

1 Origin and radiative forcing of black carbon aerosol: production
2 and consumption perspectives

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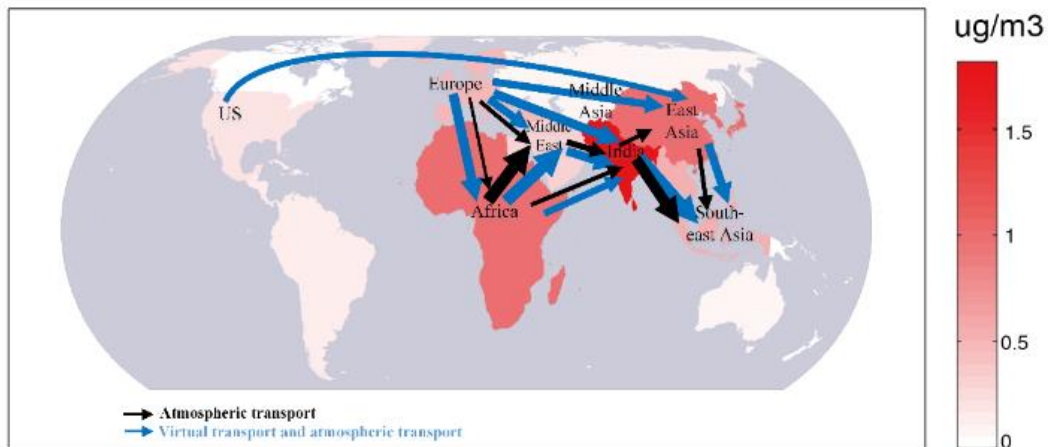
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TOC

Combined physical and virtual transport



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33 Abstract

34 Air pollution, a threat to air quality and human health, has attracted ever-increasing attention
35 in recent years. In addition to having local influence, air pollutants can also travel the globe
36 via atmospheric circulation and international trade. Black carbon (BC), emitted from
37 incomplete combustion, is a unique but representative particulate pollutant. This study
38 tracked down the BC aerosol and its direct radiative forcing to the emission sources and final
39 consumers using the global chemical transport model (MOZART-4), the rapid radiative
40 transfer model for general circulation simulations (RRTM) and a multiregional input–output
41 analysis (MRIO). BC is physically transported (i.e., atmospheric transport) from western to
42 eastern countries in the mid-latitude westerlies, but its magnitude is near an order of
43 magnitude higher if the virtual flow embodied in international trade is considered. The
44 transboundary effects on East and South Asia by other regions increased from about 3%
45 (physical transport only) to 10% when considering both physical and virtual transport. The
46 influence efficiency on East Asia is also large because of the comparatively large emission
47 intensity and emission-intensive exports (e.g., machinery and equipment). The radiative
48 forcing in Africa imposed by consumption from Europe, North America and East Asia
49 (0.01Wm^{-2}) was even larger than the total forcing in North America. Understanding the supply
50 chain and incorporating both atmospheric and virtual transport may improve multilateral
51 cooperation on air pollutant mitigation both domestically and internationally.

52

53 **Key words:** black carbon, long-range transport, multiregional input–output analysis, radiative
54 forcing

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56

57 **1 Introduction**

58 Air pollution, especially in developing countries, has attracted ever increasing
59 attention because of its substantial influence on air quality¹, climate² and human health³.
60 ⁴ Black carbon (BC), which is primarily emitted from the incomplete combustion of
61 fossil fuels and biomass, is considered to be a valuable indicator and universal carrier
62 for a broad category of short-lived combustion particles, such as sulfate, organic matter
63 and trace metal^{5, 6}. By absorbing solar radiation and reducing surface albedo, BC
64 profoundly enhances global warming⁷. The total climate forcing of BC is assessed to
65 be 1.1 Wm⁻² in a recent study, which is second only to CO₂⁸. Some States in the U.S.
66 have included black carbon emissions and corresponding reduction strategies in their
67 Climate Action Plans⁹. Additionally, evidence from epidemiological studies has shown
68 a more robust association of increased human mortality with BC exposure than with
69 total particle mass¹⁰⁻¹³.

70 Air pollution is typically regarded as a local problem because of short atmospheric
71 lifetime, and source emission abatement measures are used to control emissions from
72 power generation or industries within a territory¹⁴. However, air pollutants can travel a
73 long distance via atmospheric movement¹⁵⁻¹⁷. Both observational and modelling studies
74 have shown that local air quality can be strongly affected by air pollutants from distant
75 sources¹⁸⁻²⁰. In the recent years there has been increased attention in the aerosol research
76 community about the potential effects of international trade on air pollutant emissions²¹,
77 health effects²² and radiative forcing²³. However, previous studies either traced the BC
78 transport to the original emission source regions^{12,14-17} or tele-connected local emissions
79 to global consumers^{21, 24-26}. The atmospheric transport of air pollutants from the emitters
80 to polluted regions and the virtual transfer from final consumers to emitters both are
81 part of the supply chain.²⁷ Few studies have linked the final consumers to those who
82 ultimately suffer from except two studies on Eastern Asia^{28, 29}. This lack may impede
83 progress towards international cooperation on air pollutant mitigation involving various
84 parties through the supply chain. Tracking the entire supply chain from the final
85 consumer through international trade and atmospheric transport to the health and

86 climate endpoints in the polluted region creates opportunities for joint mitigation
87 involving the final consumers, emitters and local regulators.

88 With a newly developed BC emissions inventory³⁰ and tagging technique in the
89 improved MOZART-4 which optimizes the aging timescale for each source region¹⁷,
90 we quantify the source-receptor relationship, in which BC aerosols emitted from 13
91 independent source regions are tagged and explicitly tracked from their source region.
92 Then, these emissions are tele-connected to the final consumers using a fully coupled
93 multiregional input–output (MRIO) model constructed from the Global Trade Analysis
94 Project (GTAP) database, which has been widely employed to study the virtual
95 transport of energy, land use, GHGs, water and so on³¹⁻⁴¹. The details of our
96 interdisciplinary approach and the underlying data are described in *Methods*.

