

1 **3D 360° surface morphometric analysis of pounding stone tools used by Hadza**
2 **foragers of Tanzania: a new methodological approach for studying percussive stone**
3 **artifacts**

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17

18 **Abstract**

19 Surface morphometry comprises a relevant set of techniques that provide objective
20 tools to identify, map, and understand use wear patterns in stone tools. Thus far, these
21 techniques have been applied mainly to 2D or 2.5D data, but their application to 3D 360°
22 data is promising and still underdeveloped. Here, we apply new 3D techniques to
23 calculate morphometric variables and to analyse surficial features and changes in
24 pounding stone tools used for baobab processing among Hadza foragers of Tanzania.
25 Baobab pounding stones were collected after use by Hadza foragers for processing the
26 plant food and then 3D point clouds were acquired from laser scanners and SfM
27 photogrammetry. Morphometry was conducted directly on 3D point clouds to avoid
28 time-consuming and surface modifications related to more complex 3D data, such as
29 meshing. Several morphometric variables were computed for the complete pieces (360°

30 sphere) providing fast and accurate data to identify the detailed morphometric features
31 of the artefacts. Additionally, stone surface changes due to baobab processing were
32 measured by comparing the stone surface before and after use, thus enabling
33 calculation of spatial abrasion patterns. Data were interpreted using multivariate
34 exploratory statistical analysis. Differences in the effect of processing on surface
35 morphology are likely explained by variations in raw source material and use. Results
36 suggest that the traces produced by baobab processing on stone tools should be
37 detectable in the archaeological record.

38

39 **Keywords:** 3D surface morphometry, pounding stone tools, use wear, baobab
40 processing, multivariate exploratory statistics

41

42 **1. Introduction**

43 The use of pounding tools to process plant foods is a common behavior among extant
44 primates, contemporary foragers, and in the archaeological record (de la Torre and
45 Hirata, 2015). Traces of pounding activities in the form of pitted hammerstones and
46 anvils appear early in the archeological record, suggesting pounding had an important
47 role in hominin food processing behavior and ultimately may have contributed to the
48 emergence of stone tool knapping (Marchant and McGrew 2005; Mora and de la Torre
49 2005). Despite their significance, few attempts have been made to quantitatively study
50 use wear of battered objects used in various food processing techniques (Arroyo et al.
51 2016; De la Torre et al. 2013). As our understanding of the significance of plant foods in
52 hominin evolution increases (Hardy and Martens 2016; Henry et al. 2014; Schnorr et al.
53 2016; Schoeninger et al. 2001; Vincent 1985), food processing techniques such as
54 pounding are receiving growing consideration (Crittenden and Schnorr 2017). Previous
55 work has provided clear use wear evidence for plant-processing in Oldowan
56 archaeological assemblages (Lemorini et al. 2014) and highlighted the significance of
57 percussion tools used to process baobab fruit (Marchant and McGrew 2005). Here, we
58 contribute to the discussion by providing the first 3D 360° morphometric data on use

59 wear of baobab pounding tools used by contemporary foragers, the Hadza of Tanzania.
60 While the Hadza are by no means a representation of the Paleolithic past, they do offer
61 a unique opportunity to explore the ways in which food processing techniques like
62 pounding might leave an archaeological trace. It is our hope that systematic observation
63 and description of these traces will allow us to better understand the function of
64 pounding tools in the archaeological record and, in turn, the diet of our ancestors.

65 Morphometry has been applied for years to investigate use wear in artifacts. Variables
66 such as roughness or fractal dimensions have been measured using confocal and
67 profilometry data (e.g. Anderson et al., 1998; Stemp and Stemp, 2001, 2003; Evans and
68 Donahue, 2008; Stemp et al., 2013, 2015). Most recently, GIS techniques have been
69 employed to analyse use wear traces through surface morphometry of laser scanner
70 data (Caruana et al., 2014; Benito-Calvo et al., 2015) allowing spatial patterns and micro-
71 morphometric changes of use wear to be defined (de la Torre et al., 2013, Benito-Calvo
72 et al., 2017). GIS allows computation of several morphometric indices and produces fast
73 and accurate maps that are useful for identifying and quantifying use wear.
74 Nevertheless, most of this software computes morphometric indices from 2.5D data
75 (e.g. raster Digital Elevation Models, Caruana et al., 2014, Benito-Calvo et al., 2015),
76 where the Z dimension is treated mostly as an attribute rather than as an independent
77 coordinate. These limitations do not allow for 3D 360° analyses of the pieces, and every
78 stone face must be processed separately. This work applies novel 3D 360° techniques
79 to point clouds acquired directly from a laser scanner and photogrammetry in order to
80 study the morphometric characteristics and alterations derived from baobab
81 processing, **using pounding stone tools of the same raw material**. Multivariate statistical
82 exploratory analysis is applied to the collected data for a robust understanding of the
83 resulting use wear on artefacts.

84

85 **2. Study Population**

86 The Hadza are a population of semi-nomadic hunter-gatherers living in a 4000km² area
87 around the shores of Lake Eyasi in a savanna mosaic environment in Northern Tanzania,
88 East Africa (Marlowe 2010). Of the total population size, which numbers approximately

89 1000 individuals, around 150 individuals continue to practice a predominantly hunting
90 and gathering way of life. This means that for large portions of the year, the bulk of their
91 diet is derived from wild foods (Berbesque and Marlowe 2009; Crittenden 2016;
92 Marlowe 2010). While no human population in Africa relies exclusively on wild foods
93 any longer, these few remaining bush-dwelling Hadza consume few domesticated
94 cultigens and spend significant amounts of time engaging in food collection and
95 processing (Blurton Jones 2016). Those living in the bush consume a diverse diet
96 composed of a wide variety of plant foods, an extensive array of bird species, small- to
97 large-size game, and the larvae and honey of both stingless and stinging bees (Marlowe
98 et al. 2014). Plant products include several types of berries, figs, drupes, legumes,
99 several species of tubers (underground storage organs), and fruit from the baobab tree
100 (*Adansonia digitata*).

101 Baobab fruit, known as “n//obabe” by the Hadza, is consumed throughout the year and
102 comprises approximately 14 % of the annual diet (Crittenden 2016). The fruit has an
103 inedible hard outer shell that accounts for approximately half of the total weight of the
104 fruit (Nour et al., 1980). The inside of the fruit contains approximately 15 – 20 seeds
105 which are covered with dry, white, chalky pulp. The inside of the fruit is either consumed
106 directly out of the shell (discarding the hard seeds inside the pulp) or pounded into a
107 flour, removing the seed husks by winnowing on the surface of small piece of animal
108 hide (Figure 1). Pounding is done by anvil alone, without the use of hammer. The
109 pounding stones are selected by the women prior to use and can remain in circulation
110 for several months or years. They are often left at the pounding site for general use – by
111 both women and children, the primary processors of baobaob fruit (Crittenden et al.
112 2013).

