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2 **Life Cycle and Economic Assessment of a Solar Panel Array Applied to**
3 **a Short Route Ferry**

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11 **Abstract**

12 This paper was to investigate the potential benefits of solar panel systems if applied for
13 obtaining propulsion power of a short route ferry operating in the Marmara Sea. The life cycle
14 assessment was applied to evaluate the long-term environmental impact of the solar power
15 systems on-board in replace of conventional diesel engine systems. The cost and benefit of
16 such systems were evaluated through the economic assessment where the life cycle cost relative
17 to installation, operation and recycling of the solar panels, fuel savings and payback time were
18 considered. Research findings revealed the payback time would be around three years, whereas
19 the accumulative fuel cost saving would be over \$300,000 by the end of vessel life. The
20 sensitivity analysis using two varying parameters - energy efficiency and investment cost -
21 implied, that the longer payback time would be positively associated with lower energy

22 efficiencies and higher investment costs. It was also suggested that the marginal cost of the
23 carbon credit should be \$ 190 per tonne or higher to make the shipping business successful.

24 **Keywords:** Solar Power, Life Cycle Assessment, Life Cycle Cost Assessment, Hybrid
25 Propulsion, Green Technologies

26 **Abbreviation**

AFV	Annual Future Value
AP	Acidification Potential
B	Breadth
C	Cost
Ce	Central Level
CF	Characterization Factor
CML	Institute of Environmental Sciences
CO ₂	Carbon Dioxide
D	Distance
DFC	Daily Fuel Consumption
EEDI	Energy Efficiency Design Index
EI	Environmental Impact
EL	Engine Load
EP	Eutrophication Potential
eq.	Equivalent
ETA	Event Tree Analysis
FC	Fuel Consumption

FMEA	Failure Mode And Effects Analysis
FSA	Formal Safety Assessment
FTA	Fault Tree Analysis
FV	Future Value
GHG	Greenhouse Gas
GWP	Global Warming Potential
H	Hours
HAZOP	Hazard and Operability Study
HFO	Heavy Fuel Oil
Hi	Higher Level
i	Stage Number
IMO	International Maritime Organization
ISO	International Organization for Standardization
j	Year Number
k	Emission Category Number
kW	Kilowatts
L	Length
LCA	Life Cycle Assessment
LCCA	Life Cycle Cost Assessment
LCI	Life Cycle Inventory Analysis
LCIA	Life Cycle Impact Analysis
LHV	Low Heating Value
Lo	Lower Level
LOA	Length Overall

LOC	<i>Lubricating Oil Consumption</i>
LS	Lifespan
n	Number Of Years
P	Price
Pe	Power
PO ₄ ³⁻	Phosphate
PV	Present Value
r	Interest Rate
S	Scenario
SDOC	Specific Diesel Consumption
SEEMP	Ship Energy Efficiency Management Plan
SFOC	Specific Fuel Oil Consumption
SO ₂	Sulphur Dioxide
TPV	Total Present Value
W	Weight

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60 **1. Introduction**

61 At present, greener shipping is one of the hottest topics across the world with an adverse
62 anticipation that the reserves of fossil fuel would be used up in the-not-too distance future as
63 well as the climate change is at an alarming level. European Union has set up a goal to cut the
64 greenhouse gas emissions by 80-95% by 2050 which will be an extremely heavy task facing
65 by all the transportation sectors (European Union, 2012). An economic model developed by
66 Shafiee and Topal (Shafiee and Topal, 2009) predicted that oil, gas and coal would diminish in
67 approximately 35, 37 and 107 years from 2008 respectively. In this context, the research and
68 development for applying feasible renewable systems for various industrial fields are attracting
69 more and more attention. Moreover, considering the global warming effect on human beings,
70 the use of renewable energy is regarded an urgent task (Kavli et al., 2017). An IPCC report
71 (Rogner et al., 2007) revealed that the current concentration of CO₂ in the atmosphere had been
72 increased by 100 ppm which is around 34% higher than the pre-industrial level. According to
73 the data from the Carbon Dioxide Information Analysis Centre (CDIAC) in 2013, the annual
74 global fossil-fuel carbon emissions had reached up to 35.9 billion metric tonnes of CO₂ which
75 was only 15.4 billion metric tonnes of CO₂ in 1971 (Boden et al., 2017). Therefore, the
76 replacement of conventional marine fuels - major contributors for Global Warming - with
77 renewable energy sources may be essential to enhance global sustainability.

78 To contribute to global efforts by addressing the marine pollution from various emission types,
79 IMO (International Maritime Organization) has developed a series of stringent environmental
80 regulations. The mandatory application of the EEDI (Energy Efficiency Design Index) for new
81 ships and the SEEMP (Ship Energy Efficiency Management Plan) for all ships over 400 gross
82 tonnages are good examples (Smith et al., 2014).

83 Many shipyards, ship operators and owners are striving to find solutions to use cleaner energies
84 as marine fuel sources. Given that the solar energy is widely renowned as a much cleaner
85 energy than conventional fossil fuels, the solar energy has emerged as one of the most
86 promising sources of future marine fuel. On the other hand, despite the strong popularity of
87 solar systems at inland residential and industrial levels, the application of such energy has been
88 very limited in the marine field due to the constraints of weather conditions and low energy
89 efficiencies.

90 However, comprehensive research into the costs and benefits of replacing petroleum products
91 with solar power is still lacking. In this context, this paper was designed to evaluate the
92 effectiveness of the application of solar panel arrays on a short route ferry by means of LCA
93 (Life Cycle Assessment). The authors also aimed to investigate the availability, feasibility,
94 comprehensiveness and fineness of the LCA method.

95 To supplement the limited application of solar energy and LCA to the marine industry, this
96 paper began with a thorough review of their usage in onshore and offshore fields (on ships and
97 platforms) first. The application of LCA in different industries was also reviewed to determine
98 the availability of the method.

99

100 **1.1.Review of Solar Panel Applications**

101 Solar panels, a well-known system to capture solar energy for generating electricity, have been
102 widely used across countries and their environmental records have proven their cleanness.
103 Eskew and his team evaluated the environmental impacts of installing rooftop photovoltaic
104 solar panels in Bangkok, Thailand (Eskew et al., 2018). They adopted LCA for evaluation,

105 while offering useful recommendations on purchase of the solar panel system. Smith's research
106 investigated the effectiveness of a renewable energy island considering environmental impacts
107 of hybrid micro grid where several types of energy sources (diesel, solar and wind) were
108 combined (Smith et al., 2015). They determined an optimal micro grid system with lowest
109 GWP (Global Warming Potential) by comparing a number of scenarios using LCA. Jacobson's
110 team illustrated a renewable energy plan for Washington State, USA, including the conversion
111 of wind, water and sunlight to electricity, which indicated solar photovoltaics would be one of
112 the most cleaner and feasible technologies for contemporary electricity generation (Jacobson
113 et al., 2016).

114 Solar energy is also attractive to the marine industry. To replace the conventional propulsion
115 system driven by oil products, several types of hybrid systems have been introduced, which
116 would utilise both oils and alternative energy sources. Researchers have revealed the excellence
117 of hybrid ships which run on diesel engines and on board battery packs that could be charged
118 from cleaner energy sources (Jeong et al., 2018; J. Ling-Chin and Roskilly, 2016). Their
119 research findings highlighted the benefits of using battery packs in terms of CO₂ reduction.
120 Apart from battery applications, there has been a need for research focusing on application of
121 renewable energy systems on ships. The use of on-board solar panel systems has been very
122 limitedly reported but photovoltaic solar systems were installed in the USA under extreme
123 offshore environment. Two types of solar systems (crystalline and thin film) were compared to
124 other renewable energy systems (wind, tidal and wave energy). Their findings showed that thin
125 film solar system would be more cost effective than the crystalline one (Trapani et al., 2013).
126 To present the existing hybrid vessels, Table 1 indicates the latest hybrid vessels using different
127 propulsion system: generators, battery packs, solar panel system and wind (kite) system.

128 Table 1 A list of latest hybrid vessels and their propulsion system

General information			Hybrid methods			
Name	Ship type	Year	Generator	Battery	Solar	Wind
Sun21 (Transatlantic21, 2018)	Yacht	2006			x	
Viking lady (Ship Technology, 2018a)	Supply Vessel	2009	x	x		
Planet Solar (Ship Technology, 2018b)	Yacht	2010	x	x	x	
Aquarius Eco Ship (Eco, 2012)	Bulk carrier	2011	x	x	x	x
Hallaig (CMAL, 2018a)	Ferry	2012	x	x		
Catriona (CMAL, 2018b)	Ferry	2013	x	x		
Lochinvarl (CMAL, 2018c)	Ferry	2013	x	x		
Viking Grace (Viking Line, 2018)	Cruise ship	2013	x			x
Solar Voyager (Solar Voyage, 2018)	Autonomous Kayak	2016			x	
Victoria of Wight (Wight Link, 2018)	Ferry	2018	x	x		
Roald Amundsen (Hurtigeruten, 2018)	Ferry	2019	x	x		
Color Line (Poland@Sea, 2018)	Cruise ship	2019	x	x		
Duffy London (Inhabitat, 2018)	Yacht	2020		x	x	
Greenline (Greenline, 2018)	Yacht	Manufacturer	x	x	x	
Soel Yachts (Yachts Soel, 2018)	Yacht	Manufacturer		x	x	

129 In 1980, a wind-powered 2100-ton cargo ship, namely Aitoku Maru, was built by Japanese
130 naval architects. They aimed to cut its energy consumption by half compared to the most fuel-
131 efficient conventional ships (Shipspotting, 2016).

132 Due to the significant developments in solar energy, solar power started using as a cost effective
133 fuel reduction alternative on pleasure boats, ferries and tourist ships. On the other hand, fuel
134 saving by using solar power alone is relatively small on large ships compared to small ferries.
135 After this finding, researchers and technology developers focused on hybrid systems to reduce
136 fuel consumption. In 1990s a patent was obtained in the United States to combine the energy
137 of wind and solar. Although ideas and different concepts for hybrid systems started to develop
138 before 1990s, to date there is no operating large commercial ocean going ship.

