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# Improved lung function and patient-reported outcomes with co-suspension delivery technology glycopyrrolate/formoterol fumarate metered dose inhaler in COPD: a randomized Phase III study conducted in Asia, Europe, and the USA

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**Background:** COPD is a major global cause of mortality and morbidity. PINNACLE-4 evaluated the efficacy and safety of GFF MDI (glycopyrrolate/formoterol fumarate metered dose inhaler) in patients from Asia, Europe, and the USA with moderate-to-very severe COPD.

**Methods:** In this double-blind, placebo-controlled, Phase III study, patients were randomized to treatment with GFF MDI 18/9.6 µg, glycopyrrolate (GP) MDI 18 µg, formoterol fumarate (FF) MDI 9.6 µg, or placebo MDI (all twice daily) for 24 weeks. Lung function, patient-reported outcomes (symptoms and health-related quality of life), and safety were assessed.

**Results:** Of the 1,756 patients randomized, 1,740 patients were included in the intent-to-treat population (mean age 64.2 years, 74.1% male, and 40.2% Asian). GFF MDI significantly improved morning predose trough FEV<sub>1</sub> at Week 24 (primary endpoint) vs placebo MDI, GP MDI, and FF MDI (least squares mean differences: 165, 59, and 72 mL, respectively; all  $P < 0.0001$ ). GFF MDI also significantly improved other lung function endpoints vs placebo MDI, GP MDI, and FF MDI and patient-reported outcomes vs placebo MDI and GP MDI. A larger proportion of patients treated with GFF MDI achieved the minimum clinically important difference in Transition Dyspnea Index score vs GP MDI and placebo MDI and in St George's Respiratory Questionnaire score vs placebo MDI. Adverse event rates were similar across treatment groups.

**Conclusion:** These results demonstrated the efficacy of GFF MDI in patients with moderate-to-very severe COPD. GFF MDI was well tolerated, with a safety profile commensurate with long-acting bronchodilators.

**Keywords:**  $\beta_2$ -agonist, bronchodilator, COPD, co-suspension delivery technology, muscarinic antagonist

## Introduction

Bronchodilators are the cornerstone of maintenance therapy for COPD,<sup>1</sup> one of the leading causes of mortality and morbidity worldwide.<sup>2</sup> Combined treatment with a long-acting muscarinic antagonist (LAMA) and a long-acting  $\beta_2$ -agonist (LABA) plays an important role in the stepwise management of COPD.<sup>3</sup> The Global Initiative for Chronic Obstructive Lung Disease (GOLD) recommends combined LAMA/LABA treatment as a first-line therapy for patients with COPD in GOLD group D; and as a step-up treatment for patients in GOLD group C who experience frequent exacerbations despite LAMA or LABA monotherapy, and patients in GOLD group B

who experience persistent symptoms despite bronchodilator monotherapy.<sup>1</sup>

Glycopyrrolate/formoterol fumarate metered dose inhaler (GFF MDI) 18/9.6 µg (Bevespi Aerosphere<sup>®</sup>; AstraZeneca, Wilmington, DE, USA) is a fixed-dose combination (FDC) of the LAMA glycopyrrolate (GP) and the LABA formoterol fumarate (FF), formulated using innovative co-suspension delivery technology. GFF MDI is approved in the USA for the long-term maintenance treatment of airflow obstruction in patients with COPD<sup>4</sup> and, to date, is the first and only LAMA/LABA FDC available as an MDI.

The efficacy and safety of GFF MDI compared with respective monocomponents have been demonstrated over a period of up to 52 weeks in the pivotal Phase III studies PINNACLE-1, PINNACLE-2 (24 weeks; NCT01854645 and NCT01854658), and PINNACLE-3 (28-week safety extension study; NCT01970878), in patients from the USA, Australia, and New Zealand.<sup>5,6</sup> Due to differences in COPD prevalence and burden between different countries and regions,<sup>7–10</sup> as well as potential differences in the observed effects of pharmacological therapies,<sup>11</sup> it was deemed important to evaluate the efficacy and safety of COPD maintenance treatments in other geographical patient populations. Here, we present the results of the PINNACLE-4 study ([ClinicalTrials.gov](https://clinicaltrials.gov): NCT02343458), which investigated the efficacy and safety of GFF MDI compared to its monocomponents (GP MDI and FF MDI) and placebo MDI in a population with moderate-to-very severe COPD, which included Asian and European patients.

## Methods

### Study design and treatment

PINNACLE-4 was a randomized, double-blind, parallel-group, placebo-controlled Phase III study conducted at multiple sites across Asia, Europe, and the USA. Patients were randomized 7:6:6:3 using an Interactive Web Response System (further details in the Supplementary materials) to receive treatment with GFF MDI 18/9.6 µg (equivalent to glycopyrronium/formoterol fumarate dihydrate 14.4/10 µg), GP MDI 18 µg, FF MDI 9.6 µg, or matched placebo MDI (all twice daily) for 24 weeks, with randomization stratified by reversibility to rescue albuterol sulfate and by COPD disease severity. Patients provided written informed consent prior to screening, and the study was conducted in accordance with Good Clinical Practice, including the Declaration of Helsinki and the International Council for Harmonisation. The protocol was approved by local institutional review boards (Table S1). Patients were required to discontinue

prohibited COPD medications (including oral  $\beta_2$ -agonists, LABAs, cromoglycate or nedocromil inhalers, leukotriene antagonists, ketotifen [except as eye drops], and LAMAs) following screening and were switched to sponsor-provided ipratropium bromide (administered four times daily) and albuterol sulfate (as needed) to control symptoms during the screening period. Patients using a maintenance FDC of an inhaled corticosteroid (ICS) and a LABA discontinued this, and were switched to the corresponding ICS monotherapy (fluticasone, mometasone, or budesonide) at an equivalent dose, as well as ipratropium bromide and albuterol sulfate (providing they had been maintained on a stable dose of the ICS component for  $\geq 4$  weeks prior to screening). Any patients taking a maintenance dose of an ICS not administered as an FDC with a LABA were allowed to continue using the ICS if they had been on a stable dose for  $\geq 4$  weeks prior to screening. Ipratropium bromide was discontinued after screening. Sponsor-provided albuterol sulfate was permitted, as needed, for the relief of symptoms throughout the study.

### Study population

Patients were 40–80 years of age and had an established clinical history of COPD as defined by the American Thoracic Society/European Respiratory Society.<sup>12</sup> Inclusion and exclusion criteria were the same as reported for PINNACLE-1 and PINNACLE-2.<sup>5</sup> Briefly, eligible patients were current or former smokers ( $\geq 10$  pack-years) with an FEV<sub>1</sub>/forced vital capacity ratio of  $< 0.70$  and an FEV<sub>1</sub> of  $< 80\%$  predicted normal value at screening. Further details are provided in the Supplementary materials. Patients were required to demonstrate stable baseline FEV<sub>1</sub>, ie, mean predose FEV<sub>1</sub> at randomization within  $\pm 20\%$  or 200 mL of the mean of the predose FEV<sub>1</sub> assessment obtained at the previous two screening visits. The ability of patients to use the MDI correctly was confirmed at screening, with additional training provided as necessary.

### Assessments

The primary objective of the study was to compare the efficacy of GFF MDI with its monocomponents (GP MDI and FF MDI) and placebo MDI and also GP MDI and FF MDI with placebo MDI, in patients with moderate-to-very severe COPD. Study endpoints differed according to the regional regulatory registration requirements. This manuscript reports the approach that satisfies the filing requirements of the US and China regulatory authorities. Data for similar approaches and endpoints satisfying the filing requirements of other regions were also generated. The change from baseline in

morning predose trough FEV<sub>1</sub> at Week 24 was the primary endpoint. Secondary lung function endpoints included change from baseline in morning predose trough FEV<sub>1</sub> over 24 weeks, peak change from baseline in FEV<sub>1</sub> within 2 hours postdosing at Week 24, and time to onset of action on Day 1 (defined as the first time point at which the difference from placebo MDI was statistically significant).

