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Does circadian and ultradian glucocorticoid exposure affect the brain?

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1	REVIEW
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29 Abstract

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Glucocorticoids are a class of systematically secreted hormones, vital for 31 mammalian life, which are intensively investigated for more than 80 years. They 32 regulate multiple body processes like metabolism, fluid homeostasis, immune and 33 stress system responsivity, as well as brain function. Glucocorticoids have a 34 complex rhythm by which they are released to circulation from the adrenal 35 cortex. The hormone exhibits a circadian variation, with high hormonal levels 36 being secreted just prior and during the active part of the day, and progressively 37 38 lower and lower amounts being released during the inactive part of it. Underlying this diurnal variation there is a more dynamic, ultradian rhythm composed of 39 frequent episodes of glucocorticoid secretion (hormonal pulses). Accumulating 40 evidence from observational, in silico, in vitro and in vivo, preclinical and clinical 41 studies suggest that both aspects of glucocorticoid rhythmicity are preserved 42 among mammalian species and are important for brain function. The central 43 nervous system is exposed to both aspects of the hormonal rhythm, and has 44

developed mechanisms able to perceive them, and translate them to differential 45 cellular events, genomic and non-genomic. Thus, glucocorticoid rhythmicity 46 regulates various physiological neural and glial processes, under baseline and 47 stressful conditions, and hormonal dysrhythmicity has been associated with 48 cognitive and behavioural defects. This raises a number of clinical implications 49 concerning (i) glucocorticoid involvement in neuropsychiatric disease, and (ii) 50 improving the therapeutic efficacy or expanding the role of glucocorticoid-51 based treatments in such conditions. 52

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57 Introduction

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Glucocorticoids (GCs, corticosterone in rodents and predominantly cortisol in human) 59 are a class of steroid hormones, vital for mammalian life, which are synthesized by the 60 adrenal glands, secreted into the systematic circulation and travel throughout the body 61 to exert their pleiotropic effects on cellular function, primarily affecting metabolism, the 62 63 immune system and cognitive and emotional function. The complexity of their biology is illustrated by the fact that after almost 80 years of intensively investigating these 64 65 molecules, we still have only superficial understanding of their molecular effects and the system level homeostatic functions they control. 66

Despite these caveats, almost all clinical specialties use natural or synthetic GCs 67 to treat multiple conditions, primarily exploiting their immunomodulatory actions on high 68 doses; from gastroenterologists (inflammatory bowel disease), dermatologists (serious 69 allergies, psoriasis), rheumatologists (rheumatoid arthritis, systemic lupus erythematosus 70 and other autoimmune disorders) and pulmonologists (asthma) to surgeons (serious 71 72 bacterial infections and shock), oncologists (in combination with first line anti-neoplastic 73 drugs under multi-drug schemes), nephrologists (some forms of glomerulonephritis), anesthesiologists (in combination with first line pain killers under multi-drug schemes), 74 neurologists (multiple sclerosis, other inflammatory or traumatic encephalopathies, 75 76 myelopathies and neuropathies) and endocrinologists (mainly for replacement therapy 77 in adrenal insufficiency) (1, 2, 3).

The need to fully elucidate GCs' biological relevance is crucial since GCs are a fundamental aspect of the non-specific neuroendocrine response of the mammalian body to multiple internal and external stressors. One of the major systems affected in these states is the central nervous and it is well recognized that long term or high dose GC therapy is associated with neuropsychiatric disorders.

83 Two of the most characteristic features of GC physiology, well explained in relevant medical textbooks, are their circadian variation and their central role in stress 84 responses. Indeed, GCs are a paradigm for the role of internal biological clocks, 85 regulating the variations in biological needs across the 24-hour day. A few hours before 86 87 awakening (morning in human, night in rodents), the hypothalamic suprachiasmatic nucleus (SCN) reduces its inhibitory input to the paraventricular nucleus (PVN) and 88 median eminence (4), which in turn allows an increase in the secretion of corticotropin-89 releasing hormone (CRH) into the hypophyseal portal circulation. Consequently, CRH 90

upregulates corticotrophin (ACTH) secretion by corticotropic cells of the anterior 91 pituitary, which travel via the systemic circulation to adrenal glands and stimulate GC 92 biosynthesis/ release. This results to the natural circadian peak of GCs, followed by a 93 gradual fall to reach nadir levels during the inactive part of the day. The circadian 94 characteristics of GC secretion may vary both within and between individuals. They 95 96 depend on genetic, epigenetic, age- and gender-related variables (5, 6, 7), intrinsic 97 environmental factors and long-term neurocognitive adaptations to perceived stress, as well as the integrity of the corresponding anatomical structures involved in the 98 feedforward-feedback circuits (8), and the mode of function of peripheral clocks, 99 100 regulating for instance the circadian variation of the adrenal sensitivity to ACTH 101 stimulation (9).

Responses to external as well as internal stressful stimuli also elicit a dramatic 102 increase in GC secretion. Both brainstem and limbic structures are important in these 103 responses. The hippocampus for example exerts an inhibitory effect over HPA activity at 104 the onset and termination of the stress response (10), while the amygdala enhances the 105 106 stress-related GC secretion in a region-specific manner; with central and medial 107 amygdaloidal nuclei being responsive to different stressful stimuli (intrinsic-inflammatory and extrinsic-environmental respectively) and subsequently contributing to the acute 108 stress responses. On the other hand, the basolateral amygdala has a role in the chronic 109 stress integration. Parts of the prefrontal cortex also regulate HPA activity, and 110 111 consequently GC secretion. All these brain structures project via the bed nucleus of stria terminalis to subcortical, hypothalamic and brainstem regions that in turn innervate the 112 medial parvocellular part of PVN (11). This implies that, in the context of stress responses, 113 multiple steps are involved in the chain of regulatory control initiated by central stimuli, 114

with the final message though eventually translated into changes in hypothalamic CRH
secretion (consequently leading to changes in ACTH secretion and thus changes to GC
secretion).