97 **2 Methods**

98 **2.1 Model description and configuration**

99 In this study, atmospheric BC transport was simulated using the Model for Ozone
100 and Related Chemical Tracers, version 4 (MOZART-4), which is an offline global
101 chemical transport model developed by the National Center for Atmospheric Research
102 (NCAR)⁴². MOZART-4 resolves horizontal and vertical transport based on a chemical
103 mechanism including 85 gas-phase species, 12 bulk aerosol compounds, and 39
104 photolysis and 157 gas-phase reactions, building on the framework of the Model of
105 Atmospheric Chemistry and Transport (MATCH) with a series of updates⁴³. Horizontal
106 transport is characterized by a semi-Lagrangian advection scheme⁴⁴ with a pressure
107 fixer⁴⁵. Vertical transport incorporates diffusion in the boundary layer⁴⁶ and convective
108 mass flux using a shallow and middle convection transport formulation⁴⁷ and a deep
109 convection scheme⁴⁸. In the standard model, BC is in a combination of hydrophobic
110 (80%) and hydrophilic forms (20%)⁴⁹. Hydrophobic BC is converted to hydrophilic BC
111 with an exponential ageing timescale of ~ 1.6 days^{50, 51}. We improved the ability of the
112 standard MOZART-4 model to predict concentrations of black carbon by optimized

113 BC's ageing timescales and deposition rates in various regions, building on our previous
114 work¹⁷.

115 The model is run at a horizontal resolution of approximately $1.9^{\circ} \times 1.9^{\circ}$
116 (latitude \times longitude), with 28 vertical levels, and is driven by NCEP reanalysis
117 meteorology. Anthropogenic BC emissions are developed by researchers at Peking
118 University (PKU-BC 2011)³⁰ based on a global $0.1^{\circ} \times 0.1^{\circ}$ fuel combustion dataset
119 (PKU-FUEL-2011 covering 64 fuel combustion processes)⁵² and an updated emission
120 factor BC (EF_{BC}) dataset⁵. Biomass burning emissions are acquired from the Global
121 Fire Emissions Database (GFED) version 3⁵³. We conducted one model simulation with
122 tagging technology from 1 January 2010 to 31 December 2011. The first two years of
123 the simulations are discarded as model spin-up.

124 **2.2 The source-tagging method**

125 There are different modelling approaches to quantify the contribution of a specific
126 source region to aerosol in receptor regions; of these, the emission sensitivity approach
127 has been widely used⁵⁴⁻⁵⁵. We have implemented a direct source region tagging
128 technique in MOZART-4 that enables the derivation of aerosol source-receptor
129 relationships without perturbing emissions. Tagging is more accurate than the emission
130 sensitivity approach which relies on a set of model simulations with emission
131 perturbations in the source regions as well as responses in the receptor regions and is
132 not constrained by computational resources¹⁵. Tagging technology has been
133 increasingly used to quantify source contributions of air pollutants⁵⁶⁻⁵⁷. In this study,
134 we add 13 tracers to the model to explicitly track BC emissions from non-overlapping
135 geopolitical regions, which are defined in Zhang et al.¹⁷, to distinguish the differences
136 in economies and emission source types between regions. The tagged source regions
137 are Canada (CA), North America except Canada (NA), East Asia (EA), the former
138 Soviet Union (SU), Europe (EU), Africa (AF), South America (SA), the Indian
139 subcontinent (IN), Australia (AU), Middle Asia (MA), Southeast Asia (SE), the Middle
140 East (ME), and the remaining regions (RR), as shown in Table S1 and Figure S1. For

141 each simulation, the tagged tracers undergo transport and deposition processes in the
 142 same way as the untagged BC. Since all of the chemical and physical processes
 143 involving BC are nearly linear in MOZART-4, the sum of the 13 regional BC tracers is
 144 approximately equal to that of the untagged BC¹⁷.

145 In the MOZART-4 model, the hygroscopicity of BC-containing particles is a
 146 critical parameter, determining whether BC can be wet scavenged, and thus affects the
 147 lifetime and transport pathway of BC. The hygroscopicity of BC is determined by two
 148 parameters controlling (1) the initial fraction of hydrophilic BC in freshly emitted BC
 149 (20%), and (2) an e-folding ageing timescale, which characterizes the timescale for
 150 conversion of hydrophobic BC to hydrophilic BC in the atmosphere. It is essential to
 151 constrain the ageing timescale to accurately simulate long-range transport and the
 152 atmospheric concentrations of BC. Building on our previous study¹⁷, we assign an
 153 ageing timescale for each source region, which is optimized by minimizing errors in
 154 the vertical profiles of BC mass-mixing ratios between simulations and HIAPER Pole-
 155 to-Pole Observations (HIPPO). In general, the modelled surface concentration agreed
 156 within a factor of 2 with the observations (as shown by Figure S2).

157 We then use the following indicators to quantify the source-receptor relationships
 158 in atmospheric transport and to quantify the influence efficiency of BC in source region
 159 i affecting BC surface concentration in receptor region j :

160 As the fractional contribution of source region i to aerosol property A (such as average
 161 surface concentration) in receptor j , following previous studies^{15, 20, 58}, $C_{i,j}$ is defined as

$$162 \quad C_{i,j} = \frac{\sum_{h=1}^k W_{i,j,h} \cdot S_h}{\sum_{h=1}^k \sum_i W_{i,j,h} \cdot S_h} \quad (1)$$

163 where $W_{i,j,h}$ is the surface BC concentration in grid box k in receptor j from source
 164 region i , S_h is the area of grid box h . k is the total grid boxes covered by region j .

165 The influence efficiency ($EF_{i,j}$) of source region i affecting the BC surface
 166 concentration (or climate forcing) in receptor region j is defined as¹⁵

167
$$EF_{i,j} = \frac{C_{i,j}}{E_i} \quad (2)$$

168 where $C_{i,j}$ is the fractional contribution to the aerosol property, and E_i is the regional
169 emission (kg) in source region i . $EF_{i,j}$ links the sensitivity of surface BC concentration
170 in the receptor region j to per unit emission in source region i . Thus, it is less dependent
171 on the emission rates in the source regions and the total global emission rate.

172 **2.3 BC Direct Radiative Forcing**

173 To evaluate direct radiative forcing (DRF), the offline Rapid Radiative Transfer
174 Model (RRTM) for general circulation models (GCMs), namely RRTMG, is adopted
175 with a resolution of $1.9^\circ \times 2.5^\circ$. RRTMG has been widely applied and recognized for
176 its use in climate models such as GFDL and NCAR
177 (http://rtweb.aer.com/rrtm_frame.html). Using tagged BC concentration derived from
178 MOZART-4, this study calculates the clear-sky DRF based upon perturbations of
179 radiative fluxes by BC at the top-of-atmosphere (TOA) comparing with a zero-BC base
180 case. RRTM retains the highest accuracy relative to line-by-line results for single
181 column calculations, while RRTMG shares the same basic physics and absorption
182 coefficients with RRTM and provides better efficiency with minimal loss of accuracy
183 for GCM applications. The aerosol optical properties are defined and described by Ghan
184 and Zaveri,⁵⁹ which showed the parameterization of optical properties for hydrated
185 internally mixed aerosol and evaluated the parameterization by comparing with Mie
186 solutions⁶⁰ for ammonium sulfate, black carbon, and a 50:50 mixture for a wide range
187 in size distributions and relative humidity.

