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Broadband Circularly Polarized Filtering Antennas

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ABSTRACT This paper consists of two parts. The first part presents a review of the recent development in broadband circularly polarized filtering antennas. The second part presents a novel design of broadband integrated filtering antenna based on eighth-mode SIW (EMSIW) resonators for rectenna applications. This work has three main novel contributions. First, by adjusting the external quality factors and coupling coefficients of the resonators in this filtering antenna, optimum input impedance with a complex value can be realized within the filtering antenna. Thus there is no need for an external impedance matching network, which is usually required between the antenna and the rectifying circuits; Second, compared with traditional microstrip resonators, high-Q EMSIW cavities are used to increase antenna gain; third, the coupling gap between the EMSIW resonators also acts as the feeding structure of the radiator. So the feeding structures are all on the middle layer. The ground plane on the back side is a complete structure without any defects. This novel structure design improves front-to-back ratio to enhance the antenna receiving efficiency. To validate this method, two C-band circularly polarized integrated filtering antennas with an input impedance of 50 Ω and complex impedance are designed, simulated, and fabricated. The measured results show that the operating frequency bandwidth of the proposed antennas is more than 14.5% at C-band with the gain above 8 dBi. The 3-dB axial ratio bandwidth is larger than 8.5% and the front-to-back ratio is higher than 18 dB. Moreover, the proposed antenna with complex impedance is conjugate matched with the input impedance of a specific rectifying circuit at 5.8 GHz and harmonics suppression at the second-harmonic frequency is achieved.

INDEX TERMS Broadband antenna, circularly polarized antenna, impedance match, filtering antenna, rectenna.

I. INTRODUCTION

The development of modern wireless systems demands radio frequency (RF) front-ends and antennas with compact sizes, light weight, low cost and multiple functions. In traditional designs, passive components such as filters, power dividers and antennas are designed separately and then cascaded. This approach leads to some problems including bulky volumes, heavy weight, complicated structures and high insertion loss between the components. In recent years, filtering antenna has become a hot topic of research due to its potential of overcoming these problems [1]–[6]. In the filtering antenna, the antenna becomes one element of the filtering circuit which usually consists of many resonant units. Thus the filtering antenna requires the antenna and the filtering circuits be designed, simulated and optimized simultaneously. Compared with traditional antennas, the integrated filtering antenna does not require a separate impedance matching network between the antenna and the filtering circuits. In addition, it has many advantages such as a compact size, low loss, the enhancement of antenna bandwidth, high frequency selectivity, wideband harmonic suppression, improved out-of-band rejection and reduced interferences.

Although much work has been done in filtering antenna/array research, most of the reported filtering antennas are linearly polarized (LP). One important application is to use the filtering antenna techniques to design circularly polarization antennas/arrays. Circularly polarized (CP) antennas do not require strict alignment between transmitting and receiving antennas. CP antennas can also combat the multipath fading and are immune to the 'Faraday rotation' effect due to the ionosphere. Because of the above features, circularly polarized antennas are very popular for various wireless systems such as satellite communication systems, global navigation satellite systems, and wireless power transmission systems [7]. The key challenges for circularly polarized filtering antenna design are to realize broad axial ratio (AR) bandwidth and high radiation efficiency. Meanwhile, it is also very important to investigate how to apply the circularly polarized filtering antenna in satellite/radar and microwave power transmission applications.

This paper focuses on the research of the broadband circularly polarized filtering antennas. The state-of-the-art broadband circularly polarized filtering antennas are briefly reviewed in Section II. Then, a novel design of broadband filtering antenna based on eighth-mode SIW (EMSIW) resonators for rectenna application is presented in Section III. To reduce the insertion loss and radiation loss of the traditional microstrip resonators, high-Q EMSIW cavities are used in the feeding system of the antenna. To conjugate match the rectifying circuit, the external quality factors and coupling coefficients of the resonators can be adjusted to realize complex impedance matching. In addition, the novel topology improves the front-to-back ratio to enhance the antenna efficiency. Section IV concludes this paper.