What has been much less clear in textbooks on medical physiology, is the fact that 118 119 under baseline conditions the GC circadian variation is actually made up from an 120 underlying, more dynamic rhythm; oscillatory pulses of ACTH and GCs. This is the ultradian 121 rhythm of the hormone. Where does this ultradian rhythm derive from? And is it biologically significant, especially for brain function? And if yes, are there any clinical 122 implications concerning GC involvement in neuropsychiatric disease or improving the 123 124 therapeutic efficacy of GC-based treatments or even expanding their role in neuropsychiatric conditions? This review will try to answer some of these question by 125 providing a summary of the relevant scientific evidence. 126

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128 Is there an ultradian rhythm? Observational and in silico studies on GC pulsatility

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130 GC pulsatility is a conserved mechanism in mammalian species

Surprisingly, despite the fact that GC pulsatility had been observed as early as the 1970's, 131 132 there has been little or no investigation of its biological importance until the last decade. There are no mammalian species studied which lack GC pulsatility and this includes 133 134 rodents, sheep, deer and cows (12, 13) as well as horses and monkeys. The baseline 135 frequency of this ultradian rhythm may alter with the size and the developmental stage of the animal, being less than 60 minutes for rodents and late-gestation fetal horses (14), 136 more than 60 for rhesus macaques (15, 16) and deer (17), and 90 minutes in sheep (18). 137 All these studies have also demonstrated the existence of a strong correlation between 138

ACTH and GC ultradian rhythms (14, 16, 19). In this context, a more recent study on 139 140 rodents provided strong evidence that ACTH pulsatility is necessary for GC pulsatile biosynthesis and secretion, and indeed the exposure of adrenal glands to non-pulsatile 141 ACTH abolished their capacity to produce a pulsatile transcriptional activity of genes 142 involved in steroidogenesis, leading to a loss of adrenal corticosterone secretion (20). 143 144 Moreover, the experimental disruption of circadian inputs to the HPA activity (for instance 145 lesioning hypothalamic nuclei or exposing animals to constant light conditions) did not interfere with the ultradian component of GC rhythmicity (21). 146

Multiple clinical observational studies have also confirmed the presence of the 147 148 ultradian GC rhythm in man, under healthy conditions (19, 22, 23, 24, 25), as well as under pathological conditions related to chronic stress system activation, including 149 neurodegenerative disorders (26), depression (27), fibromyalgia and chronic fatigue 150 syndrome (28) or obstructive sleep apnea (29). The GC pulses vary in amplitude and 151 duration throughout the day due to variable input from hypothalamic nuclei, and a 152 typical human 24-hour profile, under healthy and non-stressful conditions, contains 153 154 approximately 8-16 glucocorticoid pulses (occurring every 60-180 min) (23, 30). The ultradian rhythm of GC secretion is also preserved across gender (31) and, despite 155 changes in pulse amplitude and duration, even during acute stress responses (32, 33). 156 But where does this ultradian rhythm come from? 157

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159 Origin of GC pulsatility

160 Since the ultradian rhythm of GC secretion is not abolished by the removal of 161 hypothalamic CRH circadian cues, we focused on the characteristics of the interplay 162 between the anterior pituitary and adrenal glands. As mentioned earlier, ACTH plays a

key role on this: after reaching the steroidogenic cells of the zona fasciculata, it binds to 163 164 its specific receptor melanocortin type-2 (MC2R), causing an increase to the intracellular levels of cAMP, which in turn activate the protein kinase A pathway, leading to post-165 translational modifications (mainly phosphorylation/ activation) of proteins involved in 166 cholesterol metabolism like the hormone-sensitive lipase (HSL) and the steroidogenic 167 168 acute regulatory protein (StAR), which regulate the levels of intracellular cholesterol and 169 its transport within the mitochondrial matrix to initiate the steroidogenic process (34). Therefore, ACTH exerts a positive feedforward regulation on GC biosynthesis. 170

After release into the systemic circulation, GCs feedback on corticotropic cells of 171 172 the anterior pituitary to inhibit the release of ACTH. This results in a negative (self)regulation on GC biosynthesis. This positive feedforward – negative feedback loop 173 is characterized by built-in delays (i.e. there is an inherent temporal distance between 174 each positive feedforward activation of MC2Rs by ACTH and the subsequent release of 175 GCs due to the need for de novo GC biosynthesis). By using mathematical biomodelling 176 approaches, accommodating the previously mentioned dynamics between ACTH and 177 178 GC secretion with the inherent delays, as well as other parameters related to GC clearance through liver (bile acids) and kidneys (urine) (35), we were able to 179 demonstrate that the interplay between pituitary and adrenals creates a system that 180 leads its components (ACTH, GCs) to a self-sustaining oscillatory activity (21, 36, 37), 181 independent of any other cues. What we have described is in effect a sub-hypothalamic 182 183 pulse generator (Figure 1).

