



## Biotic predictors complement models of bat and bird responses to climate and tree diversity in European forests

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1 **Research**

2 **Biotic predictors complement models of bat and bird responses to climate and tree**  
3 **diversity in European forests**

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23 **Running head:** Biotic drivers of bat and bird diversity

24 **Keywords:** defoliating insects, earthworms, functional diversity, spiders, trophic interactions, ungulate browsing

25

26

27 **(Abstract)**

28 Bats and birds are key providers of ecosystem services in forests. How climate and habitat  
29 jointly shape their communities is well studied, but whether biotic predictors from other  
30 trophic levels may improve bird and bat diversity models is less known, especially across  
31 large bioclimatic gradients. Here, we achieved multi-taxa surveys in 209 mature forests  
32 replicated in six European countries from Spain to Finland, to investigate the importance of  
33 biotic predictors (i.e., the abundance or activity of defoliating insects, spiders, earthworms  
34 and wild ungulates) for bat and bird taxonomic and functional diversity. We found that 9 out  
35 of 12 bird and bat diversity metrics were best explained when biotic factors were added to  
36 models including climate and habitat variables, with a mean gain in explained variance of  
37 38% for birds and 15% for bats. Tree functional diversity was the most important habitat  
38 predictor for birds, while bats responded more to understorey structure. The best biotic  
39 predictors for birds were spider abundance and defoliating insect activity, while only bat  
40 functional evenness responded positively to insect herbivory. Accounting for potential biotic  
41 interactions between bats, birds and other taxa of lower trophic levels will help to understand  
42 how environmental changes along large biogeographical gradients affect higher-level predator  
43 diversity in forest ecosystems.

## 44 **1. Introduction**

45 Biodiversity is a key driver of many ecosystem functions and services [1,2], particularly  
46 through the maintenance of functional trait diversity [3]. Despite the long history of studies  
47 examining the local, regional and global drivers of biodiversity, it remains challenging to  
48 disentangle the relative importance of climate, habitat and biotic factors [4-6]. An increasing  
49 number of studies is questioning the role of multiple biotic interactions across various trophic  
50 levels in shaping ecological communities. However, they usually focus only on local scales,  
51 while the influence of these interactions on biodiversity across larger geographical extents has  
52 rarely been explored [7-9]. Thus, incorporating multispecies interactions in biodiversity  
53 response models is still challenging, although it would improve our understanding of large-  
54 scale biodiversity patterns as compared to classical studies focusing on single taxa [7-11]. The  
55 benefits of considering multi-taxa interactions may be particularly useful for species at higher  
56 trophic levels such as birds and bats, which are affected by both direct effects of climate and  
57 habitat changes, and their cascading effects across trophic levels [9,12,13].

58 Importantly, the consequences of changes in bird and bat communities for ecosystem  
59 functioning cannot be fully understood by focusing only on changes in taxonomic diversity  
60 [14-16]. The use of functional traits not only allows monitoring changes in biodiversity  
61 response to land use changes [14], but also to clarify the respective importance of multiple  
62 assembly processes in shaping species communities along large environmental gradients, e.g.,  
63 abiotic filtering, competition or facilitation [15,17,18]. For example, functional diversity can  
64 increase at opposite ends of resource availability gradients, depending on whether the traits  
65 involved are more related to abiotic filtering or to competitive interactions [17]. Similarly,  
66 higher functional dispersion at low productivity levels suggests increased competitive  
67 exclusion with the loss of functionally redundant species [15].

68 Taxonomic and functional diversity also tend to show distinct responses to global change  
69 [19]. Functional trait diversity and composition, rather than species richness, are often the  
70 most important biodiversity-related drivers of ecosystem functioning [12,16]. The number and  
71 diversity of species with any particular functional traits in a given community has direct  
72 effects on ecosystem-level processes. Functional diversity is therefore an indicator of resource  
73 use complementarity and community responses to disturbance [20,21]. A more efficient  
74 resource use by species in a given ecosystem can be inferred from higher functional evenness,  
75 while strong niche differentiation and low resource competition within species assemblages  
76 lead to higher functional dispersion [21-24]. Functional traits related to habitat and resource  
77 use are particularly efficient at accounting for changes in ecosystem-level processes such as  
78 productivity or trophic interactions. While body mass is a relevant surrogate for bird  
79 responses to environmental changes [25], the trophic niche of bird and bat species allows  
80 predicting their responses to local habitat changes as well as energy input and food  
81 availability along large biogeographical gradients [4,5,26,27].

82 Here, we hypothesize that biotic drivers (i.e., abundance and activity of taxa from lower  
83 trophic levels) can complement response models of bird and bat diversity, along a continental-  
84 scale gradient (figure 1 and table 1). We first tested if (i) including a set of biotic predictors  
85 contribute to explain patterns of bird and bat taxonomic and functional diversity, once  
86 accounted for climate (temperature, heat load index and precipitations) and habitat variables  
87 (forest composition and structure). We further hypothesized (see table 1) that (ii) insect and  
88 spider abundance would positively affect bird and bat abundance and diversity, as key food  
89 resources; (iii) earthworm abundance would increase bird and bat diversity either directly or  
90 by improving forest soil structure and favouring high soil arthropod abundance; (iv) ungulate  
91 browsing would negatively affect bird and bat diversity, by reducing food resources and  
92 foraging niches provided by understorey cover; and (v) bird and bat abundance would be