139 Newman and Schaffrin's team started to develop solar energy conversion systems (Newman,
140 1992; Schaffrin and Fed. Rep. of Germany, 1993). Diab et al. (Diab et al., 2016) investigated
141 the benefits of the hybrid system using diesel engines, battery packs connected with solar
142 panels both for inland and on-board usages. Their study concluded that the application of solar
143 panel systems and battery packs to a ship would reduce GHGs of about 10,000 tonnes over a

144 typical ship life of 25 years. However, their consideration is mainly the evaluation of the
145 environmental impact of operation phase while applying solar panel system and battery packs
146 on a ship. An extensive view covering all ship life stages to determine the potential benefits of
147 maritime solar system is not under investigation. Glykas et al. (Glykas et al., 2010) carried out
148 a study on application and cost-benefit analysis of solar hybrid power installation on merchant
149 marine vessels. Their findings showed a strong relation between the payback period time and
150 the fuel prices. They indicate another interesting point as “contrary to the annual increase rate
151 of the fuel prices, the payback period converges to a minimum of about 10 years”. Several
152 studies were also focused on energy storage system, determining how it could help solar panel
153 systems to reduce the fuel consumption and the emissions from vessels’ service period (Liu et
154 al., 2017). Yu’s team (Yu et al., 2018) evaluated the energy efficiency and the emissions
155 reduction, taking advantage of the hybrid systems consisting of solar panel systems, battery
156 packs and diesel generators. The results showed that the application of the hybrid systems could
157 meet local emission reduction requirements and gain profits at the end of ship lifespan.

158 There are also many other researches carried out all over the world. Branker et al. (Branker et
159 al., 2011) reviewed the economic feasibility studies of solar panels and argued that the power
160 of solar energy would be increasingly beneficial to the economy in geographical areas. They
161 also provided an appropriate insight to the cost estimation of solar panels whereas addressing
162 misunderstandings and false assumptions laid on the cost analysis.

163 As one of the recent research on the economic feasibility on the solar panel, Imteaz and Ahsan
164 (Imteaz and Ahsan, 2018) presented real efficiencies achieved from four houses in two
165 Australian cities. Their findings were positive for solar energy applications, but the efficiency
166 of the solar panels varied depending on a variety of factors, including current costs and size of
167 the solar system.

168 These papers provided a valuable implication on the importance of appropriate design and
169 selection of solar panels as well as proper assumptions in cost estimation.

170 In addition, some of previous research attempted to investigate the environmental impacts of
171 solar systems using life cycle assessment. Some of the valuable examples are summarised
172 below:

173 Kannan et al. (Kannan et al., 2006) analysed the performance of PV systems compared to
174 oil steam turbine systems. Research shows that photovoltaic systems are good at reducing
175 greenhouse gas emissions but can still be a burden due to high costs.

176 Beccali et al. (Beccali et al., 2016) applied a simplified LCA method to investigate the global
177 warming potentials of solar heating and cooling systems, compared to conventional systems
178 with PV technology. It pointed out the solar heating and cooling systems are superior in the
179 scope of analysis.

180 Lunardi et al. (M. Lunardi et al., 2018) presented a comparison of two types of solar panel
181 technology: screen printed aluminium back field (AL-BSF) and passivated emitter and back
182 cell (PERC). The study noted that current standards using AL-BSF could be improved by
183 replacing PERC in terms of environmental impact.

184 The previous application of LCA to the solar system was fairly limited in the calculation of
185 emissions. However, a comprehensive survey of the life cycle of the solar system is still lacking.
186 Moreover, LCA for marine solar systems was rarely applied.

187

188 **1.2.Review on LCA**

189 According to previous research stated in Section 1.1, it has been pointed out that the application
190 of hybrid power systems to marine vessels would be attractive in both economic and
191 environmental aspects. Nevertheless, there is still need for research associated with their on-
192 board applications; most of research appeared overly focusing on the purchase and operation
193 of renewable energy devices. For a comprehensive evaluation with the environmental and
194 economic impacts of on-board solar systems, LCA and LCCA could be introduced. This
195 chapter reviews the feasibility and capability to carry out comprehensive evaluations.

196 LCA is a cutting-edge technique to evaluate the holistic environmental impact of a system or a
197 product by considering the whole life stages from cradle to grave. Taking the flows of emission,
198 cash and energy into account, LCA could estimate the emission release, capital and operational
199 expenditures and energy consumptions within the assessment scope.

200 LCA has drawn a considerable attention in the different industry. For instance, LCA was
201 applied to quantify the willow growth on river buffer zones to find out the benefits of willow
202 cultivation (Styles et al., 2016). To evaluate the energy consumption and environmental impact
203 of edible protein energy return on investment (ep-EROI) for fishing industry, LCA was used
204 by a research group in Spain. Research findings and recommendations were presented and
205 contributed to the EU Common Fisheries Policy (Vázquez-Rowe et al., 2014). Fredga and
206 Maler also established a full scope of LCA model to assess the state-of-art and under-developed
207 biofuel application considering energy, material and emission flows. Such a comprehensive
208 analysis led to enhancing the precision for results (Fredga and Mäler, 2010). There are many
209 other applications in different industries to prove the feasibility of the method, relating to ship
210 design, operation and recycle, automotive manufacturing, yacht industry and assessments on
211 transportation sectors. Raugei's research applied LCA to determine the potential environmental
212 benefits of innovative automotive manufacturing process (Raugei et al., 2014). They also

213 evaluated several light weighting options of compact vehicles to determine the most robust
214 method with lowest environmental impact (Raugei et al., 2015). In yacht industry, LCA method
215 was applied to compare and evaluate the two different infusion methods which help to quantify
216 the reduction of environmental impact during the life span of the vessel (Cucinotta et al., 2017).
217 The research team from Italy investigated the economic and environmental impacts of applying
218 two different composite materials in automotive manufacturing and they determined the
219 preferred material from the perspective of environmental impact (Delogu et al., 2016). Duan's
220 team quantified the CO₂ emission from different transportation sectors in China using LCA
221 method which indicated the rapid growth of the carbon emission in China was mainly led by
222 the freight transportation rather than passenger transportations. The trend of the growing in
223 carbon emission was also presented in their research (Duan et al., 2015). In marine field, Gilbert
224 assessed different types of fuel oils (such as biofuel, hydrogen) consumed by the vessel
225 considering the environmental impact through full life cycle stages to meet the national or
226 international regulations and to mitigate the climate change by reducing carbon emission
227 (Gilbert et al., 2018). Another LCA application in marine sector was carried out by Obrecht
228 and Knez. They compared three container configurations (new designs) for container vessels
229 to determine the optimal design with low carbon emission and to resource consumptions in
230 their study. Their findings indicated the usage of material will be increased by 15% when
231 applying the design with lowest environmental impacts (Obrecht and Knez, 2017). The
232 recycling process of steel was analysed by Rahman's research team by carrying out LCA
233 analysis which considered GWP, resource use, human health and ecosystem quality (Rahman
234 et al., 2016). These researches indicate not only the widely uses of LCA method in different
235 industries but also its feasibility to evaluate and compare alternative options to determine
236 optimal solutions.

237 LCA has also been used to evaluate the environmental impacts of marine activities to
238 investigate and assess the performances of different alternatives such as selection of various
239 retrofitting options and propulsion systems. Alkaner and Zhou investigated the fuel cell using
240 LCA to evaluate the performance of application on board (Alkaner and Zhou, 2006). Some of
241 the important projects in this area can be summarised as in the following. Eco-REFITEC is an
242 European Union project focus on the developments of green power, on board system and
243 retrofitting options with the consideration of LCA and LCCA of vessels (Blanco-Davis et al.,
244 2014; Blanco-Davis and Zhou, 2014). The SHIPLYs project is another EU project, developing
245 LCA software considering the hybrid propulsion system selection, ship design and retrofitting
246 options (Wang et al., 2017). One of the outputs of the SHIPLYs project was suggested an
247 effective framework for LCA and LCCA for marine vessels aiming to select optimal propulsion
248 systems by modularisation (Jeong et al.,2017). With the help of LCA, the overall environment
249 protection performance could be achieved by optimization of raw material and energy
250 consumption, and recycle processes (Nicolae et al., 2014).

251

252 **1.3.Research Objectives**

253 The main objective of this paper is to investigate the benefits of solar panel applications to a
254 marine vessel using LCA and LCCA. The proposed method is used to evaluate whether on-
255 board solar panel systems would be a feasible solution, economically and environmentally. For
256 this purpose, this paper introduces a framework of LCA and governs relevant equations and
257 models in Section 2. Following this, an established LCA model is presented including a specific
258 case vessel and then the economic assessment is presented in Section 3. In Section 4, data
259 sensitivity is carried out in consideration of operational hours and weather conditions (sunny

260 hours). Using the model established, their impacts on the fuel saving, emission reduction and
261 payback time are determined, converted and compared in monetary value. Finally, the research
262 findings are highlighted, summarised and concluded in Section 5.

263

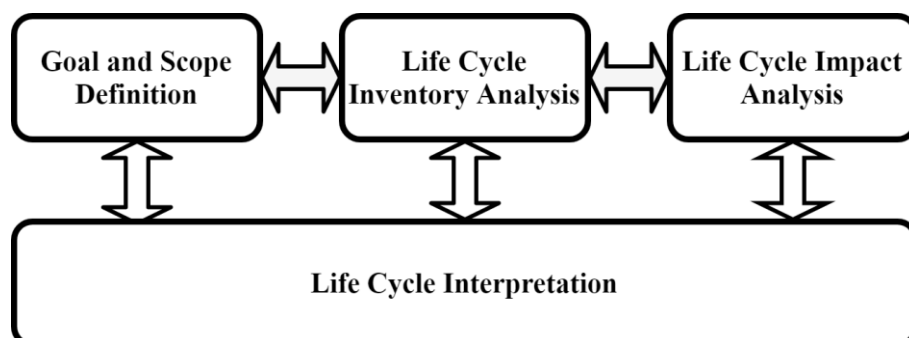
264 **2. Method**

265 This section will introduce the method of life cycle assessment including the framework and
266 associate activities. The formula related to the LCA calculation/estimation are also presented
267 to fundamentally indicate the evaluation of LCA.