184 This leads us to the key question: as the brain is naturally exposed to these GC 185 pulses, how are brain cells able to perceive GC pulsatility and translate for appropriate

186 signaling events? Furthermore, what are the implication of this for therapeutics- both

- 187 replacement therapy and synthetic corticosteroid treatment?
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189 The neurobiological significance of the GC circadian rhythm

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191 Before focusing on the ultradian rhythm of GCs, we should not underestimate the 192 significance of their diurnal variation for brain function. GC circadian rhythmicity is an integral feature of the regulation of glucose homeostasis, impacting directly on neuronal 193 and glial homeostasis (38). The GC circadian rhythm is synchronized with the rhythm of 194 195 other major, brain-specific stimuli such as brain-derived neurotrophic factor, which has 196 a direct interaction with GCs regulating fundamental neural and circuital processes like neurogenesis, dendritic remodeling and synaptic plasticity (39). The GC surge of the 197 diurnal peak also modulates the rhythmic expression of various GC-sensitive genes in a 198 199 brain-region specific manner, like tryptophan hydroxylase-2 in the raphe neurons (40) or period-2 in the central nucleus of the amygdala (41), and promotes stimulus-driven, non-200 201 genomic events, like the postsynaptic dendritic spine formation in the cortex after motor 202 skill learning. At the same time, GC circadian troughs are required for stabilizing newly 203 formed spines crucial for long-term memory retention. Conversely, chronic and excessive 204 exposure to GCs eliminates learning-associated new spines and disrupts previously acquired memories (42). 205

In addition, the circadian rhythm of GCs has enormous, multi-level effects on behaviour, psychophysiology and -pathology: (i) changes in the characteristics of the diurnal variation (steeper peaks or flatter slopes) have been linked to an increased selfreported negative affect (43), and an inverse relationship has been reported between

the diurnal rhythms of cortisol and positive affect (44). (ii) The diurnal cortisol profile has 210 211 been also associated with the neural activity in parts of the medial prefrontal cortex (ventromedial and orbitofrontal), an association that is lost in anhedonic subjects (45). 212 (iii) Enhancement of the diurnal peak of GCs (without changing the overall amount of 213 daily GC exposure or any other aspects of the HPA activity) may exert anxiolytic effects 214 215 (46). (iv) Elimination of the GC circadian peak leads to a significant reduction in 216 locomotor activity during the active periods of the day, comparable to the inactive parts of it (47). (v) Circadian misalignment due to GC circadian rhythm phase shifts has been 217 linked to acute episodes (mania or depression) in the context of bipolar disorder (48). (vi) 218 219 The diurnal variation in circulating GCs modulates the analgesic effect of morphine by 220 regulating the expression of the μ -opioid receptors in brainstem (49).

It is clear that the GC circadian rhythm provides a strong chronobiological signal 221 controlling the daily homeostasis of energy balance in brain cells, as well as fundamental 222 aspects of neural survivability, plasticity and multi-neuronal network characteristics. These 223 224 effects are linked to both genomic and non-genomic cellular events, and eventually 225 contribute to the circadian variability of mood and behaviour, whose disruption is linked 226 to psychiatric symptomatology (Figure 2). Thus, over a period of 24 hours, the alternation of the circulating GC levels between a state a high abundance and a state of low 227 bioavailability seems to be crucial for brain physiology. The next question is whether the 228 ultradian pattern of GC rhythm could be of similar neurobiological significance. Is it 229 230 possible that the circadian variation of the hormone can only be optimally translated into its neurobiological effects if delivered in a pulsatile manner? 231

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233 Preclinical studies on the neurobiological significance of GC pulsatility

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235 Does the brain perceive GC circadian and ultradian rhythms?

The debate around the significance of GC rhythmicity on brain function would be 236 pointless if the nervous system was not exposed to oscillating signals of extracellular GCs. 237 In the systematic circulation GCs are bound to GC-carrier proteins and albumin and it is 238 239 only the free fraction of cortisol that is active and available to diffuse into the central 240 nervous system. And even then, this active fraction of GCs can get excreted at the site of the blood-brain barrier (due to the activity of the P-glycoprotein) and locally, in the 241 microenvironment of neurons and glia, be converted to inactive forms (50). In vivo micro-242 243 dialysis studies in rodents have demonstrated, though, that both the circadian and ultradian rhythms of free GCs are maintained in the systemic circulation, the nervous 244 system and the subcutaneous tissue (51). These observations are gender-independent 245 (52). It is worth noting though, that this synchronicity between plasma and brain free GC 246 oscillations might be modified under conditions of acute changes in the mode of the GC 247 rhythm, as in the context of an acute stress response (53). These results have partially also 248 249 been confirmed in man (54).

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251 <u>Is the brain able to translate GC pulsatility into cellular events?</u>

The debate around the significance of GC pulsatility on brain function would also be pointless if the brain cells didn't possess the means to translate dynamic hormonal oscillations into differential signaling events. Neurons and glial cells have developed ways to sense GC pulsatility. The basis of this sensation lies into the properties of the two classes of GC-sensitive receptors, the mineralocorticoid receptors (MRs) and the glucocorticoid receptors (GRs), found in the central nervous system. Since many areas of the brain lack the enzyme 11β-hydroxysteroid dehydrogenase isoform II, cortisol and corticosterone
can activate both GRs and MRs in these areas. The most prominent sites of MR expression
in the central nervous system include hippocampus, lateral septum, amygdala, and to a
lesser extent cerebral cortex, cerebellum, caudate-putamen complex, and
hypothalamus, while areas of GR expression include cingulate cortex, hippocampus,
PVN and supraoptic nucleus, lateral geniculate, lateral and medial amygdala, thalamus,
cerebellum and cerebral cortex (55, 56, 57, 58).

MRs have a much higher affinity for binding with GCs compared to GRs; 265 consequently, MRs remain occupied even during low GC levels, while GR binding 266 267 requires higher GC concentrations, like those during the peak of individual pulses or following acute stress (59). Moreover, over the last two decades, it has been gradually 268 realised that these classes of receptors, although considered as transcription factors (i.e. 269 regulators of gene expression) with delayed effects, also possess rapid, non-genomic 270 effects in brain cells; these effects have been attributed to non-nuclear variants of these 271 receptors, and for those effects higher GC levels are required as well. Thus, depending 272 273 on the GC levels, a different combination of MRs and GRs get activated, resulting in a 274 different set of rapid and delayed effects (60).