Other secondary endpoints included Transition Dyspnea Index (TDI) focal score over 24 weeks, change from baseline in St George's Respiratory Questionnaire (SGRQ) total score at Week 24 (intent-to-treat [ITT] population and symptomatic population), and change from baseline in mean daily rescue medication use over 24 weeks (rescue medication user population). Assessments of TDI focal score at Week 24 and SGRQ score over Weeks 12–24 were additional endpoints. Baseline Dyspnea Index (BDI) and TDI were assessed using the interviewer-administrated version of the BDI/TDI questionnaire.<sup>13,14</sup> Other efficacy endpoints included responder analyses to determine the proportion of patients achieving an improvement of the minimal clinically important difference (MCID) threshold of  $\geq 1$  unit in TDI focal score<sup>15</sup> over 24 weeks and  $\geq 4$  units in SGRQ score<sup>16</sup> at Week 24.

Safety assessments included electrocardiograms (ECGs), clinical laboratory testing, and vital sign measurements. Adverse events (AEs) were monitored throughout the study.

## Statistical analysis

Unless otherwise specified, results were based on analyses using the ITT population (all patients who were randomized and received any study treatment, even if  $< 1$  full dose). The safety population was the same as the ITT population, except patients who were analyzed according to treatment received rather than treatment assigned. The symptomatic population included all patients in the ITT population with a COPD assessment test (CAT) score of  $\geq 15$  at screening. The rescue medication user population included all patients in the ITT population with the mean baseline rescue medication use (albuterol sulfate) of  $\geq 1$  puff/day (calculated from the last 7 days of the 10–14 days screening period).

A sample size of 1,614 patients was estimated to provide 91% of power to detect differences for all primary comparisons (GFF MDI vs placebo MDI and each monocomponent and each monocomponent vs placebo MDI) in the primary endpoint (change from baseline in morning predose trough FEV<sub>1</sub> at Week 24) with Type I error controlled at a two-sided  $\alpha$  level of 0.05. The same sample size was estimated to provide 99% of power to detect differences for the same

comparisons for change from baseline in morning predose trough FEV<sub>1</sub> over 24 weeks.

The primary and secondary endpoints (with the exception of time to onset of action) were analyzed using repeated measures linear models (further details in the Supplementary materials). Strong control of Type I error (two-sided  $\alpha=0.05$ ) was implemented sequentially across the five key comparisons for the primary endpoint and then simultaneously across the secondary endpoints within a key comparison using the Hochberg procedure (two-sided  $\alpha=0.05$ ).

## Results

### Patient disposition

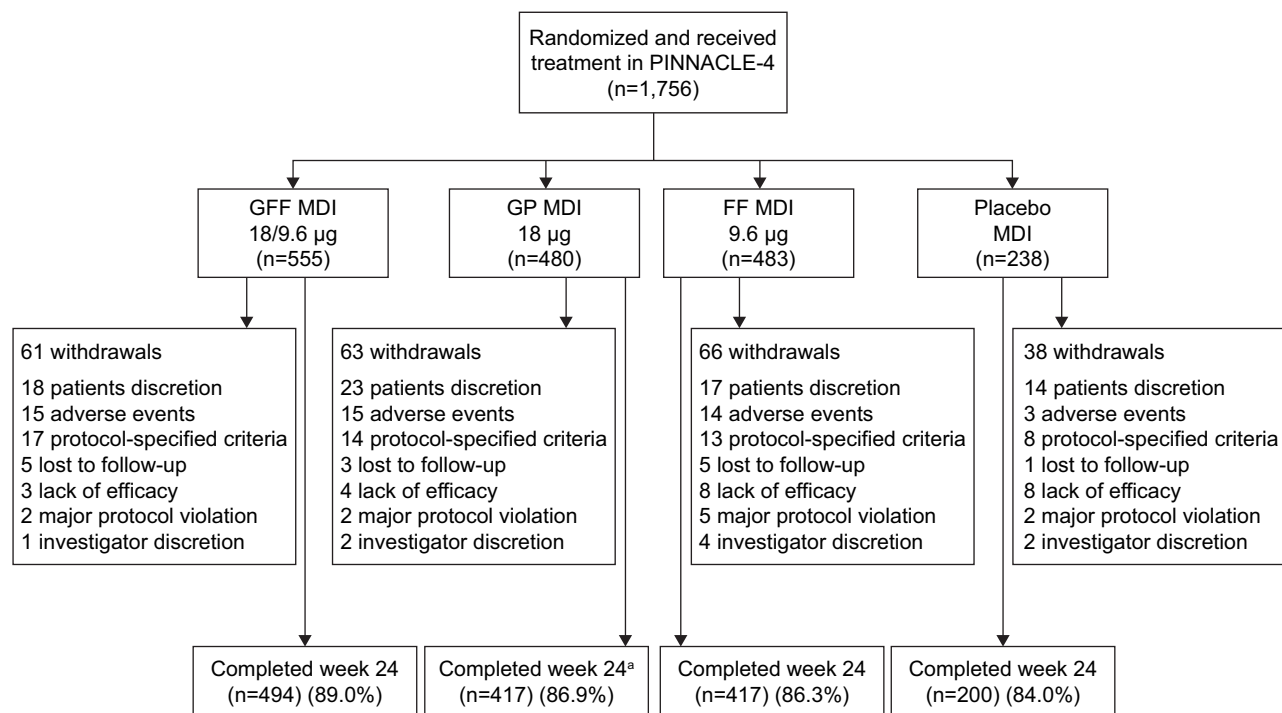
A total of 1,756 patients were randomized and received treatment (714 patients from Asia, 496 patients from the USA, and 546 patients from Europe [including Russia]), and 1,528 (87%) patients completed the study (Figure 1). The ITT and safety populations included 1,740 patients, of whom 841 patients were symptomatic (baseline CAT score  $\geq 15$ ). The rescue medication user population comprised 822 patients. Patient demographics and baseline characteristics are summarized in Table 1. The mean age of the patient was 64.2 years, 74.1% of them were male, and 40.2% of them were Asian (56.7% White).

### Efficacy

For the primary endpoint of change from baseline in morning predose trough FEV<sub>1</sub> at Week 24, treatment with GFF MDI resulted in significantly greater improvements vs placebo MDI (least squares mean [LSM] difference: 165 mL;  $P<0.0001$ ; Figure 2 and Table 2), GP MDI (LSM difference: 59 mL;  $P<0.0001$ ), and FF MDI (LSM difference: 72 mL;  $P<0.0001$ ). GP MDI and FF MDI treatments significantly increased morning predose trough FEV<sub>1</sub> at Week 24 compared to placebo MDI (LSM difference 105 and 92 mL, respectively; both  $P<0.0001$ ; Figure 2).

Similar improvements as for the primary endpoint were observed for change from baseline in morning predose trough FEV<sub>1</sub> over 24 weeks (Figure 2 and Table 2). GFF MDI led to significant improvements in peak change from baseline in FEV<sub>1</sub> within 2 hours postdose at Week 24 compared to GP MDI, FF MDI, and placebo MDI (Table 2). Onset of action for GFF MDI, GP MDI, and FF MDI occurred within 5 minutes postdose (LSM differences vs placebo MDI 179 mL [ $P<0.0001$ ], 37 mL [ $P=0.0002$ ], and 164 mL [ $P<0.0001$ ], respectively).

Significant improvements in TDI focal score over 24 weeks and SGRQ score at Week 24 were observed in



**Figure 1** Patient disposition.

**Note:** <sup>a</sup>One patient in the GP MDI group completed Week 24 but did not complete the follow-up call (14 days after last study drug dosing), so was categorized as having discontinued the study but was not classed as withdrawing from the study.

**Abbreviations:** FF, formoterol fumarate; GFF, GP/FF; GP, glycopyrrolate; MDI, metered dose inhaler.

both the ITT population and the symptomatic population following treatment with GFF MDI compared with GP MDI and placebo MDI ( $P < 0.05$ ) but not with FF MDI (Table 3). Improvements in TDI score at Week 24 and SGRQ score over Weeks 12–24 were also greater following GFF MDI treatment compared to GP MDI and placebo MDI in both populations (Table S2). Patients treated with GFF MDI were more likely to achieve an improvement in at least the MCID for TDI score ( $\geq 1.0$  unit) and SGRQ score ( $\geq 4.0$  unit decrease) vs placebo MDI and versus GP MDI for TDI score (ITT population and symptomatic population; Table 4). Significant improvements in rescue medication use were observed for GFF MDI vs GP MDI (LSM difference:  $-0.77$ ;  $P = 0.0001$ ) and placebo MDI in the rescue medication user population (LSM difference:  $-0.98$ ;  $P < 0.0001$ ; Table 3).