268 **2.1.Introduction to Life Cycle Assessment**

269 According to the ISO standards, LCA consists of four processes: the definition of
270 research/analysis objectives and boundaries; life cycle inventory analysis (LCI); life cycle
271 impact analysis (LCIA); and life cycle interpretation (ISO, 2006a, 2006b). The framework of
272 LCA analysis is presented in Figure 1.

273



274
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Figure 1 The schematic chart of LCA

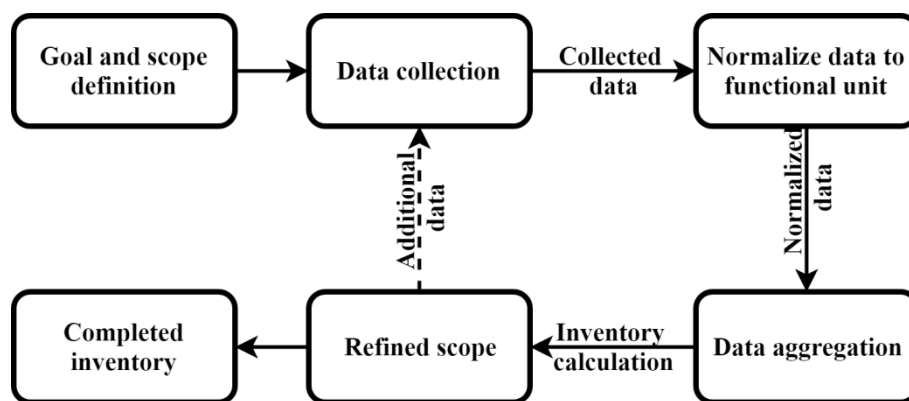
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277 The first step of an LCA is to define the objectives and boundaries of analysis. Since typical
278 research could evaluate the performance of systems or products, the focus of LCA study is
279 placed on estimating their environmental impacts. Meanwhile, there are a number of different
280 categories of environmental impacts; GWP, AP (acidification potential) and EP (eutrophication
281 potential) can be considered as major environmental potentials. Once the objective, the scope
282 and boundary of the LCA are determined, certain types of potentials (GWP, AP or EP) can be
283 selected and considered according to the research purpose. Then, based on the selected
284 potentials, a functional unit should be set up as a standard to evaluate and compare one another
285 across various scenarios. A normalization process is performed to convert different emissions
286 into a single representative emission type. For instance, according to the CML database (CML,
287 2016), the emissions contributing to global warming are normalized and converted into an
288 equivalent quantity of CO₂ represented by the unit of *kg CO₂ equivalent*. The same process is
289 carried out for AP and EP of which the fundamental pollutions are sulphur dioxide and
290 phosphate (SO₂ and PO₄³⁻). Despite such a general guidance, functional units can also be set
291 up freely by end users depending on their own objectives. Another important part in the goal
292 and scope definition is to establish the system boundary. Apart from constraining the scope by
293 the relevant emissions, the differences between alternatives could also help reduce the LCA
294 scope so that a compact but comprehensive LCA model can be established, while disregarding
295 the repeated, redundant and less effective parts of the system or product. Therefore, reasonable
296 scope should be made to neglect these unnecessary parts. Furthermore, assumptions based on
297 experts' knowledge may need to be made where real data cannot be retrieved or provided.

298 After the definition of goal and scope, life cycle inventory analysis can be conducted as shown
299 in the schematic diagram in Figure 2. The figure starts with the defined goal and scope where
300 an initial LCA plan has been selected and determined as mentioned in previous paragraph.

301 With this plan, the data involved in the plan could be collected, normalized and aggregated so
302 that initial outcomes could be determined. However, the scope of the LCA will be expanded or
303 trimmed depending on the availability of the relevant data. After adjusting the scope based on
304 data availability, similar processes of data collection, normalization and aggregation will be
305 conducted so that a modified but a complete inventory of an LCA can be obtained.

306



307
308

Figure 2 Schematic chart of life cycle inventory analysis

309

310 The LCI can be used as a fundamental input for LCIA which consists of three main steps:

- 311 a. Selection: impact categories chosen including indicators and characterization models;
- 312 b. Classification: LCI results assigned to the selected impact categories;
- 313 c. Characterization: calculation using LCI results as input and characterization models to
314 determine results based on category indicator.

315 In the life cycle interpretation phase, the sensitivity analysis will be carried out to evaluate the
316 influence of varying input parameters on results, i.e. midterm and final results. Through LCI
317 and LCIA, critical parameters or elements can be identified, thereby providing end users with
318 proper views on the cost-benefit of various scenarios. Furthermore, the conclusions, limitations

319 and recommendations of LCA are to be included in this interpretation processes to make sure
320 of illustrating not only the final decisions but also the constraints of the analysis.

321 The calculation processes of LCA are: 1) to identify the activity; 2) to calculate the cost of
322 investments; 3) to calculate related fuel cost; 4) to calculate related emission released; 5) to
323 calculate the cost due to emission release; 6) to calculate the present value of the costs (due to
324 activity). The formulas involved in the calculation processes will be shown and explained in
325 the following section.

326

327 **2.2. Formulation for LCA calculation process**

328 The equations required to establish an LCA model will be presented in this section.

329 The fuel oil consumption during operation phase of vessels is considered as four different
330 operational loads: a) engine mode for sailing; b) engine mode for manoeuvring; c) solar and
331 engine mode for sailing; d) solar and engine mode for manoeuvring. A general equation could
332 be used to calculate the fuel oil consumption under both conditions (Equation (1)):

$$333 \quad FC_i = Pe_i \times SFOC_i \times H_i \times LS \quad (1)$$

334 Where,

335 FC is the annual fuel consumptions [g];

336 Pe is the power requirement during vessel operation [kW];

337 SFOC is the specific fuel oil consumptions of the engine under specific engine output [g/kWh];

338 H is the hours of operation in a year [hours];

339 LS is the years of vessel life span [years];

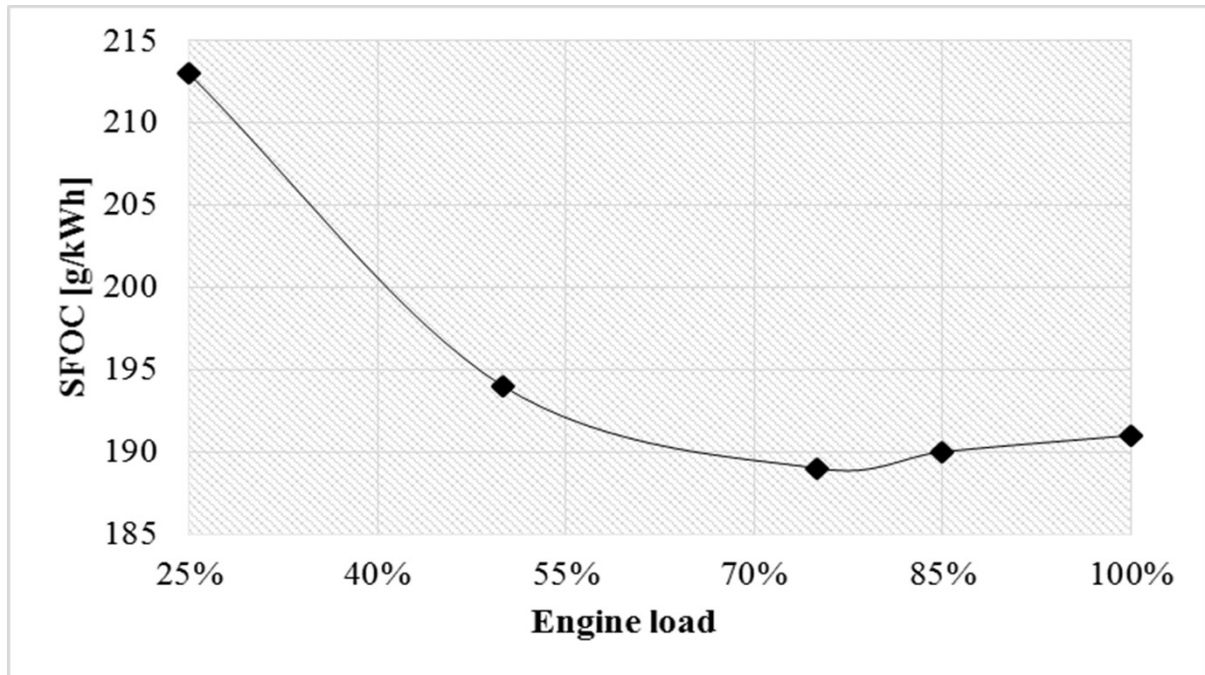
340 i represents four different vessel operation conditions under different engine loads.