113

114 **3. Methodology**

115 **3.1. Materials**

116 Four stones used for baobab processing were collected from female Hadza foragers
117 during January 2015 by ANC and SVL (Table 1). The stones were in use at the time of

118 collection and typical of the pounding stones used to process baobab fruit. All stones
119 were collected from residents of one bush camp, Sengeli, where the majority of the diet
120 during data collection was composed of wild plant foods, game animals, and honey (see
121 Crittenden et al. 2017 for discussion of dietary interviews). The stones were then
122 washed, photographed, and 3D scanned for digital analysis. Based on interviews of the
123 foragers who provided the pounding stones, stones #1, 2, and 4 were in use for less than
124 one week prior to data collection and stone #3 was in use for several months. Each stone
125 was provided by a different forager and, like all baobab pounding stones, were used
126 communally by all female foragers at the pounding site located in camp. Human
127 Research Subjects approval for interviews and photography were obtained from the
128 University of Nevada, Las Vegas Institutional Review Board (IRB) and all data were
129 collected with the permission of the Tanzanian Commission for Science and Technology
130 (COSTECH).

131 Stones were collected in the SW margin of the Lake Eyasi, where granitoids, gneisses,
132 and metamorphic rocks in greenschist–amphibolite facies of the Archean Tanzanian
133 Shield outcrop (Kabete et al., 2012). **The study of stones from a single lithology (dark
134 green amphibolites) limited the variables affecting our analysis.** The only conspicuous
135 differences between stones are due to geomorphic processes: stones #1, #3 and #4 are
136 cobbles well rounded by fluvial processes and with no weathering features, while stone
137 #2 is a subangular and weathered cobble, which shows initial exfoliation cracks parallel
138 to the surface and an alteration patina with oxides on the rock surface. **Therefore, we
139 infer differences in the observed modifications to each stone are likely due to variations
140 in baobab processing time and intensity and/or the geomorphic processes affecting the
141 stones in the case of stone #2.**

142

143 3.2. 3D data capturing

144 3D reconstruction of the stone tools prior to processing was performed by means of a
145 structure-from-motion photogrammetric technique (Agisoft Photoscan 1.3.0.), since it
146 provides a more flexible and affordable way to acquire data in the field. Stone photos
147 were taken in the field using a conventional camera. The initial resulting point cloud

148 achieved an accuracy of 0.29 mm, with a mean distance between neighbouring points
149 of around 0.2 mm. However, when aligning this model with 3D scanner data, the 3D
150 photogrammetric data experienced a scale transformation factor of 0.86.

151 3D reconstruction of stone tools after processing the baobab fruit was carried out using
152 a Next Engine laser scanner (Caruana et al. 2014; Benito Calvo et al. 2015). Stones were
153 360° scanned using the macro mode (accuracy ± 0.1 mm), reaching a mean spatial
154 resolution of 0.2 mm. The resulting data were exported in 3D point cloud format (Figure
155 2), which was also used to carry out the morphometric analysis of the post-processed
156 stones surfaces.

157

158 3.3. 3D morphometric analysis

159 A critical step when analysing morphometry in 360° scanned pieces is to establish the
160 vertical datum or origin for the elevation variable that is used to study the piece and
161 calculate other variables (e.g. gradient or depth). A vertical datum established in a point
162 located outside the piece would produce a variation of the elevation from one pole of
163 the piece to the antipode (Figure 3A), instead of a variation reflecting the surficial
164 changes, while a Z origin located in a point inside the piece (e.g. the centroid of the
165 piece) would not accurately reflect the variations of the surficial elevation, since the
166 pieces are not spherical (Figure 3B). In order to solve this issue, we have used an
167 ellipsoid to create a reference vertical datum (Figure 3C). This ellipsoid was determined
168 by generating a convex hull (Barber, 1996), which represents the smallest 3D convex
169 surface containing all the data of the 3D point cloud. This surface joins the most external
170 points of the pieces, defining the reference ellipsoid or vertical datum from which to
171 calculate the elevation of the stone surface. This process was carried out for all four
172 stone tools (Figure 2B).

173 The surface elevation for each piece was recalculated as the orthogonal distance
174 between the convex hull and the point cloud representing the stone surface (Figure 3C).
175 These elevation values were used to analyse the surface morphometry and calculate
176 other variables including slope, curvature, roughness, depth and TPI index (Topographic
177 Index Position index). Slope measures the steepness of a surface, and in this work, is

178 expressed as the gradient of the surface (i.e., the ratio change of the elevation across
179 distance). Mean curvature was estimated as the arithmetic mean between the principal
180 curvatures of a 3D surface in a given point (Goldman, 2005). 3D roughness was
181 calculated following the method proposed by CloudCompare (2015), which is the
182 distance between a given point and the best local fitting plane computed for its nearest
183 neighbours. Mean curvature and roughness were applied considering a neighbourhood
184 with a 0.5 mm radius. Depth was extracted directly from the difference between the
185 convex hull and the point cloud. Depth values were used to map the 3D depressions and
186 ridges of the surface using the TPI index (Caruana et al., 2014; Benito-Calvo et al., 2015).
187 In this case, TPI was obtained as the difference between the depth in each point and the
188 mean depth calculated in a radius of 5 mm around the point.

189 3D analysis was carried out for each stone tool. 3D mapping of morphometric variables
190 is shown in the 3D multimedia videos (SOM videos). 3D morphometric analysis was
191 carried out using free-access 3D software, such as MeshLab (Cignoni et al., 2008) and
192 CloudCompare (2015). Morphometry of stone surfaces was characterized through
193 descriptive statistics and discussed using multivariate exploratory analysis (hierarchical
194 clustering and Principal Component Analysis, PCA), using PAST Software (Hammer et al.,
195 2001).

196

197 3.4. 3D surface change comparison

198 Topographic changes in the surfaces of stones during baobab processing were
199 investigated in one case, corresponding to face A of stone #1. This stone was
200 photographed in the field before baobab processing, and then 3D reconstructed in the
201 laboratory using the above mentioned photogrammetric techniques. The resulting pre-
202 processing 3D model was compared with the post-processing 3D model, surveyed using
203 a Next Engine laser scanner. To make these two 3D models comparable, we performed
204 a 3D alignment of both models to adjust the scale and reference them in a common
205 spatial coordinate system. For the latter, we used the Iterative Closest Point technique
206 (Benito-Calvo et al., 2017). Through this technique, a reference point cloud is kept fixed,
207 while a second point cloud is transformed (usually by a combination of translation and

208 rotation) to best match the reference point cloud. In this case, we chose the post-
209 processing 3D model (surveyed with the scanner) as the reference point cloud, while the
210 transformed point cloud was the pre-processing model (surveyed using
211 photogrammetry). Since both 3D point clouds were generated using different
212 techniques, we applied a variable scale during the transformation. The transformation
213 was carried out using 500,000 comparison points, obtaining a scale transformation
214 factor of 0.86 and a final RMS=0.199 mm, which is similar to the resolution and accuracy
215 of both models.

