1	Cross-transmission is not the source of new Mycobacterium			
2	abscessus infections in a multi-centre cohort of cystic fibrosis			
3	patients			
4				
5	Running title: <i>M. abscessus</i> transmission in CF patients			
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27				
28	Keywords: Nontuberculous mycobacteria, whole-genome sequencing, transmission, cystic			
29	fibrosis, phylogenomics.			
30				
31				
32	Key points:			
33	• Whole genome sequencing should replace current molecular typing used routinely in			
34	clinical microbiology laboratories.			
35	• Patient-to-patient spread of <i>M. abscessus</i> is not common.			
36 37	• Environmental screening may provide a better understanding acquisition of <i>M. abscessus</i> infections.			

38 Abstract

39 **Background:**

Mycobacterium abscessus is an extensively drug resistant pathogen that causes pulmonary 40 41 disease particularly in cystic fibrosis (CF) patients. Identifying direct patient-to-patient transmission of *M. abscessus* is critically important in directing infection control policy for the 42 management of risk in CF patients. A variety of clinical labs have used molecular epidemiology 43 44 to investigate transmission. However there is still conflicting evidence as to how *M. abscessus* 45 is acquired and whether cross-transmission occurs. Recently labs have applied whole-genome 46 sequencing (WGS) to investigate this further and in this study we investigate whether WGS 47 can reliably identify cross-transmission in *M. abscessus*.

48 Methods:

We retrospectively sequenced the whole genomes of 145 *M. abscessus* isolates from 62 patients
seen at four hospitals in two countries over 16 years.

51 **Results:**

We have shown that a comparison of a fixed number of core single nucleotide variants (SNVs) alone cannot be used to infer cross-transmission in *M. abscessus* but does provide enough information to replace multiple existing molecular assays. We detected one episode of possible direct patient-to-patient transmission in a sibling pair. We found that patients acquired unique *M. abscessus* strains even after spending considerable time on the same wards with other *M. abscessus* positive patients.

58 **Conclusions:**

59 This novel analysis has demonstrated that the majority of patients in this study have not 60 acquired *M. abscessus* through direct patient-patient transmission or a common reservoir. 61 Tracking transmission using WGS will only realise its full potential with proper environmental 62 screening as well as patient sampling.

63 Background

Mycobacterium abscessus (recently renamed as Mycobacteroides abscessus) [1], is a group of 64 65 three closely related subspecies *M. abscessus* subsp. *abscessus*, *M. abscessus* subsp. massiliense and M. abscessus subsp. bolletii [1,2]. These rapidly-growing, non-tuberculous 66 mycobacteria cause chronic pulmonary disease, particularly in patients with cystic fibrosis 67 (CF) and other chronic lung diseases. M. abscessus is an important pathogen that has emerged 68 69 in the CF patient population that has been associated with poor clinical outcomes, especially 70 following lung transplantation [3–5]. This is due, at least in part, to the extensive antibiotic resistance that makes infections with this organism difficult to treat [2,6]. CF patients infected 71 72 with *M. abscessus* are frequently not listed for transplant, therefore the acquisition of this 73 pathogen is considered to be a serious complication in this group.

74

75 The epidemiology of *M. abscessus* strains has been studied using Variable Nucleotide Tandem Repeats (VNTR) and Multi Locus Sequence Typing (MLST) [7]. The clustering of globally 76 spread sequence types was confirmed with whole genome sequencing (WGS) and has provided 77 78 greater resolution in how the various lineages are related as well as predicting possible 79 transmission routes [8,9]. A dominant method of transmission of *M. abscessus* remains 80 contested [10,11], with evidence for and against patient-to-patient transmission being the 81 common route [8,12-14]. M. abscessus is ubiquitous in the environment with its niche hypothesised to be free-living amoeba [15,16], but due to the difficulties in isolating the 82 83 organism, little has been done to track environment-to-patient acquisition. Confirmation of direct patient-to-patient transmission is important as it influences management of high-risk 84 patients it could increase the effectiveness of infection control interventions by directing the 85 use of limited resources. 86

87

88	In this retrospective study we assessed utility of using WGS to characterise subspecies,
89	antimicrobial resistance (AMR) profiles and typing of <i>M. abscessus</i> isolates. We also wanted
90	to utilise the data to investigate the scale of patient-to-patient transmission and whether
91	identification of single nucleotide variants (SNVs) by WGS can confirm transmission. To do
92	this we have sequenced the genomes 145 M. abscessus clinical isolates from a well
93	characterised cohort of 62 patients from four hospitals in two countries over 16 years.