93 positively correlated in mature forest habitats across Europe, as they partly respond to the  
94 same biotic drivers (i.e., food resources) at such a large scale.  
95 For each biotic predictor, we tested specific hypotheses for the positive, negative or null  
96 response of each bird and bat diversity metrics, according to the literature (table 1). We  
97 expected an increase in all bird and bat community metrics, including mean body mass, with  
98 higher insect herbivore activity due to increased food availability [24-33]; an increase in bird  
99 taxonomic and functional diversity with spider abundance, but not in body mass [34-36]; an  
100 increase in bat abundance and functional diversity with spider abundance (increased  
101 specialized food resources), but neither in species diversity nor body mass [37]; an increase in  
102 bird abundance, functional richness and body mass with earthworm abundance as increased  
103 resources for large bird specialists [38,39]; no effect of earthworm abundance on bats except  
104 on overall bat activity [29]; a decrease on both bat and bird functional diversity and body  
105 mass with ungulate browsing due to reduction in understorey cover and changes in shrub  
106 composition, but no effect on abundance nor species diversity [40-42].

107

## 108 **2. Material and methods**

### 109 **(a) Study sites**

110 A network of 209 mature forest plots was established in 2011 across a latitudinal gradient in  
111 Europe ranging from 40°N to 63°N [28], in the framework of the FP7-FunDiv EUROPE  
112 project ([www.fundiveurope.eu](http://www.fundiveurope.eu)). The network covered six regions within Mediterranean,  
113 temperate and boreal forest biomes (Spain, Italy, Germany, Romania, Poland and Finland; see  
114 figure 2). The aim of this exploratory platform was to quantify the effects of tree species  
115 richness on multiple forest ecosystem functions. Within each region, plots were selected along  
116 a gradient of tree species richness ranging from one to five tree species per plot. Each region  
117 had a pool of three to five target tree species (for a total of 16 target species across Europe)

118 that are regionally common and economically important. Each sampled forest plot covered an  
119 area of 900 m<sup>2</sup> and was surrounded by a 20 m buffer to avoid edge effects. To maximize their  
120 comparability, all plots within a country had similar ages, management and abiotic conditions  
121 [43].

122

### 123 **(b) Bird and bat sampling**

124 We surveyed breeding bird communities using standardized point counts performed by  
125 trained observers within a limited distance of 80 m around the observer in April-June 2012  
126 (Italy, Germany and Finland) and April-June 2013 (Spain, Romania and Poland). We  
127 recorded all birds, except flyovers, which were heard or seen in 15 minutes, during the first  
128 four hours after sunrise on days without strong wind, snow or rain. We carefully mapped the  
129 location of every recorded individual bird on circular plot fieldsheets to avoid double  
130 counting the same individuals. The total number of bird individuals recorded per species in  
131 each plot was used as an estimate of bird species abundance. Species detectability was  
132 considered to be comparable across the six regions because of similar age and structure in all  
133 sampled forest habitat types [43].

134 Bat communities were sampled by passive acoustic monitoring in April-June 2012 (Italy,  
135 Germany and Finland) and May-July 2013 (Spain, Romania and Poland) with an automatic  
136 ultrasound recorder (Sound Meter SM2BAT, Wildlife Acoustics) located at the center of each  
137 plot. Recorders were calibrated to record all bat calls from one hour before sunset to one hour  
138 after sunrise, during one night per plot. Recordings were performed only when the ambient  
139 temperature was > 10°C, when there was no rain and wind speed was < 30 km.h<sup>-1</sup>. Bat  
140 echolocation calls were identified to species level by a trained operator using dedicated  
141 softwares [44]. Several groups of closely-related species difficult to separate based on their  
142 calls were merged for data analyses when co-occurring in some countries: *Myotis mystacinus*

143 / *alcaethoe brandtii*; *Myotis myotis blythii*; *Plecotus auritus austriacus*; and *Pipistrellus*  
144 *kuhlii nathusii*. Bat activity was calculated as the total number of five second sequences with  
145 two or more calls per species, as a proxy for species abundance [45].

146

### 147 **(c) Bird and bat taxonomic and functional diversity**

148 We recorded a total of 76 bird species in the six regions after excluding raptors and flyovers,  
149 and a total of 27 bat species, i.e., 72% and 79% of the total pool of forest-dwelling bird and  
150 bat species in Europe, respectively [44]. We first calculated the Shannon index of taxonomic  
151 species diversity per forest plot. Then, we performed rarefaction and extrapolation curves for  
152 Shannon species diversity using the Hill number of order 1, to quantify species diversity  
153 patterns that were independent from total community abundance across the 6 studied regions  
154 (electronic supplementary material S1). Furthermore, we compiled 10 species life traits  
155 related to habitat and resource use to compute functional diversity metrics for both birds and  
156 bats (electronic supplementary material S2) [24,44]. For birds, these 10 traits were foraging  
157 guild, adult diet, nest site location, migration strategy, mean laying date, home range size,  
158 clutch size, body mass, species habitat specialization (SSI) and species thermal index (STI, in  
159 °C) [19,46]. For bats, the 10 traits were selected to be as similar as possible to those used for  
160 birds, i.e., foraging guild, diet specialization, nursery site, migration strategy, mean birth date,  
161 home range size, female fecundity, body mass, STI and SSI. The latter four traits were  
162 continuous for both taxa, while all others were categorical (electronic supplementary material  
163 S2). Bird and bat STIs were calculated from European distribution maps as the average  
164 temperature experienced by a species across its geographical range during the breeding season  
165 (see electronic supplementary material S3). Bird and bat SSIs were calculated as the  
166 coefficient of variation of species abundance across all habitats in the European breeding bird



167 survey [47], and in an independent data set provided by the French national bat monitoring  
 168 scheme [45], respectively (see electronic supplementary material S4).

