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Journal of Geodesy

Continuation of Bulletin Géodésique and manuscripta geodaetica

ISSN 0949-7714

J Geod DOI 10.1007/s00190-013-0612-9





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REVIEW ARTICLE

Deterministic, stochastic, hybrid and band-limited modifications of Hotine's integral

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Received: 20 July 2012 / Accepted: 7 January 2013

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Abstract Global Navigation Satellite System positioning of gravity surveys permits geoid computation via Hotine's integral. A suite of modifications is presented so that the user can tune the relative contributions of truncation and data errors in a combined solution for a regional geoid model from gravity disturbances.

Keywords Hotine's integral · Geoid · Kernel modifications · Gravity disturbances · Filters

1 Motivation

Most gravity surveys are now positioned using Global Navigation Satellite Systems (GNSSs), which deliver the 3D geodetic coordinates of each gravity observation after corrections for offsets between the GNSS antenna reference point and the gravity sensor. These can then be used to compute the gravity disturbance

$$\delta g_S = g_S - \gamma_S \tag{1}$$

where γ_S is normal gravity at the same 3D position as the gravity observation g_S that has been reduced to gravity datum and corrected for instrumental drift and tides. To a second-order approximation near the Earth's surface, γ_S can be computed analytically from the GNSS-derived ellipsoidal height h and geodetic latitude φ of the observation point S using (e.g., Heiskanen and Moritz 1967, p. 79)

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Published online: 07 March 2013

$$\gamma_S = \gamma \left[1 - 2(1 + f + m - 2f\sin^2\phi) \frac{h}{a} + 3\frac{h^2}{a^2} \right]$$
 (2)

with

$$\gamma = \gamma_e \frac{1 + k \sin^2 \varphi}{\sqrt{1 - e^2 \sin^2 \varphi}} \tag{3}$$

where f is the geometrical flattening of the normal ellipsoid, m is the ratio of gravitational and centrifugal accelerations at the equator of the normal ellipsoid, a is the equatorial radius of the normal ellipsoid, k is the normal gravity constant, γ_e is normal gravity acceleration at the equator, and e is the first numerical eccentricity of the normal ellipsoid. Numerical values of these parameters for the GRS80 normal ellipsoid are given in Moritz (1980) and reprinted in the Geodesist's Handbook.

While the downward continuation of gravity disturbances is beyond the scope of this article, it nevertheless requires that: (1) δg_S are downward-continued to the geoid to give δg before being convolved with Hotine's kernel or some modification thereof; and (2) the gravity disturbances must correspond to a harmonic disturbing potential in the solution domain. The availability of these downward-continued gravity disturbances δg then allows for computation of the geoid N by Hotine's integral (Hotine 1969, Chap 29), which is a solution to a fixed or the second boundary-value problem of potential theory in spherical approximation (e.g., Heiskanen and Moritz 1967, p 36).

One advantage of using gravity disturbances over gravity anomalies to compute the geoid is that they are not adversely affected by, e.g., uncertain or ambiguous realisations of vertical datums and their associated height systems. In Australia, for instance, the vertical datum contains a confirmed tilt with respect to the geoid (Featherstone and Filmer 2012), regional distortions [e.g., Featherstone et al. (2011) and the citations



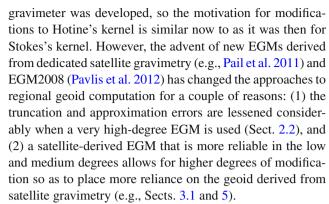
therein], and uses a height system that is not compatible with the geoid (Filmer et al. 2010). These will cause errors in the computed terrestrial gravity anomalies (cf. Heck 1990) that can propagate into the combined geoid solution unless modelled and/or filtered out (cf. Vaníček and Featherstone 1998; Wang et al. 2011; Featherstone et al. 2011).

Modern regional geoid models are often computed from an Earth gravitational model (EGM) augmented by one, more or all of land, airborne, ship-borne and altimeter-derived marine gravimetry, depending on data availability and the region of interest. GNSS is used to coordinate and navigate ship-borne gravity surveys, which results in better Eötvös corrections and horizontal locations so that crossover adjustments are more effective (cf. Wessel and Watts 1988). As the mean sea surface departs from the geoid by up to ~ 2 m, account also has to be made for mean dynamic topography (MDT) in the derivation of gravity disturbances from satellite altimetry (Zhang 1998). Land and airborne gravity surveys are also coordinated with GNSS, thus facilitating the direct computation of gravity disturbances. A prior geoid model would be needed to determine gravity anomalies from GNSS-coordinated gravity surveys, resulting in a circular argument (cf. Vaníček et al. 1992), and reinforcing the benefit of directly using gravity disturbances in Hotine's integral.

Hotine's integral, or its inverse, has been used for: (1) geoid determination from GNNS-positioned airborne gravimetry (e.g., Schwarz and Li 1996; Kearsley et al. 1998; Forsberg et al. 2000; Novák and Heck 2002; Novák 2003; Novák et al. 2003; Alberts and Klees 2004; Serpas and Jekeli 2005; Sjöberg and Eshagh 2009) or land gravimetry (e.g., Kirby 2003), (2) marine gravity field and MDT estimation from satellite radar altimetry (e.g., Rapp 1980; Zhang and Blais 1993; Rapp and Wang 1994; Zhang and Sideris 1996; Zhang 1998), and (3) global Earth and planetary gravity field modelling (e.g., Sjöberg 1989; Barriot and Balmino 1992).

However, the spatial coverage of GNSS-coordinated gravity data is currently limited, so there is a need to modify Hotine's integral to reduce the truncation error that results from the omission of gravity disturbances in the far zones beyond the area of interest. Admittedly, this truncation error can be reduced by the inclusion of a high-degree EGM (Sect. 2.2). However, the kernel modification and cap radius can be used additionally as a filter to tune the relative data contributions (cf. Vaníček and Featherstone 1998; Kern et al. 2003; Featherstone 2003a). It is this property that will be emphasised more than only reduction of the truncation error.