94 Methods

95 Patients and Samples collection

96 We collected 33 *M. abscessus* isolates from 30 patients at Hospital de la Santa Creu I Sant Pau 97 (bcn hsp), Hospital Clínic (bcn hcl) and Hospital Vall d'Hebron (bcn hvh), Barcelona, Spain and 112 isolates from 32 patients from Great Ormond Street Hospital (ldn gos), London, UK 98 99 (Supplementary table 1). Demographic and patient location data were obtained from the patient 100 administration system and microbiological data from the laboratory information management 101 system using SQL and Excel spreadsheets. Additional sources of information included CF and 102 transplant databases. American Thoracic Society consensus guidelines were used to verify 103 evidence of non-tubercuolous mycobacterial infection [17]. All investigations were performed 104 in accordance with the Hospitals Research governance policies and procedures.

105

106 DNA extraction and Whole-Genome Sequencing

One hundred and forty-five *M. abscessus* isolates from 62 patients were analysed using whole-107 108 genome sequencing. Briefly, DNA was extracted from all isolates as previously described [18] 109 with some modifications: DNA was extracted from all isolates using Qiagen EZ1 Blood extraction kit with a previous step of bead-beating (Qiagen, Crawley, United Kindom). Then 110 111 total DNA concentration was determined using a Qubit fluorometer (Thermofisher). Fifty 112 nanograms of DNA was prepared using Nextera Library Preparation kit (Illumina) and post-PCR clean-up was carried out using Ampure XP beads (Beckman). Library size was validated 113 114 using the Agilent 2200 TapeStation with Agilent D5000 ScreenTape System (Willoughby, Australia) and 150bp paired-end reads were sequenced on a NextSeq 550 system (Illumina). 115 116 Raw sequencing reads have been deposited on ENA (study accession PRJEB31559).

117

118 Multi Locus Sequence Typing (MLST) analysis

119	We used a custo	m bash script to extra	ct the alleles of the	he multi-locus sequence	typing (MLST)
120	profile from the	mapped reads to the r	eference genome	e. The MLST profile was	obtained using
121	the	Institut	Pasteur	MLST	database
122	(http://bigsdb.pa	steur.fr/mycoabscess	<u>ıs/mycoabscessu</u>	<u>s.html)</u> .	
123					
124	Read mapping	and variant calling			
125	Sequenced reads	s for all samples were	e first mapped to	<i>M. abscessus</i> subsp. <i>a</i>	bscessus ATCC
126	19977 using BB	Map v37.90 (Joint Ge	enome Institute).	Single nucleotide varian	ts (SNVs) were
127	called against th	ne reference genome u	ising freebayes v	1.2.0 [19] and variants	were filtered to
128	only include the	ose at sites with a n	napping quality	>30, a base quality >3	0, at least five
129	supporting reads	s, where the variant wa	s present on at le	ast two forward and reve	erse strand reads
130	and present at th	ne 5' and 3' end of at l	east two reads.		

131

132 **Phylogenetic analysis**

Potential regions of recombination were identified from the consensus genome sequences using Gubbins v2.3.1 [20]. Regions within the genome with low coverage (< 5x) were masked on a per sample basis and regions with low coverage across 75% of samples were masked across the entire dataset. A maximum likelihood tree was inferred from all samples using RAxML v8.2.4 [21] using a GTRCAT model with 99 bootstraps. Sub-species were identified for each sample based on their position upon this tree.

139

Separate sub-trees were also inferred for *M. abscessus* subsp. *massilense* sequences, as well as for *M. abscessus* subsp. *abscessus* ST-1 and ST-26 sequences. All samples in each sub-tree were mapped against a suitable reference. *M. abscessus* subsp. *massilense* str. GO 06 was used as the reference sequencing for study *massilense* sequences and the *de novo* assembly of the earliest ST-26 study sequence (ldn gos 2 520) was used as a reference for other ST-26

145 samples. *M. abscessus* subsp. *abscessus* ATCC 19977 was again used as the reference for ST-146 1 sequences as it is the same sequence type. All sub-trees were generated using the same 147 method outlined above, apart from ST-26 subtree, which did not use Gubbins but instead 148 variants were filtered if 3 SNVs were found within a 100bp window.