169 Based on the functional traits, we computed abundance-weighted functional diversity metrics,  
 170 using log-transformed bat species activity as a measure of bat abundance per plot, and the  
 171 number of bird individuals recorded as a measure of bird abundance per plot. We calculated  
 172 functional richness (FRic), functional evenness (FEve) and functional entropy (Rao's  $Q$ ) as  
 173 three complementary measures of the multivariate functional trait space using the 'FD' R-  
 174 package [48]. FRic measures the convex hull volume of the functional trait space, while FEve  
 175 measures the regularity of trait abundance distribution within this functional space, and Rao's  
 176  $Q$  the dispersion of species in functional trait space [21,48]. We further performed null  
 177 models to quantify functional diversity metrics corrected for species richness levels in order  
 178 to disentangle the drivers of trait diversity *per se*, independent from those of taxonomic  
 179 diversity. To this end, we reshuffled trait sets among species (i.e., by random permutations of  
 180 the rows of the species-trait table) without replacement. We then recalculated FD metrics for  
 181 artificial communities that were equally species-rich as the observed communities and with  
 182 the same species compositions, but with random sets of traits. We repeated this procedure  
 183 1000 times, and calculated the standardized deviation of FD ( $FD_{dev}$ ) values as:

$$184 \quad FD_{dev} = \frac{FD_{obs} - \overline{FD_{exp}}}{sd(FD_{exp})} ,$$

185 where  $FD_{obs}$  is the observed FD value,  $\overline{FD_{exp}}$  is the average of the 1000 randomized (i.e.  
 186 expected) FD values and  $sd(FD_{exp})$  is the standard deviation of the 1000 randomized (i.e.  
 187 expected) FD values. Thus,  $FD_{dev}$  is independent from species richness, and if values are  
 188 greater than 0, observed FD value was higher than expected based on the taxonomical species  
 189 richness, whereas values below 0 indicate the opposite (see electronic supplementary material  
 190 S5).

191

192 **(d) Climate, habitat and biotic predictors**

193 Using the WorldClim database (<http://www.worldclim.org/>) and the geographical coordinates  
194 of plots, we derived climatic variables for each forest plot (i.e., mean annual temperature and  
195 precipitations at a 30 s resolution) and calculated a unitless heat load index based on  
196 equations correcting for aspect [49]. According to preliminary analyses [44], the variation of  
197 forest habitat structure and composition across all sampled plots could be summarized by a  
198 limited number of vegetation attributes, including deciduous tree proportion, tree functional  
199 diversity (Rao's  $Q$ ), understorey species richness and vertical stratification index. In addition,  
200 we selected other key taxa sampled in the same plots to build the set of biotic predictors (see  
201 detailed hypotheses in table 1 and sampling methods in electronic supplementary material  
202 S6). We sampled spider abundance and monitored insect herbivory as a proxy for defoliating  
203 insect abundance, to assess overall availability of bird and bat preferred prey in tree canopies,  
204 i.e., caterpillars, moths and spiders [28-32]. We further sampled earthworm abundance as  
205 food resources for some specialist ground-probing birds, as well as ecosystem engineers  
206 having potential bottom-up effects on both bat and bird communities [38,39]. Finally,  
207 ungulate browsing was also quantified, as it can negatively affect both forest birds and bats,  
208 whose foraging habitat and behaviour might be affected by any browsing-induced changes in  
209 understorey density [40,41].

210

211 **(e) Data analysis**

212 The importance of biotic drivers for bird and bat diversity metrics was evaluated using a  
213 hierarchical model fitting framework, comparing a set of competing models with an  
214 information-theoretic approach (AIC-based model selection). For each of the 12 metrics  
215 tested (bat and bird abundance, species diversity, three indices of functional diversity and  
216 mean body mass; see table 2), we increased model complexity in three steps (figure 1) by

217 successively including (i) three climate predictors (mean annual temperature, mean annual  
218 precipitation and heat load index); (ii) four habitat predictors (deciduous tree proportion,  
219 forest stratification index, understorey species richness, tree functional diversity) and (iii) four  
220 biotic predictors from multiple trophic levels (defoliating insects, spiders, earthworms and  
221 ungulate browsing). At each step, the best set of predictors (those in the model with the lowest  
222 AIC value) was selected before the predictors of the next step were added to the model. We  
223 checked for the absence of multicollinearity among climate, habitat and biotic variables using  
224 VIF correlation diagnostic tests to exclude potentially collinear predictors. We selected  
225 significant predictors at each modelling step using the function ‘drop1’ in ‘lme4’ R-package,  
226 which allows for a comparison of models based on AIC weights [50]. We performed a  $\chi^2$  test  
227 on  $\Delta AICc$  to test for significant decrease in AICc when a given set of predictors was included  
228 (see table 2). We did not include interaction terms to avoid model inflation and fitted Linear  
229 Mixed Models (LMMs) for all 12 bird and bat diversity metrics, except for total bird  
230 abundance, which was fitted with a Generalized Linear Mixed Model (GLMM) with a  
231 Poisson error distribution and a log-link function. We used a logit transformation for FEve  
232 because it is constrained between 0 and 1 [48].

