

14 **Abstract**

15

16 The analysis of $\delta^{13}\text{C}$ in speleothem calcite is established as a palaeoenvironmental proxy, but
17 records can often be complex to interpret due to multiple controls on the signal. Here we present a
18 novel palaeoenvironmental application of non-purgeable organic carbon (NPOC) $\delta^{13}\text{C}$ analysis and
19 compound-specific isotope analysis (CSIA) to speleothems, and compare the resultant signals to a
20 conventional calcite $\delta^{13}\text{C}$ record. By accessing the carbon pool held in molecular organic matter, we
21 are able for the first time to produce stable isotope records complementary to the CO_2 -derived
22 signal from the speleothem calcite, and begin to identify separate ecological and climatic controls.
23 In this sample from north-west Scotland, the calcite $\delta^{13}\text{C}$ record and the NPOC $\delta^{13}\text{C}$ both show
24 fluctuations at a period of increasing wetness and change from birch woodland to more open
25 peatland, the NPOC signal having a strong correlation with biomarkers for vegetation change. We
26 interpret an inverse correlation between the NPOC and CO_2 $\delta^{13}\text{C}$ signals as primarily driven by
27 changes in soil conditions impacting upon microbial activity, with decreased activity leading to a
28 reduction in ^{13}C enrichment of the residual organic matter (the NPOC fraction), and an increase in
29 $\delta^{13}\text{C}$ in the CO_2 pool (calcite) due to a decrease in respired ^{12}C . This opens the way for the
30 application of parallel analyses to distinguish between soil conditions and vegetation parameters as
31 the primary control on a record, and highlights the advantage of combining both inorganic and
32 organic geochemical techniques in the palaeoenvironmental interpretation of stable carbon isotopic
33 records.

34

35 **Keywords**

36 Speleothem; organic carbon; $\delta^{13}\text{C}$; LC-IRMS; vegetation; soil

37 1. Introduction

38

39 The analysis of stable isotopes in speleothem calcite is a well-established technique in
40 Quaternary research, with oxygen isotopes ($\delta^{18}\text{O}$) particularly widely used to investigate past
41 climate systems (for reviews see McDermott, 2004; Lachniet, 2009). However, carbon stable
42 isotopes ($\delta^{13}\text{C}$) are also of interest (e.g. Dorale et al., 1992; Genty et al. 2003, 2006), but are less well
43 understood due to the complexity of the controls on the system. In an ideal closed system,
44 speleothem carbon will be sourced 50% from the dissolved bedrock, and 50% from soil CO_2 .
45 However, studies of ^{14}C in modern speleothem samples have indicated that the carbon in
46 speleothem carbonate is actually predominantly sourced (80-90%) from soil CO_2 (Genty & Massault,
47 1999; Genty et al., 2001; Griffiths et al. 2012; Hua et al. 2012). The soil CO_2 signal is in turn
48 influenced by atmospheric CO_2 composition, and processed via vegetation input and microbial
49 respiration. Changes in the $\delta^{13}\text{C}$ of stalagmite calcite have frequently been interpreted as changes in
50 the overlying vegetation regime between C3 and C4 plants (e.g. Dorale et al., 1998; Denniston et al.,
51 2001). However, whilst this interpretation is highly appropriate for some sites, regions and
52 timescales, significant fluctuations in $\delta^{13}\text{C}$ have also been observed at sites where there is no
53 evidence for major changes in the ratio of C4 to C3 plants, and where the known climatic parameters
54 make such changes unlikely (Baker et al., 1997; Hellstrom et al., 1998; Genty et al., 2003; 2006).
55 Furthermore, a study of an Ethiopian stalagmite known to have grown during a switch in overlying
56 vegetation from predominantly C3 arboreal scrub to predominantly C4 crops showed that, although
57 the change in vegetation was detected in the stalagmite lipid record, it was not clearly marked in the
58 calcite $\delta^{13}\text{C}$ signal, which was time lagged and smoothed (Blyth et al., 2007). Consequently, the
59 other factors controlling carbon isotope values need equal consideration.

60 In the soil, beyond the initial vegetation input, the CO_2 signal will also be affected by the
61 degree of microbial activity, the mixing of different organic-matter pools, temperature-dependent
62 fractionation of the organic carbon used in microbial respiration, and the degradation state of the

63 organic matter involved (Benner et al., 1987; Andrews et al., 2000; Biasi et al., 2005), as well as the
64 residence time within the soil. Microbial activity is considered especially important, with microbes
65 preferentially using and therefore respiring ^{12}C . Genty et al. (2003, 2006) proposed that increased
66 microbial activity and increased vegetation cover result in a decrease in $\delta^{13}\text{C}$ in the soil CO_2 pool and,
67 consequently, in speleothems. Vegetation cover and microbial activity clearly link back to climate,
68 especially temperature and rainfall, potentially making $\delta^{13}\text{C}$ a sensitive climatic indicator.