149

150 Sequence clusters

151 Sequence clusters to infer possible transmission were generated using three different methods 152 on each subtree. First we used a SNV threshold that was based on the upper bounds of all within 153 patient diversity applied to complete linkage hierarchical clustering based on pairwise SNV 154 matrix. Secondly we assigned clusters using the R package rPinecone as it incorporates SNV thresholds and root-to-tip distances and so has been useful when applied to clonal populations 155 156 [22]. Lastly we also used hierBAPS [23] to assign clusters, however due to the fact that all 157 samples are included in the sequence clusters we found it was not appropriate for this study question. We made the assumption that any strains taken from different patients that were 158 159 within sequence cluster constituted a possible transmission event.

160

161 *De novo* assembly

All samples underwent *de novo* assembly of bacterial genomes using SPAdes and pilon wrapped in the Unicycler v0.4.4 package [24]. Assembled contigs were annotated using prokka v1.13 [25] and comparison of the accessory genome was generated using roary v3.12.0 [26]. To generate a list of genes that could be used to differentiate isolates we filtered the annotated genes to remove coding sequences (CDS) greater than 8000 bp and less than 250 bp, as well as those only present in a single sample and those present in every sample.

168 **Results**

169 *M. abscessus* population distribution

We obtained whole genome sequences for 145 *M. abscessus* isolates from 62 patients. Thirtythree *M. abscessus* from Barcelona subdivided into 24 *M. abscessus* subsp. *abscessus*, two *M. abscessus* subsp. *bolletii and* seven *M. abscessus* subsp. *massiliense*. A hundred and twelve *M. abscessus* from UK subdivided into 78 *M. abscessus* subsp. *abscessus*, one *M. abscessus* subsp.

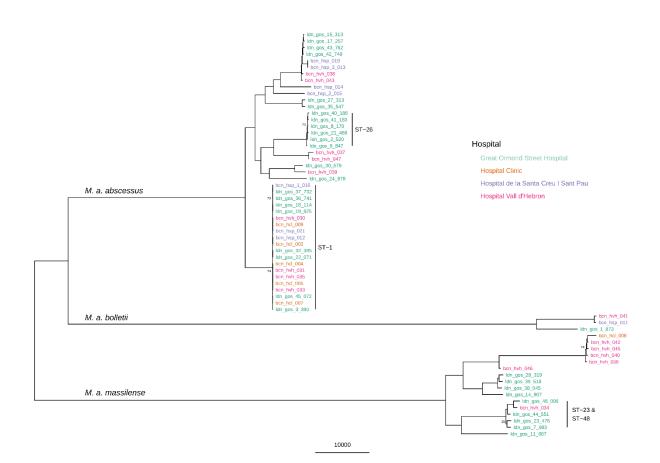
- bolletii and 33 M. abscessus subsp. massiliense. Sample MLST definitions, VNTR and AMR
- associated mutations are shown in supplementary table 2.
- 176

177 Possible transmission within *M. abscessus* clusters

178 To confirm possible transmission between patients we required their isolate genomes to be 179 clustered together by two independent methods and epidemiological evidence that both patients 180 were at the same hospital during the same time period. Using WGS data we inferred a phylogenetic tree from reference genome SNV matrix for all patients (Figure 1). We observed 181 182 two low variant clusters of isolates that corresponded to ST-1 and ST-26 Pasteur MLST profiles (VNTR II and I respectively), as well as other closely related *M. abscessus susp. massilense* 183 184 isolates between patients. We used a SNV matrix from mapping against a reference (M.abscessus subsp. abscessus ATCC19977), as well as hierBAPS and rPinecone to predict 185 sequence clusters. The sequence clusters generated from the single reference SNV matrix 186 provided no further information than the MLST profiles, and in many cases provided spurious 187 188 findings with large groups of isolates clustered with no epidemiological link (Supplementary Figure 1). This included large sequence clusters relating to a single MLST type which included 189 190 isolates from different hospitals and countries.

