

1 The Early Miocene Cape Melville Formation Fossil Assemblage and the Evolution of
2 Modern Antarctic Marine Communities

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11

12 **Abstract**

13

14 The fossil community from the early Miocene Cape Melville Formation (King George Island,
15 Antarctica) does not show the archaic retrograde nature of modern Antarctic marine
16 communities, despite evidence, such as the presence of dropstones, diamictites and striated
17 rocks, that it was deposited in a glacial environment. Unlike modern Antarctic settings, and
18 the upper units of the Eocene La Meseta Formation on Seymour Island, Antarctica, which are
19 10 million years older, the Cape Melville Formation community is not dominated by sessile
20 suspension feeding ophiuroids, crinoids or brachiopods. Instead, it is dominated by infaunal
21 bivalves, with a significant component of decapods, similar to present day South American

22 settings. It is possible that the archaic retrograde structure of the modern community did not
23 fully evolve until relatively recently, maybe due to factors such as further cooling and
24 isolation of the continent leading to glaciations, which resulted in a loss of shallow shelf
25 habitats.

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27 Keywords: Fossil, Antarctica, early Miocene, Community Structure, Decapod, Assemblage

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41 **Introduction**

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43 Modern Antarctic marine benthic communities are dominated by sessile suspension
44 feeding organisms in many shelf areas (Gili et al. 2006; Clarke et al. 2004; Aronson et al.
45 2007; Gutt et al. 2013). There are very few crushing predators in Antarctic shallow marine
46 environments. Sharks and rays and most durophagous reptant decapods, which are important
47 community structuring predators elsewhere in the shallow oceans, are absent from Antarctic
48 waters (Hall and Thatje 2011; Griffiths et al. 2013), allowing dominance by groups such as
49 sponges and ophiuroids. The top predators of the living Antarctic benthos are asteroids,
50 nemertean worms and other slow moving invertebrates of a Palaeozoic functional grade (for
51 example pycnogonids and isopods) that are not durophagous (Aronson et al. 2009). Colder
52 water temperatures in the Antarctic make it difficult to extract calcium ions from sea water,
53 and this, in addition to a lack of predators; means that recent Antarctic shelled organisms
54 such as bivalves are very thin shelled (Nicol 1967; Vermeij 1978; 1987; McClintock et al.
55 2009). Modern communities in regions outside the Antarctic where durophagous decapods
56 are present, such as the South American Magellan Province, have much higher relative
57 abundances of infaunal taxa, especially molluscs (Thatje and Mutschke 1999).

58 The general lack of sharks, rays and durophagous decapods on the high-Antarctic
59 continental shelves has been given as one of the primary explanations for the retrograde
60 structure and function of shelf benthos when compared with shallow water communities
61 elsewhere (Hall and Thatje 2011). However, there are a number of factors that may have
62 shaped the modern fauna including oceanographic changes, the onset of Cenozoic
63 glaciations, sea-water cooling, changes in habitat driven by variations in ice extent and
64 habitat disturbance caused by ice-berg scouring (Clarke et al. 2004). There is little direct

65 fossil information about the evolution of Antarctic marine fauna (Barnes and Clarke 2011).
66 The only known examples of studies on Antarctic Cenozoic marine invertebrate community
67 structure are from the Eocene of Seymour Island (Aronson and Blake 2001; Aronson et al.
68 1997; 2009). Some modern Antarctic taxa evolved as early as the late Cretaceous (Beu 2009;
69 Crame 2013). Studies from Seymour Island, Antarctica, suggest that the modern Antarctic
70 community structure had its origins in the late Eocene (Aronson and Blake 2001; Aronson et
71 al. 1997; 2009).

72 Fossil evidence from Seymour Island shows that shallow, subtidal communities in the
73 early to middle Eocene contained durophagous taxa such as teleostean fish, decapod
74 crustaceans and neoselachian sharks and rays typical of other regions at the present day
75 (Aronson et al. 2009; 2011). Evidence for Antarctic climate at this time suggested seasonality
76 in temperature and precipitation in a warm, humid, temperate environment (Jacques et al. in
77 press). Changes in the Seymour Island communities were linked with a cooling trend towards
78 the end of the Eocene, and this was linked to a fundamental shift in the structure of benthic
79 communities in Antarctica (Aronson and Blake 2001; Aronson et al. 1997; 2007; 2009;
80 2011). This resulted in changes in faunal composition and a decrease in taxonomic diversity,
81 which included the disappearance of durophagous predators such as most reptant decapods,
82 sharks, and teleost fish (Aronson and Blake 2001; Eastman 2005; Aronson et al. 1997; 2007;
83 2009; 2011). The reasons for the absence of these groups are complex, but have been linked
84 to historical biogeography, physiology, and phylogenetic constraint (Aronson et al. 2007;
85 2011). For example, the absence of certain reptant decapod crustaceans (benthic, walking
86 decapods, including brachyuran crabs and astacid lobsters) from Antarctic waters today has
87 been cited as a direct result of their physiological intolerance of cold sea temperatures
88 (Frederich et al. 2001; Wittmann et al. 2010; Aronson et al. 2011). However, Griffiths et al.

89 (2013) show that one group of decapods, lithodids, are found in many areas of Antarctic
90 waters that are warmer than 0°C, and conditions have been suitable for their colonisation for
91 at least 9,000 years (Griffiths et al. 2013).

92 The loss of durophagous predators and the resultant lowered predation pressure led to
93 stalked crinoids and epifaunal ophiuroids moving to shallower Antarctic waters from deeper
94 water environments (Aronson et al. 1997; Aronson and Blake 2001). However, Aronson and
95 Blake (2001) stated that causal connections between global cooling and durophagous
96 predation must be complex and indirect as durophagous predation remains strong in the
97 recent Arctic (Dayton 1990). Timing of the post-Eocene Antarctic extinctions of durophagous
98 predators is uncertain (Aronson et al. 2009). One of the few post-Eocene marine fossil sites in
99 the Antarctic is the Cape Melville Formation (CMF) on King George Island, West Antarctica.

100 The Cape Melville Formation (Moby Dick Group, King George Island Supergroup)
101 comprises an approximately 150 m thick exposure on the narrow Melville Peninsula, which
102 lies at the eastern extremity of King George Island (Troedson and Riding 2002) (Fig. 1). Age
103 constraints include andesite dykes, which cut through the CMF and thus post date the unit;
104 these have been radiometrically dated at 20 Ma using K-Ar (Birkenmajer et al. 1985). Fossils
105 from the unit have early Miocene affinities (Biernat et al. 1985; Bitner and Crame 2002). The
106 formation has also been dated as 22.6 +/- 0.4 Ma (Early Miocene), based on Sr isotope data
107 from bivalves (Dingle and Lavelle 1998).

108 The formation consists of horizontal to sub-horizontally bedded mudstones and silty
109 mudstones. There is also a diverse assemblage of glacially rafted lithological material in the
110 unit (Birkenmajer et al. 1983), some of which is faceted and striated (Birkenmajer 1982;
111 1984; Troedson and Riding 2002). The wide regional source area for this material indicates
112 the presence of widespread regional ice, calving ice margins, and the presence of large

113 icebergs (Troedson and Riding 2002). The unit provides rare evidence of extensive glaciation
114 in the Antarctic Peninsula region in the earliest Miocene, with regional marine based
115 grounded ice on the continental shelf (Troedson and Riding 2002).