69 Further modification of the $\delta^{13}\text{C}$ signal can occur during transport of carbon to the
70 stalagmite, as residence time of the water in the aquifer may also affect the isotopic composition as
71 varying degrees of further processing of organic matter and calcite precipitation occur en route to
72 the cave. These factors can also be largely controlled by climate, including changes in rainfall, and
73 climatically driven fluctuations in biogenic activity and turnover (Genty et al., 2003; 2006). For
74 example, where periods of low rainfall cause a reduction of aquifer recharge, and a resultant
75 dewatering of the fractures above a cavern, this can cause degassing of CO_2 from pore waters and
76 the precipitation of calcite along the surface of fractures, a process termed 'prior calcite
77 precipitation' (Fairchild et al. 2000). Although calcite precipitation causes preferential loss of ^{13}C
78 from the source waters to the solid phase, this is more than offset by preferential loss of ^{12}C from
79 the source waters through CO_2 degassing (Dulinski & Rosanski 1990). Thus, an interval of decreased
80 rainfall can result in higher speleothem $\delta^{13}\text{C}$ values. Lastly, even after transport to the cave, kinetic
81 fractionation during calcite precipitation due to rapid degassing of the drip water can also be an
82 issue (Fantidis & Enhalt, 1970; Hendy, 1971; Wiedner et al., 2008).

83 This wide range of potential controls and complicating factors can make it very difficult to
84 interpret changes in $\delta^{13}\text{C}$ in speleothem calcite in a palaeoenvironmental context. Some factors,
85 such as kinetic fractionation, affect more than one proxy and so can be tested by looking for
86 covarying chemical signals (e.g. the Hendy test, which looks at the variation in carbon and oxygen
87 isotopes along a lamina). However, other factors, especially those affecting the parent soil CO_2
88 signal, such as vegetation type or changes in microbial activity, are extremely difficult to disentangle,

89 especially where comparative proxies or contextual information about environmental change are
90 not present. Therefore, extending the types of $\delta^{13}\text{C}$ records we can recover, and particularly
91 increasing the detail at which we can investigate different carbon and organic matter pools, is
92 essential to gaining a better understanding of palaeoenvironmental signals in speleothems.

93 Traditional $\delta^{13}\text{C}$ analysis only accesses one carbon pool in speleothems – that released as
94 carbon dioxide on dissolution of the calcite with acid, i.e. that derived from CO_2 dissolved in the
95 drip-water. However, a second pool exists, consisting of carbon contained in organic molecules
96 entrapped within the calcite, which has not previously been the subject of isotopic analysis in
97 speleothems. It can be assumed to have two main sources: molecules derived from the soil and
98 molecules derived from *in situ* cave organisms (Blyth et al., 2008). The soil component will in turn
99 consist of plant material, microbial material, and degradation products. The isotopic composition of
100 this organic matter can be analysed in two ways: via analysis of the bulk organic matter, or via
101 compound specific isotope analysis (CSIA).

102 CSIA permits measurement of the isotopic composition of particular molecule groups. It is a
103 rapidly expanding technique, which is used in a wide range of research fields including
104 palaeoenvironmental research, forensics (e.g. Benson et al., 2006 and references therein), and
105 pollution chemistry (e.g. Thullner et al., 2012), amongst others. In the palaeoenvironmental context,
106 CSIA of $\delta^{13}\text{C}$ is generally used to assess the sources of organic matter within a record e.g. C3 vs. C4
107 plants; terrestrial vs. aquatic input (e.g. Talbot & Johannessen, 1992; Brincat et al., 2000; Volkman et
108 al., 2008), with increasing work focusing at a species-specific level (e.g. Jacob et al., 2008; Brader et
109 al., 2010). A major limitation in the application of CSIA to contexts such as stalagmites is the sample
110 sizes required, with the lower limit of detection requiring 5 ng of carbon per injection for each
111 compound of interest measured. For *n*-alkanes (which are saturated hydrocarbon chains) in the
112 range of C_{23} – C_{33} , carbon forms approximately 85% of the molecular weight. An absolute minimum
113 requirement of 5 ng of carbon therefore requires around 6 ng of compound. Data collected for
114 previous studies (Blyth et al., 2006; 2007; 2011) indicates that for the C_{31} *n*-alkane the abundance

115 ranges from 2 – 80 ng / g calcite. Taking into account the need to run multiple analyses per sample
116 to recover reliable isotopic data, the potential for sample loss during initial extraction and clean-up,
117 the need for prior GC-MS injection to check cleanliness of the sample, and the fact that working at
118 the lowest limit of detection in itself increases the error of the technique, it is clear that not all
119 stalagmite samples are likely to be amenable to the approach, and large, multi-gram calcite samples
120 will be required. This may significantly reduce the viable temporal resolution of a time series.