188 **2.4 Multiregional input–output model**

189 Production-based emissions are the regional emissions on the basis of geographic
190 origin, i.e., where these emissions are released in the production process.⁶¹
191 Consumption-based emissions attribute emissions to the region where emissions are
192 associated with their consumption activities.³¹ Consumption-based accounting of BC

193 emissions differs from production-based inventories because of imports and exports of
194 goods and services that, either directly or indirectly, involve BC emissions. The BC
195 emissions embodied in imports and exports are referred to virtual transport of BC
196 emissions in this study. In this study, we first build a production-based BC emission
197 inventory (F_{Pr}) for 129 countries/regions (Table S2) and 57 industry sectors (Table S3).
198 The highly-resolved sectoral emission inventory is in line with the emission inventory
199 with high spatial resolution used in the MOZART-4 model. The mapping of spatial
200 emission inventory to sector-based emission inventory is shown in Table S4. Thereafter,
201 we use a multiregional input–output (MRIO) analysis to evaluate the emissions
202 embodied in international trade by allocating the total direct and indirect emissions
203 generated in producing consumer goods for countries and industry sectors according to
204 the final demand of consumers (consumption-based emission inventory)³¹. It should be
205 noted that we trace all emissions associated with consumed goods back to the original
206 source that generated the emissions even if the products were intermediate constituents
207 in a multiregional supply chain or were transhipped through other countries/regions.
208 Herein, we identify the BC emissions outsourced through international trade in
209 manufactured products and services in 57 economic sectors.

210 MRIO analysis is emerging as a way to link final demand to the associated
211 environmental pressures around the world against the background of globalization and
212 the recent focus on lifecycle assessment⁶². The MRIO table covers the entire economic
213 structure of multiple regions, multiple sectors, exports and imports within and outside
214 these regions as well as the intricate global supply chain.⁶³ Under this framework, the
215 total direct and indirect emissions generated in producing consumed goods cover the
216 entire supply chain and attribute the emissions from producers to the final consumers.⁶⁴
217 The MRIO enables identification of where the emissions embodied in final products
218 initiated.^{65, 66} For example, emissions related to components manufactured in India that
219 become part of a product assembled in China and ultimately exported to North America
220 are assigned to the virtual transport of emissions from North America to India. These
221 results can provide insights into the international cooperation to reduce the impact of
222 long-range BC transport.

223 For the entire economy with m producers, we have

$$224 \begin{pmatrix} \mathbf{X}^1 \\ \mathbf{X}^2 \\ \mathbf{X}^3 \\ \vdots \\ \mathbf{X}^m \end{pmatrix} = \begin{pmatrix} \mathbf{A}^{11} & \mathbf{A}^{12} & \mathbf{A}^{13} & \dots & \mathbf{A}^{1m} \\ \mathbf{A}^{21} & \mathbf{A}^{22} & \mathbf{A}^{23} & \dots & \mathbf{A}^{2m} \\ \mathbf{A}^{31} & \mathbf{A}^{32} & \mathbf{A}^{33} & \dots & \mathbf{A}^{3m} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \mathbf{A}^{m1} & \mathbf{A}^{m2} & \mathbf{A}^{m3} & \dots & \mathbf{A}^{mm} \end{pmatrix} \begin{pmatrix} \mathbf{X}^1 \\ \mathbf{X}^2 \\ \mathbf{X}^3 \\ \vdots \\ \mathbf{X}^m \end{pmatrix} + \begin{pmatrix} \sum_s \mathbf{Y}^{1r} \\ \sum_s \mathbf{Y}^{2r} \\ \sum_s \mathbf{Y}^{3r} \\ \vdots \\ \sum_s \mathbf{Y}^{mr} \end{pmatrix} \quad (3)$$

225 where \mathbf{X}^r is a vector of the total economic output of region r , \mathbf{Y}^{qr} is the final demand
 226 of region r for goods produced in region q ; \mathbf{A}^{qr} is a normalized matrix of intermediate
 227 consumption, reflecting the input from sectors in region q required to produce one unit
 228 of output from each sector in region r . Each sub-matrix \mathbf{A}^{qr} is constructed by splitting
 229 bilateral trade data from GTAP V9.0 (in 2011) into components satisfying intermediate
 230 and final demand. This is achieved by using the input–output relationships of imports
 231 to region r , distributed according to the share of all imports to region r made up of
 232 exports from q .

233 From this framework, the BC emissions embodied in products from region q to
 234 region r is calculated as follows⁶⁷:

$$235 \mathbf{F}^{qr} = \tilde{\mathbf{h}}^q \tilde{\mathbf{h}}^q (\mathbf{I} - \mathbf{A})^{-1} \mathbf{Y}^r \quad (4)$$

236 where \mathbf{F}^{qr} represents the total embodied BC emission flow from region q to region r ;
 237 $\tilde{\mathbf{h}}^q$ is a vector of the corresponding direct emission intensity for region q but zero for all
 238 other regions; \mathbf{Y}^r is the final demand vector of region r .

239 We use the following indicators to measure source-receptor relationships between
 240 producers and consumers and to quantify the influence efficiency of consumers in
 241 region i affecting BC emissions in region j , where the production activities occur.

242 The fraction contribution of consumers in region i to BC emission in region j , D_{ij} , is
 243 defined as

$$244 D^{i,j} = \frac{F^{i,j}}{F^j} \quad (5)$$

245 where F^{ij} is the BC emission change in region j due to consumption in region i , and

246 $F^j = \sum_{i=1}^N F^{i,j}$ represents the BC emission from all $N=13$ consumer regions.

