

8 'as-damaged' point cloud data and 'as-built' models. Yet research efforts to develop
9 and rigorously test appropriate methods are seriously hampered by the obvious
10 scarcity of access for researchers to earthquake-damaged buildings for surveying
11 specimens and hence the lack of terrestrial laser scanning data of post-earthquake
12 buildings. Full- or reduced-scale physical models of building components can be built
13 and damaged using a shaking table or other structural laboratory equipment, and these
14 can be scanned, all at reasonable cost. However, equivalent full-scale building
15 samples are unavailable. The solution is to synthesize accurate and representative data
16 sets. A computational approach for compiling such data sets, including BIM modeling
17 of damaged buildings and synthetic scan generation, is proposed. The approach was
18 validated experimentally through compilation of two full-scale models of buildings
19 damaged in earthquakes in Turkey.

20 **Author keywords:** Building Information Modeling; Earthquake damage; Damaged
21 building; Experimental dataset; Laser scanning emulator; Synthetic point clouds.

22 **Subject headings:** Building Information Modeling; Damage; Earthquake; Surveys.

23 **1 Introduction**

24 In the Search and Rescue (S&R) phase after an earthquake, rescue teams require
25 detailed information about the location and shape of voids in buildings where
26 survivors may be trapped and any possible pathways to reach them (Tiedemann 1992).
27 For the subsequent Reconstruction & Recovery (R&R) phase, inspectors need
28 information about the deformation and displacement that building components have

29 sustained in order to assess the damage.

30 The Federal Emergency Management Agency guide to earthquake damage
31 assessment, FEMA 306 (1998) details what information should be collected and how
32 it should be documented in a survey process. However, the conventional procedure is
33 laborious and time-consuming. A more efficient and effective survey technology is
34 needed, especially given the emergent and hazardous environment. The need arises to
35 rapidly and safely gather information regarding the geometry and placement of
36 damaged building components.

37 At the level of detail of the structure as a whole, airborne laser scanning
38 technology has been applied in post-earthquake responses for identification of
39 damaged buildings (P. L. Dong and Guo 2012; Liu et al. 2013) and for classification
40 of the buildings according to the type of damage sustained (L. G. Dong and Shan
41 2013). However, in the use case of S&R and R&R, higher resolution is required for
42 identifying damage at the level of detail of individual building components, and this
43 cannot be achieved with airborne laser scanning.

44 Terrestrial laser scanning (TLS) and 3D photogrammetry can provide
45 high-resolution 3D point clouds from convenient locations near to a damaged building.
46 TLS has been tested with fairly good results for the case of buildings damaged in a
47 tornado (Kashani et al. 2014), although the procedure did not extend as far as
48 reconstructing BIM models of the post-disaster structures. Using videogrammetry
49 rather than laser scanning, German et al. (2013) developed an approach based on

50 real-time analysis of video frames to identify the cracks in concrete columns and other
51 structural elements. Torok et al. (2014) proposed an unmanned robotic platform
52 equipped with 3D camera to identify cracks on structural elements.

53 In these examples, the goal is restricted to identifying damage but not to
54 reconstructing models. Therefore, in an attempt to meet the need for reconstructed
55 BIM models that provide detailed information about a damaged building, including
56 the geometry and semantics of interior elements and voids, a team at the Technion
57 engaged in research to develop a system that can reconstruct an 'as-damaged' BIM
58 model on the basis of an 'as-built' model and point cloud data describing the
59 post-earthquake condition of the building (Zeibak-Shini, Sacks, and Filin 2012; Bloch
60 2014). However, this effort was severely restricted by the lack of available point cloud
61 data and specimens of buildings that have suffered earthquake damage. Unlike the
62 case of airborne and space-based imagery, where extensive datasets are provided
63 online by various government agencies and NGOs after earthquake events, such as
64 OpenTopography (Krishnan et al. 2011), no similar TLS datasets are available.

65 To overcome this problem, we have developed a computational approach to
66 synthesize accurate and representative data sets that include 'as-built' BIM models,
67 terrestrial laser scan point cloud data, and 'as-damaged' building models that can be
68 used for rigorous testing of the above-mentioned methods. The following section
69 describes the workflow of the research and development (R&D) of the overall
70 'Scan-to-BIM' system, highlighting the challenges faced due to the lack of

71 'as-damaged' data for experimentation. In section 3 and 4, two methods for preparing
72 'as-damaged' models are compared. Section 0 presents two full-scale cases where
73 'as-damaged' scans and models are produced using the proposed approach, and section
74 6 describes validation of the synthetic scans from one case by application of the first
75 step of the 'Scan-to-BIM' process.

76 **2 Workflow and Challenges in the Earthquake 'Scan-to-BIM' R&D**

77 The first prerequisite for the R&D is to prepare an 'as-damaged' model for testing
78 and validating the 'Scan-to-BIM' system. For obvious reasons, the experimental
79 research cannot be performed 'in-situ' within the context of a real earthquake. Seismic
80 response research therefore relies on earthquake shaking tables or computer
81 simulations.

82 Building a large-scale shaking table for physical earthquake experiments (Kasai
83 et al. 2010; van de Lindt et al. 2010; Panagiotou, Restrepo, and Conte 2010) takes
84 many years and requires very large investments. For example, the world's largest
85 shaking table, E-defense (Ohtani et al. 2003), took 5 years to build; building the large
86 high performance outdoor shaking table (LHPOST) (Conte et al. 2004) cost \$5.9
87 million. Most researchers cannot afford such full-scale seismic damage simulation
88 platforms. Thus a more practical approach for preparing damaged specimens is
89 needed.

90 The second prerequisite is to scan specimens using LiDAR (Light Detection and
91 Ranging) equipment. In order to obtain a panoramic view of the complete model,

92 several aspects of the target structure should be scanned. The multiple scans from
93 different viewpoints can be combined into one scene in the same global reference
94 frame (termed registration) as a function of the placements of the scanner and the
95 layout of auxiliary targets (Becerik-Gerber et al. 2011). In addition, the accuracy of
96 the acquired point cloud is affected by the noise and outlier background data (Eo et al.
97 2012). Much research has focused on the data pre-processing problems, which can be
98 minimized by the use of laser scanners with a) geo-referencing capability, such as
99 highly accurate GPS, so that registration of multiple scans could be easily performed
100 (Previtali et al. 2014); and b) with flexible control on the point cloud properties, such
101 as accuracy of point position and distance, precision of modelled surface against noise,
102 spot size, point spacing (Lichti, Gordon, and Tipdecho 2005) etc.

103 Together with the captured 'as-damaged' state of the specimen, the 'as-built' state
104 of the building is also required for change detection. The geometry, the material,
105 component classification and other semantic information are all required in damage
106 assessment. Given that BIM is a well-accepted technology for modeling 'as-built' and
107 'as-designed' states of a building (Eastman et al. 2011), an 'as-built' BIM model is
108 compiled in the process of the experimental research.

109 **3 Preparation of Real Models and Scans**

110 Confronted with the challenges described above, we tested two approaches to
111 prepare 'as-damaged' models and scans. The first approach used real full-scale
112 specimens, albeit not of whole buildings, but rather individual building elements and

113 small frames. The second approach was computational, using BIM software and a
114 custom-built laser scanner emulator to compile synthetic point clouds of 'as-damaged'
115 building models. This section of the paper describes the former approach, and section
116 4 describes the latter.

117 **3.1 Preparing Experimental Specimens**

118 The damaged specimens resulted from earlier research in the structures laboratory
119 at the National Building Research Institute (NBRI) at the Technion in which seismic
120 loads were applied to simple reinforced concrete beams and frames. Two available
121 specimens were selected for experimentation. One is a reinforced concrete beam and
122 the other is a reinforced concrete frame wall with autoclaved cement block infill. Both
123 specimens had sustained some damage. As shown in Fig. 1, the beam was mainly
124 damaged by bending, whereas the frame sustained cracks, shearing and bending in the
125 infill, beams and columns respectively (Schwarz, Hanaor, and Yankelevsky 2008).

126

127 **Fig. 1.** Reinforced concrete specimens tested at NBRI: (a) a damaged reinforced
128 concrete beam and (b) a damaged reinforced concrete frame wall with autoclaved
129 cement block infill.

130 **3.2 Modeling the 'As-Built' BIM Model of the Specimen**

131 In order to provide the 'as-built' information, BIM models of the undamaged
132 beam and frame were compiled based on the shop drawings, as is shown in Fig. 2.
133 The reinforced concrete frame wall was composed of basic elements: columns, beams

134 and a panel. Following damage, changes occur to those elements, their state, form,
135 location, and connections to their neighbors.

136

137 **Fig. 2.** Preparation of as-built BIM model: (a) 2D drawing of the reinforced concrete
138 beam; (b) 2D drawings of the reinforced concrete frame; (c) 'As-built' BIM model of
139 the beam; (d) 'As-built' BIM model of the frame

140 **3.3 Field scanning of damaged structures**

141 A Leica ScanStation C10 (2014) was used to perform the field scanning. The scan
142 rate is up to 50,000 points/sec. The accuracy of a single range measurement is $\pm 4\text{mm}$
143 in range and $\pm 6\text{mm}$ in position. The scanning field of view is 360° horizontally and
144 270° vertically. Scanning a specific and small structure using the 360° scanning
145 application is inefficient. Instead, a more efficient and time saving technique is used
146 where the structure is targeted using the scanner's camera and a scanning window is
147 defined with maximum and minimum scanning angles in both directions (vertical and
148 horizontal). Such a scan takes only a few minutes. The acquired point clouds are
149 shown in Fig. 3.

150

151 **Fig. 3.** Point clouds of (a) the beam and (b) the wall frame.

152 The major challenge in preparation of real experimental specimens and scans is
153 that the majority of researchers cannot afford facilities for full-scale earthquake
154 simulations. Furthermore, experiments carried out on the simple damaged specimens

155 are fairly limited and cannot guarantee that a 'scan-to-BIM' protocol would provide
156 reliable results when applied to more complicated full-scale cases.

157 **4 Preparation of Synthetic Models and Scans**

158 Given the challenges in preparing 'as-damaged' models and scans of real
159 specimens and buildings, we propose a new computational procedure to provide
160 synthetic 'as-damaged' models and scans. The workflow of the procedure is shown in
161 Fig. 4 within the context of the overall earthquake 'Scan-to-BIM' system. The system
162 includes four kernel parts: a BIM handler for preparing the 'as-built' and 'as-damaged'
163 BIM models that serve as specimens in the experiments; a laser scanning emulator to
164 produce synthetic point cloud data of the same quality as would result from laser
165 scanning in the field; a point cloud processing step in which algorithms are developed
166 for automatic or semi-automatic compilation of the semantic 'as-damaged' BIM model;
167 and a model checking step to test the effectiveness of the processor by comparing the
168 two 'as-damaged' BIM models that are produced in steps one and three respectively.
169 Steps one and two are the subjects of this paper.

170

171 **Fig. 4.** BIM Modeling and Scan emulation steps (1 and 2) within the context of the
172 broad earthquake 'Scan-to-BIM' research process

173 **4.1 Modeling of Synthetic Damage**

174 The 'as-built' BIM model is the first prerequisite in the procedure. This is
175 straightforward to prepare, based on the building's design and construction drawings.

176 The next step is to compile a BIM model for the 'as-damaged' state of the building
177 using the same modeling approach. The authors (Ma, Sacks, and Zeibak-Shini 2014)
178 have proposed an extension to the IFC schema (BuildingSmart 2013) which lays the
179 groundwork for BIM modeling of the damaged building components. The IFC-based
180 data schema has the advantage that all the semantic information that describes
181 building components, including their identity, classification, material, etc., is well
182 defined. The extended part of the schema associates the 'as-built' BIM model with the
183 'as-damaged' model in a single exchange file and maintains a record of the progressive
184 damage process in the file.

185 Pending eventual adoption of the proposed schema extensions, standard objects
186 within commercial BIM tools can be used to model the damaged components, using
187 existing Boolean solid modeling functions. In this strategy, typical earthquake damage
188 modes of reinforced concrete building components (such as spalling, delamination,
189 bending and buckling, breaking, etc.) can generally be represented by using
190 successive solid clipping operations to mimic the progress of structural damage in
191 reinforced concrete components. With this approach, one can model the damaged
192 components in most commercial BIM software. The modeling approach is described
193 in the following paragraphs.

194 In BIM tools with solid modeling, the damaged objects can be built by clipping
195 the original 'as-built' objects with void components. The sharpness of the cracked
196 segment can be adjusted by manipulating the dimensions, location and orientation of

197 the void component, as is shown in Fig. 5. In other tools, the solid modeling is
198 implemented using functions such as ‘cut part with another part’. The cutting part can
199 be moved and rotated very precisely to the desired location and orientation. The
200 cutting part can then be deleted after the operation, leaving behind the void geometry.

201

202 **Fig. 5.** Modeling of damaged building components in BIM tools

203 One drawback of modeling the damaged building with these software tools is that
204 when creating damaged building components, each damaged segment is treated as a
205 new building component, so that the resulting segments are unrelated to the original
206 building components to which they correspond. The aggregation relationships
207 between the damaged segments and the original building components from which
208 they were derived are not modeled.

209 A second issue arises in BIM applications in which the functional classification of
210 a building component is dependent on its orientation in space. In Tekla Structures, for
211 example, a column is classified at run-time as a longitudinal element whose top point
212 lies directly above its bottom point. If a column is rotated away from the vertical
213 within the process of modeling its ‘as-damaged’ state, it is automatically reclassified
214 as a brace or a beam.