II. REVIEW OF BROADBAND CIRCULARLY POLARIZED FILTERING ANTENNAS

Most of the reported filtering antenna researches concerns LP antennas, and there is only a few works on CP filtering antennas in the literature [8]–[13]. Circularly polarized filtering antenna design involves more complicated parameter optimization and it is more challenging to realize broadband CP filtering antenna. In this section, several state-of-the-art circularly polarized filtering antennas including dielectric resonator antennas (DRA), monopole antennas and microstrip antennas, are reviewed.

A. WIDEBAND CP FILTERING DRAS

The dielectric resonator antenna is regarded as one of the ideal candidates for modern wireless systems because of its advantages such as low loss, a small size, and a wide bandwidth [14], [15]. Circularly polarized filtering DRAs

with wideband operation have been investigated in recent years.

A wideband circularly polarized DRA with bandpass filtering and wide harmonic suppression was proposed in [8]. The configuration and photograph are shown in Fig. 1. A wideband filtering quadrature coupler based on a snowflake shaped patch was implemented to feed a hollow DRA. This structure could realize circular polarization and filtering function simultaneously. This antenna operated at 1.8 GHz and exhibited a wide bandwidth of 27.8% (AR < 3 dB, $RL \le -12.4 dB$) with an average gain of 6 dBic. A rejection of over 19 dB was achieved in the suppression band up to the third harmonic. Another circularly polarized filtering DRA for X-band applications was presented in [9]. A rectangular ring slot was etched into the ground plane of the dielectric laminate to generate circular polarization. An additional semi-elliptical shaped filter was used to improve the selectivity. The antenna operated from 7.9 to 8.4 GHz with the gain around 5.3 dBic and 3 dB AR bandwidth of 3.68%.



FIGURE 1. Configuration and photograph of the wideband CP filtering DRA reported in [8]: (a) the structure of quadrature coupler; (b) photograph.

B. CP FILTERING MONOPOLE ANTENNAS

Monopole antennas have the merits of compact sizes and omnidirectional radiations, which are important for the applications where omnidirectional radiation is required. Several LP filtering antennas based on monopole antennas had been well investigated in [16]–[18]. However, to the authors' best knowledge, there is only one design reported which realized the circular polarization. A circularly polarized monopole antenna with filtering function was proposed in [10] and shown in Fig. 2. A miniaturized coupled filter based on bentloop resonators was integrated to realize filtering function,

FIGURE 2. Configuration and photograph of the broadband CP filtering monopole antenna presented in [10].

while the falcate-shaped patch was adopted to obtain the circular polarization. This antenna exhibited good frequency selective performances, return loss and gains.

C. BROADBAND CP FILTERING MICROSTRIP ANTENNAS

Microstrip antennas have many advantages such as low profiles, lightweight and low cost. It is one of the most widely used antenna types. Therefore, the circularly polarized filtering microstrip antennas have been investigated extensively [11]–[13], [19], [20]. In this section, some latest typical examples are discussed.

A compact circularly polarized co-designed filtering antenna was reported in [11]. As shown in Fig. 3, this antenna was based on a patch radiator integrated with a bandpass filter. The filter was composed of coupled stripline openloop resonators. The patch served as the radiator and the last stage resonator of the filter. The circular polarization was obtained by using dual feeds with 90 degrees phase delay. The filtering circuit with impedance matching functionality

FIGURE 3. Structure of the broadband CP filtering microstrip antenna reported in [11]: (a) 3D view, (b) Side view.

In [12], a dual-band CP microstrip array antenna with the shared aperture was developed for C-/X-band satellite communications. Fig. 4 shows the structure of the subarray. The antenna element was based on a novel technique of achieving CP using a single-feed dual-coupling structure. The circular patch was fed by a 50 Ω microstrip line via two U-shaped slots etched in the ground plane. At the end of the microstrip feed, a hairpin resonator was attached and coupled with the two U-slots. The antenna array shows a wide impedance bandwidth of 21% and 21.2% at C-band and X-band, respectively. The 3-dB AR bandwidths in C-band and X-band are 13.2% and 12.8%. However, due to the hairpin resonator placed on the back and two resonant U-slots in the ground plane, the front-to-back ratio is only -12dB.