Early modifications to Stokes's kernel (e.g., Molodensky et al. 1962) were formulated to reduce the truncation error alone because of limited spatial coverage of terrestrial gravity anomalies at that time. Many of the subsequent modifications also consider EGMs (Appendix A). The limited spatial coverage of gravity disturbances coordinated by GNSS is probably the same now as it was in the years soon after the portable



Mostly in analogy to those already proposed and used for Stokes's integral and gravity anomalies (Appendix A), this article will present: (1) deterministic modifications to Hotine's integral, where the user can control the errors in some prescribed ways; (2) stochastic modifications to Hotine's integral, where error spectra are embedded in an attempt to control the balance amongst data and/or truncation errors; and (3) their band-limited hybrid combinations, where they are combined according to the perceived relative benefits of each. For instance, the 'user' may have a good understanding of the data errors in parts of the geopotential spectrum so can use a stochastic modifier in those bands, but say use a deterministic modifier in other bands (cf. Sect. 5). The options are many, but the hybrid combinations do provide much more flexibility for the 'user'.

Previous authors who have investigated modifications to Hotine's kernel comprise Jekeli (1979, 1980b); Guan and Li (1990); Sjöberg and Nord (1992); Vaníček et al. (1992); Zhang and Blais (1993); Zhang (1998); Novák (2003); Novák et al. (2003); Alberts and Klees (2004); and Sjöberg and Eshagh (2009), so their results will only be summarised as part of the review component of this paper. Importantly, most of these authors conclude that Hotine's integral with gravity disturbances can be superior to Stokes's integral with gravity anomalies. The other modifications to Hotine's kernel presented herein will be based on adaptations of the principles previously applied to Stokes's kernel, as well as some new formulations that can be applied back to Stokes's or other kernels.

2 Basics

2.1 Spherical Hotine integral

In terms of spherical polar coordinates of spherical distance ψ and azimuth α centred on each computation point, Hotine's integral in spherical approximation reads

$$N = \frac{r}{4\pi\gamma} \int_{0}^{2\pi} \int_{0}^{\pi} H\delta g \sin\psi \, d\psi \, d\alpha$$
 (4)



and the spherical Hotine kernel is

$$H = \csc(\psi/2) - \ln(1 + \csc(\psi/2))$$

$$= \sum_{n=0}^{\infty} \frac{2n+1}{n+1} P_n(\cos\psi)$$
(5)

where $P_n(\cos \psi)$ is the Legendre polynomial of degree n, and r is the radius to the surface of the normal ellipsoid, which can reduce the ellipsoidal correction for the spherical approximation to a manageably small value (cf. Claessens 2006, Chap 6), and γ is normal gravity on the surface of the normal ellipsoid (Eq. 3) as is demanded by Bruns's formula (e.g., Heiskanen and Moritz 1967, p 85).

When the integration domain in Eq. (4) is truncated to a spherical cap of radius ψ_0 centred on each computation point, this results in an approximation of the geoid height

$$\widehat{N}_{1} = \frac{r}{4\pi\gamma} \int_{0}^{2\pi} \int_{0}^{\psi_{0}} H \delta g \sin \psi \, d\psi \, d\alpha$$
 (6)

where the corresponding truncation error $(N = \widehat{N}_1 + \Delta N)$ is

$$\Delta N = \frac{r}{2\pi} \sum_{n=0}^{\infty} \left[\int_{\psi_0}^{\pi} H P_n(\cos \psi) \sin \psi \, d\psi \right] \delta g_n \tag{7}$$

and δg_n is the *n*-th degree spherical harmonic of the gravity disturbance, and the integral in square parentheses yields the truncation coefficients. Recursion formulas for Eq. (7) are given in, e.g., the Appendices of Jekeli (1979). In the remainder of this article, only the integral forms will be presented instead of cluttering the presentation with [too many] recursions.

2.2 Inclusion of an EGM

One very simple way to reduce ΔN in Eq. (7) is by subtracting the gravity disturbances computed from an EGM to spherical harmonic degree L (δg_L) from the observed and downward-continued δg , and then add back the geoid contribution of the same EGM to the same degree. In physical geodesy, this is commonly referred to as the removecompute–restore technique.

Albeit well known for Stokes's integral (e.g., Vincent and Marsh 1974; Rapp and Rummel 1975), this strategy for Hotine's integral seems first-attributable to Rapp (1980). This gives the residual gravity disturbance

$$\delta g^L = \delta g - \sum_{n=0}^{L} \delta g_n \tag{8}$$

Equation (6) then becomes

$$\widehat{N}_2 = N_L + \frac{r}{4\pi\gamma} \int_0^{2\pi} \int_0^{\psi_0} H \delta g^L \sin\psi \, d\psi \, d\alpha$$
 (9)

where N_L is the geoid undulation given by an EGM to degree L, and the truncation error becomes

$$\Delta N^{L} = \frac{r}{2\pi} \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H P_n(\cos \psi) \sin \psi \, d\psi \right] \delta g_n \quad (10)$$

If $\|\delta g^L\| < \|\delta g\|$ over the far zones beyond the spherical cap ($\psi_0 < \psi \leq \pi$), then δN^L should be $<\delta N$, indicating that this strategy can reduce the truncation error (cf. Vaníček and Sjöberg 1991). However, the practical implementation is not as simple as the theory may imply. Any EGM is imperfect, and if the terrestrial gravity data contain low-frequency errors, there will be leakage of errors during the evaluation of the last term in Eq. (9) (cf. Vaníček and Featherstone 1998). Therefore, it is important to consider the kernel modification not only as means to reduce the truncation error, but also as a filter to reduce leakage of any low-frequency errors from the terrestrial data (cf. Omang and Forsberg 2002; Featherstone et al. 2011; Wang et al. 2011).

Another benefit of including an EGM in is that the residual geoid height computed from the last term in Eq. (9)—as well as its variants presented in the remainder of this article—is smaller in magnitude (a metre or so vs. up to 100 m), so are less subject to approximation errors. Also, as EGMs become more homogeneously reliable—notably those derived from satellite gravimetry—the motivation for using the remove—compute—restore approach will become stronger.

In order to remain as general as possible, and in line with current widespread practice of utilising an EGM in regional geoid computations under the remove–compute–restore scheme, the following modifications will be applied only to Eqs. (5) and (9). The constants outside the integral terms will be abbreviated to $\kappa = r/(4\pi\gamma)$ and $c = r/(2\pi)$.