215 In order to fix these problems, we developed a software tool to edit the IFC file
216 exported from the BIM application software to correct the component type and to add
217 the aggregation relationship between the original model components and the

218 components of the damaged model. An example is illustrated in the following
219 paragraphs.

220

221 **Fig. 6.** The ‘as-damaged’ frame modeled in Revit.

222 First, the 'as-built'/'as-designed' BIM model (Fig. 2(d)) is compiled in Revit and
223 an IFC file of the model is exported. The GUID (Globally Unique Identifier) of each
224 original building component can be acquired from the IFC file. Next, by examining
225 the damage on site from photographs (Fig. 1(b)), the damaged building is also
226 modeled in Revit (Fig. 6). The body clipping operation automatically replaces the
227 original building components with new distinct building components, which represent
228 the damage resulting segments in geometry but have no relationship in semantics. As
229 is shown in **Fig. 7** (a), after clipping twice, the top beam becomes three distinct beams
230 in Revit, although it would be semantically correct if it were represented as one
231 damaged beam with three parts.

232 In order to correct this semantic problem and maintain the connection between
233 ‘as-built’ and ‘as-damaged’ models, the GUID of the original component, which was
234 acquired from the IFC file of the ‘as-built’ model, is entered into the ‘object type’
235 property of each of the corresponding new components in the ‘as-damaged’ Revit
236 model. The ‘as-damaged’ model is then exported to an IFC file. The ‘post-processor’
237 editing tool parses the IFC file, identifies components with the same ‘object type’
238 properties, extracts their shape representations, and assembles these sets of shapes

239 into single components in a new IFC file. In this new 'as-damaged' file all the
240 components have 1:1 correspondence with the original components, they have the
241 same GUID value, and they inherit all other semantic information from them. As
242 shown in **Fig. 7** (b), the three parts of the upper beam are assembled as one damaged
243 beam component. The IFC 'post-processor' tool was developed using IfcOpenShell
244 (2014), a 3rd party c++ library.

245

246 **Fig. 7.** Enrichment of the 'as-damaged' model: (a) 'As-damaged' model built in Revit;
247 (b) Aggregated geometry resulting from the custom-built post-processor

248 **4.2 Laser scanning emulator**

249 Another benefit of modeling synthetic 'as-damaged' BIM models is that digital
250 building models can be 'scanned' by a tailored emulator to generate synthetic point
251 cloud data by mimicking the mechanism of laser scanning in the field.

252 Ip and Gupta (2007) proposed a method to generate synthetic point clouds by
253 directly sampling points on visible surface primitives of a 3D CAD model. However,
254 they did not consider the fact that object surfaces are often partially or wholly
255 occluded, where the point sampling method will fail to give the right result. Bosche et
256 al. (2009) proposed a method to mimic the scanning process by transmitting virtual
257 laser beams to 'hit' the 3D CAD model. The generated synthetic point cloud data is
258 accurate and object occlusion is considered.

259 In this work, we build on Bosche et al. (2009)'s method but improve it in two

260 ways. First, their scanning process extracts triangular meshes from the 3D CAD
261 model, which results in very large data sets if the building model is composed of a
262 number of polyhedron objects. We merged all the connected and coplanar triangular
263 meshes into one single planar polygon, which decreases the number of meshes, thus
264 significantly reducing the computation complexity. Second, not all the faces of a 3D
265 object are visible in one scan. A cuboid, for example, has three faces at most that are
266 visible in any one scan. We filter out all the invisible faces before executing the
267 scanning process, which also reduces the number of primitives that needs to be
268 handled, consequently further reducing the computational complexity. These two
269 computational improvements are important because, when applying the synthetic scan
270 to a large scale building structure with high scanning resolution, millions of 3D points
271 are generated and this can take quite a long time.

272

273 **Fig. 8.** Workflow of the laser scanning emulator

274 The detailed workflow of the proposed laser scanning emulator is shown in **Fig. 8**.
275 The editing tool built using IfcOpenShell (2014) is used to parse the IFC file and
276 another tool, implemented using the Open CASCADE (2014) 3rd party c++ library, is
277 applied to convert the arbitrary shape representations of the building elements in the
278 IFC file to faceted boundary representation. This converts the BIM model to a set of
279 3D planar polygons that are encoded with the GUID of building components. Next,
280 the user picks a suitable viewport as the placement of the virtual scanner. If the angle

281 between the normal direction (pointing outwards) of a target facet and the inverse
 282 scanning direction (from the centroid of the target facet to the scanner) is greater than
 283 90 degrees, then this facet is classified as an invisible facet in advance. Only visible
 284 facets are used to perform the scan.

285 Next, the emulator 'transmits' virtual laser beams in all directions at uniformly
 286 spaced angle intervals, as shown in

287 Fig. 9. For each transmitted laser beam, all the potential intersected facets are
 288 traversed to compute the line-plane intersections. Only the closest intersection is
 289 added to the synthetic point cloud: others are occluded in the model. The range of the
 290 virtual scanner to the intersection point of a particular laser beam, r , is defined as
 291 follows:

$$292 \quad r = -d / (N^T \cdot [\cos \varphi \cos \theta \quad \sin \theta \quad \sin \varphi \cos \theta]^T) \quad (1)$$

293

294 **Fig. 9.** The emulator 'transmits' virtual laser beams

295 where N is the normal vector of the intersected facet, d is the scalar coefficient of
 296 the intersected facet, θ and φ are the tilt and pan angle of the laser beam.

297 Consequently, according to the transformation from spherical coordinates system to
 298 Euclidean coordinates system, the coordinates of the intersected point are derived as

299 follows:

$$300 \quad \begin{bmatrix} x \\ y \\ z \end{bmatrix} = r \begin{bmatrix} \cos \varphi \cos \theta \\ \sin \theta \\ \sin \varphi \cos \theta \end{bmatrix} \quad (2)$$

301 Each facet of 3D solid is (or approximates) a bounded plane surface, i.e., a planar

302 polygon. For a specific polygon, there's no need to traverse the laser beam in all
303 directions to 'hit' it. As a result, for each facet, the scope of pan and tilt angle in which
304 the transmitted laser beams may potentially intersect with objects of interest is
305 pre-calculated in the following way. First, the pan and tilt angles of the laser beams
306 that reach the vertices of the facet are calculated. Then, the corresponding min-max
307 pan and tilt angles among them are taken as the angle boundary of the target facet.
308 Given that all the visible facets are labeled with boundaries defined as angles, for a
309 specific transmitted laser beam, only the facets whose angle boundary covers the pan
310 and tilt angle of the laser beam are selected to locate the line-plane intersection. Note
311 that a consecutive set of laser beams that are included in an angle boundary form a
312 spherical wedge, as is shown in Fig. 10. However, no planar polygon that is included
313 in the spherical wedge can cover all the laser beams in the wedge. As a result, there
314 must be some line-plane intersections that are not included in the planar polygon on
315 the same plane. In other words, the laser beams included in the wedge may reach
316 some area outside the contour of the planar polygon, but still on the polygon's plane.
317 In this case, the line-plane intersection located by Eq. (1) will be a fake point in the
318 point clouds. In order to filter out those outliers, we developed a program to test
319 whether a point is included in a 3D polygon by extending PNPOLY (2014), which
320 works only for the case of 2D polygons.

321

322

Fig. 10. Pan and tilt angle boundary

323 The synthetic point clouds generated by the above algorithm have perfect
324 accuracy, unlike real scans, which are subject to inaccurate measurement. There are
325 different sources of inaccuracy in laser-scanned point cloud data (Boehler, Bordas
326 Vicent, and Marbs 2003), including both scanner induced inaccuracy (due to hardware
327 and software effects) and optical effects associated with the target (occlusions and
328 non-reflective surfaces).

329 Range and position measurement inaccuracy can be introduced into the synthetic
330 point cloud by adding noise (Gaussian distributed random numbers) to Eq.(1) and
331 Eq.(2) respectively. The magnitude of noise/inaccuracy can be controlled by
332 manipulating the standard deviation of a random number generator. Gaussian noise
333 widely exists in signal, image, video, etc., particularly when the sources of error are
334 independent. Although some sources of noise in scanning have been found to exhibit
335 some correlation (Sun et al. 2008), the resulting error in the inaccuracies ascribed to
336 the range differences by using Gaussian distribution are far smaller than the tolerances
337 used in the segmentation of the point clouds. As a result, Gaussian noise is chosen for
338 representing the range and position inaccuracy.

339 Occlusions can also be introduced into the synthetic point cloud by placing
340 'cluttering' model objects (e.g., trees, utility poles) in the field of view of the scanner
341 as part of the BIM model. Non-reflective surfaces are emulated simply by removing
342 any objects, such as those made of glass, from the BIM model. Glass window panes
343 thus appear as voids in the point cloud, just as they do in the real world (Pu and

344 Vosselman 2007).

345 Thus the operator/researcher has flexible control over the accuracy of point
346 clouds for different purposes. For example, when the objective of the experiment is to
347 validate the algorithm, the emulator can generate perfectly accurate data for testing,
348 when the objective is to develop a robust system, the emulator can generate noisy data
349 in a manner similar to the field scan. In addition, by manipulating the placement of
350 the virtual scanner, new synthetic point clouds can be generated in minutes. Since the
351 model is referenced in the coordinate system of the emulator, multiple scans are
352 naturally matched, so that a 'panorama' of the model can be easily compiled and no
353 registration work is needed.

354 **5 Full-scale Case Studies**

355 The EERI online repository (2014a) contains many data sets of buildings
356 damaged in earthquake events. The data includes 2D drawings of the damaged
357 buildings, photos of the pre- and post-event state of the buildings, etc. Two cases were
358 selected in which the drawings and photos contained sufficiently clear and detailed
359 information to allow understanding and modeling of the geometry of the building
360 before and after the earthquake.

361 The 'as-built' BIM models were prepared based on the 2D drawings and photos of
362 the original buildings, using both Autodesk Revit 2014 and Tekla Structures v20.0
363 software. The 'as-damaged' models were prepared based on the 'as-built' models and
364 by examining the site photos of the damaged buildings. For these cases, only the

365 structural frames and the masonry infill walls were modeled. Other components such
366 as doors and windows were not included in the models. Finally, the custom-built
367 scanner emulation software generated the synthetic point cloud data using the
368 'as-damaged' models.

369 The synthetic scanning process was performed in a manner similar to the way in
370 which the field scanning process would have been performed in the real
371 post-earthquake response. The scanning positions must be 'possible' in that they must
372 be performed from locations in which it is physically possible to place a scanner in
373 the field. To ensure this condition, viewpoints were chosen that corresponded to the
374 viewpoints of the various photographs available in the EERI database. The density of
375 the laser beams is adjustable by the user; different densities result in different
376 resolutions of the point cloud. Each scan took some minutes, depending on the
377 resolution selected.

378 **5.1 Case 1**

379 In the 2003 Bingol Earthquake, Turkey, magnitude 6.4, a school was damaged in
380 the city of Kaleonu. The building was built in 1999 and had a reinforced concrete
381 moment resisting frame. The typical column dimension was 300mm × 500mm and
382 the typical beam dimension was 300mm × 700mm. The infill walls were made of
383 hollow clay-tile masonry units with typical thickness of 250mm for internal walls and
384 400mm for external walls. The information regarding this building before and after
385 the earthquake was obtained from the website of EERI (2014b). A photograph of the

386 damaged building is shown in Fig. 11 (a). This building sustained heavy damage
 387 including a pancake collapse of the ground floor. The walls were partially
 388 delaminated and partially broken from the structure. The columns were broken and
 389 displaced from their original position. Slabs and beams sustained bending and were
 390 broken at several locations.

391 The 'as-built' and the 'as-damaged' BIM models are compared in Fig. 11 (c) and
 392 (d). Both were built in Tekla Structures v20.0. Some of the typical damaged
 393 components are listed in Table 1. The synthetic point cloud data was generated using
 394 our custom-built emulator software, and are shown in Fig. 11 (b).

395

396 **Fig. 11.** Preparation of the 'as-damaged' model for the damaged school: (a)
 397 Photograph showing earthquake damage to the school (EERI 2014b); (b) synthetic
 398 point clouds of the external facades of the damaged school; (c) as-built model of the
 399 school; (d) 'as-damaged' model of the school

400 **Table 1.** Typical damaged components in the school.

Building Component (numbering according to the notation in Fig. 11)	Component Type	Damage Description
1	Column	Portions missing, the remaining part is rotated
2	Column	Split into two distinct parts, both are rotated with small lateral deformation
3	Beam	Rotated and downward displaced of

		almost one floor
4	Beam	Broken into two distinct parts with downward displacement of almost one floor,
5	Masonry wall	Portions missing, blocks fallen out

401 **5.2 Case 2**

402 In the Kocaeli earthquake, Turkey, magnitude 7.6, August 17th 1999, a six-story
403 residential building was damaged. The building was approximately 18.0m high,
404 19.4m wide and 23.2 m long. The structural system consisted of reinforced concrete
405 moment frames in both directions and the floor system was an "Asmolen" slab (ribbed
406 slab) with a typical thickness of 300mm (200 mm block and 100 mm slab). Asmolen
407 slab systems are composed of one-way joists that are formed by hollow clay tile
408 blocks; the slab between the joists is cast directly atop the blocks. The infill walls
409 were made of hollow clay-tile masonry units. Both the 'as-built' and 'as-damaged'
410 models for this building were compiled from information available on the website of
411 EERI (EERI 2014c). A photograph of the damaged building is shown in Fig. 12 (a).
412 This building had sustained severe damage. In general, the slabs were bent; most of
413 the walls had fallen off, while the columns were almost in their original positions.