216

217 Once both 3D models were aligned, changes in surface topography were measured
218 comparing the 3D point clouds representing the stone surface before and after the
219 baobab processing session. This comparison provided the normal distance between the
220 two topographic surfaces for each 3D point. Following the method proposed by Benito-
221 Calvo et al. (2017), this distance was measured using the algorithm M3C2 (Lague et al.,
222 2013), since it provides signed and robust separation distances. This algorithm includes
223 the estimation of a local confidence interval (95% confidence interval), to assess the
224 position uncertainty and the registration error.

225

226 **4. Results**

227 **4.1. 3D morphometry**

228 The first step to the morphometric study of the stone tools was carried out considering
229 their 3D surface area (S) and volume (V), which provide information regarding their
230 general size, shape, compactness and general roughness (Table 2). Stones #2 and #4
231 display the highest surface area and volume measures, while stone #3 shows the lowest
232 values in both cases (Table 2A). The lowest surface-area-to-volume ratio (S/A) was
233 detected for stones #2 and #4 (Table 2C), indicating that both pieces are not only the
234 biggest, but also more similar to a sphere (minimum S/A possible) than either stone #1
235 or #3. On the contrary, the smallest piece, stone #3, displays the highest S/A ratio (Table
236 2C), implying the lowest compactness which indicates the highest proportion of surface
237 in relation to its size.

238 The surface area and volume of the stones relative to their respective convex hulls
239 provide a general assessment of their roughness, as convex hulls represent the
240 minimum possible roughness surfaces preserving only the basic shape of the stones.
241 Surface area ratios (S_{st}/S_{ch}) with values close to 1 indicate that the stone has a low
242 roughness, and the actual stone surface is very similar to the minimum roughness
243 surface defined by the convex hulls. Only stone #2 has a S_{st}/S_{ch} value slightly higher than
244 1, indicating greater roughness for this stone, and suggesting the surface area of the
245 stone is greater than the surface area of its minimum envelope surface. This relationship
246 is inversely proportional considering the volume ratios (V_{st}/V_{ch}), where stone #2 shows
247 the lowest value, since the highest roughness of its surface decreases in volume with
248 respect to its convex hull.

249 Considering all the surfaces of the stones, stone #2 shows the highest mean, median,
250 mode and maximum gradient values (Table 3), displaying a histogram that is less right
251 skewed than stones #1, #3 and #4 (Figure 4). The gradient histograms of the latter are
252 very similar with the main peak located around gradients of 0.03 more developed in the
253 histogram of st#3. These relationships are similar considering the roughness and
254 curvature parameters where the higher mean and median values belong to stone #2.
255 Nevertheless, the highest maximum roughness is located in stone #1, and curvature
256 modes are higher in stone #3 and stone #4 than in stone #2. The deepest and biggest
257 depressions are located on stone #2 with mean values of -0.67 mm and minimum values
258 of -4.23 mm, while in stones #1, #3 and #4, mean depths are situated at about -0.163—
259 0.175 mm and minimums are about -1.7— -1.9 mm. Depth distributions shows a
260 bimodal pattern in most of the cases, defined by two peaks near 0 (Figure 4, Table 3).
261 The first peak, denoted by values of 0, corresponds to the most external areas, which
262 were used to create the convex hull defining the vertical datum. The second mode also
263 displays values near zero (depth between -0.05—-0.02 mm), and represents the upper
264 plains or ridges of the surface, represented in brown in Figure 5. In the stones, areas
265 characterized by low values of gradient, curvature and roughness can be distinguished
266 (Figure 5), which define flat, plane and polished surfaces (FPS areas). These areas are
267 abundant in stone #3 (34%), stone #1 (32.9%) and stone #4 (31%), but are scarce in stone
268 #2 (6.9%).

269 Table 3 shows the morphometric analyses carried out separately for each face and the
270 edge of the stones. In stone #1, face A displays a smoother and flatter surface than face
271 B. This is indicated by the lower values of gradient, roughness and curvature (Figure 5)
272 in face A (mean, median and mode), which determine a 48.8% of FPS areas (Table 3).
273 Such characteristics are also related to the presence of deeper depressions in face B
274 than in face A (Figure 5). Depressions in face B reach the deepest value in stone #1
275 (minimum depth=-1.73 mm, Table 3), while the edge has the most irregular surface in
276 this stone, characterised by the highest number of depressions (Table 3) and producing
277 the deepest mean value (mean depth=-0.23 mm). The surface of the edge of stone #1
278 characterised by maximum values of gradient, roughness and curvature, although mean
279 statistics are similar to face B for roughness and curvature variables.

280 Differences between faces and the edge of stone #2 are less pronounced (Figure 5) and
281 FPS areas vary between 7.9% and 5.9%. Face A shows lower gradients and curvatures
282 than either face B or the edge, but roughness values are similar for all three. Depressions
283 on the edge show the shallowest values of the stone (mean, median, maximum and
284 mode, Table 3), while face B shows the deepest values (Figure 5D).

285 Faces A and B of stone #3 are similar (Table 3) and both display flat and smooth surfaces
286 (FPS=41-39%) indicated by low values of gradient, curvature and roughness (Figure 5).
287 On the contrary, the edge of stone #3 presents a rougher surface (SOM VIDEOS),
288 denoted by an increase of the mentioned variables and a greater abundance of larger
289 and deeper depressions (Figure 5).

290 On stone #4, face A is characterised by two big depressions (Figure 5D), which results in
291 greater mean, maximum and median values for gradient, curvature and roughness
292 (Table 3) when compared with face B. There are also more FPS areas in face B than in
293 face A (Table 3). Depressions in face B are restricted to smaller pits (SOM VIDEOS). The
294 roughest surface for stone #4 corresponds to the edge (SOM VIDEOS), indicated mainly
295 by the mean and median values of the four morphometric variables and the highest
296 percentage of depressions (Table 3). Still, the maximum values for roughness and depth
297 are located on face A, associated with the two large depressions.

298

299 4.2. Surface change comparison

300 Surface changes in face A of stone #2 due to baobab processing are shown in Figure 6A.
301 This comparison displays most of the area with low absolute distance values (0—0.4
302 mm), which fall within the uncertainty range defined by the accuracy and registration
303 errors of the 3D models. However, several areas exceed this range, showing changes
304 statistically significant based on a 95% confidence interval (Figure 6A). Such significant
305 changes are located basically in the center and lower position of the face and represent
306 an abrasion of the surface defined by a mean value of -1.03 mm (maximum=-2.8 mm,
307 standard deviation=0.5 mm). These significant abrasion changes represent around 3.4%
308 of the total data analysed, and are concentrated in areas of irregular shapes with
309 maximum diameters between 0.3-29 mm.