116 The formation is separated into four units, which were described and interpreted by
117 Troedson and Riding (2002). Unit A was interpreted as a proximal glaciomarine facies,
118 deposited by glaciogenic debris flows, ice rafting and suspension settling. Unit B, also a
119 proximal glaciomarine facies, was deposited by turbidites, hemipelagic sedimentation and
120 suspension settling. Unit C, a distal glaciomarine facies, with a minor carbonate ooze
121 component, was deposited through ice rafting, hemipelagic sedimentation, gravity flows and
122 biogenic pelagic sedimentation. Unit D, a distal glaciomarine facies, was deposited by ice
123 rafting and hemipelagic sedimentation. Macrofossils are moderately common in this unit
124 (Troedson and Riding 2002).

125 Birkenmajer (1982; 1984; 1987) stated that sedimentological data and the benthic
126 fauna point to the CMF as being a quiet, low energy environment comparable with a flat or
127 gently sloping submarine plain of outer shelf or more restricted basin, below wave base. A
128 comparatively deep-water, outer shelf environment for the unit was later suggested based on
129 the composition of the macrobenthic assemblage (Förster et al. 1987; Feldmann and Crame
130 1998; Hara and Crame 2004). This was supported by evidence from microfaunal and
131 microfloral data (Dudziak 1984; Birkenmajer and Łuczowska 1987; Troedson and Riding
132 2002; Hara and Crame 2004). The presence of infaunal bivalves in life position, horizontal
133 crab burrows, and the vertical growth position of corals led Roniewicz and Morycowa (1987)
134 to suggest deposition in low energy water interspersed with chaotic burial.

135 Previous studies on the CMF focussed on taxonomic descriptions of the different
136 marine invertebrate groups (Roniewicz and Morycowa 1985; Förster 1985; Förster et al.

137 1987; Jesionek-Szymańska 1987; Karczewski 1987; Szaniawski and Wrona 1987; Feldmann
138 and Crame 1998; Bitner and Crame 2002; Jonkers 2003; Hara and Crame 2004; Anelli et al.
139 2006; Whittle et al. 2011; 2012; Beu and Taviani 2013), or intra-taxon assemblage
140 characterisation (Whittle et al. 2012). Microfossils identified from the CMF included
141 Polychaeta in the form of jaw elements, Foraminifera, Radiolaria, Ophiuroidea elements and
142 Ostracoda (Birkenmajer and Łuczowska 1987; Szaniawski and Wrona 1987). Fossils have
143 been found from 14 different sites along the Cape Melville Peninsula (Fig. 1).

144 Along with the underlying late Oligocene Destruction Bay Formation (Quaglio et al.
145 2010), the Cape Melville Formation provides a unique fossil record in the Antarctic Peninsula
146 region during a latest Oligocene to earliest Miocene interglacial to glacial transition
147 (Birkenmajer et al. 1983; Dingle and Lavelle 1998; Troedson and Riding 2002). Based on
148 evidence from Cape Melville fossils we hypothesise that the evolution of modern Antarctic
149 marine community structure was still incomplete in the early Miocene.

150

151 **Materials and Methods**

152

153 British Antarctic Survey (BAS) specimens were collected from the CMF by one of us
154 (JAC) in the 1994/1995 field season using the numbers P. 2701 (75 specimens), P. 2702
155 (1321 specimens) and P. 2707 (155 specimens). Fossils in the BAS assemblage were studied
156 using an optical microscope, measured using Vernier callipers, identified to lowest possible
157 taxonomic level and counted. All specimens were collected from approximately the
158 uppermost 75 m of Unit D of Troedson and Riding (2002) and can be regarded as one time-
159 averaged assemblage for the purposes of this study (Fig. 1b, c). Infaunal fossils from the

160 CMF are relatively well preserved, and can be counted as individual animals. The majority of
161 the bivalves have both valves, only the very rare epifaunal species are preserved as single
162 valves. The epifaunal gastropods are not well preserved, but they still occur as complete
163 specimens. However, nearly all are internal moulds and key features such as ornaments,
164 apertures, and protoconchs are frequently missing. Most of the decapod specimens are
165 preserved as articulated specimens, although there are also disarticulated appendages in the
166 collection. Only decapods that could be identified as belonging to a single specimen were
167 included in taxon counts, so their contribution to the overall fauna is a minimum estimate.

168 Data for the assemblage reconstruction for the CMF came from this study, and are
169 based on the relative proportions of taxa found. Community comparisons were undertaken
170 using assemblage data from several sources. Recent relative abundance data, at order, class,
171 and phylum level, for the West Antarctic Peninsula region came from the unpublished results
172 of the BAS expedition JR230 (data held by Dr DKA Barnes of BAS.
173 http://www.bodc.ac.uk/data/information_and_inventories/cruise_inventory/report/14037/)
174 from a total of 39 stations at approximately 500 m deep (collected using a 2 m wide Agassiz
175 trawl with an inner net mesh size of 10 mm). The Recent Beagle Channel (23 stations, 14 –
176 348 m), South Patagonian Ice-Field (20 stations, 20 – 711 m), and Straits of Magellan (29
177 stations, 8 – 571 m) data were from Thatje and Mutschke (1999). Only taxa that are likely to
178 fossilise and were found in the CMF were compared to modern data to account for
179 taphonomic bias in the fossil record, e.g. taxa such as the holothurians and ascidians were
180 excluded. The multivariate statistical software PRIMER 6 (Clarke and Warwick 2001) was
181 used to analyse faunal similarities between communities by implementing the Bray-Curtis
182 measure performed on relative abundance data. The similarity matrix was then used for a
183 cluster analysis.

184

185 **Results**

186

187 The BAS invertebrate macrofauna collection comprises 1,551 individuals belonging
188 to seven phyla (Cnidaria, Mollusca, Annelida, Arthropoda, Bryozoa, Brachiopoda, and
189 Echinodermata), collected from the three localities in the uppermost 75m of the CMF (Fig. 1,
190 Table 1). Twenty two families, eighteen genera, and fourteen species were identified. All
191 fossils identified to species level in the collection are endemic to the CMF. Bivalves
192 dominated the assemblage, making up 57.8% of the total fauna, then gastropods at 20.4%,
193 and the only other taxa that contributed significantly to the fauna were corals (13.5%) and
194 decapods (6.1%). A reconstruction of the community, based on the relative abundances of
195 taxa, is shown in Fig. 2.

196 The bivalve assemblage included eight bivalve families, Nuculidae (60.5% of the
197 bivalves), Sareptidae (16.5% of the bivalves), Malletiidae (7.7% of the bivalves), Hiattellidae
198 (3.5% of the bivalves), Periplomatidae (1.0% of the bivalves), Limopsidae (1.2% of the
199 bivalves), Limidae (0.1% of the bivalves), and Pectinidae (0.1% of the bivalves), and 10
200 species have been identified (Jonkers 2003; Anelli et al. 2006; Whittle et al. 2012). It is
201 dominated by protobranchs (89% of the bivalves), with the Nuculidae forming the most
202 numerous component (60% of the bivalves) (Whittle et al. 2012). The BAS collection
203 contained two recently described species (Whittle et al. 2011; 2012) and an as yet
204 undescribed limid (Quaglio et al. in prep) in addition to those already described from the
205 CMF (Anelli et al. 2006).

