspecies level ( $\Phi_{ST} = 0.6533$ ,  $P < 0.001$ ). Little  
41 population structure was observed within species, with evidence of recent population expansion  
42 across all four geographic ranges. We detected sharing of mtDNA haplotypes between species  
43 and extensive hybridization based on microsatellites, consistent with later generation hybrids but  
44 also the effects of allele homoplasy. Despite extensive introgression, 98% of specimens had

45 concordance between mtDNA lineage and species identification based on external morphology,  
46 indicating that species integrity may not be eroding. The *A. nigricans* complex demonstrates a  
47 range of outcomes from incomplete speciation to secondary contact to decreasing hybridization  
48 with increasing evolutionary depth.

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50 **Keywords: Acanthuridae; coral reef fish; introgression; reverse speciation; species**  
51 **complex**

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65 **1. Introduction**

66 Much of the debate on the formation of species has focused on allopatry (Dobzansky, 1937;  
67 Mayr, 1942) versus sympatry (Bolnick and Fitzpatrick, 2007; Bird et al., 2012). The allopatric  
68 model has been favored for decades and stresses geographic isolation with negligible gene flow.  
69 However, the importance of allopatric speciation in the marine environment is uncertain given  
70 the paucity of physical barriers and the high dispersal ability of most marine organisms (Bowen  
71 et al., 2013). Closely related marine species often have overlapping distributions, which can  
72 obscure the role of geographic isolation in evolutionary partitioning (Bellwood and Wainwright,  
73 2002; Rocha and Bowen, 2008). Ecological factors, on the other hand, may be a driving force  
74 behind species formation under sympatric or parapatric scenarios (Choat, 2006; Bird et al.,  
75 2011). Indeed, there are several recent examples of ecological speciation (Nosil, 2012) or  
76 speciation with gene flow (Feder et al., 2012; Abbott et al., 2013).

77 The prevalence of closely-related cohabiting taxa on coral reefs provides extensive  
78 opportunity for hybridization. Secondary contact among recently diverged taxa, along with a host  
79 of other factors (e.g. external fertilization, weak behavioral isolation, niche overlap and unequal  
80 abundance of parental species) facilitate potential interspecific mating (Gardner, 1997; Volmer  
81 and Palumbi, 2002; Hobbs et al., 2013). There are several possible outcomes when two species  
82 interbreed. Low levels of mixing can lead to an influx of novel and potentially beneficial genes,  
83 which may allow hybrids to exploit new niches (i.e. adaptive introgression; Rieseberg, 2011;  
84 Pardo-Diaz et al., 2012). These hybrids can form new species that may become adaptive  
85 radiations if they assortatively mate or occupy different niches relative to parental species  
86 (Seehausen, 2004). In contrast, high levels of mixing between species may swamp the gene pool,

87 removing adaptive gene variants (Rhymer and Simberloff, 1996), and may also lead to two  
88 species blending into one (reverse speciation; Seehausen, 2006; Coleman et al., 2014). Hybrids  
89 may have greater fitness than their parents (hybrid vigor), or selection against hybrids can reduce  
90 the fitness of the F1 generation but more so F2 generations (Barton, 2001). Indeed, a range of  
91 behavioral, genetic and physiological traits may evolve and prevent hybrids from breeding with  
92 the parent species, thus limiting any further introgression via a process known as reinforcement  
93 (Kirkpatrick, 2001). Hybridization can therefore enhance, inhibit or have no consequence to the  
94 adaptive evolution of parental species.

95 Coral reef fishes represent the most diverse assemblage of vertebrates (Jones et al., 2002),  
96 and yet hybridization was traditionally considered unimportant to the evolution of this group  
97 (Hubbs, 1955). It is now evident that hybridization is prevalent in coral reef fishes and make up  
98 the majority of the 173 marine fishes reported to hybridize (Montanari et al., 2016).  
99 Hybridization is also geographically and taxonomically widespread in this group (e.g. Hobbs and  
100 Allen, 2014; DiBattista et al., 2015) and includes surgeonfishes (Randall, 2002; Marie et al.,  
101 2007), butterflyfishes (McMillan et al., 1999; Hobbs et al., 2013), angelfishes (Pyle and Randall,  
102 1994), wrasses (Yaakub et al., 2006, 2007), damselfishes (van Herwerden and Doherty, 2006)  
103 and groupers (van Herwerden et al., 2006). Although morphological characterization of hybrids  
104 is still common, molecular techniques can reveal hybrids that may otherwise go undetected  
105 (Kuriwa et al., 2007; Montanari et al., 2012, 2014) and the geographic extent of introgression  
106 (McMillan et al., 1999). Such advances are especially relevant for identifying hybrids between  
107 closely related species, which may be challenging to classify based solely on morphological  
108 characters. Bayesian analytical approaches have improved our ability to not only genetically

109 detect hybrids in nature, but also discriminate between F1 and later generation hybrids (Schwarz  
110 and Beheregaray, 2008).

111 Suture zones are areas of secondary contact where multiple pairs of sister species interbreed  
112 (Remington, 1968). A suture zone for reef fishes occurs in the eastern Indian Ocean (Christmas  
113 Island and Cocos-Keeling Islands), where Indian and Pacific Ocean faunas come into contact.  
114 Hobbs and Allen (2014) documented 15 pairs of hybridizing species from eight families in this  
115 suture zone. Climatic cycles over the past 2 million years have allowed allopatric divergence of  
116 Indian and Pacific lineages, which have since come back into contact in this area (Briggs and  
117 Bowen, 2012). Another prominent suture zone for reef fishes was recently discovered in the  
118 Socotra Archipelago, at the intersection of four biogeographic provinces in the north-western  
119 Indian Ocean (DiBattista et al., 2015). More suture zones are suspected in southern Japan and the  
120 Marshall Islands (Hobbs et al., 2013). The presence of multiple suture zones across the Indo-  
121 Pacific provides the opportunity to study hybridization and evolutionary processes (e.g.  
122 reproductive isolation and reinforcement) operating in these species complexes.

123 In this study we examine hybridization in four species of the *Acanthurus nigricans* complex  
124 (also known as the *Acanthurus achilles* complex; Randall and Frische, 2000): the powder blue  
125 surgeonfish (*Acanthurus leucosternon* Bennett 1833) in the Indian Ocean; the goldrim  
126 surgeonfish (*Acanthurus nigricans* Linnaeus, 1758) distributed throughout the Pacific and  
127 eastern Indian Oceans; the Achilles surgeonfish (*Acanthurus achilles* Shaw 1803) restricted to  
128 the Hawaiian Islands and other oceanic islands of Oceania and the North Pacific Ocean; and the  
129 white-nose surgeonfish (*Acanthurus japonicus* Schmidt, 1831) restricted to Japan, Taiwan, the  
130 Philippines and northern Indonesia (Fig 1). All species are ecologically and morphologically

131 similar (Randall, 1956; Randall, 2002; Marie et al., 2007), with the exception of distinct color  
132 patterns (Fig 2; also see Robertson et al., 1979; Kuitert and Debelius, 2001). *Acanthurus*  
133 *nigricans* and *A. leucosternon* hybridize at the eastern Indian Ocean suture zone (Marie et al.,  
134 2007). The presence of individuals with intermediate coloration in the Marshall Islands indicates  
135 hybridization between *A. nigricans* and *A. achilles* (Randall, 1956), and intermediates between  
136 *A. nigricans* and *A. japonicus* have been documented in southern Japan and Taiwan (Randall and  
137 Frisch, 2000).

138 This study expands on the initial genetic documentation of hybridization between *A.*  
139 *leucosternon* and *A. nigricans* in the eastern Indian Ocean (Marie et al., 2007) with near range-  
140 wide sampling using mitochondrial and microsatellite markers, along with Bayesian approaches,  
141 to infer the extent and direction of introgression. Using the same approach, we examine  
142 hybridization involving *A. nigricans* and the other two species in the complex (*A. achilles* and *A.*  
143 *japonicus*). We hypothesize that each species will show genetic evidence of hybridization in  
144 areas of range overlap, but that they will also maintain unique lineages where the parental  
145 species do not co-occur.

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## 147 **2. Materials and Methods**

### 148 *2.1 Sample collection*

149 A total of 54, 49, 141 and 412 tissue samples of *A. achilles*, *A. japonicus*, *A. leucosternon* and *A.*  
150 *nigricans*, respectively, were collected at 21 locations across the Indo-Pacific (Fig 1). Although  
151 *A. nigricans* collections include multiple locations across the Main Hawaiian Islands (separated  
152 by ~500 km), these were combined for analysis owing to the majority of sampling occurring at a

153 single site (Kauai,  $N = 18$ ; Oahu,  $N = 3$ ; Big Island,  $N = 2$ ) with no detectable genetic  
154 differentiation among sites (mtDNA:  $\Phi_{ST} = -0.15$ ,  $P = 0.71$ ; microsatellites:  $F_{ST} = -0.0041$ ,  $P =$   
155  $0.57$ ). Putative hybrids between species, identified by intermediate coloration (Fig. 2), were also  
156 collected in areas of overlap for *A. achilles* and *A. nigricans* (Kosrae, Caroline Islands,  $N = 6$ ;  
157 Marshall Islands,  $N = 1$ ), *A. japonicus* and *A. nigricans* (Japan,  $N = 1$ ; Guam, Mariana Islands,  $N =$   
158  $1$ ) and *A. leucosternon* and *A. nigricans* (Christmas Island,  $N = 25$ ; Cocos-Keeling Islands,  $N =$   
159  $14$ ). Tissue was preserved in a saturated salt-DMSO solution, total genomic DNA was extracted  
160 using a “HotSHOT” protocol (Meeker et al., 2007) and samples were subsequently stored at  $-20$   
161 °C.

162

## 163 2.2 Mitochondrial DNA analysis

164 A 491 base pair (bp) segment of the mitochondrial DNA (mtDNA) cytochrome *b* (*cyt b*) gene  
165 was resolved using heavy-strand (5' - GTGACTTGAAAAACCCACCGTTG - 3', Song et al.,  
166 1998) and light-strand primers (5' - AATAGGAAGTATCATTCGGGTTTGATG - 3', Taberlet et  
167 al., 1992). Polymerase chain reaction (PCR) amplification was carried out in 15  $\mu$ l volumes  
168 containing BioMix Red (Bioline Ltd., London, UK), 0.26  $\mu$ M of each primer and 5 to 50 ng  
169 template DNA. PCRs used an initial denaturing step at 95 °C for 3 minutes, then 35 cycles of  
170 amplification (30 seconds of denaturing at 94 °C, 45 seconds of annealing at 63 °C and 45  
171 seconds of extension at 72 °C), followed by a final extension at 72 °C for 10 min.

172 PCR products were purified by incubating with exonuclease I and FastAP™ thermosensitive  
173 alkaline phosphatase (ExoFAP; Thermo Fisher Scientific, Waltham, MA, USA) at 37 °C for 60  
174 min, followed by 85 °C for 15 min. All samples were sequenced with fluorescently labeled dye



175 terminators (BigDye 3.1, Applied Biosystems Inc., Foster City, CA, USA) and analyzed using an  
176 ABI 3130XL Genetic Analyzer (Applied Biosystems). The sequences were aligned, edited and  
177 trimmed to a common length using Geneious Pro 4.8.4 (Drummond et al., 2009); *cyt b* sequences  
178 were deposited in GenBank (accession numbers: KM456297 to KM456989). jModelTest 1.0.1  
179 (Posada, 2008, but also see Guindon and Gascuel, 2003) was used with an Akaike information  
180 criterion (*AIC*) test to determine the best nucleotide substitution model in each species; the HKY  
181 (Hasegawa et al., 1985), TPM1uf (Kimura, 1981), TPM3 + alpha parameter (gamma  
182 distribution) of 0.015 (Posada, 2008) and TIM1 + alpha parameter (gamma distribution) of 0.635  
183 models were selected for *A. achilles*, *A. japonicus*, *A. leucosternon* and *A. nigricans*,  
184 respectively.