121 Isotopic measurements on bulk organic matter preserved in speleothems offer the
122 opportunity to gain an organic-derived signal at a much higher temporal resolution, as reliable
123 measurements can be made via liquid chromatography – isotope-ratio mass spectrometry (LC-IRMS)
124 on samples of as little as 100 mg of calcite (Blyth et al., 2013). This utilises LC-IRMS in flow-injection
125 mode (i.e. without a chromatography column) to analyse non-purgeable organic carbon (NPOC,
126 Albéric, 2011) in a liquid sample, and has the advantage that samples can be run directly from an
127 acid digest without substantial wet-chemistry preparation. Bulk isotopic measurements have
128 routinely been made on organic matter in other geochemical contexts, such as lakes, cave
129 sediments, oceanic sediments, and peats and soils (e.g. Schelske & Hodell, 1995; Ménot & Burns
130 2001), but have not been widely made on speleothems due to the difficulty of removing the
131 carbonate whilst neither contaminating nor biasing the remaining carbon isotope signal. The LC-
132 IRMS technique resolves this problem, but is not without its own issues, in that what is measured is,
133 in reality, not total NPOC, but the acid-soluble fraction, as acidic solutions will cause precipitation of
134 some organic molecules, especially the humic acids. However, the existence of the technique
135 nonetheless opens up a new field of study in stable carbon isotope records in stalagmites.

136 Here we present an integrated carbon isotope data set from a 2000-year-old stalagmite
137 from Assynt in Scotland. The sample has previously been analysed for lipid biomarker content and
138 has a known environmental and climatic history (Blyth et al., 2011). This makes it an ideal test
139 sample for investigating the controls on and relationships between the various carbon isotope
140 signals.

141

142 **2. Methods and materials**

143

144 **2.1 Site and sample**

145

146 The stalagmite sample, Tral-1, is a small (75 mm tip to base) strongly laminated specimen
147 collected from Lower Traligill Cave in Assynt, north-west Scotland in 2003. It has previously been
148 dated by U-Th disequilibrium dating (Blyth et al., 2011), with a basal age of 2200 years. The region
149 has a mean annual air temperature of 7.2 °C and a maritime climate, with annual precipitation of
150 >1900 mm (Baker et al., 1999). The area directly around the cave is overlain by thin mineral soils,
151 supporting *Calluna* and grasses, while the valley upstream is primarily covered by peatland
152 dominated by *Calluna*, *Erica* and *Cyperaceae*, with discontinuous areas of *Sphagnum* cover (Charman
153 et al., 2001). Peat-core analysis indicates that this vegetation regime has persisted in the area for at
154 least the last 3 ka, although prior to 1 ka, birch woodland was an additional major ecosystem
155 component (Charman et al., 2001). It is believed that the land directly above the cave suffered slope
156 collapse and soil / peat loss during the Little Ice Age around 300 years ago, which is reflected in the
157 very top of the stalagmite as multiple hiatuses, and limited calcite deposition (Blyth et al., 2011).

158

159 **2.2 Extraction method for NPOC and analysis by LC-IRMS**

160

161 Samples for bulk NPOC $\delta^{13}\text{C}$ analysis were drilled manually with a fixed drill in a continuous
162 series at intervals of 5 mm, providing samples of approximately 400 mg of calcite. Prior to drilling
163 the surface of the stalagmite was rinsed with solvent cleaned 3M hydrochloric acid to remove
164 external contamination.

165

166 Calcite powder samples of 100 – 200 mg were digested in 3 M phosphoric acid at a
concentration of 1 ml acid / 100 mg powder. Phosphoric acid was used rather than hydrochloric

167 (which is conventionally used in stalagmite digestion, e.g. Blyth et al., 2006) because the halides in
168 the hydrochloric acid were found to interfere with the action of the oxidant in the LC-MS run,
169 resulting in unreliable isotopic data (Alberic 2011; Blyth et al., 2013). After complete digestion of the
170 calcite, aliquots of samples were transferred to 1.8 ml autosampler vials and dissolved carbon
171 dioxide was removed under vacuum in a rotary vacuum concentrator. Tests showed that vacuum
172 treatment of one hour removed DIC to below the limit of detection, without affecting the organic
173 isotope signal. After vacuum treatment, samples were sealed with caps, and transferred to the LC-
174 IRMS instrument for analysis.

175 Stable-isotope analysis was carried out using a Thermo Scientific LC-IRMS (consisting of an
176 Accela autosampler and Accela 600 pump attached to a Delta V plus isotope ratio mass
177 spectrometer via an LC-Isolink). Reagents and mobile phase were made with MilliQ water, degassed
178 under vacuum and sonication for 1 hour and afterwards constantly sparged with helium. The
179 analytical method is described in Blyth et al. (2013). In brief, analysis was made in flow-injection
180 mode using a mobile phase of dilute sulphuric acid pH 4.0-4.2 (100 μl of 1:50 H_2SO_4 in 1 L of MilliQ
181 water) running at a flow rate of 300 $\mu\text{l min}^{-1}$ maintained at 20 $^\circ\text{C}$ using the column oven. For each
182 run 20 μl of sample was injected using the autosampler, and oxidation of the organic carbon was
183 achieved using a catalyst of 1.28 M H_3PO_4 (flow rate 20 $\mu\text{l min}^{-1}$) and oxidant of 0.13 M $\text{Na}_2\text{S}_2\text{O}_8$
184 (flow rate 20 $\mu\text{l min}^{-1}$). The oxidation reactor in the LC-Isolink was maintained at 99.9 $^\circ\text{C}$. Run time
185 was 5 minutes with measurements made relative to the second of two 20 s reference-gas pulses at
186 the start of the run; three more reference-gas pulses were used after the analyte peak had appeared
187 to check for drift over the run. The reference gas is calibrated to a $\delta^{13}\text{C}$ value of -22.92‰ VPDB using
188 USGS-41 Glutamic acid +37.626‰ VPDB as an international standard. As this is an enriched
189 standard chosen due to necessity of availability, and not ideal for calibrating natural samples, a
190 series of commercial amino acid standards (Sigma Aldrich) were also analysed. These in house
191 standards had an isotopic range of -7.6‰ to -31.6‰, bracketing the reference gas and the expected
192 stalagmite values, and had previously had their isotopic values confirmed on an elemental analyser