310 Surface changes in this face have been also analysed through other morphometric
311 variables (Figure 6B). With regards to the gradient variable, most of the statistical values
312 (mean, minimum, maximum, median, mode) display a decrease from the pre-processing
313 stage (PRE) to the post-processing stage (POS) in the areas where significant abrasion
314 was detected (ST). This decrease is noticeable in the maximum values, but more
315 pronounced in the minimum gradient value which falls 86% relative to the original value
316 (0.0132). The standard deviation is the only statistical value which suffers a slight
317 increase in SC areas, from 0.077 to 0.080. This tendency is similar in the areas where no
318 significant abrasion change was detected (REST), and where also the standard deviation
319 decreases (from 0.081 to 0.073). The statistical values of the curvature variable also
320 show a general drop from the PRE to the POS stages, both in SC areas and in REST areas
321 (Figure 6B). The drop is 30-40% for the mean, median and standard deviation, 50-65%
322 for the maximum values, and 99% for the minimum values, with respect to their original
323 values. On the contrary, the curvature mode increases, changing from 0.013 m^{-1} to 0.044
324 m^{-1} in SC areas, and from 0.020 m^{-1} to 0.034 m^{-1} in REST areas. Roughness decreases for
325 all the statistical values, with the exception of the minimum roughness, which had an
326 original value of 0 mm in the PRE stage, and remains the same in the POS stage. The
327 remainder of the roughness statistics drops about 60-80%. This is also the general
328 tendency for change in the depth variable statistics (Figure 6B). In SC areas, the mean,
329 median and mode decrease in value (34-42% of the original value), the minimum

330 remains at 0 mm, and the maximum increases from -2.42 to -2.33 mm. In the REST areas,
331 all the statistical values of depth increase.

332

333

334 **5. Discussion**

335 The results shown in Table 3 were used to analyse the morphometric relationships
336 between the pieces through multivariant exploratory analysis (Figure 7). Hierarchical
337 clustering of surface characteristics using Ward's method (Hammer et al., 2001) shows
338 a first initial group composed of stone #1 and stone #4 (Figure 7A1), which display very
339 similar mean values of gradient, roughness, depth, curvature, percentage of depressions
340 and FPS areas. This initial group is quite similar to stone #3, which also shows
341 comparable morphometric characteristics. However, dissimilarities between these
342 three pieces and stone #2 are very pronounced. This divergence is related to the highest
343 surface topographic variability of stone #2, as indicated by the ratios S_{st}/S_{ch} , V_{st}/V_{ch} and
344 the surface morphometric variables. This stone shows the highest values of gradient,
345 curvature, roughness, the lowest percentage of FPS areas and the biggest and deepest
346 depressions. The divergence of stone #2 is also clear through PCA analysis (Figure 7B).
347 In this case, PC1 -which explains 71% of the variance- clearly separates stone #2 from
348 the rest of the stones. Although stone #2 was used only for a few days (Table 1), this
349 broad morphometric difference between stone #2 and the rest of the pieces is likely
350 related to raw material differences rather than to differences use or intensity of use,
351 given that stones #1 and #4 were also only in use for less than a week. Stone #2 is a
352 subangular cobble affected by weathering which increases the topographic variability,
353 while stone #1, #3 and #4 are alluvial cobbles that were rounded and smoothed by water
354 transport prior to use in baobab processing. PC1 also shows a relationship between
355 stone #1 and #4 (as the hierarchical clustering also indicates), which are the most similar
356 pieces in size and shape (Figure 2), and may have been gripped and used in more similar
357 ways during the baobab processing.

358 Multivariant analysis of 3D morphometric data also allows comparisons between the
359 different areas of the stones. Hierarchical clustering of Figure 7A2 displays four clusters.
360 A first cluster corresponds to the areas of stone #2. As mentioned above, faces and edge

361 of this stone show the highest topographic variability. This first cluster is related to a
362 second group, which comprises the areas with more surface irregularities in the rest of
363 the stones (#1, #3 and #4). These areas are the edges, which are not subject to abrasion
364 and polishing processes during the use of the stone and as a result, preserving the
365 original irregularities of the stones. This second group also includes face B of stone #1.
366 This face shows high values of gradient, roughness and curvature indicating
367 characteristics more similar to the edges than to the rest of the faces. This suggests that
368 face B of stone #1 could have been used less than the faces of stones #1, #3 and #4,
369 preserving topographic features similar to the edges. Although no other data is
370 available, face B of stone #1 could be used mainly as the palm side of the stone, while
371 face A may have been the side that mainly contacted the baobab during processing. The
372 third and fourth clusters are distant from clusters 1 and 2, and correspond to the rest of
373 the faces of stones #1, #3 and #4, which show smoother relief probably associated with
374 the abrasion and polish that occurred during their use. Cluster 3 is composed by a core
375 integrated by both faces of stones #3 (which was the most used stone over a period of
376 several months), and at a distance, face A of stone #4. Cluster 4 includes face A of stone
377 #1 and face B of stone #4.

378 PCA analysis allows discrimination between similar groups. PC1 separates clearly the
379 areas of stone #2 from the areas of the rest of the stones, which are restricted to the
380 left sector of the PC1-PC2 graph (Figure 7B1). In this sector, PC1 values also differentiate
381 the edges from all other faces (including face B of stone #1). PC1 tends also identifies
382 another group consisting of faces A and B of stone #3, face B of stone 4 and face A of
383 stone #1. In this last group, face B occupies a very similar position. Loadings for PC1 show
384 a strong positive coefficient of correlation (>0.9) with the statistics of gradient (mean
385 and median), roughness (mean, median and standard deviation), mean curvature
386 (mean, median and standard deviation); and also, a strong but negative correlation ($<-$
387 0.9), with depth (mean and mode) and FPS areas (Figure 7B3). The strongest PC2
388 loadings correspond to the curvature mode (-0.62), the maximum depth (0.61) and the
389 minimum curvature (0.50).

390 In order to study the surface evolution in the particular case of stone #2, we compared
391 the surface of face A before and after the baobab processing. 3D alignment techniques

392 allow us to equal the scale and to reference both 3D models, obtaining reasonable errors
393 which were taken into account when establishing a confidence interval for detecting and
394 measuring significant changes. Statistically significant changes (ST areas, Figure 6) are
395 mainly distributed in the lower central part of the face, suggesting that this was the area
396 of more intense use, which produced a maximum surface abrasion of -2.8 mm. This loss
397 of material in face A is related to a general decrease in the gradient, roughness and
398 curvature variables resulting in a smoother surface. This process may not be
399 homogenous and progressive, as shown by microscopic measurements in experimental
400 stones used in bone pounding that indicate an alternating pattern of smoothing and
401 roughing of stone surfaces during the course of use (Benito-Calvo et al., 2017). The
402 depth of face depressions is also altered by baobab processing, which causes an increase
403 in the mean depth, but a decrease in maximum depth. The increase in mean depth is
404 explained by an increase in the area occupied by depressions due to the loss of material
405 caused by the abrasion. However, this abrasion erodes the surface, reducing the
406 maximum depth of the depressions relative to the surrounding surface. Overall, changes
407 in the ST areas are large enough to suggest that use wear produced by baobab
408 processing could be detectable in the archaeological record using macro-scale
409 techniques.