414 The 'as-built' model and the 'as-damaged' model are compared in Fig. 12 (c) and
415 (d). Some of the typical damaged components are listed in Table 2. The point cloud
416 generated in the emulator is shown in Fig. 12 (b).

417

418 **Fig. 12.** Preparation of the 'as-damaged' model for the residential building: (a)
 419 Photograph showing earthquake damage to the building (EERI 2014c); (b) synthetic
 420 point clouds of the external facades of the building; (c) 'as-built' model of the building;
 421 (d) 'as-damaged' model of the building

422 **Table 2.** Typical damaged components in the damaged residential building

Building Component (numbering according to the notation in Fig. 12)	Component Type	Damage Description
1	Wall	Completely detached from the structure and fallen off
2	Slab	Bent into two distinct parts
3	Wall	Displaced coherently with the deformation of the slab

423 **5.3 Summary of results**

424 In comparison with the costs of experiments with full-scale or even small-scale
 425 physical building models, the proposed procedure for preparing BIM models of an
 426 'as-built' and the 'as-damaged' building is highly efficient. An undergraduate student
 427 with just one-year experience operating a BIM application can prepare the models
 428 without difficulty within short times. The durations spent on modeling the two cases
 429 are shown in Table 3. In addition, the synthetic point cloud data generated by the laser
 430 scanning emulator is of good quality, and the scanning process is quite efficient, as is
 431 shown in Table 3. Note that only the external facades were selected for scanning in
 432 the first case, while the whole model was scanned in the second case, so the scanning
 433 process for the second case took significantly longer time. However, preparation of

434 the 'as-damaged' model of the second case took less time, because the damage modes
 435 of the structure were simpler. The emulator was running on a PC with an Intel Core
 436 i7-4770 CPU @ 3.4GHz and 8GB of RAM.

437 **Table 3.** Specification of the modeling process in case study

Tasks or parameters	School building	Residential building
Modeling tasks		
Preparing the 'as-built' BIM model based on drawings and photos	5 hours	8 hours
Modeling the damaged building based on 'as-built' model and photos	15 hours	10 hours
Refining the 'as-damaged' BIM model by aggregating the related damaged segments into objects using custom-built software	< 1 minute	< 1 minute
Laser scanning emulator data		
Angular spacing	0.02 degree	0.02 degree
Point spacing	15 mm	24 mm
Processing time	12min 40sec	21min 40sec

438 **6 Validation of suitability for 'Scan-to-BIM' R&D**

439 The original motivation for this work was to develop a versatile experimental
 440 setup to provide specimens for earthquake 'Scan-to-BIM' research. To validate the
 441 resulting point clouds, we compare execution of the initial steps of the overall
 442 'Scan-to-BIM' system on the products of the synthetic process with execution of the
 443 same steps on real point cloud data.

444 The first step in the system is planar segment extraction. The segmentation
 445 algorithm was first applied to the point cloud data of the physical frame specimen
 446 mentioned above in **Fig. 3** (b). The segmentation result is shown in **Fig. 13** (a). As can
 447 be clearly seen, the right side column buckled and has divided into two distinct solid

448 parts; the top beam bent and divided into three parts, and some bricks in the masonry
449 wall were shifted or cracked.

450 Next, the same algorithm was applied to the synthetic point cloud generated in
451 the first case study, shown in **Fig. 11** (b). The result is shown in **Fig. 13** (b). Here too,
452 the identified planar segments clearly reflect the general geometrical features of the
453 damaged state of the building façade, as can be seen by examining the photograph in
454 **Fig. 11** (a). Note that windows appear as voids in the segmentation result, because
455 they were not modeled in the synthetic ‘as-damaged’ BIM model.

456

457 **Fig. 13.** Segmentation results of (a) the physical specimen and (b) the synthetic
458 specimen from case study 1. The colors code for normal vector values.

459 The real and the synthetic segmentation results are equivalent in terms of their
460 data structure, their resolution and their representation of the damaged components.
461 Differences in content occur only as a function of the content included or excluded
462 through the BIM modeler’s choices when compiling the ‘as-damaged’ BIM model,
463 and not as a result of the function of the emulator software. As such, the modeler has
464 full control of the output and the synthetic point cloud data are an effective substitute
465 for the real point cloud data.

466 **7 Conclusion**

467 TLS is an emerging surveying technology and a promising solution for damage
468 inspection in post-earthquake responses, and indeed for as-built or damage inspection
469 in other, more common situations. Yet research efforts to develop these capabilities

470 have been hampered by scarcity of access to the field to collect data from buildings
471 that have suffered real earthquake damage and the costs of preparing physical
472 specimens of damaged buildings or their components.

473 A computational approach is proposed to compile synthetic 'as-damaged' BIM
474 models and a versatile laser scanning emulator has been developed to generate
475 synthetic point cloud data in a manner similar to laser scanning on site. In addition,
476 the procedure and the experimental setup provide an ideal benchmark (the user
477 prepared 'as-damaged' model) for validating the system-generated 'as-damaged' model
478 for research and development of a 'Scan-to-BIM' system. Implementation of the
479 approach for two full-scale case studies has provided models and point cloud data.
480 Application of the segmentation algorithm to the real and to the synthetic point cloud
481 data produced equivalent and syntactically and semantically indistinguishable results
482 from both, showing that the experimental setup can indeed serve as a substitute for
483 physical specimens or for in-situ scans of earthquake-damaged buildings.

484 Future work will implement the above-mentioned computational method to pool
485 a data repository of 'as-damaged' data models; including BIM model and synthetic
486 scan, of real earthquake events. In addition, other applications of TLS in construction,
487 such as automated quality control (Akinci et al. 2006) and construction progress
488 control (Zhang and Arditi 2013), can also benefit from using the proposed method to
489 prepare synthetic specimens. For example, researchers can generate point cloud data
490 representing defects or damage to a building, or representing intermediate

491 construction stages of a building, by modifying the placement, shape representation
492 and visibility of the building components in BIM models. Thus we envision that the
493 experimental setup could stimulate research in these emerging fields and promote the
494 maturity of the technology.

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615

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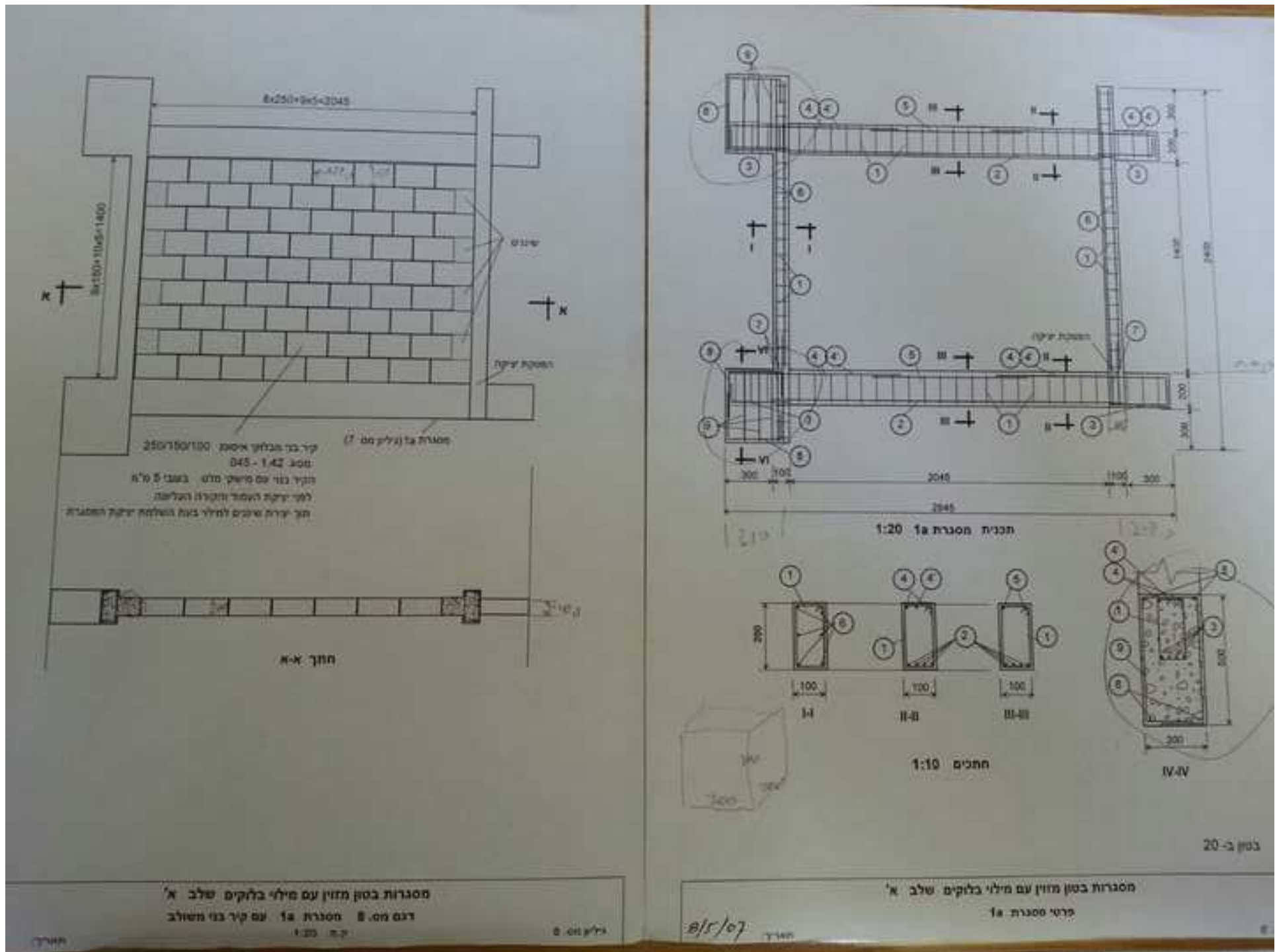


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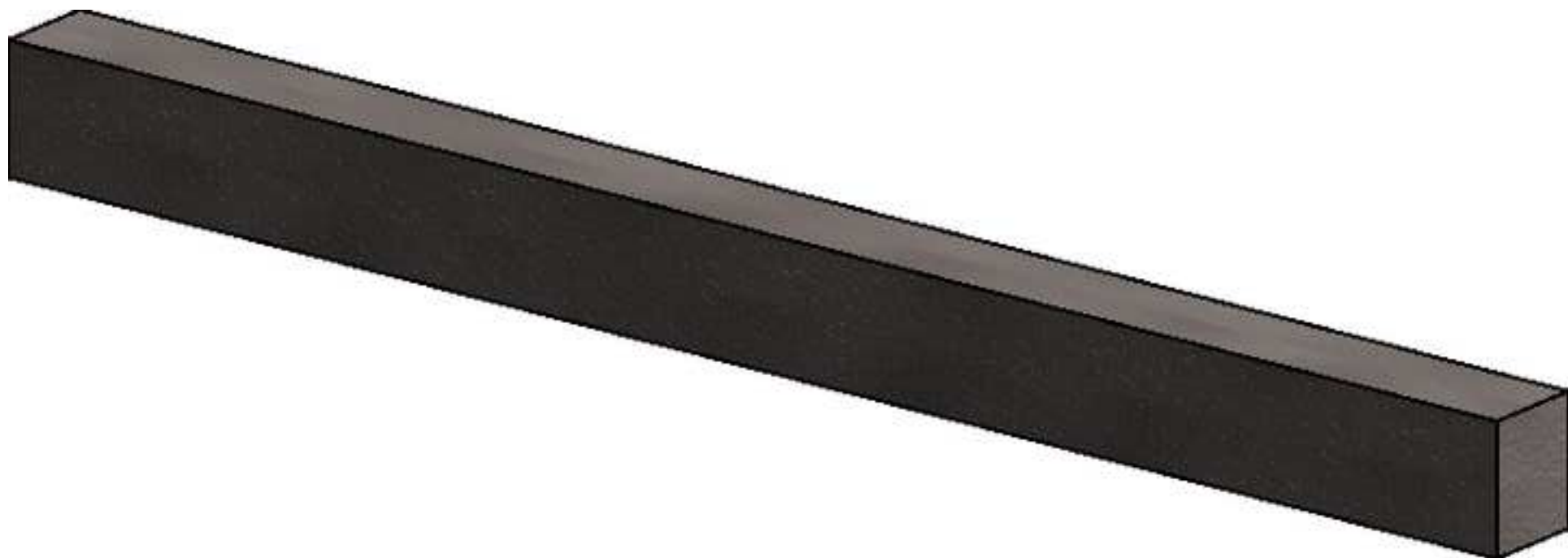