## Safety

The incidence of treatment-emergent AEs (TEAEs), treatment-related TEAEs, serious TEAEs, or TEAEs leading to discontinuation was similar across treatment groups (Table 5), with the majority of TEAEs being mild or moderate and not considered related to study treatment. A relatively low proportion of patients (ranging from 4.3% with placebo MDI to 5.3% with GP MDI) discontinued due to TEAEs.

The most commonly reported TEAEs included upper respiratory tract infection, worsening of COPD, headache, and hypertension (Table 5).

One death occurred in each of the treatment groups (lung cancer [metastatic;  $n = 1$  with both GFF MDI and placebo MDI], hemorrhagic stroke [GP MDI], and hypoglycemic coma [FF MDI]). None of these deaths were judged by the investigator to be related to study drug treatment.

## Discussion

Treatment with the LAMA/LABA FDC, GFF MDI, improved lung function compared to placebo MDI and monocomponents and improved symptoms and patient-reported outcomes compared to placebo MDI and GP MDI in a population of patients with moderate-to-very severe COPD from Asia, Europe, and the USA. Improvements in the primary endpoint – change from baseline in morning predose trough  $FEV_1$  at Week 24 – exceeded the MCID of 100 mL<sup>17</sup> for both GFF MDI and GP MDI vs placebo MDI and were significantly higher following treatment with GFF MDI vs monocomponents. Overall, results confirmed those from previous Phase III studies, which showed GFF MDI to be efficacious and well tolerated in a population that included patients from the USA, Australia, and New Zealand.<sup>5,6</sup>

**Table 1** Patient demographics and baseline characteristics (ITT population)

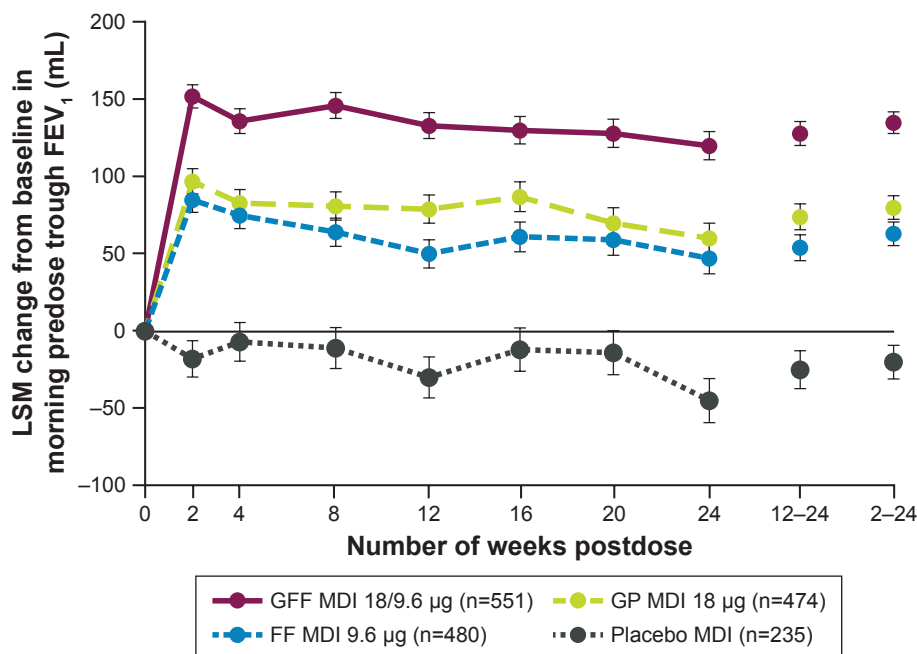
	<b>GFF MDI</b> <b>18/9.6 µg</b> <b>(n=551)</b>	<b>GP MDI</b> <b>18 µg</b> <b>(n=474)</b>	<b>FF MDI</b> <b>9.6 µg</b> <b>(n=480)</b>	<b>Placebo</b> <b>MDI</b> <b>(n=235)</b>
Age (years), mean (SD)	64.7 (7.4)	64.0 (8.1)	64.1 (7.6)	63.9 (7.5)
Male, n (%)	408 (74.0)	346 (73.0)	365 (76.0)	171 (72.8)
Race, n (%)				
White	315 (57.2)	275 (58.0)	260 (54.2)	137 (58.3)
Black/African American	12 (2.2)	18 (3.8)	16 (3.3)	6 (2.6)
Asian	223 (40.5)	181 (38.2)	204 (42.5)	92 (39.1)
American Indian or Alaska Native	1 (0.2)	0	0	0
BMI (kg/m <sup>2</sup> ), mean (SD)	26.3 (5.9)	26.5 (5.7)	26.3 (6.2)	26.2 (6.2)
Smoking status, n (%)				
Current	252 (45.7)	209 (44.1)	208 (43.3)	113 (48.1)
Former	299 (54.3)	265 (55.9)	272 (56.7)	122 (51.9)
Number of pack-years smoked, <sup>a</sup> mean (SD)	45.9 (24.3)	44.8 (25.5)	46.9 (26.1)	45.7 (26.4)
COPD severity, <sup>b</sup> n (%)				
Mild <sup>c</sup>	6 (1.1)	9 (1.9)	5 (1.0)	6 (2.6)
Moderate	330 (59.9)	283 (59.7)	290 (60.4)	137 (58.3)
Severe	192 (34.8)	168 (35.4)	171 (35.6)	86 (36.6)
Very severe	23 (4.2)	14 (3.0)	14 (2.9)	6 (2.6)
COPD duration (years), mean (SD)	(n=546) 6.2 (5.9)	(n=474) 6.2 (5.8)	(n=477) 6.1 (6.2)	(n=234) 6.1 (5.6)
Postbronchodilator FEV <sub>1</sub> (% predicted), mean (SD)	(n=550) 53.96 (13.73)	(n=472) 54.82 (14.08)	(n=480) 53.92 (13.22)	(n=235) 54.40 (13.90)
Reversibility to albuterol				
Reversible, <sup>d</sup> n (%)	249 (45.2)	207 (43.7)	207 (43.1)	108 (46.0)
Reversibility postbronchodilator for FEV <sub>1</sub> (%), mean (SD)	17.5 (15.2)	16.9 (13.8)	17.3 (14.6)	18.1 (15.9)
Use of ICS, <sup>e</sup> n (%)	169 (30.7)	143 (30.2)	142 (29.6)	79 (33.6)
BDI focal score, mean (SD)	(n=532) 6.7 (2.2)	(n=457) 6.7 (2.3)	(n=458) 6.8 (2.2)	(n=217) 6.2 (2.2)
SGRQ total score, mean (SD)	(n=489) 40.8 (16.9)	(n=412) 39.4 (17.7)	(n=415) 38.7 (16.9)	(n=196) 41.7 (17.2)
CAT total score, <sup>f</sup> mean (SD)	(n=550) 14.9 (7.0)	(n=472) 15.2 (7.3)	(n=480) 14.9 (7.0)	(n=235) 15.2 (7.4)
Rescue medication use <sup>g</sup> (puffs/day), mean (SD)	(n=256) 4.3 (3.4)	(n=225) 4.0 (2.7)	(n=232) 4.1 (2.8)	(n=109) 4.1 (2.9)

**Notes:** <sup>a</sup>Number of pack-years smoked = (number of cigarettes each day/20) × number of years smoked. <sup>b</sup>Severity of COPD was based on the nonmissing postalbuterol assessment at screening. <sup>c</sup>These patients were characterized as having mild COPD due to the application of an Asian correction factor to baseline lung function assessments at the time of analysis. <sup>d</sup>Reversible is defined as improvement in FEV<sub>1</sub> postalbuterol administration compared to the prealbuterol of ≥12% or ≥200 mL. <sup>e</sup>Defined as using ICS on the day of the first dose of study medication. <sup>f</sup>CAT total score is the sum of eight CAT item scores (range: 0–40). <sup>g</sup>Rescue medication use was analyzed in the rescue medication user population, defined as all patients in the ITT population with the mean baseline rescue albuterol sulfate use of ≥1 puff/day.

**Abbreviations:** BDI, Baseline Dyspnea Index; BMI, body mass index; CAT, COPD assessment test; FF, formoterol fumarate; GFF, glycopyrrrolate/formoterol fumarate; GP, glycopyrrrolate; ICS, inhaled corticosteroid; ITT, intent-to-treat; MDI, metered dose inhaler; SGRQ, St George's Respiratory Questionnaire.