247 The efficiency of consumer region i affecting BC emission in producer j , $EF^{i,j}$, is
 248 defined as

$$249 \quad EF^{i,j} = \frac{D^{i,j}}{\text{Con}^i} \quad (6)$$

250 where $D^{i,j}$ is the fractional contribution to the aerosol property (i.e., surface
 251 concentration and radiative forcing) and Con^i is the final consumption in consumer
 252 region i . $EF^{i,j}$ links the BC emission in production region j to per unit final
 253 consumption in region i . Thus, it is less dependent on the final consumption in the
 254 source regions and on the total global final consumption.

255 By integrating the effect of atmospheric transport and trade, we can quantify the
 256 efficiency of consumer region i in affecting the BC property in region j . $IC^{i,j}$ is defined
 257 as

$$258 \quad IC^{i,j} = \frac{\sum_k^N C^{k,j} \times D^{i,k}}{\text{Con}^i} \quad (7)$$

259 $IC^{i,j}$ reflects the BC property in region j caused by BC emissions globally, i.e., emitted in any region
 260 (k , $k \in 13$) which are related to unit consumption of region i . For example, the emissions in India,
 261 Africa, etc (k) related to final consumption of the USA (i), which are finally transported in to East
 262 Asia (j). The definition of C , D and Con are the same with equation (1) and (6).

263

264 **3 Results**

265 **3.1 BC concentration linked to producers and consumers**

266 Figure 1 highlights the key patterns of influence for physical BC transport from
 267 the emission sources to the downwind regions (Figure 1 *Top*), virtual BC transport from
 268 final consumers to the emitters via international trade (Figure 1 *Middle*), and the
 269 combined effect of physical and virtual BC transport from final consumers to the
 270 polluted regions (Figure 1 *Bottom*). The physical transport of BC is modulated by global
 271 atmospheric circulation, as well as by the location and intensity of emissions, the
 272 transport timescales, and the deposition rates of BC. In the mid-latitudes of the northern

273 hemisphere, the general atmospheric circulation is dominated by westerly wind, which
274 facilitates trans-Pacific, trans-Atlantic and trans-Eurasian transport⁶⁸. In most regions,
275 surface BC concentrations are typically affected by the upwind western regions. For
276 example, nearly 28% and 61% of the surface BC concentration in the former Soviet
277 Union ($0.064 \mu\text{g}\cdot\text{m}^{-3}$) and Middle Asia ($0.087 \mu\text{g}\cdot\text{m}^{-3}$), respectively, were contributed
278 by transboundary transport. Remarkably, the Middle East was responsible for 0.037
279 $\mu\text{g}\cdot\text{m}^{-3}$ (17.71%) surface BC concentrations in Mid-Asia. Europe contributed 0.026
280 $\mu\text{g}\cdot\text{m}^{-3}$ (25.9%) of the surface BC concentration in Mid-Asia. The two largest
281 transboundary transports were from Africa to Middle East ($0.047 \mu\text{g}\cdot\text{m}^{-3}$) and from
282 India to Southeast Asia ($0.042 \mu\text{g}\cdot\text{m}^{-3}$).

283 Virtual transport via trade has the opposite direction compared to the trade of
284 products. When a country imports product from another country, it induces emissions
285 and pollution in the exported country. Thus, the country imports products but exports
286 emissions (virtual) to its trade partner. We find that the virtual flow from final
287 consumers to emitters has a similar pattern as that of the atmospheric transport from
288 developed regions such as North America and Europe in the west to the developing
289 regions (East Asia and India) in the east. These emissions were embodied in products
290 such as *Petroleum, coal products, Chemical, rubber, plastic products* and Machinery
291 and equipment (Figure S3). A total of 26%, 27%, 17% and 13% of the industrial BC
292 emissions in the former Soviet Union, Africa, East Asia, and India, respectively, were
293 related to the production of exported goods or services for final consumers elsewhere.

294 The combined effect of physical and virtual transport from final consumers to the
295 polluted regions is also from developed to developing regions, in the same direction as
296 the westerlies in the northern hemisphere. In almost all cases, the influence of virtual
297 transport embodied in trade is orders of magnitude larger than that of physical transport.
298 For example, the consumption of Europe and North America contributed to 0.029 and
299 $0.026 \mu\text{g}\cdot\text{m}^{-3}$ of the surface BC concentration in East Asia, which were 10 and 217 times
300 the BC contributions physically originating from Europe and North America,
301 respectively. Similarly, East Asia's consumption has a much larger impact on the
302 surface BC concentrations in the Middle East, India and even the Southern Hemisphere

303 than the physically transported BC^{23} .

304

305 Figure 2 depicts the source of surface BC aerosols by tracing back to the emitters
306 (Figure 2 *Upper*) and to the final consumers of the related goods or services (Figure 2
307 *Lower*). From the viewpoint of the physical emitter, the BC surface concentrations were
308 predominantly contributed by local emissions (Figure 2 *Upper*, for all regions except
309 for Middle Asia, which is influenced largely by BC emissions transported its European
310 neighbourhood). As part of the supply chain, local BC emissions generated by the
311 production of exported goods or services also made a non-negligible contribution and
312 were mainly driven by the final consumption in the U.S., Europe and East Asia.
313 Approximately 4.2% and 4.7% of BC emissions in East Asia were contributed by
314 consumption in the U.S. and Europe, while East Asia's consumption accounted for 4.2%
315 and 2.7% of BC emissions in the U.S. and Europe. The absolute net virtual emission
316 transfers were greatest among these three regions.

317 As for the complete supply chain, i.e., from the final consumers to the BC
318 concentrations in each polluted region, the developed regions have larger contribution
319 to the concentration in developing regions. China and India have the highest BC
320 concentrations, and approximately 10% of these were contributed by consumers
321 elsewhere. Emissions generated in other regions but driven by local consumption may
322 flow back, but this portion (the grey bar in Figure S4) only contributed modestly to the
323 local BC concentration, typically less than 1% for all regions except Middle Asia (3%)
324 ²⁴. However, virtual transport via trade dominated the entire supply chain and was
325 sometimes enhanced by atmospheric transport. This noticeable pattern reflects the fact
326 that developed countries give rise to environmental pressures on emerging countries
327 and generate additional pressures for less developed countries with lower
328 environmental standards⁶⁹. Developed countries have made considerable progress in
329 reducing air pollutant emissions domestically but induce pollution emissions in
330 developing countries ⁷⁰. Efforts to improve energy efficiency and reduce end-of-pipe
331 emissions may be partially counteracted if the virtual transport of air pollutants from
332 foreign countries continues. Furthermore, East Asia also contributed to BC pollution in
333 other regions (such as Africa and Southeast Asia) by importing raw materials such as
334 oil and metals. Our results highlight the central role played by East Asia in the

335 international supply chain, with a huge number of imports being processed for further
336 export. Those efforts seeking to control transboundary air pollution should pay more
337 attention to the virtual transport embedded in international trade.