The ultradian GC rhythm determines the cyclical shift in the location of GRs and to a lesser extent MRs. At the peak of an endogenous pulse GRs translocate to the nucleus and bind to glucocorticoid response elements (GREs) on the DNA, initiating chromatin modifications including histone acetylation and docking of RNA polymerase 2 to initiate gene transcription. At the trough of each pulse, GRs will come off the DNA and either remain in the nucleus bound to chaperone proteins or be ubiquitinated and enter the nuclear proteasome for degradation (61, 62, 63). Duration of GC exposure also

differentially regulates GR and MR expression, as well as determining the binding properties of MR- and GR-related coactivators and corepressors, and the formation of MR-GR heterodimeric complexes (64, 65, 66, 67, 68, 69, 70).

The overall result of this is that corticolimbic regions of the brain -in particular- are equipped with the molecular machinery to sense GC pulsatility; the next question arising therefore is where do all these events lead to? What aspects of neural and brain function are regulated by GC pulsatility?

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290 Which aspects of neural and brain physiology are modulated by GC pulsatility?

Over the last decade, research efforts exploring the neurobiological significance of GC ultradian rhythmicity have intensified. A variety of neural processes seem to be sensitive to GC pulsatility ranging from genomic events to rapid modifications in synaptic plasticity, hippocampal neurogenesis (71) and, eventually, behavioural phenotypes.

GC-dependent genomic events are sensitive to the dynamic pattern of the 295 hormonal oscillations and form transcriptional patterns that respond differentially to 296 297 specific aspects of GC rhythmicity in a brain region-specific manner. The latter has been 298 shown by both, in vivo and in vitro experimentation. For instance, hourly corticosterone pulses in rodents induced episodic bursts of transcription of the gene period-1 in the 299 hippocampus. This lead to a plateau in the accumulative mature transcript throughout 300 the time course of the pulsatile exposure, indicating that GC pulsatility works optimally for 301 302 steady state period-1 expression. The plateau dropped to baseline within 2 hours of the final pulse, indicating that any perturbation to the pulse frequency or duration would 303 have rapid quantitative effects on the levels of the gene products (72). A similar pulsatile 304 motif, following in vitro exposure to a pulsatile GC treatment, on the transcription of GR-305

regulated genes has been reported for sulfite oxidase, a mitochondrial enzyme involved 306 307 in cellular energy production, GC-induced leucine zipper, a transcription factor, tissue transglutaminase, a protein regulating cytoskeletal properties and involved in 308 neurodegenerative processes, and melatonin receptor 1B. That pulsatile motif of gene 309 expression is lost if the GC rhythm switches from pulsatile to non-pulsatile, or if natural GCs 310 311 are replaced with synthetic ones with a huge potency for GRs, like dexamethasone (73). 312 Increased sensitivity to GC pulsatility has been also observed for serum/GC regulated kinase 1, implicated in the regulation of ion channels, cell survivability and long-term 313 memory formation, and pro-opiomelanocortin, the ACTH precursor, in pituitary but not in 314 315 prefrontal cortex of rodents (74). Finally, gene ontology analysis of the transcriptome of 316 HeLa cells contrasting in vitro pulsatile versus continuous cortisol exposure revealed expression differences in genes involved in cytoskeletal homeostasis and cell adhesion 317 (75). 318

Aside the delayed, genomic events synchronized with the dynamic hormonal 319 oscillations, rapid, non-nuclear events have been also described, indicating that spikes 320 321 in GC concentrations can very quickly regulate neural processes, like neurotransmission 322 and synaptic plasticity in a brain region-specific manner. For instance, GCs enhance transiently the frequency of miniature excitatory postsynaptic potentials in CA1 323 324 (hippocampal) pyramidal neurons, pointing to a hormone-dependent enhancement of glutamate release probability via a pathway involving membrane-located MRs (76). A 325 326 similar phenomenon has been observed in the basolateral amygdala; contrary to the hippocampus, though, the upregulation in glutamatergic neurotransmission is long-327 lasting and greatly affects the responsiveness to subsequent surges of GCs in a GR-328 dependent manner (77). More recent studies additionally showed that the frequency of 329

the hormonal pulses differentially regulate the frequency of miniature excitatory 330 331 postsynaptic currents, AMPA receptor trafficking and the induction of long-term potentiation in cultures of hippocampal neurons and dorsal hippocampal slices from 332 rodent brains (78, 79). Related to this, GC-activated membrane-associated GRs promote 333 the interaction between phospho-CREB and CREB-binding proteins, leading to 334 335 epigenomic events (histone acetylation) in both the hippocampus and insular cortex, 336 following training on object recognition, associated with memory consolidation (80). Finally, it has been illustrated that acute psychological stress resulted in the upregulation 337 of the neuroplasticity-associated immediate-early genes c-Fos and Egr-1 in granule 338 339 neurons of the dentate gyrus (hippocampus), following the serine-10 phosphorylation and lysine-14 acetylation in histone H3, which were induced by the activation of the 340 nuclear kinases MSK1 and Elk-1. The latter required a rapid protein-protein interaction 341 between the phosphorylated ERK1/2 and GC-activated GRs, linked to long-lasting 342 behavioral responses to stress (81). 343

Eventually, GC pulsatility affects behavioural responses (82) and the readiness of 344 345 the stress system for an effective mobilization. Emotional and motor responses to external 346 stressors or aggressive challenges are more prominent when the cue coincides with the rising phase of the ultradian GC pulse compared to the falling phase (83). Moreover, 347 disruption of the normal ultradian GC rhythm has been associated with changes in the 348 stress responsiveness and a dissociation between hormonal and behavioural responses 349 350 to stress (84). Furthermore, in silico approaches also strongly suggest that the presence of pulsatility in homeostatic HPA function confers the potential for increased acute stress 351 responsiveness (85). 352