185 ARLEQUIN 3.5 (Excoffier et al., 2005) was used to calculate haplotype (*h*) and nucleotide  
186 diversity ( $\pi$ ) for each species and collection site (if  $N \geq 6$ ). Deviations from neutral sequence  
187 evolution were assessed with Fu's *F<sub>s</sub>* (Fu, 1997) using ARLEQUIN; significance was tested with  
188 99,999 permutations. Each site (and species) was also fitted with the population parameter  $\tau$  in  
189 order to estimate the time since the most recent population expansion ( $\tau = 2\mu t$ ; Rogers and  
190 Harpending, 1992), where *t* is the age of the population in generations and  $\mu$  is the mutation rate  
191 per generation for the sequence ( $\mu = \text{number of bp} \cdot \text{divergence rate within a lineage} \cdot \text{generation}$   
192  $\text{time in years}$ ). We used a range of approximate *cyt b* mutation rates available from previous fish  
193 studies (1% per million years [MY] to 1.55% per MY within lineages; Bowen et al., 2001;  
194 Lessios, 2008; Reece et al., 2010) and a generation (replacement) time of 12 years for all species  
195 based on existing life-history information (i.e. age-at-maturity ~ two years, longevity ~ 27 to 36  
196 years; J.H. Choat pers. comm.). Although absolute values generated by these calculations are

197 approximations, relative comparisons among species and sites are more robust. The time of  
198 divergence between species was estimated in ARLEQUIN as the pairwise sequence distance  
199 between species minus the pairwise sequence distance within species, the corrected sequence  
200 divergence ( $d_{corr}$ ).

201 We tested for range-wide patterns of population structure within *A. leucosternon* and *A.*  
202 *nigricans* (excluding morphological hybrids in this case) but not *A. achilles* and *A. japonicus*,  
203 given our small sample size for the latter two species. Genetic differentiation among sampling  
204 sites was first estimated with an analysis of molecular variance (AMOVA, Excoffier et al., 1992)  
205 in ARLEQUIN; only sites with  $N \geq 6$  were considered. Deviations from null distributions were  
206 tested with non-parametric permutation procedures ( $N = 99,999$ ) and  $P$ -values for pairwise  $\Phi_{ST}$   
207 were adjusted according to the modified false discovery rate (as per Narum, 2006).

208 Evolutionary relationships within and among all four species were assessed with an unrooted  
209 network constructed with NETWORK 4.5.1.0 ([www.fluxus-](http://www.fluxus-engineering.com/network_terms.htm)  
210 [engineering.com/network\\_terms.htm](http://www.fluxus-engineering.com/network_terms.htm)) using a median joining algorithm and default settings (as  
211 per Bandelt et al., 1999). Each haplotype was divided into sample site (and species) contributions  
212 as reflected by the pie diagrams. Putative hybrids were included to identify the maternal  
213 contribution of each hybrid individual and the degree of haplotype mixing between species. The  
214 network was simplified by removing all haplotypes occurring in single specimens ( $N = 103$ ),  
215 except for the hybrids; this reduced multiple connections but did not influence the overall  
216 pattern.

217

218 *2.3 Microsatellite genetic analysis*

219 Each species was genotyped at 10 microsatellite loci (GenBank Accession numbers: HQ130123  
220 to HQ130132) using PCR reaction mixes and cycling parameters described in DiBattista et al.  
221 (2011). PCR products labeled with different dye colors were pooled for genotyping and resolved  
222 using an ABI 3130XL Genetic Analyzer (Applied Biosystems) along with a fluorescently labeled  
223 internal size standard (LIZ-500; Applied Biosystem); allele sizes were assigned manually with  
224 GENEMAPPER 3.7 (Applied Biosystems). For each locus, the mean number of alleles ( $N_A$ ),  
225 observed ( $H_O$ ) and expected ( $H_E$ ) heterozygosities, departure from Hardy-Weinberg proportions  
226 (HWE) and linkage disequilibrium (LD) were assessed with ARLEQUIN. The possible presence  
227 of null alleles was tested with MICRO-CHECKER 2.2.3 (van Oosterhout et al., 2004).  
228 Genotyping error rate was also estimated by independently re-genotyping randomly selected  
229 specimens (12% of all specimens per locus, for each species; see Hoffman and Amos, 2005);  
230 PCR amplification or scoring errors were negligible in this case ( $< 0.86\%$ ,  $< 0.64\%$ ,  $< 1.05\%$  and  
231  $< 1.79\%$  for *A. achilles*, *A. japonicus*, *A. leucosternon* and *A. nigricans*, respectively, at each  
232 individual locus). Most specimens amplified for at least 9 (109 out of 654 samples) or 10 (509  
233 out of 654 samples) loci, and only two specimens (both *A. nigricans* from Palmyra Atoll) were  
234 excluded from further analysis because they amplified at fewer than five microsatellite loci. Also  
235 note that only *A. japonicus* from Japan ( $N = 6$ ), an *A. japonicus* x *A. nigricans* from Guam and an  
236 *A. japonicus* x *A. nigricans* from Japan were genotyped given that the remainder ( $N = 43$ ) were  
237 opportunistically collected at a later stage in the study.

238 Nuclear population structure was assessed for *A. leucosternon* and *A. nigricans* only  
239 (excluding morphological hybrids) as described above: 1) AMOVA and 2) pairwise population  
240  $F_{ST}$  estimation. To define genetic clusters within each species without *a priori* information on the

241 geographical origin of specimens, we used STRUCTURE 2.3.3 (Pritchard et al., 2000). The most  
242 likely number of clusters in the dataset was identified based on the probability of  $K = 1$  to  $K = 4$   
243 or  $K = 1$  to  $K = 15$  for *A. leucosternon* and *A. nigricans*, respectively, averaged over five  
244 replicate runs with 1,000,000 Markov Chain Monte Carlo (MCMC) repetitions and a burn-in of  
245 100,000 iterations. Correlated allele frequencies and admixed populations were assumed. The  
246 most likely value of  $K$  was determined by plotting the mean Ln probability of the data (Ln P[D])  
247 over multiple runs versus  $K$  for each species.

248

#### 249 *2.4 Genetic characterization of hybrids*

250 To determine the power of our microsatellite markers to identify morphologically cryptic hybrid  
251 individuals in our sample, we used the program HYBRIDLAB 1.0 (Nielsen et al., 2006), which  
252 simulates multi-locus genotypes for offspring of purebred populations. We selected samples  
253 collected from areas where morphological hybrids were not detected, farthest from the hybrid  
254 zone and with the largest sample size to represent the microsatellite allele frequency variation for  
255 parental species; Seychelles for *A. leucosternon* ( $N = 31$ ) and Kiritimati for *A. nigricans* ( $N =$   
256  $36$ ). For each dataset ( $N = 5$  replicates), we simulated genotypes for 450 parental *A. leucosternon*  
257 and 450 parental *A. nigricans*, which were then used to simulate F1 hybrids, F2 hybrids (F1 x  
258 F1) and B2 backcrosses to each species ( $N = 25$  for each hybrid class)(see Fig. S2)

259 The five simulated datasets were analyzed with two different Bayesian methods to establish a  
260 threshold ( $q$ ) for assigning parental or hybrid status, and a range of  $q$ -values to discriminate  
261 among each hybrid class. Bayesian methods are favored because they do not require diagnostic  
262 alleles to assign individuals to the genotype classes or that “pure” members of each species are

263 sampled. First, in STRUCTURE, we ran the admixture model for 1,000,000 iterations and a  
264 burn-in of 500,000, with independent allele frequencies between the two species, all fish  
265 classified as “unknown” and  $K = 2$ . These parameters resulted in a  $q$ -value for each individual  
266 ranging from 0 to 1, representing the proportion of a fish’s genotype that had *A. nigricans* or *A.*  
267 *leucosternon* ancestry. We considered a range of  $q$ -values averaged over multiple runs to define  
268 each hybrid class and a threshold for the parental categories. All individuals, from all five  
269 independent datasets, were used to calculate a conservative 99% confidence interval for each  
270 category. We redid these analyses using the correlated allele frequencies option and the results  
271 were not different; the former approach with independent allele frequencies is therefore  
272 presented here.

273 To calculate the probability of an individual belonging to each parental category and hybrid  
274 class, we implemented a model with uniform priors for allele frequency and admixed  
275 distributions in NEWHYBRIDS 1.0 (Anderson and Thompson 2002). We ran each of the five  
276 simulated datasets for 1,000,000 iterations and a burn-in of 500,000, five separate times. If the  
277 assignment to a hybrid class was of high probability (i.e.  $> 90\%$ ) we accepted the assignment. If  
278 the probability was divided among the hybrid classes, we summed the probability over all  
279 classes, and if the sum was  $\geq 0.9$  we considered this a hybrid with an undefined class.

280 To determine the proportion of previously unknown hybrids in our collections we analyzed  
281 the 478 genotyped specimens in STRUCTURE and NEWHYBRIDS using the same parameters  
282 as outlined for the simulations. A lack of within-species population structuring (see Results)  
283 mitigated bias associated with considering the entire dataset versus a subset of the data. Putative  
284 hybrids were included in this dataset as a form of quality control for hybrid assignment. A final

285 species (or hybrid) class was assigned to each individual based on a combination of the two  
286 methods and thresholds defined by our simulations. The level of error within each program,  
287 based on the standard deviation (SD) among replicate runs, was negligible (STRUCTURE SD =  
288 0.0003 to 0.0030; NEWHYBRIDS SD = 0 to 0.0116), although this represents only one source  
289 of potential error.

290       Given that the above analyses are based on the assumption that we sampled pure populations  
291 of each parental species, we reran analyses in STRUCTURE without this assumption using a  
292 broader dataset, including specimens of all four surgeonfish species and their putative hybrids as  
293 outlined above. In brief, we tested  $K = 1$  to  $K = 8$  for the combined dataset averaged over five  
294 replicate runs with 1,000,000 Markov Chain Monte Carlo (MCMC) repetitions and a burn-in of  
295 100,000 iterations, which allowed us to assess fine-scale genetic structure both *within* and  
296 *between* putative species. Correlated allele frequencies and admixed populations were assumed.  
297 The most likely value of  $K$  was determined by plotting the mean Ln probability of the data (Ln  
298  $P[D]$ ) over multiple runs versus  $K$  for each species (plot not shown). Average assignment of  
299 individuals across runs to each cluster was calculated using CLUMPAK 1.1 (Kopelman et al.,  
300 2015). We additionally reran analyses in STRUCTURE using only *A. leucosternon*, *A. nigricans*  
301 and their hybrids; the result was the same (data not shown) and so only the former results are  
302 presented here. Moreover, to ensure that our results were not driven by the small sample size of  
303 *A. japonicus* (i.e.  $N = 6$ ), we repeated these same analyses by randomly selecting six individuals  
304 per species.