338 **3.2 Interregional influence efficiency of BC pollution**

339

340 Assessing the influence efficiency also reveals the sensitivity of the polluted region
341 to the production/consumption in another region. Figure 3 presents the influence
342 efficiencies from consumers to emitters and ultimately to the polluted receptor regions.
343 In most cases, the polluted region is the most sensitive to emission changes within that
344 region. The interregional influence efficiencies were relatively small, except for the
345 largest ones from Australia to Southeast Asia ($0.17\mu\text{g}\cdot\text{m}^{-3}\cdot\text{Tg}^{-1}$), from Middle Asia to
346 the former Soviet Union ($0.13\mu\text{g}\cdot\text{m}^{-3}\cdot\text{Tg}^{-1}$) and from Middle East to India ($0.10\mu\text{g}\cdot\text{m}^{-3}\cdot\text{Tg}^{-1}$).
347

348 The influence efficiency from final consumers to emitters is determined by trade
349 structure, technology, energy efficiency and so on in the receiving regions. The
350 influence efficiency of local consumption ranged from $0.0107\text{ g}\cdot\text{\$}^{-1}$ in Australia to
351 $0.161\text{ g}\cdot\text{\$}^{-1}$ in India. Considering interregional influence efficiency, most regions were
352 only sensitive to contiguous regions, with the highest efficiencies in terms of virtual
353 transport being concentrated in several developing regions, such as East Asia and India.
354 The BC emissions in East Asia and Europe were sensitive to the final demand of more
355 than half of the regions in the world. In particular, in addition to its local consumption,
356 East Asia was the most sensitive to the final demand of Southeast Asia ($0.0102\text{ g}\cdot\text{\$}^{-1}$),
357 Middle Asia ($0.0087\text{ g}\cdot\text{\$}^{-1}$) and the Middle East ($0.0059\text{ g}\cdot\text{\$}^{-1}$), which implies higher
358 emission intensity of imports from East Asia (Figure S5)

359 BC concentration change at the receptor due to per unit final consumption ($\mu\text{g}\cdot\text{m}^{-3}\cdot\text{trillion}\text{\$}^{-1}$)
360 in the source region reflects the influence efficiency of the complete
361 supply chain, incorporating the atmospheric transport and virtual transport. The largest
362 influence efficiencies were from Middle Asia to the former Soviet Union ($0.015\mu\text{g}\cdot\text{m}^{-3}\cdot\text{trillion}\text{\$}^{-1}$),
363 from Middle East to India ($0.015\mu\text{g}\cdot\text{m}^{-3}\cdot\text{trillion}\text{\$}^{-1}$), and from India to

364 Southeast Asia ($0.0082 \mu\text{g}\cdot\text{m}^{-3}\cdot\text{trillion } \$^{-1}$). Overall, the interregional influence
365 efficiencies between the former Soviet Union, Middle Asia, East Asia, Southeast Asia,
366 and India were larger than others. Notably, per unit consumption in developing regions
367 has larger influence on East Asia than the consumption in developed regions. East Asia
368 should reduce energy intensity and improve export structure in the context of increasing
369 final consumption in the above developing regions.

370

371 **3.3 Direct Radiative forcing (DRF) related to final consumers**

372 BC has dual roles in the environment due to its health effect and climate forcing
373 function. While the surface BC concentration is associated with human health, DRF is
374 used to reflect the climate forcing effect of BC. In this study, the simulated top-of-
375 atmosphere direct radiative forcing (TOA DRF) is 0.275 Wm^{-2} , which is comparable to
376 the previous estimates by Wang et al. ($0.17\text{--}0.31$)⁷¹ and Schulz et al. (0.27 ± 0.06)⁷²,
377 slightly lower than the estimation in Bond et al.⁸ (Table S5). The difference is due to
378 the modifications of the wet scavenging scheme in this study,¹⁷ Wang et al. and Schulz
379 et al., which could match the HIPPO observations in a better way without sacrificing
380 the consistency of other observations^{17, 71}. By using the MRIO and tagging technology,
381 the inter-regional virtual transport of RF was characterized and shown in Figure 4.

382 Compared to the transport of surface concentration, the inter-regional contribution
383 to DRF is slightly different since BC exerts enhanced DRF per unit of mass when
384 transported to higher altitudes^{73, 74}. The contribution of local consumption for BC DRF
385 ranges from 31.4% to 91.5% across all regions. The consumption of East Asia, North
386 America exerted substantial forcing on other regions (row), especially on India, Middle
387 East and South Africa. This pattern is consistent with previous findings²³. By using the
388 tagging technology, we can obtain a source-receptor matrix, namely the contribution of
389 imposed on each region that are associated with final consumption of goods locally or
390 in other regions, as shown in Figure 4. Compared to previous studies,^{22, 23} the BC DRF
391 in Africa driven by other regions' final consumption was highlighted in this work.

392 About 10% (0.01 Wm^{-2}) of the total BC DRF in Africa (third column) were contributed
393 by other regions' consumption, among which the DRF induced by consumption of
394 Europe, North America and East Asia were 0.004, 0.002 and 0.002 Wm^{-2} , respectively.
395 This is comparable to the total BC DRF in North America, Middle Asia, Australia, ,
396 Canada and the former Soviet Union.

397 The international trade extended the DRF imposed by Africa's final consumption.
398 The BC emissions in AF were mainly transported to downwind regions (e.g., Middle
399 East and Middle Asia) with atmospheric movement. Then the influence areas were
400 extended to which South America and Australia due to virtual transport by trade. As the
401 climate of Africa is characterized by a sensitive monsoon system that is subject to
402 substantial global and regional changes in greenhouse-gas-induced, sea-level rise and
403 substantial biomass burning⁷⁵. We argue that some attention should be paid to the
404 emissions embodied in exports in Africa.