410 On the other hand, in the areas where no significant changes were detected (REST areas,
411 Figure 6), the morphometric trend of the surface seems to be similar with a general
412 decrease in gradient, roughness and curvature and an increase in depth, suggesting that
413 depressions became shallower due to the smoothing produced by the baobab
414 processing. However, this last morphometric trend is tentative since no statistically
415 significant changes were detected in REST areas.

416 This methodology provides an objective way to define quantitative 3D signatures for
417 percussion activities, which can be applied to other lithics and percussion issues in order
418 to create a database of morphometric signatures. Pounding features are identified
419 through morphometric parameters and values which can be assessed with statistics.
420 This provides an unprecedented way to reduce uncertainty associated with the visual
421 identification of use wears. In addition, surficial changes observed in the plant
422 processing stones can be used to understand how the surfaces of archaeological pieces

423 bearing the same traces were prior to pounding and, therefore, allow reconstruction of
424 the abrasion process in the archaeological record.

425

426 6. Conclusions

427 This paper explores the potential of 3D techniques to study the use wear features of
428 pounding stone tools. Here, we develop and present new methods for the 360°
429 morphometric analysis of artefacts from 3D point clouds captured directly from laser
430 scanners or photogrammetric methods. In applying these methods to study pounding
431 stone tools used by Hadza people to process baobab, we have obtained several
432 morphometric variables which map the topographic variability of stone surfaces.
433 Multivariate exploratory analysis of these data through hierarchical clustering and PCA
434 analysis has reduced uncertainty and subjectivity in the data analysis, revealing that the
435 artefacts and the different faces of each stone can be grouped according to the initial
436 characteristics of the raw material and uses. Differences in stone tools of the same raw
437 material allow detection of the effect of variable geomorphic processes on the stones
438 prior to processing, as well as intensity of human use, which can be investigated for the
439 complete stone tools or for different areas of the same stone tool.

440 Surface comparison conducted on 3D models surveyed before and after the baobab
441 processing has shown that the abrasion pattern suffered in one of the stones is
442 characterized by significant changes near the center lower position of the stone. This
443 abrasion produced a general smoothing of the surface and an increase of the area of
444 depressions but reduced the maximum measured depth of the depressions. Although
445 the methodology is also applicable to confocal 3D microscopic level, the scale of the
446 observed changes suggest that these traces should be detectable in the archaeological
447 record through 3D macro-scale techniques.

448 The work presented here provides evidence that 3D morphometric analyses of stone
449 tools used by contemporary foragers is a promising endeavor that requires further
450 exploration and could potentially reveal the 3D morphometric signatures and abrasion
451 patterns of plant processing through pounding techniques. It is critical to focus

452 attention on tools that may have been used for processing raw plant foods, as the dates
453 for the first controlled use of fire remain contentious (Sandgathe and Berna 2017), as
454 well as dates for the first stone butchery tools (Harmand et al., 2015). Plant foods, which
455 were **essential** to the diets of our earliest hominin ancestors, remain key contributors to
456 the diets of all sub-tropical foraging populations. **Increasing our knowledge of stone**
457 **percussive activities by contemporary foragers will further our understanding of non-**
458 **thermal processing techniques in the archaeological record, potentially shedding new**
459 **light on the importance of plant foods to early hominin subsistence strategies.**

460 **The method we have presented here is broadly applicable to any archaeological**
461 **site. However, the next stage of this research should be the application of this technique**
462 **to characterise the signatures produced by other types of pounding and grinding**
463 **activities on a variety of raw materials, while controlling for the length and types of use**
464 **(pounding vs grinding). With a diverse understanding of the various traces produced on**
465 **pounding stones, we can better elucidate the distinct ways our ancestors used these**
466 **tools at the archaeological sites from which they are recovered.**

467

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625

626 **Captions for tables and figures**

627 **Table 1.** Use and location of the stone tools.

628 **Table 2.** Surface area (S) and volume (V) dimensions and ratios of pounding tools. A)

629 Surface area and volume of pounding stone tools (st) #1 to #4. B) Surface area and

630 volume of convex hulls (ch) #1 to #4. C) Surface-area-to-volume ratio (S/V) for pounding

631 stone tools (st) and convex hulls (ch). D) Surface area and volume ratios comparing

632 pounding tools with their respective convex hulls.

633 **Table 3.** Basic statistics of gradient, mean curvature, roughness and depth variables

634 calculated in stones #1 to #4 for the complete surface (all), the surface of face A, the

635 surface of face B, and the surface of the edge. FPS: flat, plane and smooth areas defined

636 by the lowest values of gradient, curvature and roughness.

637 **Figure 1.** A Hadza woman pounds baobab seeds into flour, which is then winnowed with

638 a piece of animal hide

639 **Figure 2.** Pounding tools 3D models surveyed after the baobab processing using laser

640 scanner. A) Shaded point clouds captured using 3D laser scanner NextEngine. B)

641 Convex hulls calculated for each pounding tool.

642 **Figure 3.** Different methods to establish the vertical datum or elevation data origin used

643 to calculate the 3D 360° morphometric variables. A) Vertical datum located in a point

644 outside of the piece. B) Vertical datum located in a point inside the piece. C) Vertical

645 datum located in a spheroid representing the minimum convex hull of the piece.

646 **Figure 4.** Histograms of gradient, mean curvature, roughness and depth values for the

647 complete surface of stones #1 to #4.

648 **Figure 5.** Four of the morphometric variables calculated for stones #1 to #4. A) Gradient.