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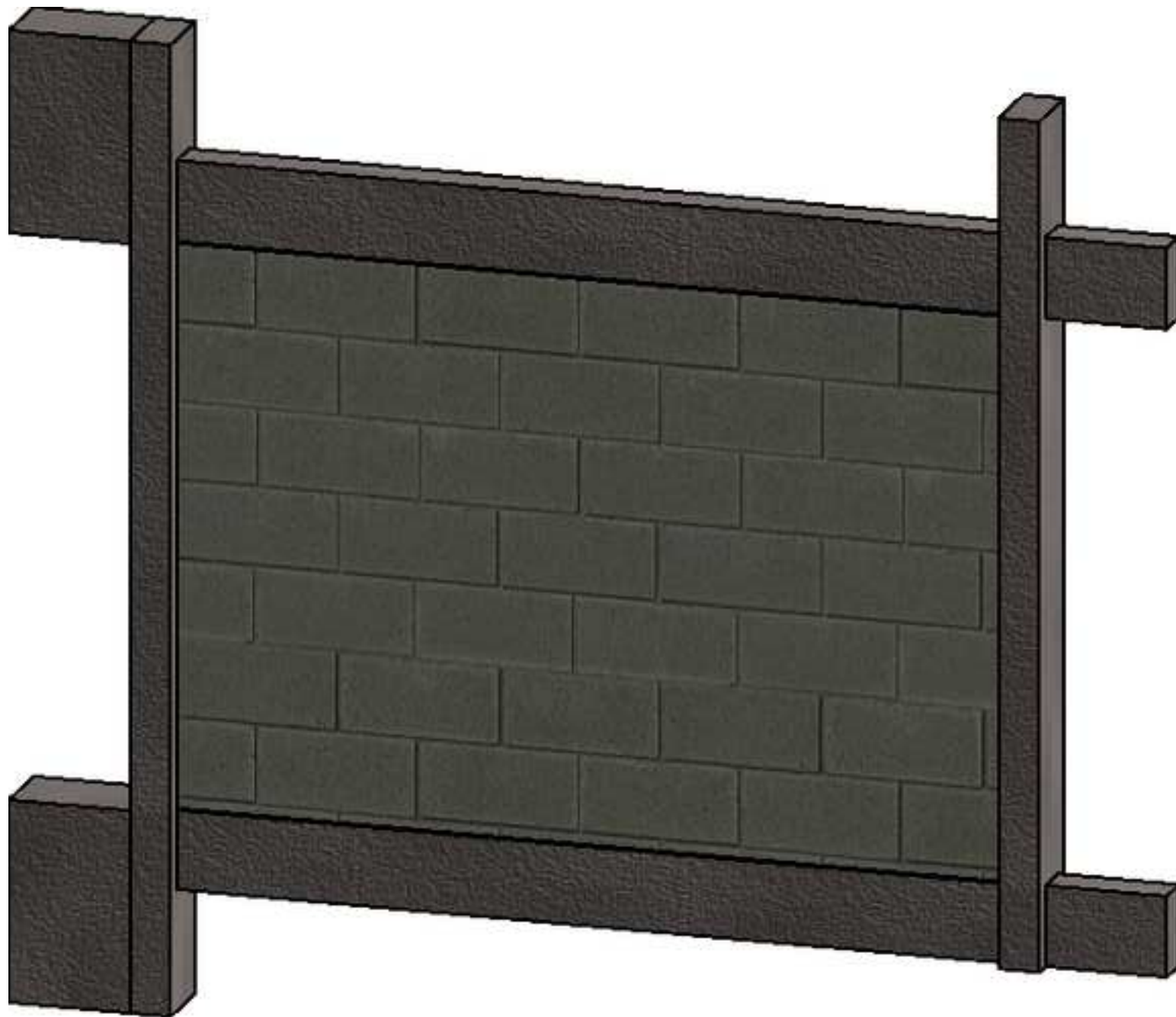


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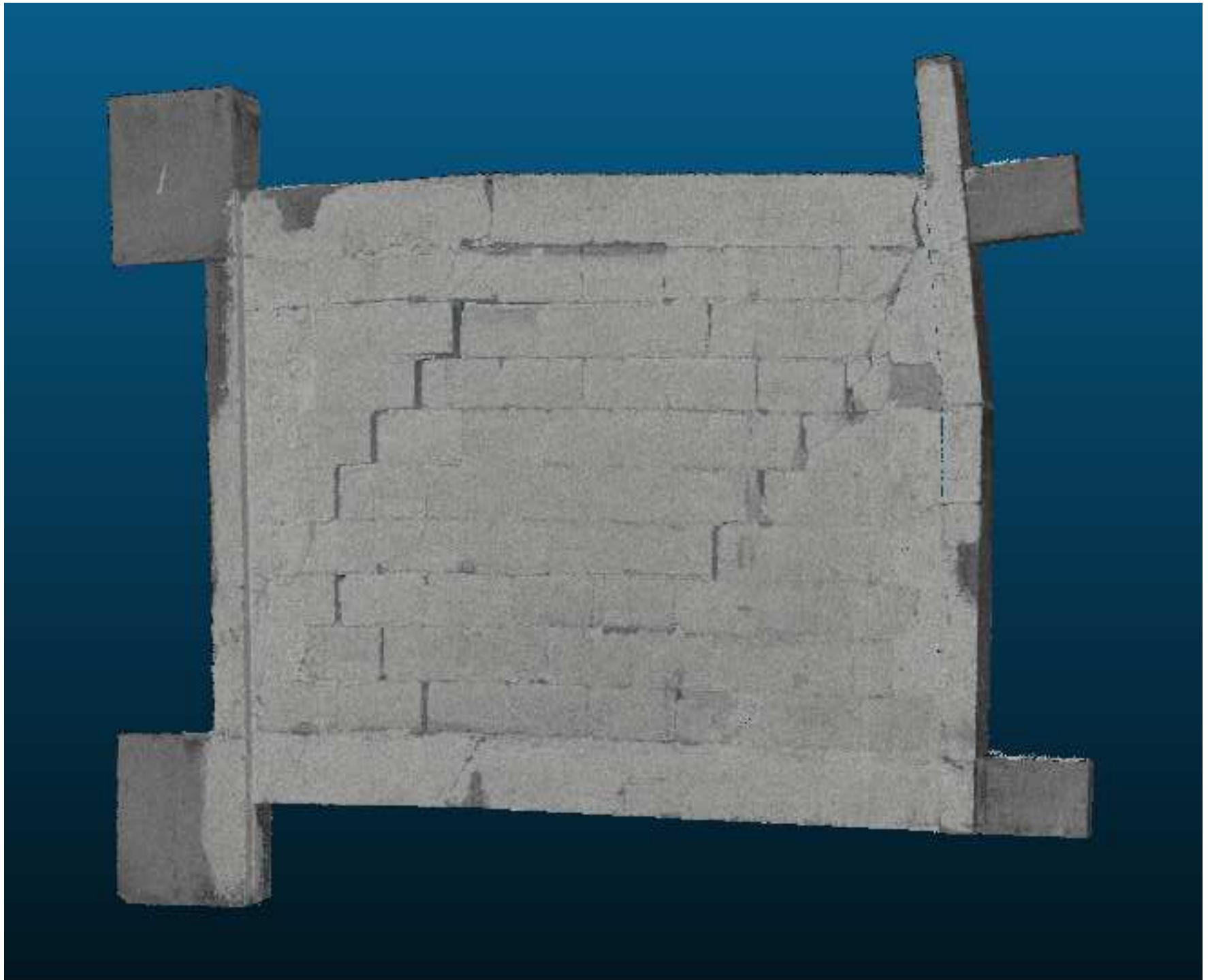


Fig 4

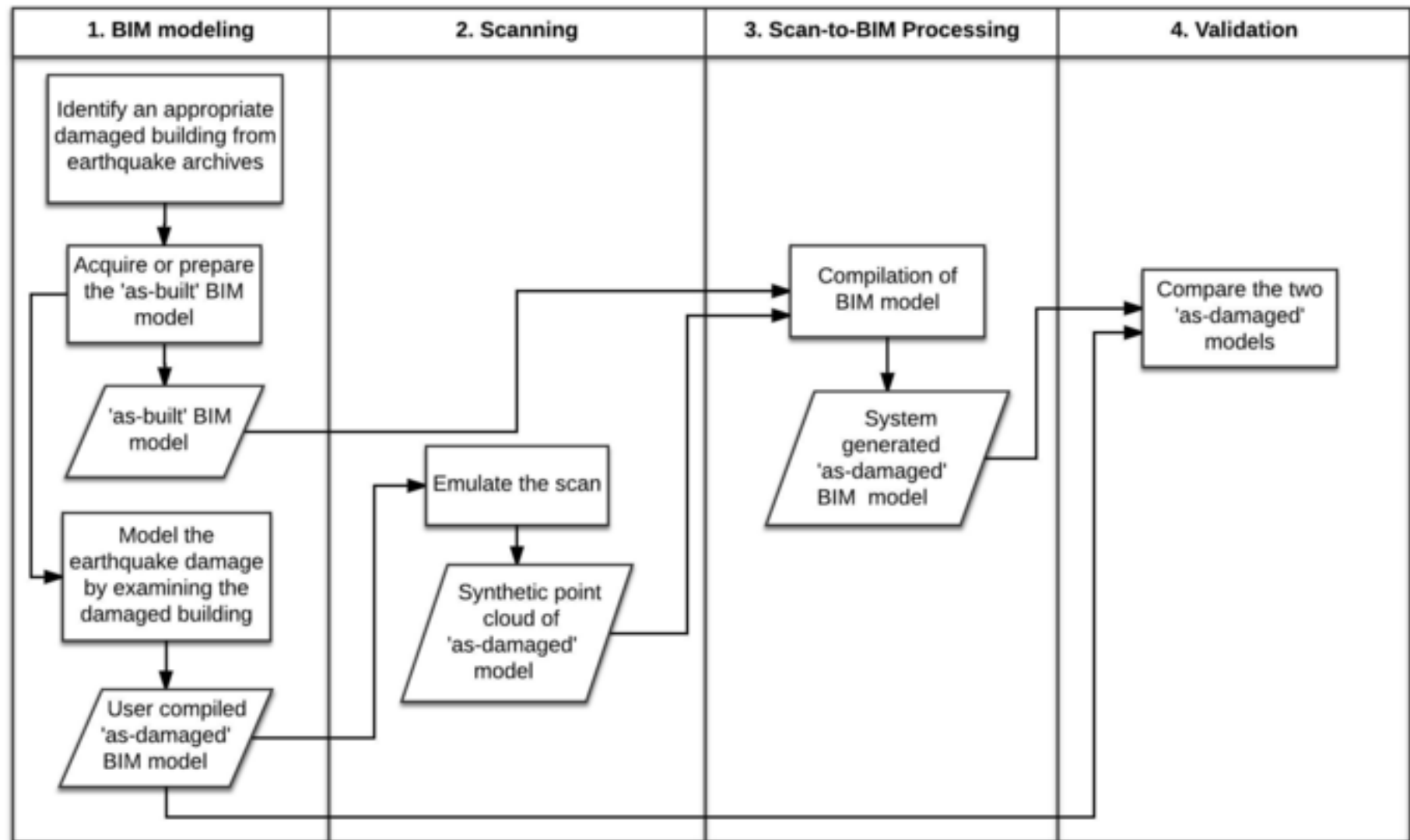
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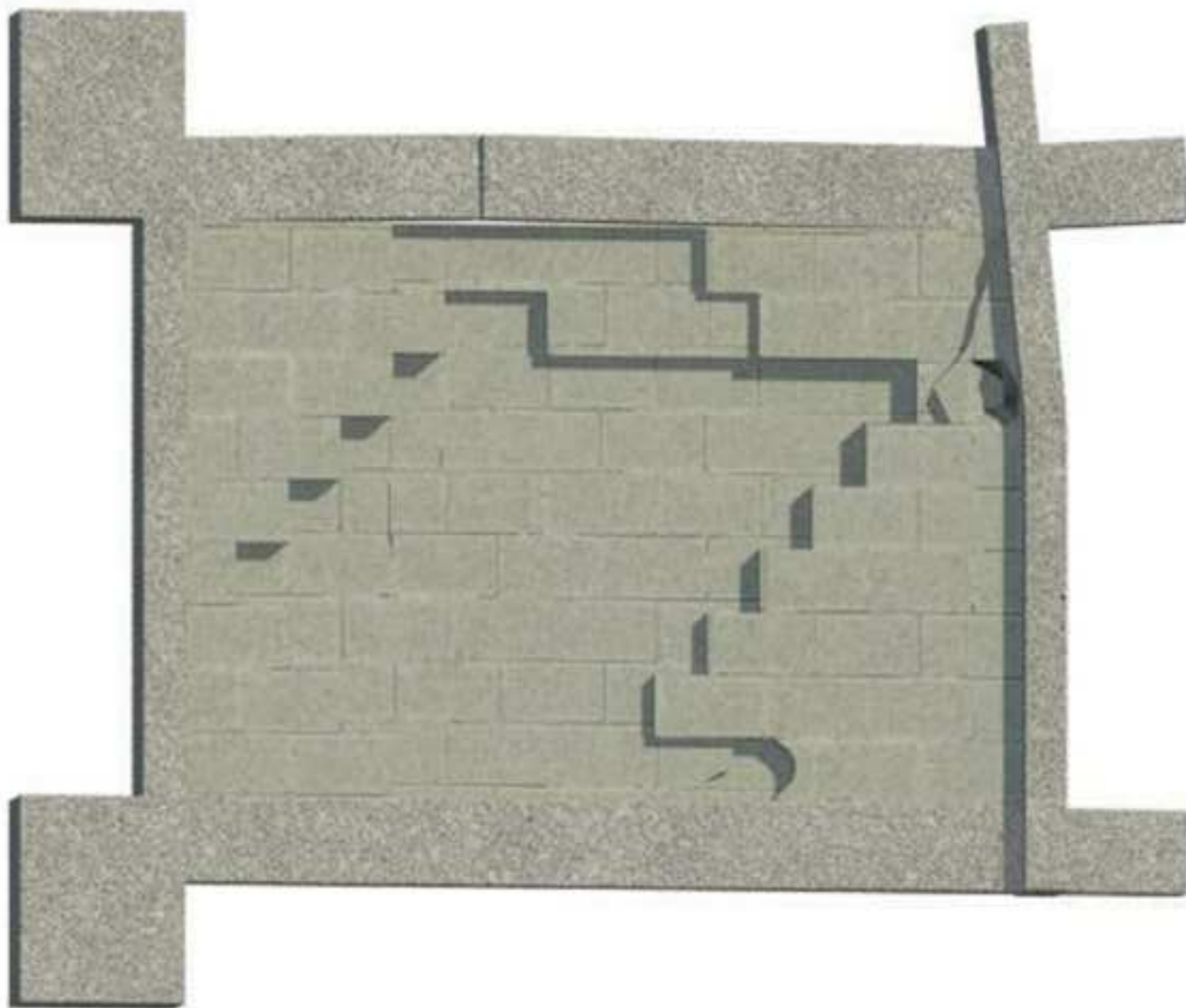