233 To account for pseudoreplication due to spatial autocorrelation and clustering of forest plots  
234 per region, region identity was added as a random factor to the models. We further controlled  
235 for differences in common target tree species identity across regions with an additional  
236 random effect for target species composition, since not all tree combinations occur in all  
237 regions [43]. Bat activity was log-transformed before modelling, and all model predictors  
238 were scaled and centred to allow a comparison of their relative effects on bird and bat  
239 community metrics. We assessed model performance by reporting marginal (for fixed effects)  
240 and conditional (for both fixed and random effects)  $R^2$  at each modelling step [51]. We also  
241 tested for the direction of individual effects by modelling univariate relationships between

242 biotic factors and bird and bat community metrics using a set of LMMs with the same random  
243 effect structure as above. We systematically checked all model residuals for normality and  
244 homoscedasticity in LMMs and overdispersion in GLMMs.

245

### 246 **3. Results**

247 Including biotic predictors significantly improved 9 out of 12 final models of bird and bat  
248 community metrics compared to those only including climate and/or habitat (table 2). The  
249 mean gain in variance explained by fixed effects ( $R^2_m$ ) when biotic factors were added to  
250 fixed climate and habitat predictors was 38.0% for birds and 15.4% for bats (range 14.4-  
251 71.8% for birds and 7.2-32.7% for bats). Tree functional diversity was more influential for  
252 bird abundance and species diversity while bat diversity responded more to understorey  
253 structure (table 2).

254 All bird community metrics except functional evenness were positively correlated to either  
255 spider abundance or insect herbivory (see results from univariate LMMs in table 3 and figure  
256 3a). Bird abundance also significantly increased with earthworm abundance (table 3). In  
257 contrast, only bat functional evenness increased with insect herbivory (figure 3b). However,  
258 bat mean body mass significantly increased with ungulate browsing, while earthworm  
259 abundance had a positive effect on bat species diversity and a negative effect on bat body  
260 mass (table 3). Moreover, bird functional richness and mean body mass, but not bird  
261 abundance, were positively correlated with bat activity (LMMs with  $t = 1.96$ ,  $P < 0.05$ ;  $t =$   
262  $2.30$ ,  $P < 0.02$  respectively).

263 The results of the null model analysis for functional metrics (FRic, FEve, Rao's Q and CWM  
264 body mass) are presented in electronic supplementary material S5. We found consistencies in  
265 model predictor selection for bird Rao's Q, bat FEve, and bird and bat mean body mass (see

266 table S5). In contrast, bird and bat simulated FRic differed in model selection from the  
267 observed values, as well as bird FEve and bat Rao's Q (table S5).

268

#### 269 **4. Discussion**

270 In the present study, we confirm the importance of different trophic groups, especially  
271 arthropod prey such as spiders and defoliating insects, as important determinants for forest  
272 bird and bat communities along crossed bioclimatic and habitat gradients. Our results are thus  
273 in accordance with several recent studies pointing out that local abundance of bird and bat  
274 foraging guilds were best predicted when accounting for interactions between vegetation  
275 structure and actual prey abundance [9,26,27,52]. We also found a positive relationship  
276 between bat activity and bird functional richness, rather than taxonomic diversity, across  
277 European forests. This suggests that a large range of bird functional types are able to coexist  
278 in forests with high levels of bat foraging activity, likely linked to higher food availability  
279 [42,53].

280 While several metrics of bird and, to a lesser extent, of bat functional diversity were related to  
281 high abundances of lower trophic levels, some of these relationships were driven by  
282 taxonomic diversity patterns, rather than by trait composition or diversity *per se*. This was  
283 supported by the use of null models, in which we calculated the deviation of observed  
284 functional diversity patterns from simulated communities that differed in their trait  
285 composition, but not in their taxonomic composition, from observed communities. In  
286 particular, the significant predictors in the response models based on simulated values for bird  
287 and bat functional richness differed from the ones based on observed values, since functional  
288 richness is generally correlated to taxonomic richness [21]. On the other hand, we found  
289 consistencies in the selection of biotic predictors in observed and simulated models for 4

290 functional diversity metrics, namely bird functional entropy, bat functional evenness and bird  
291 and bat mean body mass.

292 In the present work, spider, defoliating insect and earthworm abundances were positively  
293 correlated with either bird abundance or functional diversity, as expected from our initial  
294 hypotheses. This is consistent with the recognized importance of defoliating caterpillars,  
295 spiders and earthworms as preferred food items for forest birds [28-31,34-38]. Defoliating  
296 Lepidoptera larvae are key prey items for forest birds during the breeding season, which  
297 usually matches the peak in caterpillar abundance [25,31]. Caterpillars and moths are also  
298 major food resources for forest bats in temperate forests [26,29,30,32]. However, contrary to  
299 expectations, we found that insect herbivory was not affecting all bird and bat community  
300 metrics equally. For bats, only functional evenness responded positively to herbivory, as  
301 expected [44], while it was not the case for birds, although we expected the strongest response  
302 to insect abundance for this particular metric generally indicating an efficient resource use by  
303 the predator community [21,24]. Spider abundance had a widespread positive effect on bird  
304 taxonomic and functional diversity, as well as mean body mass, while it had little effects on  
305 bats, since only specialist gleaning bats feed on spiders [29,34-37].