206 Six gastropod families were identified in the BAS CMF collection (Volutidae,
207 Cerithiidae, Epitonidae, Turritellidae, Naticidae, and Buccinidae, s.l.). Most designations
208 have been made to family level, some of these are tentative identifications, and 42% of the
209 gastropod fauna is classed as indeterminate. The gastropods are dominated by predatory
210 naticids (23% of the gastropods). Originally, Karczewski (1987) identified 18 gastropod
211 families, 26 genera and 30 species based on 63 fossil specimens from 6 localities in the Cape
212 Melville Formation. Specimens were mostly compared with taxa from North America and
213 Europe (Karczewski 1987). More conservative identifications for this material were made by
214 Beu (2009), who placed fossils in five families, with a further two questionable family
215 assignments. In total nine genera were listed, six of which were of uncertain taxonomic
216 status, and several gastropods were indeterminate (Beu 2009). Families that were identified
217 by Beu (2009) that are found in our collection are Turritellidae, Naticidae, and Buccinidae,
218 s.l.. Families that Beu (2009) identified that are not found in our collection are Aporrhaidae,
219 ?Philinidae, and ?Mathildidae and the superfamily Conoidea, including the former family
220 Turridae (Bouchet et al. 2011; Engl 2012), whose Antarctic fossil members are of uncertain
221 taxonomic status at present.

222 The BAS collection contained 210 specimens of *Flabellum* corals (13.5% of the
223 invertebrate fauna), and was the second most abundant group after the Mollusca. Corals were
224 originally described from Polish CMF material, and were assigned to the species *Flabellum*
225 *rarisepatum* Roniewicz and Morycowa 1985. Corals from other collections were found
226 either in life position (Birkenmajer et al. 1983; Birkenmajer 1984) (vertically orientated) or
227 overturned (Roniewicz and Morycowa 1987). All post-larval skeletal stages were represented
228 (Roniewicz and Morycowa 1987).

229 Two decapod taxa were found in the unit, and they accounted for at least 6.1% of the
230 fauna (Table 1). Prolific remains of *Antarctidromia inflata* Förster, 1985, (Family
231 Homolodromiidae) were found in the BAS collection (91 specimens that can be attributed to
232 single animals and a further 51 disarticulated appendages have been found, which have not
233 been counted in the overall fauna). Preservation ranged from incomplete carapaces, with or
234 without some articulated appendages, to individual claws. Crab remains have been found by
235 other workers; Förster et al. (1987) described the remains of nearly 200 almost complete crab
236 carapaces, which they suggest to be body fossils as opposed to moults. The crabs were
237 associated with burrow structures found on the Melville Peninsula, suggesting that they are
238 the remains of an ancient community (Förster et al. 1987; Uchman and Gaździcki 2010). All
239 growth stages from juveniles to adults were found, and males were more abundant than
240 females (Förster et al. 1987). Homolodromiidae is a primitive brachyuran family with a
241 sparse fossil record in the Mesozoic and Cenozoic (Förster 1985; Schweitzer et al. 2004).
242 However, two different fossil genera, *Antarctidromia* and *Antarctiprosopon*, have been found
243 in Antarctica (Förster 1985; Feldmann and Wilson 1988; Schweitzer and Feldmann 2011).
244 These occurred in very different environmental settings, but reasonably close in geographical
245 terms. In the late Eocene, *Antarctiprosopon* lived in a shallow water, nearshore environment
246 (Feldmann and Wilson 1988), but in the early Miocene *Antarctidromia* inhabited a deeper
247 water setting in a muddy environment, and had a burrowing mode of life (Förster et al. 1987).
248 There is little information about the ecology of recent Homolodromiidae. The two modern
249 genera (*Dicranodromia* and *Homolodromia*) are found in water depths ranging from 35 to
250 1080 m, with the highest number of records at around 700 m in depth (supplementary table
251 1). The BAS CMF collection also contained 4 specimens of the lobster *Hoploparia gazdzickii*
252 originally described by Feldmann and Crame (1998).

253 The remaining groups in the CMF BAS collection accounted for just over 2% of the
254 fauna (Table 1). Scaphopods have been mentioned in several publications but formal
255 identifications have not been published. BAS specimens belong to *Dentalium* sp.. The genus
256 is also known in the Antarctic fossil record from the Oligocene Polonez Cove Formation at
257 Magda Nunatak on King George Island (Pugaczewska 1984). Bryozoans from the BAS
258 collection were described by Hara and Crame (2004) in the family Aspidostomatidae and
259 identified to the species *Aspidostoma melvillensis*. Brachiopods were identified from the
260 family Terebratulidae, genus *Liothyrella* and the family Laqueidae, genus *Paraldingia*
261 (Bitner and Crame 2002). Bryozoan fragments were noted by Birkenmajer and Łuczowska
262 (1987) in their microfossil collections. Echinoderms from Polish collections were identified
263 to 3 genera in the families Cidaridae, Echinidae and Schizasteridae (?*Notocidaris*,
264 ?*Sterechinus* and ?*Schizaster*). Assignments were tentative due to the poor preservation of the
265 material (Jesionek-Szymańska 1987). Echinoids from the BAS collection can be identified to
266 the family Cidaridae and slightly better material can be placed in ?*Notocidaris*. Echinoid
267 spine fragments were also identified from Polish collections (Birkenmajer and Łuczowska
268 1987), but were not found in the BAS collection. Serpulid worm tubes are found preserved in
269 the BAS CMF assemblage in low numbers (Table 1).

270

271 Feeding strategy

272

273 In the CMF assemblage only ten out of the 22 families identified could be classed as
274 purely suspension feeding (45%); and considering total abundance numbers, only 22% of the
275 invertebrate fauna could be classed as purely suspension feeding (indeterminate bivalve and

276 gastropod taxa, for which feeding strategy could not be determined, were not included in the
277 total). Overall, it is clear that the CMF is not dominated by sessile suspension feeding
278 communities of sponges, cnidarians, bryozoans, ascidians, and echinoderms that are seen in
279 many modern Antarctic communities (Dayton et al. 1986; Gili et al. 2006; Gutt et al. 2013).

280 Numerically, the majority of Cape Melville invertebrates were deposit feeders (Table
281 1). Bivalves were the dominant taxa and of the 851 specimens identifiable to family level or
282 higher (the 45 indeterminate specimens were excluded), 93.8% were deposit feeders and
283 6.2% were suspension feeders; this is because the bivalve fauna is dominated by deposit
284 feeding nuculids. These percentages are similar to relative abundances from the modern day
285 Beagle Channel, Patagonia, where bivalve species are overwhelmingly infaunal (98.12%)
286 with only 1.88% being epifaunal, and the nuculids account for the largest percentage of the
287 bivalves (33%) (percentages for the soft bottomed stations, ranging from 25 to 665 m deep,
288 were taken from Linse and Brandt 1998).

289 Taxonomically, 3 bivalve genera were deposit feeders and 5 were suspension feeders
290 in the CMF. It is difficult to assess feeding strategies of gastropods, and some taxa may
291 exhibit more than one feeding strategy at different stages of development (Allmon 1988). For
292 example, most Turitellidae species are ciliary suspension feeders, but some or all may be
293 deposit feeders or grazers for at least part of the time (Allmon 1988); in Table 1 they have
294 been counted as suspension feeders. However, they were the only suspension feeding
295 gastropod taxon identified (accounting for 13% of the gastropods). 79.3% of the gastropods
296 were carnivorous (predatory or a mixture of predatory/scavenging), and some of these taxa
297 have specialised feeding preferences, such as the Epitoniidae, which feed on sea anemones
298 and corals (Robertson 1963), and the Conoidea, which mainly feed on polychaetes (Kantor
299 and Taylor 1991). The remaining taxa were a mixture of deposit feeding and grazing.