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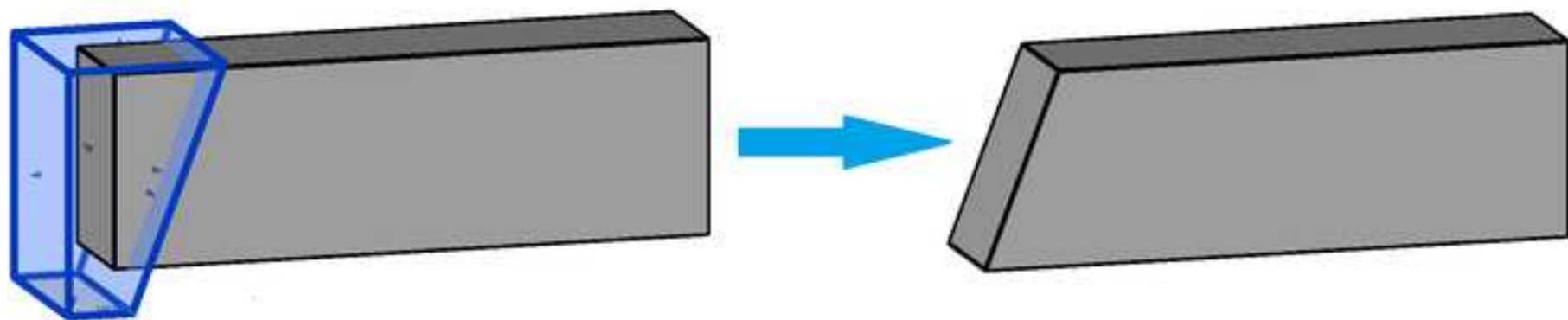


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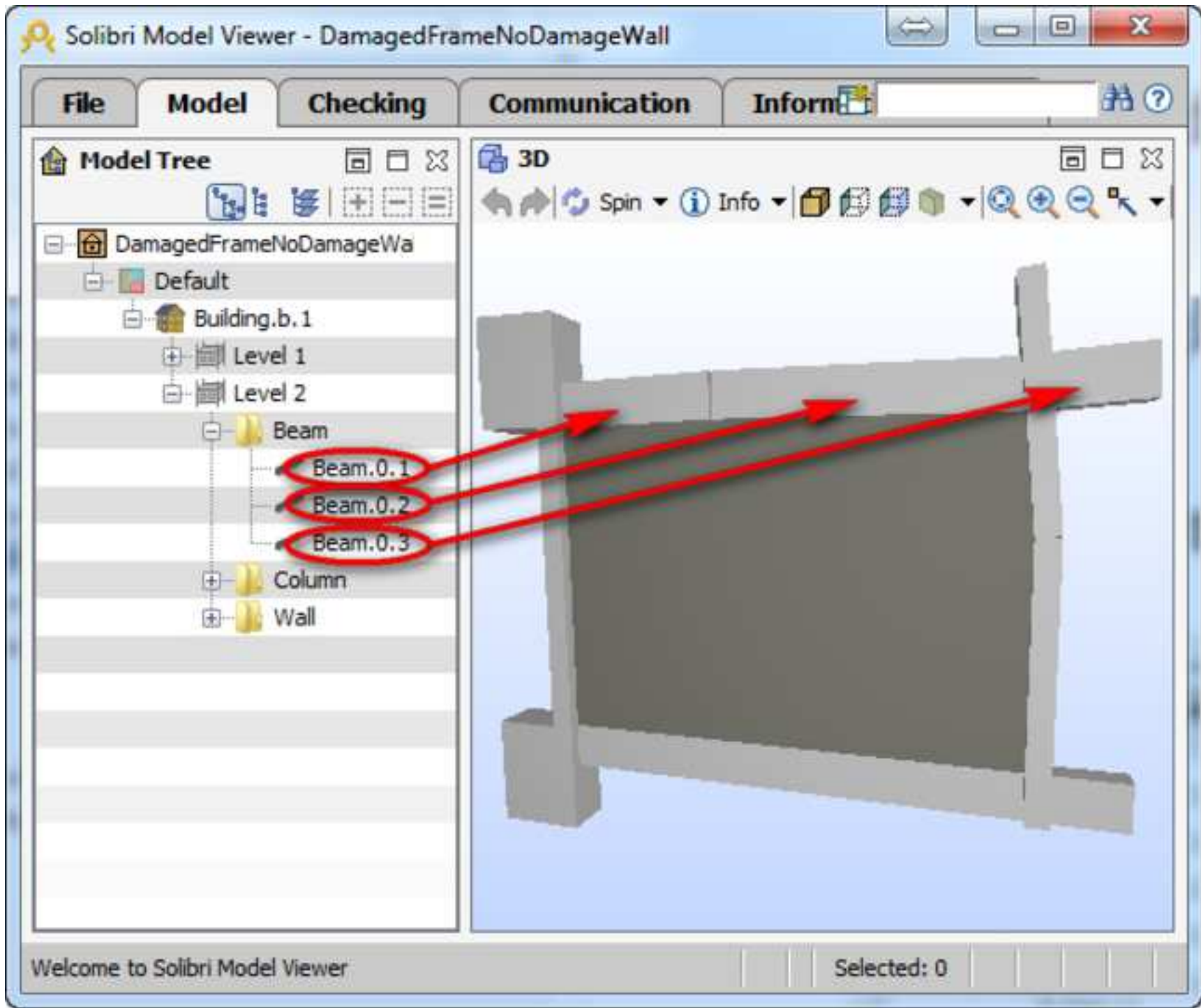


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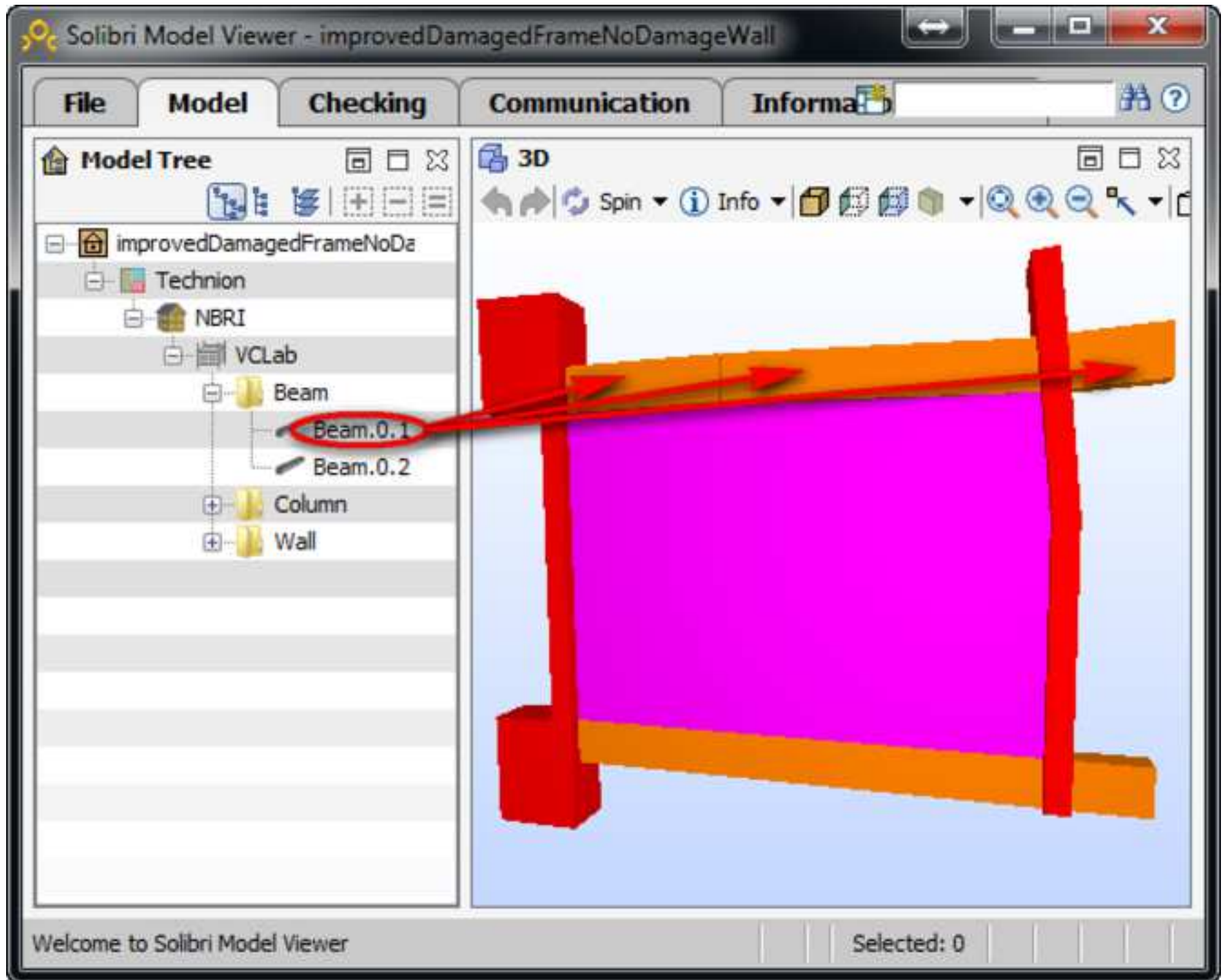


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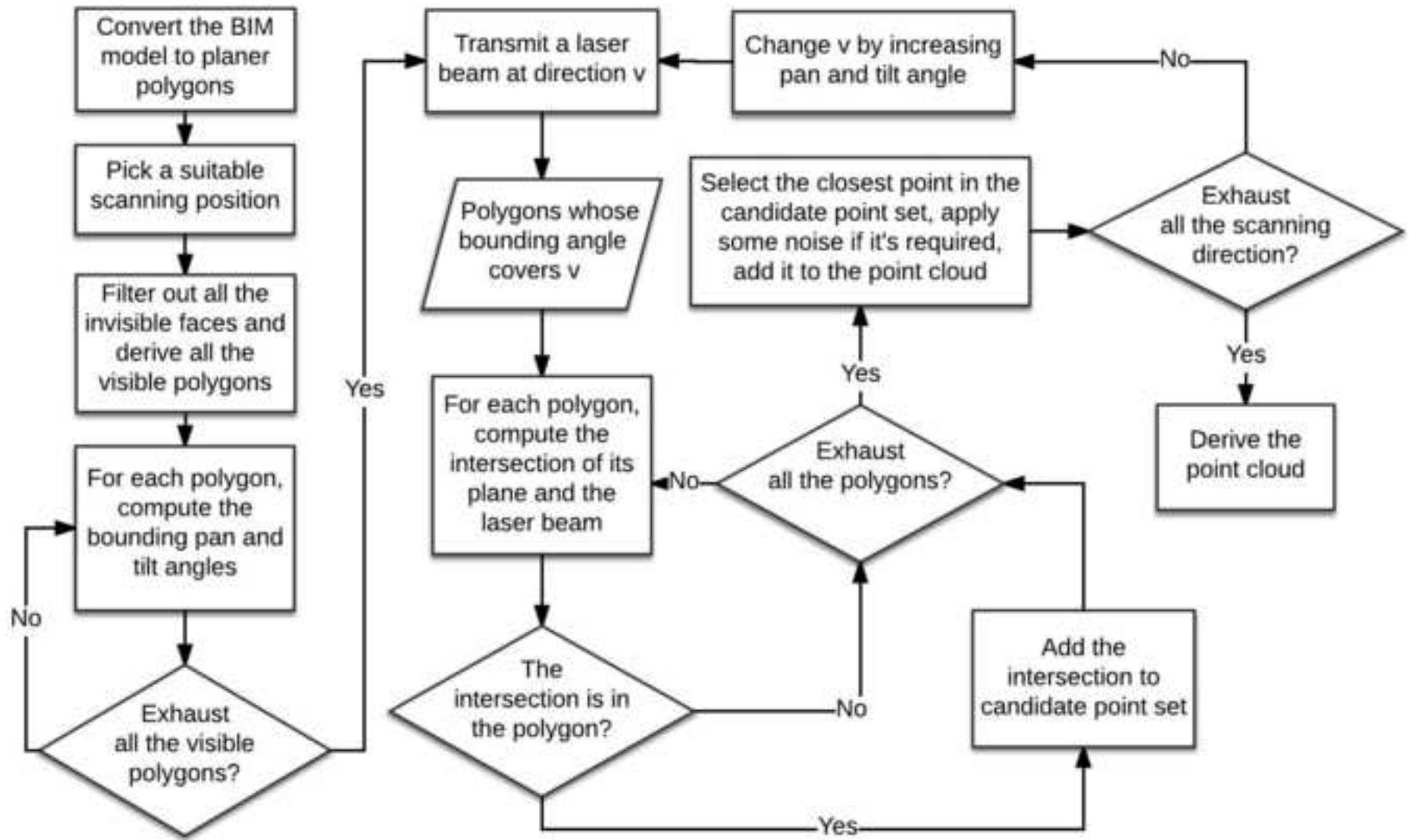