3 Deterministic and hybrid modifications

3.1 Remove Legendre polynomials (modification D1)

A simple deterministic kernel modification is to subtract polynomial terms from Eq. (3). For Stokes's kernel, this approach is generally attributed to Wong and Gore (1969), though de Witte (1967) alluded to it.

Vaníček et al. (1992) and Sjöberg and Nord (1992) have applied this approach to Hotine's kernel, thus Eq. (9) becomes what herein is termed the spheroidal Hotine kernel



$$\widehat{N_{D1}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{D1}(M) \, \delta g^L \sin \psi \, d\psi d\alpha \text{ for } M \le L$$
(11)

with the subscript D1 denoting this as the first deterministic modification and so on

$$H_{D1}(M) = H - \sum_{n=0}^{M} \frac{2n+1}{n+1} P_n(\cos \psi)$$

$$= \sum_{n=M+1}^{\infty} \frac{2n+1}{n+1} P_n(\cos \psi)$$
(12)

and

$$\Delta N_{\rm D1} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{\rm D1} (M) P_n (\cos \psi) \sin \psi \, \mathrm{d}\psi \right] \delta g_n$$
(13)

This modification makes Eq. (11) less sensitive to low-frequency errors in the terrestrial gravity disturbances by partially filtering them out (cf. Omang and Forsberg 2002; Wang et al. 2011). However, the filtering is only ever partial because the truncated integration domain allows for spectral leakage (cf. Vaníček and Featherstone 1998).

In the context of band-limited kernel modifications, the summation in Eq. (12) does not necessarily have to start at degree n=0 (cf. Featherstone 2003a). Such a band-limited modification to Stokes's kernel was used by Li and Sideris (1994) and to Hotine's kernel by Novák and Heck (2002) and Novák et al. (2003); also see Colombo (1977). Extending this yet further, and not violating the restriction $M \leq L$ (otherwise components of the combined geoid model will be omitted), the band-limited modification can be applied over multiple bands of the user's choice. This modification is straightforward to implement in existing software as recursion routines for Legendre polynomials are widely available (e.g., Press et al. 2007, Chap 6.7).

Figure 1 shows an example of the D1-modified Hotine kernel (Eq. 12) in relation to the spherical Hotine kernel (Eq. 5). The degree of D1 modification has been chosen arbitrarily at M=50. As the degree of modification increases, the D1-modified kernel oscillates more rapidly. Thus, high degrees of modification can slow the numerical integration because more nodes are needed to determine the integral mean value of the modified kernel over each element (cf. Hirt et al. 2011).

3.2 Set kernel to zero at ψ_0 (modification D2)

Another easy-to-implement deterministic modification is to set the kernel to zero at the truncation radius ψ_0 by

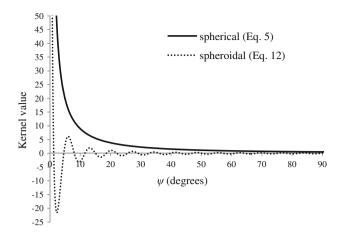


Fig. 1 Solid line The spherical Hotine kernel (Eq. 5); dotted line a spheroidal Hotine kernel (Eq. 12) for M = 50

subtraction. There is some conjecture as to whether this type of modification, albeit for Stokes's integral, should be first-attributed to Ostach (1970) or Meissl (1971), but the latter author has gained wider acceptance in the literature on modifications to Stokes's kernel.

Rapp (1980) applied this strategy to Hotine's integral, then attributing it to Jekeli (1980b), presumably because both papers were under consideration at around the same time; this is

$$\widehat{N_{\rm D2}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{\rm D2}(\psi_0) \delta g^L \sin \psi \, \mathrm{d}\psi \, \mathrm{d}\alpha$$
 (14)

with

$$H_{\rm D2}(\psi_0) = H - H(\psi = \psi_0)$$
 (15)

and

$$\Delta N_{\rm D2} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{\rm D2}(\psi_0) P_n(\cos \psi) \sin \psi \, \mathrm{d}\psi \right] \delta g_n$$
(16)

Recursion formulas for the integral term in Eq. (16) are given in Guan and Li (1990) and Jekeli (1980b).

By forcing the kernel to zero at the truncation radius accelerates the convergence rate of the truncation error from $\mathcal{O}\left(n^{-1}\right)$ to $\mathcal{O}\left(n^{-2}\right)$ (cf. Jekeli 1980a, 1981; Featherstone et al. 1998). However, faster convergence of a series does not necessarily guarantee smaller values of its coefficients. Since the truncation error is the sum of all terms (Eq. 16), the truncation error may even increase, as shown by Jekeli (1980a, 1981) for Stokes's kernel. However, Guan and Li (1990) claim that this Ostach–Meissl-type modification to the Hotine kernel does decrease the truncation error.



3.3 Deterministic hybrid (modification D3)

The deterministic modifications in Eqs. (12) and (15) can be combined, as first proposed by Heck and Grüninger (1987) for Stokes's integral, such that the Legendre polynomials are removed to some degree M such that (s.t.) the zero-crossing point of the D1-modified Hotine kernel in Eq. (12) coincides with the truncation radius ψ_0 ; this is

$$\widehat{N_{\rm D3}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{\rm D3}(M, \psi_0) \delta g^L \sin \psi \, \mathrm{d}\psi \, \mathrm{d}\alpha \qquad (17)$$

with

$$H_{D3}(M, \psi_0) = H(M)$$
 s.t. $H_{D3}(M, \psi_0) = 0$ at $\psi = \psi_0$ (18)

and

$$\Delta N_{\rm D3} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{\rm D3}(M, \psi_0) P_n(\cos \psi) \sin \psi \, \mathrm{d}\psi \right] \delta g_n$$
(19)

This hybrid modification simultaneously exploits the partial high-pass filtering properties of Eq. (12) and the accelerated rate of convergence of the truncation error from Eq. (16). However, this hybrid is less flexible to implement because M and ψ_0 are inextricably linked in this case. For instance, a small ψ_0 will dictate that M has to be large (cf. Fig 1) and possibly too much filtering will occur, and vice versa.