Improvements in the secondary lung function endpoint (peak change from baseline in FEV<sub>1</sub> within 2 hours postdose at Week 24) as well as rescue medication use were significantly larger in the GFF MDI treatment arm vs monocomponents and placebo MDI and similar to those observed in PINNACLE-1 and PINNACLE-2.<sup>5,18</sup> TDI focal scores indicated greater reductions in breathlessness following GFF MDI treatment than comparators, although treatment differences were only significant compared with GP MDI and placebo MDI (ITT and symptomatic populations). The treatment difference for GFF MDI vs placebo MDI in TDI score over 24 weeks was larger than that observed in

PINNACLE-1 and PINNACLE-2, which may be in part due to differences in the method of assessment of TDI score (the interviewer-administered version of the TDI was used in this study vs the self-administered, computerized version used in PINNACLE-1 and PINNACLE-2).<sup>19</sup> A larger proportion of patients in the GFF MDI group achieved a clinically relevant improvement in TDI (total score ≥1 unit)<sup>15</sup> vs GP MDI and placebo MDI in the symptomatic and ITT populations, demonstrating that GFF MDI was effective in reducing breathlessness in patients with COPD. The results of the SGRQ assessment in this study suggest that GFF MDI may improve health-related quality of life (HRQoL) compared



**Figure 2** LSM change ( $\pm$ SE) from baseline in morning predose trough FEV<sub>1</sub> over 24 weeks (ITT population).

**Abbreviations:** FF, formoterol fumarate; GFF, GP/FF; GP, glycopyrrolate; ITT, intent-to-treat; LSM, least squares mean; MDI, metered dose inhaler; SE, standard error.

with placebo MDI and GP MDI, which is consistent with the results of PINNACLE-1.<sup>5,18</sup> Although the improvements seen with GFF MDI in patient-reported outcomes (TDI and SGRQ) were not statistically significant vs FF MDI,

both treatments were effective in improving symptoms vs placebo. Differences between active treatments for patient-reported outcomes can be small and, therefore, these outcome measures may not be sensitive in indicating differences

**Table 2** Primary and secondary lung function endpoints (ITT population)

	GFF MDI 18/9.6 µg	GP MDI 18 µg	FF MDI 9.6 µg	Placebo MDI
<b>Primary endpoint</b>				
Change from baseline in morning predose trough FEV <sub>1</sub> at Week 24 (mL)				
n	488	412	413	196
LSM	120	60	47	-45
SE	9.1	9.9	9.9	14.3
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	59 (33, 86)	72 (46, 99)	165 (132, 198)
P-value	NA	<0.0001 <sup>a</sup>	<0.0001 <sup>a</sup>	<0.0001 <sup>a</sup>
<b>Secondary endpoints</b>				
Change from baseline in morning predose trough FEV <sub>1</sub> over 24 weeks <sup>b</sup> (mL)				
n	541	465	467	225
LSM	135	80	63	-20
SE	7.0	7.6	7.6	10.9
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	55 (35, 76)	72 (52, 92)	155 (129, 180)
P-value	NA	<0.0001 <sup>a</sup>	<0.0001 <sup>a</sup>	<0.0001 <sup>a</sup>
Peak change from baseline in FEV <sub>1</sub> within 2 hours postdosing at Week 24 (mL)				
n	490	412	413	196
LSM	358	214	247	55
SE	10.2	11.1	11.1	16.0
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	145 (115, 174)	111 (81, 140)	303 (266, 340)
P-value	NA	<0.0001 <sup>a</sup>	<0.0001 <sup>a</sup>	<0.0001 <sup>a</sup>

**Notes:** <sup>a</sup>Statistically significant/superior. <sup>b</sup>Morning predose trough FEV<sub>1</sub> over 24 weeks was based on assessments at Weeks 2, 4, 8, 12, 16, 20, and 24.

**Abbreviations:** FF, formoterol fumarate; GFF, glycopyrrolate/formoterol fumarate; GP, glycopyrrolate; ITT, intent-to-treat; LSM, least squares mean; MDI, metered dose inhaler; NA, not applicable; SE, standard error.

**Table 3** Secondary patient-reported outcome endpoints (ITT population, unless stated otherwise)

	GFF MDI 18/9.6 µg	GP MDI 18 µg	FF MDI 9.6 µg	Placebo MDI
TDI focal score over 24 weeks <sup>a</sup>				
n	532	457	458	217
LSM	1.6	1.3	1.5	0.8
SE	0.09	0.10	0.10	0.14
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	0.33 (0.07, 0.59)	0.15 (-0.11, 0.41)	0.80 (0.47, 1.13)
P-value	NA	0.0125 <sup>b</sup>	0.2530	<0.0001 <sup>b</sup>
TDI focal score over 24 weeks <sup>a</sup> (symptomatic population) <sup>c</sup>				
n	244	228	217	108
LSM	1.5	1.1	1.3	0.7
SE	0.14	0.15	0.15	0.22
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	0.41 (0.01, 0.81)	0.20 (-0.21, 0.60)	0.73 (0.22, 1.23)
P-value	NA	0.0425 <sup>b,c</sup>	0.3379	0.0048 <sup>b,c</sup>
Change from baseline in SGRQ total score at Week 24				
n	489	412	415	196
LSM	-5.3	-3.7	-5.6	-0.9
SE	0.54	0.59	0.59	0.86
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	-1.62 (-3.19, -0.05)	0.30 (-1.27, 1.87)	-4.40 (-6.39, -2.41)
P-value	NA	0.0427 <sup>b</sup>	0.7084	<0.0001 <sup>b</sup>
Change from baseline in SGRQ total score at Week 24 (symptomatic population) <sup>c</sup>				
n	220	202	189	92
LSM	-6.9	-3.8	-7.8	-1.6
SE	0.88	0.91	0.95	1.37
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	-3.10 (-5.59, -0.61)	0.89 (-1.65, 3.44)	-5.33 (-8.52, -2.14)
P-value	NA	0.0148 <sup>b</sup>	0.4908	0.0011 <sup>b</sup>
Change from baseline in mean daily rescue medication use over 24 weeks <sup>d</sup> (puffs/day) (rescue medication user population)				
n	256	225	232	109
LSM	-1.4	-0.6	-1.0	-0.4
SE	0.13	0.14	0.14	0.21
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	-0.77 (-1.16, -0.38)	-0.41 (-0.80, -0.03)	-0.98 (-1.47, -0.49)
P-value	NA	0.0001 <sup>b</sup>	0.0345 <sup>e</sup>	<0.0001 <sup>b</sup>

**Notes:** <sup>a</sup>TDI focal score over 24 weeks was based on assessments at Weeks 4, 8, 12, 16, 20, and 24. <sup>b</sup>Statistically significant/superior. <sup>c</sup>The symptomatic population was defined as patients in the ITT population with a COPD assessment test score  $\geq 15$  at baseline (screening). <sup>d</sup>The rescue medication user population was defined as all patients in the ITT population with the mean baseline rescue albuterol sulfate use of  $\geq 1$  puff/day. <sup>e</sup>Nominally significant (ie,  $P < 0.05$  but not statistically significant due to procedure to control Type I error).