305       A discriminant analysis of principal components (DAPC; Jombart et al., 2010) was also run  
306 on all loci to investigate the relationship between genotype and geographical location using the

307 “*find\_clusters*” option with the ‘*adegenet*’ package (Jombart, 2008) in R (R Development Core  
308 Team, 2014). For each species, the number of principal components that explained 80% of the  
309 variation in the data were retained to estimate the most likely number of genetic clusters ( $K$ ). To  
310 identify the most likely  $K$ , the program was run sequentially with increasing values of  $K$ . The  
311 Bayesian Information Criterion (BIC) was used to compare the different clustering solutions,  
312 with the optimal clustering solution corresponding to the lowest BIC value. The number of  
313 principal components retained for genotypic variability was equal to the number of individuals  
314 divided by three; the number of DA eigenvectors corresponded to the number of populations  
315 minus one.

316

### 317 **3. Results**

#### 318 *3.1 Molecular characteristics*

319 Cytochrome *b* sequence data from *A. achilles*, *A. japonicus*, *A. leucosternon* and *A. nigricans*  
320 revealed 23, 17, 43 and 84 haplotypes, respectively (range: 2 to 25 per site), with haplotype  
321 diversity ranging from  $h = 0.34$  to 0.94 and nucleotide diversity ranging from  $\pi = 0.0009$  and  
322 0.0066 across all sites and species (Table 1). The most common haplotype for each species was  
323 detected at almost every sampling site and shared by 9, 19, 39 and 214 individuals, respectively.

324 **Table 1.** Sample size and molecular diversity indices for *Acanthurus achilles*, *A. japonicus*, *A. leucosternon* and *A. nigricans*  
 325 based on mitochondrial DNA (cytochrome *b*) sequence data. Time since the most recent population expansion was calculated  
 326 using a range of mutation rates (1% to 1.55% per million years within lineages; Bowen et al., 2001, Lessios, 2008, Reece et al.,  
 327 2010) and a generation time of 12 years for all species (see Materials and Methods).

Collection locality	<i>N</i>	$H_N^b$	Time since expansion (Yrs)	Haplotype diversity ( $h \pm SD$ )	Nucleotide diversity ( $\pi \pm SD$ )	Fu's $F_S$
<u><i>Acanthurus achilles</i></u>						
Marshall Islands (MAR)	10	8	231,259-358,452	0.93 $\pm$ 0.08	0.0066 $\pm$ 0.0042	<b>-3.34<sup>a</sup></b>
American Samoa (AMS)	26	14	173,970-269,654	0.94 $\pm$ 0.03	0.0054 $\pm$ 0.0033	<b>-7.31</b>
Cook Islands (CKI)	11	8	218,119-338,085	0.95 $\pm$ 0.05	0.0060 $\pm$ 0.0038	-3.10
Nuku Hiva, French Polynesia (NUK)	2	2	n/a <sup>c</sup>	n/a	n/a	n/a
Johnston Atoll (JON)	3	2	n/a	n/a	n/a	n/a
Hawaiian Islands (HAW)	2	2	n/a	n/a	n/a	n/a
All samples	54	23	180,146-279,226	0.93 $\pm$ 0.02	0.0054 $\pm$ 0.0033	<b>-15.65</b>
<u><i>Acanthurus japonicus</i></u>						
Philippines (PHI)	7	4	105,841-164,053	0.86 $\pm$ 0.10	0.0025 $\pm$ 0.0021	-1.06
Paracel Islands (PAR)	36	16	57,224-88,697	0.80 $\pm$ 0.07	0.0037 $\pm$ 0.0024	<b>-11.50</b>
Japan (JAP)	6	5	234,544-363,544	0.93 $\pm$ 0.12	0.0056 $\pm$ 0.0040	-1.47
All samples	48	17	131,792-204,277	0.83 $\pm$ 0.05	0.0040 $\pm$ 0.0025	<b>-10.48</b>
<u><i>Acanthurus leucosternon</i></u>						
Socotra, Yemen (SOC)	5	n/a	n/a	n/a	n/a	n/a
Republic of Seychelles (SEY)	31	17	137,967-213,849	0.92 $\pm$ 0.04	0.0055 $\pm$ 0.0033	<b>-10.57</b>
Chagos Archipelago (DIG)	63	25	147,559-228,717	0.91 $\pm$ 0.02	0.0050 $\pm$ 0.0031	<b>-18.75</b>
Cocos-Keeling Islands (COC)	34	18	57,486-89,104	0.87 $\pm$ 0.05	0.0062 $\pm$ 0.0037	<b>-10.19</b>
Christmas Island (XMA)	8	4	51,836-80,346	0.75 $\pm$ 0.14	0.0059 $\pm$ 0.0039	0.90
All samples	141	43	104,724-162,322	0.89 $\pm$ 0.02	0.0054 $\pm$ 0.0032	<b>-26.70</b>



*Acanthurus nigricans*

Chagos Archipelago (DIG)	14	9	132,449-205,295	0.88 ± 0.08	0.0050 ± 0.0032	<b>-4.05</b>
Cocos-Keeling Islands (COC)	36	14	119,309-184,929	0.75 ± 0.08	0.0030 ± 0.0021	<b>-10.06</b>
Christmas Island (XMA)	43	16	121,805-188,798	0.75 ± 0.07	0.0033 ± 0.0022	<b>-11.51</b>
Republic of Palau (PAU)	49	18	75,685-117,312	0.72 ± 0.07	0.0025 ± 0.0018	<b>-17.82</b>
Guam, Mariana Islands (MAI)	11	7	129,098-200,102	0.82 ± 0.12	0.0036 ± 0.0026	<b>-3.32</b>
Marshall Islands (MAR)	15	9	199,921-309,878	0.85 ± 0.09	0.0052 ± 0.0033	<b>-3.57</b>
Kosrae, Caroline Islands (CAR)	27	13	135,471-209,980	0.80 ± 0.08	0.0036 ± 0.0024	<b>-8.56</b>
American Samoa (AMS)	20	10	108,797-168,635	0.76 ± 0.10	0.0029 ± 0.0021	<b>-6.55</b>
Tokelau Islands (TOK)	35	13	72,728-112,729	0.71 ± 0.09	0.0028 ± 0.0019	<b>-9.24</b>
Moorea, French Polynesia (MOR)	30	12	6,898-10,692	0.61 ± 0.11	0.0027 ± 0.0019	<b>-8.51</b>
Nuku Hiva, French Polynesia (NUK)	41	16	72,400-112,220	0.69 ± 0.08	0.0023 ± 0.0017	<b>-15.70</b>
Kiritimati, Republic of Kiribati (KIR)	36	14	169,766-263,137	0.75 ± 0.08	0.0037 ± 0.0024	<b>-8.39</b>
Palmyra Atoll (PAL)	22	5	197,096-305,499	0.34 ± 0.13	0.0009 ± 0.0009	<b>-3.20</b>
Hawaiian Islands (HAW)	22	9	63,925-99,084	0.61 ± 0.12	0.0021 ± 0.0016	<b>-6.40</b>
All samples	401	84	108,534-168,228	0.71 ± 0.03	0.0030 ± 0.0020	<b>-27.90</b>

328 <sup>a</sup> Numbers in bold are significant,  $P < 0.05$  (or  $P < 0.02$  for Fu's  $F_S$  estimates; Fu, 1997)

329 <sup>b</sup> Abbreviations is as follows:  $H_N$ , number of haplotypes.

330 <sup>c</sup> Metrics were not calculated for individual sites with  $N < 6$ , these samples were instead included in the species-level estimates.

331

332

333

334 Tests for *cyt b* neutrality revealed negative and significant Fu's  $F_S$  values (with  $P < 0.02$ ) at  
335 most sites for all species, with only a few exceptions, and all with sample size less than 12 (*A.*  
336 *japonicus*, Japan, Fu's  $F_S = -1.06$ ,  $P = 0.082$ ; *A. japonicus*, Philippines, Fu's  $F_S = -1.47$ ,  $P =$   
337  $0.094$ ; *A. leucosternon*, Christmas Island, Fu's  $F_S = 0.688$ ,  $P = 0.213$ ; Table 1). The range of  
338 mutation rates and  $\tau$  values yielded somewhat overlapping estimates of time since the last  
339 population expansion for *A. achilles* (180,146 - 279,226 years), *A. japonicus* (131,792 - 204,277  
340 years), *A. leucosternon* (104,724 - 162,322 years) and *A. nigricans* (108,534 - 168,228 years;  
341 Table 1). These findings indicate recent population expansion in all species throughout their  
342 ranges.

343 Among the individuals scored for the ten microsatellite loci (Table S1), the number of alleles  
344 per locus at each sampling site ranged from 2 (Ahy49) to 39 (Ahy182) and observed  
345 heterozygosity ranged from 0.10 (Ahy49) to 1.00 (at multiple loci). Based on within-site  
346 comparisons, few loci deviated from Hardy-Weinberg equilibrium (*A. achilles*: six out of 27,  $P \leq$   
347  $0.013$ ; *A. japonicus*: one out of 10,  $P \leq 0.017$ ; *A. leucosternon*: six out of 40,  $P \leq 0.012$ ; *A.*  
348 *nigricans*: 12 out of 140,  $P \leq 0.009$ ) and linkage disequilibrium between loci was rare (*A.*  
349 *achilles*: three out of 108,  $P \leq 0.009$ ; *A. japonicus*: zero out of 45,  $P \leq 0.011$ ; *A. leucosternon*:  
350 nine out of 180,  $P \leq 0.0083$ ; *A. nigricans*: six out of 630,  $P \leq 0.007$ ) after correcting for multiple  
351 tests (Narum, 2006). Evidence of null alleles was detected in only three out of 27 (*A. achilles*),  
352 one out of 10 (*A. japonicus*), six out of 40 (*A. japonicus*) and 14 out of 140 (*A. nigricans*) within-  
353 site comparisons, although two of the loci were disproportionately represented; Ahy54 and  
354 Ahy203 tested positive for null alleles in 50% and 18% of the sampled populations, respectively.  
355 We therefore ran all subsequent analyses with and without these two loci to mitigate bias (data

356 not shown); our findings were no different between datasets, and so we present results including  
357 all 10 microsatellite loci.

358

### 359 3.2 Population structure analysis

360 Despite a wide geographic distribution, we found modest to no population structure at mtDNA  
361 for either surgeonfish species with near range-wide sampling (*A. leucosternon*,  $\Phi_{ST} = 0.038$ ,  $P =$   
362  $0.12$ ; *A. nigricans*,  $\Phi_{ST} = 0.0065$ ,  $P = 0.05$ ) and at microsatellite markers (*A. leucosternon*,  $F_{ST} =$   
363  $0.0063$ ,  $P = 0.005$ ; *A. nigricans*,  $F_{ST} = 0.0005$ ,  $P = 0.49$ ) based on AMOVA. Indeed, most of the  
364 mtDNA (*A. leucosternon*: 100%; *A. nigricans*: 99.35%) and microsatellite variation (*A.*  
365 *leucosternon*: 99.38%; *A. nigricans*: 99.95%) was explained by within-site variation. To ensure  
366 that the observed outcome for microsatellite markers was not being driven by a single locus, we  
367 repeated AMOVA analyses for each species by removing one locus at a time, which gave  
368 consistent results for  $F_{ST}$  but variable significance estimates (*A. leucosternon*:  $F_{ST} = 0.0020$  to  
369  $0.0078$ ,  $P = 0.003$  to  $0.64$ ; *A. nigricans*:  $F_{ST} = -0.0019$  to  $0.00062$ ,  $P = 0.02$  to  $0.91$ ). Sequence  
370 divergence between all four species ranged from  $d_{corr} = 0.0047$  (*A. japonicus* and *A. nigricans*) to  
371  $d_{corr} = 0.0450$  (*A. achilles* and *A. leucosternon*), which is consistent with a period of separation  
372 between the lineages of *ca.* 235,000 to 2.25 million years based on an accepted benchmark for  
373 *cyt b* calibration in teleost fishes (see Materials and Methods). The time-frame for divergence is  
374 also consistent with estimates from Sorenson et al. (2013), a more robust phylogeny based on  
375 two mitochondrial and six nuclear loci. Due to sharing of haplotypes between *A. japonicus* and  
376 *A. nigricans*, we compared the largest sample of *A. japonicus* (at the Paracel Islands) to the

377 nearest sample of *A. nigricans* (at Palau) with ARLEQUIN and observed strong population  
378 structure ( $\Phi_{ST} = 0.6533$ ,  $P < 0.001$ ).