193 (Smith et al., 2009). These gave satisfactory results, confirming that the USGS-41 calibration is
194 robust. Between analytical runs phosphoric acid blanks were measured to help clean the sample
195 loop and reduce sample carry over, as well as prevent calcium phosphate build up in the in-line
196 filters. Needle flushing was performed with a non-degassed mobile phase solution. Previous
197 method development work (Blyth et al., 2013) has shown the LC-IRMS technique to have acceptable
198 precision of around +/- 0.2‰ across a linearity range of 1000 – 9000 mV, equating to an organic
199 carbon abundance of 4 – 23 µg per sample.

200

201 **2.3. $\delta^{13}\text{C}$ calcite**

202

203 Samples for $\delta^{13}\text{C}$ analysis of calcite were drilled in a continuous series using a micromilling
204 lathe employing the method described by Drysdale et al. (2007). The stalagmite section was first
205 routed to produce a ledge ~2.5 mm thick and ~3.5 mm deep. The ledge was sampled at consecutive
206 100 µm increments using a milling bit. Due to the highly visible, parallel-tabular banding pattern of
207 the stalagmite, sampling uncertainty is unlikely to exceed ± 100 µm from the nominal centre point of
208 each sampling increment.

209 The stable isotopic composition of the calcite powders was determined on ~0.8 mg samples
210 using a GV Instruments GV2003 continuous-flow isotope-ratio mass spectrometer at the University
211 of Newcastle, Australia. Powders were acidified at 70 °C using 105% phosphoric acid and isotope
212 measurements made on the CO_2 evolved from the reaction. Sample results were converted to the
213 conventional 'delta' notation on the Vienna Pee Dee Belemnite scale using an internal standard of
214 Carrara Marble (NEW-1) previously calibrated to NBS-19.

215

216 **2.4 Compound specific isotope analysis**

217

218 Compound-specific isotope analysis was carried out on the previously analysed lipid samples
219 reported in Blyth et al. (2011). To recover clean *n*-alkane fractions, the total lipid extract was placed
220 on a silica gel column and the non-polar fraction recovered by elution with hexane. Samples
221 suspended in hexane were manually injected into a Finnigan Trace GC, coupled to a Thermo Finnigan
222 MAT 253 isotope-ratio mass spectrometer at The Open University, UK. GC oven temperature was
223 held for 1 min at 50 °C and then programmed at 5 °C min⁻¹ to 310 °C, the final temperature was held
224 for 9 min. The isotopes were measured relative to a NIST standard. C₂₅, C₂₇, C₂₉, and C₃₁ *n*-alkanes
225 were measured. Analytical variability established via repeat injections of a C₁₉ *n*-alkane standard.

226

227 **3. Results and discussion**

228

229 **3.1 Results**

230

231 The $\delta^{13}\text{C}$ results from the NPOC preserved in Tral-1 show an acceptable precision with
232 eleven of the 15 samples having an SD across three analyses of 0.1 – 0.4‰ (Fig. 1.). The remaining
233 four samples have SDs of 0.7, 0.7, 0.8, and 1‰. These levels of precision are not ideal, but are
234 acceptable in low abundance samples. Peak amplitudes for *m/z* 44 in Tral-1 were around 1000 mV.
235 The overall isotopic range in the sequence is 6.4‰ (-20.1 to -26.5‰).

236 The $\delta^{13}\text{C}$ analyses of the stalagmite calcite show an overall isotopic range of 4.5‰ (-8 to -
237 12.5‰). The analytical precision of the technique was 0.05‰.

238 For the compound specific isotope analyses, the errors were harder to establish, as
239 subsamples from the main body of the stalagmite were found to contain alkane abundances near
240 the measurement limits for the technique, which meant each sample could only be injected once,
241 without repeats. The analytical precision was established by repeat injection of a C₁₉ *n*-alkane
242 standard, which gave a repeatability of 0.15‰. An approximated error for the samples was provided
243 by running five analytical repeats on the subsample from the top few mm of the stalagmite, which

244 was much richer in organics than the other samples. This gave a range of standard deviations across
245 the four compounds of interest of 0.2 – 0.6‰. In the time-series the compound-specific carbon
246 isotopes vary from -29.8‰ to -34.4‰, which is within the expected range for *n*-alkanes derived from
247 C3 vegetation (Rieley et al., 1991; Collister et al., 1994; Bi et al., 2005). There is systematic depletion
248 of isotopic values with increasing carbon chain length, a characteristic that has been widely noted in
249 *n*-alkanes from other environmental contexts, and relates to isotopic fractionation in the plant tissue
250 during compound synthesis (e.g. Rieley et al., 1991; Spooner et al., 1994; Brincat et al., 2000).