**Abbreviations:** FF, formoterol fumarate; GFF, glycopyrrolate/formoterol fumarate; GP, glycopyrrolate; ITT, intent-to-treat; LSM, least squares mean; MDI, metered dose inhaler; NA, not applicable; SE, standard error; SGRQ, St George's Respiratory Questionnaire; TDI, Transition Dyspnea Index.

between active treatments. Ultimately, the superior effects of GFF MDI vs FF MDI on lung function may result in greater benefits for patients' quality of life when sustained over a longer time period than the 24-week duration of the current study. In the long-term PINNACLE-3 safety study, treatment with GFF MDI resulted in statistically significant improvements in TDI score and numerical improvements in SGRQ, over 52 weeks compared with FF MDI.<sup>6</sup>

Although no head-to-head comparisons between GFF MDI and other LAMA/LABA FDCs have been reported, the magnitude of improvements in lung function, rescue medication use, and HRQoL vs monocomponents observed in this study followed a similar trend to those of pivotal

studies with other LAMA/LABA FDCs.<sup>20-27</sup> While several other efficacious and well-tolerated LAMA/LABA FDC combinations are available for the maintenance treatment of COPD, GFF MDI is notably the first to be delivered using an MDI. The co-suspension delivery technology used to formulate GFF MDI overcame formulation challenges encountered with MDIs,<sup>28</sup> resulting in consistent in vitro aerosol performance, even in the presence of simulated patient-handling errors,<sup>29</sup> providing reliable drug dose delivery to all regions of the lungs with high efficiency.<sup>30</sup> As familiarity with an inhaler can result in more favorable clinical outcomes in respiratory disease<sup>31,32</sup> and MDIs remain a commonly prescribed device type for rescue medication,<sup>33</sup> the availability



**Table 4** Responder analyses for MCID of secondary, patient-reported outcome endpoints (ITT population)

	GFF MDI 18/9.6 µg	GP MDI 18 µg	FF MDI 9.6 µg	Placebo MDI
<b>Proportion of patients achieving the MCID threshold<sup>a</sup></b>				
≥ 1 unit improvement in TDI focal score over 24 weeks				
n	548	474	477	235
Responders, n (%)	313 (57.11)	223 (47.14)	256 (53.73)	88 (37.19)
Treatment comparison for GFF MDI vs monocomponents and placebo MDI				
OR (95% CI)	NA	1.49 (1.17, 1.91)	1.15 (0.90, 1.47)	2.25 (1.64, 3.08)
P-value	NA	0.0015 <sup>b</sup>	0.2785	<0.0001 <sup>b</sup>
≥ 1 unit improvement in TDI focal score over 24 weeks (symptomatic population) <sup>c</sup>				
n	255	239	228	117
Responders, n (%)	137 (53.80)	92 (38.49)	115 (50.38)	43 (36.70)
Treatment comparison for GFF MDI vs monocomponents and placebo MDI				
OR (95% CI)	NA	1.86 (1.30, 2.66)	1.15 (0.80, 1.64)	2.01 (1.28, 3.15)
P-value	NA	0.0007 <sup>b</sup>	0.4540	0.0024 <sup>b</sup>
≥ 4 unit improvement from baseline in SGRQ total score at Week 24				
n	549	474	480	235
Responders, n (%)	256 (46.31)	193 (40.51)	219 (46.19)	81 (33.06)
Treatment comparison for GFF MDI vs monocomponents and placebo MDI				
OR (95% CI)	NA	1.27 (0.98, 1.63)	1.00 (0.78, 1.29)	1.75 (1.27, 2.41)
P-value	NA	0.0669	0.9696	0.0007 <sup>b</sup>
≥ 4 unit improvement from baseline in SGRQ total score at Week 24 (symptomatic population) <sup>c</sup>				
n	255	239	229	117
Responders, n (%)	125 (48.78)	100 (41.78)	112 (49.50)	35 (28.80)
Treatment comparison for GFF MDI vs monocomponents and placebo MDI				
OR (95% CI)	NA	1.33 (0.93, 1.90)	0.97 (0.68, 1.39)	2.35 (1.47, 3.77)
P-value	NA	0.1229	0.8757	0.0004 <sup>b</sup>

**Notes:** Percentages are based on a logistic regression model with TDI or SGRQ response as a binary response (response/no response). Relevant baseline value (BDI or SGRQ) and reversibility to albuterol sulfate were continuous covariates, and treatment was a categorical covariate. <sup>a</sup>Validated thresholds for the MCID for each endpoint were used.<sup>34</sup> <sup>b</sup>Statistically significant/superior. <sup>c</sup>The symptomatic population was defined as patients in the ITT population with a COPD assessment test score of ≥ 15 at baseline (screening).

**Abbreviations:** BDI, Baseline Dyspnea Index; FF, formoterol fumarate; GFF, glycopyrrolate/formoterol fumarate; GP, glycopyrrolate; ITT, intent-to-treat; MCID, minimum clinically important difference; MDI, metered dose inhaler; SGRQ, St George's Respiratory Questionnaire; TDI, Transition Dyspnea Index.

**Table 5** Summary of AEs (safety population)

	GFF MDI 18/9.6 µg (n=551)	GP MDI 18 µg (n=474)	FF MDI 9.6 µg (n=480)	Placebo MDI (n=235)
TEAEs, n (%)				
Patients with ≥ 1 TEAE	306 (55.5)	250 (52.7)	256 (53.3)	131 (55.7)
Patients with TEAEs related <sup>a</sup> to study treatment	55 (10.0)	51 (10.8)	46 (9.6)	23 (9.8)
Patients with serious TEAEs	53 (9.6)	34 (7.2)	40 (8.3)	19 (8.1)
Patients with serious TEAEs related <sup>a</sup> to study treatment	3 (0.5)	4 (0.8)	4 (0.8)	2 (0.9)
Patients with TEAEs leading to early discontinuation	27 (4.9)	25 (5.3)	24 (5.0)	10 (4.3)
Deaths (all cause) during treatment period	1 (0.2)	1 (0.2)	1 (0.2)	1 (0.4)
Deaths (all cause) during treatment period +14 days	1 (0.2)	1 (0.2)	1 (0.2)	1 (0.4)
AEs occurring in ≥ 2% of patients in any treatment arm (preferred term), n (%)				
Viral upper respiratory tract infection	50 (9.1)	44 (9.3)	46 (9.6)	16 (6.8)
Upper respiratory tract infection	37 (6.7)	33 (7.0)	29 (6.0)	20 (8.5)
COPD <sup>b</sup>	16 (2.9)	12 (2.5)	13 (2.7)	7 (3.0)
Headache	15 (2.7)	11 (2.3)	10 (2.1)	3 (1.3)
Hypertension	16 (2.9)	6 (1.3)	3 (0.6)	8 (3.4)
Cough	13 (2.4)	10 (2.1)	8 (1.7)	2 (0.9)
Dyspnea	11 (2.0)	6 (1.3)	7 (1.5)	7 (3.0)
Back pain	15 (2.7)	7 (1.5)	5 (1.0)	1 (0.4)
Dizziness	8 (1.5)	12 (2.5)	4 (0.8)	1 (0.4)
Pneumonia	9 (1.6)	5 (1.1)	5 (1.0)	6 (2.6)
Bronchitis	4 (0.7)	8 (1.7)	6 (1.3)	5 (2.1)
Pharyngitis	11 (2.0)	3 (0.6)	4 (0.8)	0

**Notes:** <sup>a</sup>Related = possibly, probably, or definitely related in the opinion of the investigator. <sup>b</sup>Worsening of COPD defined as a COPD exacerbation since the patient's last visit. COPD exacerbations were only recorded as an AE if they were considered to be a serious TEAE.

**Abbreviations:** AE, adverse event; FF, formoterol fumarate; GFF, glycopyrrolate/formoterol fumarate; GP, glycopyrrolate; MDI, metered dose inhaler; TEAE, treatment-emergent AE.

of a LAMA/LABA FDC delivered by MDI offers a useful option for the maintenance treatment of COPD. A Phase III study has shown that the addition of a spacer does not affect the lung function benefits and tolerability of GFF MDI,<sup>35</sup> suggesting that this FDC could be a treatment option for patients who require a spacer to compensate for poor hand-to-breath coordination with an MDI.

A potential limitation of this study was the short duration (6 months) relative to expected use as prophylactic therapy. However, the long-term safety and efficacy of GFF MDI have been evaluated over a 1-year period during the PINNACLE-3 safety extension study.<sup>6</sup> Additionally, patients could have potentially perceived benefit from participation in a study of the novel co-suspension delivery technology MDI. However, placebo was delivered by the same device as the active treatments to control for effects due to patient perception. The strength of this study was that patients were enrolled from sites across Asia, Europe, and the USA, allowing the efficacy and safety of GFF MDI to be evaluated in patients from a broad range of geographical locations and socioeconomic backgrounds. Patients were not required to be symptomatic at baseline for enrollment, though results were analyzed in a subgroup of patients with a CAT score of  $\geq 15$  (48% of patients randomized), which provided an insight into the efficacy of GFF MDI in symptomatic patients.

## Conclusion

The results of PINNACLE-4 demonstrate that GFF MDI improves lung function, symptoms, and patient-reported outcomes in a study population including patients from Asia, Europe, and the USA. These results are consistent with previous Phase III studies with GFF MDI, which showed that this LAMA/LABA FDC was efficacious and well tolerated with no unexpected safety signals in patients with moderate-to-very severe COPD.

