1	Test sensitivity is important for detecting variability in pointing comprehension in		
2	canines		
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22 Several articles have been recently published on dogs' (Canis familiaris) performance 23 in two-way object choice experiments in which subjects had to find hidden food by 24 utilising human pointing. The interpretation of results has led to a vivid theoretical 25 debate about the cognitive background of human gestural signal understanding in 26 dogs, despite the fact that many important details of the testing method have not yet 27 been standardized. We report three experiments that aim to reveal how some 28 procedural differences influence adult companion dogs' performance in these tests. 29 Utilising a large sample in Experiment 1 we provide evidence that neither the keeping 30 conditions (garden/house) nor the location of the testing (outdoor/indoor) affect a 31 dogs' performance. In Experiment 2 we compare dogs' performance using three 32 different types of pointing gestures. Dogs' performance varied between momentary 33 distal and momentary cross pointing but 'low' and 'high' performer dogs chose 34 uniformly better than chance level if they responded to sustained pointing gestures 35 with reinforcement (food reward and a clicking sound; 'clicker pointing'). In 36 Experiment 3 we show that single features of the aforementioned 'clicker pointing' 37 method can slightly improve dogs' success rate if they were added one by one to the 38 momentary distal pointing method. These results provide evidence that although 39 companion dogs show a robust performance at different testing locations regardless of 40 their keeping conditions, the exact execution of the human gesture and additional 41 reinforcement techniques have substantial effect on the outcomes. Consequently, 42 researchers should standardise their methodology before engaging in debates on the 43 comparative aspects of socio-cognitive skills because the procedures they utilise may 44 differ in sensitivity for detecting differences.

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46 Keywords: communication, dog; two-way object choice task; human pointing

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In the so-called two-way object choice experiments the subject has to find a hidden reward based on the directed pointing gesture of a human assistant (Anderson et al. 1995). Positive evidence of reliance on human pointing gesture was found in the case of several species (e.g. cats: Miklósi et al. 2005; goats: Kaminski et al. 2005; dolphins: Herman et al. 1999; enculturated apes: Mulcahy and Call 2009).

55 Dogs' ability to rely on human gestures has often been interpreted in the 56 framework of specific behavioural adaptations to the human social environment 57 during domestication (see for example Miklósi et al. 2004; Miklósi and Soproni 2006; 58 Reid 2009). Some researchers have hypothesized that dogs' performance in the 59 pointing tasks can be explained by a specific adaptation for utilizing human 60 communicative signals (Hare et al. 2002). This possible effect of domestication has 61 been tested by comparing the performance of dogs and wolves. The first report 62 showed that wolves living in captivity underperformed dogs in these two-way object 63 choice experiments (Hare et al. 2002). However, wolves' performance seems to be 64 influenced by their rearing environment because both Miklósi et al. (2003) and 65 Virányi et al. (2008) showed that intensively socialized young wolves display better 66 performance in these pointing tasks than what was found in the Hare et al (2002) 67 study. Utilizing a different population of intensively socialized four-month-old 68 wolves, Gácsi et al. (2009b), found that these subjects were inferior to same aged 69 dogs, but at the same time Gácsi and colleagues could not show any difference 70 between the performances of intensively socialized adult wolves and dogs. Thus the 71 difference between the two species may be related to their socio-cognitive 72 development in regard to their relationship with humans. Miklósi et al. (2003) argued that intensively socialized wolves are less inclined to initiate and become engaged in gaze contact with humans and it also appears evident that wolves need more intensive exposure to social interaction with humans to be able to reach similar levels of communication skills that dogs are capable of (Gácsi et al. 2009b, Miklósi and Topál 2011).

78 Recently Udell et al. (2008a) offered a different hypothesis for these inter-79 specific differences. They argued that the dogs' superior performance can be 80 explained by assuming that dogs living with humans gain more experience and 81 therefore learn more about human communicative gestures which may also include 82 exposure to a positive outcome ("reward") which follows the gestural cues. Udell et 83 al. (2008a) supported this idea by showing that under certain conditions socialized 84 adult wolves performed just as well as dogs. Additionally, they found that dogs from a 85 rescue shelter did not seem to be able to utilise the Momentary Distal human pointing 86 gesture spontaneously, though they could follow simpler forms of pointing and did learn 87 to follow the Momentary Distal point with additional trials (Udell et al. 2010a). This 88 finding was also interpreted by these authors as further evidence against the idea that 89 dogs' communicative skills have been selected for in the anthropogenic environment.

90 Udell's work (2008a, 2010a) was followed by a debate whether the ability of 91 dogs to follow human pointing has been driven mainly by specific selective 92 challenges in the human environment or whether learning also plays a significant role 93 (e.g. Udell et al. 2010b; Hare et al 2010a, Wobber et al. 2010). For example, Wobber 94 and colleagues (2010), argued that genetic predisposition may still exist regarding this 95 trait, because dog breeds selected for working with humans (e.g. Huskies and German 96 shepherd dogs) show better performance in pointing tasks than breeds which were not 97 selected for specific tasks (e.g. toy poodles or basenjis). Helton and Helton (2010) 98 however re-analyzed the data of Wobber et al., (2010) and found that the results could 99 be attributed to the choice of dog breeds tested. According to Helton and Helton 100 (2010), the working breeds had a much bigger body size than the non-working breeds 101 in the Wobber et al (2010) study, and therefore the anatomical differences between 102 the visual apparatus of the two groups could also be a reason why the smaller (non-103 working) dogs underperformed in comparison to the larger (working) dogs.

In this article we examine some of the proximate factors that might contribute to this specific ability in dogs, and here we give a brief overview of a few other studies about both the ultimate and proximate causes of differences in dogs' performances in the pointing tasks.