379 Population pairwise tests ( $\Phi_{ST}$  or  $F_{ST}$ ) revealed that mtDNA haplotype frequencies were not  
380 significantly different in all comparisons for *A. leucosternon* and 86 out of 91 comparisons for *A.*  
381 *nigricans* after correcting for multiple tests (Table 2; Table S2). Microsatellite allele frequencies  
382 ( $F_{ST}$ ) were also not significantly different in five out of six comparisons for *A. leucosternon* and  
383 88 out of 91 comparisons for *A. nigricans* after correcting for multiple tests (Table 2; Table S2).  
384 Notably, Hawai'i had no significant pairwise comparisons, an unusual finding for this isolated  
385 archipelago (DiBattista et al., 2011; Gaither et al., 2011; Eble et al., 2015).

**Table 2.** Matrix of population pairwise  $\Phi_{ST}$  values with associated  $P$ -values in parentheses, based on mitochondrial DNA (mtDNA) cytochrome  $b$  sequence data from (a) *Acanthurus leucosternon* ( $N = 141$ ) and (b) *A. nigricans* ( $N = 401$ ) sampled at sites across the Indo-Pacific region. Morphological hybrids between the two species were omitted from this analysis and all negative  $\Phi_{ST}$  values were adjusted to 0.

(a)

Location <sup>a</sup>	SEY	DIG	COC	XMA
SEY	— 0			
DIG	(0.53)	—		
COC	0.021 (0.23)	0.010 (0.03)	—	
XMA	0 (0.47)	0.081 (0.16)	0 (0.79)	—

<sup>a</sup> Site abbreviations are described in Table 1.

(b)

Location <sup>a,b</sup>	COC	XMA	DIG	PAU	MAI	MAR	CAR	AMS	TOK	MOR	NUK	KIR	PAL	HAW
COC	—													
XMA	0.002 (0.37)	—												
DIG	0.012 (0.22)	0.009 (0.26)	—											
PAU	0.012 (0.08)	0.011 (0.07)	<b>0.047</b> (0.02)	—										
MAI	0 (0.58)	0 (0.74)	0 (0.73)	0.011 (0.22)	—									
MAR	0.014 (0.18)	0.007 (0.27)	0 (0.55)	<b>0.058</b> <sup>†</sup> (<0.01)	5.2 x10 <sup>-4</sup> (0.44)	—								
CAR	0.004 (0.30)	0 (0.66)	0.028 (0.66)	0 (0.92)	0 (0.73)	0.026 (0.06)	—							
AMS	0.010 (0.22)	0.006 (0.27)	0 (0.54)	<b>0.059</b> <sup>†</sup> (<0.01)	0.016 (0.23)	0 (0.53)	<b>0.033</b> (0.04)	—						
TOK	0 (0.43)	0 (0.66)	0.015 (0.18)	0 (0.51)	0 (0.41)	0.018 (0.16)	0 (0.57)	0.021 (0.10)	—					
MOR	0 (0.56)	0 (0.47)	0.015 (0.17)	0.004 (0.25)	0 (0.55)	0.023 (0.11)	0 (0.43)	0.019 (0.12)	0 (0.71)	—				
NUK	0.008 (0.19)	0 (0.96)	0.033 (0.06)	0 (0.51)	0 (0.68)	<b>0.050</b> (0.02)	0 (0.72)	<b>0.038</b> (0.03)	0 (0.77)	0.001 (0.39)	—			
KIR	0.004 (0.28)	0 (0.96)	0.011 (0.22)	<b>0.015</b> (0.03)	0 (0.70)	0.009 (0.23)	0 (0.50)	0.003 (0.31)	5.30 x10 <sup>-4</sup> (0.41)	0 (0.71)	0 (0.52)	—		

PAL	<b>0.039</b> (0.01)	0.006 (0.26)	<b>0.083</b> <sup>†</sup> (<0.01)	0.001 (0.39)	<b>0.059</b> (0.03)	<b>0.086</b> <sup>†</sup> (<0.01)	0.009 (0.18)	<b>0.110</b> <sup>†</sup> (<0.01)	0.011 (0.18)	0.018 (0.08)	0.004 (0.31)	0.014 (0.11)	—	
HAW	3.10 x10 <sup>-4</sup> (0.41)	0 (0.80)	0.020 (0.13)	0 (0.90)	0 (0.49)	0.033 (0.07)	0 (0.79)	0.037 (0.06)	0 (0.79)	0 (0.76)	0 (0.79)	0 (0.59)	0 (0.63)	—

<sup>a</sup> Site abbreviations are described in Table 1.

<sup>b</sup> Significant values are indicated in bold ( $P \leq 0.05$ ). “†” indicates  $P \leq 0.01$  (corrected as per Narum, 2006).

1 STRUCTURE identified  $K = 1$  as the most likely for *A. leucosternon* or *A. nigricans* (Fig  
2 S1). Similar results were obtained using the Delta  $K$  method (the second order rate of change of  
3  $\ln P[D]$  in relation to  $K$ ; Evanno et al., 2005) and so only the results of the former are presented  
4 here. Genetic differentiation was therefore consistently weak or absent within each species.

5 Haplotype networks were consistent with minimal genetic differentiation among sampling  
6 sites within-species (Fig 3; also see Fig S3). Each surgeonfish species shared a common  
7 haplotype among all sites (with few exceptions), and there was extensive geographic  
8 representation of haplotypes that were peripheral in the network. Despite discrete clusters of  
9 haplotypes unique to each species, haplotypes were shared among all species except *A. achilles*.  
10 These included the following: 1) all seven putative hybrid *A. achilles* x *A. nigricans* sampled at  
11 Kosrae and the Marshall Islands had *A. nigricans* mtDNA, 2) both putative hybrids of *A.*  
12 *japonicus* x *A. nigricans* sampled at Guam and Japan had *A. nigricans* mtDNA and 3) 51% of the  
13 putative hybrid *A. leucosternon* x *A. nigricans* sampled at Christmas and Cocos-Keeling Islands  
14 had *A. leucosternon* mtDNA and 49% had *A. nigricans* mtDNA.

15 Interestingly, no specimen with morphology matching *A. achilles* had an mtDNA haplotype  
16 affiliated with any of the other species, whereas four “pure” looking *A. japonicus* (Japan,  $N = 3$ ;  
17 Paracel Islands,  $N = 1$ ) had *A. nigricans* mtDNA, seven *A. nigricans* (Christmas Island,  $N = 1$ ;  
18 Cocos-Keeling Islands,  $N = 1$ ; Kiritmati,  $N = 1$ ; Marshall Islands,  $N = 2$ ; Tokelau Islands,  $N = 2$ )  
19 had *A. japonicus* mtDNA and six *A. leucosternon* (Christmas Island,  $N = 1$ ; Cocos-Keeling  
20 Islands,  $N = 3$ ; Diego Garcia,  $N = 1$ ; Seychelles,  $N = 1$ ) had *A. nigricans* mtDNA. Based on the  
21 assumption that F1 individuals have intermediate coloration, these findings indicate later  
22 generation hybrids at locations far outside the suture zone.



23

### 24 3.3 Genetic characterization of hybrids

25 Bayesian analyses of simulated datasets demonstrated that our microsatellite markers had  
26 sufficient power to assign parental and broad hybrid categories to individual fish (Fig S2).  
27 Therefore based on the 99% confidence limits for each parental category and hybrid class  
28 defined by simulations run in STRUCTURE, we found that 326 (out of 448) of our surgeonfish  
29 specimens were identified as F1 or F2, 76 were strictly F2, 22 were B2xAleu and 4 were B2xAni  
30 (Fig 4, where Aleu = *A. leucosternon* and Ani = *A. nigricans*); 20 individuals fell *between* the  
31 confidence limits of the F2 and B2xAleu hybrid classes. Of the 29 morphological hybrids we  
32 included for analysis, we found that 24 individuals were grouped as F1 or F2, an additional three  
33 individuals were classified as strictly F2, and one individual was grouped as a backcross  
34 (B2xAleu); only one individual fell *between* the confidence limits of the F2 and B2xAleu hybrid  
35 classes.

36 For NEWHYBRIDS, 250 of the total 448 individuals were identified as F2 with 90%  
37 probability, and of the remainder, 173 were categorized as “undefined hybrid” based on a 90%  
38 cumulative probability across all hybrid classes. Of the 29 morphological hybrids we included  
39 for analysis, all were identified as “undefined hybrid”, possibly indicating less power in  
40 assigning hybrid individuals to a particular hybrid class. No specimens were identified as  
41 parental by either program, despite well-defined confidence limits based on simulations in  
42 STRUCTURE, and a high probability of detection reported in NEWHYBRIDS.

43 Even though our simulations suggest high power for identifying parental species and their  
44 hybrids, this was based on highly variable loci (range of alleles per species: 20 to 74) and a small

45 number of starting “pure” individuals for simulating hybrids ( $N = 31$  and  $N = 36$  for the two  
46 species). This deficiency limits the number of alleles represented in the simulated offspring and  
47 may even underestimate homoplasmy, a common problem encountered with genetic stock  
48 identification of monitored fisheries (e.g. Anderson et al., 2008).

49 By running STRUCTURE on the entire data set without any assumptions regarding the  
50 purity of parental species, we found that  $K = 3$  or  $4$  was supported ( $K = 1$ ,  $\text{meanLnP}(K) =$   
51  $-36883.42 \pm 0.33$ ;  $K = 2$ ,  $\text{meanLnP}(K) = -36470.46 \pm 3.47$ ;  $K = 3$ ,  $\text{meanLnP}(K) = -35711.08 \pm$   
52  $3.04$ ;  $K = 4$ ,  $\text{meanLnP}(K) = -35669.82 \pm 10.52$ ;  $K = 5$ ,  $\text{meanLnP}(K) = -36084.96 \pm 142.33$ ;  $K =$   
53  $6$ ,  $\text{meanLnP}(K) = -36989.40 \pm 709.16$ ;  $K = 7$ ,  $\text{meanLnP}(K) = -37526.34 \pm 1280.05$ ;  $K = 8$ ,  
54  $\text{meanLnP}(K) = -37792.52 \pm 947.76$ ), and on average, *A. achilles* individuals almost always  
55 assigned to *A. achilles*, *A. japonicus* individuals were assigned to a mixture of all species except  
56 *A. achilles*, *A. leucosternon* individuals almost always assigned to *A. leucosternon* and *A.*  
57 *nigricans* individuals were equally assigned to *A. japonicus* and *A. nigricans* (Fig 5). In most  
58 cases, individuals identified as hybrids in the field were assigned to both of the suspected parent  
59 species (Fig 5). The STRUCTURE results were not qualitatively different when locus Ahy75  
60 was omitted from the analysis given that every *A. achilles* was missing data from this locus (Fig  
61 S4;  $K = 1$ ,  $\text{meanLnP}(K) = -33125.4 \pm 0.50$ ;  $K = 2$ ,  $\text{meanLnP}(K) = -32763.5 \pm 1.91$ ;  $K = 3$ ,  
62  $\text{meanLnP}(K) = -32022.14 \pm 4.07$ ;  $K = 4$ ,  $\text{meanLnP}(K) = -32033.12 \pm 19.15$ ;  $K = 5$ ,  $\text{meanLnP}(K)$   
63  $= -32409.82 \pm 129.92$ ;  $K = 6$ ,  $\text{meanLnP}(K) = -32806.18 \pm 100.06$ ;  $K = 7$ ,  $\text{meanLnP}(K) =$   
64  $-33332.70 \pm 954.83$ ;  $K = 8$ ,  $\text{meanLnP}(K) = -33526.72 \pm 427.94$ ). The results with STRUCTURE  
65 were similar when hybrids were placed in their own “populations” and when only species with  
66 the largest sample size were run together (*A. leucosternon*, *A. nigricans* and their hybrids),

67 although when standardized to the lowest sample size ( $N = 6$ ), the four “species” could not be  
68 separated ( $K = 1$ ,  $\text{meanLnP}(K) = -1251.24 \pm 0.32$ ;  $K = 2$ ,  $\text{meanLnP}(K) = -1251.64 \pm 0.42$ ;  $K = 3$ ,  
69  $\text{meanLnP}(K) = -1252.80 \pm 1.06$ ;  $K = 4$ ,  $\text{meanLnP}(K) = -1258.84 \pm 4.28$ ). A DAPC analysis  
70 confirmed these findings (Fig 6 and Fig S5).