354 How does brain physiology incorporate the different aspects of GC rhythmicity?

In parallel to findings in peripheral tissues (9, 38, 86), which possess local circadian clocks 355 regulating the diurnal variation in GC sensitivity, similar mechanisms occur in different 356 brain regions, that could modulate fundamental circadian processes, like metabolism, 357 oxidative stress response, DNA repair and autophagy (at a cellular level), or memory, 358 359 sleep-awake cycles, mood, and eventually behaviour (at a systems level) (87). Subject 360 to brain region-specific and (in some cases possibly) temporally-varying hormonal sensitivity, GC pulsatility optimizes the circadian sustainability of GC stimulation, applies a 361 temporal filter on GC effects (especially those mediated by GRs and non-nuclear MRs), 362 363 as well as keeps the nervous system competent for properly integrating external stimuli or changes in internal states. 364

A typical example on the sustainability of GC stimulation is the fact that GC 365 pulsatility preserves the stock of available mature transcript of the period-1 gene in 366 hippocampal cells (72), as we mentioned earlier. Perhaps though, the most crucial 367 aspect of GC pulsatility is that it offers the brain an extended temporal window (on a 368 369 daily basis) for effective, immediate responses to internal or external challenges (83), as 370 well as successful, long-term adaptation. Pulsatility enables the maintenance of a reactive and responsive signaling system which is not downregulated by constant 371 receptor activation. Moreover, in the context of confronting a challenging situation, the 372 subsequent activation of such a range of different types of GC-sensitive receptors 373 374 contributes to an ability to have temporally specific responses to a stressor: non-nuclear MRs seem to be necessary for coordinating the initial brain response to stress (in 375 accordance with their fast, nongenomic actions), while at a later stage, GRs initiate the 376 processes responsible for reestablishing homeostasis and mediating the successful 377

neurobehavioral adaptations to increase effectiveness towards confronting future
incidences (60). Furthermore, outside the context of stress induction, the frequent GC
surges increase the probability of GC stimulation coinciding with (or dissociating from)
activation by other, interacting biomolecules, with which GCs have additive or nullifying
effects. A prominent example is brain-derived neurotrophic factor (88).

383 Finally, it is worth mentioning that body states accompanied by disruptions of GC 384 pulsatility, leading to a prolonged exposure to high GC levels, have been linked to a weakened GR activation. For instance, rapid GR-dependent negative feedback 385 regulation of ACTH release under basal conditions or acute stress (24) is reduced in major 386 387 depression, a condition accompanied with an overactive HPA axis (89). Other examples involve the reduction of immune system's sensitivity to GCs' immunosuppressive effects 388 during chronic psychological stress (90), or the selective down-regulation of 389 hippocampal GRs under sustained stress in rodents and non-human primates (91) or after 390 the experimental induction of viral encephalitis in rats (92). 391

The GC ultradian rhythm appears to provide a very important neurobiological 392 393 signal which differentially regulates the gene expression profile and various second messenger systems of intracellular signal transduction of brain cells and, eventually, 394 impacts cognition, behaviour and stress responsiveness (Figure 2). Similar to the 395 hormone's circadian rhythm, disruption of the normal characteristics of the ultradian 396 rhythm have, very recently, been linked to animal models of neuropsychiatric disease 397 398 (93). But what are the clinical implications of all these? Does GC rhythmicity have a similar significance for human brain function? 399

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401 Clinical studies: is GC rhythmicity important for the human brain?

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403 Effects of oral GC administration on the human brain

Before focusing on the relevance of GC rhythmicity for the human brain function, we 404 need to establish which domains of human cognition are influenced by GC input. A 405 number of clinical trials in healthy subjects, using functional neuroimaging (fMRI) 406 407 techniques and psychological experiments, have added valuable insights. In these 408 studies, participants were receiving one dose of hydrocortisone or placebo, usually orally, and subsequently underwent some form of a cognitive or psychological task, measuring 409 an aspect of human brain function, with or without the concurrent application of an fMRI 410 411 protocol. The timepoints for applying the outcome measures after hydrocortisone administration were either 60-120 minutes, reflecting the rapid effects of the hormone, 412 and/ or 180-240 minutes, reflecting the delayed effects of the hormone. 413

Under such experimental settings, it has been shown that GCs interfere with various 414 systems of memory processing. For instance, it has been shown that (i) intravenous 100mg 415 hydrocortisone infusion acutely increases the involvement of the prefrontal and parietal 416 417 cortex, while reducing the involvement of the hippocampus, in a working memory task 418 (n-back) (94), (ii) 10mg of hydrocortisone improves working memory performance in the 419 same kind of task (n-back) 240 minutes after their per os administration, an effect related 420 to increased neuronal activity in the dorsolateral prefrontal cortex (95), (iii) 20mg of 421 hydrocortisone reduce prefrontal and hippocampal responses during memory encoding 422 sessions 180 minutes after their per os administration (96), (iv) 10 mg of oral hydrocortisone uptake increase the neural processing of the anteromedial prefrontal cortex during 423 sessions of autobiographical memory retrieval 60 minutes post-administration (97). 424

Under such experimental settings, it has been also illustrated that GCs facilitate 425 426 the neurocognitive transitions between the unstressed brain, its stressed and its post-stress state. In particular, data suggest that (i) cortisol levels are positively correlated with a 427 functional coupling between amygdala and medial prefrontal cortex under relatively 428 non-stressful conditions (98), but negatively correlated with a sustained functional 429 430 connectivity between amygdala and hippocampus during the post-stress period (99), (ii) 431 10 mg of hydrocortisone reduce the interaction of amygdala with areas responsible for initiating and preserving a stress response (locus coeruleus, hypothalamus, and 432 hippocampus), while they increase the interaction of amygdala with areas associated 433 434 with executive functions (middle frontal and temporal gyrus) 105 minutes after their per os administration (100), (iii) a stress-induced increase in GC levels augments the 435 functional coupling between amygdala and dorsal striatum (101), but reduces the 436 learning-related hippocampal processing in an MR-dependent manner, during a 437 combined trace and delay fear conditioning paradiam (102, 103). 438