3.4 Deterministic hybrid (modification D4)

To counteract the above restriction, an alternative combination of Eqs. (12) and (15) can be implemented, where the Legendre polynomials are removed to a user-chosen degree M, and then the kernel is set to zero at the truncation radius ψ_0 by subsequent subtraction (cf. Heck and Grüninger 1987; Featherstone et al. 1998).

This type of hybrid modified Hotine kernel was first introduced by Alberts and Klees (2004), but they did not elaborate upon its properties. In the notation adopted herein, this is

$$\widehat{N_{\rm D4}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{\rm D4}(M, \psi_0) \delta g^L \sin \psi \, d\psi \, d\alpha \qquad (20)$$

with

$$H_{\rm D4}(M, \psi_0) = H(M) - H(M, \psi = \psi_0)$$
 (21)

and

$$\Delta N_{\rm D4} = c \sum_{n=L+1}^{\infty} \times \left[\int_{\psi_0}^{\pi} H_{\rm D4}(M, \psi_0) P_n(\cos \psi) \sin \psi \, \mathrm{d}\psi \right] \delta g_n \quad (22)$$

This hybrid offers the same properties as Eqs. (15) and (18) in terms of the accelerated convergence rate of the truncation error, but does not suffer the same restrictions, thus giving the 'user' much more control over the degree of filtering and integration domain chosen. Alberts and Klees (2004) chose M=20 and $\psi_0=5^\circ$ from simulations and experiments with airborne gravity in their case study area. Importantly, the parameter values chosen depend on the study area, data sets used, their resolution and spatial extent; indeed, this applies to all the modifications.

3.5 Molodensky-type approach (modification D5)

Molodensky et al. (1962, Chap 7) presented an approach to reduce the L₂ norm of the truncation error for the spherical Stokes's kernel. This was later adapted for a higher-than-second-degree reference spheroid by Vaníček and Kleusberg (1987) and Vaníček and Sjöberg (1991); also see Martinec and Vanicek (1997). The Molodensky et al. (1962) approach for the spherical [not spheroidal] Hotine integral (Eq. 5) was presented in Sjöberg and Eshagh (2009), and Novák (2003) presented a band-limited version of the same kernel. However, neither of these modifications allow for the inclusion of an EGM, whereas the presentation herein does.

In analogy, the Molodensky-type modification to the spheroidal Hotine kernel (Eq. 12) was presented by Zhang (1998) as

$$\widehat{N_{\rm D5}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{\rm D5}(M, \psi_0) \delta g^L \sin \psi \, \mathrm{d}\psi \, \mathrm{d}\alpha \tag{23}$$

with

$$H_{D5}(M, \psi_0) = H(M) - \sum_{n=0}^{M} \frac{2n+1}{2} h_n(\psi_0) P_n(\cos \psi)$$
 (24)

and

$$\Delta N_{D5} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{D5}(M, \psi_0) P_n(\cos \psi) \sin \psi \, d\psi \right] \delta g_n$$
(25)

Once the values of ψ_0 and M have been chosen by the 'user', the $h_n(\psi_0)$ modification coefficients are determined from the solution of a set of linear equations. However, the formulation



of Zhang (1998, Sect. 2.2) is not particularly intuitive for practical application, and also contains two typographical errors. Thus, a clearer formulation is given below.

By inference from Vaníček and Sjöberg (1991, Eq. 18), the L_2 norm of the Hotine truncation error (Eq. 25) is minimised when

$$\int_{\psi_0}^{\pi} H_{D5}(M, \psi_0) P_n(\cos \psi) \sin \psi \, d\psi = 0, \quad 0 \le n \le M$$

Inserting Eqs. (24) and (12) in Eq. (26) gives

$$\int_{\psi_0}^{\pi} \left[H(\psi) - \sum_{k=0}^{M} \frac{2k+1}{k+1} P_k(\cos \psi) - \sum_{k=0}^{M} \frac{2k+1}{2} h_k(\psi_0) P_k(\cos \psi) \right] P_n(\cos \psi) \sin \psi \, d\psi = 0$$
(27)

Using the abbreviation

$$e_{nk}(\psi_0) = \int_{\psi_0}^{\pi} P_n(\cos\psi) P_k(\cos\psi) \sin\psi \,d\psi \tag{28}$$

leaves the desired system of (M + 1) linear equations

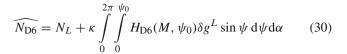
$$\sum_{k=0}^{M} \frac{2k+1}{2} h_k(\psi_0) e_{nk}(\psi_0)$$

$$= \int_{\psi_0}^{\pi} H P_n(\cos \psi) \sin \psi \, d\psi - \sum_{k=0}^{M} \frac{2k+1}{k+1} e_{nk}(\psi_0) \quad (29)$$

A recursion formula for the second term in Eq. (29) (cf. Eq. 7) is derived in the Appendices of Jekeli (1979), and recursions for $e_{nk}(\psi_0)$ (Eq. 28) are given in Paul (1973) or Hagiwara (1972, 1976). The right-hand-side of Eq. (29) is also the recursion used to compute the integral term in Eq. (13). For instance, the computer code from Featherstone (2003b) can be adapted to compute Eq. (29) and thence Eq. (24), as well as the other deterministic modifications presented herein.

3.6 Deterministic hybrid (modification D6)

The modified kernel in Eq. (24) can be forced to be zero at ψ_0 by appropriate selections of $h_n(\psi_0)$, e.g., by varying M and ψ_0 . This approach was first suggested for the Molodensky-modified spheroidal Stokes's integral (cf. Sect. 3.5) by Featherstone et al. (1998), but it can also be applied to Eq. (24). In analogy to modification D3 (Sect. 3.3), $h_n(\psi_0)$ are chosen such that the zero-crossing point of the modified Hotine kernel in Eq. (24) coincides with the truncation radius ψ_0



with

$$H_{D6}(M, \psi_0) = H_{D5}(M, \psi_0)$$
 s.t. $H_{D6}(M, \psi_0)$
= 0 at $\psi = \psi_0$ (31)

and

(26)

$$\Delta N_{\rm D6} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{\rm D6}(M, \psi_0) P_n(\cos \psi) \sin \psi \, \mathrm{d}\psi \right] \delta g_n$$
(32)

However, the practical evaluation of Eq. (31) can be quite cumbersome because trial and error has to be used to meet the condition that this modified Hotine kernel is zero at ψ_0 . For some starting values of ψ_0 and M, the kernel in Eq. (24) has to be evaluated (involving the inversion of Eq. 29), then plotted to see if it is zero at ψ_0 . If not, then the values of ψ_0 and/or M have to be adjusted until it is. Evidently, this may involve a lot of work and is not so attractive given the following option.