Mapping to a single reference genome led to the inability of a single SNV cut-off, or model, to exclude unrelated isolates from sequence clusters because the number of pairwise SNV distances varied greatly between both subspecies and specific lineages which (Figure 2). For

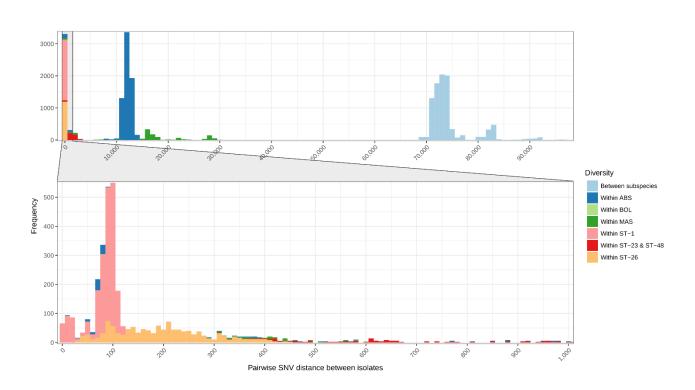
- 194 example, the pairwise median (interquartile range) SNV distance between just ST-1 isolates
- 195 was 73 (62 81) compared to 29589 (27701 63703) for all *M. abscessus subsp. abscessus*
- 196 isolates. The same differences were seen in *M. abscessus subsp. massilense* as well with a
- 197 pairwise median (IQR) SNV distance between ST-23 and ST-48 isolates of 2084 (960 7274)
- 198 compared to 70545 (59947 71891) across all isolates from the subspecies.



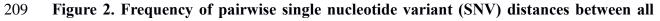
199

200 Figure 1. Maximum likelihood single nucleotide variant (SNV) tree using only the earliest

isolated sample from all 62 patients. SNVs were identified from mapping reads to
ATCC19977 *M. abscessus subsp. abscessus* reference genome. Sample names are highlighted
in colour based on what hospital they were isolated from: Great Ormond Street Hospital,
London, UK, Hospital Clínic, Barcelona, Spain, Hospital de la Santa Creu i Sant Pau,
Barcelona, Spain, and Hospital Vall d'Hebron, Barcelona, Spain. The scale bar represents the
number of single nucleotide variants and node bootstrap scores below are shown if below 75.



208



210 **isolates.** SNVs were identified from mapping sequence reads to *M. abscessus* subsp. *abscessus*

211 ATCC19977. The full plot includes all samples while the bottom subsidiary plot only includes

212 isolates that have a pairwise difference between zero and 1000 SNVs.

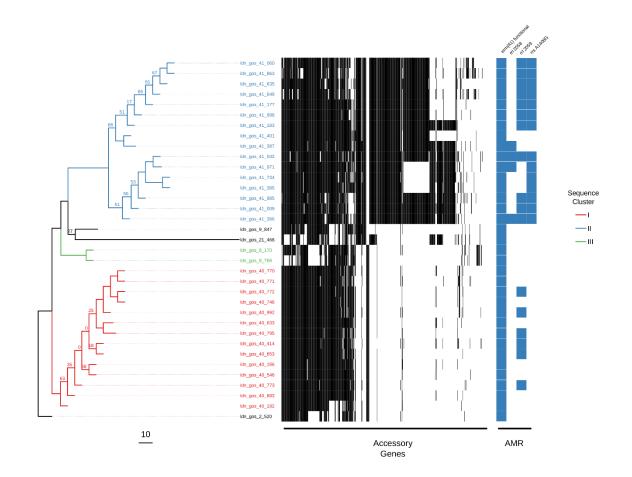
213

214 Sub-tree sequence clusters

215 The variation in the scale of diversity within subspecies and sequence type hampered efforts to 216 capture possible transmission events. In order to improve accuracy of sequence clustering, 217 multiple sub-trees were made for closely related isolates using a more suitable reference 218 sequence. We separated *M. abscessus subsp. abscessus* and *M. abscessus subsp. massilense* 219 isolates, as well as further sub-trees for ST-1 (VNTR II), ST-26 (VNTR I) and ST-23/ST-48 (VNTR III) isolates. We also integrated the presence of accessory genes when interrogating 220 221 possible sequence clusters for transmission (Figures 3, 4 & 5). Sequence clusters were assigned 222 for each sub-tree using both a single SNV threshold (Supplementary Figure 2) and rPinecone. 223 Overall we found that predicting transmission from the sub-trees reduced the number of 224 different patients clustered together from 46 to 19 and the number of possible sequence clusters 225 suggesting patient-to-patient transmission from 11 to seven.