Finally, under such experimental settings, it has been shown that GCs interfere with 439 440 emotional processing. Thus (i) 10 mg of hydrocortisone reduces amygdala responsivity to 441 emotional faces 75 and 285 minutes after their per os administration, while slowly strengthening the functional coupling between amygdala and medial prefrontal cortex, 442 leading to a normalised response to the negative emotional stimuli (104), and (ii) 10 mg 443 of hydrocortisone modulates the impact of emotional distraction of attentive processing 444 445 in a time-specific manner; 60 minutes after per os administration, there is increased emotional interference (associated with reduced amygdala inhibition to aversive words 446 and enhanced amygdala connectivity with fronto-parietal brain regions), but later on 447 (270 minutes after their per os administration) decreased overall activity in the cuneus, 448

possibly indicating reduced bottom-up attentional processing, and disrupted amygdalaconnectivity to the insula, potentially reducing emotional interference (105).

Given these findings on GC involvement in memory, emotional processing and 451 stress-related neural processing, it is of no surprise that one of the most well-described 452 effects of GCs on the human brain (supported by integrative research, combining 453 454 preclinical experimentation and clinical studies) involves the modulation of the 455 mnemonic processing of emotionally arousing experiences (106). In the context of a stress response, GCs enhance memory consolidation and impair memory retrieval. This 456 phenomenon is associated with a shift from a hippocampus- controlled to a dorsal 457 458 striatum-controlled cognitive processing. This shift requires the involvement of the 459 amygdala, and GCs enhance in a rapid, GR-dependent manner the noradrenalineinduced rise in intraneuronal cAMP levels in the basolateral amygdala, which upregulate 460 the protein kinase A-dependent downstream pathways, involving among others the 461 endocannabinoid system (107). 462

GCs clearly exert important effects over the human brain function, as anticipated by the strong preclinical evidence presented before. But is their rhythmicity so important from a clinical point of view as well?

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467 Observational studies on the relationship between GC dysrhythmicity and brain 468 pathology

The most obvious sources of GC dysrhythmicity are conditions directly impacting GC biosynthesis; either adrenal insufficiency (for instance Addison's disease, AD, or congenital adrenal hyperplasia, CAH), leading to hypocortisolism, or Cushing's syndrome, leading to hypercortisolism. In the former cases, GCs are replaced orally, in a

manner that doesn't replicate neither the circadian nor the ultradian rhythm of the 473 hormone (3). Cushing's disease has been correlated with brain atrophy, memory 474 impairment, and depression, while correction of hypercortisolism (but not the optimal 475 daily GC rhythm), though attenuating brain atrophy, does not successfully reverse 476 cognitive deficits (108, 109). In relation to these results, a recent study highlighted the 477 478 presence of functional alterations in emotional processing of amygdala and 479 hippocampus in adolescents with chronic endogenous hypercortisolemia due to Cushing's disease, that are not associated with affective or memory symptoms (110). On 480 the other hand, hypocortisolism also exerts damaging effects centered on the 481 482 corticolimbic areas of the brain, and age seems to be reversely associated with the degree of brains' susceptibility to absence of GCs; there is some evidence that CAH is 483 correlated with decreased growth, development and dysregulated function of the 484 amygdala (111, 112), disrupted white matter integrity (113), bilateral periventricular white 485 matter hyperintensities and cortico-subcortical atrophy (114, 115), as well as cognitive 486 deficits (116, 117). 487

Brain pathology however that is totally separate from the circuits regulating HPA activity, can also lead to GC dysrhythmicity. In a study of stroke patients with right-sided infarction (118), researchers observed an altered tonic and phasic cortisol secretion and a damaged stress response compared to stroke patients with left-sided infarction or healthy age-matched controls.

Where things become more complicated are in various neuropsychiatric disorders, where it is difficult to establish whether GC dysrhythmicity has a causal relationship with the neuropathological sequel or whether it is the result of the neuropathological process. In such cases, a vicious cycle develops between these two variables. Such conditions include patients with Alzheimer's disease, Parkinson's disease
and post-traumatic stress disorder, which show disruptions in the circadian and ultradian
GC rhythmicity (26, 119, 120), subgroups of patients with major depression, fibromyalgia
and chronic fatigue syndrome, with the HPA being overactive in the former (121) and
malfunctioning in the two latter cases (122, 123, 124). Very recently, Vargas et al. (125)
proposed that a disrupted ultradian cortisol rhythm could be a potential neurobiological
substrate for chronic insomnia.

In addition to these endogenous perturbations the most frequent clinical causes of GC dysrhythmicity is the exogenous, systemic administration of synthetic GCs. These interfere with GC signaling cascades, as well as disrupt both the physiological feedforward-feedback interplay between adrenal glands and pituitary, which gives rise to the ultradian rhythm, and the negative feedback effect of natural GCs on the hypothalamus, which modulates the circadian properties of the hormonal rhythm. Are GC-based therapies then linked to neuropsychiatric symptomatology?

511

512 <u>Is there a relationship between GC-based therapeutics and neuropsychiatric</u> 513 symptomatology?