3.7 Deterministic hybrid (modification D7)

A far simpler way to set the D5-modified kernel (Eq. 24) to zero at ψ_0 is by subtraction (cf. Sects. 3.2 and 3.4). This strategy was suggested by Featherstone et al. (1998) for Stokes's kernel. It allows for more user control over the values chosen for ψ_0 and/or M in terms of the filtering properties of the kernel.

$$\widehat{N_{\mathrm{D7}}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{\mathrm{D7}}(M, \psi_0) \delta g^L \sin \psi \, \mathrm{d}\psi \, \mathrm{d}\alpha \qquad (33)$$

with

$$H_{\rm D7}(M, \psi_0) = H_{\rm D5}(M, \psi_0) - H_{\rm D5}(M, \psi = \psi_0)$$
 (34)

and

$$\Delta N_{\rm D7} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{\rm D7}(M, \psi_0) P_n(\cos \psi) \sin \psi \, \mathrm{d}\psi \right] \delta g_n$$
(35)

Practical implementation just involves the evaluation of Eqs. (29) and (24) for the user-chosen values of ψ_0 and M and subtraction of the value of $H_{D5}(M, \psi_0)$ at ψ_0 for $0 < \psi \le \psi_0$



4 Stochastic and hybrid modifications

Stochastic kernel modifications can be more subjective than deterministic modifications because reliable error spectra of the data involved are not always available or reliable (e.g., Sjöberg and Hunegnaw 2000). The error spectra of EGMs can be unrealistic if they are derived only from the diagonal of their variance covariance (VCV) matrices and are global estimates, so do not necessarily reflect the errors in a particular region (cf. Sjöberg 2005). Attempts are sometimes made to 'calibrate' these error spectra; nevertheless, they still remain global estimates.

The error spectra of terrestrial gravity data are even more problematic to estimate (e.g., Kern et al. 2003) and can vary quite considerably from region to region. Most often, simple covariance models are used (e.g., Ellmann 2005a), which render the stochastic modifiers more akin to least squares collocation and thus subject to the same simplifying assumptions such as stationarity and isotropy. As such, the physical acceptability of the stochastic modifiers is arguably less than for the deterministic modifiers.

4.1 Wenzel-type approach (modification S1)

Wenzel (1981, 1982, 1983) implemented a Wiener-type filter in Stokes's integral, which can also be applied to Hotine's integral to give

$$\widehat{N_{S1}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{S1} \delta g^L \sin \psi \, d\psi \, d\alpha$$
 (36)

with the subscript S1 denoting this as the first stochastic modification and so on

$$H_{S1} = \sum_{n=0}^{\infty} \frac{2n+1}{n+1} w_n P_n(\cos \psi)$$
 (37)

and

$$w_n = \frac{\sigma_n^2 \{\delta g_{\text{EGM}}\}}{\sigma_n^2 \{\delta g_{\text{EGM}}\} + \sigma_n^2 \{\delta g_{\text{T}}\}} \ 0 \le n \le L$$
 (38)

where $\sigma_n^2 \{\delta g_{\rm EGM}\}$ is the error degree variance of the gravity disturbances from the EGM and $\sigma_n^2 \{\delta g_{\rm T}\}$ is the error degree variance of the terrestrial gravity disturbances. This modification is—by necessity—restricted to the degree L of EGM used in the combined solution for the geoid, such that

$$H_{S1} = \sum_{n=0}^{L} \frac{2n+1}{n+1} w_n P_n(\cos \psi) + \sum_{n=L+1}^{\infty} \frac{2n+1}{n+1} P_n(\cos \psi)$$

leaving

$$\Delta N_{\rm S1} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H P_n(\cos \psi) \sin \psi \, d\psi \right] \delta g_n = \Delta N \quad (40)$$

to show that no specific attempt has been made to reduce the truncation error; it is just as large as for the truncated spherical Hotine integral (Eq. 7). Nevertheless, the truncation error is reduced already because of the use of the EGM to degree L (cf. Sect. 2.2).

4.2 Stochastic hybrid (modification S2)

Similar to the D1 modification (Sect. 3.1), the degree to which the Wiener-type filter is applied can be limited to any $M \le L$ or any band(s) in that domain.

$$\widehat{N_{S2}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{S2}(M) \delta g^L \sin \psi \, d\psi \, d\alpha$$
 (41)

with, e.g.,

$$H_{S2}(M) = \sum_{n=0}^{M} \frac{2n+1}{n+1} w_n P_n(\cos \psi) + \sum_{n=M+1}^{\infty} \frac{2n+1}{n+1} P_n(\cos \psi)$$
(42)

and $\Delta N_{\rm S2} = \Delta N_{\rm S1} = \Delta N$, showing again that there is no reduction of the truncation error.

4.3 Stochastic hybrid (modification S3)

An Ostach–Meissl-type modification (cf. Sect. 3.2) can also be applied to Eq. (42), noting that if M = L it degenerates to Eq. (39) so can be implemented simply for both options.

$$\widehat{N_{S3}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{S3}(M, \psi_0) \delta g^L \sin \psi \, d\psi \, d\alpha \qquad (43)$$

with

$$H_{S3}(M, \psi_0) = H_{S2}(M) - H_{S2}(M, \psi = \psi_0)$$
 (44)

and

$$\Delta N_{S3} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{S3}(M, \psi_0) P_n(\cos \psi) \sin \psi \, d\psi \right] \delta g_n$$
(45)

This additional modification accelerates the rate of convergence of the truncation error.