300 *Dentalium* is also thought to be a strongly specialised feeder; it was classed as a predator
301 based on the work of Morton (1959), who observed that they used prehensile tentacles
302 (captacula) to actively search out and locate Foraminifera.

303

304 Assemblage structure

305

306 The overall composition of the CMF assemblage showed a much more marked
307 similarity to the Recent Beagle Chanel (>85% similarity) and Straits of Magellan (~74%
308 similarity) community structures than it did to the modern West Antarctic Peninsula (<35%
309 similarity), when the relative abundances of preservable taxonomic groups were compared
310 (Fig. 3).

311 At the taxonomic levels shown in figure 3, the only obvious difference between the
312 CMF assemblage composition and that of the Beagle Channel is the relative abundance of
313 Cnidaria (13% and <0.5% relative abundance respectively). Figure 3 shows that when
314 durophagous decapods are present in modern settings (such as the Beagle Channel, South
315 America), bivalves and gastropods dominate the assemblage (>90% of collected preservable
316 samples), and the proportions of many groups such as scaphopods (<1%), brachiopods
317 (<0.5%), and bryozoans (<0.5%) are lower (Fig. 3). Durophagous decapods are absent from
318 the fauna in the Western Antarctic Peninsula, here groups that are minor elements in the
319 Beagle Channel, such as bryozoans (~14%) and echinoids (25%) are much more prevalent
320 (Fig. 3).

321 Species level data from mollusc collections from Beagle Channel (Linse and Brandt
322 1998) show large percentages of infaunal molluscs, which is similar to the CMF assemblage.

323 33% of the bivalves in the Beagle Channel are nuculids (Linse and Brandt 1998); in the CMF
324 60.5% of the bivalves are nuculids. Other dominant bivalve families identified from the
325 Beagle Channel include Lasaeidae (an infaunal family accounting for 29% of the bivalves),
326 Malletidae (16% of the bivalves), and Nuculanidae (9% the bivalves). In the CMF the other
327 dominant bivalve families are Sareptidae (16.5% of the bivalves), Malletiidae (7.7% of the
328 bivalves), and Hiatellidae (3.5% of the bivalves).

329

330 **Discussion**

331 Outcrops of fossiliferous rocks are comparatively rare in Antarctica and, obviously,
332 trends cannot be inferred for the whole of Antarctica based on evidence from a single locality
333 as depositional settings vary between fossil sites. Also, variations in physical setting and
334 differential preservation of taxa make it difficult to make firm conclusions based on
335 comparisons of fossil occurrence data with modern data. However, the CMF preserves
336 interesting evidence of a glacial fossil assemblage that has no direct parallels in Antarctica at
337 the present day, but has a greater similarity to the modern day Beagle Channel community
338 structure. There are many explanations why the CMF invertebrate community structure does
339 not resemble that of modern day Antarctica and many of these explanations may be
340 interlinked. Variations in the preservation potential of aspects of the CMF fauna, the presence
341 or absence of community structuring organisms, the amount of terrigenous sediment input,
342 cooling climate, a loss of shelf habitats, lateral heterogeneity, changes in seasonal variations
343 of annual food supply and environmental setting may have all contributed to the differences
344 between communities.

345

346 Taphonomy creates a biased record of the living community, as larger organisms with
347 hard parts are preferentially preserved (Staff et al. 1986). Looking at the CMF fauna, there
348 are generally well-preserved infaunal elements, but the epifaunal component is less
349 represented and less well-preserved. Gili et al. (2006) noted 10 major groups of epifaunal
350 sessile suspension feeders in the modern Antarctic fauna (Porifera, Gorgonaria, Pennatularia,
351 Alcyonaria, Stolonifera, Hydrozoa, Actiniaria, Bryozoa, Brachiopoda, and Ascidiacea), most
352 of these have a very low fossilisation potential, so it is possible that these elements were
353 present but not preserved. Conversely, the epifaunal suspension feeding component may not
354 have been as numerous or taphonomic processes, like destruction by iceberg scouring,
355 destroyed evidence of them. There are other factors that may have affected the community
356 structure, for example if active iceberg scouring had occurred then the fauna may have
357 developed predominantly infaunally in response to this. This is seen in some modern
358 Antarctic assemblages, which are seemingly well adapted to rapid recovery following
359 disturbance events by having a simple infaunal structure (Smale et al. 2008). Given these
360 limitations the reconstruction of the Cape Melville Formation fossil assemblage (Fig. 2) was
361 made to the best of our knowledge using only the data we have available.

362 Aronson et al. (2009) suggested that the evolution of the modern Antarctic
363 community structure initiated towards the end of the Eocene, with the start of a major decline
364 in global temperatures, based on evidence from the late Eocene of Seymour Island (Aronson
365 et al. 1997). This cooling was thought to have led to a reduction in activity and eventual loss
366 of durophagous predators such as decapods, sharks, and many teleosts, resulting in
367 communities with an archaic state, low in predators and high in epibenthic sessile suspension
368 feeding groups such as ophiuroids and crinoids. This structure is seen in modern Antarctic
369 environments (Aronson et al. 2009). Evidence from the CMF assemblage does not fit with the
370 modern Antarctic community structure, or that of the late Eocene of Seymour Island. The

371 dominance of communities composed of suspension feeders and associated fauna in
372 Antarctica today has been confirmed by Gutt et al. (2013). However, this study also shows
373 that there is heterogeneity in Antarctic macrobenthic communities. A reason that the CMF
374 community structure could have differed from that of the late Eocene of Seymour Island is
375 that the community structure could have varied around the continent in the past, as it does
376 today (Gutt et al. 2013). Alternatively deeper water settings (Cape Melville Formation) could
377 have developed a retrograde structure at a different time to shallower water settings (La
378 Meseta Formation).

379 Purely suspension feeding groups only account for 22% of the CMF assemblage;
380 they are not a dominant element of the community. In particular, dense assemblages of
381 suspension feeding crinoids and ophiuroids, such as those seen in late Eocene Seymour Island
382 assemblages, (Aronson and Blake 2001) were not found in our CMF collection. Also,
383 brachiopods are not found in abundance in the Cape Melville assemblage, but predatory
384 decapod groups are found. The absence of ophiuroids and crinoids may well be taphonomic,
385 however, decapods also have a relatively low preservation potential due to their strong
386 susceptibility to decay early in the post-mortem history (Stempien 2005; Krause et al. 2011),
387 and articulated specimens are found in abundance in the formation. Rare ophiuroid fragments
388 were identified in low numbers (six elements) from Polish Cape Melville collections
389 (Birkenmajer and Łuczkowska 1987), but ophiuroid elements were not found in our CMF
390 collection. Either the presence of a high number of predatory decapods restricted suspension
391 feeding groups like the ophiuroids, or they were not preserved. Ophiuroid ossicle dissolution
392 is relatively rapid and experimental taphonomy has suggested that the stratigraphic record
393 does not accurately reflect the presence and abundance of ophiuroids (Walker et al. 2013).
394 Gilli et al. (2006) stated that durophagous predators do not normally prey on sessile
395 organisms such as sponges, cnidarians and bryozoans, and therefore the lack of large

396 predators is probably not a major factor explaining the make-up of the sessile Antarctic fauna
397 today (Gili et al. 2006). They suggested that a scarcity of herbivores, a lack of terrigenous
398 sediment input, and a paucity of bioturbators, in addition to the low number of predators, may
399 have influenced the modern Antarctic benthos (Gili et al. 2006). It has also been suggested
400 that seasonal variations of annual food supply may strongly influence the production and
401 productivity of Antarctic invertebrates (Arntz et al. 1994; Gorny 1999; Thatje et al. 2003). A
402 marked seasonality of primary production in the Southern Hemisphere, and the resultant
403 restriction on food supplies, is thought to select against certain groups of animals. This is
404 known as Thorson's rule (Mileikowsky 1971). Groups, which in cold waters have prolonged,
405 planktotrophic larval development, for example some reptant decapods, will be selected
406 against at high latitudes (Thorson 1936; Thorson 1950; Thatje et al. 2003).