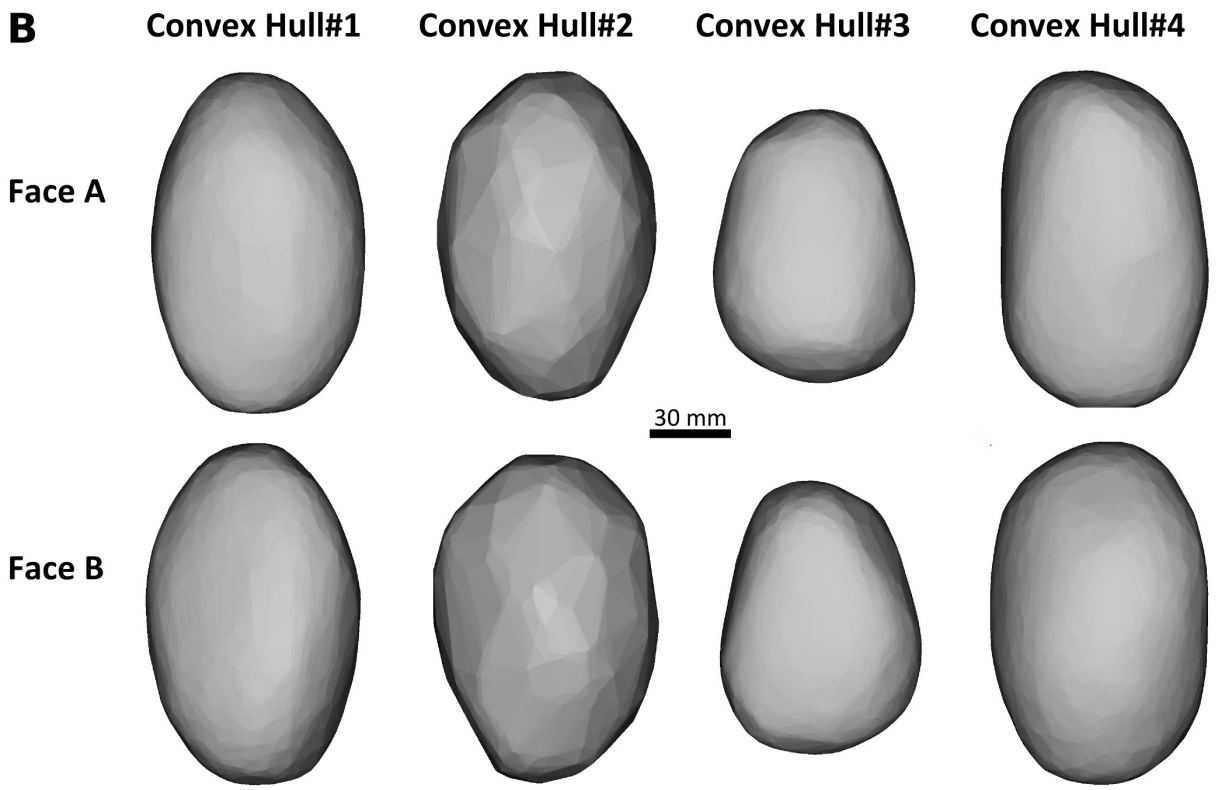
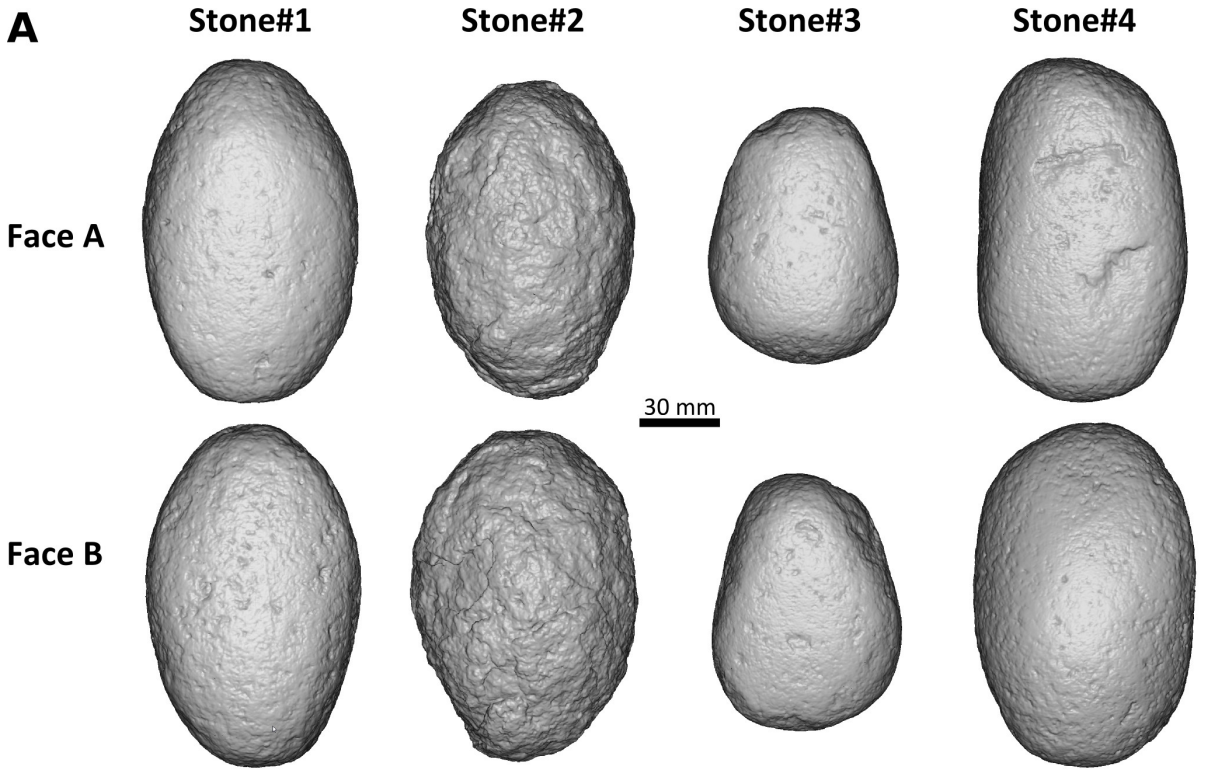
649 B) Mean curvature. C) Roughness. D) Depth. 3D spatial distribution of these

650 morphometric variables can be observed in the SOM videos.

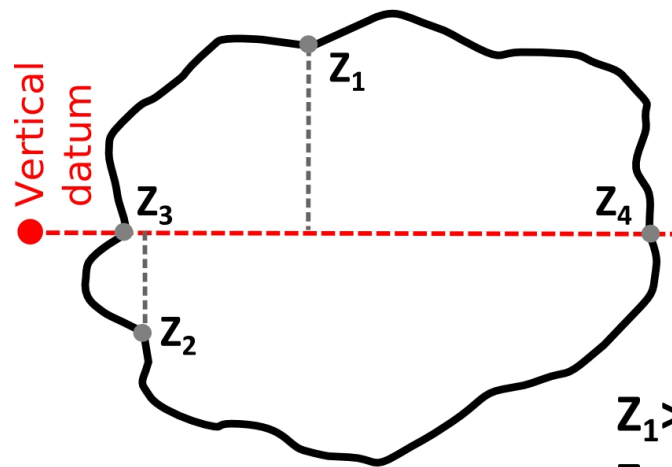
651 **Figure 6.** Surface changes for pounding stone #2 (face A) detected after the baobab
652 processing. A) Changes and significant abrasion areas calculated using the normal
653 distance between the 3D point clouds surveyed before and after the baobab processing.
654 Normal distance was estimated by means of the M3C2 algorithm (Lague et al., 2013; D=
655 1 mm, d= 1 mm). B) Morphometric variables measured in face A before (PRE) and after
656 (POS) the baobab processing, for the areas where a statistically significant abrasion was
657 detected (SC), and for the rest of the surface (REST).