108 First, different genetic factors are likely to play an influential role at different 109 levels. Recently we have shown (Gácsi et al. 2009a) that dogs with brachycephalic 110 skulls (e.g. Pugs, Bulldogs) perform better in pointing tasks than dogs with 111 dolichocephalic skulls (e.g. Rough collies, Greyhounds). Furthermore, those dog 112 breeds which have been selected for visually guided cooperation with humans (e.g. 113 gundogs) achieve higher performance than dogs from so-called non-cooperative 114 working breeds (e.g. terriers), and pure bred dogs seem to be more proficient with 115 human pointing as well (Gácsi et al. 2009a). Importantly, these between-breed-group 116 effects cannot be explained by differential experience because all the dogs tested lived 117 as family pets and had not received any specific training. These results clearly show 118 that there is some genetic variation behind the performance of dogs in these two-way 119 object choice pointing tasks.

120 Second, environmental factors are also important. For example, deprivation of 121 experience with humans and their behaviour may constrain performance as was 122 shown in the case of shelter dogs (Udell et al. 2008a, 2010). The relatively slight

improvement of performance during long periods of development seems to argue 123 124 against extensive environmental influence (Gácsi et al. 2009c; Riedel et al. 2008). 125 Miklósi and Topál (2011) argued that dogs living in a shelter cannot be regarded as 126 suitable subjects for experiments that aim to test performance in a social task with humans. According to these authors, dogs need proper socialization and social 127 128 environment for the full development of their socio-cognitive abilities, so sheltered 129 dogs with an unknown and/or a troubled rearing history will most likely underperform 130 those dogs that live in a more natural environment. More specific experience with 131 human gestural communication (e.g. agility training) does not seem to affect the 132 performance in this task either (Gácsi et al. 2009c), which again does not support a 133 theory that explains this skill exclusively by environmental influence. Thus 134 experiential social influence may be very specific and/or may play a role very early in 135 development of dogs. Finally, Hare et al. (2010) carried out a pointing experiment on 136 a larger sample of shelter dogs and found that these subjects performed over the 137 chance level in this task, contrary to the earlier results of Udell et al. (2008a); however 138 their points were repeated four times, and made from a distance of 20cm from the object 139 as against Udell et al.'s 50cm. Hare and colleagues argued also that in the article of 140 Udell et al, statistical analyses were performed erroneously regarding the treatment of 141 'no-choices' as 'faults'. Hare et al. (2010) re-analysed the data of Udell et al., treating 142 'no-choices' as a third category besides 'correct' and 'faulty' choices, and doing so, 143 contrary to the conclusions of Udell et al (2008a), found no significant differences 144 between groups (but see Udell and Wynne (2010) for continuing discussion).

145 Ultimately, when confirming results or conclusions of different experiments, 146 there is often a lack of careful comparison between the effects of the procedure 147 applied. This is very regrettable because the performance of the subjects in these

inter-specific communicative experiments is very sensitive to the method used (Miklósi and Soproni 2006). In this specific case there is some evidence that the duration of the gesture, the distance between the tip of the pointing hand (and finger) and the target, and the presence or absence of an accompanying gaze (turning the head towards the target), can each have a strong influence on the performance.

153 Udell at al (2008a) presented two important claims about the performance of 154 dogs and wolves. First, they argued that the performance of the subjects depends on 155 the testing location (in their study pet dogs performed better indoors than outdoors). 156 Second, they argued that socialized wolves' performance is comparable to that of 157 dogs. Whilst their first finding seemed to contradict our earlier results with dogs (we 158 have never found statistically reliable effect of testing location; unpublished data), the 159 second observation seemed to be problematic because Udell et al. (2008a) introduced 160 a novel form of pointing signal which could have influenced the results.

161 The so-called "Pointing with clicker" gesture used by Udell et al. (2008a) 162 changed both the form of the human signal and the actual method of testing, which differed substantially from any other previously utilised version of this task. The 163 164 critical differences are the following: (1) The experimenter maintains her hand in the 165 pointing position even after the subject has started its approach toward the target, 166 making it easier for the dog/wolf to make a choice while the signal is on, whereas in 167 the case of the referred momentary pointing the subject is allowed to move forward 168 only after the hand has returned to the resting position next to the body (Miklósi and Soproni 2006); (2) A correct choice is indicated by a clicking sound produced by the 169 170 experimenter, whereas this has never been applied by others in this task; (3) The 171 reward is dropped from the (previously pointing) hand of the experimenter after the 172 correct choice has been made, while in all other protocols the dogs have to find the 173 food in a container (there is no direct physical relationship between the emergence of 174 the food and the hand in the testing). These differences led us to consider that the 175 experimental trials with the "Pointing with clicker" gesture may have been easier for 176 the subjects because this type of pointing is more pronounced. Furthermore, the correct choice by the subject is marked with an additional acoustic cue (clicker) and 177 178 the subject can observe a direct physical connection between the experimenter's hand 179 and the food reward. This could put the whole paradigm into a different cognitive 180 context; instead of being a communicative interaction ("the food is there"), it may be 181 a case of associative place learning ("the subject learns to go in the direction indicated 182 by the hand which provides food").

In the present study we report the results of three independent experiments in which we re-visit the Udell et al. (2008a) findings. In Experiment 1 we compared a large sample of companion dogs that were tested either outdoors or indoors to find out whether testing location (house or garden) affects performance.

In Experiment 2 we investigated if dogs would perform similarly with "momentary distal pointing" (e.g. Gácsi et al. 2009a) and "pointing with clicker" (Udell et al. 2008a). We hypothesized that dogs may show some variability in their performance with the momentary pointing gesture, but they would perform uniformly well with the "Pointing with clicker" gesture.

Finally in Experiment 3 we tested the possible effect of the individual components of the "Pointing with clicker" protocol as reported by Udell and colleagues (2008a) in separate experimental groups. Our goal was to discover whether application of the clicker, the sustained gesture, or the provision of food directly from the human upon correct choice, improves the performance of dogs.