226 A total of 18 sequence clusters (I – XVIII) were identified (listed in supplementary table 2), 15 227 of these were within the sub-trees (I - XV), and seven clusters contained samples from more 228 than one patient (IV, V, VI, VIII, XIV, XVI & XVII). All sequence clusters contained isolates 229 from a single country with no evidence of international transmission. We found no evidence of 230 transmission between patients within ST-26. (Figure 3). Within ST-1, four clusters (IV, V, VI 231 and VIII) containing samples from more than one patient were found. Three of these clusters 232 (IV, V and VI) contained isolates from nine patients from multiple hospitals within Barcelona. 233 Only two of these patients were in hospital during the same time period (cluster VI: 234 ben hel 009 and ben hvh 30), but both were treated in different hospitals. Cluster VIII 235 suggested transmission between two patients (ldn gos 18 and ldn gos 19) who were siblings 236 with previously assumed either direct transmission or common reservoir [13] (Figure 4). A 237 single cluster (XIV) containing samples from two patients (ldn gos 46 and ldn gos 7) was found among ST-23 isolates. However the two strains were isolated from samples taken nine 238 239 years apart (Figure 5). Patient ldn gos 7 was already positive for *M. abscessus* on first

- admission to GOSH, and the two patients were present at the lung function lab within a month
 of each other on two occasions, but never in the same location at the same day, and never
 admitted to the same ward.
- 243 All samples found within their respective clusters also contained similar accessory gene
- 244 profiles with the median (IQR) shared percentage of accessory genes within a sequence cluster
- being 89% (79% 94%) compared to 18% (12% 37%) for isolates not in the same sequence
- cluster.
- For the 32 GOSH CF patients included in the study, 16 became infected with *M. abscessus*
- 248 after their first visit to clinic (Supplementary Table 1), however transmission confirmed by both
- 249 WGS and epidemiological data could only be identified in one case (gos_19) thus suggesting
- a different route of acquisition for the rest of these patients.

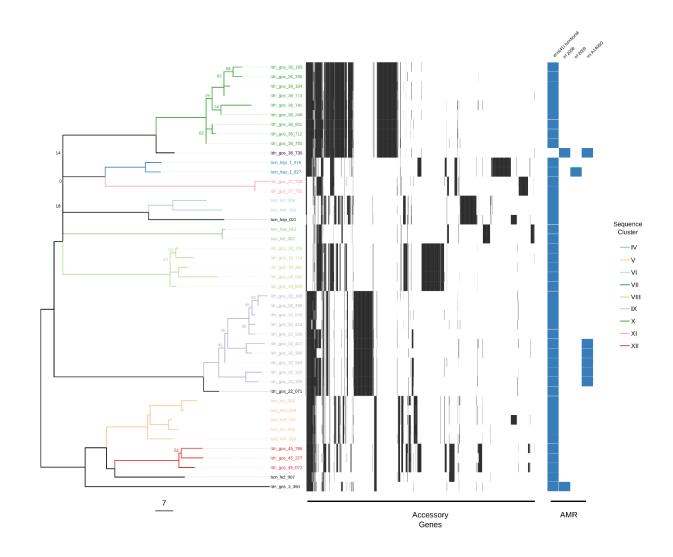


251

Figure 3. Maximum likelihood single nucleotide variant (SNV) tree for all ST-26 isolates. SNVs were identified from mapping reads to a de-novo assembled study isolate genome

 $(ldn_gos_2_520)$. Samples are highlighted based on inclusion in sequence clusters. The tree is annotated with the presence (black) and absence (white) of accessory genes as well as the presence of AMR associated genes and mutations. This included presence of a functional *erm(41)* gene conferring inducible resistance to macrolides, presence of two *rrl* mutations conferring high level macrolide resistance and the presence of mutation in *rrs* conferring high level amikacin resistance. The scale bar represents the number of single nucleotide variants and node bootstrap scores below are shown if below 75.