251 Within each carbon chain, the time-series range is 2.5‰ for C₂₅, C₂₇, C₂₉ and 1.8‰ for C₃₁. This is a
252 noticeably smaller variation than that seen in either the NPOC or calcite. Figure 2 shows the CSIA
253 data for the sample, and it can be seen that the signals for the four compounds only partially covary.
254 When linear regression is performed, it is found that C₂₅ (the dominant lipid peak in most of the sub-
255 samples) stands out, with no real correlation with C₂₇ and C₂₉ ($r^2 = 0.22$, and 0.11 respectively), and a
256 moderate correlation with C₃₁ ($r^2 = 0.58$). C₂₇ and C₂₉ superficially correlate strongly together ($r^2 =$
257 0.73), but this is actually driven by a single data point. The differences may be due to natural
258 environmental differences in the source of the different compounds; in vegetation terms, in
259 temperate environments, C₂₅ is a known major component of peat derived signals, while C₃₁ is more
260 commonly associated with grasses, and C₂₇ and C₂₉ with trees, although microbial processing can
261 interfere with this simple association, especially at lower chain lengths (Rieley et al., 1991b;
262 Marseille et al., 1999; Pancost et al., 2002; Blyth et al., 2007). Alternatively, there may be analytical
263 artefacts present, resulting from the low sample size and lack of repeat injections. Therefore, whilst
264 we consider the CSIA signal interesting, and worthy of further investigation in speleothems either
265 richer in organics or able to provide larger calcite samples, at this stage, we feel it should not be
266 over-interpreted.

267

268 **3.2 Changes through time**

269

270 Figure 3 shows the variation in three isotopic signals along the length of the stalagmite
271 (calcite, NPOC and C_{25} *n*-alkane), in addition to the results of the C_{27}/C_{31} *n*-alkane biomarker ratio
272 (previously taken as a vegetation proxy in this stalagmite by Blyth et al. (2011)). The first point to
273 note is that there is broad covariance in all the signals in terms of when changes occur, with all the
274 signals showing a change around 1000 years ago. However, the magnitude and direction of the
275 change varies between the records. In particular, the NPOC and calcite records are inversely
276 correlated, with the NPOC record decreasing (becoming more negative, or less enriched with ^{13}C)
277 after 1000 years, while the calcite record increases (becomes more positive, or relatively more
278 enriched with ^{13}C). The magnitude of change is also much greater and more marked in the NPOC
279 record. To investigate the strength of these apparent relationships, we carried out linear regression
280 between the signal curves for the calcite, NPOC, and vegetation biomarker signals. The CSIA signal
281 was excluded due to the concerns about reliability outlined in section 3.1. We also excluded points
282 from the top of the stalagmite, above the major hiatus at approximately 300 years (area represented
283 by a grey box on Fig. 3). This is because the calcite in this portion contains multiple hiatuses and
284 associated crusts, as well as detrital flood events, and therefore it is not directly comparable in
285 formation, organic content or fabric to the bulk of the stalagmite, which has formed without any
286 discernible hiatuses via normal drip deposition. Fig. 4 shows the results of the linear regression. The
287 NPOC and calcite isotopic signals show a moderate inverse correlation ($r^2 = 0.57$, $p < 0.005$), while the
288 NPOC signal and calcite signals show clear relationships with the C_{27}/C_{31} *n*-alkane ratio ($r^2 = 0.73$, p
289 < 0.05 ; $r^2 = 0.70$, $p < 0.05$).

290 Previous palaeoenvironmental interpretations of data from this stalagmite have noted that
291 at around 1000 years ago there was a decrease of birch woodland in the area, as demonstrated by
292 two independent records – peat-core data (Charman et al., 2001) and the lipid biomarker record in
293 this stalagmite (Blyth et al., 2011). In the latter case there is a marked change in the C_{27}/C_{31} *n*-
294 alkane record in favour of C_{31} , indicating a decrease in tree cover in favour of herbaceous
295 vegetation. The synchronisation of the changes in the isotope records reported here (at around 1000

296 years ago) with each other and the previous lipid biomarker records indicates that the isotope
297 signals are recording genuine environmental responses. The time interval following this change and
298 covered by the more negative isotopic signal in the NPOC record (around 1000 – 300 years ago) also
299 includes the periods of highest rainfall for the area during the last 2 ka (Proctor et al., 2000, 2002;
300 Trouet et al., 2009), which are characterised in the broader lipid record by a substantial increase in
301 soil and plant derived organic matter (Blyth et al., 2011). This was hypothesised to be the result of
302 increased throughput of soil organic matter due to increased drip flow to the stalagmite, an
303 argument supported by a decreased calcite growth rate (due to decreased soil respiration of CO₂
304 resulting from soil water saturation).