71

#### 72 **4. Discussion**

73 The four surgeonfish species in our study diverged during the Pleistocene and have undergone  
74 more recent population expansion (100,000 to 280,000 years ago) based on mtDNA data.

75 Contemporary secondary contact at range edges appears to have affected each species  
76 differently. Despite hybridizing with *A. nigricans*, *A. achilles* remains genetically distinct.

77 *Acanthurus leucosternon*, on the other hand, exhibits introgression where its range overlaps with  
78 *A. nigricans* in the eastern Indian Ocean, but maintains distinct mtDNA haplotypes outside of the  
79 hybrid zone. *Acanthurus japonicus* co-occurs and hybridizes with *A. nigricans* throughout its  
80 small geographic range, leading to widespread introgression that makes it difficult to distinguish  
81 *A. japonicus* based on our genetic assays.

82 We observed a range of mtDNA divergence in the *A. nigricans* complex. The greatest  
83 mtDNA divergence distinguished *A. achilles* ( $d_{corr}$  with *A. leucosternon* = 0.044;  $d_{corr}$  with *A.*  
84 *nigricans* = 0.038;  $d_{corr}$  with *A. japonicus* = 0.040), consistent with previous phylogenetic  
85 analyses of the group (Sorenson et al., 2013). The Indian Ocean *A. leucosternon* and Indo-Pacific  
86 *A. nigricans* had lower sequence divergence ( $d_{corr} = 0.012$ ), consistent with a separation  
87 approximately 600,000 years ago under a conventional molecular clock (see Materials and  
88 Methods). In contrast, *A. japonicus* (from the Northwest Pacific) contained a distinct cluster of

89 haplotypes separated by only a few mutations from *A. nigricans*, but also shared haplotypes with  
90 the latter. Based on the mtDNA data used in this study, and the gradient of color patterns  
91 observed in the field, it is dubious to regard *A. japonicus* as a species distinct from *A. nigricans*,  
92 and further studies may indicate that the former is a regional color morph or subspecies (as  
93 originally suggested by references in Randall, 1956). Coloration differences do not always  
94 equate to species distinctions in reef fishes (DiBattista et al., 2012a,b). Our data also support the  
95 conclusion of Montanari et al. (2014) that closely-related reef fishes (*A. japonicus* and *A.*  
96 *nigricans* in this case) hybridize and introgress more readily than their distantly-related  
97 congeners (*A. achilles* and *A. nigricans*).

98 All available evidence indicates that *A. leucosternon* in the Indian Ocean and *A. nigricans* in  
99 the Pacific Ocean (and eastern Indian Ocean) are young species with similar morphology and  
100 ecology. Our estimate of divergence in these two species (approximately 600,000 years ago)  
101 indicates that Pleistocene glacial cycles played a role in their differentiation as sea level  
102 fluctuations episodically restricted connections between the Indian and Pacific Oceans (reviewed  
103 in Gaither and Rocha, 2013). Given the primarily Indian and Pacific distributions of *A.*  
104 *leucosternon* and *A. nigricans*, respectively, it is likely that the vicariant separation of ocean  
105 basins was the starting point for this evolutionary chronicle. The Indo-Pacific Barrier (i.e. Sunda  
106 Shelf) is ephemeral however, with separate Indian and Pacific Ocean faunas at glacial maxima,  
107 followed by a biogeographic gradient during interglacial periods as the two faunas expand into  
108 the alternate ocean basin (Briggs and Bowen, 2012; Gaither and Rocha, 2013; Hodge et al.,  
109 2014; Eble et al., 2015). Hence, evolutionary divergence between sister taxa may begin at the  
110 glacial-induced barrier, but species cohesion depends on maintaining separate gene pools during

111 the interglacial periods of secondary contact (Chenoweth et al., 1998; van Herwerden and  
112 Doherty, 2006; Sorenson et al., 2014).

113 Even though *A. leucosternon*, *A. japonicus* and *A. achilles* maintain distinct geographic  
114 ranges, the range edges of all three species overlap with the Pacific *A. nigricans*. In all cases  
115 where the ranges overlap, the parent species are observed in mixed social groups, intermediate  
116 color morphs are present and the occurrence of these hybrids is verified with our DNA data. In  
117 the regions around Christmas Island, the Cocos-Keeling Islands and Bali in Indonesia, areas  
118 where the Pacific and Indian Ocean fauna mix, *A. nigricans* hybridizes with *A. leucosternon* to  
119 produce an intermediate (Fig 2c). Eastwards in the Hawaiian Islands and Micronesia, *A.*  
120 *nigricans* hybridizes with *A. achilles* (Fig 2f). In southern Japan, Taiwan and the northern  
121 Philippines, *A. nigricans* hybridizes with the genetically similar *A. japonicus* (Fig 2i). Our field  
122 observations and genetic analyses confirm previous reports of hybridization at regions of range  
123 overlap in this complex (Randall, 1956; Randall, 2002; Allen et al., 2007; Marie et al., 2007;  
124 Hobbs et al., 2009). Here our discussion will focus on the widespread Indian and Pacific Ocean  
125 species (*A. leucosternon* and *A. nigricans*) for which we have range-wide sampling, therefore  
126 allowing us to address broader questions.

127

#### 128 *4.1 Hybridization or incomplete lineage sorting?*

129 In young species like *A. leucosternon* and *A. nigricans*, it can be difficult to separate  
130 contemporary hybridization from incomplete lineage sorting. This problem has attracted much  
131 interest (Sang and Zhong, 2000; Buckley et al., 2006; Holland et al., 2008), and yet few effective

132 approaches exist for distinguishing these processes. Fortunately the range-wide sampling with  
133 mtDNA and microsatellite markers facilitates some level of resolution.

134 Lineage sorting eliminates ancestral polymorphism over time such that sister species  
135 eventually become reciprocally monophyletic (Avice, 2000), although monophyly is expected to  
136 be incomplete early in the divergence process (Sullivan et al., 2002). Under a scenario of  
137 complete isolation, mtDNA lineages are expected to attain reciprocal monophyly at  
138 approximately  $2N_e$  generations on average, where  $N_e$  is effective population size (Avice, 2000).  
139 This process is longer for diploid nuclear loci, averaging  $4N_e$  generations (Avice, 2000). Given  
140 that each species is a single population spread across a vast geographic range, historical  $N_e$   
141 values must be in the millions. Hence a timeframe of 600,000 years for divergence of *A.*  
142 *leucosternon* and *A. nigricans* is certainly within the realm of incomplete lineage sorting for  
143 nuclear loci. Furthermore there is evidence for inter-specific hybridization, most robustly  
144 demonstrated by intermediate coloration and mtDNA lineages transferred between species. We  
145 conclude that the finding of no pure parents in STRUCTURE and NEWHYBRIDS is a product  
146 of both incomplete lineage sorting and hybridization, as might be expected in young species with  
147 incomplete reproductive barriers.

148 Introgression in reef fishes (and other taxa) appears to be characteristic of more recently  
149 separated species (e.g. van Herwerden et al., 2006; Yaakub et al., 2006; Marie et al., 2007), with  
150 2% mtDNA divergence suggested as a benchmark for the initiation of this process (Montanari et  
151 al., 2014). Among the seven specimens that had discordance between mtDNA lineage and  
152 species ID (via coloration), four are inside the suture zone, three are from distant locations in the  
153 Indian Ocean and none are observed in the Pacific range of *A. nigricans* (Fig. 3). The alternative

154 of extreme sex-biased mating seems unlikely given that these reef fish are dioecious, do not  
155 guard their eggs and fertilize externally in open water (Thresher, 1984; but see Marie et al.,  
156 2007).

157 A prominent caveat to microsatellite-based conclusions is the homoplasy of alleles, those that  
158 are identical in size but are not identical by descent. Some of the alleles “shared” between  
159 species arrived at their identical size by independent evolutionary pathways, and this  
160 phenomenon has yielded ambiguous claims of hybridization in other marine fishes (see Henrique  
161 et al., 2016). This caveat will certainly apply to species separated by 600,000 years. Hence the  
162 finding of a hybrid origin for all specimens is probably based on a combination of incomplete  
163 lineage sorting, introgression and allele homoplasy; fixed alleles with more diagnostic markers  
164 are likely required to tease apart their relative contribution.

165

#### 166 *4.2 Is there gene flow from the suture zone via hybrid offspring?*

167 In the first genetic documentation of hybrids between *A. leucosternon* and *A. nigricans*, Marie et  
168 al. (2007) noted that a broader phylogeographic study would be necessary to understand the  
169 evolutionary scope of these events. Here we show that the genetic consequences of hybridization  
170 in the suture zone encompass the range of both species and are not restricted to the areas of  
171 sympatry. This effect is likely facilitated by larval dispersal of hybrid and backcrossed  
172 individuals out of the hybrid zone. Indeed, individuals of hybrid coloration have been observed  
173 outside the hybrid zone (Craig et al., 2008; J.P.A. Hobbs pers. obs.). Previous reef fish studies  
174 have also detected introgression in allopatric populations that are well beyond the hybrid zone  
175 (McMillan et al., 1999; DiBattista et al., 2012). Despite a high probability of detecting pure

176 members of each species based on simulations (Fig S2), our analysis detected no pure parental  
177 individuals in all of our surgeonfish samples (Fig 4). Indeed, 90% to 100% were identified as F1  
178 or F2 hybrids based on microsatellites, with the remainder identified as some form of later  
179 generation backcross. The use of species diagnostic microsatellite markers would improve our  
180 resolution of hybrid categories (*e.g.*, Roberts et al., 2009), but the identification of hybrids based  
181 on both coloration and genetics validates our field classification of “hybrid” fishes.