341

342 Due to the engine load variation under different operating conditions, the SFOC adjustment of

343 the engine will be considered based on the engine project guide data shown in Figure 3 (MAN

344 Diesel & Turbo, 2015). Equation (2) gives the interpolation curve of this figure.



345
346

Figure 3 SFOC changes due to engine load variations

347
$$\text{SFOC}_y = \text{SFOC}_{x1} - (\text{SFOC}_{x1} - \text{SFOC}_{x2}) / (\text{EL}_{x1} - \text{EL}_{x2}) \times (\text{EL}_{x1} - \text{EL}_y) \quad (2)$$

348 Where,

349 SFOC_y is the adjusted specific fuel consumption under a certain engine load [g/kWh];

350 EL_y is the engine load under a certain operation conditions [%];

351 SFOC_{x1} and SFOC_{x2} are the specific fuel consumptions retrieved from the engine project guide when
352 the engine loads are EL_{x1} and EL_{x2} [g/kWh];

353 EL_{x1} and EL_{x2} are engine loads [%].

354

355 Similar to fuel oil consumption, the lubricating oil consumptions could be determined using
356 the following equation (3):

$$357 \text{ LOC}_i = \text{Pe}_i \times \text{SLOC}_i \times \text{H}_i \times \text{LS} \quad (3)$$

358 Where,

359 LOC is the annual lubricating oil consumptions [g];

360 SLOC is the specific lubricating oil consumptions under specific engine output [g/kWh] (the SLOC of
361 the selected engine provided by engine manufacturer has a range from 0.4 g/kWh to 0.8g/kWh; hence,
362 the realistic lubricating oil consumptions according to the operators' records are used to determine the
363 SLOC so that the results are more reliable).

364 To consider the cost of a vessel from cradle to grave, the present value is applied to determine
365 the value of future costs before or at the beginning of a project. The following equation (4) is
366 used to determine a cost at a specific year (Žižlavský, 2014):

$$367 \text{ PV} = \text{FV}/(1+r)^n \quad (4)$$

368 Where,

369 PV is the present value for a future investment [\$];

370 FV is the future value of which will be invested or earned in the n^{th} year [\$]; during the operation
371 phase (from year 1 to year 24), the FV covers the operation cost and relative environmental impact in
372 monetary form; at the end of vessel life (year 25), the FV covers the scrapping cost and relative
373 environmental impact in monetary form;

374 r is the interest rate [%];

375 n is the number of years.

376

377 While the annual operation cost is constant, the present value of the total cost during the vessel life
378 span can be determined as Equation (5):

$$379 \text{ TPV} = \sum_{j=1}^{\text{LS}} \text{AFV}/(1+r)^{jS} \quad (5)$$

380 Where,

381 TPV is the total present value for a period of constant investment or income [\$];

382 AFV is the future value of annual investment or income [\$] (the determination of AFV is similar to
383 that of FV in Equation 4);

384 j represents a specific year of life span.

385

386 The characterization process is designed to convert different emissions into a common
387 indicator in specific impact categories based on the characterization database, such as
388 CML2001, ReCiPe and TRACI (IERE , 2014; RIVM , 2011). The converting process is shown
389 in the following equation (6):

$$390 \quad EI_k = FC \times C_k \times CF_k \quad (6)$$

391 Where,

392 EI is the impact of emissions equivalent to the indicator [kg emission indicator eq.];

393 C is the conversion factor from fuel to emission [kg emission /kg fuel consumed] (Smith et al., 2015);

394 CF is the characterization factor to convert emissions to the indicator [kg emission indicator eq./kg]
395 (CML, 2016);

396 k represents different emissions in specific impact categories.

397

398 While the solar energy is utilized, the minimum quantity of fuel oil saved can be estimated with
399 the following equation (7):

$$400 \quad FC_s = Pe_s \times H_s \times 3600 / LHV \quad (7)$$

401 Where,

402 FC_s is the minimum quantity of saved fuel oil based on the solar energy used [tonne];

403 Pe_s is the power output of solar device/system [kW];

404 H_s is the daily average sunny time [hours];

405 LHV is the low heating value of fuel oil [kJ/tonne].

406

407 Transportation cost presents the fuel cost of different materials and machinery transportation
408 from the manufacturers or suppliers to the shipyards or ship operators (Equation (8)):

$$409 \quad C_{\text{trans}} = W \times D \times \text{SDOC} \times P_{\text{diesel}} \quad (8)$$

410 Where,

411 C_{trans} is the transportation cost [\$];

412 W is the weight of the transported materials or machineries [tonne];

413 D is the distance of the transportation [km];

414 SDOC is the specific diesel oil consumption of the transportation (e.g. trucks) [kg/(km×kg cargo)]
415 (this value can be determined from GaBi truck transportation database);

416 P_{diesel} is the price of the diesel oil [\$/tonne] (Ship and bunker, 2018).

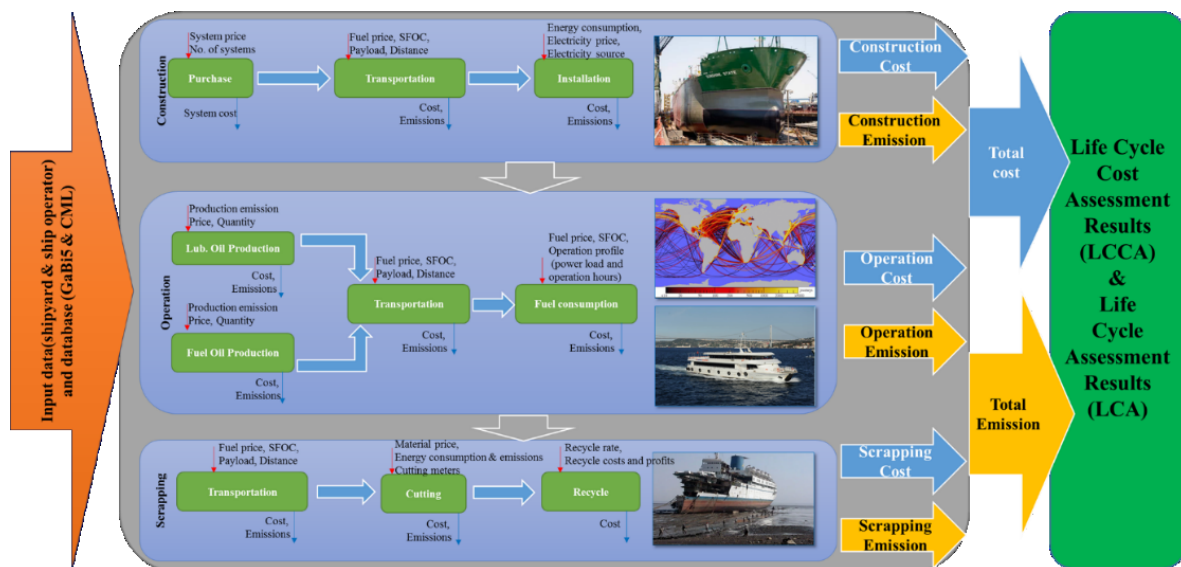
417

418 **3. Life Cycle and Cost Assessment**

419 The structure of LCA is presented and described in Section 2.1. This chapter presents the LCA
420 procedures ranging from the goal definition to the sensitivity analysis. The benchmark study is
421 carried out for a selected ferry operated in the Sea of Marmara, Turkey; Figure 4 overviews the
422 study procedures. This figure shows data sources and types to be considered in different life
423 stages and the outcome of the analysis. It can be seen from this figure that there are three main
424 stages under investigation: construction, operation and scrapping, which covers most
425 significant activities from the perspective of cost and emission release. There are three main
426 activities in construction phase: purchase, transportation and installation of solar panel arrays
427 considering the price (fuel, electricity, system etc.), fuel consumption, electricity consumption,

428 transportation details to determine the cost and emission release from construction phase. Same
 429 as construction phase, operation phase mainly considers the purchase of and emission from
 430 fuels (fuel oil, lubricating oil and diesel oil) and their transportation and quantity estimations.
 431 Therefore, the operation phase can be assessed based on these economic and environmental
 432 factors. At the end of ship life, the scrapping process is evaluated by assessing the
 433 transportation, dismantling and recycling of the propulsion systems at the end of vessel life.
 434 The cost and emission details are derived from these activity models and will be summed up
 435 together with these results from two other phase to determine the overall LCCA and LCA
 436 results.

437 In the following sections, the details of this LCA study will be presented.



438
 439 Figure 4 Overview procedure of LCA study

440 **3.1.Goal and Scope Definition**

441 In this section, the purpose of this study is emphasised to define the goal and the scope of the
 442 life cycle assessment. The objective and the related information are gathered and presented as
 443 well as the assumptions made to complete the assessment.

444 **3.1.1. Goal and Scope of study**

445 The main objective of this LCA is to determine the effectiveness of the on-board solar panels
446 in ways of reducing the global warming impact. This paper presents a study of life cycle and
447 economic assessment of solar power system application on a short route ferry which regularly
448 serves in the Bosphorus Strait, located in the Sea of Marmara (Figure 5). “Sea of Marmara is
449 an inland sea within the Marmara region connecting to the Black Sea with the Bosphorus Strait
450 in the northeast, and to the Aegean with the Dardanelles Strait in the southwest” (Sansal, 2018).
451 It has a length of nearly 30 km and widths varying from 0.7 to 3.5 km. Given the geometrical
452 reason, the Bosphorus Strait has been subjected heavy shipping traffic which causes significant
453 levels of air pollution.