## Abbreviations

AE, adverse event; BDI, Baseline Dyspnea Index; BMI, body mass index; CAT, COPD assessment test; ECG, electrocardiogram; FDC, fixed-dose combination; FF, formoterol fumarate; GFF, glycopyrrolate/formoterol fumarate; GP, glycopyrrolate; HRQoL, health-related quality of life; ICS, inhaled corticosteroid; ITT, intent-to-treat; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-acting muscarinic antagonist; LSM, least squares mean; MCID, minimum clinically important difference; MDI, metered dose inhaler; SE, standard error; SGRQ, St George's Respiratory Questionnaire;

TDI, Transition Dyspnea Index; TEAE, treatment-emergent adverse event.

## Data sharing statement

All relevant data analyzed during this study are included in this article.

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## Author contributions

CR is the guarantor and takes responsibility for the content of this manuscript, including the data and analysis. All authors participated in the analysis and interpretation of data reported. AM made significant contributions to the statistical analysis of the data. BJL, DJC, YG, NZ, KN, RC, and SA participated in the acquisition of reported data. AM, SS, CR, and UJM made substantial contributions to the conception or design of the study. All authors reviewed or critically revised the manuscript, provided final approval of the version to be published, and agreed to be accountable for all aspects of the work.

## Disclosure

BJL is one of a number of co-investigators on an AstraZeneca-sponsored grant received by the University of Dundee to support genomic studies in COPD. He has also received speaker fees from AstraZeneca; payment for consulting and speaking from Boehringer Ingelheim and Chiesi; grant support from Boehringer Ingelheim, Chiesi, and Janssen;

advisory board and speaker fees from Teva; and consulting fees from Sandoz, Cipla, Dr Reddys, and Lupin. DJC is supported by the National Institute for Health Research (NIHR) Barts Biomedical Research Center and received a grant from Pearl – a member of the AstraZeneca group, for normal recruitment of patients. YG received payment from AstraZeneca for medical institution costs for the clinical study and has received speaker fees from AstraZeneca, Boehringer Ingelheim Japan Co., Ltd, and Kyorin Pharmaceutical Co., Ltd. NZ has received consultancy and lecture fees from Boehringer Ingelheim and Novartis and was on the advisory board of the GOLD committee. KN and SA report no conflicts of interest in this work. RC is an advisory committee member and speaker for AstraZeneca. AM is an employee of Pearl – a member of the AstraZeneca Group. SS and UJM are employees of AstraZeneca, with stock options. CR is an employee of Pearl – a member of the AstraZeneca group and an employee of AstraZeneca.

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## Supplementary materials

### Study design and inclusion/exclusion criteria

A randomization ratio of 7:6:6:3 was used, as initial modeling suggesting that this is the most efficient for the sample size and treatments in this study. The appropriateness of this ratio was confirmed by the results of PINNACLE-1 and PINNACLE-2.<sup>1</sup>

Patients were 40–80 years of age, had an established clinical history of COPD as defined by the American Thoracic Society/European Respiratory Society,<sup>2</sup> and were current or former smokers with a history of at least 10 pack-years. COPD had to be of at least moderate severity,<sup>3</sup> defined as a FEV<sub>1</sub>/forced vital capacity ratio of <0.70 at screening, and FEV<sub>1</sub> <80% predicted normal value (calculated using the Third National Health and Nutrition Examination Survey<sup>4</sup> or local reference equations applicable to other regions) and ≥750 mL if FEV<sub>1</sub> <30% predicted normal (ie, very severe COPD).

Exclusion criteria included diagnosis of a significant disease other than COPD (which, in the opinion of the investigator, could put the patient at risk or could influence either the study results or the patient's ability to participate); poorly controlled COPD (defined as acute worsening of COPD that required treatment with oral corticosteroids or antibiotics within 6 weeks of, or during, screening); and hospitalization due to poorly controlled COPD within 3 months prior to, or during, screening. The need for long-term oxygen therapy (>12 hour/day), change in smoking status within 6 weeks

of or during screening, and poor hand-to-breath coordination (requiring the use of a spacer device with an MDI) were also exclusion criteria.

### Statistical analysis

The primary endpoint was analyzed using a repeated measures linear model with baseline FEV<sub>1</sub> (the mean of evaluable 60- and 30-minute predose values on Day 1) and reversibility to albuterol sulfate as continuous covariates and visit, treatment, and treatment-by-visit interaction as categorical covariates. An unstructured variance–covariance matrix was applied, and two-sided *P*-values and point estimates with two-sided 95% CIs were produced for each treatment difference. Treatment group comparisons for the secondary endpoints were evaluated using a similar repeated measures linear model as for the primary endpoint but included the relevant baseline covariate for each endpoint. Time to onset of action on Day 1 was determined for each treatment using the 5 and 15-minute postdosing FEV<sub>1</sub> assessments and analyzed using an analysis of covariance model, with baseline FEV<sub>1</sub> and reversibility to albuterol sulfate as continuous covariates. For Transition Dyspnea Index and St George's Respiratory Questionnaire responder analyses, logistic regression was used to compare treatment groups and *P*-values and odds ratios with 95% CIs were produced for each comparison. The procedure to control Type I error was applied to primary and secondary endpoints only and is described in the main body of the article.

**Table S1** Institutional review boards and approval numbers

Institutional review board	Approval number <sup>a</sup>
Schulman Associate Institutional Review Board, Inc., 4445 Lake Forrest Drive, Suite 300, Cincinnati, OH 45242, USA	201500174
Fakultni Nemocnice Kralovske Vinohrady, The University Hospital Kralovske Vinohrady, Srobarova 50, 100 34 Praha 10, Czech Republic	Reference number MEK/08/0/2015
Ethik-Kommission bei der Landesärztekammer Hessen, Im Vogelsgesang 3, 60488 Frankfurt, Germany	Registration number FF 23/2015
NRES Committee London-Bloomsbury, HRA NRES Centre Manchester, Barlow House 3rd Floor, 4 Minshull Street, Manchester M1 3DZ, UK	REC reference 15/LO/0523 IRAS project I67822
Egyeszegyi Tudományos Tanács, Klinikai Farmakológiai Etikai Bizottsága, Arany Janos u 6-8, 1051 Budapest, Hungary	Reference number OGYI/32844-2/2015
Korea University Anam Hospital, 73, Incheon-ro, Seongbuk-gu, Seoul 136-705, Korea	IRB number ED14345 Review number ANI4345-001 IRB file number YUMC 2015-02-008
Institutional Review Board of Yeungnam University Hospital, 170, Hyeonchung-ro, Nam-gu, Daegu 705-703, Korea	Document number PIRB-00131-003 Study number PC15MGGT0002 IRB file number KHUH 2015-02-102-005
Institutional Review Board of The Catholic University of Korea St Paul's Hospital, 180, Wangsan-ro, Dongdaemun-gu, Seoul 130-709, Korea	Approval number KUGH14324-001
Institutional Review Board of KyungHee University Hospital, 23, Kyungheedaero-ro, Dongdaemun-gu, Seoul 130-872, Korea	
Institutional Review Board of Korea University Guro Hospital, 148, Gurodong-ro, Guro-gu, Seoul 152-703, Korea	

(Continued)