182 Even though individuals with intermediate coloration were confirmed to be hybrids in this  
183 study (and in other reef fishes: Hobbs and Allen, 2014); backcrossed individuals of many reef  
184 fish species often have the same coloration as the parent species and go undetected (McMillan et  
185 al., 1999; Yaakub et al., 2006; Montanari et al., 2012; 2014). As hybrid larvae disperse out of the  
186 hybrid zone, settle onto reefs and backcross with populations containing individuals that look  
187 like the parent species, the hybrid coloration is rapidly lost (*e.g.* McMillan et al., 1999). The  
188 signal of introgression, however, remains, and continues to spread throughout the range of a  
189 species as larvae disperse between populations and interbreed. Consequently, the zone of  
190 introgression is usually much larger than the hybrid zone in reef fish (McMillan et al., 1999).  
191 Our use of allopatric populations of *A. leucosternon* and *A. nigricans* to represent “purebred”  
192 individuals may blur the distinction among hybrid classes, but we suspect that hybrid ancestry is  
193 widespread in this species complex. A corollary of this conclusion is that F1 hybrids must have  
194 some degree of fertility to produce backcrossed offspring. We conclude that hybrid offspring are  
195 not an evolutionary dead end in this system, rather they lead to widespread introgression.

196 The Indian Ocean *A. leucosternon* and Pacific Ocean *A. nigricans* have negligible population  
197 structure across their ranges, and both have a lengthy post-larval stage that may facilitate



198 dispersal between populations (Randall, 2002). While a link between dispersal ability and  
199 duration of the pelagic stage has considerable intuitive appeal, the relationship is not a simple  
200 one (Selkoe and Toonen, 2011; Selkoe et al., 2014). Nonetheless, fishes with a long pelagic  
201 larval stage tend to have extensive dispersal, broad ranges and dominate communities on isolated  
202 islands (Horne et al., 2008; Reece et al., 2011; Hobbs et al., 2013). Some of the most dispersive  
203 reef fishes are those that can stay in the water column after transforming from larva to juvenile  
204 (or pre-juvenile), including trumpetfishes (Bowen et al., 2001), squirrelfishes (Craig et al., 2007)  
205 and (most relevant) other surgeonfishes (Thresher, 1984). The sister species surveyed here  
206 provide additional examples of highly dispersive fishes, a trait that may be a general feature of  
207 the genus *Acanthurus* (Eble et al., 2011). In these circumstances, perhaps it is inevitable that the  
208 hybrid larvae can also disperse widely and backcross far from the point of origin. A 2008  
209 expedition to the Chagos Archipelago revealed a previously unknown population of *A. nigricans*  
210 in the central Indian Ocean, and new *A. leucosternon* x *A. nigricans* hybrid records at Chagos  
211 and other archipelagos in the Indian Ocean support a broad geographic distribution of  
212 introgressed individuals in these two species (Craig, 2008). We conclude that hybridization  
213 coupled with high dispersal is the key to understanding the pattern of hybrid ancestry across the  
214 *A. nigricans* complex.

215

#### 216 *4.3 Evolutionary consequences*

217 The suture zone at Christmas and Cocos-Keeling Islands is where many allopatric Indian and  
218 Pacific Ocean sister species come into secondary contact, including *A. leucosternon* and *A.*  
219 *nigricans* (Hobbs and Allen, 2014). At this suture zone some sister species exhibit subtle

220 differences in diet and habitat use (Hobbs et al., 2010; Hobbs and Allen, 2014), however, other  
221 sister species have seemingly identical patterns of habitat use, diet, behavior and are equally  
222 likely to form homo- or heterospecific mating pairs (Montanari et al., 2012; 2014). Within their  
223 allopatric distributions, *A. leucosternon* occurs at inshore reefs in large aggregations, whereas *A.*  
224 *nigricans* tends to be more common on the outer reef below the surge zone (Kuitert and Debelius  
225 2001; Randall, 2002). However, at Christmas and Cocos-Keeling Islands the two species occupy  
226 the same habitat, form mixed schools and feed together, which may break down pre-zygotic  
227 barriers to hybridization (Marie et al., 2007; Hobbs and Allen, 2014; Montanari et al., 2016).  
228 Furthermore, the hybrid individuals also cohabit and feed with the parents indicating  
229 ecological and behavioral post-zygotic barriers are also overcome. The breakdown of these pre-  
230 and post-zygotic barriers aids hybridization and, along with dispersal out of the hybrid zone and  
231 lack of selection against introgressed individuals, would explain the pattern of widespread  
232 introgression in *A. leucosternon* and *A. nigricans*.

233 Our field observations also confirm that the other species in the complex (*A. achilles* and *A.*  
234 *japonicus*) cohabit and feed with *A. nigricans* in the narrow areas of range overlap.  
235 Furthermore, the hybrids are seen to mix and feed with both parent species in these narrow  
236 contact zones (J.P.A. Hobbs, unpubl. data). However, overcoming ecological and behavioral pre-  
237 and post-zygotic barriers to breeding has had contrasting evolutionary outcomes. *Acanthurus*  
238 *japonicus* is genetically indistinct from *A. nigricans* throughout its small range, however *A.*  
239 *achilles* maintains its genetic identity. This indicates that some post-zygotic mechanisms (e.g.  
240 failed production of F2 individuals, selection against introgressed individuals) may be  
241 maintaining species integrity.

242 Hybridization is increasingly being detected in reef fishes and is not surprising given that  
243 most reef fishes have traits that would diminish barriers to reproductive isolation: broad  
244 distributions, closely related species in sympatry, external fertilization and a lack of parental care  
245 (Palumbi 1994; Montanari et al., 2016). It is now evident that hybridization in reef fishes occurs  
246 under different scenarios, and across a range of taxa and geographic locations (Hobbs et al.,  
247 2013; Hobbs and Allen, 2014; DiBattista et al., 2015). Hybridization in reef fishes has a range of  
248 evolutionary consequences (Richards and Hobbs, 2014), even within a species complex (this  
249 study). These hybridization events provide exciting opportunities to investigate speciation in reef  
250 fishes and the role of introgression in structuring phylogenetic relationships (Kuriwa et al.,  
251 2007). Although the use of genetic approaches is critical to confirm hybridization, it is also  
252 important to distinguish between incomplete lineage sorting, introgression and allele homoplasy  
253 (Henrique et al., 2016). All of these processes may occur in young hybridizing species and  
254 overlap to produce similar genetic signatures. The presence of suture zones allows for  
255 comparative studies across multiple taxa that are hybridizing in one location (Hobbs and Allen,  
256 2014; DiBattista et al., 2015). Furthermore, species complexes (such as the *A. nigricans*  
257 complex) that span multiple suture zones provide an ideal scenario to determine the role that  
258 hybridization plays in the evolutionary history of reef fishes.

259

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289

290

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#### 639 **Data Accessibility**

640 mtDNA sequences: Genbank accession numbers KM456297 to KM456989.

641 Microsatellite primer sequences: Genbank accession numbers HQ130123 to HQ130132.

642 Sample locations and microsatellite genotypes: DRYAD entry doi: 10.5061/dryad.2m973.

643

#### 644 **Figure Captions**

645 **Figure 1.** Scaled map indicating collection sites for *Acanthurus achilles* (light pink circles), *A.*  
646 *japonicus* (light green circles), *A. leucosternon* (light blue circles) and *A. nigricans* (light orange  
647 circles), or morphological hybrids between the species (grey circles) in the Indo-Pacific region.  
648 Dashed lines outline the known distributions for each species based on Randall (2002). Site  
649 abbreviations are defined in Table 1.

650

651 **Figure 2.** *Acanthurus achilles* (e, Johnston Atoll), *A. japonicus* (h, Anilao, Philippines), *A.*  
652 *leucosternon* (b, Christmas Island, Australia), *A. nigricans* (a, d, g, Christmas Island, Australia)  
653 and the hybrids between them (c, *A. leucosternon* × *A. nigricans*, Christmas Island, Australia; f,  
654 *A. achilles* × *A. nigricans*, Big Island, Hawaiian Archipelago; i, *A. japonicus* × *A. nigricans*,

655 Hachijo-jima, Izu Islands). Photo credit: a,b,c,d,g = Tane Sinclair-Taylor, e,h = Luiz Rocha, f =  
656 Keoki Stender, i = Kenji Sorita.

657

658 **Figure 3.** Median-joining statistical parsimony networks based on 491 bp of mitochondrial  
659 cytochrome *b* from *Acanthurus achilles* ( $N = 54$ ), *A. japonicus* ( $N = 49$ ), *A. leucosternon* ( $N =$   
660 110), *A. nigricans* ( $N = 344$ ) and morphological hybrids between the species ( $N = 48$ ) sampled  
661 across the Indo-Pacific region. Each circle represents a haplotype and its size is proportional to  
662 total frequency; for scale, the largest circle represents 230 individuals. Branches and black  
663 crossbars represent a single nucleotide change unless otherwise noted, whereas small, open  
664 circles indicate unsampled haplotypes; colors denote collection location or species as indicated  
665 by the embedded key. The network was simplified by removing all haplotypes occurring in  
666 single specimens ( $N = 103$ ), except for the hybrids; this did not influence the overall pattern.

667

668 **Figure 4.** Distribution of *q*-values (i.e. proportion of genotype with *Acanthurus leucosternon* or  
669 *A. nigricans* ancestry) from STRUCTURE for all surgeonfish collections ( $N = 478$ ) partitioned  
670 by sample site. A *q*-value of 0.92 is the *A. leucosternon* threshold of assignment and 0.08 is the  
671 *A. nigricans* threshold of assignment. Each individual is given a symbol based on their final  
672 classification in NEWHYBRIDS (F2 = circle; undefined hybrid = square; no classification = an  
673 X). Morphological hybrids were included in this analysis as a form of quality control for class  
674 assignment and therefore highlighted in green. *A. leucosternon* and *A. nigricans* samples were  
675 also highlighted, in red and blue, respectively. Dashed black lines represent the threshold for

676 assignment to parental *A. leucosternon* or parental *A. nigricans* categories. Site abbreviations are  
677 described in Table 1.