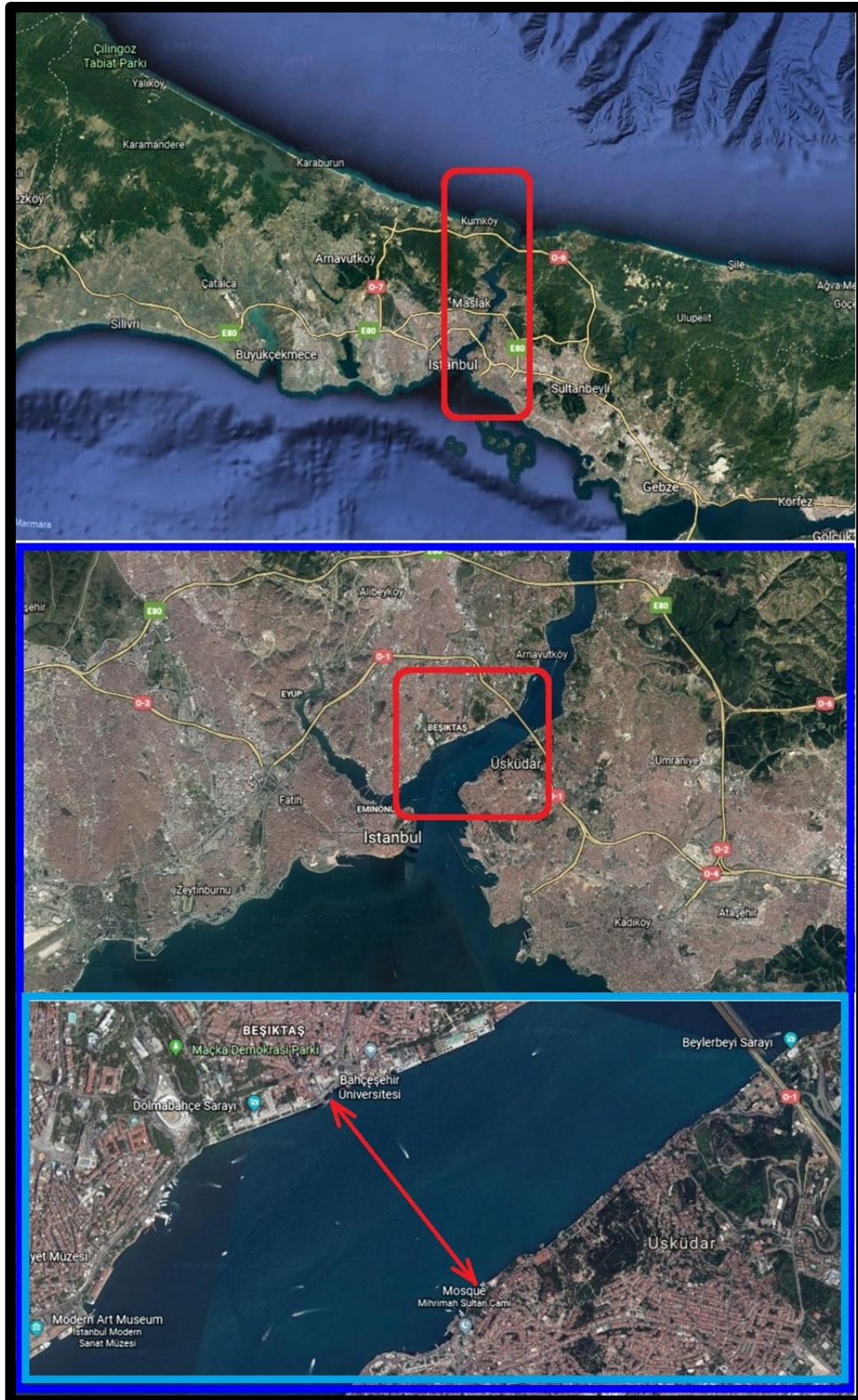


Figure 5 Operation route of the vessel

454
455

456

457 Considering relevant activities in four life stages of the vessel, the release of emissions can be
 458 derived for two different scenarios: Scenario 1 (S1) without solar panels; Scenario 2 (S2) with
 459 solar panels. The activities in four life stages of two considered scenarios are listed in Table 2.

460 Table 2 Activities of two scenarios in four life stages of the vessel

Life stages	Activities	
	Scenario 1 (S1)	Scenario 2 (S2)
Construction	Engine purchase, transportation and installation	Engine purchase, transportation and installation
	Hull steel plate purchase, transportation and installation	Hull steel plate purchase, transportation and installation
	Hull cutting, blasting, bending, welding and coating	Hull cutting, blasting, bending, welding and coating
		<i>Solar panel purchase, transportation and installation</i>
Operation	<i>Fuel oil consumption</i>	<i>Fuel oil consumption</i>
	<i>Lubricating oil consumption</i>	<i>Lubricating oil consumption</i>
Maintenance	Engine maintenance	Engine maintenance
	Hull steel renewal and surface coating	Hull steel renewal and surface coating
Scrapping	Engine parts recycle and disposal	Engine parts recycle and disposal
	Hull steel recycle and disposal	Hull steel recycle and disposal
	Hull coating removal	Hull coating removal
		<i>Solar panel recycle and disposal</i>

461 Comparing the scenarios' activities, the scope of the study can be modified by omitting the
 462 similar ones. However, as described in Section 2.2, the application of solar panel will change
 463 the power requirement and the specific fuel oil consumption. Due to a long period of operation,
 464 the reduced fuel consumption accumulates and becomes considerable which also reduces the
 465 operation costs significantly. It is also essential to include the operation activities to determine
 466 the payback time of the solar panels.

467 This study aims to determine the impact of solar panels on its contributions to GWP. To
468 consider GHGs, the emissions from CML database and from engine project guide (from MAN
469 Diesel) are compared and included. The functional unit is the 'kg CO₂ eq.' which is commonly
470 used in emission databases. The unit is used while all the emissions which contribute to GWP
471 are converted into equivalent quantity of CO₂.

472

473 **3.1.2. Assumptions**

474 As discussed earlier, reasonable assumptions are necessary to perform an LCA once the goal
475 and scope are set up. Assumptions were inevitable part of this study because there are a number
476 of feasible application options and conditions but they have yet to be practically decided by the
477 ship-owner. The authors are actively engaged in fundamental and industry focused research on
478 the effect of hybrid ships. All the assumptions were made as a result of discussions with the
479 experts who are actively working in the marine industry. Thus, the assumption is believed not
480 to jeopardize the reliability of our analysis. For the benchmark study, the following
481 assumptions are made:

- 482 a. The LCA model takes into account the practical operations by the Turkish ship operator
483 (Dentur);
- 484 b. LCA modelling is established and assessed by using GaBi 5;
- 485 c. Emissions due to engine fuel consumption are calculated based on emission factors
486 provided by IMO (Smith et al., 2015);
- 487 d. The scrapping processes use the data presented and methodology developed by Ling-Chin
488 and Roskilly (J Ling-Chin and Roskilly, 2016);

- 489 e. Manufacturing processes for the solar panel from raw materials are not considered because
490 the focus of the LCA and LCCA analysis is on the vessel life span and the solar panel
491 manufacturing is out of scope of this study; furthermore, the investment of solar panels
492 have been considered in the purchasing activities;
- 493 f. The SFOC adjustment is considered as linear locally;
- 494 g. Properties of solar panel systems are determined based on the information provided by
495 manufacturer and supplier (Alibaba, 2018);
- 496 h. It is assumed that all power outputs from solar panel systems could be used for propulsion
497 and more consideration on solar panel system efficiency will be discussed in the Section
498 5.1;
- 499 i. Maintenances of the solar panel systems are neglected; the maintenances of the engine in
500 both scenarios are not considered because the relationship between the required
501 maintenance and power variation is complex; however, the impact of using different
502 sources is definitely beneficial to the ship operator because the usage of engines and the
503 cycle of spare changing will be decreased; it will be considered in the future studies;
- 504 j. The transportation processes of solar panels are modelled by using GaBi built-in module
505 (GaBi, 2018);
- 506 k. The electrical power used in construction and scrapping is supplied from hydro power
507 which is one of the commonly used power generations in Turkey and the fuels supplies are
508 selected from GaBi database by considering locations of the suppliers; the selection of
509 power generation source only impacts the construction and scrapping phases, which are
510 insignificant parts of the results from life cycle inventory assessment;
- 511 l. Environmental impact assessment is limited to evaluating the GWP which is directly
512 impacting the global temperature;

513 m. The area available for solar panel installation is 400m² based on the overall length and the
 514 breadth of the vessel is L42m×B10m.

515 3.2.Life Cycle Inventory Assessment

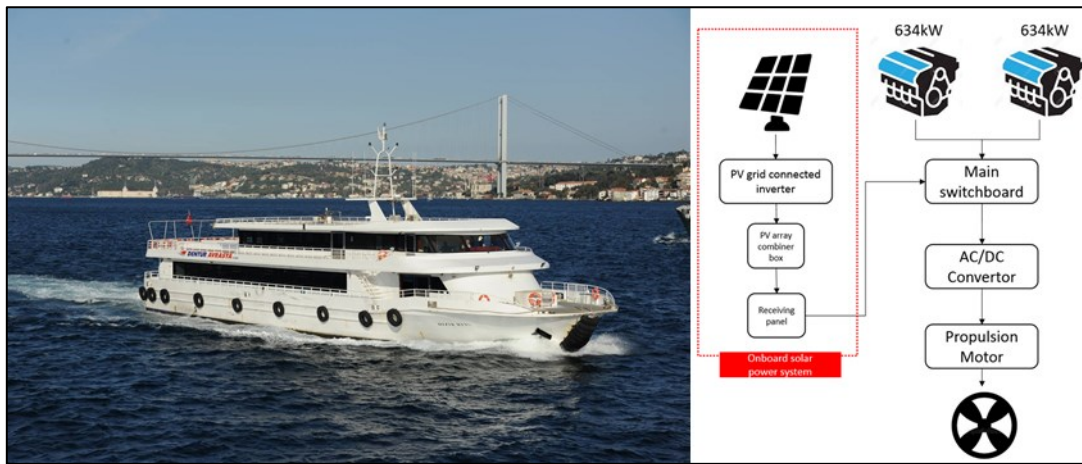
516 According to the goal and scope defined, an LCA model is established within GaBi with
 517 consideration of four life stages of the case study ferry. Table 3 presents the characteristics of
 518 the ferry and its operational profiles. The installation area of solar panel systems is based on
 519 the geometry of the vessel and is assumed as 400m². According to the manufacturer’s data, the
 520 size of one Monocrystalline Silicon solar panel is 1,956mm×991mm×40mm, so maximally 206
 521 solar panels can be installed. The power output of one panel is provided as 0.35 kW so the total
 522 power output for the whole solar panel system could reach about 72kW. Figure 6 outlines the
 523 proposed power distribution for the vessel. Based on all the information and equations, Figure
 524 7 shows the established LCA model which is designed and developed based on the overview
 525 procedure of LCA study (Figure 4). The activities are modelled and the results are processed
 526 from one activity to another and also connected to share models for similar activities, such as
 527 diesel oil supplied to transportation is similar to the models included in all three phases.
 528 Different materials and energy are distinguished using the colour code in this figure so that it
 529 is clearly describing the quantities of these flows as well as identifying the shared models in
 530 the established LCA model. In Scenario 1, there is no solar energy used in the propulsion
 531 system.