The prolonged use of GC-based regimes and/or their administration in high doses is accompanied by numerous adverse effects, including neuropsychiatric (126). The list of symptoms spans almost every kind of cognitive or emotional disturbance: memory impairments (declarative memory, working memory and explicit memory), agitation, anxiety, fear, hypomania, irritability, lethargy, mood lability, psychosis. Individuals who develop psychiatric manifestations on short courses of GCs most commonly report 520 euphoria, while those on long-term therapy tend to develop depressive symptoms. The
521 timing of GC administration has been strongly linked to sleep disturbances as well.

The most striking finding, however, is the poor clinical outcome in the simplest therapeutic 522 situation when GCs are prescribed as replacement therapy in primary adrenocortical 523 524 insufficiency (127), even when the daily amount of GCs administered does not differ from 525 that produced by the human body under physiological conditions. In 2002, Løvås K et al. 526 (128) reported that Addisonian patients receiving substitution therapy (cortisone acetate and fludrocortisone) had reduced general health perception and vitality, and increased 527 fatigue, as assessed by psychological self-evaluation scales (Short Form 36 and the 528 529 Fatigue questionnaires). Recent studies, over a decade later, confirmed these 530 observations, that health-related quality of life is significantly impaired in Addisonian patients compared with the age-matched, and gender-matched general population, 531 despite the proper use of the recommended oral hydrocortisone doses (129, 130). The 532 mental fatigue, accounting for a significant portion of these patient's poorer quality of 533 life, is characterized by higher prevalence of mood disorders (mainly depression), 534 535 memory impairment and sleep disorders (131). Therefore, the fact that restoration of the 536 physiological GC levels might not be sufficient for them to exert their normal neurobiological effects, provides support for the idea that the pattern of GC rhythmicity 537 may be crucial even for basic mood regulation. 538

539

540 What do GC replacement therapies tell us about the significance of GC rhythmicity for
541 the human brain?

542 Current protocols on GC replacement in states of adrenal insufficiency recommend the 543 oral administration of hydrocortisone 2-3 times daily (or longer acting synthetic

prednisolone once daily in the morning), with the morning dose being at least 50% of the 544 545 total daily GC dose. Such a pattern of GC administration cannot replicate neither the circadian nor the ultradian rhythm of the hormone. For example, the natural circadian 546 peak of GCs in human anticipates the need for morning activities by commencing 547 secretion several hours prior the morning awaking whereas the morning dose of oral 548 549 replacement therapy (which is responsible of creating the diurnal peak in patients with 550 adrenal insufficiency) is taken post-awaking resulting in a hormonal peak about one hour later. Furthermore, 3 doses of oral GC replacement create a form of hormonal ultradian 551 rhythm characterised by a much smaller number of daily pulses, with a much longer 552 553 duration and inter-pulse intervals than normally present. This raises the question whether 554 an improvement in the pharmacological replication of the circadian and ultradian rhythm of GC substitution could be also followed by an improvement in clinical markers 555 of brain function in patients with adrenal insufficiency, which would be also a strong 556 indication of the neurobiological significance of GC rhythmicity for the human brain 557 physiology. 558

559 Five clinical studies and three case reports have been published over the last 560 decade, comparing the administration of hydrocortisone by continuous smooth subcutaneous infusion mimicking the diurnal but not the ultradian pattern of plasma 561 cortisol (SCHI), with currently considered optimal oral therapy (OT) (132) in patients with 562 AD or CAH. The main focus of these studies was markers on the endocrine and metabolic 563 564 state of the patients (133) together with other questions related to personalised medicine (134, 135, 136). Compared to OT, the SCHI was found to improve the self-perceived 565 mood, feelings of fatigue, vitality and physical function in Addisonian patients, while not 566 affecting subjective or objective measures of sleep behaviour at 12 weeks (137). These 567

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favorable effects developed over a period of many weeks both in this and a similar concurrent clinical trial (138). A similar, favorable effect in markers of fatigue, mood and vitality has been observed in SCHI over OT in CAH patients at 6 months (139), which were maintained at 18 months (140).

More direct evidence on the neurobiological importance of GC rhythmicity for 572 573 the human brain has been published recently. We created a human model of adrenal 574 insufficiency by pharmacologically blocking GC biosynthesis (oral administration of metyrapone 3 times daily) and replacing the hormone in three different modes; using 575 either (i) oral treatment (OT), (ii) constant subcutaneous infusion (SCHI), or (iii) a novel, 576 577 subcutaneous, pump-based method, delivering different size pulses of hydrocortisone 578 every 3 hours, that reproduced both the natural circadian and ultradian patterns of cortisol. We then examined the neurocognitive effects of these different GC rhythms 579 using functional neuroimaging techniques and a set of cognitive and behavioural tests, 580 markers of sleep behaviour, working memory and emotional processing, in a randomised, 581 double-blind, placebo-controlled, crossover study (141). We were able to demonstrate 582 583 that non-pulsatile GC exposure (i.e. SCHI) correlates with poorer quality of sleep and that 584 both SCHI and OT were associated with poorer working memory performance under increased cognitive demands. Moreover, we were able to illustrate that different 585 patterns of plasma GC oscillations have a differential impact on the participation and 586 functional connectivity of brain regions underlying emotional processing (amygdala, 587 588 dorsal striatum, insula, orbitofrontal cortex) affecting attentional bias to and recognition accuracy of emotional cues (142). These data support the notion that changes in GC 589 rhythmicity can modulate the neural dynamics regulating mood and anxiety in man 590 (Figure 3). 591