305 If the changes in the isotopic records were being driven by the vegetation change alone, we
306 might expect both CO₂ and NPOC records to move in the same direction, becoming more or less
307 enriched in response to isotopic changes in the plants, which are transmitted by root respiration and
308 plant material input. However, in reality, we see an inverse relationship between the calcite δ¹³C
309 and the NPOC signal, which we suggest is dominantly driven by changes in the soil conditions,
310 occurring in parallel to, but not necessarily controlled by, the vegetation change. The calcite signal is
311 recording the dissolved CO₂, which is predominantly controlled by soil respiration, consisting of a
312 vegetation signal, significantly modified by microbial activity. It is known that soil microbes
313 selectively use and therefore respire ¹²C, meaning that at times of increased microbial activity the
314 CO₂ pool will be relatively depleted in δ¹³C (due to the increased ¹²C input), and in times of
315 decreased activity, it will be relatively ¹³C enriched (due to the reduction in respired ¹²C).
316 Conversely, increased microbial activity leads to the ¹³C enrichment of residual organic matter (such
317 as that contained in the NPOC fraction) due to the preferential use of ¹²C, and decreased microbial
318 activity will lead to a more negative δ¹³C, due to the cessation of this enrichment. Thus, it is clear
319 that when soil microbial activity changes significantly and forms the dominant control on the
320 isotopic signal, we would expect the CO₂ and NPOC pools to react in opposite directions. In Tral-1
321 we see the inverse movement of the two signals commencing with a period of increased soil

322 wetness and increased peat formation, which by restricting oxygen in the soil is likely to have led to
323 a decrease in microbial activity.

324

325 **3.3. Implications for the use of speleothem $\delta^{13}\text{C}$ in palaeoenvironmental research**

326

327 We suggest that the use of parallel calcite CO_2 and NPOC analyses has significant potential
328 utility in improving our understanding of the controls on speleothem $\delta^{13}\text{C}$ signals, with an inverse
329 signal correlation being a potential proxy for soil microbial activity as the dominant control.
330 However, a number of issues remain requiring future investigation. Firstly, although one mechanism
331 may form the predominant control on the isotopic record, it is highly unlikely that there will ever be
332 only one single influence on the signal. For example, in the case of Tral-1, there is clearly a
333 vegetation change occurring at the same time, and whilst the inverse relationship of the signals
334 suggests this is not dominating the record, it will have an effect. We suggest that, analytical issues
335 permitting, the best approach to establishing the extent of the vegetation-derived isotopic change is
336 to interrogate the CSIA signal for selected plant-derived compounds. It has been noted that, in the
337 case of Tral-1, the magnitude of isotopic change within each of the measured *n*-alkanes is smaller
338 than that seen in either the CO_2 or NPOC records. This indicates that the isotopic change within the
339 vegetation is modest, presumably because it is a change within different types of C_3 vegetation, and
340 not a significant contributor to the isotopic changes in the soil.

341 More complex to distinguish is the degree of microbial activity at the time of formation vs.
342 the age of the carbon pools being transmitted to the speleothem, as a longer time exposed to
343 moderate microbial activity might be expected to have the same effect on both $\delta^{13}\text{C}$ signals as a
344 shorter time exposed to a more intense activity. In Tral-1, we know from lipid and stalagmite growth
345 evidence that the increase in surface wetness was accompanied by an increase in drip-flow to the
346 stalagmite and in fresh organic matter input. Therefore, it seems possible that there are two
347 mechanisms (decreased microbial activity in the soil, and decreased exposure to microbial activity

348 due to faster through-flow) acting to move the microbial modification of the isotopic signal in the
349 same direction. The change in the type of organic matter input towards fresher (i.e. less ^{13}C
350 enriched) material may explain why the magnitude of the isotopic change is greater in the NPOC
351 signal compared to the CO_2 signal.

352 Another issue is using the isotopic signal and the hypothesised control to identify climatic
353 changes. Reduction of microbial activity in the soil may occur as a result of various climatic
354 parameters, including decreased temperature, increased soil moisture leading to soil saturation, or
355 conversely, excessive soil dryness. Extremes of climate can be ruled out by stalagmite growth, as
356 excessively dry or cold weather will retard stalagmite formation by preventing sufficient water flow,
357 but more moderate changes may lead to similar results. In the case of Tral-1, we can clearly identify
358 the climatic mechanism by combining the carbon isotope data with other records, and we suggest
359 that this is where a multi-proxy approach to extrapolating climatic records from stalagmites is the
360 optimal approach, using each signal to mutually inform the interpretation of the others, and so
361 provide the most in-depth environmental picture possible.