306 In contrast with our initial hypotheses, earthworm abundance had no effect on bird functional  
307 richness or body mass, but as expected, earthworm abundance correlated positively with bird  
308 abundance [38]. Earthworms had also additional effects on bat species diversity and body  
309 mass, possibly through cascading effects across trophic levels from forest soils to these  
310 higher-level predators. The relationships of bird and bat diversity with taxa from other trophic  
311 levels can thus partly be explained by foraging niches and diet specialization of particular  
312 species or genera. Large ground foraging birds such as thrushes (*Turdus* spp) and waders  
313 (woodcock *Scolopax rusticola*, common snipe *Gallinago gallinago* and sandpipers *Tringa*  
314 spp) specialize on earthworms during the breeding season [38], while spiders are preferred

315 prey items for bark-foraging specialists such as treecreepers *Certhia* spp [34]. In contrast,  
316 only a few European forest bats, including some *Myotis* spp, can specialize on arachnids but  
317 bats do not feed directly on earthworms [29,37]. However, earthworms increase soil  
318 biogeochemical heterogeneity and organic matter turnover, so that their activity might lead to  
319 higher insect prey abundance ultimately available for both birds and bats [39].

320 Contrarily to our initial hypotheses, we did not detect any negative effects of wild ungulate  
321 browsing on birds, but browsing intensity was associated with a decrease in the dominance of  
322 small-bodied bat species, as expected. Although these effects were not detectable on birds  
323 along the sampled bioclimatic gradient, this suggests that the negative effect of large  
324 herbivores previously observed on many taxa also extend to smaller-sized forest insectivorous  
325 bats [41]. Such a potentially negative effect of browsing on bats is likely due to indirect  
326 changes in resource quality and availability provided by understorey vegetation rather than a  
327 direct effect of wild ungulate disturbance [40]. However, how precisely bat species respond to  
328 increased ungulate densities in European forests remains to be investigated and should be  
329 highly guild-dependent [42]. Smaller foliage-gleaning specialist bats might be particularly  
330 sensitive to changes in understorey density and associated food resources following increase  
331 in browsing intensity from wild large ungulates, while larger aerial foragers would be  
332 favoured by clearer forest understorey created by increased browsing [26]. Most bats actually  
333 forage in the forest gaps and only few specialists can use multi-layered forests (e.g., *Myotis*  
334 *nattereri* or *M. bechsteinii*) [37], but species such as *M. myotis* also need a low grass layer to  
335 forage on carabid beetles. The observed increase in mean bat body mass with ungulate  
336 browsing might also be an indication for more free space that can be used by larger bat  
337 species in heavily-browsed shrub understoreys.

338 Beyond the direct effects of food resources, these significant biotic factors may thus not  
339 always imply a mechanistic interaction, but can also serve as surrogates for mechanisms

340 underlying diversity patterns in bat and bird communities [6,9,13]. In line with our initial  
341 hypotheses , the abundance and activity of several lower trophic levels were, across  
342 geographical scales, correlated with higher abundances and diversity of birds and bats. On the  
343 other hand, some expected relationships were not supported, or were relatively weak  
344 compared to similar relationships documented at more local scales. We therefore suggest that,  
345 while the effects of abundance and activity of lower trophic levels are often strong enough to  
346 improve models explaining bird and bat diversity at continental scale, in some cases  
347 relationships were weaker or non-significant, meaning that climate and habitat variables were  
348 informative enough to model bat and bird responses at the large spatial extents studied here.  
349 Moreover, the use of multi-trait functional diversity metrics can somewhat obscure the  
350 relationships between individual traits and environmental gradients, which need further  
351 investigation to better infer the exact mechanisms linking the abundance of taxa from distinct  
352 trophic levels in diverse forest ecosystems [17,54].

353 The effects of forest structure on bat communities were mediated by understorey richness and  
354 stratification and appeared largely negative. Bats use more specialized foraging techniques  
355 (i.e., echolocation) than forest birds, which makes them particularly sensitive to understorey  
356 vertical structure [26,52]. Overall, we found that forest composition, especially tree functional  
357 diversity and the proportion of deciduous trees, was more influential for both bird and bat  
358 communities than forest structure. However, the effects of forest composition and structure  
359 were not independent, e.g., an increase in the proportion of deciduous trees will also have an  
360 effect on structure, e.g., canopy architecture. This is consistent with the hypothesis that  
361 increasing forest habitat heterogeneity through higher functional diversity of tree species  
362 should increase the abundance of taxa from higher trophic levels such as insectivorous birds  
363 and bats [4,27,42]. The mechanism behind this positive effect of tree species diversity on bats  
364 and birds is generally related to increased food and roost/nest availability, but defoliating



365 insect activity could be a key factor underlying the effect of tree diversity, at least partly  
366 reflecting overall prey availability for insectivorous vertebrates in mixed forests [30,31,42]. In  
367 addition, the buffering effect of deciduous forests on climate-sensitive, cold-dwelling birds is  
368 more and more acknowledged at both local and macro-scales [46]. Together with a direct  
369 microclimatic buffering during the breeding season, such an effect could also be linked to  
370 more abundant and predictable food resources in deciduous forests compared to conifer trees  
371 for forest-dwelling bats and birds [32,55].