678

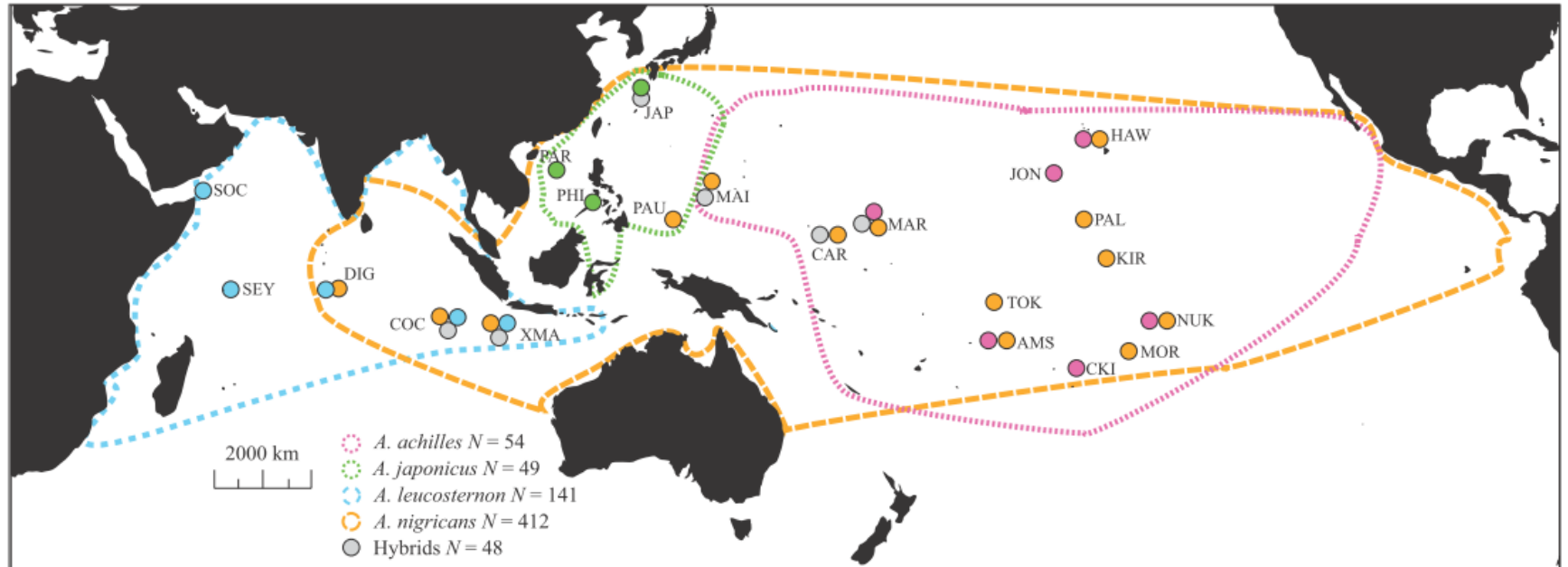
679 **Figure 5.** Results of STRUCTURE analysis performed on 10 microsatellite loci with  $K = 1$  to  $K$   
680  $= 4$  categories for the four species of surgeonfish (*Acanthurus achilles*, *A. japonicus*, *A.*  
681 *leucosternon* and *A. nigricans*) and their morphological hybrids.  $K = 3$  (meanLnP( $K$ ) = -  
682  $35711.08 \pm 3.04$ ) or  $K = 4$  (meanLnP( $K$ ) =  $-35669.82 \pm 10.52$ ) was identified as the most likely  
683 in this case. For  $K = 4$ , light pink represents *A. achilles*, light green represents *A. japonicus*, light  
684 blue represents *A. leucosternon* and light orange represents *A. nigricans*. Populations are listed as  
685 abbreviations outlined in Table 1. Morphological hybrids are included within the following *A.*  
686 *nigricans* populations: Japan (*A. japonicus* x *A. nigricans*,  $N = 1$ ); Cocos-Keeling, Australia (*A.*  
687 *leucosternon* x *A. nigricans*,  $N = 14$ ); Christmas Island, Australia (*A. leucosternon* x *A.*  
688 *nigricans*,  $N = 25$ ); Guam, Mariana Islands (*A. japonicus* x *A. nigricans*,  $N = 1$ ); Kosrae,  
689 Caroline Islands (*A. achilles* x *A. nigricans*,  $N = 6$ ); Marshall Islands (*A. achilles* x *A. nigricans*,  
690  $N = 1$ ). In addition to the main STRUCTURE plots, morphological hybrids are highlighted in the  
691 inset.

692

693 **Figure 6.** Scatterplot of DAPC performed on 10 microsatellite loci for the four species of  
694 surgeonfish (*Acanthurus achilles*, *A. japonicus*, *A. leucosternon* and *A. nigricans*) and their  
695 morphological hybrids. Species and their hybrids are shown by a color scheme consistent with  
696 Fig. 3 (*A. achilles*: pink; *A. japonicus*: dark green; *A. leucosternon*: light blue; *A. nigricans*:

697 brown; *A. achilles* × *A. nigricans*: black; *A. japonicus* × *A. nigricans*: yellow; *A. leucosternon* ×  
698 *A. nigricans*: red), 95% inertia ellipses and an optimal number of seven clusters based on BIC.  
699 Symbols represent individual genotypes indicated by the embedded key; axes show the first two  
700 discriminant functions.





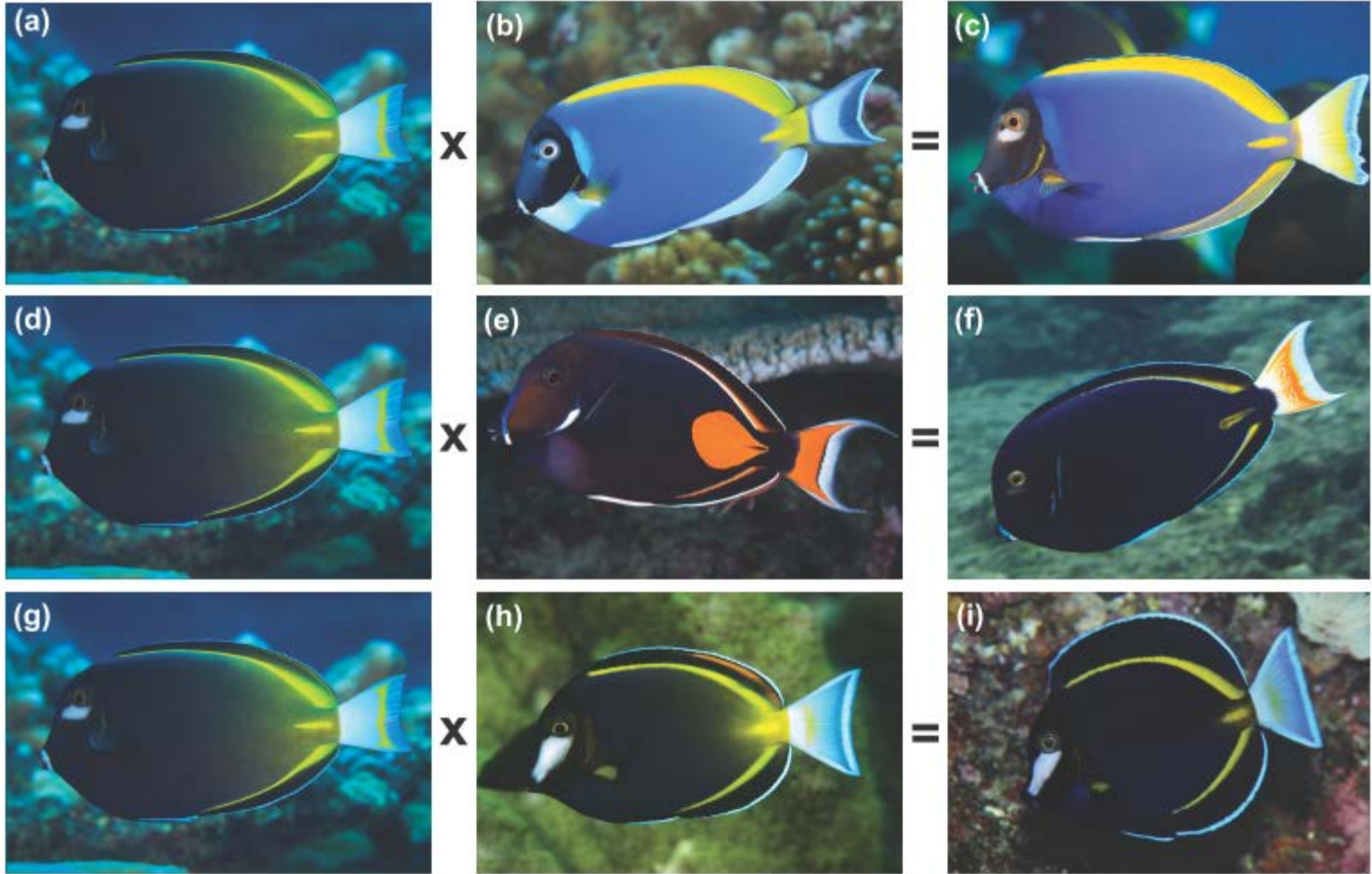
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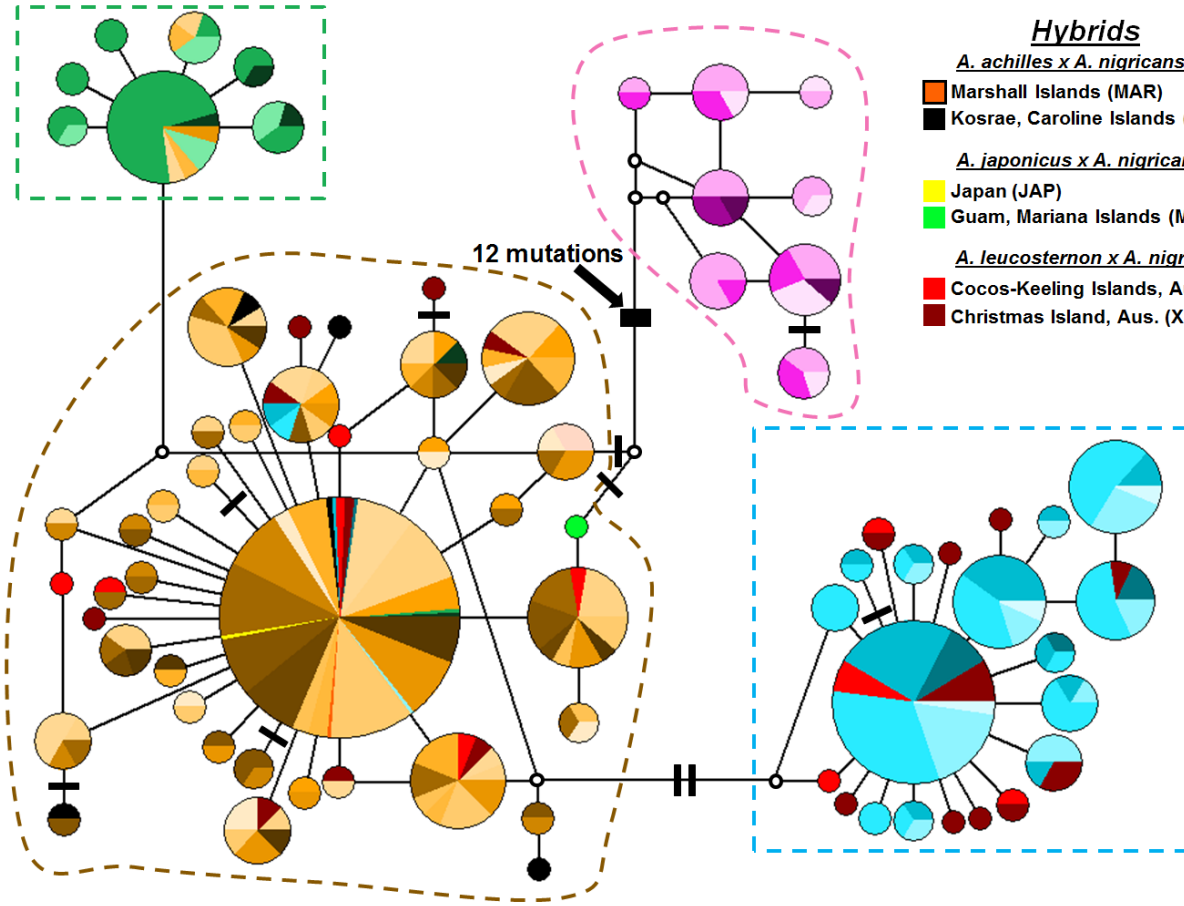
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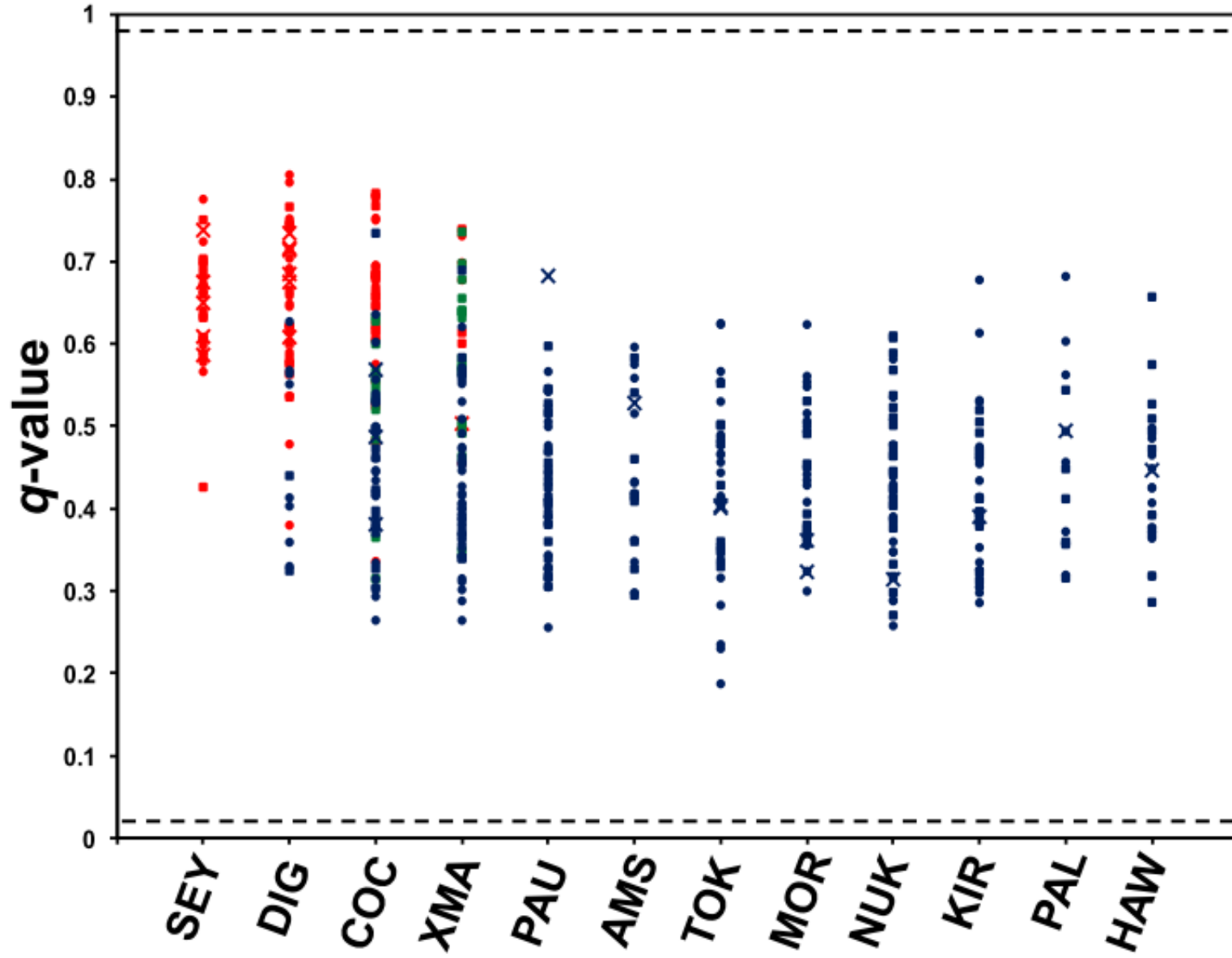
- A. achilles***
- Marshall Islands (MAR)
  - American Samoa (AMS)
  - Cook Islands (CKI)
  - Nuku Hiva (NUK)
  - Johnston Atoll (JON)
  - Hawaiian Islands (HAW)
- A. japonicus***
- Philippines (PHI)
  - Paracel Islands (PAR)
  - Japan (JAP)
- A. leucosternon***
- Socotra, Yemen (SOC)
  - Republic of Seychelles (SEY)
  - Diego Garcia (DIG)
  - Cocos-Keeling Islands, Aus. (COC)
  - Christmas Island, Aus. (XMA)
- A. nigricans***
- Diego Garcia (DIG)
  - Cocos-Keeling Islands, Aus. (COC)
  - Christmas Island, Aus. (XMA)
  - Republic of Palau (PAU)
  - Guam, Mariana Islands (MAI)
  - Marshall Islands (MAR)
  - Kosrae, Caroline Islands (CAR)
  - American Samoa (AMS)
  - Tokelau Islands (TOK)
  - Moorea (MOR)
  - Nuku Hiva (NUK)
  - Kiritimati, Republic of Kiribati (KIR)
  - Palmyra Atoll (PAL)
  - Hawaiian Islands (HAW)