407 The abundance of *Antarctidromia* in the CMF is interesting as the present day
408 Antarctic decapod fauna is impoverished (Thatje and Arntz 2004; Griffiths et al. 2013).
409 Lithodid crabs are found in modern Antarctic seas but brachyuran crabs and lobsters are very
410 rare or even absent from high-Antarctic shelves (Thatje and Arntz 2004; Griffiths et al.
411 2013). Decapods are also uncommon in the fossil record of Antarctica; the CMF is the second
412 most abundant locality after Seymour Island, where a late Cretaceous to Eocene fauna is
413 found (Feldmann and Wilson 1988; Feldmann et al. 2003; Griffiths et al. 2013). Currently,
414 the exact timing of the extinctions of durophagous predators (such as brachyuran crabs and
415 lobsters) in the Antarctic region is uncertain, but their decline and disappearance has been
416 linked to cooling, which began at the end of the Eocene (Aronson and Blake 2001; Eastman
417 2005; Aronson et al. 1997; 2007; 2009; 2011). However, the presence of decapod crustaceans
418 in the early Miocene CMF, which is a glacially influenced unit, indicates that the degree of

419 temperature decline in the Eocene was not enough to completely eliminate decapods from
420 Antarctic ecosystems.

421 There may have been reversals in the trend towards a retrograde community structure
422 in periods that were warmer than at the time of deposition of the La Meseta Formation in the
423 Eocene (Aronson et al. 2007). This would have led to incursions of reptant decapods along
424 the Scotia arc and via the porous Antarctic Circumpolar Current (Aronson et al. 2007).
425 However, the evidence for glaciation during the deposition of the CMF (diamictites, glacial
426 scouring and dropstones) indicates that it was deposited in cooler conditions the La Meseta
427 Formation, which does not preserve any evidence for a glacial depositional environment.
428 There is a later record of a Pliocene lobster from Marine Plain (East Antarctica) (Feldmann
429 and Quilty 1997, Griffiths et al. 2013). It is possible that warmer sea temperatures at this time
430 might have allowed an incursion of decapods (Aronson et al. 2007). However, Marine Plain
431 is on the geographically isolated coast of East Antarctica (Feldmann and Quilty 1997); most
432 recent biogeographic analyses show the strongest affinities between the Antarctic region and
433 Southern South America, along the Scotia arc (Aronson et al. 2007) with little or no
434 biogeographic connections between East Antarctica and its neighbouring regions (Griffiths et
435 al., 2009). Therefore, it is also possible that community structuring durophagous predators
436 such as lobsters, and possibly some crabs, persisted in Antarctica until the Pliocene, but
437 conditions for their preservation were not suitable. Another view on the restriction of
438 brachyuran crabs and lobsters from Antarctic shelves was given by Gorny (1999), who
439 suggested their absence was due to the elimination of the shallow-water fauna during
440 glaciations of the southern hemisphere, after noting the South American brachyuran crab
441 species were restricted to shallower depths, above 200m, on sandy or muddy environments
442 (Gorny 1999). The few modern day shallow water habitats around Antarctica are

443 characterised by strong disturbance from ice, thus restricting shallow water groups (Arntz et
444 al. 1994; Gorny 1999).

445 The early Miocene CMF shows a greater similarity in community structure to the
446 modern day Beagle Channel than to modern Antarctic communities. This similarity may be
447 due to a similar environmental setting, in an area that experienced seasonal melting and
448 outflow from glaciers causing terrigenous sediment input (Linse and Brandt 1998). The
449 modern Antarctic lacks terrigenous sediment input (Gili et al. 2006), which may explain why
450 the fauna of the early Miocene Antarctic Cape Melville faunal community structure does not
451 resemble that of Antarctica today.

452

453 **Conclusion**

454

455 Although it is only one site, evidence from the CMF suggests that the shift to the modern
456 community structure was not a rapid change coincident with cooling in the Eocene, and that
457 the modern Antarctic benthic community structure had still not fully formed in the early
458 Miocene. It is possible that the evolution of the modern community structure occurred more
459 recently, due to factors such as further cooling and isolation of the continent leading to
460 widespread glaciation, which resulted in a loss of shallow shelf habitats.

461

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463

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472

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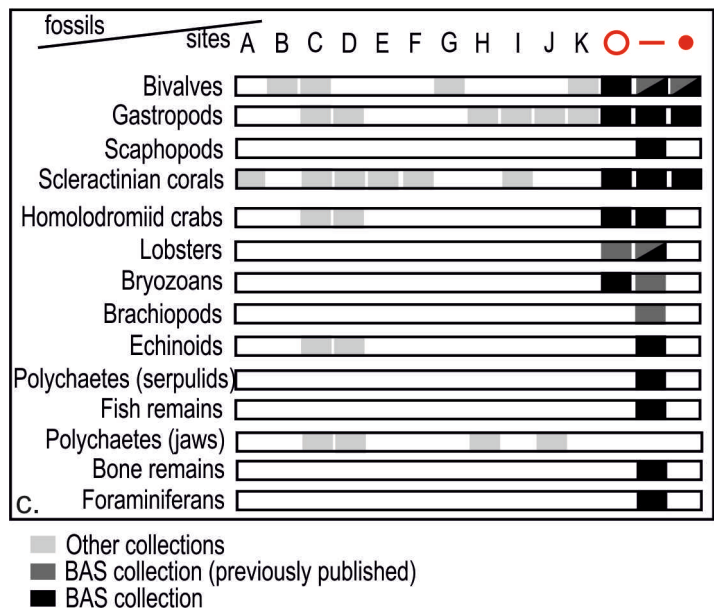
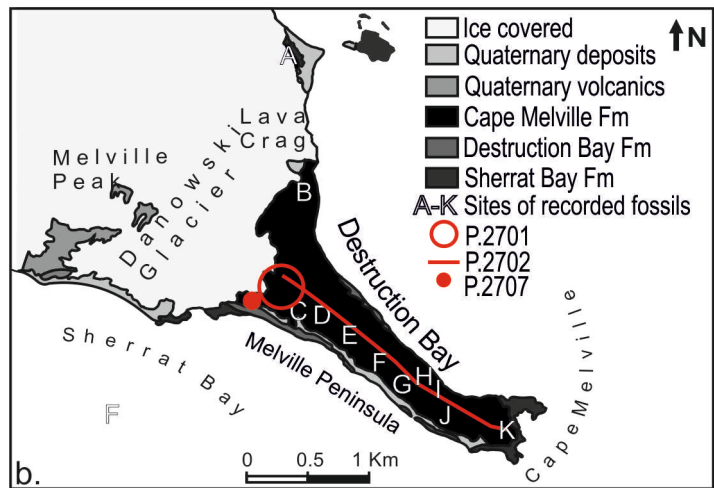
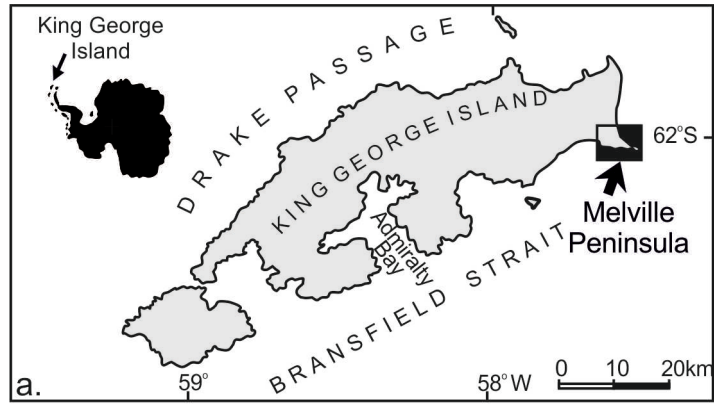
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742 **Figure Captions**