4.4 Stochastic hybrid (modification S4)

This stochastic hybrid is an analogue of Heck and Grüninger (1987) and Featherstone et al. (1998) to achieve an accelerated rate of convergence of the truncation error without subtraction (Eq. 45), but by selecting the value of M for which the value of the kernel is zero at ψ_0 .

$$\widehat{N_{\text{S4}}} = N_L + \kappa \int_0^{2\pi} \int_0^{\psi_0} H_{\text{S4}}(M, \psi_0) \delta g^L \sin \psi \, d\psi \, d\alpha \qquad (46)$$

with

$$H_{S4}(M, \psi_0) = H_{S2}(M)$$
 s.t $H_{S4}(M, \psi_0) = 0$ at $\psi = \psi_0$ (47)

and

$$\Delta N_{\text{S4}} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{\text{S4}}(M, \psi_0) P_n(\cos \psi) \sin \psi \, d\psi \right] \delta g_n$$
(48)

As for modifications D3 and D6 (Sects. 3.3 and 3.6), this requires cumbersome trial and error to determine the appropriate value of M, but is also complicated further by the choice of EGM used to provide $\sigma_n^2 \{\delta g_{\rm EGM}\}$ and the model adopted for $\sigma_n^2 \{\delta g_T\}$ (i.e., via Eq. 38).

4.5 Sjöberg-type approach (modification S5)

Sjöberg (1980a, 1980b, 1981, 1984a, 1984b, 1986, 1991, 2003c) and Sjöberg and Hunegnaw (2000) have provided a series of incremental stochastic modifications to Stokes's kernel, culminating in the variant in Sjöberg (2003b, Eq. 26). An attractive aspect of most of the Sjöberg-type modifications is that they attempt to simultaneously reduce the truncation error and errors originating from the EGM and terrestrial gravity data, or subsets thereof. The principal restriction is reliably estimating the error spectra of the terrestrial gravity disturbances $\sigma_n^2 \{\delta g_T\}$, as well as the other caveats mentioned at the start of this Section.

Assuming that Sjöberg (2003b) gives the 'final word' on this class of modifications for Stokes's integral, when applied to Hotine's integral gives

$$\widehat{N_{S5}} = \kappa \int_{0}^{2\pi} \int_{0}^{\psi_0} H_{S5}(M, L) \delta g \sin \psi \, d\psi \, d\alpha + c \sum_{n=2}^{L} b_n \, \delta g_n$$
 (49)

with

$$H_{S5}(M,L) = H - \sum_{n=0}^{M} \frac{2n+1}{2} s_n P_n(\cos \psi)$$
 (50)



$$\Delta N_{S5} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{S5}(M, L) P_n(\cos \psi) \sin \psi \, d\psi \right] \delta g_n$$
(51)

Depending on the choices of M and L, the s_n modification coefficients are

$$2 \le n \le \min(M, L) : s_n = \frac{2\sigma_n^2 \{\delta g_T\} \left(c_n \{\delta g\} + \sigma_n^2 \{\delta g_{\text{EGM}}\}\right)}{(n+1)d_n \{\delta g\}}$$
(52)

$$(L+1) \le n \le M : s_n = \frac{2\sigma_n^2 \{\delta g_T\}}{(n+1)\left(\sigma_n^2 \{\delta g_T\} + c_n \{\delta g\}\right)}$$
 (53)

where

$$d_n\{\delta g\} = c_n\{\delta g\}\sigma_n^2\{\delta g_{\text{EGM}}\} + \sigma_n^2\{\delta g_{\text{T}}\}\left(c_n\{\delta g\} + \sigma_n^2\{\delta g_{\text{EGM}}\}\right)$$
 (54)

and $c_n\{\delta g\}$ is the degree variance of the gravity disturbances. For weighting the contribution of the EGM, the b_n coefficients are

$$2 \le n \le \min(M, L) : b_n = \frac{2\sigma_n^2 \{\delta g_T\} c_n \{\delta g\}}{(n+1)d_n \{\delta g\}}$$
 (55)

$$n > \min(M, L): b_n = 0 \tag{56}$$

Ellmann (2005a, 2012) provides computer code that can be adapted to compute the Sjöberg-type modifiers to Hotine's kernel, albeit only with an isotropic and stationary covariance model for $\sigma_n^2 \{ \delta g_T \}$.

4.6 Stochastic hybrid (modification S6)

An Ostach–Meissl-type modification (cf. Sects. 3.2 and 4.3) can also be applied to Eq. (49) to give

$$\widehat{N_{S6}} = \kappa \int_{0}^{2\pi} \int_{0}^{\psi_{0}} H_{S6}(M, L, \psi_{0}) \delta g \sin \psi \, d\psi \, d\alpha + c \sum_{n=2}^{L} b_{n} \, \delta g_{n}$$
(57)

with

$$H_{S6}(M, L, \psi_0) = H_{S5}(M, L) - H_{S5}(M, L, \psi = \psi_0)$$
 (58)

$$\widehat{N_{S5}} = \kappa \int_{0}^{2\pi} \int_{0}^{\psi_0} H_{S5}(M, L) \delta g \sin \psi \, d\psi \, d\alpha + c \sum_{n=2}^{L} b_n \, \delta g_n$$
(49)
$$\Delta N_{S6} = c \sum_{n=L+1}^{\infty} \left[\int_{\psi_0}^{\pi} H_{S6}(M, L, \psi_0) P_n(\cos \psi) \sin \psi \, d\psi \right] \delta g_n$$
(59)

The case of varying the parameters in stochastic modification S5 to achieve a zero crossing of the kernel at the truncation radius ψ_0 is not considered on the grounds of practicality;



there are simply too many parameters to trial to make it feasible versus the more pragmatic approach proposed here.

5 Hybrid and band-limited modifications: some suggestions

This section is restricted to a brief discussion of only a few of the options possible, though there are many others; the final choices are left ultimately to the 'user'. This style of presentation is deliberate to encourage the 'user' to experiment with various combinations and permutations so as to tune the data combination for their data sources and area(s) of interest. As alluded to earlier, there is no specific requirement to use any single modification in isolation or to any particular degree or truncation radius, especially when treating them as band-pass filters to reduce errors in the combined solution for the geoid. This applies to Hotine's, Stokes's and many other geodetic integrals.