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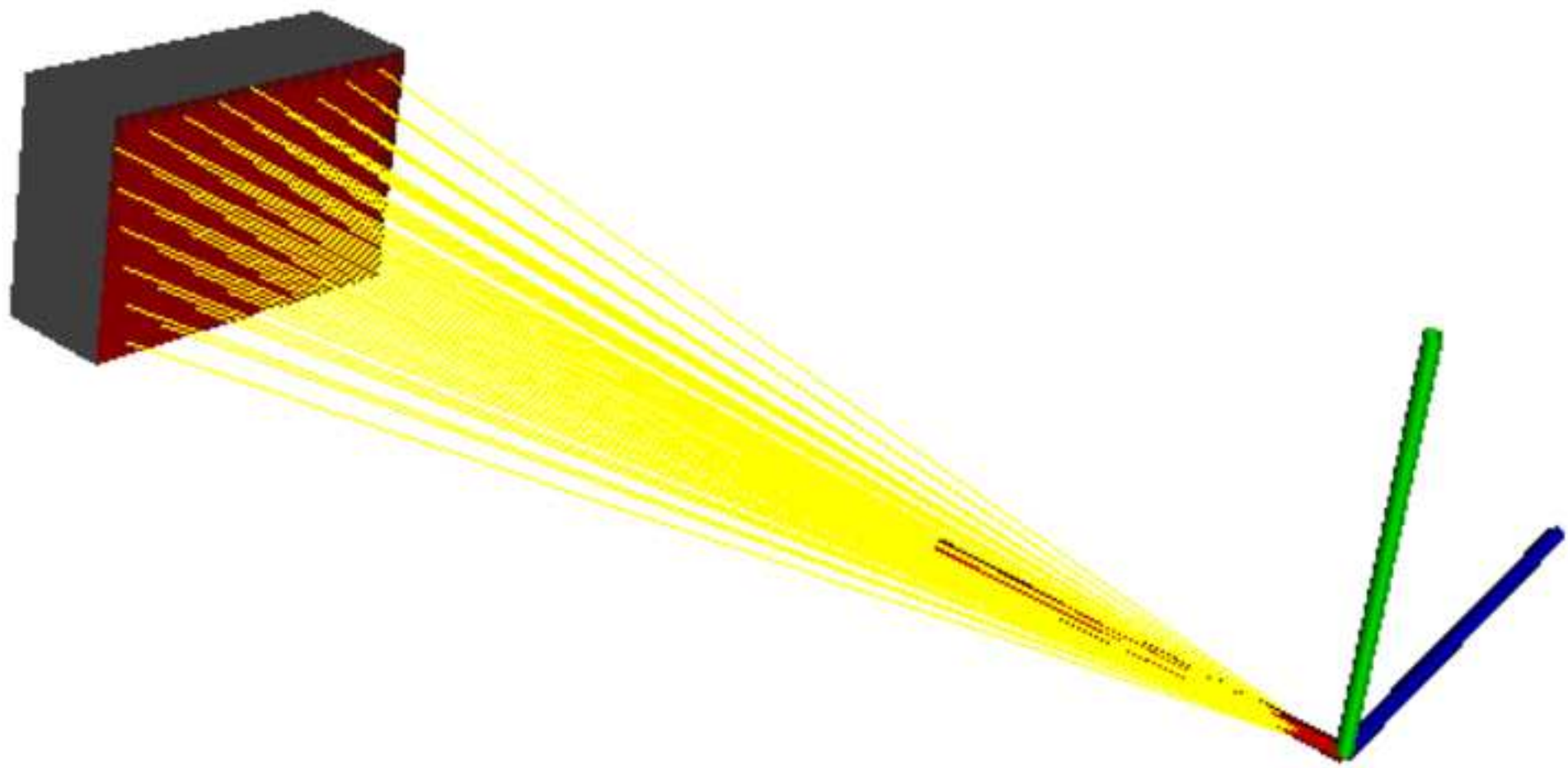


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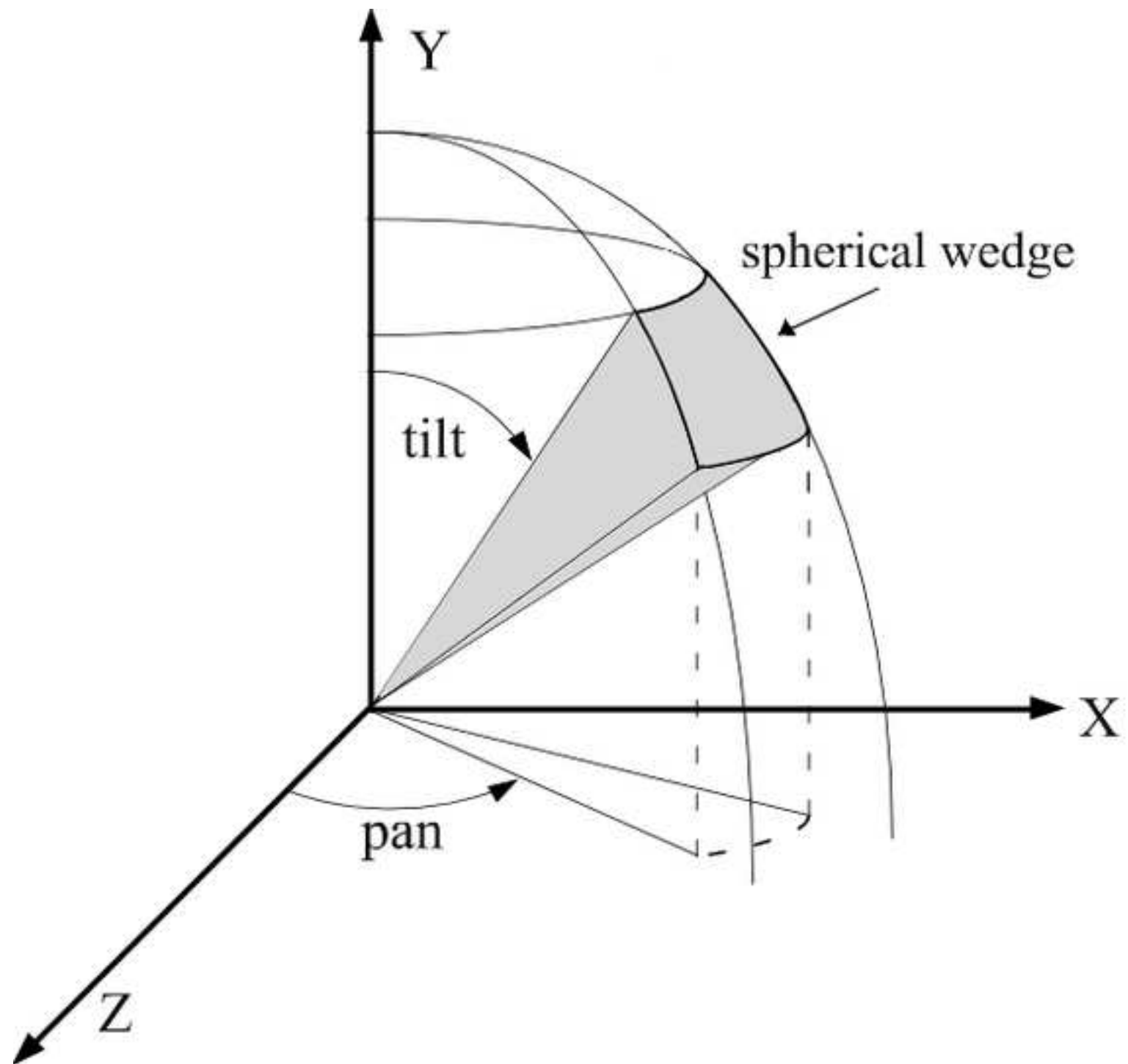


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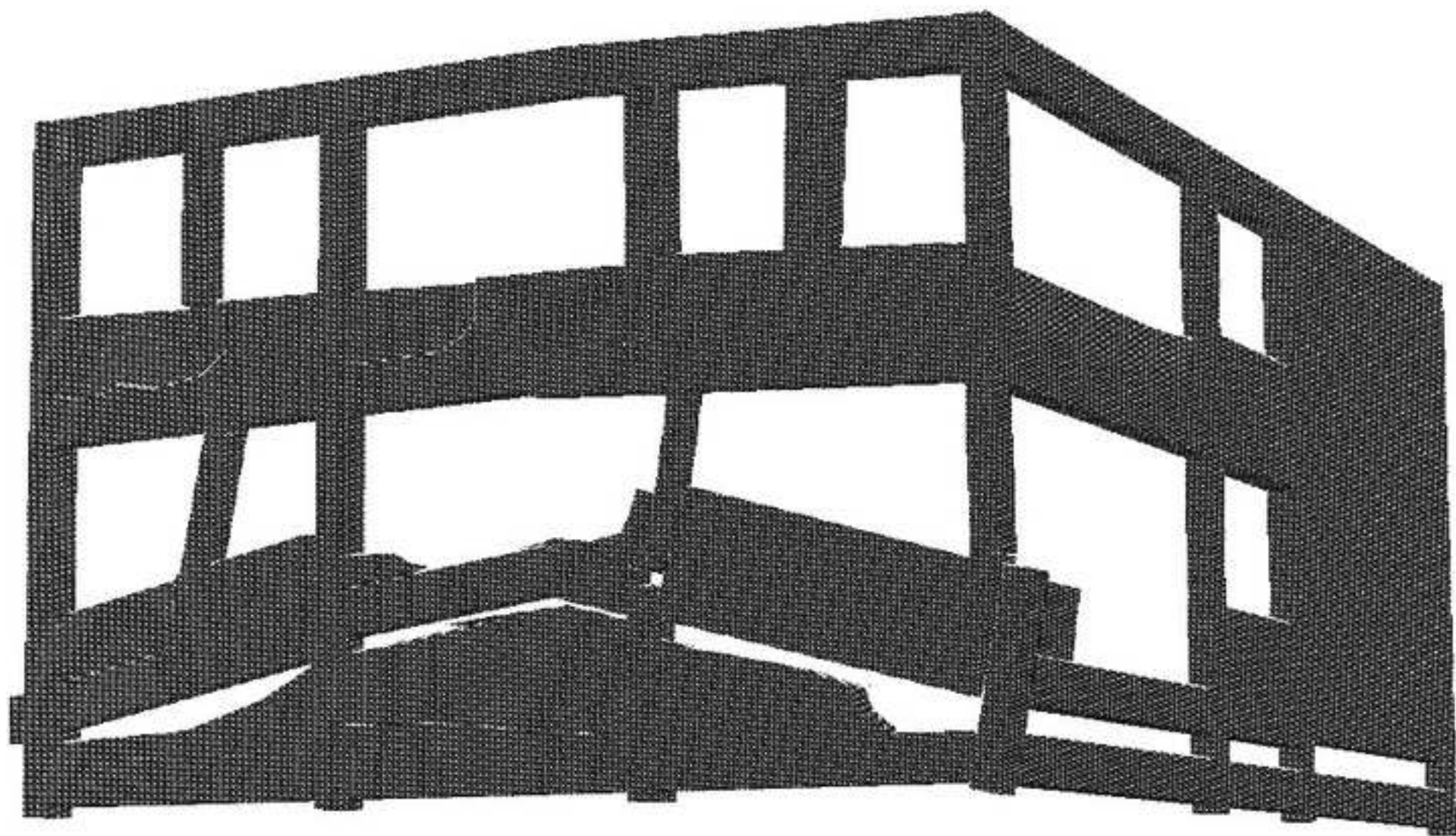