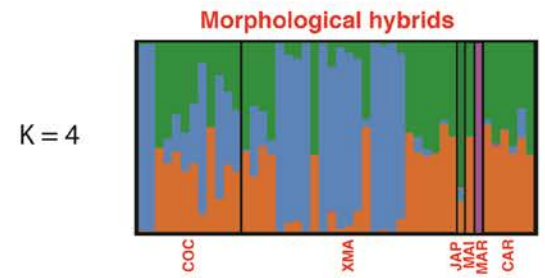
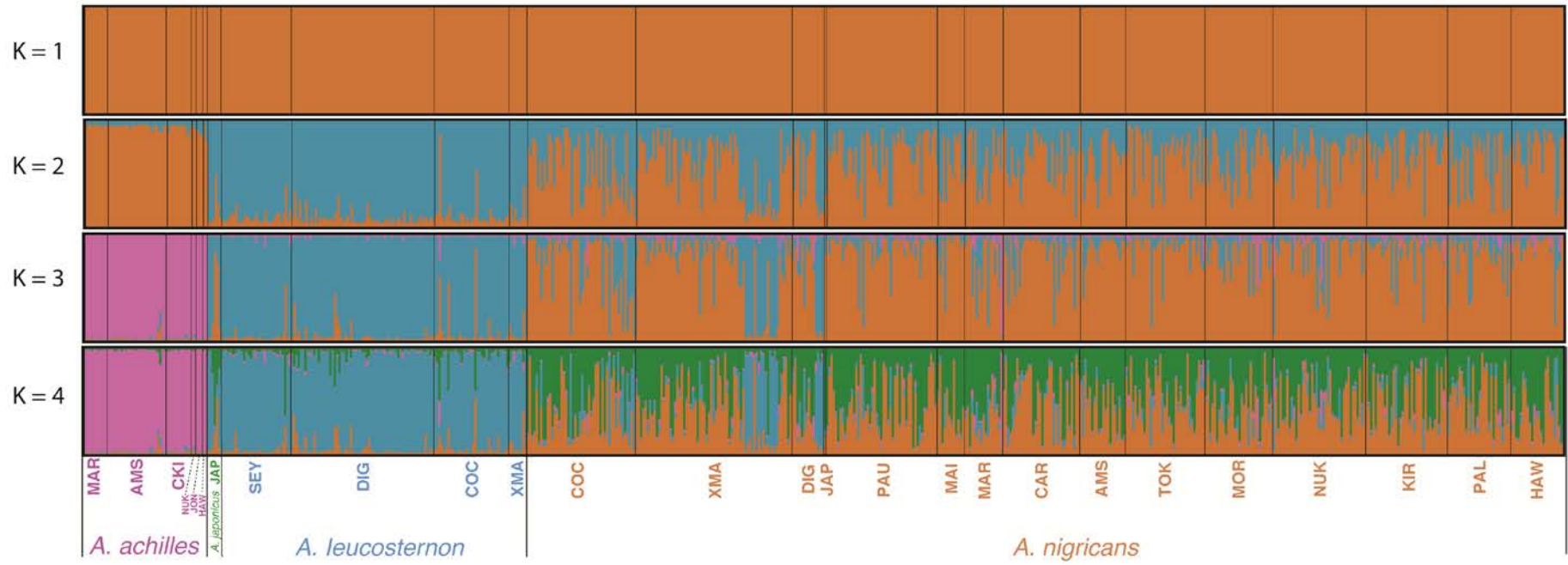


- Hybrids**
- A. achilles x A. nigricans***
- Marshall Islands (MAR)
  - Kosrae, Caroline Islands (CAR)
- A. japonicus x A. nigricans***
- Japan (JAP)
  - Guam, Mariana Islands (MAI)
- A. leucosternon x A. nigricans***
- Cocos-Keeling Islands, Aus. (COC)
  - Christmas Island, Aus. (XMA)

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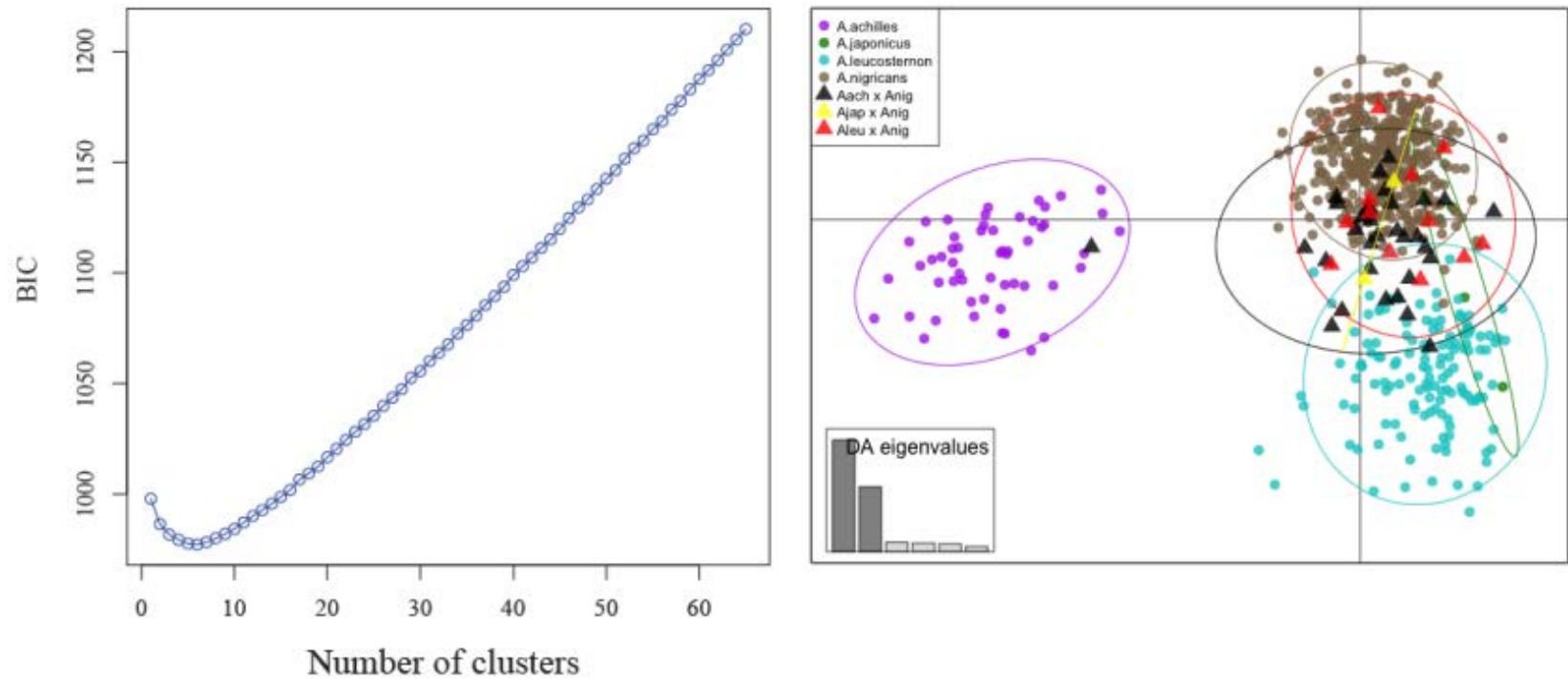


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