FIGURE 4. Configuration of the C/X-band CP filtering microstrip antenna subarray reported in [12].

Compared with the low-Q planar resonators, the integration of the high-Q resonators with antennas are more attractive in filtering antenna design, for their merits of lower insertion losses and better frequency selectivity. By integrating CP patch antenna with SIW cavity filters, a circularly polarized patch antenna with enhanced impedance and AR bandwidth was implemented in [13]. As shown in Fig. 5, a third-order SIW cavity based Chebyshev filter was embedded in the antenna to enhance the out-of-band rejection and bandwidths. By sequentially rotating the antenna elements, a 2×2 array was formed and an impedance-AR bandwidth of 8.3% was achieved.

III. CASE STUDY: BROADBAND CP FILTERING ANTENNA FOR RECTENNA APPLICATION

Wireless power transfer (WPT) overcomes the disadvantages of the traditional power supply using wired systems, and provide a more flexible power supply for various applications [21]–[24]. Microwave power transmission (MPT), as one of the key technologies in WPT, has demonstrated

is a squared patch with two truncated corners for generating the circular polarization, as shown in Fig. 6 (b). Around the patch, four parasitic strips are introduced to improve the AR bandwidth.

FIGURE 5. Exploded view of the broadband CP filtering microstrip antenna reported in [13].

the potential for power transmission over a long distance in many publications. In MPT system, rectenna array plays an important role in receiving and rectifying microwave energy. The design of the rectenna is essential for ensuring the overall efficiency of the MPT. A rectenna is usually composed of a receiving antenna unit and a rectifying circuit. Besides, a low-pass filter is always required between the receiving antenna and the rectifying circuit for suppressing harmonic re-radiation generated by diode nonlinearity [25]–[27].

To improve the integration of the rectenna, the receiving antenna with harmonic inhibition suppression can eliminate the use of low-pass filter in the rectifying circuit. A circularly polarized patch antenna with defect ground structure (DGS) under the microstrip feedline was proposed in [28] to avoid the harmonics. The double dumbbell-shaped DGS structure can suppress the harmonics. The reflection coefficient of this antenna is -30 dB at 5.8 GHz and -3 dB at the second harmonic 11.6 GHz. However, the bandwidth of this antenna is only 60MHz, and an extra impedance match network is needed. Another circularly polarized rectenna with harmonic suppression for WPT was presented in [29]. The substrate integrated waveguide structure was used to enhance the gain, and suppress surface wave. A shunt open stub was loaded on the feeding microstrip line to suppress harmonic. The gain is about 6.9 dBi and the bandwidth is about 100 MHz (5.75-5.85 GHz). An extra shorted single branch was then adopted to match the rectifying circuit.

Conventional rectenna designs usually suffer from the loss of filter, impedance matching network, and limited bandwidth. The concept of the integrated filtering antenna can be applied to rectenna design. As a case of study, a novel broadband CP filtering antenna based on EMSIW resonators is developed for rectenna application.

A. CONFIGURATION

Fig. 6 shows the structure of the proposed broadband circularly polarized filtering antenna. The antenna consists of two substrates of Rogers 4003 ($\varepsilon_r = 3.55$, tan $\delta = 0.009$) with the thickness of 0.813 mm. The distance between the two substrates is h = 2mm. Viewing from the top, the radiator



FIGURE 6. The configuration of the proposed CP filtering antenna: (a) exploded 3D view; (b) top view; (c) details of the feeding 1/8 SIW resonators.