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#### GENERAL MATERIALS AND METHODS

199

200 Different dogs were used in the three experiments. The specific details of the 201 experimental procedure are presented below, while the detailed list of participants is 202 shown in the Appendix.

203

204 Subjects

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Participation in the tests was voluntary. Subjects were recruited from public dog training schools, where they were attending basic obedience courses. Before the tests we explained to the owners what to do and how to behave during the experiment. There were no specific requirements for participating in the tests but the dogs used had to be older than one year and had to show strong motivation for food. Any dogs which were not motivated strongly by food were not tested (see later, in Pre-training phase).

The owners were requested to fill in a short questionnaire which asked for basic information about their dogs (breed, age, sex, where the dogs were kept at home (outside, inside). For Experiments 2 and 3 we also asked them how often they used a clicker during the training of the dog ('Regularly' (N=18), 'Seldom' (N=12), or 'Never' (N=16)).

218

219 *Pointing Protocols* 

220

At the beginning of each trial the dog was held by its owner by the collar at the start point. The experimenter stood 2.5 m away from them. A plastic bowl (12 cm high, 15 223 cm wide) was placed on the floor on each side of the experimenter, at 1.5-1.6 m 224 distance from each other, and in equal distance from the experimenter. To mask the 225 possible effect of odour cues, both bowls were smeared inside with a piece of cold cut 226 lunch meat shortly before the tests began. The experimenter stood 20-30 cm behind 227 the imaginary connecting line of the two bowls. All tests were videotaped by 228 continuous, automated recording.

229

#### 230 *Pre-training phase*

231 This phase served a dual purpose: (a) to familiarize the dogs with the place and the 232 experimental setup; (b) to test whether the subjects were motivated to eat food at the 233 test location. At first we asked the owner to unleash the dog and allow it to explore 234 the experimental site for 1.5-2 minutes. Then the owner moved to the start point, 235 restrained the dog by its collar, and positioned the dog on the start point in front of the 236 experimenter. The experimenter placed the two bowls on the ground. Next the 237 experimenter put a little piece of food into one of the bowls, conspicuously enough so 238 that the dog observed this action. After having dropped the food into the bowl, the 239 owner let the dog free and encouraged it to eat the food. If the dog ate the food from 240 the bowl, then experimenter put another piece of food into the other bowl, and the dog 241 was again encouraged to eat it. This pre-training was repeated once more with both 242 bowls. (Thus two pieces of food were placed one by one into both bowls). 243 Commercially available cold cut lunch meat was used as reward which was 244 previously cut to small cubes (5 mm x 5 mm).

If a dog failed to take food from the bowl and did not eat more than one piece of food during the pre-training phase, we considered it not to be food motivated and

we excluded it from the experiment. Only seven dogs had to be excluded for thisreason (six in Experiment 2 and one in Experiment 3).

Each specific experiment started right after the pre-training phase. The following types of pointing tests were used in this study:

251

*Momentary distal pointing* (MDP) utilised in Experiments 1, 2 and 3 (see also Soproni
et al. 2002; Lakatos et al. 2009; Gácsi et al. 2009a). For a sample video of the test, go
to: <u>http://www.cmdbase.org/web/guest/play/-/videoplayer/54</u>

255 Testing consisted of 20 consecutive pointing trials in Experiment 1 and 10 256 trials in Experiments 2 and 3. (In Experiments 2 and 3 dogs participated in more than 257 one test, thus we lowered the number of trials from 20 to 10 in order to avoid 258 motivational problems in the subjects.) An equal number of pointing trials were 259 performed to the right and the left side. The order of left and right pointing was semi-260 random: no more than two consecutive pointing trials were performed to the same 261 side (to avoid the development of side bias) and the experimenter did not start the 262 session with two pointing trials to the same side (to avoid the tendency to commit 263 perseverative errors).

At first the experimenter held both bowls in her hands in front of her body, then the experimenter put a piece of food conspicuously into one of them, then she exchanged the two bowls between her hands a few times in order to confuse the dog about the exact location of the food. After this the experimenter crouched down and with stretched arms put the two bowls simultaneously to the floor on her left and right side.

The experimenter stood up and while holding her two hands bent in front of her chest, attracted the dog's attention by calling its name. When the experimenter

managed to establish eye contact with the dog, she pointed with extended ipsilateral arm and index finger in the direction of the correct location (the baited pot). The distance between the end of the pointing finger and the bowl was 1 m. The cue was displayed for approximately 1s, and then the experimenter brought her hand back in front of her chest. During the pointing gesture, the experimenter kept looking at the dog. If the dog did not leave the start position for 3s after the pointing gesture was finished, the experimenter repeated the pointing gesture one more time.

279 It is important to note that the owner kept the dog restrained during the 280 pointing. The dog was released only after the experimenter's hand was again in front 281 of her chest. If the dog approached the baited bowl first it was allowed to consume the 282 food. After this the experimenter quickly picked up both bowls, preventing the dog 283 from examining the other bowl. If the dog visited the empty bowl first, the 284 experimenter did not allow it to examine the other (baited) bowl, but picked both 285 bowls up. After the dog had made a choice and the experimenter had picked up the 286 bowls, the owner called the dog back to the start point and the next trial started.

If the dog did not choose between the two bowls, but for example sat down in front of the experimenter, or went back to the owner, no score was given, but the trial was repeated once. If the dog did not choose again, the trial was recorded as a failure and the next trial started. In the present series of tests no dog failed to choose twice in a row and then continued to choose. However, we had some dogs that stopped choosing altogether, and these were excluded from the analysis.

293

294 *Momentary cross-pointing* (MCP) utilised in Experiment 2 (see also in Lakatos et al.
2009). For a sample video of the test, go to: <u>http://www.cmdbase.org/web/guest/play/-</u>
296 /videoplayer/53

The setup and baiting procedure were exactly the same as used in the MDP test. The only difference was the method of pointing.