658 **Figure 7.** Multivariate exploratory analysis considering the morphometric variables of
659 Table 3. A) Hierarchical clustering dendrogram for stones #1 to #4 (A1), and their faces
660 and edges (A2), applying the Ward's method (Hammer et al., 2001). B) Principal
661 component analysis, displaying the scatter plot for principal components PC1 and PC2
662 (B1), scree plot (B2) and loading for PC1 (B3). Colour hulls: areas of the same stone. Gray
663 hulls: relationships between areas of different stones



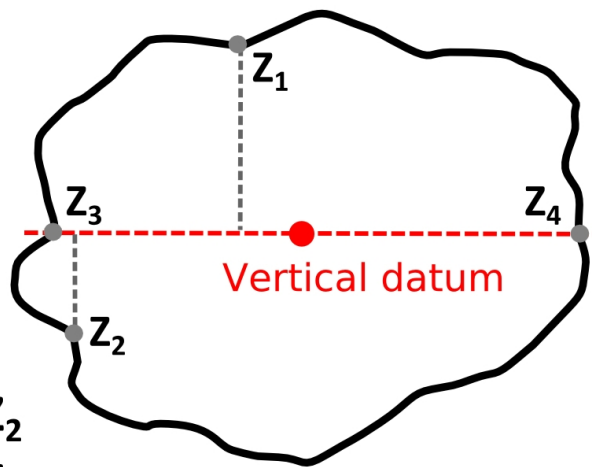


A

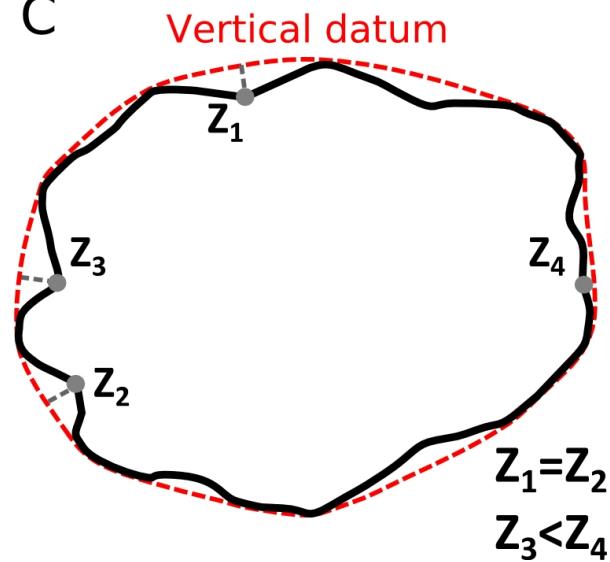


$$z_1 > z_2$$
$$z_3 = z_4$$

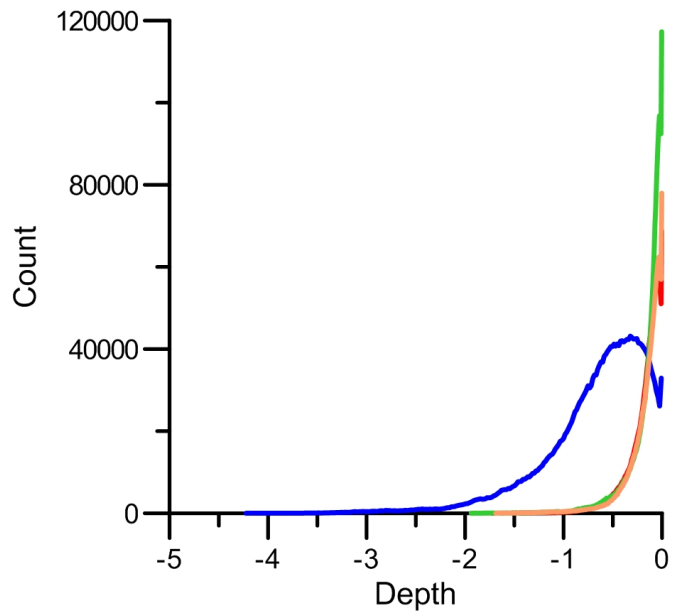
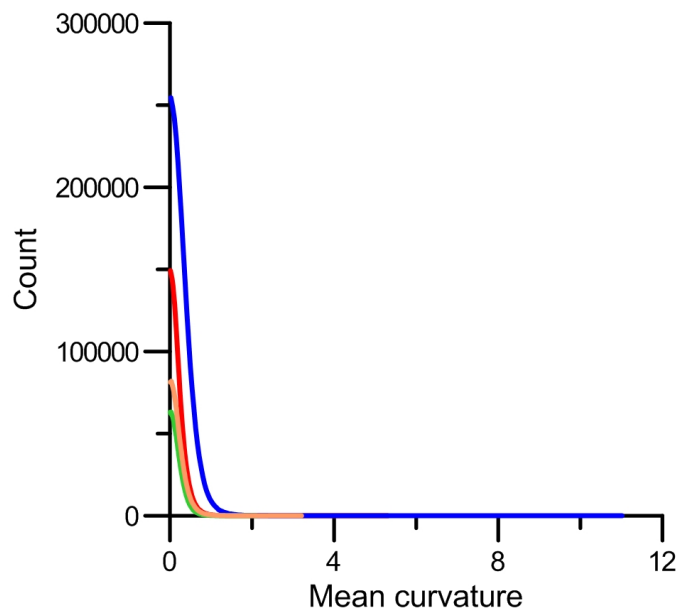
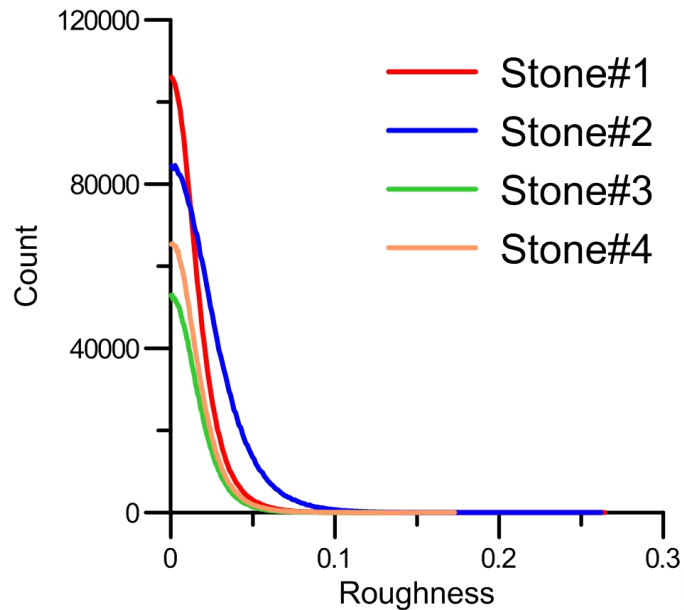
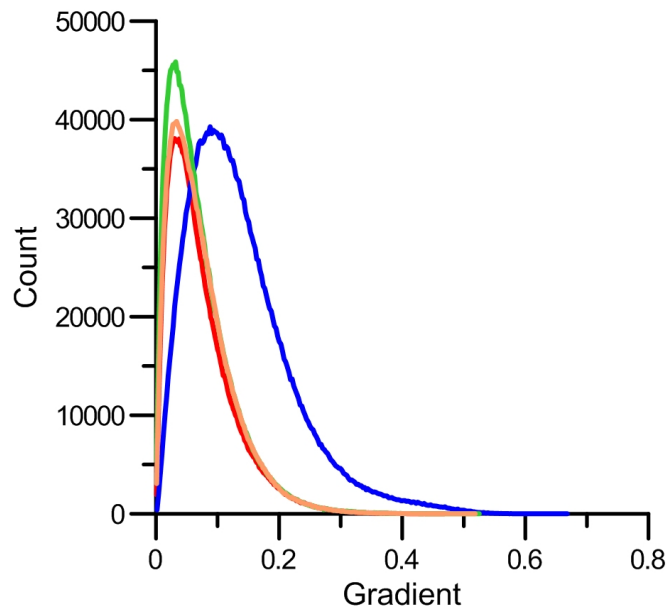
B