Modern EGMs, particularly those derived from GRACE and/or GOCE satellite gravimetry, are far superior at modelling the low-frequency geoid than terrestrial data alone. As such, it is logical to apply an as-strong-as-possible filter to the terrestrial data, e.g., to the degree that the EGM is considered reliable, so as to rely more upon the low-frequency geoid provided by that EGM. The D1 modification (Sect. 3.1) is the most powerful filter because it removes the low-degree polynomial terms altogether, but the amount of filtering also depends on the truncation radius used (cf. Vaníček and Featherstone 1998). The S1 and S2 modifications (Sects. 4.1 and 4.2) are less effective high-pass filters because they depend on the estimates of $\sigma_n^2\{\delta g_T\}$, noting that if $\sigma_n^2\{\delta g_T\} = 0$, they degenerate to the D1 modification.

One suggested strategy, but only in this author's opinion (cf. Featherstone 2003a), is to use the D1 modification for the low degrees where the satellite-only EGM is superior to terrestrial data, then use other modifications in the bands where the satellite-only EGM starts to deteriorate, e.g., because of the attenuation of gravitation at satellite altitude. Assuming that GRACE and/or GOCE static gravity field models (e.g., Pail et al. 2011) are better than terrestrial gravity data below some degree L1, but start to deteriorate beyond this to degree L2 ($\leq L$), e.g., the hybrid band-limited kernels from Eqs. (12), (39) and (41) can be combined to give

$$H_{\text{D1+S1}} = H - \sum_{n=0}^{L1} \frac{2n+1}{n+1} P_n(\cos \psi) + \sum_{n=L1+1}^{L2} \frac{2n+1}{n+1} w_n P_n(\cos \psi)$$
 (60)

Likewise, hybrid band-limited versions of the more sophisticated modifiers can be implemented together with the D1 modifier, which can reduce the truncation and other errors.

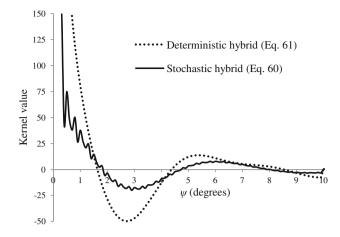


Fig. 2 *Solid line* A hybrid band-limited D1+S1 modified Hotine kernel (Eq. 60) for L1=50 and L2=2, 160 with $\sigma_n^2\{\delta g_{\rm EGM}\}$ computed from EGM2008 and an assumed $\sigma_n^2\{\delta g_{\rm T}\}$ of 0.01 mGal²; *dotted line* A hybrid band-limited D1 + S5-modified Hotine kernel (Eq. 61) for L1=50 and L2=100 and $\psi_0=10^\circ$

Just as two other examples, combining Eqs. (12), (24) and (50) gives

$$H_{\rm D1+D5} = H - \sum_{n=0}^{L1} \frac{2n+1}{n+1} P_n(\cos\psi) - \sum_{n=L+1}^{L2} \frac{2n+1}{2} h_n P_n(\cos\psi)$$
 (61)

$$H_{\text{D1+S5}} = H - \sum_{n=0}^{L1} \frac{2n+1}{n+1} P_n(\cos \psi) - \sum_{n=L,1+1}^{L2} \frac{2n+1}{2} s_n P_n(\cos \psi)$$
 (62)

The above three examples can be extended or simplified depending upon one's confidence in the terrestrial gravity error spectra, say where the S5 modification is applied in bands where the error spectra are known and the D5 modification applied where they are not. Naturally, there are many more and alternative options than suggested here.

Figure 2 shows two examples of the hybrid band-limited modified Hotine kernels. Equation (60) is calculated using LI = 50, where polynomial terms are removed completely in the band $0 \le n \le 50$, and the Wenzel-type modifier (S1) is computed to L2 = 2,160 (i.e., $51 \le n \le 2,160$). EGM2008 (Pavlis et al. 2012) was used to provide the error degree variances of the EGM and $\sigma_n^2 \{\delta g_T\}$ are very crudely assumed to be 0.01 mGal^2 for all degrees in a band-limited implementation of Eq. (38). The example presented for Eq. (61) also removes polynomials to LI = 50 and applies a band-limited Vaníček–Kleusberg-type modifier (D5) to L2 = 100 (i.e., $51 \le n \le 100$) computed for a spherical cap radius of $\psi_0 = 10^\circ$.

As well as using band-limited modifiers, it is also possible to truncate the modified kernel to some degree *L3* that is commensurate with the spatial resolution of the data (cf. Colombo 1977), and which can also avoid aliasing of high-frequency errors (cf. Kern et al. 2003; Novák et al. 2003). Thus, Eqs. (12), (60), (61) and (62) are adapted to omit the spherical Hotine kernel that contains infinite degrees



(Eq. 5) and instead evaluate the kernel in bands that are driven by the perceived reliability of the EGM (LI), the types of modifications selected (L2) and the spatial resolution of the terrestrial gravity disturbances (L3). The overbar is used to distinguish these as the band-limited hybrid kernels.

$$\bar{H}_{D1} = \sum_{n=L,1+1}^{L3} \frac{2n+1}{n+1} P_n(\cos \psi)$$
 (63)

$$\bar{H}_{\text{D1+S1}} = \sum_{n=L1+1}^{L2} \frac{2n+1}{n+1} w_n P_n(\cos \psi) + \sum_{n=L2+1}^{L3} \frac{2n+1}{n+1} P_n(\cos \psi)$$
 (64)

$$\bar{H}_{\text{D1+D5}} = \sum_{n=L1+1}^{L2} \frac{2n+1}{2} h_n P_n(\cos \psi) + \sum_{n=L2+1}^{L3} \frac{2n+1}{n+1} P_n(\cos \psi) \quad (65)$$

$$\bar{H}_{\text{D1+S5}} = \sum_{n=L1+1}^{L2} \frac{2n+1}{2} s_n P_n(\cos \psi) + \sum_{n=L2+1}^{L3} \frac{2n+1}{n+1} P_n(\cos \psi) \quad (66)$$

Naturally, multiple bands and modifiers are possible by combining the above; again, the choices are left to the 'user' depending on their data source(s) and area(s) of interest.