The substrate integrated waveguide (SIW) technique has facilitated the design of cavity resonators with advantages of high-Q, low loss, lightweight, easy fabrication and integration with planar circuits. However, the applications of SIW resonators are rarely in low-frequency bands due to their relatively large sizes. To overcome this problem, halfmode SIW (HMSIW), quarter-mode SIW (QMSIW), or even eighth-mode SIW (EMSIW) cavities have been proposed to reduce the size of the circuit [30]. The electric field distributions in the square SIW cavity, QMSIW cavity, EMSIW cavity resonating at the dominant mode TE101 and two coupled EMSIW resonators in the proposed antenna are displayed in Fig. 7, respectively. The resonant frequencies of the EMSIW resonators can be determined by [30]:

$$f_{TE101}^{EMSIW} = \frac{c}{2\pi\sqrt{\mu_r\varepsilon_r}}\sqrt{(\frac{\pi}{a_{eff}^{EMSIW}})^2 + (\frac{\pi}{a_{eff}^{EMSIW}})^2}$$
$$= \frac{c}{\sqrt{2\mu_r\varepsilon_r}a_{eff}^{EMSIW}}$$
(1)
$$a_{eff}^{EMSIW} = a_{eff}^{SIW} + \Delta w^{EMSIW}$$
(2)

where μ_r and ε_r are the relative permeability and relative permittivity of the resonator substrate, *c* is the speed of light and a_{eff}^{SIW} is the equivalent width of the original square SIW resonator. Δw^{EMSIW} are additional widths for EMSIW structures [31].





In this antenna design, two EMSIW resonators are adopted in the feeding circuit as shown in Fig. 6 (c). The resonators are formed by connecting the triangle patches on the top layer and the ground plane on the bottom layer using closely spaced metallic vias. The diameter of the via is 0.3 to 0.4 mm, while the distance between the centers of vias is 0.6 to 0.8 mm, which is much smaller than the wavelength at the operating frequency (about 0.015 λ_0 @ 5.8 GHz) to prevent energy leaking from the sidewalls.

B. OPERATION PRINCIPLE

To illustrate the operation principle, a coupled-resonator topology of the broadband circularly polarized filtering



FIGURE 8. The topology of the proposed filtering antenna.

antenna is shown in Fig. 8. The circles represent resonators and the solid lines represent the coupling between them. The EMSIW resonators are coupled through a gap with a width of W_3 . The radiator on the top substrate is fed by the gap along the diagonal of the patch. The coupling can be adjusted by tuning the width of the gap. The EMSIW resonators are coupled and tuned with the patch to generate multiple resonant modes. As a result, the impedance bandwidth can be improved. The different resonant characteristics of these two types of resonators provide additional benefit of distinct harmonic frequencies [5]. This feature can be used to suppress the harmonics.

Four sequentially rotated rectangular strips are placed around the corner-truncated square patch to broaden the AR bandwidth [32]. The size of each parasitic strip is $L_s \times W_s$. The width of the gap between strips and patch sides is W_d and the distance from the patch corner to strip corner is W_o .

Since the input impedance of the rectifying diode is always complex, complex impedance matching should be achieved in the antenna design to eliminate the impedance match network with rectifying circuits. The simulation schematic of one rectifying circuit in ADS is shown in Fig. 9. The chosen rectifying diode is MA4E1317 manufactured by MACOM. The input impedance of the rectifying circuit is $73.5+j106.3 \Omega$. The input impedance of the filtering antenna should be conjugated matched with it to achieve maximum power transfer. Based on the equivalent circuit model synthesis method of microwave filter, a complex impedance matching can be realized by adjusting the external quality factors and coupling coefficients of the filtering antenna. (a)

(b)

FIGURE 10. Variation of the input impedance of the antenna with different *W1*: (a) Imaginary part; (b) Real part.

Fig. 10 shows the simulated input impedance of the filtering antenna with different EMSIW cavity size as related to W1. As can be seen, both the imaginary part and real part of the input impedance vary with different W1. The real part of the input impedance can be tuned relatively independently with the parameters of W2, W3, W4 and Wp. As shown in Fig. 11, the real part of the input impedance varies with different W2, while the imaginary part keeps relatively stable. The complex impedance of rectifying circuit that we used in the simulation of filtering antenna is a typical value. In addition, the input impedance of the filtering antenna can be easily tuned in our design method. Therefore, our antenna design can be easily adapted to the optimization of the rectifier circuit.

It should be noted that the coupling gap between the EMSIW resonators also acts as the feeding structure of the radiator. So the feeding structures are all on the middle layer. The ground plane on the backside is a complete structure without any defects. This novel structure design improves front-to-back ratio to enhance the antenna receiving efficiency.