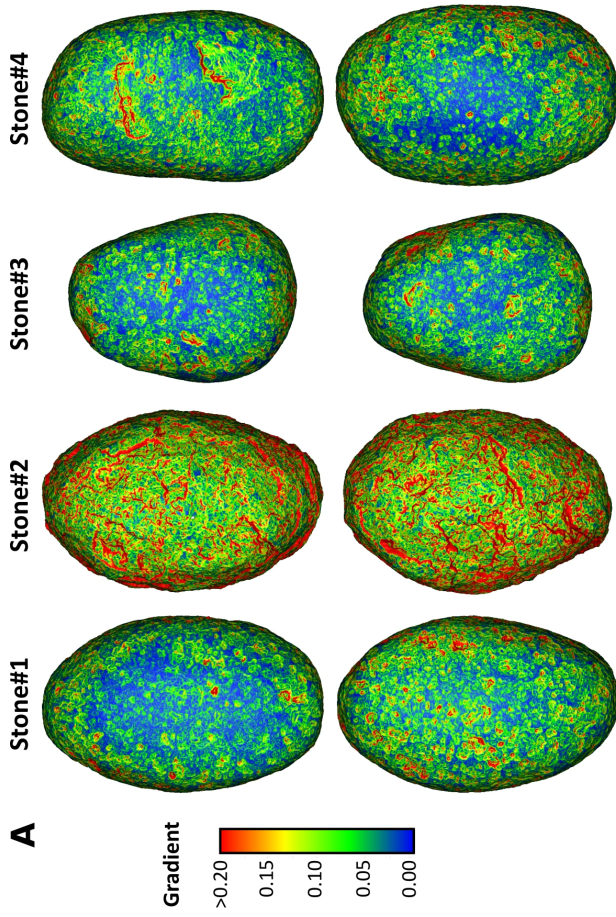
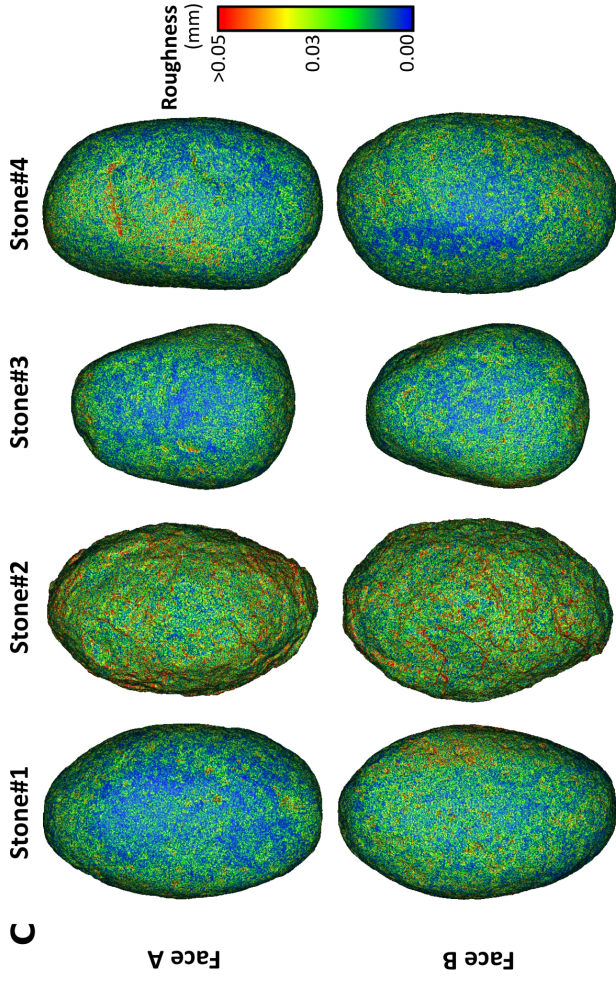
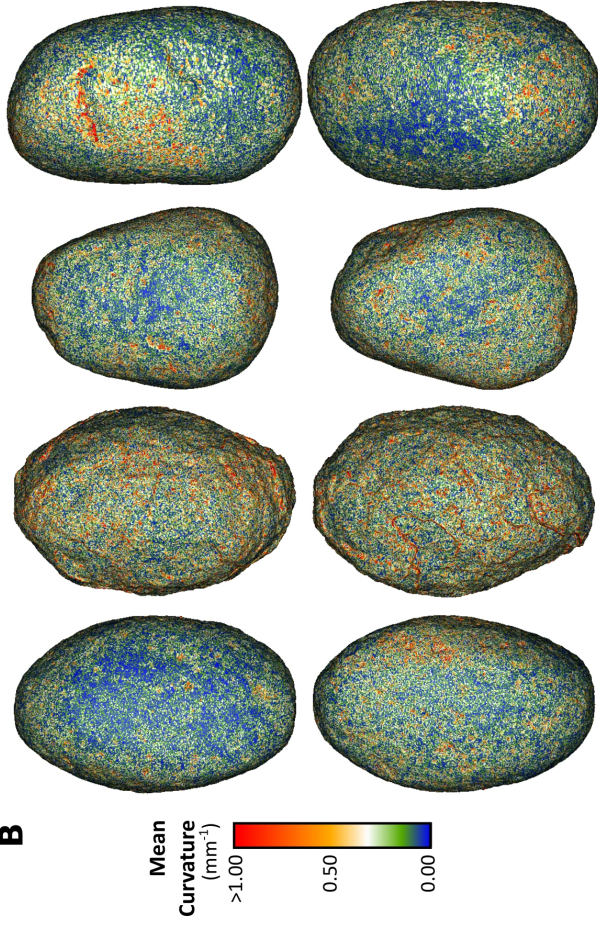


C



$$z_1 = z_2$$
$$z_3 < z_4$$



A**C****B****D**