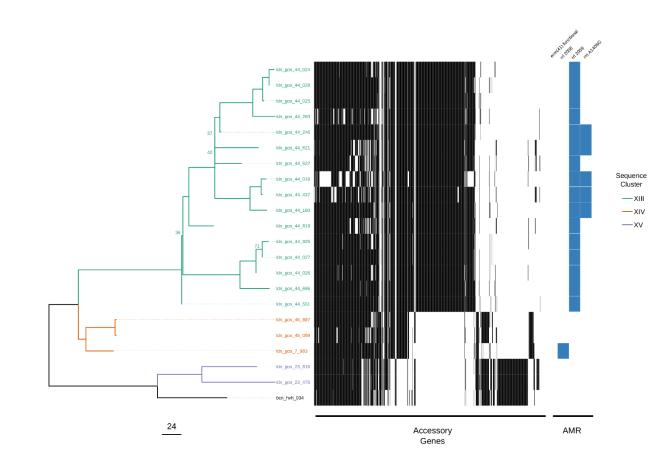


261

262 Figure 4. Maximum likelihood single nucleotide variant (SNV) tree for all ST-1 isolates.

SNVs were identified from mapping reads to *M. abscessus* subsp. *abscessus* ATCC19977. 263 Samples are highlighted based on inclusion in sequence clusters. The tree is annotated with the 264 presence (black) and absence (white) of accessory genes as well as the presence of AMR 265 266 associated genes and mutations. This included presence of a functional erm(41) gene conferring 267 inducible resistance to macrolides, presence of two *rrl* mutations conferring high level macrolide resistance and the presence of mutation in rrs conferring high level amikacin 268 269 resistance. The scale bar represents the number of single nucleotide variants and node bootstrap scores below are shown if below 75. 270





271

272 Figure 5. Maximum likelihood single nucleotide variant (SNV) tree for all ST-23 and ST-

48 isolates. SNVs were identified from mapping reads to *M. abscessus* subsp. massilense GO 273 274 06. Samples are highlighted based on inclusion in sequence clusters. The tree is annotated with the presence (black) and absence (white) of accessory genes as well as the presence of AMR 275 associated genes and mutations. This included presence of a functional erm(41) gene conferring 276 inducible resistance to macrolides, presence of two rrl mutations conferring high level 277 278 macrolide resistance and the presence of mutation in rrs conferring high level amikacin 279 resistance. The scale bar represents the number of single nucleotide variants and node bootstrap scores below are shown if below 75. 280

281 **Discussion**

282 This study has shown that whole genome sequencing of *M. abscessus* isolates can determine 283 sub-species, identify previously reported AMR associated mutations and provide common 284 typing definitions in a single workflow. This single method can replace the multiple existing 285 molecular assays used in clinical microbiology laboratories to provide the same information 286 and could be used to predict novel resistance variants [27]. We used the WGS data to investigate the likelihood of cross-transmission and found 43 (69%) patients had unique isolates that did 287 288 not cluster with other patients. We identified seven sequence clusters from the remaining 19 289 patients but only one pair of patients (ldn gos 18 and ldn gos 19) had a plausible 290 epidemiological link to support possible patient-to-patient transmission, as they were siblings. 291 All other patients with genetically similar strains were either isolated in different countries, 292 different hospitals or isolated from samples that were taken years apart, making direct 293 transmission of these strains extremely unlikely.

Every *M. abscessus* isolated from a GOSH patient was sequenced and so the dataset generated 294 295 represents a complete picture of *M. abscessus* infection in this hospital, which is vital for 296 inferring transmission. Most of these patients were only attending clinics at GOSH, therefore 297 this study has captured all of their *M. abscessus* isolates and they are unlikely to have been in 298 contact with *M. abscessus* positive patients at other hospitals (Supplementary table 1). 299 Therefore, if direct patient-patient transmission was occurring frequently we would expect to see evidence of it here. In contrast to this we found that the majority of patients in this study 300 301 had unique strains and the majority of sequence clusters were multiple isolates from the same 302 patients. This study confirms previous findings that despite many M. abscessus negative 303 patients spending considerable time on the same wards as patients with ongoing *M. abscessus* 304 infections they did not subsequently acquire genetically similar isolates.