The experimenter pointed at the baited bowl as described above, but in this case she used her contralateral arm in relation to the baited bowl. Thus the pointing hand moved in front of her upper body. It should be noted that the experimenter's hand with the pointing finger protruded from her body silhouette on the side where the baited bowl was placed. Because of the configuration of this pointing gesture, the distance between the tip of the pointing finger and the bowl was somewhat further than in the MDP and pointing with clicker tests (about 1.2 m).

306

307 *Pointing with clicker* (PC) (see also Udell et al. 2008a) utilised in Experiment 2. For a
308 sample video of the test, go to: <u>http://www.cmdbase.org/group/user/edit/-</u>
309 /editvideo/38

310 In this pointing test we followed the procedure of Udell et al. (2008a) as accurately as 311 the description of the methods in the original article made this possible (see further 312 details in the Note). We made only one exception. Udell and colleagues inserted one 313 control trial (in which the subject had to choose a container in the absence of any 314 pointing signal from the experimenter) after every two test trials in their experiment. 315 In these control trials experimenters determined in advance the "correct" choice and 316 the subject was rewarded similarly to the test trials if it approached the 'correct' 317 container. We decided to leave out the control trials in Experiment 2 because 318 otherwise it would have been impossible to compare the performance of different 319 experimental groups given the differences in the number of trials (10 vs. 15). 320 Additionally, the increased number of trials could lead to a different rate of (mental) 321 exhaustion resulting in differences in performance. Finally, the control trials of Udell

and colleagues could have a confusing effect, because the subjects were provided with no information in a setup where the expected behavior of the human is giving a cue about whereabouts of the food. The setup was slightly different than the arrangement described above because in this case the same two bowls were turned upside down and they remained on the floor during the whole test. The position of the bowls was the same as in the other tests, as were the positions of the experimenter, the owner and dog.

Before the pointing trials the experimenter performed two pre-training trials at both bowls. The experimenter called the dog's attention and then put a piece of food conspicuously on the top of one of the bowls. When the dog approached the baited bowl and almost touched the food, the experimenter produced a clicking sound with a regular dog training clicker. After the pre-training, ten pointing trials were performed in a similar pseudo-random order as in the other tests.

335 The dog stood at the start point with the owner. The two bowls were not baited 336 before the pointing. The experimenter called the dog's attention and after eye contact 337 was established, pointed at one of the bowls with stretched arm and pointing finger. 338 A significant note of difference from MCP and PC is that the owner had to release the 339 dog while the pointing was still sustained. The experimenter kept on pointing 340 motionlessly until the dog approached one of the bowls at a distance of about 0.5 m 341 and at that time the experimenter pulled back her arm. Depending on the speed of the 342 dogs, the average pointing gesture lasted 4 s. By using the 0.5 m distance as a 343 threshold for terminating the pointing signal we fulfilled the criteria of the published 344 description from Udell et al. (2008a) for this detail of the method "the experimenter 345 returned to a neutral position before the subject reached the containers" (though 346 subsequent personal communications from those authors show that their threshold for withdrawing the point was 2.5m). If the dog approached the signalled bowl with its snout within 10 cm (i.e. made a correct choice), the experimenter clicked the clicker and dropped a piece of food on the top of the chosen bowl and the dog was allowed to eat the food. If the dog approached the other bowl, the experimenter did not do anything and the owner had to call the dog back and the next trial started.

352 If the dog did not choose any of the bowls for 10s, (for example sat down in 353 front of the experimenter, and did not move, or went back to the owner), no score was 354 given, but the actual trial was repeated once more. Udell et al. (2008a) did not use any 355 trial repetition. If the dog did not choose again, the trial was recorded as a failure, and 356 the next trial was started. If a dog did not make a choice in three consecutive trials, we 357 excluded the subject from the test. If a dog made three incorrect choices in a row, then 358 Udell et al. (2008a) gave two pre-training trials to ensure that the dog was still 359 motivated to obtain the food. In our experiment this procedure was not needed for any 360 dog.

361

362 *Momentary distal pointing with clicker* (MDP-C) utilised in Experiment 3

The procedure used was exactly the same as described for the MDP above, however a correct choice was indicated also by a clicker. If the dog approached the indicated bowl then the experimenter provided a clicking sound at the moment when it lowered its head into the bowl.

367

368 *Momentary distal pointing with food reward* (MDP-F) utilised in Experiment 3.

The procedure used was exactly the same as described for the MDP above, except that the food was not hidden in any of the bowls, but it was given by the experimenter to the dog upon a correct choice. The experimenter had a piece of food hidden in her

hand. She quickly dropped the food to the indicated bowl when the dog lowered itshead to the bowl.

374

375 Sustained distal pointing (SDP) utilised in Experiment 3

The procedure used was exactly the same as described for the MDP above, except that the owner had to release the dog while the pointing was still displayed. Depending on the speed of the dog, the average pointing gesture lasted 4 s. The experimenter kept on pointing motionlessly, until the dog approached one of the bowls at a distance of about 0.5 m. When this happened, the experimenter pulled back her arm, independently of the correctness of the dog's choice.

382

383 Statistical Analyses

384

385 If the data deviated from the Gaussian distribution (Kolmogorov-Smirnov test) then 386 we used nonparametric Friedman test with Dunn's post hoc test, Mann-Whitney U 387 test and Wilcoxon signed Rank Test. If the data followed the Gaussian distribution 388 and the error variances were equal across the groups also (Levene test for 389 homogeneity of variance), ANOVA with Bonferroni post hoc test, or one- or two-390 sample t-test was employed. The proportion of successful dogs was compared among 391 the experimental groups and within the pointing protocols with Fisher's exact tests. 392 An individual was considered as being successful if it was correct 8 times out of 10 393 trials (binomial test P<0.055) or 15 times out of 20 (binomial P<0.041). Statistical 394 analyses were performed using SPSS 16.0.