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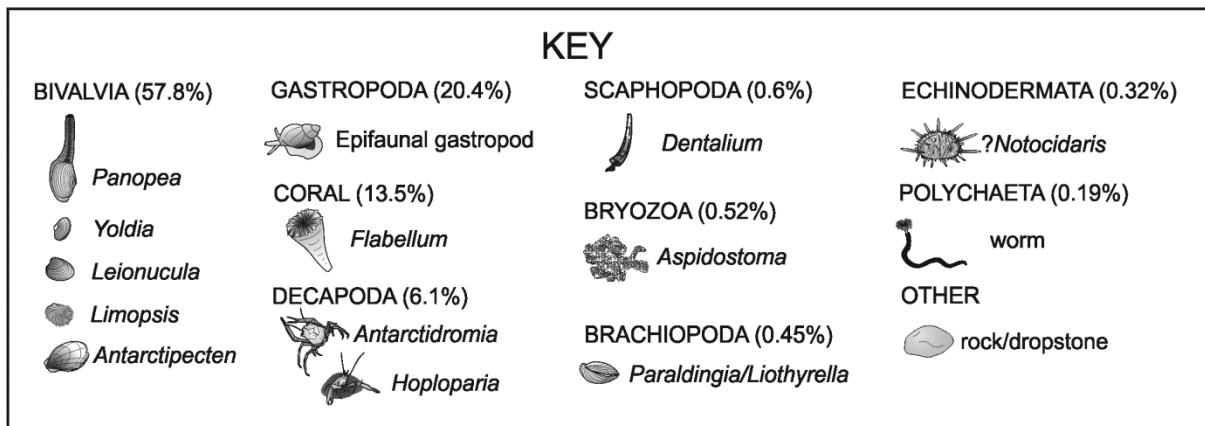
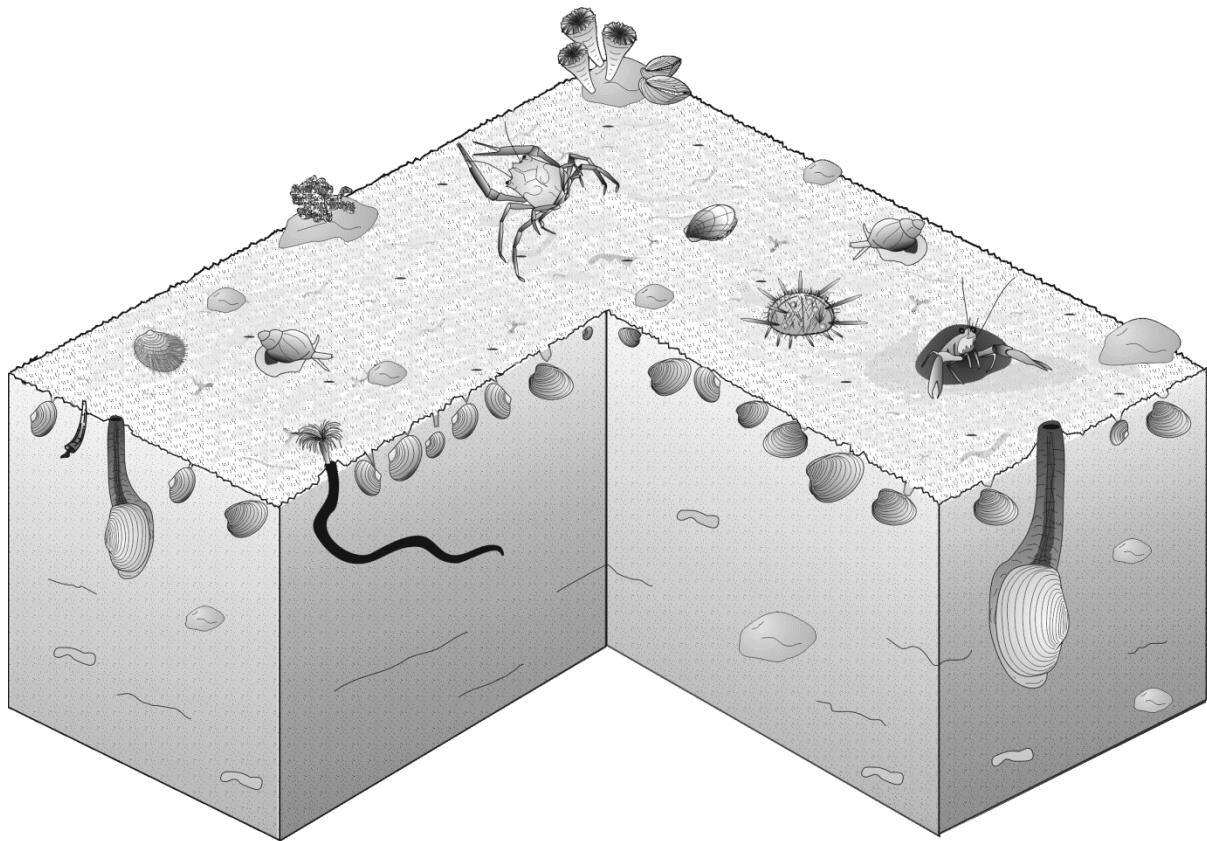
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746 Fig. 1 a. Location map of the Melville Peninsula at King George Island, West Antarctica b.

747 Geological map of Melville Peninsula showing the sites of recorded fossils; sites A-K are the

748 locations of fossils from in previous publications. P.2701 is the area of collection for BAS
749 fossils with this prefix, P.2702 is a line of section along which BAS fossils were collected
750 and P.2707 is the final BAS fossil locality. c. Fauna recorded from the Cape Melville
751 Formation, at locations shown in b. Occurrences of all fossils described from Unit D of the
752 CMF. Data from: Förster et al. (1985; 1987), Jesionek-Szymańska (1987), Karczewski
753 (1987), Roniewicz and Morycowa (1987), Szaniawski and Wrona (1987), Feldmann and
754 Crame 1998, Bitner and Crame (2002), Jonkers (2003), Hara and Crame (2004), Anelli et al.
755 (2006), Whittle et al. (2011), Whittle et al. (2012), Beu and Taviani (2013).