362

363 **4. Conclusion**

364

365 This study clearly shows that multiple stable carbon isotope records are preserved in
366 speleothems, and reflect different aspects of the soil carbon pools. This is the first time-series
367 application of NPOC isotopic measurements and CSIA in speleothems, and demonstrates that
368 useable, environmentally coherent signals can be recovered. CSIA in speleothems shows future
369 potential, with the C_{25} *n*-alkane record here showing a modest response to known climate and
370 vegetation change; however, issues around sample size and analytical repeatability need to be
371 resolved before the approach can be considered robust. Isotopic analysis of the NPOC appears a
372 highly successful technique, accessing a complementary data set to traditional calcite stable isotope
373 analysis, and significantly expanding the information we can recover from speleothem stable

374 isotopes, with the inverse correlation between the two records being a potential marker for
375 microbial control of the signal. The research area would now benefit from further work investigating
376 in more detail the link between the NPOC isotopic signal and the precise types of organic matter
377 present, and the combined response of the $\delta^{13}\text{C}$ signals to environmental controls in other climatic
378 and ecological contexts. Nonetheless, this integrated study clearly demonstrates the advantages of
379 combining organic and inorganic geochemistry when interpreting climate records in general, and
380 carbon isotopes in particular, in speleothems.

381

382 **Acknowledgements**

383

384 Funding for the study is gratefully acknowledged from AINSE (Research Fellowship to AJB),
385 ARC (Future Fellowship to CS, grant number: FT0992258), and The Leverhulme Trust (Early Career
386 Fellowship to AJB). Calcite micromilling and isotopic analyses were undertaken at the University of
387 Newcastle, Australia. Compound specific isotope analyses were undertaken at The Open University,
388 UK, and Dr Mabs Gilmour is thanked for her assistance with these. Dr Matthew Box assisted in
389 sample drilling.

390

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533

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538 Figure 2. Graph showing the change in the $\delta^{13}\text{C}$ composition of individual *n*-alkanes through the
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540

541 Figure 3. Time-series graph showing the changes in the calcite, NPOC and C_{25} *n*-alkane $\delta^{13}\text{C}$ signals,
542 and the $\text{C}_{27}/\text{C}_{31}$ *n*-alkane biomarker ratio through time. Dates (yrs BP) and environmental
543 interpretation are taken from Blyth et al. (2011). The grey area on the left is the area of the
544 stalagmite above the major hiatus at approximately 300 yrs, where the calcite fabric is affected by
545 multiple hiatuses, and detrital flood events.

546

547 Figure 4. Scatter plots showing the linear regressions for; a) the NPOC and calcite $\delta^{13}\text{C}$ signals; b)
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549 biomarker ratio.