395

396 Experiment 1: Do Keeping Conditions and/or the Testing Location affect the Dogs'
397 Performance in Pointing Tests?

398

## 399 Subjects and methods

400 Two groups of adult companion dogs were tested (outside/inside tested group: 401  $N_1=N_2=20$ ) in a session of 20 MDP trials. The mean age for dogs kept outside was 402 4.13±2.97; and inside was 3.65±2.59. Both groups consisted of hunting dogs of FCI 403 (Fédération Cynologique Internationale) breed groups 4, 6, 7, and 8, from a balanced 404 variety of breeds. Half of the dogs in each group were kept in the garden and the other 405 half lived in the garden or house. The groups were balanced for gender and age in 406 both respects (test location and keeping condition). We used only hunting dogs 407 because we wanted a homogenous sample represented by many breeds and from the 408 point of view of both variety and availability, hunting dogs are the largest group of 409 commonly encountered family dogs.

The 'Outside' group were tested in a secluded area of a dog training school which was unfamiliar for the subjects. The test area was chosen so that the actual subject would not be disturbed visually by other dogs or people. The 'Inside' group were tested in an empty experimental room (4 m x 6 m), also unfamiliar for the subjects. During the tests only the dog, the experimenter and the owner of the dog were present.

416

417 *Results* 

418

419 The performance of both dog groups was significantly better than chance (outside 420 group ( $t_{19}=7.31$ , P<0.001) and inside group ( $t_{19}=5.31$ , P<0.001), respectively). The

421 success analysed at the individual level was also similar; 9 and 8 dogs out of the 20 422 subjects were successful in the outside and inside groups respectively (Fisher's exact 423 test, R=0.92; P=1.00).

Taking into account the place where the dog is kept (house or garden), we analysed the results in a 2-way ANOVA (testing location x keeping condition). These results (see Figure 1) showed neither an effect of testing location ( $F_{1,36}$ =0.177, P=0.677), keeping conditions ( $F_{1,36}$ =0.055, P=0.817) nor an interaction between the two factors ( $F_{1,36}$ =0.966, P=0.334).

This suggests that companion dogs can solve the two-way object choice test independently of their keeping conditions and testing location. They are not disturbed by the relative unfamiliarity of the testing location, even if they are kept at home under different conditions.

433

434 Experiment 2: Do Dogs with Low Performance in Momentary Distal Pointing Test
435 show better Performance in the Clicker Pointing Test?

436

437 Subjects

Fifty companion dogs from many different breeds were tested. Four dogs had to be excluded because they stopped making choices at various stages of the experiment, so 46 dogs' results were analyzed (18 males and 28 females). Dogs were at least one year old (mean age  $4.2 \pm 2.5$  years SD). The testing locations were the same as in Experiment 1, with approximately the same number of dogs tested indoors and outdoors. (For further details see also Appendix 1.)

444

445 *Procedure* 

446 Each dog participated in three tests, which were performed in the same, fixed order: 447 (1) Momentary Distal Pointing (MDP); (2) Pointing with Clicker (PC); (3) 448 Momentary Cross-pointing (MCP). In each test the dogs participated in 10 pointing 449 trials. After the pre-training phase dogs participated first in the MDP test and we then 450 continued with the PC test without delay. After a break of about 30-35 minutes the 451 testing continued with the MCP test trials. The aim of the MDP test was to make it 452 possible to sort the subjects into the low or high performance group depending on 453 their success.

454

# 455 Experimental groups

456 Based on their performance in the MDP test, dogs were sorted into two groups. Dogs 457 that were successful (at least 8 correct choices from 10), were assigned to the High 458 Performance Group (N=23). Dogs who chose less than eight times in the MDP test, 459 were sorted to the Low Performance Group (N=23). Accordingly, the high 460 performance group was more successful in the MDP test than the low performance group statistically as well (Mann Whitney U-test, U=0.0;  $N_1=N_2=23$ , P<0.001). 461 462 However, both groups performed above chance level (Wilcoxon test: high 463 performance group T=276.0, N=23, P<0.001, median 8.00; low performance group 464 *T*=55.0, *N*=23, *P*<0.01, median 5.00).

465

466 Results

We compared the performance in the MDP, PC and MCP test within the High and Low Performance Groups (see above). In both groups of dogs we found a significant effect of the testing condition (Friedman test: high performance group  $K^{2}_{3,23}$ =22.16, N=23, P<0.001; low performance group  $K^{2}_{3,23}$ =29.50, N=23, P<0.001, see also Figure 471 2). Dunn's post hoc test showed that in both groups dogs performed significantly 472 better in the PC test than in the MDP and MCP tests. Performances in the MDP and 473 MCP tests did not differ significantly in either of the groups. We also compared the 474 number of correct choices between the 'High' and 'Low' performer dogs in the MDP, 475 PC and the MCP tests, and found difference only in the case of the MDP test (Mann-476 Whitney U-test: U=0.0,  $N_1=N_2=23$ , P<0.001; U=186.5,  $N_1=N_2=23$ , P=0.09; U=185.0, 477  $N_1 = N_2 = 23$ , P=0.08 respectively). Note, that this result is not surprising, as the high and low performance groups were formed by sorting the dogs on the base of their 478 479 performance in the MDP test.

## 480

481

We found that dogs in both groups performed above chance level in the PC and MCP tests (Table 1).

482 Next, we compared the proportions of dogs in the groups, which made at least 483 8 correct choices (binomial P < 0.055). We did not find significant difference between 484 the high and low performers in the case of the PC tests (Fisher's exact test, R=1.21; 485 P=0.11), but there were significantly more successful dogs in the MCP test from the 486 'High performance' group than from the 'Low performance' group (Fisher's exact 487 test, R=2.60; P<0.05; Figure 3). Each dog was successful from the 'High performer' 488 group in the PC test and more than half of them were successful in the MCP test. 489 'Low performer' dogs also performed well in the PC test (19 out of 23 dogs were 490 successful), but only five of them made 8 or more correct choices in the MCP test.