- Future studies should systematically explore the clinical utility of manipulating 592 features of GC rhythmicity both to improve personalized treatment strategies and 593 neuropsychiatric disease subclassification. We believe a better chronobiological 594 approach to GC therapeutics is urgently needed. 595 596 597 **Declaration of interest** 598 The authors declare no conflict of interest. 599 600 Funding 601 602 The study on glucocorticoids has been supported by Research Grants from Wellcome 603 Trust (Grant No 089647/Z/09/Z) and Medical Research Council (DCS Grant No 604 MR/J0125481/1). 605 606 607 References 608 609 1. Hill MR, Szefler SJ, Ball BD, Bartoszek M & Brenner AM. Monitoring glucocorticoid therapy: A pharmacokinetic approach. Clinical Pharmacology and Therapeutics 1990 610 **48** 390-398. 611 Rhen T & Cidlowski JA. Antiinflammatory action of glucocorticoids - new 612 2. 613 mechanisms for old drugs. New England Journal of Medicine 2005 353 1711-1723. 614 3. Crown A & Lightman S. Why is the management of glucocorticoid deficiency still controversial: a review of the literature. Clinical Endocrinology 2005 63 483-492. 615
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1065 Legend to Figure 1

1066 Regulation of glucocorticoid (GC) circadian and ultradian oscillations. In hypothalamus, 1067 the suprachiasmatic nucleus regulates the circadian changes in secretion of the 1068 corticotropin-releasing hormone (CRH) from the neighboring paraventricular nucleus. 1069 This in turn provides the diurnal pattern of activation of the pituitary corticotropes (green 1070 arrow) which secrete corticotropin (ACTH) into the circulation and thence the adrenal 1071 cortex where it initiates a feedforward activation of GC biosynthesis (green arrow).This

necessity for de novo GC biosynthesis (which cannot be stored in vesicles due to its 1072 1073 lipophilic nature) results in a built-in delay before the metabolic product can be released, and feedback at the level of the pituitary to suppress ACTH (red arrow). Mathematical 1074 biomodelling suggests that such a positive feedforward - negative feedback system with 1075 built-in delays leads to a self-sustaining oscillatory activity and is the basis for ultradian GC 1076 1077 pulsatility. Changes in hypothalamic drive can superimpose on this rhythm, by modifying 1078 the amplitude and magnitude of each ACTH pulse, and thus establishing the well recognised diurnal rhythm. This is itself modified by feedback inhibition from the 1079 circulating levels of GCs (red arrow). The adrenal cortex itself has a local clock 1080 1081 mechanism that also contributes to circadian variation by altering adrenal sensitivity to 1082 ACTH stimulation across the circadian cycle. The activity of corticolimbic brain regions (in response to external cues or internal states), brainstem (responding to inflammatory 1083 stimuli or pain) as well as other peripheral stimuli (for instance inflammatory cues or 1084 stressors) may affect the downstream pathways either controlling the secretion of CRH or 1085 the tissues' sensitivity to the ACTH or GC stimulation. 1086

1087

1088 Legend to Figure 2

The complex rhythm of glucocorticoid (GC) synthesis has major neurobiological significance. The GC diurnal peak promotes stimuli-driven, postsynaptic dendritic spine formation in the cerebral cortex, facilitating the learning process. At the same time, GC circadian troughs are required for stabilizing these newly formed spines, and thus achieving long-term memory retention. Loss of the diurnal variation in GC levels eliminates learning-associated new spines and disrupts previously acquired memories. Other examples on the significance of the circadian GC rhythm include the time-of-day-

dependent analgesic effect of morphine (due to the GC-dependent circadian 1096 1097 variability in the expression of μ -opioid receptors in the brainstem) and the association of changes in GC diurnal variation in humans with self-perceived positive and negative 1098 affect. Ultradian GC pulsatility also has neurobiological consequences. Each pulse is 1099 translated into a GC receptor - DNA binding event (left bottom yellow frame, dark blue 1100 1101 oscillations), subsequently translated into a pulsatile biosynthesis of hnRNA (left bottom 1102 yellow frame, blue oscillations), which regulates mature transcript of GC-sensitive genes (left bottom yellow frame, light blue curve). Furthermore, the frequency of GC pulses 1103 differentially regulates processes crucial for synaptic plasticity, including release of 1104 1105 glutamate from presynaptic terminals and glutamate receptor trafficking of postsynaptic 1106 neurons. Finally, GC pulsatility enables the rapid alternation between periods with reduced and periods with increased responsivity to stressful insults across the day. 1107

1108

1109 Legend to Figure 3

The importance of glucocorticoid (GC) pulsatility for the human brain. Comparing 1110 1111 circadian patterns of cortisol infused in physiological pulses (PT) with the same dose of 1112 circadian cortisol infused as a smooth infusion (non-pulsatile infusion, NPT), brain function was investigated by neuroimaging and psychological measures, focusing on three 1113 domains: sleep behaviour, working memory and, primarily, emotional processing. 1114 Subjects on the NPT experienced poorer quality of sleep and working memory 1115 1116 performance compared to the PT arm of the study. Moreover, subjects on PT preferentially engaged with positively valenced facial expressions and showed a 1117 reduced accuracy in correctly discriminating between negatively valenced human 1118 faces (i.e. increased ambiguity in perceiving negative emotional stimuli), a response 1119

similar to that seen in healthy subjects and depressed patients receiving antidepressants. 1120 1121 The between-treatment group changes in emotional ambiguity were linked to changes in the underlying role and functional connectivity among corticolimbic regions, 1122 mediating emotional processing. While in PT the functional connectivity between 1123 amygdala and insula, and striatum and insula, during encoding of emotional cues is 1124 1125 strong, and the intensity of the neural processing in all these structures (especially for the 1126 amygdala) is associated with the degree of uncertainty in discriminating between emotional valences, this association is lost in NPT, combined with a reduction in the 1127 functional connectivity between amygdala and insula. Collectively, these data support 1128 1129 the notion that GC pulsatility may facilitate the optimal functioning of neural mechanisms underlying emotional processing, and perhaps a protective mechanism against 1130 susceptibility to depression. 1131





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