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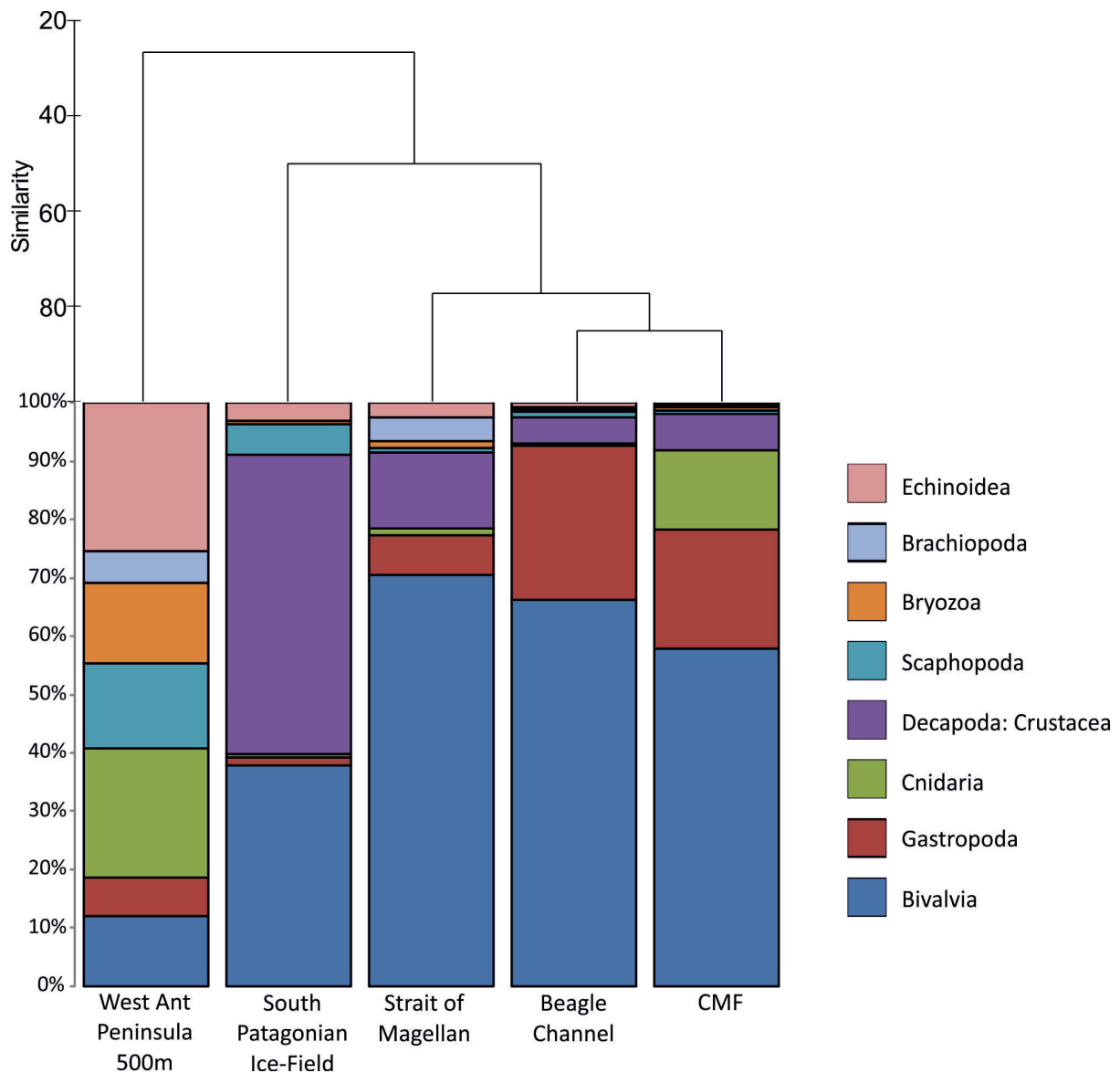
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759 Fig. 2 Reconstruction of the Cape Melville Formation fossil assemblage based on fossil data
 760 from this paper, all specimens were collected from approximately the uppermost 75 m of
 761 Unit D of Troedson and Riding (2002) and can be regarded as one time-averaged assemblage
 762 for the purposes of this study.

763

764



765

766 Fig. 3 Percentage similarity between the relative abundances of preservable higher level taxa
767 between the fossil assemblage of the Cape Melville Formation and the Recent assemblages of
768 the West Antarctic Peninsula and Southern South America. Percentage similarity was
769 calculated using the Bray-Curtis coefficient.

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775 Table 1. Invertebrate fossils collected from the Cape Melville Formation in the 1994/5 field
776 season by the British Antarctic Survey. Fossils came from Unit D of Troedson and Riding
777 (2002) and were collected at three different sites, P.2701, P.2702 and P.2707 (localities
778 shown on Fig. 1b). Habitat abbreviations: SI = Shallow infaunal, I = Infaunal, E = Epifaunal,
779 DI = Deep Infaunal. Feeding Strategy abbreviations: DF = Deposit feeder, SF = Suspension
780 feeder, G = Grazer, P = Predatory, SC = Scavenger, O = Omnivorous. Life habits and feeding
781 strategies from Morton 1959; Robertson 1963; Allmon 1998; Jacob et al. 2003; Kase and
782 Ishikawa 2003; Gili et al. 2006, Crame 2013, and the Paleobiology Database
783 (<http://paleodb.org/>). The worm tubes (Polychaeta) found in the CMF were rare (only 3
784 specimens), but they appear to be infaunal, a deposit feeding/suspension feeding mode of life
785 is hypothesised. Numbers in brackets indicate fossils that were not able to be counted as
786 whole specimens, e.g. disarticulated appendages. These were not included in any of the total
787 counts of specimens as it cannot be certain that they are from the separate specimens.

788

789 Supplementary Table 1. Records of Recent Homolodromiidae occurrences, with minimum
790 and maximum water depths.

Phylum	Class	Order	Superfamily	Family	Genus	Species	H	FS	2701	2702	2707	Total	
Mollusca	Bivalvia	Nuculoida	Nuculoidea	Nuculidae	?	?	SI	DF		6		6	
					<i>Leionucula</i>	<i>frigida</i>	SI	DF	16	367	112	495	
					<i>Leionucula</i>	<i>melvilleana</i>	SI	DF		41		41	
		Nuculanoida	Nuculanoidea	Indeterminate	?	?	I	DF	1	33	5	39	
					Sareptidae	<i>Yoldia</i>	<i>peninsularis</i>	I	DF		148		148
					Mallettiidae	<i>Neilo (Neilo)</i>	<i>rongelii</i>	I	DF	2	65	2	69
		Arcoidea	Limopsoidea	Limopsidae	<i>Limopsis</i>	?	E	SF		4		4	
					<i>Limopsis</i>	<i>psimolis</i>	E	SF		2	1	3	
					<i>Limopsis</i>	<i>infericola</i>	E	SF		3	1	4	
		Pectinoida	Pectinoidea	Pectinidae	<i>Antarcticpecten</i>	<i>alanbeui</i>	E	SF		1		1	
		Limoida	Limoidea	Limidae	<i>Limatula</i>	(<i>Antarctolima</i>) sp.	E	SF		1		1	
		Myoida	Hiatelloidea	Hiatellidae	<i>?Panopea</i>	?	DI	SF	1	13		14	
					<i>Panopea</i>	sp.	DI	SF	1	15	1	17	
		Pholadomyoida	Thracioidea	Periplomatidae	<i>Periploma</i>	<i>acuta</i>	I	SF	1	7	1	9	
		Indeterminate	?	?	?	?	?	?		43	2	45	
		Gastropoda	Neogastropoda	Muricoidea	?Volutidae	?	?	E	P/SC	1	8	1	10
					Volutidae	?	?	E	P/SC	6	8	2	16
				Buccinoidea	Buccinidae, s.l.	<i>?Prosipho</i>	?	E	P/SC		8	1	9
					Buccinidae, s.l.	<i>?Penion</i>	?	E	P/SC	1	5		6
					?Buccinidae, s.l.	?	?	E	P/SC		1		1
Littorinimorpha	Conoidea			?	?	?	E	P	1	27	2	30	
				Naticidae	?	?	SI	P	7	56	5	68	
				?Naticidae	?	?	SI	P		5		5	
Caenogastropoda	Cerithioidea			Cerithiidae	?	?	E	DF/G	2	7	3	12	
				?Cerithiidae	?	?	E	DF/G		2		2	
		Turritellidae	?	?	E	SF		22	1	23			