Figure 1

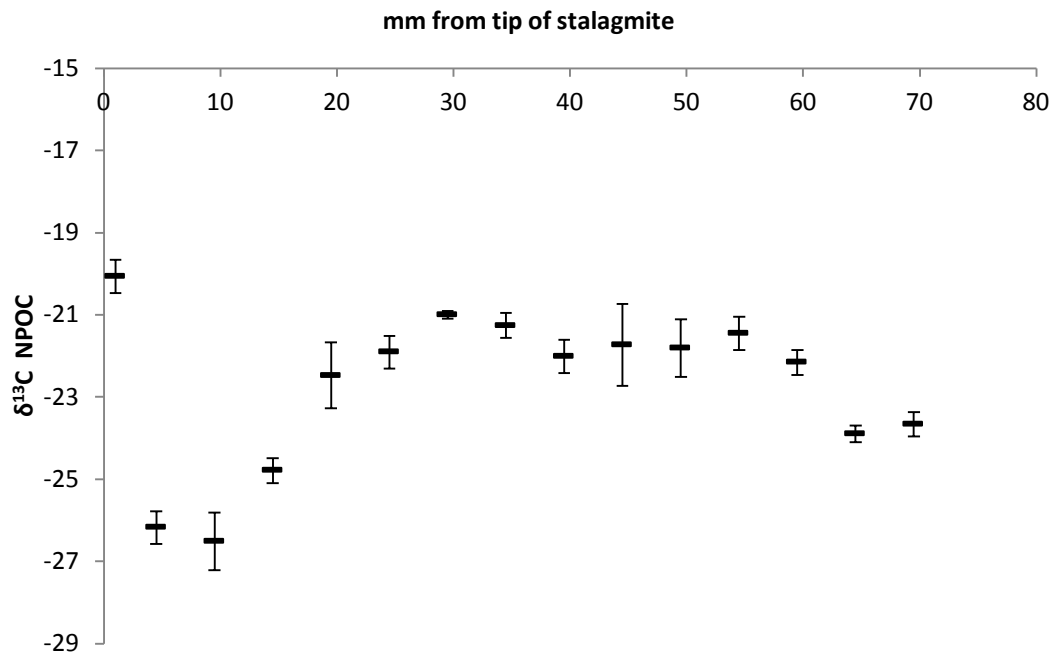


Figure 2

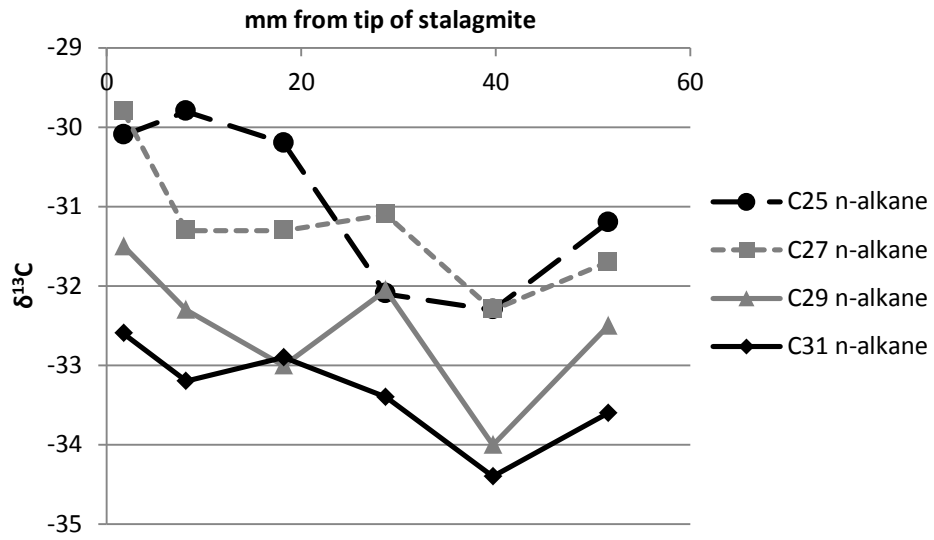


Figure 3

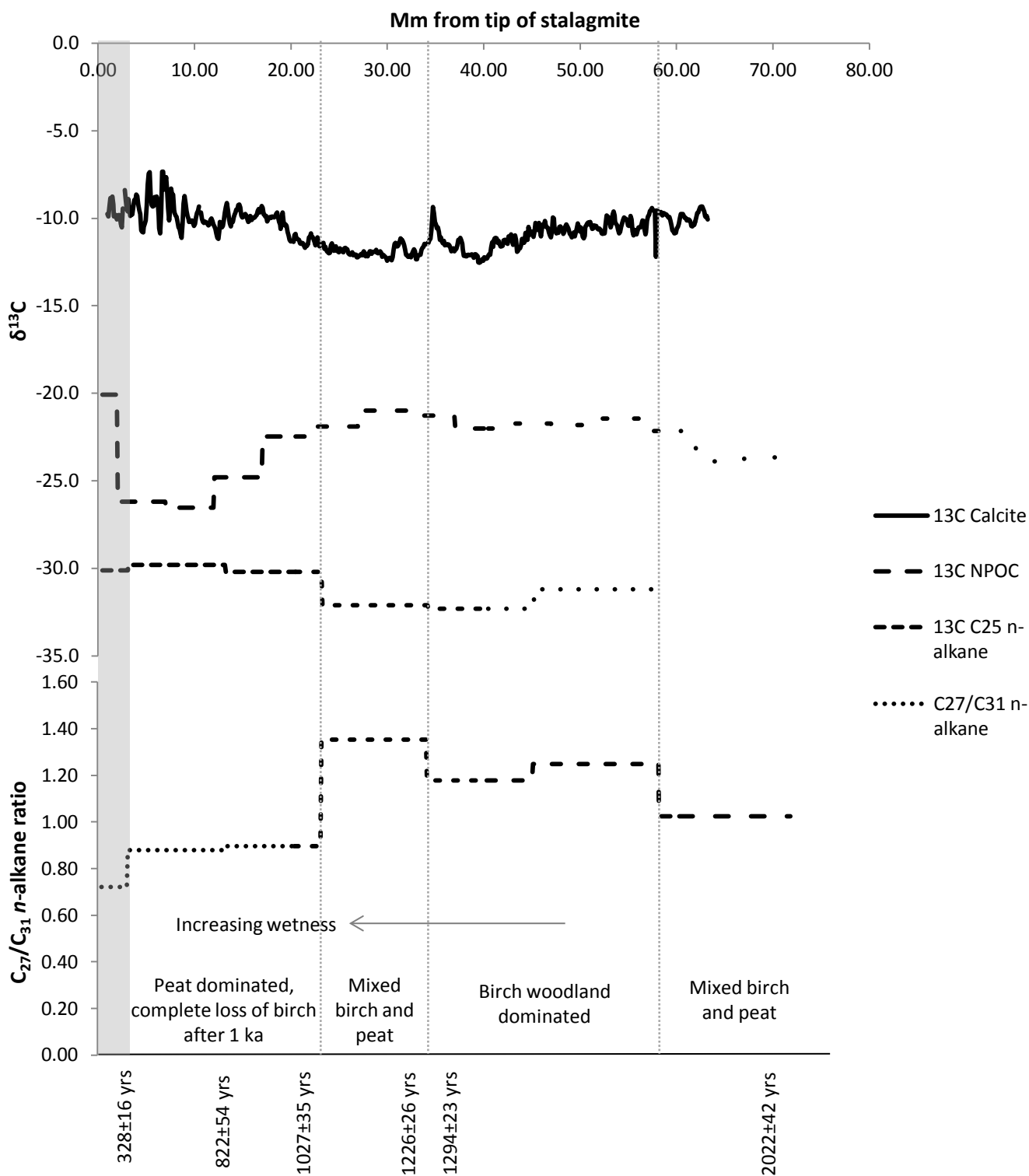


Figure 4