We also analyzed the possible effect of familiarity with the clicker on the dogs' performance. First we performed repeated measures Friedman tests within the groups formed on the basis of familiarity with the clicker ('often', 'seldom' and 'never'). We found a significant effect of testing condition in each group ('often':  $K^{2}_{3,19}=16.03$ , N=19, P<0.001; 'seldom':  $K^{2}_{3,12}=12.61$ , N=12, P<0.01; 'never':

 $K_{3.15}^2=17.10$ , N=15, P<0.001). With Dunn's post hoc test we found that in each group 496 497 dogs performed significantly better if they received PC, but there was no difference 498 between the MDP and the MCP conditions. Next we compared the performances in 499 the three test conditions according to their levels of experience with the clicker. 500 Kruskal-Wallis tests showed no significant difference in any of the cases (MDP:  $K^{2}_{3}=0.30, P=0.86; PC: K^{2}_{3}=0.08, P=0.96; MCP: K^{2}_{3}=1.81, P=0.40)$ . The conclusion 501 of these analyses was that the performance of dogs was not affected by their 502 503 familiarity with the clicker which was used in the PC tests.

The results of this experiment showed that dogs that performed differently in the MDP test all invariably showed high levels of success in the PC test. To some extent the subsequent MCP tests mirrored the original difference because in this test fewer dogs chose above the chance level in the Low Performance Group than in the High Performance Group. Thus our 'Pointing with clicker' (PC) method (closely resembling to the method used by Udell et al., 2008a) was less sensitive to individual differences in dogs than the two other methods of gesturing (MDP and MCP).

511

512

513 Experiment 3: Do the Individual Features of PC have an Effect on the Dogs'
514 Performance Separately?

515

516 Subjects

517 Seventy six companion dogs, from many different breeds, were used as subjects. One 518 dog had to be excluded because it stopped choosing during the experiment. Thus 75 519 dogs' results were included in the analysis (39 males and 36 females). All dogs were

- 520 at least one year old (mean age  $3.8 \pm 2.1$  years). The testing locations were the same 521 as in Experiment 1). (For further details see also Appendix 1).
- 522

523 Procedure

Four experimental groups were formed (one of them had two subgroups, see below). Each dog was assigned to one group and participated in two sessions, each consisting of ten pointing trials. In one session all dogs were tested in the MDP test, which was regarded as control. The other session was specific to each group (see below). The order of the two sessions was alternated: half of the dogs started with the MDP test, the other half started with the other test which was assigned to it.

530

531 *Experimental groups* 

532 1. MDP-C Momentary distal pointing with clicker

Two subgroups were formed on the basis of the dogs' familiarity with the clicker (which was assessed by a short questionnaire filled in by the dog owner prior to the test). Subgroup 1 (N=15) consisted of dogs with high levels of clicker training, while the dogs in subgroup 2 (N=15) never received clicker training.

537

538 2. MDP-F Momentary distal pointing with food reward from the experimenter (*N*=15)

539 Dogs were used in this group without regard to their clicker training experience,.

540

541 3. SDP Sustained distal pointing (*N*=15)

542 Dogs with and without clicker training experience were used in this group.

543

544 4. Control group (*N*=15)

545 In this group dogs received two sessions of MDP. Dogs with and without clicker 546 training experience were tested in this group.

547

548 Results

549 The data in each group followed the Gaussian distribution. Two-way mixed ANOVA 550 was performed, where the experimental group was the between subject factor, and the 551 type of the test (control or treatment) served as repeated (within subject) factor. While there was no difference among the experimental groups ( $F_{4,70}$ =1.47, P=0.22), and the 552 interaction between the factors was also not significant ( $F_{4,70}$ =1.26, P=0.30), there 553 554 was a significant difference between the control and treatment conditions ( $F_{1,70}$ =4.02, 555 P < 0.05). Dogs performed slightly better in some of the treatment sessions (MDP-C 556 for non-clicker dogs; MDP-F; SDP) (Figure 4), while there was no difference between 557 the performances in the first and second ten pointing trials in the MDP-C for clicker 558 trained dogs and in the control (MDP only) group. However, when we compared the 559 performance of the first and second test sessions in each group, we did not find any 560 significant differences (paired t-tests: MDP-C for clicker trained dogs  $t_{14}=0.15$ ; *P*=0.88; MDP-C for non-clicker dogs  $t_{14}$ =1.94; *P*=0.07; MDP-F  $t_{14}$ =1.10; *P*=0.29; 561 562 SDP *t*<sub>14</sub>=1.74; *P*=0.10; MDP (control) *t*<sub>14</sub>=0.73; *P*=0.48.

We performed one-sample t-tests to compare the performance of dogs in each test session to the hypothetical expected value (5 correct choices from 10). The average performance was over the chance level in each session and each group (see Table 2 and Figure 4).

567 The results of this experiment showed that some of the special characteristics 568 of the PC test (Udell et al. 2008a) can have a significant effect on dogs' performance

seven if added one by one to MDP. On the other hand, none of them had a substantiallygreater or lesser effect than the others.

571

## 572 DISCUSSION

573

574 The results of Experiment 1 showed that family dogs perform equally well in the 575 human momentary distal pointing test if it is performed indoors or outdoors. Their 576 success is also independent from their living conditions, that is, whether they are kept 577 in the house or in the garden. This is in contrast to what was reported earlier by Udell 578 et al. (2008a), who found that only those dogs which were tested indoors at home 579 performed significantly above chance level. This discrepancy is difficult to explain as 580 by our data the PC method (similar to the one that was originally used by Udell et al.) 581 was a much easier one for any dog in our study, irrespectively of their skills to choose 582 on the more sensitive pointing trials (MDP and MCP). The explanation of the 583 different results between the two papers may lay probably in the larger sample size 584 used in this study. In our experiment only nine and eight of 20 dogs were successful in 585 the 'outside' and 'inside' groups respectively. In the case of Udell et al. (2008a) the 586 sample size was eight in both groups, with two and three successful dogs in the 587 separate experimental groups. The difference in the proportion of successful dogs 588 does not differ significantly between the two experiments in either condition, however 589 in a larger sample the proportion of successful dogs resulted in a significantly above 590 chance group average. We should also mention that approximately half of our subjects 591 in Experiment 2 were tested outdoors with the PC method, therefore we can conclude 592 that this method can be performed indoors and outdoors with the same success rate.