405

406 **4 Implications and uncertainties**

407 Transboundary air pollutants are attracting increasing attentions in recent years. A
408 series of regional agreements has been created to address the problems associated with
409 transboundary air pollutants, such as the Long-Range Transboundary Air Pollution
410 (LRTAP) Convention⁷⁶, the Acid Decomposition Monitoring Network in East Asia
411 (EANET)⁷⁷, and the Malé Declaration on Control and Prevention of Air Pollution⁷⁸.
412 Particularly, long-range transport of BC has attracted increasing attentions because of
413 its climate effect in some critical regions (such as Arctic) ^{16, 17, 56}. Increasing efforts
414 have been made to explore the Arctic BC originating from the various major sources
415 and the associated effect. The major gaps in the current literature are a failure to involve
416 the virtual BC transport via trade. The results in this study indicates that considerable
417 amount of BC emitted in China is also induced by final consumption in EU. The
418 contribution to Arctic BC from EU would be more than acknowledged before if the
419 supply chain from consumer to producer is included. Thus, the existing regional
420 agreements considered the emitters of air pollution but overlooked the final consumers,

421 which are the ultimate drivers initiating the production processes and may shift the
422 emission by outsourcing the production. This may undermine the efforts to control
423 transboundary air pollution, due partially to the competing effect between physical and
424 virtual transport.

425 Increasing evidence has shown that polluting industries are tending to move to
426 less-regulated regions where energy use efficiencies are low and emission intensities
427 are much higher (Figure S6) ^{26, 79, 80}. Emissions embodied in traded products in some
428 emission-intensive sectors even amounted up to 65% (e.g., metals nec) (Figure S7). For
429 China, the emission intensity of Machinery and equipment is five times that in Europe
430 and the U/S. However, almost half of the BC emissions outsourced to China was
431 embodied in Machinery and equipment, which requires substantial inputs and
432 production of metals, electricity, etc. Improving pollution control technologies in the
433 production of coke, iron and steel, and electricity in China and facilitate technology
434 transfer from developed regions would have disproportionately large environmental
435 benefits at the regional and global scales. Figure S6 shows that there is great potential
436 to improve the emission intensity in China. By furthering understanding of the supply
437 chain for BC, a global confederation of regional cooperative programmes in developing
438 regions to eliminate the efficiency gap could help to develop a better, globally shared
439 understanding of air pollution issues. Sharing responsibility is a promising way to
440 facilitate international agreement on BC reductions towards the new warming
441 mitigation framework following the Kyoto Protocol⁸¹.

442 The mitigation of aerosols driven by other regions is not a substitute for the
443 emission reductions associated with locally produced goods used at the local and
444 regional scale. For polluted regions, such as China, most air pollutants within the region
445 are still associated with local consumption. However, a comprehensive understanding
446 of all contributors of BC aerosols creates opportunities for other parties (e.g., final
447 consumer) to participate in pollution abatement efforts alongside the emitters and local
448 regulators. Otherwise, it is likely that many nations will be delayed in meeting their
449 goals and objectives for protecting public health and environmental quality.

450 In addition to BC tagged and quantified here, tagging aerosols and their precursors

451 (i.e., SO₂, sulfate, mineral dust, OC1 and OC2) and the associated climate and health
452 effects is an important topic for future study. The other aerosols, although much harder
453 to tag and quantify due to the complicated chemical processes, is also influenced by the
454 proportion of a given region's consumption supplied via trade. The uncertainties
455 propagated across multiple models are difficult to be quantified. However, validation
456 of each model helps to ensure the robustness of our main findings. The uncertainties
457 propagated across multiple models are difficult to quantify. However, validation of each
458 model helps to ensure the robustness of our main findings. The uncertainty analysis of
459 the production-based emission inventories used in this study were conducted using a
460 Monte Carlo simulation. Variations in source strengths, emission factors, the
461 efficiencies of control technologies, compliance rates, and coal ash content and
462 fractions were all included. A detailed description of the major uncertainties in the
463 production-based emission inventory can be found in our published papers^{1, 30, 82-84}.
464 Global BC emission from energy-related sources in this study is ~30% higher than that
465 in previous studies because of updated emission factors and the use of local fuel data.³⁰
466 The errors in the response in receptor regions to emissions change in the source regions
467 are within 4%.¹⁵ MRIO calculations contributed additional uncertainty which is
468 inherent from national economic statistics and data harmonization⁸⁵. Moreover,
469 intercomparison of different global MRIO databases showed that CO₂ emissions
470 embodied in international trade vary up to 13% and the observed differences among
471 MRIO results were close to differences in underlying production-based inventories.²⁴
472 ⁸⁶ It indicates that MRIO-related error is relatively small than the error in a production-
473 based emission inventory. The BC concentrations and DRF simulated by the MOZART
474 and RRTMG are affected by errors in emission inventories and the transport processes
475 in the models. This study reduces the uncertainty in these processes by improving
476 parameterizations of the aging processes and using tagging technique to quantify the
477 fractional contribution in source regions without perturbing the emissions. Given the
478 wide range of optimized lifetime by source region, the BC concentrations is a little
479 different from previous studies, most of which used the global average lifetime for all
480 regions. However, the modelled surface concentration agreed well with the

481 observations.

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490

491 **Additional information**

492 Supplementary information. The Supporting Information providing additional text,
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494 paper.