305 We have therefore found that a fixed number of SNVs cannot be reliably used to infer cross-306 transmission across all *M. abscessus* isolates as there seems to be irreconcilable differences in

307 the substitution rate between both sub-species and dominant clones. These difficulties are 308 similar to those seen in Legionella pneumophila outbreaks where the majority of cases can 309 belong to only a few sequence types [26]. L. pneumophila can also display different scales of 310 genetic diversity within different sequence or genotypes and so it is also recognised that a single SNV threshold cut-off will not provide sufficient discriminatory power [27]. When using WGS 311 312 to infer relatedness in *M. abscessus* there has previously been an attempt to find an absolute 313 threshold which can rule in or rule out strains into a transmission event. This has previously 314 been placed as below 25-30 SNVs [8,14,28,29]. From our findings we would advocate using a 315 suitable genetically similar reference sequence when carrying out core genome SNV calling, 316 especially for the dominant clones such as ST-1 and ST-26. There is a large amount of variation 317 within the genomes of M. abscessus [30] and so the use of a single reference such as M. 318 abscessus subsp. abscessus ATCC 19977 will mask many differences between strains and 319 generate spurious clusters of genetically similar sequences. Where a suitable reference is not available we recommend using a high quality draft de-novo assembly of the first isolated 320 321 sample to compare other isolates against as in the example of the ST-26 samples in this study (Figure 3). 322

In addition to core genome SNV analysis we have also found the integration of accessory 323 324 genome information is a useful indicator of relatedness within M. abscessus isolates that can be used to further interrogate assigned sequence clusters. Generally there was good 325 326 concordance between the proportion of putative genes shared and the SNV distance between 327 two samples. This is helped by using a closely related reference sequences to map sequence 328 reads against. We have seen in this study, and previously [31], diversity in the accessory 329 genome profiles as well as in the number of SNPs and AMR associated mutations taken from 330 multiple samples from the same patient on the same day. However we have always found inter-331 patient diversity to be greater than that seen within the same patient. This would suggest that 332 any direct transmission between patients of even minority populations would still be identified

333 by WGS and, taken together, the data suggests that person-to-person transmission of M. abscessus in paediatric patients in our institution is very uncommon. In this study we have an 334 335 example of two patients with transmission predicted by genomic epidemiology (ldn gos 7 and 336 ldn gos 46) that had attended a lung function laboratory on three occasions within a month of each other. In this case, the only way transmission could have occurred is if ldn gos 7 who 337 338 was already infected contaminated the environment and this then transmitted to ldn gos 46. 339 The predominant view [8] that human-to-human transmission occurs via contamination of 340 fomites by respiratory secretions could explain this, although no other instances of this 341 appeared to have occurred, despite numerous other CF patients attending the unit over many 342 years. What is harder to explain is that for this to be the case, the interval between exposure and culture positivity was nine years. It could be that *M. abscessus* remains present but 343 344 undetectable by conventional methods for this time period, or intriguingly could cause latent infection, like what occurs with Mycobacterium tuberculosis. To the best of our knowledge, 345 this has never been a demonstrated part of the pathogenesis of *M. abscessus* infection, and 346 347 maybe worthy of further investigation.

In agreement with previous studies we have found an international distribution of *M. abscessus* dominant clones [8]. We have found WGS to be useful to confirm whether different patient's strains are unrelated, even within the dominant clones, but it has been far more difficult to reach definite conclusions about cross-transmission. Without environmental samples we cannot rule out the possibility of intermediate sources of infection and so WGS as a tool for tracking crosstransmission in *M. abscessus* will only realise its full potential with proper screening of environmental sources alongside longitudinal patient sampling.

355

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364	Hospital de la Vall d'Hebron, respectively.					
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Supplementary material

Supplementary table 1. Study patient information.

Supplementary table 2. Information on all individual *M. abscessus* isolates included in this study.

Supplementary Figure 1. Maximum likelihood single nucleotide variant (SNV) tree for all isolates in this study. The tree is annotated with sequence clusters that are defined either by (from left-to-right) MLST, SNV threshold, hierBAPS and rPinecone as well as the presence of AMR associated gene and mutations. This included presence of a functional erm(41) gene conferring inducible resistance to macrolides, presence of two rrl mutations conferring high level macrolide resistance and the presence of mutation in rrs conferring high level amikacin resistance. The scale bar represents the number of single nucleotide variants and node bootstrap scores below are shown if below 75.

Supplementary Figure 2. Frequency of pairwise single nucleotide variant (SNV) distances between samples after sub-tree analysis. Figure 3A shows pairwise differences from the ST-1 subtree. Figure 3B shows pairwise differences from the ST-26 subtree. Figure 3C shows pairwise differences from the ST-23 and ST-48 subtree.