372

### 373 **Conclusions**

374 Biodiversity loss is known to cascade across trophic levels in complex ecosystems, with  
375 declines in some species affecting the abundance and diversity of other, dependent trophic  
376 groups [12,13]. Modelling bat and bird diversity across large biogeographical scales thus  
377 requires taking into account not only climate and habitat variables but also direct and indirect  
378 multitrophic interactions [7-10]. Our findings confirm that we need to consider biodiversity  
379 changes at multiple trophic levels and large spatial scales to predict the future dynamics of  
380 biodiversity conservation and ecosystem functioning under global change [56]. In such a  
381 context, upper trophic levels are at a higher risk of decline, thus questioning the resilience of  
382 ecosystems to global change [13,57]. There is therefore a critical need to better understand  
383 and monitor biotic drivers, especially those involving trophic interactions between bats, birds  
384 and their prey to predict how climate and land use changes might affect the diversity of these  
385 key predators in forest ecosystems.

386

387 Data accessibility. Data available from the Dryad Digital Repository at: <http://dx.doi.org/10.5061/dryad.t48p8c0>  
388 [58]. Data tables for site variables, bird species per sites, bat species per sites and bird and bat species traits.

389

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391 designed the study and M.S.L. coordinated the project. L.B., Ev.A, B.C., Y.C., H.D.W., H.T.M. and M.C.  
392 carried out the field work and Er.A., Ev.A., H.d.W., C.K., H.T.M., A.V., M.C., M.D., P.D.S., H.J., J.K., I.L.V.,  
393 B.M., K.V. and F.v.d.P. contributed to building the dataset. L.B., Er.A., Ev.A., B.C., H.D.W., H.J. and F.v.d.P.  
394 designed the analyses and L.B., F.v.d.P. and B.C. performed the analyses and designed the figures. L.B. led the  
395 writing and all authors contributed to writing and editing the manuscript. All authors gave final approval for  
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397

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399

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408

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559

560 **Table 1.** Main hypotheses tested regarding the role of biotic predictors for bat and bird  
 561 diversity metrics. Based on available data and previous works compiled from literature, we  
 562 focused on the following four biotic predictors: (i) defoliating insect activity measured  
 563 through canopy leaf herbivory rates; (ii) spider abundance sampled by foliage-beating of  
 564 selected trees and shrubs; (iii) earthworm abundance sampled by standard litter and soil  
 565 extraction; and (iv) wild ungulate browsing estimated through biomass removal on  
 566 understory vegetation (see electronic supplementary material S6 for sampling methods).  
 567

	Defoliating insect activity	Spider abundance	Earthworm abundance	Ungulate browsing
<b>Birds</b>				
Abundance	Increase	Increase	Increase	No effect
Species div.	Increase	Increase	No effect	No effect
Funct. rich.	Increase	Increase	Increase	Decrease
Funct. div.	Increase	Increase	No effect	Decrease
Body mass	Increase	No effect	Increase	Decrease
Main references	[24,25,28,31]	[34-36]	[38,39]	[40-42]
<b>Bats</b>				
Abundance	Increase	Increase	Increase	No effect
Species div.	Increase	No effect	No effect	No effect
Funct. rich.	Increase	Increase	No effect	Decrease
Funct. div.	Increase	Increase	No effect	Decrease
Body mass	Increase	No effect	No effect	Decrease
Main references	[29,30,32,33]	[29,37]	[29,33]	[40-42]

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577 **Table 2.** Respective performance of climate-only, climate-habitat and climate-habitat-biotic  
 578 mixed models to predict bird and bat taxonomic and functional community metrics. Best final  
 579 models are indicated in bold.  $R^2m$  = marginal Nakagawa's  $R^2$  for fixed effects;  $R^2c$  =  
 580 conditional Nakagawa's  $R^2$  for both random and fixed effects; FEve and Rao are logit-  
 581 transformed and log-transformed bat activity was used as a proxy for abundance; ns = not  
 582 significant.  $\chi^2$  tests indicates significance level of final model according to  $\Delta AICc$ .  
 583 Significance levels for tests and individual predictors are as follows: \* $P < 0.05$ ; \*\* $P < 0.01$ ;  
 584 \*\*\* $P < 0.001$ . Codes for response variables and predictors as follows: SDI = Shannon  
 585 Diversity Index; FRic = Functional richness; FEve = Functional evenness; Rao = Functional  
 586 entropy; CWM mass = Community Weighted Mean body mass; Temp = Mean annual  
 587 temperature; Precip = Mean annual precipitation; HLI = Heat Load Index; Decid = deciduous  
 588 tree proportion; Undric = understory plant species richness; Stratif = understory  
 589 stratification index; Treerao = tree functional entropy; Brows = ungulate browsing; Earth =  
 590 earthworm abundance; Insect = defoliating insect abundance; Spider = spider abundance.  
 591