593 In Experiment 2 we found that there were considerable differences in the 594 performance of individual dogs in the momentary distal pointing (MDP) test. Most 595 importantly, after dogs had been categorized as 'high' and 'low' performers, this difference in success disappeared in the subsequent testing session in which we used 596 597 the pointing with clicker (PC) test. Furthermore, even if a dog performed at a high 598 level in the PC tests, this experience was not readily transferred to the cross pointing 599 (MCP) tests in which the success rate of the dogs tended to reflect their performance 600 in the MDP test. Although the success of the 'high performer' dogs dropped in the 601 more demanding MCP test, the proportion of successful dogs was significantly higher 602 in this group than among the 'low performer' dogs. In Experiment 3 we found that 603 each of the individual features (duration of the pointing gesture, method of rewarding, 604 providing the clicking sound at correct choice) of the PC test has at least a slight 605 effect on the dogs' performance if utilised separately. This suggests that when these 606 elements are added together in one cueing protocol, they have the potential to improve 607 the subjects' performance.

608 The present results indicate that the PC method that we employed as a close 609 replication of the protocol used by Udell et al. (2008a) lacks the necessary sensitivity 610 to detect differences in homogenous companion dog populations (i.e. all of our 611 subjects were kept as pets in urban areas). This may be a decisive shortcoming if 612 someone wants to compare wolves and dogs or reveal specific differences within 613 certain population of dogs. It should be noted that even the MDP test utilized by Gácsi 614 and colleagues (2009b) did not reveal differences in the performance of intensively 615 socialized adult wolves and dogs. However, the MDP test was sensitive enough to 616 show that young (4 months old) intensively socialised wolves are generally inferior to 617 dogs of the same age in reading human pointing cues. In addition, the MDP method

618 was also sensitive enough to show a differential effect of head shape and breed 619 working history on utilizing human pointing gestures (Gácsi et al. 2009a). An even 620 more sensitive pointing test may also reveal differences between the performances of 621 intensively socialized adult wolves and adult dogs.

There are several possible reasons why dogs (and wolves) achieve higher 622 623 performance if investigated in the PC test in comparison to the MDP test. The PC 624 method introduces at least three additional features to the momentary distal pointing. 625 First, the pointing arm is displayed for a longer time, which helps the subjects to 626 attend the gesture and also guides them by local enhancement to the baited bowl. 627 Sustained pointing was found to be more effective in the case of both cats and dogs by 628 Miklósi et al. (2005), and our results in Experiment 3 also support these earlier 629 findings.

630 Two post-cueing features could have enhanced the dogs' performance through 631 learning. The sound of the clicker may have acted as secondary reinforcement for 632 subjects trained with this instrument. This may have contributed to the high 633 performance of the wolves in Udell et al. (2008a). However, the findings of Experiment 2 showed that there was no difference between the performance of clicker 634 635 trained dogs and those dogs which have never been trained with this method. Clicker 636 trained dogs had also no advantage in Experiment 3 when they were exposed to the 637 momentary distal gesture which was combined with a clicking sound upon correct 638 choice. Thus the high performance in the PC tests of Experiment 2 cannot be 639 explained solely on the basis of secondary reinforcement in the case of the dogs. It is 640 very likely that using the prior-choice and post-cueing factors together in one test 641 enhanced the success rate of the subjects.

642 The food dropped by the experimenter could also have enhanced the dogs' 643 performance because they were exposed to a direct relationship between the 644 previously moving stimulus (the hand) and the appearance of the food. Udell et al. 645 (2008b) argued that dogs' superior performance in this task could be the result of 646 forming an association between the human hand and the location of the food. In the 647 PC trials the experimenter's hand moves closer to the bowl during the rewarding and 648 this movement makes the whole act similar to a proximal pointing cue which is 649 considered to be a more effective gesture for inducing correct choice in subjects (e.g. 650 Miklósi et al. 2005; Soproni et al. 2002). Although dogs observed in the PC test in 651 Experiment 2 displayed high performance, adding the feature of dropping the food to 652 the MDP test alone did not lead to more correct choices in Experiment 3. Most likely 653 without the other additional cues of the PC method, dropping the food alone is not enough to raise success rates significantly. 654

655 In summary, the 'Pointing with Clicker' method tested here as a close 656 replication of the method used by Udell et al. (2008a) contains several features that 657 may make the task of the subjects easier. Thus one should be cautious when designing 658 comparative tests relying on different experimental protocols because the chosen 659 methodology will to some degree determine the outcome. In relation to the origins of 660 dogs' superior skills in relation to relying on human communicative signals more 661 effort should be taken to make the experiments on different subjects more comparable 662 not just within but across laboratories. It is also likely that both genetic effects and 663 development effects contribute to a variable degree to the communicative skills in 664 dogs. Further, in the specific case the sensitivity of the tests could be improved by 665 making the signals cognitively more challenging.

666

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670

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679 680 1

# $^{1}$ **NOTE**

In the case of Experiment 2, the authors did their best efforts to replicate faithfully the pointing procedure used by Udell et al. (2008a). However, possible minor discrepancies between the two methods were unfortunately impossible to avoid because there was no video material recorded of the original tests of Udell et al. (2008a). The authors are **grateful** for the kind and professional help from Monique Udell, who assessed the video footage of the testing process and the method section of this paper. **Although** personal communication between M. U. and the authors of the present article confirmed that there were **some** discrepancies between the two methodologies, **in the opinion of the authors, the** present paper can be regarded as a replication of the corresponding experiment of Udell et al (2008a).