532 Table 3 Case study vessel information

Vessel specification		Operational profile		
Name	Hizir Reis	Category	Sailing	Manoeuvring
Flag	Turkey	Operation profile (hours)	9	1
LOA (m)	41.98	Engine Load (%)	85%	50%
B (m)	10	Power required (kW)	1078	634

Gross tonnage (tonne)	327	SFOC (g/kWh)	190	194
		SLOC (g/kWh)	2.85	4.85
Fuel type	HFO	Solar panel installations		
Annual operation days (days)	325	Available area	400	m ²
		Area per panel	1.94	m ²
Engine power (kW)	634×2	Number of panels used	206	
Life span (years)	25	Power output per panel	0.35	kW
Year built	2012	Total output power	72.1	kW

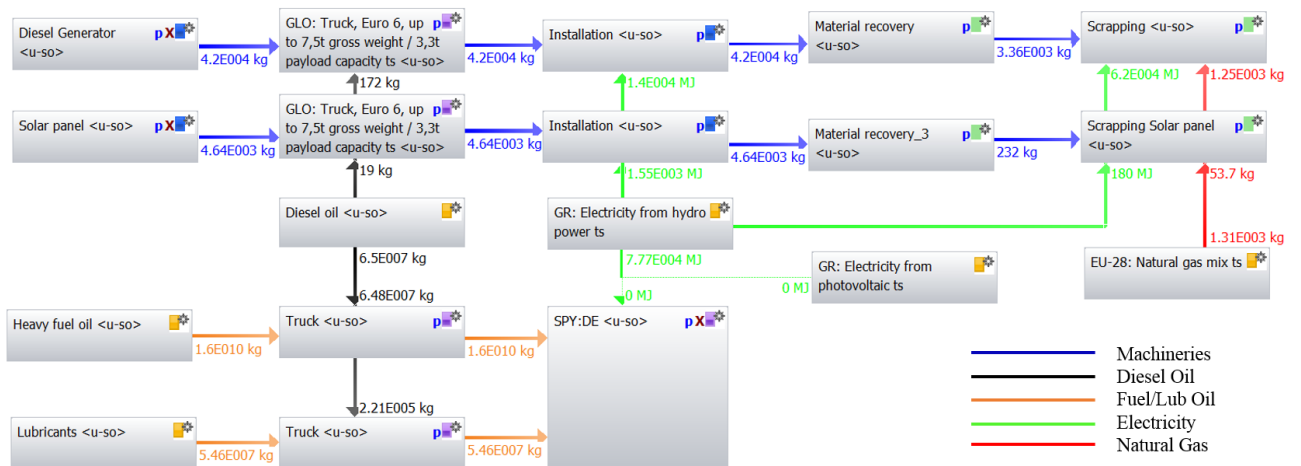
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534
535

Figure 6 Case vessel and outline of power distributions

536



537
538

Figure 7 Flowchart of LCA processes and established model in GaBi

539 With the established LCA model and data/information provided by the ship operator, the
 540 emission inventory of the LCA is determined for the vessel in service with only engine running
 541 for 325 days a year in 25 years as shown in Table 3.

542 Table 4 indicates that the ship operation would contribute the most to increase the GWP due to
 543 considerable fuel consumptions during operation. The production of fuel oil was also identified
 544 to generate large amount of GHGs. On the other hand, the emission levels for other activities,
 545 such as production of lubricating oil and diesel oil and the transportation, were revealed
 546 relatively insignificant amount.

547

548

Table 4 Emission inventory of life cycle assessment

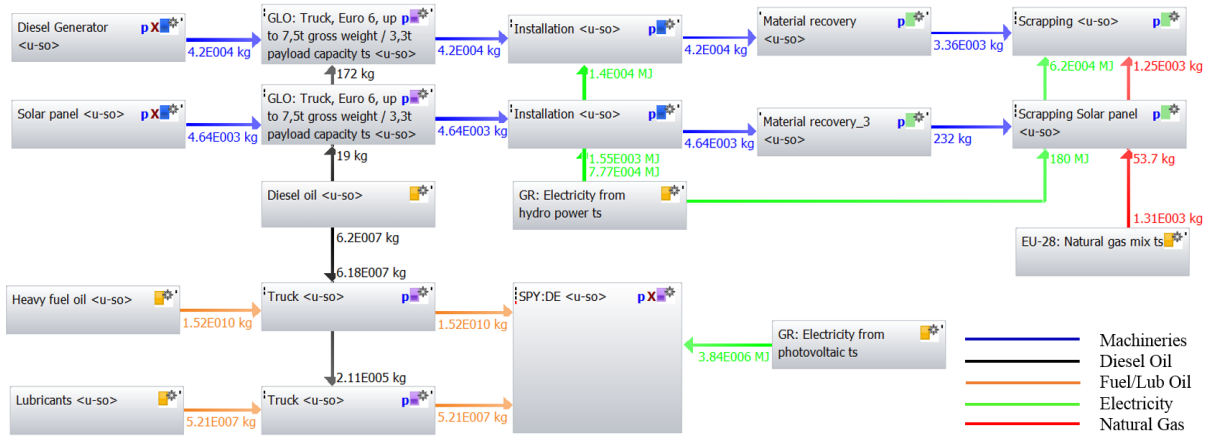
Module name	Emission Quantity	Unit
Transportation	1.96×10^5	kg CO ₂ eq.
Heavy Fuel Oil production	7.36×10^6	kg CO ₂ eq.
<i>Lubricating</i> oil production	5.88×10^4	kg CO ₂ eq.
Diesel oil production	3.19×10^4	kg CO ₂ eq.
Operation: fuel consumption	4.99×10^7	kg CO ₂ eq.
Other activities	6.70×10^2	kg CO ₂ eq.
Total	5.75×10^7	kg CO ₂ eq.

549

550 3.3.Life Cycle Impact Assessment

551 To compare the levels of GWP across two different scenarios, one additional analysis with
 552 solar panel application is conducted; the flowchart of this analysis is presented in Figure 8 and
 553 the results of the analysis are revealed in Figure 9, indicating that the level of GWP can be
 554 reduced if applying Scenario 2 (based on the calculation processes 1-4-5-6 in Section 2.1.) .

555 Such results can be a good reference of describing the potential benefits of on-board solar panel
 556 system applications.



558 Figure 8 LCA flowchart of Scenario 2: with solar panel application

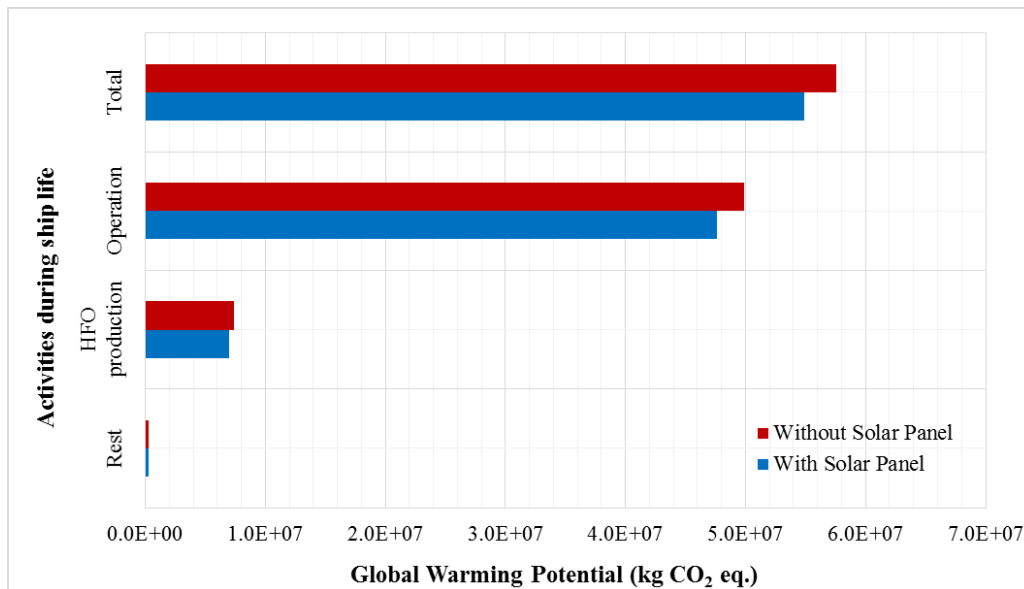


Figure 9 Comparison of GWP of two scenarios

561

562 3.4.Sensitivity Analysis 1: Operation days and sunny hours

563 Since the operational days and weather conditions (such as sunny hours per day) are not certain

564 during the operation of the vessel, two sensitivity analyses are conducted to determine how

565 operation conditions could impact the LCA results in terms of equivalent CO₂ emissions release.

566 Table 5 presents three different operation conditions with varying annual operation days: 325
 567 days, 217 days and 108 days. This table illustrates the relationships between the operation days
 568 and the life cycle equivalent CO₂ emission. As it is expected, a reduction during operating days
 569 results in a decrease in the quantity of emission released. According to the LCIA, the operation
 570 phase contributes the most of the emission release among all the life stages considered, which
 571 are also presented in these three operation conditions in the table.