The rate of convergence of the truncation error can be accelerated by setting the Hotine kernels to zero at the truncation radius, which removes the discontinuity. This zero can be achieved by simple subtraction or appropriate choices of L1, L2, L3, w_n , h_n and/or s_n , but noting that some require iteration and lessen the amount of control that the 'user' has over the filtering properties of the modifications. Another way to remove the discontinuity is to taper the kernel (cf. Forsberg et al. 2003), though this was not presented as a means to accelerate the convergence, but instead to avoid spectral discontinuities, which can lead to Gibbs fringing when transforming the kernel from the spatial to the spectral domain in FFT geoid computations.

In the most generic form that can be applied to any of the modified Hotine kernels, this is

$$H_* = \sum_{n=0}^{L3} \alpha_n \frac{2n+1}{n+1} \, m_n P_n(\cos \psi) \tag{67}$$

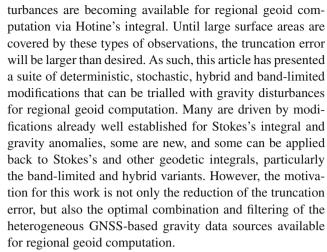
where H_* is the modified Hotine kernel, m_n are the modification coefficients (e.g., 1, w_n , h_n or s_n), and the tapering α_n can be implemented by the following, but other methods of tapering can be used according to the user's preference

$$\alpha_n = \begin{cases} 1 & \text{for } 0 \le n < l_1 \\ (L3 - n)/(L3 - l_1) & \text{for } l_1 \le n \le L3 \\ 0 & \text{for } n > L3 \end{cases}$$
 (68)

where l_1 is chosen by the 'user'. Tapering could also be used if a combination of the modified kernels causes other discontinuities.

6 Closing remarks

As more and more terrestrial (land, marine and airborne) gravity observations are coordinated by GNSS, gravity dis-



These various modifications to Hotine's kernel have been presented in a deliberately non-prescriptive manner so that the 'user' has total freedom to experiment with their combinations and permutations, provided that terms are not omitted, which will result in an incomplete spectral representation of the geoid model. It is suggested, but not necessarily recommended, that (1) D1 modifications (Sect. 3.1) are applied routinely because of the superior data now being provided by GRACE and/or GOCE EGMs, (2) the stochastic modifiers can be applied when the 'user' is confident with estimates of the error spectra of the data or wishes consider a stochastic interpretation of the gravity field, and (3) the deterministic modifiers can be applied when there is no reliable information of the error properties of the data or the 'user' does not wish to consider a stochastic interpretation of the gravity field.

Acknowledgments I would like to thank the three anonymous reviewers, and the handling editor (Prof. Chris Jekeli) for encouraging me to add Appendix A. Disclaimer: The review in Appendix A is incomplete as not all the literature is available to me, such as defence reports or in languages other than English.

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Appendix A: Review and classification of modifications to Stokes's kernel

Partial reviews of the modifications to Stokes's kernel are given in Featherstone (2003b) and Ellmann (2005b). Featherstone (2003b) covers all the deterministic modifications with the exceptions of Sjöberg (2003a) and and Evans and Featherstone (2000). Ellmann (2005a) covers three stochastic modifiers by Sjöberg (1984b; 1991; 2003c). However, the "examples of use" column in Table 1 also includes some review materials.



Table 1 Review and classification of modifications to Stokes's kernel

Deterministic	Operation	Motivation	Examples of use
Molodensky et al. (1962)	Minimise the L_2 norm of the truncation error for the Stokes's (1849) kernel	Reduce the truncation error	Jekeli (1980a, 1981); Petrovskaya (1988); Petrovskaya and Pishchukhina (1990); Smeets (1994); Šprlák (2010)
Wong and Gore (1969), [also see de Witte 1967]	Remove low-degree Legendre terms from the spherical Stokes's kernel	Reduce the truncation error	Smeets (1994); Omang and Forsberg (2002); Ellmann (2005b); Šprlák (2010); Li and Wang (2011); Wang et al. (2011)
Ostach (1970); Meissl (1971)	Set the spherical Stokes's kernel to zero at the truncation radius by subtraction	Accelerate convergence of the truncation error	
Heck and Grüninger (1987)	Set the Wong and Gore (1969) kernel to zero at the truncation radius by choice of degree of modification, subtraction, or both	Accelerate convergence of the truncation error	
Vaníček and Kleusberg (1987); Vaníček and Sjöberg (1991)	Minimise the L ₂ norm of the truncation error for the Wong and Gore (1969) kernel	Reduce the truncation error	Vaníček et al. (1987, 1990); Kadir et al. (1999); Featherstone et al. (2004); Ellmann (2005b); Šprlák (2010); Li and Wang (2011)
Featherstone et al. (1998)	Set the Vaníček and Kleusberg (1987) kernel to zero at the truncation radius by subtraction, choice of degree of modification and/or cap radius, or both	Reduce and accelerate convergence of the truncation error	
Evans and Featherstone (2000)		Further accelerate convergence of the truncation error	None found
Stochastic	Operation	Motivation	Examples of use
			(1993); Denker et al. (2009); Li and Wang (2011)
Band limited	Operation	Motivation	Examples of use
Colombo (1977)	Band limit the kernel to the resolu- tion of the terrestrial data and apply a Molodensky-type modification	Lessen computational effort and reduce the truncation error	Sjöberg (2003a)
Li and Sideris (1994)	Remove banded Legendre terms	Reduce propagation of terrestrial gravity data errors	Li and Sideris (1994)
Featherstone (2003a)		Rely more on the geoid signal from	None found
Kern et al. (2003)	cations in different bands Use stochastic-deterministic modifications in a banded combination	satellite gravimetry Rely more on the geoid signal from satellite gravimetry and seek an optimal data combination	
Others	Operation	Motivation	Examples of use
Paul (1991)	Use of delta functions to reduce the truncation error	Local geoid determination	None found
Zelin and Zuofa (1992); Neyman et al. (1996)	Modification for a rectangular inte- gration domain instead of a spheri- cal cap	Reduce the truncation error	None found
Sjöberg (2005)	Inclusion of VCV matrices in a localised stochastic modification	Local geoid determination	None found



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