Since the proposed design is based on stacked geometry, the parametric study of parameter h is also performed. The results can be seen in Fig. 12. The performance of the filtering antenna would be well while h is within the range (a)

FIGURE 11. Variation of the input impedance of the antenna with different W2: (a) Imaginary part; (b) Real part.

(b)



FIGURE 12. The results of parametric studies of parameter h.

of 1.4 to 2.4 mm. This requirement can be easily satisfied in PCB process.

The designs and optimizations of this type of filtering antennas with input impedance of 50 Ω and 73.5 – *j* 106.3 Ω are performed by using Ansoft HFSS and the optimized design parameters are shown in Table 1.

TABLE 1. Optimized Design Parameters (Units: mm).

Design Parameters	Matching for 50 Ω	Ω Matching for $73.5 - j \ 106.3 \ \Omega$		
h	2	2		
W_p	17.5	16.1		
W_t	4	5.5		
L_s	16.5	16		
W_s	4	3		
W_d	2.5	1.2		
W_o	3.3	1.8		
W1	16.9	12.2		
W2	10	12.7		
W3	1.3	2.4		
W4	2.4	8.5		
Wf	1.82	1.82		

C. VALIDATION AND RESULTS

To validate the design concept, two prototypes of the proposed antenna with input impedance of 50 Ω and 73.5 – *j* 106.3 Ω , denoted as Antenna 1 and Antenna 2 respectively, are fabricated and characterized. Fig. 13 shows the photos of the fabricated prototypes.

(a)

of the via *R* is 0.3 mm, and the distance between the centers of vias *D* is 0.6 mm. Due to the manufacturing process limitations in our workshop, the actual *R* is about 0.4 mm, and the actual *D* is about 0.8 mm. The simulated results in Fig. 14 illustrate this issue. A broadband impedance match from 5.15 to 6.20 GHz (fractional bandwidth $\approx 18.5\%$) is achieved. The filtering performance is very well except for a small glitch about -3 dB around 3.2 GHz. The value of $|S_{11}|$ is about -0.85 dB at the 2nd harmonic frequency band, which approximately ranges from 11 to 12 GHz.



FIGURE 14. Measured and simulated S11 of Antenna 1.

FIGURE 15. Measured and simulated AR of Antenna 1.

The radiation patterns of the proposed antenna are measured in an anechoic chamber. The measured AR is below 3 dB from 5.5 to 6 GHz, as shown in Fig. 15. From Fig. 16, it can be seen that the antenna has a flat LHCP gain response of around 8.5 dBic from 5.5 to 6GHz. The gains are noticeably reduced by more than 18 dB from 11 to 12 GHz, exhibiting a good performance of 2nd harmonic suppression. Fig. 17 shows the simulated and measured radiation patterns of Antenna 1 in both the *XOZ* plane ($\phi = 0^{\circ}$) and *YOZ* plane ($\phi = 90^{\circ}$) at 5.5, 5.8, and 6 GHz, respectively. The antenna

(b)

FIGURE 13. Photographs of the proposed antennas: (a) Antenna 1 with input impedance of 50 Ω ; (b) Antenna 2 with input impedance of 73.5 – *j* 106.3 Ω .

The measured and simulated results of Antenna 1 with 50 Ω input impedance are shown in Fig. 14. The measured results agree well with the simulations except for some frequency shifts on a reflection zero point at high-frequency band. This is caused by the manufacture inaccuracy of the vias in EMSIW resonators. In the initial design, the diameter

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FIGURE 19. The re-calculated S11 of Antenna 2.

FIGURE 20. The open-shunt stub for anechoic chamber test system matching and its effect to S11.

(c)



has the consistent radiation patterns within the operating band. The measured cross-polarization discrimination (XPD) is over 16 dB in the broadside direction, and the measured front-to-back ratio is higher than 18 dB.

The simulated and measured results of Antenna 2 for complex input impedance are shown in Fig. 18 to 23.