572 The table also presents another three operation conditions with varying sunny hours per day: 6
 573 hours, 4 hours and 2 hours. It indicates that while the weather condition is different from the
 574 benchmark scenario, the life cycle equivalent CO₂ emission will be impacted. When the sunny
 575 hours per day is less, there will be more quantity of emission release. The emission releases
 576 from operation phase are also presented in the table under these three operation conditions.

577 Table 5 Results of sensitivity analyses

Sensitivity analysis of the operation days per year on the GWP				
Category	Operation days per year			Unit
	325 days	217 days	108 days	
Operation	4.75×10^7	3.17×10^7	1.58×10^7	kg CO ₂ eq.
Total	5.48×10^7	3.66×10^7	1.82×10^7	kg CO ₂ eq.
Sensitivity analysis of the sunny hours per day on the GWP				
Category	Sunny hours per day			Unit
	2 hours	4 hours	6 hours	
Operation	4.92×10^7	4.84×10^7	4.77×10^7	kg CO ₂ eq.
Total	5.67×10^7	5.59×10^7	5.50×10^7	kg CO ₂ eq.

578

579 3.5.Economic Assessment

580 After the estimating of life cycle environmental impact, the economic assessment is carried out
 581 in this section, covering the costs associating with the investment, operation and
 582 decommissioning. A sensitivity analysis is conducted to examine the impact of uncertain
 583 parameters to the cost-effectiveness of this application.

584 **3.5.1. Life Cycle Cost Assessment**

585 The objective of this section is to investigate the cost effectiveness and payback time period of
586 the solar panel system investment. Basically, the LCCA is applied to investigate either cash
587 flows or a cost comparison between alternatives (ISO, 2017). Since most of the activities for
588 benchmark and contrast scenarios are the same, the LCCA of the case study will only focus on
589 fuel costs and carbon credits. Present value will be calculated in consideration of monetary
590 value of time passage.

591 According to Section 2.2, the fuel consumption is based on the power requirement, operational
592 hours and SFOC. To determine the amount of solar energy converted to electrical energy, the
593 weather conditions will be significant so a database of daily average sunny hours in Istanbul
594 from 1929 to 2017 is referred as shown in Table 6 (Holiday Weather, 2018; Meteoroloji Genel
595 Müdürlüğü, 2018).

596 Table 6 Daily average sunny hours for different months (1929-2017)

Month	Daily sunny hours	Total sunny hours
January	2.9	89.9
February	3.6	100.8
March	4.6	142.6
April	6.5	195.0
May	8.8	272.8
June	10.6	318.0
July	11.5	356.5
August	10.6	328.6
September	8.2	246.0
October	5.7	176.7
November	4.0	120.0
December	2.7	83.7

597 The new SFOC could be determined using the SFOC adjustment equation after the power
598 output from solar panel system is derived. Table 7 presents the SFOC under four different

599 conditions: 1) sailing without solar panel system; 2) sailing with solar panel system; 3)
 600 manoeuvring without solar panel system; 4) manoeuvring with solar panel system.

601 Table 7 Engine SFOC under different power loads

Condition No.	Loads (%)	SFOC (g/kWh)
1	85.0	190.0
2	81.2	189.6
3	50.0	194.0
4	44.3	195.1

602 After determination of adjusted SFOCs, the fuel consumption can be obtained; together with
 603 fuel price in Istanbul (Ship and bunker, 2018), the annual fuel cost and fuel saved can be
 604 derived and are presented in Table 8. Considering the present value under condition of 25 years
 605 life span and 8% interest rate (Trading Economics, 2018) the saved life cycle cost is adjusted
 606 to be \$130275.

607 Table 8 Annual fuel consumptions and costs for two scenarios

Item	Quantity	Units
Daily fuel consumption (FC)	1,966	kg/day
FC1 (6.7 hours sunny)	1,270	kg/day
FC2 (3.3 hours not sunny)	602	kg/day
New daily FC (total)	1,872	kg/day
Annual fuel consumption (benchmark)	638,961	kg
Annual fuel consumption (Scenario 2)	608,489	kg
Annual fuel saved	30.5	tonne
Fuel price	401	\$/tonne
Annual fuel cost saved	12,204	\$
LC fuel cost saved	305,101	\$
Present value	130,275	\$

608 According to the price information obtained from the manufacturer, the cost of a single solar
 609 panel ranges from \$0.35 to \$0.4 per watt so the total cost of purchasing solar panels can be
 610 estimated as maximum \$25,235 (based on the calculation processes 1-2-3-6 in Section 2.1.).
 611 Therefore, the payback time period of the investigation would be less than 3 years, even while
 612 without considering the present value.

613 According to the third GHG report from IMO, the carbon conversion factor of HFO is 3.114g/g
 614 fuel so the quantity of carbon emission reduction for 25 years' operation can be determined as
 615 2,372 tonnes. Since there is no active policy or regulation on carbon emissions, based on the
 616 report from Maibach et al., the lower (Lo), central (Ce) and higher (Hi) carbon credits value
 617 for one tonne of CO₂ emission in 2020 will be equivalent to \$21, \$50 and \$87 (Maibach et al.,
 618 2007). The respective saved carbon credit costs are \$44,886, \$106,871 and \$185,956. Therefore,
 619 with the consideration of carbon credits in LCCA, the payback time period of the solar panels
 620 investment under the lower, central and higher carbon credits conditions could be obtained as
 621 3 years, 2 years and 2 years respectively.

622 **3.5.2. Sensitivity Analysis 2: Sunny hours**

623 To assess the impact of daily average sunny hours on the fuel cost saving, carbon credits and
 624 payback time period, three different scenarios are considered in this section: 6 hours, 4 hours
 625 and 2 hours. The results are presented in Table 9. It is also determined that the investment of
 626 solar panel system could be paid back at the end of the lifespan in condition that a minimum
 627 daily average sunny hour of 0.56 hours (about 34 minutes) with the lower level carbon credit.
 628 Given this worst case scenario, the fuel cost saving is estimated at \$25,785; the saved carbon
 629 credit costs are estimated at \$3,391.

630 Table 9 Costs saved and payback years for different average daily sunny hours

Scenarios	A	B	C
Average daily sunny hours (hours)	6	4	2
Fuel cost saved (thousand \$)	275	183	92
PV Fuel cost saved (thousand \$)	242	161	81
Carbon credit saved (L) (thousand \$)	45	30	15
Carbon credit saved (C) (thousand \$)	107	71	36
Carbon credit saved (H) (thousand \$)	186	124	62
Payback year (Lo) (year)	3	4	7
Payback year (Ce) (year)	2	3	6

Payback year (Hi) (year)	2	3	5
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631

632 **4. Results and Discussion**

633 This part will illustrate the results derived from the assessment of this paper including the
634 evaluation results of LCA and LCCA and the impact of some significant parameters on the
635 results. Some further discussions are made to expand the analysis to a broader vision in the
636 shipping industry. Recommendations of LCA method are also made to shipping industry to
637 help shipyard, ship owner, operator and policy makers to evaluate different alternatives, both
638 technologies and strategies. Some further discussions are mentioned to be considered as the
639 weak points of this study and possible future works.

640 **4.1. Impact of energy efficiency of solar panel system on LCA and LCCA**

641 Indeed, it is not practically credible to use 100% of solar power from the panels for vessel
642 propulsion, because of energy transmission and combination losses between engines and solar
643 panels. This section discusses the influence of varying efficiencies of the solar panels on the
644 estimation of the life cycle fuel cost. Since there is no practical system available, this approach
645 is worthy to provide to ship operator with an insight of the performance of solar panel system
646 application. Considering the energy losses, four different energy efficiencies are considered as:
647 90%, 80%, 70% and 52%. The last scenario with 52% of energy efficiency is referred to
648 RETScreen (Natural Resources Canada, 2018). Table 10 presents how the energy efficiencies
649 impact the life total cost. Not surprisingly, it is obvious that high energy efficiency has a high
650 level of cost savings. Although the daily change in fuel consumption is minor, when it is
651 accumulated through the vessel's life, the total fuel cost difference is considerable. The

652 payback time period is, more or less, fixed around 3 years when the energy efficiency is
 653 changed from 100% to 90%. The payback time periods for 80% and 70% energy efficiencies
 654 are 4 and 5 years respectively. The payback time period is also derived when energy efficiency
 655 is 52% referring to RETScreen software which is 9 years.

656 Table 10 Comparison of fuel cost saved under different energy efficiencies

Scenarios	Full Load	90%	80%	70%	52%	Unit
Engine Load	79.31%	79.88	80.91	82.13	83.49	
Daily Fuel Consumption (DFC)	1,966	1,966	1,966	1,966	1,966	kg/day
New DFC (6.7 hours sunny)	1,270	1,278	1,295	1,316	1,338	kg/day
New DFC (3.3 hours not sunny)	602	602	602	602	602	kg/day
New DFC (total)	1,872	1,880	1,898	1,918	1,941	kg/day
Annual FC (Benchmark)	638,961	638,961	638,961	638,961	638,961	kg
Annual FC (New)	608,489	611,156	616,706	623,373	630,753	kg
Annual fuel saved	30.47	27.80	22.26	15.59	8.21	tonne
Fuel price	401	401	401	401	401	\$/tonne
Annual fuel cost saved	12,204	11,136	8,913	6,243	3,287	\$
Life cycle fuel cost saved	305,101	278,396	222,832	156,079	82,185	\$

657

658 4.2. Impact of solar panel system price on the payback time period

659 Based on the manufacturer's quotation, the price of selected solar panel is \$0.4/W. However,
 660 the price may be varied in the future or not available at certain circumstances so three more
 661 scenarios are presented and investigated to determine the impact of solar panel system cost on
 662 the payback time period. Three scenarios are \$0.6/W, \$0.8/W and \$1.2/W. Applying the same
 663 LCCA processes, the payback time periods are determined to be 4 years, 5 years and 8 years.
 664 Therefore, even if the price of solar panels is tripled, the investment could be paid back during
 665 the vessel's life span.