495

496 **Competing financial interests**

497 The authors declare no competing financial interest.

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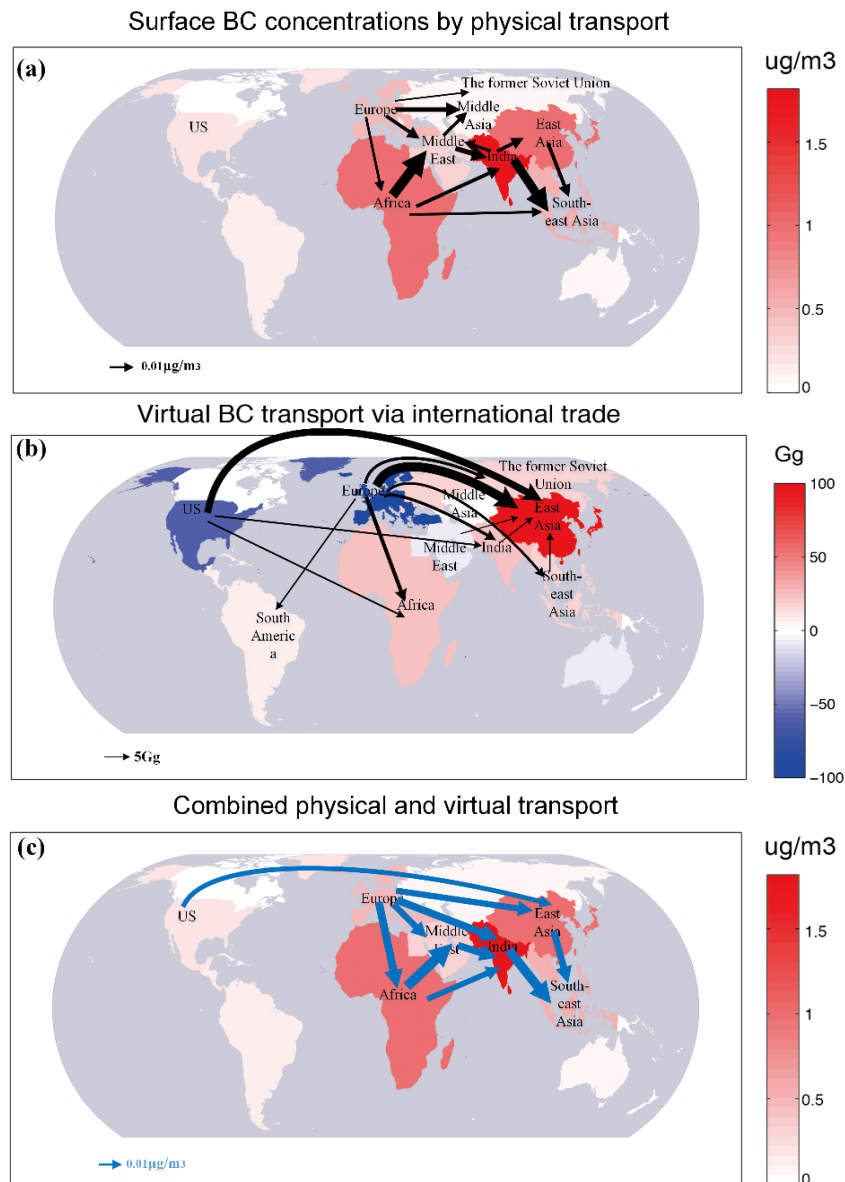
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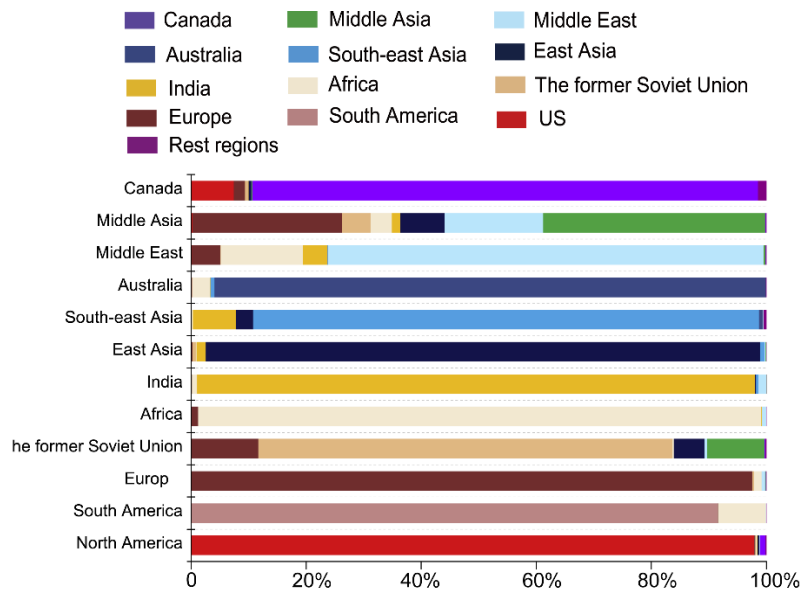
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718 **Figures**

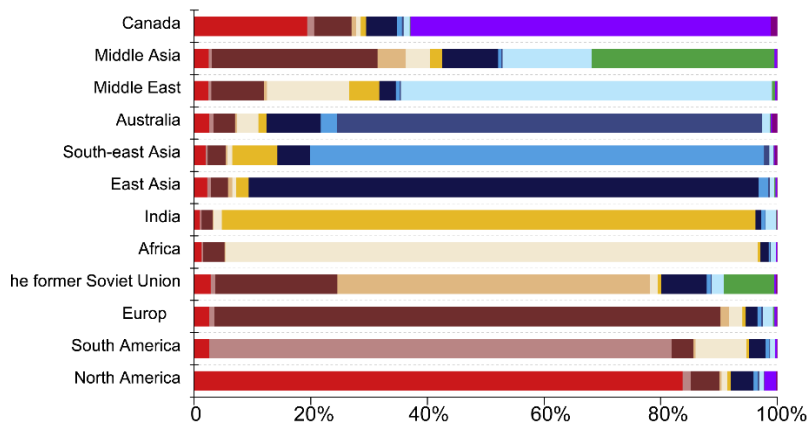


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720 **Fig. 1| Geographical supply chain of global BC aerosol.** Surface BC concentrations contributed
 721 by emitters to downwind regions (a, the colour of a region indicates its annual mean surface BC
 722 concentration, and arrows indicate surface BC contributions); virtual BC transport via international
 723 trade (arrows) from final consumers to emitters (b, the colour of a region represents the difference
 724 between exported and imported emissions, or the net emission transfer; note that virtual BC
 725 transport via trade has a direction opposite to that for the trade of products—when a country imports
 726 products from another country, it means that it exports emissions to that country); and combined
 727 physical and virtual transport from final consumers to the polluted region (c, colours indicate the
 728 surface BC concentrations). The width of the arrow reflects the relative contributions.



(a) Contribution of emitter



(b) Contribution of final consumption

729

730 **Fig. 2| Geographical sources of BC emissions for selected regions.** (a) regional contributions to