Fig. 18 shows the measured and simulated input impedances of the Antenna 2, and the corresponding values of $|S_{11}|$ are also re-calculated based on system impedance $Z_0 = 73.5 - j$ 106.3 Ω and is shown in Fig. 19. A broadband complex impedance match performance from 5.24 to 6.07 GHz (fractional bandwidth $\approx 14.6\%$) is achieved. Although there is a significant decline in the curve of S11 of Antenna 2 around 9.2 GHz, it also can be seen that the impedance is mismatched within the frequency band of 11 to 12 GHz, which is important for harmonic suppression.

TABLE 2. Comparison With Other CP Filtering Antennas.

Ref No.	Freq(GHz)	Impedance Match	Impedance/AR BW	Gain (dBi)	S11 @ 2-nd harmonic	Front-to-back Ratio
[8]	1.8	50Ω	27.8% (DRA)	6	>-1dB	~15dB
[9]	8	50Ω	6.13% / 3.68%	5.3	Not Given	Not Given
[11]	3.77-4.26	50Ω	12.2%	5.2	Not Given	18dB
[12]	C/X	50Ω	21%@C 21.2%@X / 13.2%@C 12.8@X	14.5@C(2×2array) / 17.5@X(4×4array)	Not Given	~12dB
[13]	10	50Ω	7.6%, 8.3%(2×2array) / 1.3%, 14.7(2×2array)	6.77 / 12.89(2×2array)	Not Given	Not Given
[19]	2.47	50Ω	4.5% / 9.4-10.5%	6.0-6.1	Not Given	19 dB
[20]	4	50Ω	10.3% / 8.8%	5.8	Not Given	Not Given
Antenna 1	5.8	50Ω	18.5% / 8.7%	8.5	>-0.85dB	>18dB
Antenna 2	5.8	Complex Impedance	14.5% / 8.5%	8	>-1dB	>18dB

XOZ

YOZ

YOZ

xoz (a)

FIGURE 21. Measured and simulated AR of Antenna 2.



FIGURE 22. Measured and simulated gains of Antenna 2.

The characteristic impedance of the measurement system in the anechoic chamber is 50 Ω . In order to measure the radiation performance of Antenna 2 with the complex impedance, an open-shunt stub is introduced to match the impedance of Antenna 2 to the 50 Ω terminal, as shown in Fig. 20.

The measured AR shown in Fig. 21 is below 3 dB from 5.6 to 6.1 GHz. The difference between measured and simulated results may be attributed to the effect of open-shunt stub and fabrication tolerance. As shown in Fig. 22, this LHCP



FIGURE 23. Radiation patterns of Antenna 2 in both the XOZ plane and YOZ plane: (a) 5.6 GHz; (b) 5.8 GHz; (c) 6.1 GHz.

antenna exhibits a flat gain response of more than 8 dBic from 5.6 to 6.1 GHz. The gain decreases by more than 15 dB from 11 to 12 GHz, showing good harmonic radiation suppression.

The simulated and measured radiation patterns Antenna 2 in both the *XOZ* plane ($\phi = 0^{\circ}$) and *YOZ* plane ($\phi = 90^{\circ}$) at 5.6, 5.8, and 6.1 GHz are shown in Fig. 23. The measured XPD in the broadside direction and the front-to-back ratio are similar to Antenna 1 with values of more than 16 and 18 dB, respectively.

The comparison between the proposed antennas and other CP filtering antennas reported in the literature is summarized in Table 2. It can be seen that the proposed antenna can realize 50 Ω and complex impedance matching to RF circuits with wide impedance and AR bandwidths while maintaining high gain, high front-to-back ratio and good 2nd harmonic suppression.

IV. CONCLUSION

In this paper, the state-of-the-art CP filtering antennas with wideband operation are reviewed first. Then, a novel design of broadband integrated filtering antenna based on EMSIW resonators is proposed for rectenna application. Several important problems of the application of the filtering antenna in rectenna are addressed in this paper: 1) complex impedance match to eliminate the impedance match network with rectifying circuits; 2) using high-Q EMSIW resonators to increase antenna gain; 3) improving front-to-back ratio to enhance efficiency. This concept and design also have good application prospects in active antennas and RFID techniques.

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