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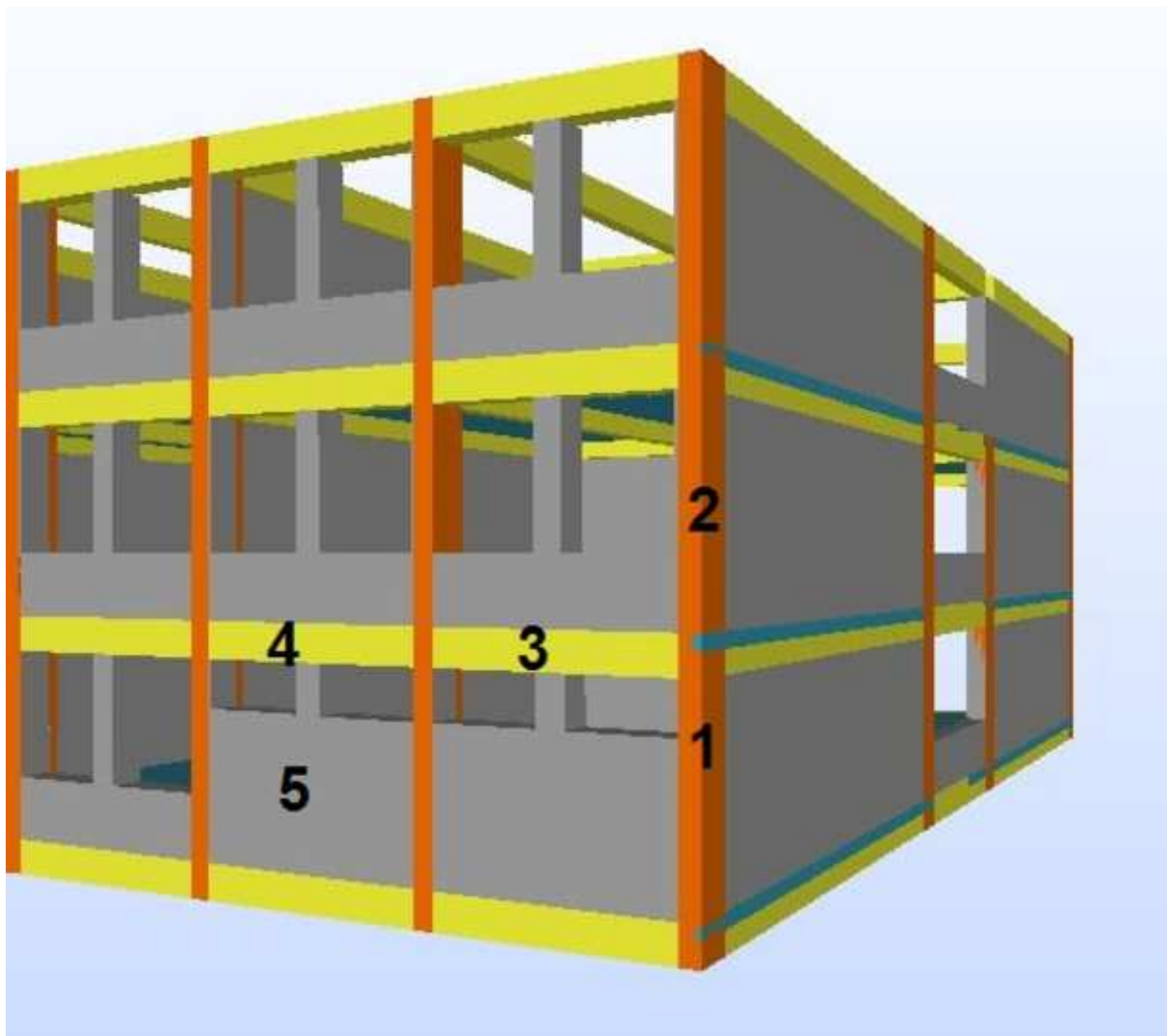


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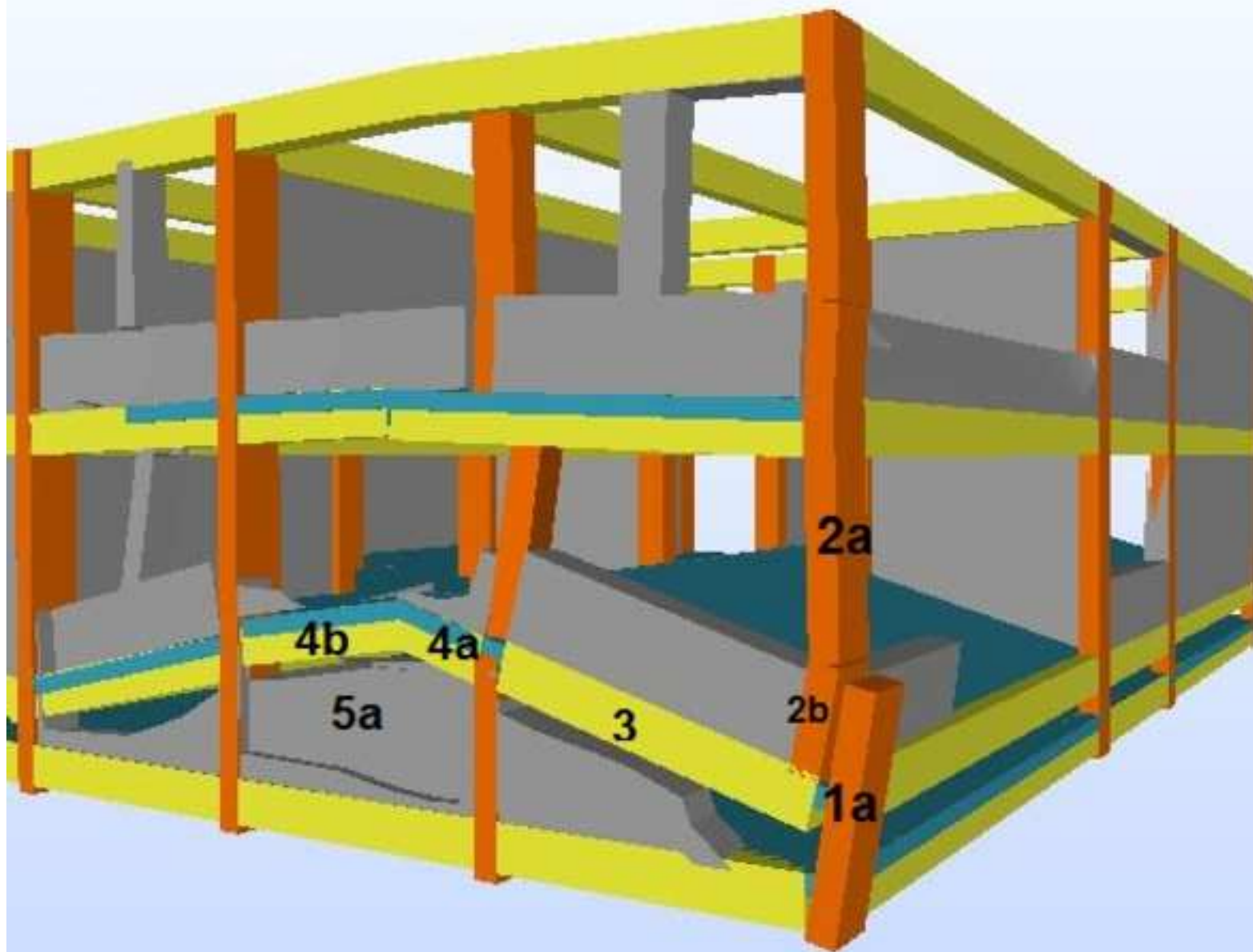


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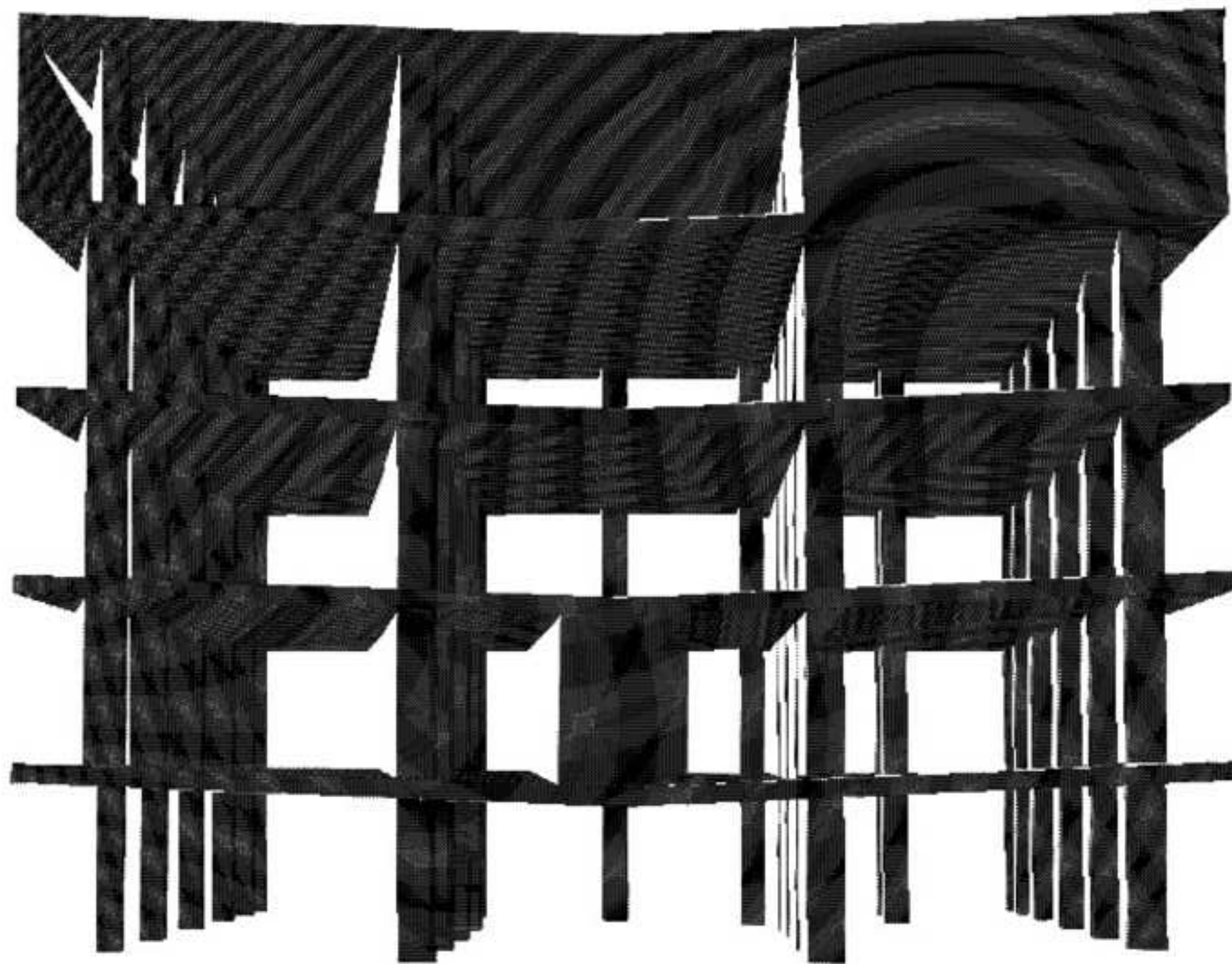


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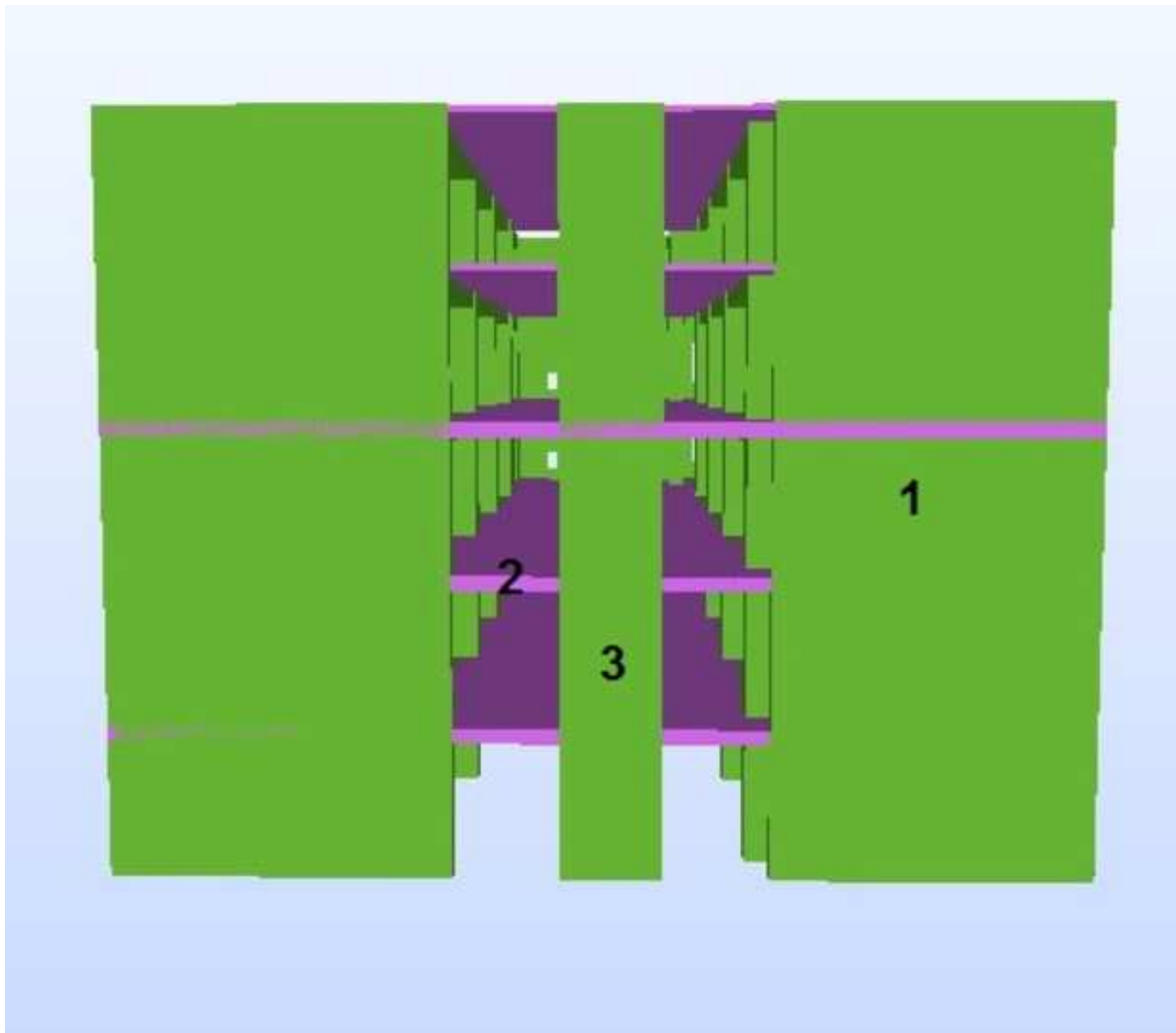