**Table S1** (Continued)

Institutional review board	Approval number <sup>a</sup>
Dong-A University Hospital Institutional Review Board, 26 Daesingongwon-ro, Seo-gu, Busan 602-715, Korea	Study number I5-022
Institution Review Board of Yonsei University Wonju Severance Christian Hospital, 20, Ilsan-Ro, Wonju-Si, Gangwon-Do 220-701, Korea	Approval number CR115030
Institution Review Board of Inje University Seoul Paik Hospital, 31, Supyo-ro, Jung-gu, Seoul 100-032, Korea	Study number SIT-2015-003
Komisja Bioetyczna, Okregowej Izby Lekarskiej w Bialymstoku, Ul Swietojanska 7, 15-082 Bialystok, Poland	5/2015/VI
Independent Interdisciplinary Committee on Ethics Evaluation of Clinical Trials (Central IRB), 51, Leningradsky Prospect, Moscow 125468, Russia	489298-20-1
Ethics Committee at Federal State Governmental Establishment "Burdenko Main Military Clinical Hospital" of Russian Federation Defense Ministry, 3, Hospital sq, Moscow 105229, Russia	489298-20-1
Local Ethics Committee of Saint Petersburg State Budgetary Healthcare Institution, "Consulting and Diagnostic Center # 85", pr Veteranov, 89, bld 3, Saint Petersburg 198260, Russia	489298-20-1
Local Ethics Committee of State Budgetary Healthcare Institutions of Leningrad Region (SBHI LR), "Gatchina Clinical Interdistrict Hospital", Roshchinskaya Str. 15a, Gatchina, Leningard Region, Russia	489298-20-1
Local Ethics Committee Of SBHI LR "Occupational Pathology Center", 27, liter O, Mechnikova pr, Saint Petersburg 195271, Russia	Protocol number 22/2015
Local Ethics Committee of SBHI HPE "Pavlov First Saint Petersburg State Medical University", of the Ministry of Healthcare of the Russian Federation, 10, Rentgena Str, Saint Petersburg 197101, Russia	489298-20-1
Independent Ethics Committee of Federal State Budgetary Institution "Central Scientific and Research Institute of Tuberculosis" of Russian Academy of Medical Science, 2, Yauzskaya Alleya, Moscow 107564, Russia	489298-20-1
Local Ethics Committee, The Ministry of Healthcare of the Russian Federation State Budgetary Educational Institution of Higher Professional Education, IM Sechenov First Moscow State Medical University, 8-2 Trubetskaya Str, Moscow 119991, Russia	NA
Local Ethics Committee of State Budgetary Education Institution of Higher Professional Education, Pavlov First Saint Petersburg State Medical University, 10, Rentgena Str, Saint Petersburg 197101, Russia	489298-20-1
Local Ethics Committee Of State Budgetary Healthcare Institution of Stavropol Region (SBHI SR), City Hospital #2 of Pyatigorsk, 6, Admiralskogo Str, 357538 Pyatigorsk, Stavropol Region, Russia	493403-20-1
Chang Gung Medical Foundation Institutional Review Board, No 5, Fusing St, Gueishan Township, Taoyuan City, Taiwan, ROC	NA
China Medical University & Hospital Research Ethics Committee, No 2, Yude Rd, North Dist, Taichung City 40447, Taiwan, ROC	NA
The Institutional Review Board of Taichung Veterans General Hospital, 1650 Taiwan Boulevard Sect 4, Taichung 40705, Taiwan, ROC	IRB TCVGH number SC15104A
Research Ethic Committee C of National Taiwan University Hospital Members, No 1, Changde Street, Taipei City 100, Taiwan, ROC	NTUH-Rec number 201504046MSC
Ino Hospital Institutional Review Board, 1-27 Shiosaki Oshio-cho, Himeji-shi, Hyogo 671-0102, Japan	NA
Nihon University Hospital's Joint Institutional Review Board, 30-1 Oyaguchi kamicho, Itabashi-ku, Tokyo 173-8610, Japan	File number 2706-1430
Nagata Hospital Institutional Review Board, 523-1 Shimomiyanagamachi, Yanagawa-shi, Fukuoka 832-0059, Japan	NA
Institutional Review Board of National Hospital Organization Tenryu Hospital, 4201-2 Oro, Hamakita-ku, Hamamatsu-shi, Shizuoka 434-8511, Japan	NA
Dokkyo Medical University Hospital Institutional Review Board, 880 Kitakobayashi, Mibu-machi, Shimotsuga-gun, Tochigi 321-0293, Japan	File number S-288
Institutional Review Board of Kishiwada City Hospital, 1001 Gakuhara-cho, Kishiwada-shi, Osaka 596-8501, Japan	NA
Takamatsu Municipal Hospital Institutional Review Board, 2-36-1 Miyawakicho, Takamatsu, Kagawa 760-8538, Japan	NA

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**Table S1** (Continued)

Institutional review board	Approval number <sup>a</sup>
National Hospital Organization Fukuoka Hospital Institutional Review Board, 4-39-1 Yakatabaru, Minami-ku, Fukuoka-shi, Fukuoka 811-1394, Japan	File number 2015C1
Review Board of Human Rights and Ethics for Clinical Studies Institutional Review Board, 13-2 Ichiban-cho, Chiyoda-ku, Tokyo 102-0082, Japan	NA
Tohoku Rosai Hospital Institutional Review Board, 4-3-2-1, Dainohara, Aoba-ku, Sendai-city, Miyagi 981-8563, Japan	File number 15A003a
Institutional Review Board of Ishikawa Prefectural Central Hospital, 2-1 Kuratsukihgashi, Kanazawa, Ishikawa 920-8530, Japan	NA
Institution Review Board of National Hospital Organization, Ibarakihigashi National Hospital, 825 Terunuma, Tokai-mura, Naka-gun, Ibaraki 319-1113, Japan	NA
Review Board of Human Rights and Ethics for Clinical Studies Institutional Review Board, 13-2 Ichiban-cho, Chiyoda-ku, Tokyo 102-0082, Japan	NA
Institutional Review Board of Nagaoka Red Cross Hospital, 2-297-1 Sensyu, Nagaoka-shi, Niigata 940-2085, Japan	NA
National Hospital Organization Fukuoka Higashi Medical Center Institutional Review Board, 1-1-1 Chidori, Koga-shi, Fukuoka 811-3195, Japan	NA
The IRB of Tosei General Hospital, 160 Nishioiwake-cho, Seto, Aichi 489-8642, Japan	File number H270501PT
Kobe City Medical Center General Hospital Institutional Review Board, 2-1-1 Minatojima-minamimachi, Chuo-ku, Kobe-shi, Hyogo 650-0047, Japan	File number Chi 15-08
The IRB of healthcare corporation Tesshokai, 1344, Higashi-cho, Kamogawa City, Chiba 296-0041, Japan	NA
Institutional Review Board of Shinshu University Hospital, 3-1-1 Asahi, Matsumoto, Nagano 390-8621, Japan	File number 1482
Sendai Open Hospital Institutional Review Board, 5-22-1 Tsurugaya Miyagino-ku, Sendai, Miyagi 983-0824	NA
The Institution Review Board of Japan Community Healthcare Organization Chukyo Hospital, 1-1-10 Sanjo, Minami-ku, Nagoya-shi, Aichi 457-8510, Japan	File number 15-003
The Institution Review Board of National Hospital Organization Ehime Medical Center, 366 Yokogawara, Toon-shi, Ehime 791-0281, Japan	File number 2015-B-03
The Institution Review Board of Marianna University School of Medicine, 2-16-1, Sugao, Miyamae-ku, Kawasaki-shi, Kanagawa 216-8511, Japan	File number A219
The Institution Review Board of National Hospital Organization Asahikawa Medical Center, 7-4048, Hanasaki-cho, Asahikawa-shi, Hokkaido 070-0901, Japan	File number 15-a-7
Adachi Kyosai Hospital Institutional Review Board, 1-36-8 Yanagihara, Adachi-ku, Tokyo 120-0022, Japan	NA
Institutional Review Board of Shintokai Yokohama Minoru Clinic, 1-13-8, Bessho, Minami-ku, Yokohama-shi, Kanagawa 232-0064, Japan	NA
Japan Conference of Clinical Research Institutional Review Board, 2F, Ichigo Minami Ikebukuro Building, 2-27-17, Mianami Ikebururo, Toshima-ku, Tokyo 171-0022, Japan	File number 3-175
Tokyo-Eki Center-building Clinic Institutional Review Board, 3-3-14, Nihonbashi, Churo-ku, Tokyo 103-0027, Japan	File number 3-173
Nanfeng Hospital Southern Medical University, No 1838, North of Guangzhou Avenue, Guangzhou, Guangdong Province 510515, China	Approval number NFEC-2016-006
Ethics Committee of The Second Xiangya Hospital of Central South University, No 139, Middle Renmin Road, Changsha, Hunan Province 410011, China	CFDA approval 2015L03321
Medical Ethics Committee of the First Affiliated Hospital of Guangzhou Medical University, 151, Yan Jiang Xi Road, Guangzhou (Canton) 510120, China	EC review (2015) number 26 CFDA approval 2015L03321
Medical Ethics Committee of Guangdong General Hospital, No 106, Zhongshan Second Road, Guangzhou, Guangdong Province 510080, China	CFDA approval 2015L03321
Ethics Committee of Hebei General Hospital, No 348, Hepingxi Road, Shijiazhuang, Hebei, China	Study number Y-2015-22 CFDA approval 2015L03321
Ethics Committee of First Hospital of Shanxi Medical University, No 85, JieFang Road, Taiyuan, Shanxi Province 030001, China	(2016) EC review number 01 SFDA approval 2015L03321
Ethics Committee of the First Affiliated Hospital of Soochow University, No 188 Shinzi Street, Suzhou, Jiangsu Province 215006, China	EC number 2015100 SFDA approval 2015L03321
Ethics Committee of Hainan General Hospital, F3, Information Building, No 19, Xiuhua Road, Xiuying District, Haikou, Hainan 570311, China	CFDA approval number 2015L03321