				?Turritellidae	?	?	E	SF		1		1	
			Epitonioidea	Epitoniidae	?	?	E	P	1			1	
		Indeterminate	?	?	?	?	?	?	7	123	3	133	
	Scaphopoda	Dentaliida		Dentaliidae	<i>Dentalium</i>	?	I	P		10		10	
Cnidaria	Anthozoa	Scleractinia		Flabellidae	<i>Flabellum</i>	<i>rariseptatum</i>	E	SF	21	177	12	210	
Arthropoda	Malacostraca	Decapoda	Homolodromioidea	Homolodromiidae	<i>Antarctidromia</i>	<i>inflata</i>	E	P	4(4)	87(47)		91	
			Nephropoidea	Nephropidae	<i>Hoploparia</i>	<i>gazdzickii</i>	E	P	1	3		4	
Bryozoa	Gymnolaemata	Cheilostomatida	Microporoidea	Aspidostomatidae	<i>Aspidostoma</i>	<i>melvillensis</i>	E	SF	1	7		8	
Brachiopoda	Rhynconellata	Terebratulida	Terebratelloidea	Laqueidae	<i>Paraladingia</i>	?	E	SF		2		2	
			Terebratuloidea	Terebratulidae	<i>Liothyrella</i>	?	E	SF		1		1	
					<i>?Liothyrella</i>	?	E	SF		1		1	
		Indeterminate	?	?	?	?	E	SF		3		3	
Echinodermata	Echinoidea	Cidaroida	Cidaridea	Ctenocidaridae	<i>?Notocidaris</i>	?	E	O/SF/G		5		5	
Annelida	Polychaeta	?		?	?	?	I?	SF/DF?		3		3	
									TOTAL	75	1321	155	1551

Supplementary Table 1

The Early Miocene Cape Melville Formation Fossil Assemblage and the Evolution of Modern Antarctic Marine Communities. Rowan J. Whittle¹, Fernanda Quaglio², Huw Griffiths¹, Katrin Linse¹ and J. Alistair Crame¹

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Supplementary Table 1. Records of Recent Homolodromiidae Occurrences, with minimum and maximum water depths.

Name	Min depth (m)	Max depth (m)	Reference
<i>Dicranodromia felderi</i>	35	35	GBIF
	346	346	GBIF
	320	340	GBIF
	585	585	GBIF
	585	585	GBIF
	630	630	GBIF
	640	640	GBIF
<i>Dicranodromia doederleini</i>	85	270	Martin (1990)
	120	220	GBIF
	180	200	GBIF
	200	200	GBIF
	200	250	GBIF
	200	250	GBIF
	400	400	GBIF
<i>Dicranodromia spinosa</i>	156	174	Martin (1994)
	165	165	Martin (1994)
	192	201	Martin (1994)
	201	201	Martin (1994)
	278	419	Martin (1994)
<i>Dicranodromia danielae</i>	200	300	Ng and Naruse (2007)
<i>Dicranodromia nagaii</i>	200	200	GBIF
<i>Dicranodromia simplicia</i>	229	229	GBIF
	320	320	GBIF
	320	320	GBIF

<i>Dicranodromia ovata</i>	260	260	GBIF
	329	329	Martin (1990)
	366	375	GBIF
	549	549	GBIF
<i>Dicranodromia chenae</i>	273	356	Ng and Naruse (2007)
<i>Dicranodromia nagaii</i>	300	300	GBIF
<i>Dicranodromia baffini</i>	300	300	GBIF
	461	561	Martin (1990)
	833	833	Martin (1990)
<i>Dicranodromia karubar</i>	356	468	M. Tavares (1998)
	356	356	GBIF
	393	393	GBIF
	393	393	GBIF
	410	410	GBIF
	434	434	M. Tavares (1998)
	443	443	GBIF
	451	451	GBIF
<i>Dicranodromia martini</i>	437	439	Ng and Naruse (2007)
	609	673	Ng and Naruse (2007)
	750	750	GBIF
	762	786	Ng and Naruse (2007)
	929	929	GBIF
<i>Dicranodromia mahieuxii</i>	454	1330	Martin (1990)
<i>Dicranodromia foersteri</i>	495	495	GBIF
	650	650	GBIF
<i>Dicranodromia crosnieri</i>	650	650	GBIF
<i>Dicranodromia spinulata</i>	675	675	GBIF
<i>Dicranodromia sp.</i>	590	590	M. Tavares (1998)
	650	650	M. Tavares (1998)
<i>Dicranodromia</i>	495	495	GBIF
<i>Homolodromia kai</i>	277	355	Ng and Naruse (2007)
	641	641	GBIF
	650	650	Ng and Naruse (2007)
	680	680	GBIF
	688	688	GBIF
	688	688	GBIF
	708	708	GBIF
	709	278	Ng and Naruse (2007)
	799	799	GBIF
<i>Homolodromia paradoxa</i>	457	732	GBIF
	543	783	GBIF
	549	549	GBIF
	624	631	GBIF
	651	651	GBIF
	658	695	GBIF
	750	841	GBIF
	805	841	GBIF
<i>Homolodromia robertsi</i>	500	1000	Pedro Báez and Martin (1989)
	800	800	GBIF
<i>Homolodromia monstrosa</i>	549	604	GBIF

	585	585	GBIF
	631	631	GBIF
	631	631	GBIF
	640	640	GBIF
	644	644	GBIF
	658	695	GBIF
	732	732	GBIF
<i>Homolodromia bouvieri</i>	850	960	Martin (1992)
	863	863	Martin (1992)
<i>Homolodromia</i>	480	480	GBIF
<i>Homolodromia</i>	860	860	GBIF
<i>Homolodromia</i>	886	927	GBIF
<i>Homolodromia</i>	890	955	GBIF
<i>Homolodromia</i>	890	1012	GBIF
<i>Homolodromia</i>	895	895	GBIF
<i>Homolodromia</i>	900	970	GBIF
<i>Homolodromia</i>	900	950	GBIF
<i>Homolodromia</i>	990	1076	GBIF
<i>Homolodromia</i>	1008	1080	GBIF

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