	CLI		CLI-HAB		CLI-HAB-BIO		$\chi^2$	Significant predictors		
	$R^2m$	$R^2c$	$R^2m$	$R^2c$	$R^2m$	$R^2c$		Climate	+ Habitat	+ Biotic
<b>Birds</b>										
Abundance	ns	ns	0.024	0.294	<b>0.085</b>	0.344	<b>0.004**</b>	-	+ Treerao**	+ Earth*+ Insect**
SDI	0.024	0.389	0.066	0.397	<b>0.098</b>	0.453	<b>0.04*</b>	+ HLI**	+ Treerao**	+ Insect*+ Spider*
FRic	0.090	0.293	ns	ns	<b>0.121</b>	0.308	<b>0.009**</b>	+ Temp*	-	+ Insect*+ Spider*
FEve	<b>0.083</b>	0.093	ns	ns	ns	ns	ns	- Prec**	-	-
Rao	0.136	0.270	ns	ns	<b>0.205</b>	0.329	<b>0.01*</b>	- Prec**	-	+ Spider*+ Brows*
CWM mass	0.154	0.264	ns	ns	<b>0.180</b>	0.302	<b>0.04*</b>	+ Temp*- Prec*	-	+ Spider*
<b>Bats</b>										
Abundance	ns	ns	0.094	0.283	<b>0.106</b>	0.309	<b>0.04*</b>	-	+ Decid** - Stratif**	+ Spider*
SDI	ns	ns	0.079	0.242	<b>0.088</b>	0.219	<b>0.04*</b>	-	- Stratif***+ Undric*	+ Earth*
FRic	ns	ns	<b>0.036</b>	0.248	ns	ns	ns	-	- Stratif*	-
FEve	ns	ns	0.103	0.366	<b>0.111</b>	0.286	<b>0.02*</b>	-	+ Undric*	+ Insect*
Rao	ns	ns	<b>0.054</b>	0.262	ns	ns	ns	-	- Stratif**	-
CWM mass	ns	ns	0.035	0.153	<b>0.052</b>	0.153	<b>0.05*</b>	-	- Decid*	+ Brows*

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593

594 **Table 3.** Effects of biotic variables on bird and bat community metrics (univariate Linear  
 595 Mixed Models). Significant models are indicated in bold; \*logit-transformation was used for  
 596 response variable; \*\*log-transformed bat activity was used as a proxy for abundance. All  
 597 predictors were scaled and centered before modelling. See table 2 for codes of bird and bat  
 598 diversity metrics.  
 599

Birds	Defoliating insects			Spiders			Earthworms			Ungulates		
	estimates	R <sup>2</sup> m	<i>P</i>	estimates	R <sup>2</sup> m	<i>P</i>	estimates	R <sup>2</sup> m	<i>P</i>	estimates	R <sup>2</sup> m	<i>P</i>
Abundance	<b>0.045</b>	<b>0.025</b>	<b>0.01</b>	0.030	-	ns	<b>0.046</b>	<b>0.025</b>	<b>0.05</b>	-0.0004	-	ns
SDI	0.027	-	ns	<b>0.047</b>	<b>0.022</b>	<b>0.02</b>	0.037	-	ns	0.0004	-	ns
FRic	<b>0.006</b>	<b>0.014</b>	<b>0.05</b>	<b>0.007</b>	<b>0.018</b>	<b>0.05</b>	0.006	-	ns	0.001	-	ns
FEve*	-0.010	-	ns	-0.005	-	ns	-0.030	-	ns	0.019	-	ns
Rao*	-0.009	-	ns	<b>0.021</b>	<b>0.016</b>	<b>0.05</b>	0.009	-	ns	0.017	-	ns
CWM mass	-1.799	-	ns	<b>5.135</b>	<b>0.020</b>	<b>0.05</b>	3.185	-	ns	-0.618	-	ns
<b>Bats</b>												
Abundance**	0.189	-	ns	-	ns	-	0.247	-	ns	-0.168	-	ns
SDI	-0.0005	-	ns	-	ns	-	<b>0.076</b>	<b>0.024</b>	<b>0.05</b>	0.029	-	ns
FRic	-0.001	-	ns	-	ns	-	-0.003	-	ns	0.001	-	ns
FEve*	<b>0.230</b>	<b>0.066</b>	<b>0.005</b>	-	ns	-	0.142	-	ns	-0.005	-	ns
Rao*	0.002	-	ns	-	ns	-	0.0001	-	ns	0.002	-	ns
CWM mass	-0.162	-	ns	-	ns	-	<b>-0.540</b>	<b>0.034</b>	<b>0.04</b>	<b>0.465</b>	<b>0.026</b>	<b>0.03</b>

600 **Figure 1.** Conceptual figure of hypothetical direct and indirect effects of climate (red panel),  
601 habitat (green panel) and biotic (yellow panel) predictors on bird and bat diversity metrics.  
602 Black and white arrows indicate positive and negative effects, respectively; full and dotted  
603 arrows indicate direct and indirect effects, respectively.