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**Table S1** (Continued)

Institutional review board	Approval number <sup>a</sup>
Ethics Committee of Wuxi people's Hospital, No 299, Qingyang Road, Wuxi Jiangsu Province 214023, China	Approval number 2015LLPJ-III-18
Ethics Committee of the Second Affiliated Hospital of Nanchang University, No 1, Minde Road, Donghu District, Nanchang, Jiangxi 330006, China	CFDA approval number 2015L03321
IEC for Clinical Research of Zhongda Hospital, Affiliated to Southeast University, No 87, Dingjiaqiao Road, Gulou District, Nanjing, Jiangsu Province 210009, China	Approval number 2015ZDSYLL083.0
Clinical Trial Ethics Committee of West China Hospital, Sichuan University, No 37, Guoxue Lane, Wuhou District, Chengdu, Sichuan 610041, China	CFDA approval number 2015L03321
Medical Ethic Committee of The General Hospital of Shenyang Military Command, No 83, Wenhua Road, Shenhe District, Shenyang, Liaoning 110016, China	CFDA approval number 2015L03321
The Clinical Research Ethics Committee of Anhui Provincial Hospital, No 17, Lunjiang Road, Hefei City, Anhui Province, China	SFDA approval number 2015L03321 Approval number 2016 EC number 03
The first Hospital of Jilin University Ethics Committee, No 71 Xinmin Main Street, Changchun, Jilin Province, China	CFDA approval number 2015L03321 Trial review number 160225-013
Ethics Committee of the People's Hospital of Guangxi Zhuang Autonomous Region, No 6, Taoyuan Road, Nanning, Guangxi 530021, China	CFDA approval number 2015L03321
Inner Mongolia People's Hospital clinical trial Ethics Committee, No 20, Zhaowuda Rd, Hohhot, Inner Mongolia 010017, China	Clinical trial protocol identify number YWLCSYLL2015-11 CFDA approval number 2015L03321 CFDA approval number 2015L03321
Ethics Committee of Beijing Friendship Hospital, Capital Medical Hospital, No 95, Yongan Road, Xicheng District, Beijing, China	
Ethics Committee of Peking University Shou Gang Hospital, No 9, Jinyuanzhuang Road, Shijingshan District, Beijing, China	EC review approval IRB-AF-27-04
The drug clinical trial Ethics Committee of Chengdu Military General Hospital, No 2, Gonghe Road, Chengdong district, Xining, China	Project number CDA2015Y032 Issue number 20150015
Ethics Committee of Shanghai Pulmonary Hospital, No 507, Zhengmin Road, Shanghai 200433, China	Approval number 15136HX CFDA approval number 2015L03321
Ethics Committee of the Second Hospital of Hebei Medical University, No 215, Hepingxi Road, Shijiazhuang, Hebei, China	Study number 2016EC02-05-1 CFDA approval number 2015L03321
Ethics Committee of Beijing Anzhen Hospital, Capital Medical University, No 2, Anzhen Road, Chaoyang District, Beijing, China	Accepted number 2015-63D Approval number 2016 number 2 CFDA approval number 2015L03321 SFDA approval number 2015L03321
Ethics Committee of Guizhou Provincial People's Hospital, No 83, East Zhongshan Rd, Guiyang, Guizhou 55002, China	
Medical Ethic Committee of Shengjing Hospital of China Medical University, No 36, Sanhao Street, Heping District, Shenyang, Liaoning 110004, China	EC review number 2015PS32 CFDA approval number 2015L03321
Ethics Committee of Huadong Hospital Affiliated to Fudan University, No 221, West Yan'an Road, Jing'an District, Shanghai 200040, China	Approval number 20150238 Protocol number in the Ethics Committee document 2015L041
Ethics Committee of Zhongshan Hospital Xiamen University, No 201-209, South Hubin Road, Xiamen, Fujian 361004, China	Approval number 2016 EC number 11 Accepted number 20160001 CFDA approval number 2015L03321 Approval number PJ2016-001-001
Qinghai Provincial People's Hospital Clinical Trial Ethics Committee, No 2, Gonghe Road, Chengdong District, Xining, China	
Drug Ethics Committee of Tianjin Medical University General Hospital, No 154 An Shan Ave, Heping District, Tianjin 300052, China	Approval number IRB2016-018-01 ZYY-IRB-SOP-016 (F)-002-03
Ethics Committee of Shanghai East Hospital, No 150, Jimo Road, Pudong District, Shanghai 200120, China	Approval number (2016) number 007 Clinical review
Ethics Committee of Yiyang Central Hospital, No 118, Kangfu North Road, Yiyang, Hunan, China	CFDA approval number 2015L03321

**Note:** <sup>a</sup>Approval numbers are shown where available (some IRBs provided reference or file numbers and some did not assign approval numbers).

**Abbreviations:** IRBs, institutional review boards; NA, not available; REC, Research Ethic Committee; IRAS, Integrated Research Approval System; TCVGH, Taichung Veterans General Hospital; NTUH-Rec, National Taiwan University Hospital Research Ethic Committee; CFDA, China Food and Drug Administration; EC, ethics committee; SFDA, State Food and Drug Administration.



**Table S2** Additional patient-reported outcome endpoints (ITT population, unless stated otherwise)

	GFF MDI 18/9.6 µg	GP MDI 18 µg	FF MDI 9.6 µg	Placebo MDI
TDI focal score at Week 24				
n	487	410	413	196
LSM	1.8	1.4	1.6	0.9
SE	0.12	0.13	0.13	0.19
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	0.41 (0.06, 0.75)	0.20 (-0.15, 0.55)	0.90 (0.46, 1.34)
P-value	NA	0.0229	0.2659	<0.0001
TDI focal score at Week 24 (symptomatic population) <sup>a</sup>				
n	219	201	188	91
LSM	1.7	1.1	1.6	0.8
SE	0.19	0.20	0.20	0.29
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	0.63 (0.10, 1.17)	0.11 (-0.43, 0.66)	0.87 (0.19, 1.55)
P-value	NA	0.0202	0.6879	0.0122
Change from baseline in SGRQ total score over 12–24 weeks <sup>b</sup>				
n	516	436	436	205
LSM	-5.2	-3.6	-5.0	-1.7
SE	0.46	0.50	0.50	0.72
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	-1.62 (-2.94, -0.30)	-0.27 (-1.59, 1.05)	-3.50 (-5.18, -1.82)
P-value	NA	0.0165	0.6908	<0.0001
Change from baseline in SGRQ total score over 12–24 weeks <sup>b</sup> (symptomatic population) <sup>a</sup>				
n	237	218	200	97
LSM	-6.9	-3.9	-7.3	-3.1
SE	0.76	0.79	0.83	1.19
Treatment difference for GFF MDI vs monocomponents and placebo MDI				
LSM (95% CI)	NA	-2.99 (-5.15, -0.84)	0.32 (-1.89, 2.53)	-3.83 (-6.60, -1.06)
P-value	NA	0.0066	0.7787	0.0068

**Notes:** <sup>a</sup>The symptomatic population was defined as patients in the ITT population with a COPD assessment test score  $\geq 15$  at baseline (screening). <sup>b</sup>SGRQ score over 12–24 weeks was based on assessments at Weeks 12, 16, 20, and 24.

**Abbreviations:** FF, formoterol fumarate; GFF, glycopyrrolate/formoterol fumarate; GP, glycopyrrolate; ITT, intent to treat; LSM, least squares mean; MDI, metered dose inhaler; NA, not applicable; SE, standard error; SGRQ, St George's Respiratory Questionnaire; TDI, Transition Dyspnea Index.

## References

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