AUTHOR'S STATEMENTS The authors state that these experiments comply with the current laws of the Republic of Hungary regarding animal welfare. The authors also declare that they have no conflict of interest. REFERENCES Anderson, J. R., Sallaberry P., & Barbier, H. (1995). Use of experimenter given cues during object- choice tasks by capuchin monkeys. Animal Behaviour, 49, 201-208. Gácsi, M., McGreevy, P., Kara, E., & Miklósi, Á. (2009a) Effects of selection for cooperation and attention in dogs. Behavioral Brain Functions, 5, Article Number: 31. Gácsi, M., Győri, B., Virányi, Zs., Kubinyi, E., Range, F., Belényi, B., & Miklósi, Á. (2009b). Explaining dog wolf differences in utilizing human pointing gestures: selection for synergistic shifts in the development of some social skills. PLOS ONE 4, e6584. Gácsi, M., Kara, E., Belényi, B., Topál, J., & Miklósi, Á. (2009c). The effect of development and individual differences in pointing comprehension of dogs. Animal Cognition, 12, 471-479. 

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781

783 TABLES

Table 1. Experiment 2: Results of one sample Wilcoxon tests on group level
performance of dogs in two different tests (Pointing with Clicker and Momentary
Cross Pointing). Chance level was 5 correct out of 10 pointing trials in each case.

Group		Session	Т	Р
ʻHigh	performer'	Pointing with Clicker	<i>T</i> =276,	<i>P</i> <0.001
<i>N</i> =23		Momentary Cross Pointing	<i>T</i> =203,	<i>P</i> <0.001
'Low	performer'	Pointing with Clicker	<i>T</i> =253,	<i>P</i> <0.001
<i>N</i> =23		Momentary Cross Pointing	<i>T</i> =129,	<i>P</i> <0.01

790 Table 2. Experiment 3: Results of the one-sample t-tests. The mean number of correct

choices was compared to the expected value (5 from 10) in each experimental group.

The order of the control and test sessions was balanced in the groups.

Group	Session	Df, t	Р
MDP-C clicker	(MDP)	14, 4.43	<0.001
trained	Test (MDP-C)	14, 3.06	<0.01
MDP-C no clicker	(MDP)	14, 2.82	<0.05
training	Test (MDP-C)	14, 5.91	<0.001
MDP-F	(MDP)	14, 4.83	<0.001
	Test (MDP-F)	14, 5.87	<0.001
SDP	(MDP)	14, 2.80	< 0.05
	Test (SDP)	14, 5.21	< 0.001
Control (MDP)	(MDP)	14, 4.79	<0.001
	Test (MDP)	14, 3.76	<0.01

## 797 FIGURE CAPTIONS

798

Fig. 1 The effect of keeping and testing locations on the performance of dogs in Experiment 1. All dogs were tested away from home, inside of a building or outside on an open, grassy area. Keeping conditions ('house' or 'garden') and testing locations ('in' or 'out') are marked below the bars. The horizontal line shows the level of random choices. All groups performed over the chance level (one sample t-test, p<0.05), and their results did not differ from each other (2-way ANOVA)

805

806 Fig. 2 The effect of three different pointing tests on the performance of dogs in 807 Experiment 2. Dogs were sorted into the 'High or Low performance' group based on 808 their results in the MDP (Momentary distal pointing) test. Asterisks over the box plots 809 mark significant differences between the test results (Friedman repeated test with 810 Dunn's post hoc test). The results of 'High' and 'Low performance' dogs were 811 analyzed separately. 'High performance' dogs had at least 8 correct choices out of 10 812 in the MDP test. 'Low performance' dogs had less than 8 correct choices in the MDP 813 test. \*\*\*: P<0.001, \*\*: P<0.01

814

Fig 3 The proportion of dogs that chose correctly in at least 8 trials and which had less than 8 correct choices in Experiment 2. The ratios of these dogs in the PC (Pointing with Clicker) and MCP (Momentary Cross Pointing) tests were compared with pairwise Fisher's exact tests. \*: P < 0.05

819

Fig 4 The performance of the five experimental groups in two 10-trial sessions of pointing in Experiment 3. The control session was always MDP (Momentary Cross

822 Pointing) test and the testing sessions were different among the groups. The order of 823 the two sessions was balanced in the experimental groups. 'Clicker' refers to dogs that are familiar with clicker training, 'No clicker' indicates dogs that have never 824 825 participated in clicker training. Mixed two-way ANOVA for repeated measures found 826 an overall significant effect of 'treatment', where the dogs performed better in the 827 'test' groups than in the control trials. There were no significant differences between 828 the control and test sessions within groups (separate ANOVAs for repeated 829 measures). Each group exceeded significantly the level of random choices (one-830 sample t tests). The horizontal line shows the level of random choices. MDP-C = 831 Momentary distal pointing with clicker; MDP-F = Momentary distal pointing with 832 food reward from the experimenter; SDP = Sustained distal pointing.

834 APPENDIX

835

836 Participants of Experiment 2 and 3. Participation in a specific experiment is marked837 with 'x' after the dogs' name.

838 Abbreviations: M=male; F=female; H=kept in the house; G=kept in the garden;

839 H/G=kept in the house and in the garden; experience with the clicker: 'yes'=regularly;

840 'no'=never; 'no+'=seldom

841 Experiments: 2=Experiment 2; 3a=Momentary Distal Pointing with Clicker for

842 clicker trained dogs; 3b=Momentary Distal Pointing with Clicker for dogs with no

843 clicker experience; 3c=Momentary Distal Pointing with food dropping; 3d=Sustained

844 Distal Pointing; 3e=Momentary Distal Pointing (control)

845