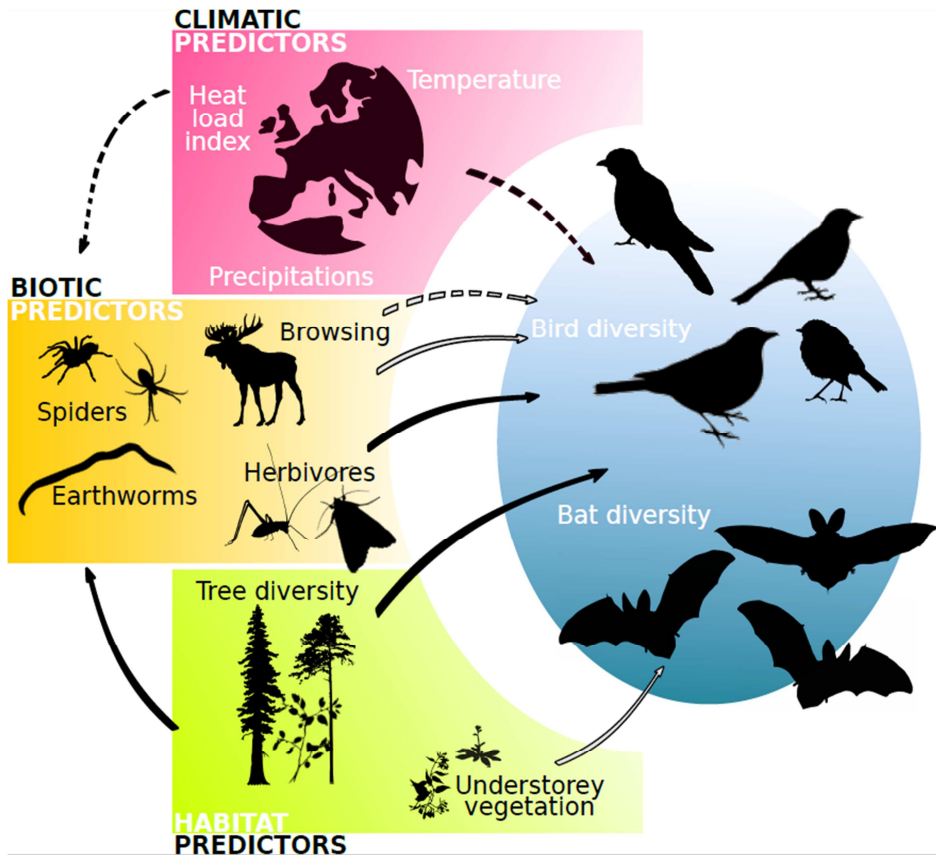
604

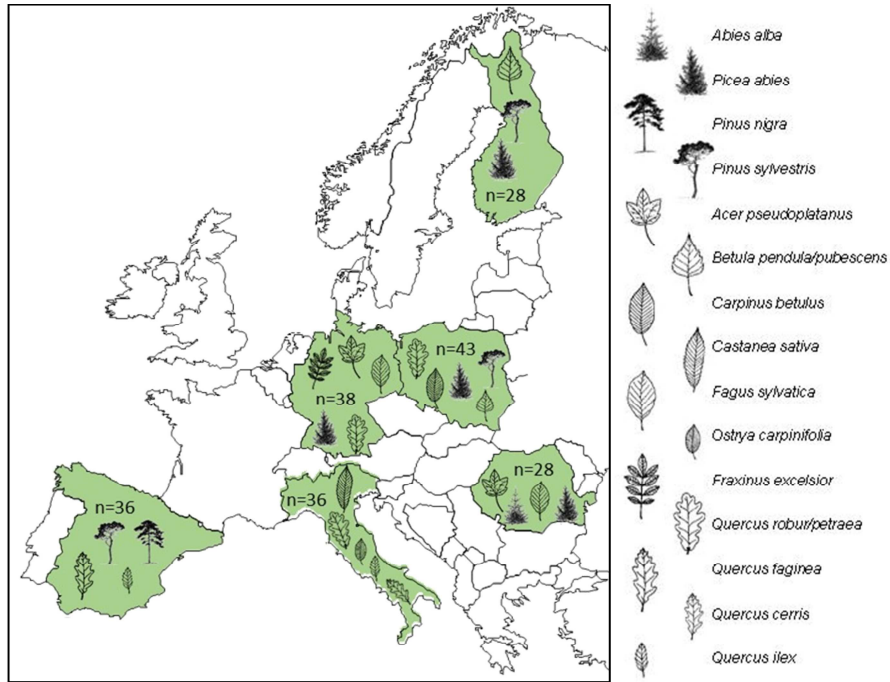
605 **Figure 2.** Location map of sampled forest plots of the FunDivEurope exploratory platform in  
606 Europe, spread across Spain, Italy, Romania, Germany, Poland and Finland (N = 209). The  
607 regional pool of tree species sampled and the number of plots per country are indicated.

608

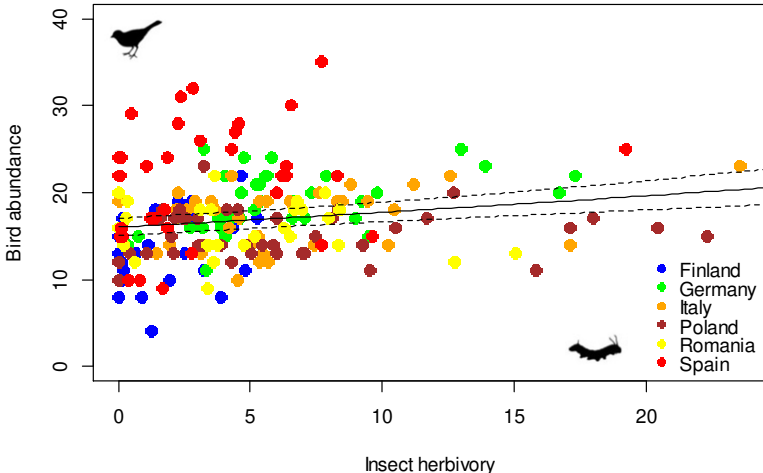
609 **Figure 3.** Univariate Linear Mixed Models in response to insect herbivory for a) bird  
610 abundance and b) bat functional evenness. See table 3 for model coefficients,  $R^2_m$  values and  
611 *P*-values.

612





a)



b)