666 Considering the data provided by RETScreen, the price for solar panel system with 52% of
 667 energy conversion efficiency is \$3.3/W (which is about 7 times of the price from the

668 manufacturer's quotation), hence, it requires an investment of \$201,009 and also an operation
 669 and maintenance cost of \$2,682. Under this circumstance, when only considering the fuel cost
 670 saving during the operation stage, the payback of investment will not be possible during the
 671 vessel's life span (payback time period is 62 years). Therefore, it makes the carbon policy to
 672 play an important role to proactively urge ship owners to turn their attention to green shipping
 673 technologies. To ensure the investment can be paid back during or at the end of life, the carbon
 674 credit should be over \$190 per tonne.

675 **4.3.Application on Fleet**

676 The LCA and LCCA have been carried out for one ferry and the performances of solar array
 677 application from perspectives of environmental protection and cost effectiveness are significant.
 678 To extent the investigation from one single target to a fleet will provide a deeper indication on
 679 the benefits of solar array application. Table 11 lists the vessels information of the ferry fleet
 680 retrieved from the ship operator, Bodrum. With the consideration of the vessels' specifications,
 681 the maximum deck areas are considered to be solar panel installation areas. The lowest energy
 682 efficiency, 52%, is applied for this fleet analysis. The fuel consumptions are estimated using
 683 the same SFOC and engine load. Since the HFO consumption will be reduced due to the usage
 684 of energy provided from solar array, the reduction amount of HFO consumed can be estimated
 685 through dividing the energy from solar array by the LHV of HFO. Therefore, the fuel oil saved
 686 and carbon emission reduction from the fleet due to the application of solar panel array is
 687 derived and presented in Table 12. It indicates that the application of solar arrays to a fleet will
 688 bring considerable benefits from both environmental and economic aspects due to the
 689 increasing usage of solar energy during vessels operation.

690 Table 11 Fleet information from ship operator

	LOA (m)	B (m)	DWT (tonne)	Engine Output (kW)
--	----------------	--------------	--------------------	---------------------------

Hizir Reis	42.0	10.0	327	1268
Fahri Kaptan 3	33.0	5.7	122	432.5
Fahri Kaptan 2	34.0	8.0	135	650
Kemal Reis 3	38.0	8.0	134	866
Fahri Kaptan 1	17.8	5.7	35.4	418
Sunny Express	39.0	7.0	228	1342

Source: <http://www.bodrumferibot.com/tr/ourfleet.asp>

691

692

693

Table 12 Fuel saved and carbon emission reduction of fleet

	Area	Energy efficiency	Power output	Hours	Energy saved	Fuel saved	LS fuel saved	LS carbon reduction
Unit	m ²	100%	kW		kJ	kg	tonne	tonne
Hizir Reis	400	52%	72.1	6.6	2.9E+08	7369	184	574
Fahri Kaptan 3	179	52%	32.2	6.6	1.3E+08	3291	82	256
Fahri Kaptan 2	259	52%	46.6	6.6	1.9E+08	4758	119	370
Kemal Reis 3	290	52%	52.2	6.6	2.1E+08	5330	133	415
Fahri Kaptan 1	97	52%	17.2	6.6	6.8E+07	1753	44	136
Sunny Express	260	52%	46.9	6.6	1.9E+08	4793	120	373
Total						27293	682	2125

694

695

696 4.4.Risk identification and mitigation

697 The risk identification process helps to foresee possible hazard while applying solar panel
698 arrays on marine vessels as there are a few practical applications and related regulations all
699 over the world. There are many different risk assessment methods available such as HAZOP,
700 FMEA, FMECA, FTA ETA and FSA (Chen et al., 2018; Gul and Ak, 2018; IMO, 2002). This
701 paper focuses on the identification and mitigation of possible hazards and comprehensive risk
702 assessment will be carried out in future studies. There are four different types of hazards under

703 consideration: installations, operations, environments and technical risks (Table 13). These
 704 hazards can be avoided before they occur and also can be controlled while they occur. Table
 705 13 shows the mitigations for different hazards.

706 Table 13 Hazard identifications and mitigations

	Hazards	Mitigations
No.	Installation	
1	Fire caused by welding	Increase fire awareness of workers; Remove flammable from welding working place; Prepare fire extinguisher
2	Fire caused by cutting	Increase fire awareness of workers; Remove flammable from cutting working place; Prepare fire extinguisher
3	Faulty installation due to unskilled worker	Training arrangement; Inspection after installation
	Operation	
4	Solar panel not working	Training arrangement before operation; Use diesel generator; Inspection and repair
5	Solar system disconnected from switchboard	Training arrangement before operation; Use diesel generator
6	Disoperation	Training arrangement before operation; Use diesel generator
	Environment	
7	Damaged by severe weather	Use diesel generator; Forecast to avoid severe weather; Repair damaged part
8	Corrosion due to rain accumulated	Use diesel generator; Clean up stagnant water; Repair damaged part
9	Animal collision	Use diesel generator; Repair damaged part
	Technology	
10	Control system faulty	Use diesel generator; Repair or replace control system with new one
11	Invertor faulty	Use diesel generator; Repair or replace invertor
12	Distributor faulty	Use diesel generator; Repair or replace distributor

707 **4.5.A general evaluation method**

708 LCA could work as a tool for selection of green technologies and as an evaluation method for
 709 policy makers to investigate the ‘*environmental friendliness*’ of a vessel. With the LCA model
 710 established based on specific cases, the environmental impact of green technologies could be
 711 determined and compared. Following the economic assessment processes, the life cycle cost
 712 will be derived and together with the initial investment information, the payback time period
 713 will be obtained. For any unavailable details, operators or end users can carry out sensitivity

714 analysis to determine their environmental and economic impacts so that a reasonable
715 assessment could be conducted and help users to make their decisions.

716

717 **4.6.Further discussion**

718 This paper assessed the performance and payback time period for on-board application of solar
719 panels under different energy efficiencies. It is clear that current applications of solar panel
720 system have been highly limited due to low energy efficiency but relatively high investment
721 costs. Moreover, due to limited space utilisation, the power provided by solar panel system can
722 only manage to contribute to covering a small portion of total power loads. Therefore, their
723 energy efficiencies need to be enhanced.

724 Since the electricity generation from a solar panel is sensitive to real time weather conditions,
725 i.e. sunny hours, having a stable energy provision for propulsion system is another issue.
726 Electrical fluctuations are always harmful to the stability of the vessel. Although the average
727 annual sunny hours are applied and the results suggest reasonable solutions in this paper, it is
728 still necessary to consider the fluctuations of the solar power output. It is recommended to store
729 the solar energy in battery packs so that continuous and stable electricity supply will be
730 achieved. However, the additional equipment also increases the initial investment, thereby
731 prolonging the payback time period. While in this situation, further analysis on the modified
732 system could be carried out using LCA to determine the impact of the new system to the vessel
733 in the perspective of environmental friendliness and cost effectiveness.

734 The risk issue has also a significant importance for solar panel system applications. While
735 applying solar panel arrays, there are many possible hazards which may affect their

736 performance and life span. Therefore, a future study should also consider the life cycle impacts
737 of risks to determine a more detailed and comprehensive LCA study for the evaluations of
738 green shipping technologies.

739

740 **5. Conclusions**

741 This paper presents the impact of the application of a solar panel system on a short route ferry
742 operating in Turkey. The LCA method is applied considering from cradle to grave costs of the
743 vessel by establishing an LCA model as well as evaluating the environmental impact and
744 assessing the sensitivities of important parameters. The research results from the LCA analysis
745 indicate that the reduction of GHG emissions release after the application of solar array is
746 around 3×10^6 kg CO₂ eq. during the lifespan of the ferry. It indicates that applying solar power
747 system on a vessel as a future marine power is a promising technique which could make one
748 of the best uses of solar energy as it is a greener energy than fossil fuels. To expand the
749 application from one case ferry to all possible target vessel, no matter it has a short route or is
750 an ocean going vessel, the mitigation effect on the global warming will become significant.
751 However, the sensitivity analysis shows that the relationship between the ferry working hours
752 and emission release is nearly linear. It means that the longer ferry under working condition,
753 the more GHG emission will be generated because the reduction effect from solar array
754 application is incomparable with the emission generated from ferry operation. To effectively
755 improve the reduction effect, it is essential to increase the energy conversion efficiency of the
756 solar array. Due to the limitation of solar conversion technology, the energy conversion
757 efficiency is currently low. Even though the theoretical analysis presents the advantages of

758 solar array application, the benefits of the application will be hardly realized without the
759 development and improvement in advanced solar conversion technology.

760 From the perspective of cost, with consideration of SFOC adjustment, the fuel cost saved after
761 25 years' operation could reach approximate \$300,000 and about \$130,000 in present value.
762 The payback time period of investing in the solar panel system was estimated at only 3 years.
763 It indicates the application of solar array will not only bring benefits from the perspective of
764 environmental protection, but also save the operation costs of the ferry. Furthermore, as there
765 is no carbon credit currently in force in Turkey, three different levels of carbon credit values
766 from the EU are applied to find out the carbon credit saving from the solar panel application.
767 It is a promising investment that about \$45,000 carbon credits will be saved no matter which
768 level of carbon credits is applied. Since there is a lack of policy on carbon emission release in
769 Turkey, this study will help policy makers to have a deeper insight of green technologies from
770 the perspective of environment and economic friendliness. The worst scenario with 52% energy
771 efficiency and price of \$3.3/W indicates: with a carbon credit over \$190 per tonne, the
772 investment of solar panel system could be paid back during the life of the vessel. The sensitivity
773 analysis on energy efficiency also illustrates that increasing the energy conversion efficiency
774 of solar panel system is highly demanding which is restricting its development and application,
775 especially on short route ferries.

776 This paper eventually provides a guide of evaluation processes using LCA and LCCA method
777 to assess the performance of green technologies so that policy makers and ship operators could
778 make decisions on the technology selections based on the LCA results.

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