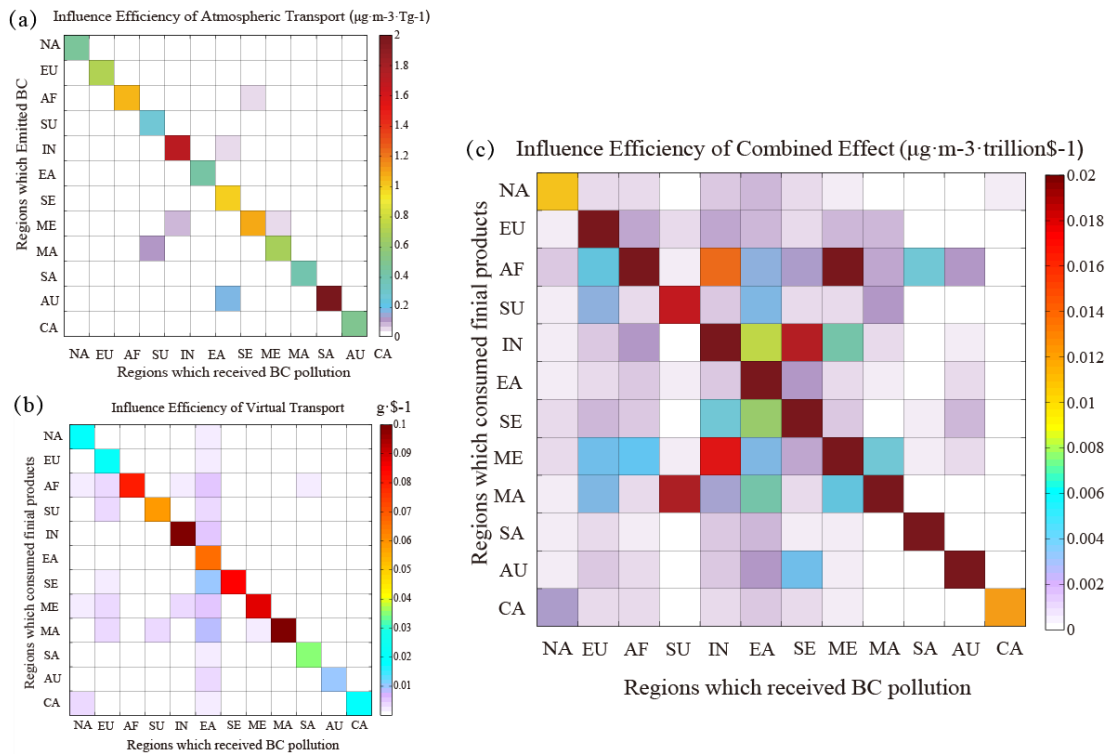
731 local BC concentration from view of where the BC aerosols are physically emitted. (b) regional

732 contributions to local BC concentration from view of where the goods and services related to the

733 surface BC concentrations are ultimately consumed.

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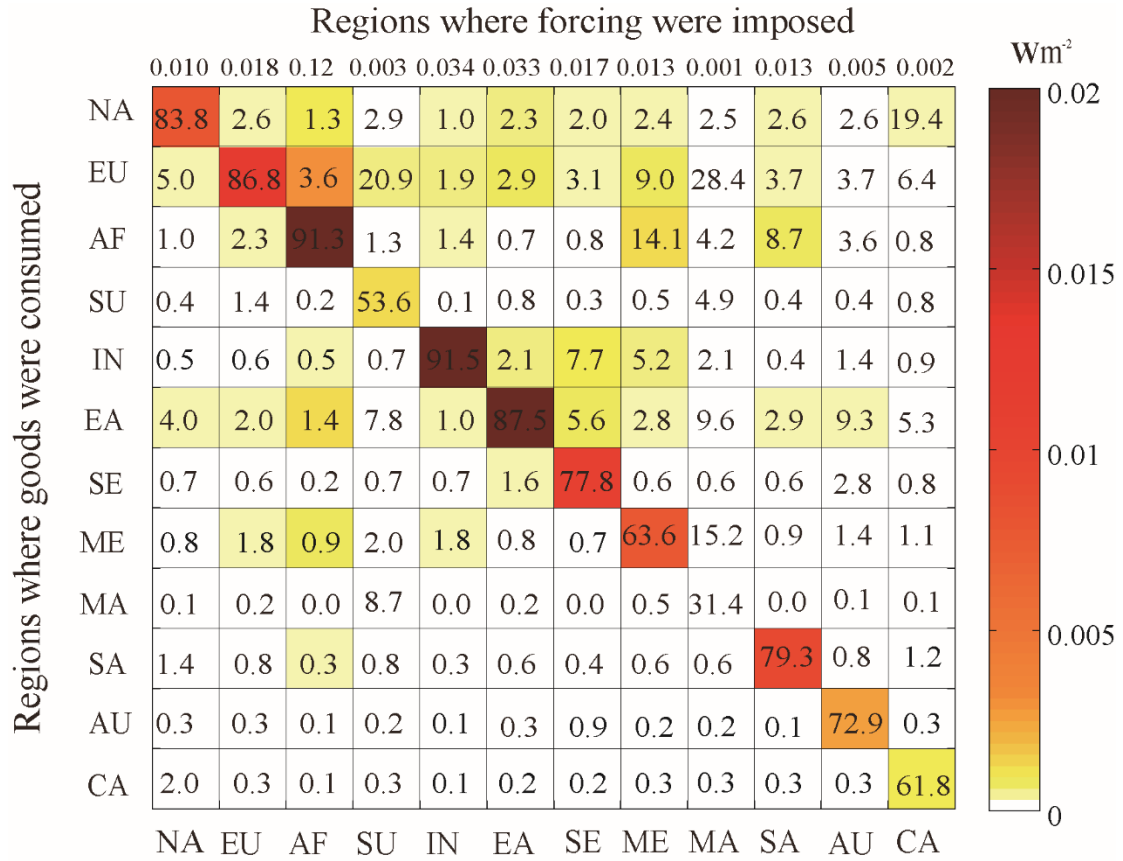
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737 **Fig. 3| The interregional influence efficiency of BC pollution in the global supply chain:** (a)
 738 atmospheric transport from regions which emitted BC to the receiving regions ($\mu\text{g}\cdot\text{m}^{-3}\cdot\text{Tg}^{-1}$); (b)
 739 virtual relocation of BC emissions from final consumers to regions which emitted BC ($\text{g}\cdot\text{\$}^{-1}$); (c)
 740 combined physical and virtual transport of BC pollution from final consumers to each receptor
 741 region ($\mu\text{g}\cdot\text{m}^{-3}\cdot\text{Tg}^{-1}\cdot\text{trillion}\text{\$}^{-1}$).

742



743

744 **Figure 4 | Radiative forcing of BC in a given region that are linked to goods and services**
 745 **consumed in that and other regions.** Each cell in the grid shows the radiative forcing (RF) of BC
 746 in the region indicated by the column due to pollution related to goods and services consumed in
 747 the region indicated by the row. The diagonal thus reflects radiative forcing in a region due to goods
 748 and services consumed locally. The colour shading indicates the value of RF while the number in
 749 each grid is the proportion of RF in the region (%). The total RF of BC in each region is shown at
 750 the top.

751

752