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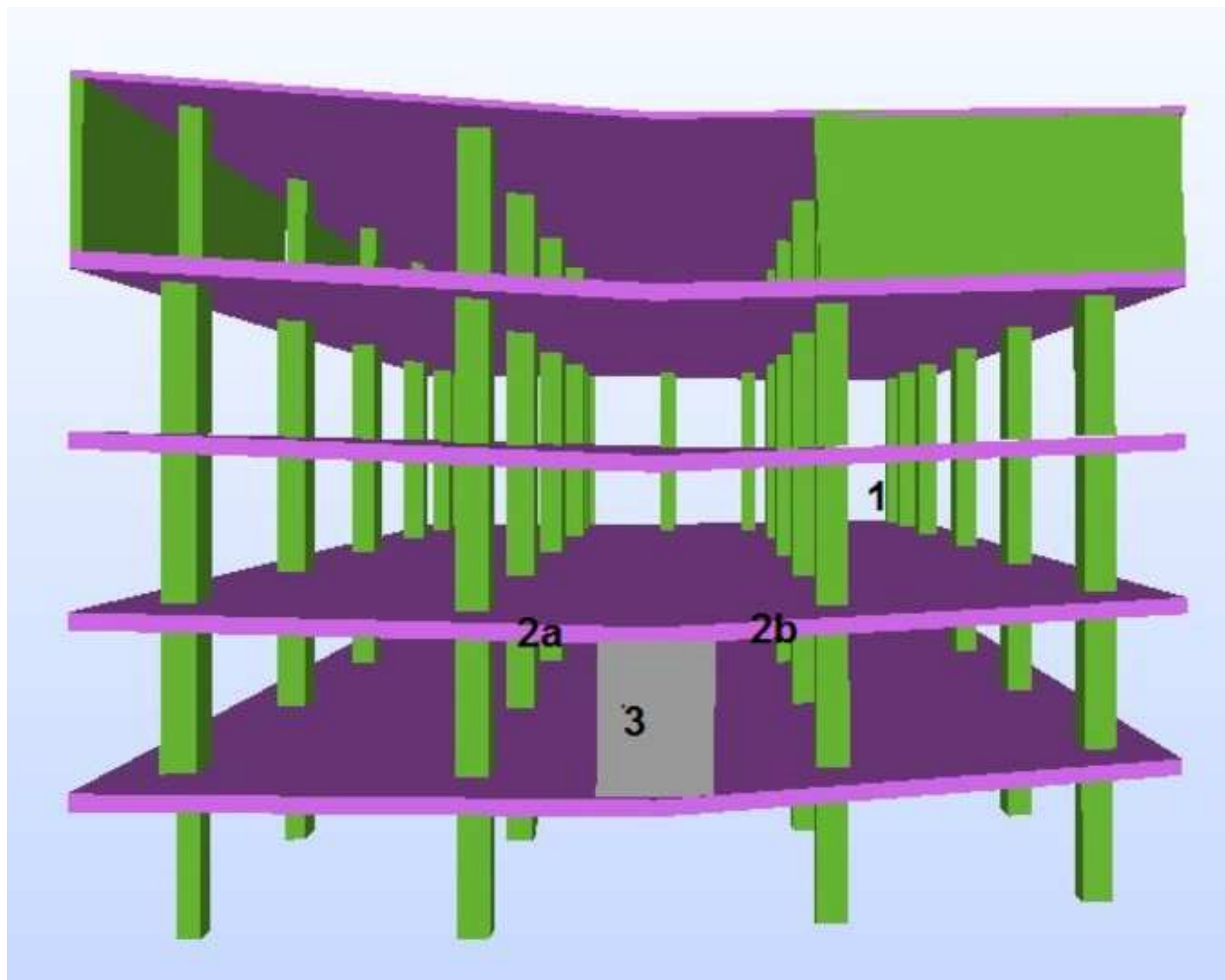


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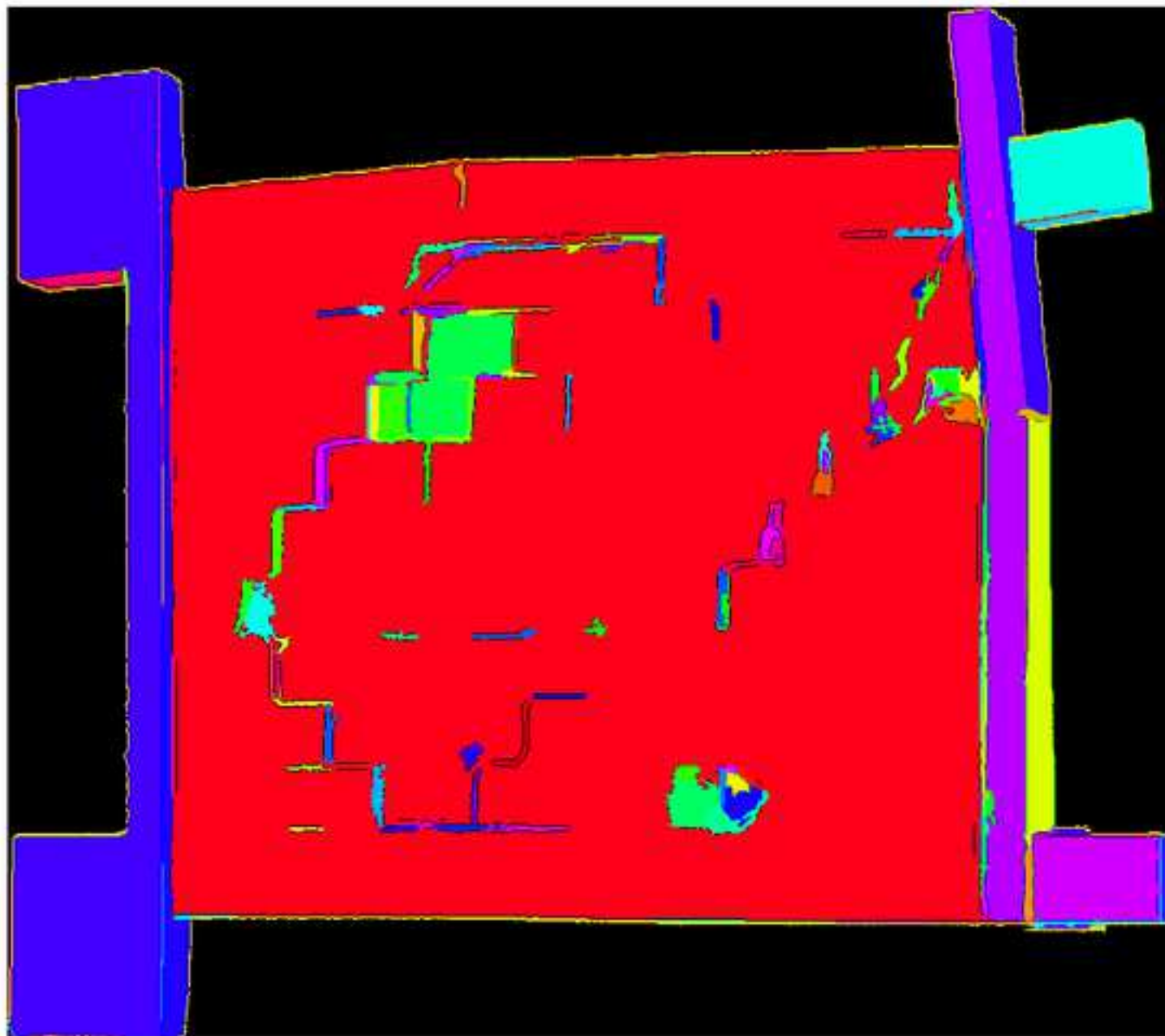


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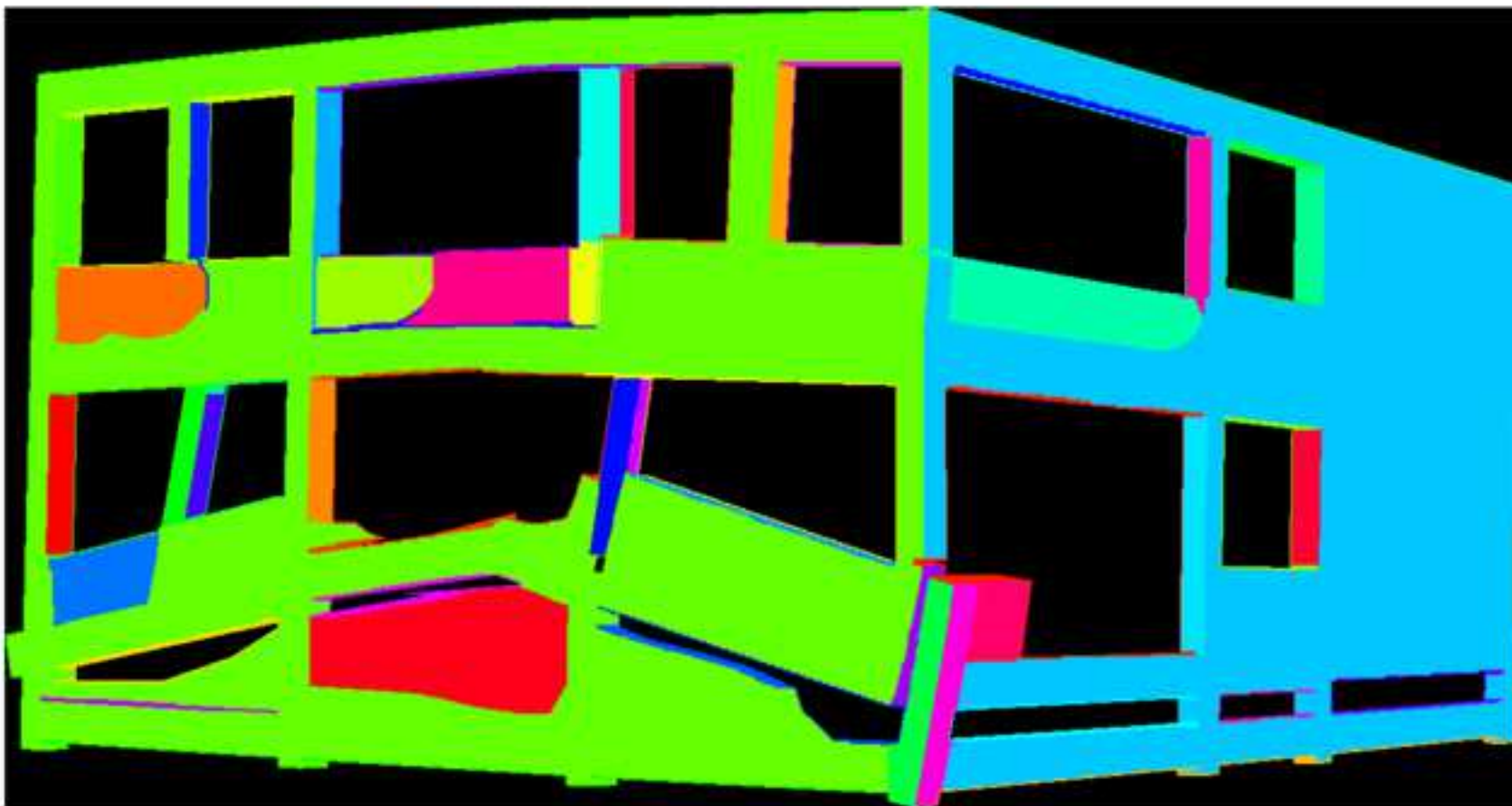


Fig. 1. Reinforced concrete specimens tested at NBRI: (a) a damaged reinforced concrete beam and (b) a damaged reinforced concrete frame wall with autoclaved cement block infill.

Fig. 2. Preparation of as-built BIM model: (a) 2D drawing of the reinforced concrete beam; (b) 2D drawings of the reinforced concrete frame; (c) 'As-built' BIM model of the beam; (d) 'As-built' BIM model of the frame

Fig. 3. Point cloud of (a) the beam and (b) the wall frame.

Fig. 4. BIM Modeling and Scan emulation steps (1 and 2) within the context of the broad earthquake 'Scan-to-BIM' research process

Fig. 5. Modeling of damaged building components in BIM tools

Fig. 6. The 'as-damaged' frame modeled in Revit.

Fig. 7. Enrichment of the 'as-damaged' model: (a)'As-damaged' model built in Revit; (b)Aggregated geometry resulting from the custom-built post-processor

Fig. 8. Workflow of the laser scanning emulator

Fig. 9. The emulator "transmits" a laser beam

Fig. 10. Pan and tilt angle boundary

Fig. 11. Preparation of the 'as-damaged' model for the damaged school: (a) Photograph showing earthquake damage to the school (EERI 2014b); (b) synthetic point clouds of the external facades of the damaged school; (c) as-built model of the school; (d) 'as-damaged' model of the school

Fig. 12. Preparation of the 'as-damaged' model for the residential building: (a) Photograph showing earthquake damage to the building (EERI 2014c); (b) synthetic

point clouds of the external facades of the building; (c) 'as-built' model of the building;
(d) 'as-damaged' model of the building

Fig. 13. Segmentation results of (a) the physical specimen and (b) the synthetic